

# Voting summary 2022

# **CHARITY ASSETS TRUST**



REPORTING PERIOD: 01/01/2022 to 12/31/2022

#### LOCATION(S): ALL LOCATIONS

INSTITUTION ACCOUNT(S): CAT

#### **Voting Statistics**

	Total	Percent
Votable Meetings	100	
Meetings Voted	99	99.00%
Meetings with One or More Votes Against Management	32	32.00%
Votable Ballots	101	
Ballots Voted	100	99.01%

Note: A meeting is votable when one or more ballots are eligible to vote at the meeting, and differences in votable meetings and ballots occurs when multiple ballots are available to vote for the same meeting.

	Manageme	ent Proposals	Shareholder	Proposals	All Pro	posals
	Total	Percent	Total	Percent	Total	Percent
Votable Proposals	1406		77		1483	
Proposals Voted	1398	99.43%	77	100.00%	1475	99.46%
FOR Votes	1311	93.24%	39	50.65%	1350	91.03%
AGAINST Votes	41	2.92%	38	49.35%	79	5.33%
ABSTAIN Votes	39	2.77%	0	0.00%	39	2.63%
WITHHOLD Votes	4	0.28%	0	0.00%	4	0.27%
Votes WITH Management	1352	96.16%	38	49.35%	1390	93.73%
Votes AGAINST Management	46	3.27%	39	50.65%	85	5.73%
Significant Votes	0	0.00%	0	0.00%	0	0.00%

Note: Instructions of Do Not Vote are not considered voted; Frequency on Pay votes of 1, 2 or 3 Years are only reflected statistically, where applicable, but present in the underlying detail; and in cases of different votes submitted across ballots for a given meeting, votes cast are distinctly counted by type per proposal where total votes submitted may be higher than unique proposals voted.

#### **Proposal Statistics**

	Vota Prop	able osals	Prop Vot		Manage Propo		Shareh Propo		Votes A Manage		Signifi Vot	
	Total	Percent	Total	Percent	Total	Percent	Total	Percent	Total	Percent	Total	Percent
Audit Related	86	5.80%	86	5.80%	86	5.80%	0	0.00%	0	0.00%	0	0.00%
Capitalization	140	9.44%	140	9.44%	140	9.44%	0	0.00%	0	0.00%	0	0.00%
Company Articles	39	2.63%	38	2.56%	39	2.63%	0	0.00%	0	0.00%	0	0.00%
Compensation	162	10.92%	162	10.92%	158	10.65%	4	0.27%	8	0.54%	0	0.00%
Corporate Governance	5	0.34%	5	0.34%	0	0.00%	5	0.34%	3	0.20%	0	0.00%
Director Election	706	47.61%	706	47.61%	706	47.61%	0	0.00%	37	2.49%	0	0.00%
Director Related	78	5.26%	78	5.26%	65	4.38%	13	0.88%	6	0.40%	0	0.00%
E&S Blended	8	0.54%	8	0.54%	4	0.27%	4	0.27%	0	0.00%	0	0.00%
Environmental	15	1.01%	15	1.01%	5	0.34%	10	0.67%	3	0.20%	0	0.00%
Miscellaneous	5	0.34%	5	0.34%	4	0.27%	1	0.07%	0	0.00%	0	0.00%
Mutual Funds	2	0.13%	2	0.13%	2	0.13%	0	0.00%	0	0.00%	0	0.00%
No Research	2	0.13%	2	0.13%	2	0.13%	0	0.00%	0	0.00%	0	0.00%
Non-Routine Business	18	1.21%	16	1.08%	13	0.88%	5	0.34%	5	0.34%	0	0.00%
Routine Business	132	8.90%	131	8.83%	126	8.50%	6	0.40%	7	0.47%	0	0.00%
Social	45	3.03%	45	3.03%	16	1.08%	29	1.96%	16	1.08%	0	0.00%
Strategic Transactions	14	0.94%	10	0.67%	14	0.94%	0	0.00%	0	0.00%	0	0.00%
Takeover Related	26	1.75%	26	1.75%	26	1.75%	0	0.00%	0	0.00%	0	0.00%
Total	1483	100.00%	1475	99.46%	1406	94.81%	77	5.19%	85	5.73%	0	0.00%

**Meeting Date:** 01/19/2022 **Record Date:** 01/17/2022

Primary Security ID: G8927V149

**Country:** United Kingdom **Meeting Type:** Annual

Primary CUSIP: G8927V149

Meeting ID: 1595839

Ticker: SMWH

Primary ISIN: GB00B2PDGW16

Proxy Level: N/A

Primary SEDOL: B2PDGW1

Earliest Cutoff Date: 01/14/2022

Votable Shares: 61,192

Total Ballots: 1
\*Shares on Loan: 0

Elect Kal Atwal as Director

Voting Policy: ISS

Shares Instructed: 61,192

Additional Policy: Shares Voted: 61,192

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No	
2		Approve Remuneration Report	Mgmt	Yes	For	Against		Against	Against	Yes	No	No	
		ended Rationale: A vote AGAINST the remuneration report is considered warranted: There is a material disconnect between											
		Blended Rationale: A vote AGAINST the remuneration report is considered warranted: There is a material disconnect between bonus outcomes and Company performance for the year under review. This follows concerns regarding the selection of Headline EBITDA as the primary metric to determine FY2021 bonus outcomes, which is not considered to utilse an appropriately stretching target range or adequately acknowledge the Company's financial position which has been materially affected by COVID-19. Concerns also persist in relation to the selection and disclosure of personal objectives. It is noted that the Remuneration Committee has exercised discretion to reduce the formulaic outcomes by 22%, however, the revised outcomes remain significant (100% of salary for the CEO and c. 81% of salary for the CFO) and do not effectively delineate Company performance during FY2021 or adequately acknowledge the impact of COVID-19, which has caused the Company to seek government support and suspend the dividend.											
3		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No	

Voting Policy Rationale: Items 4-9A vote FOR these Directors is warranted as no significant concerns have been identified. Item 10An ABSTAIN vote regarding the re-election of Maurice Thompson is warranted:\* He served on the boards of several Greensill Capital group companies, including as Chair of the parent company until early 2021, Chair of the UK subsidiary until 2018 and continues to serve as Chair of the supervisory board of Greensill Bank AG (based on available information). Greensill Capital collapsed during 2021 in a high-profile failure. While the various ongoing investigations into Greensill Capital's collapse have not provided any failings explicitly linked to Maurice Thompson to date, his senior involvement on the boards of various Greensill companies raises questions about his accountability for potential failures of governance and oversight at Greensill Capital which are as yet unanswered, and could, if answered negatively in future, be relevant to his suitability as a NED and a member of the Audit Committee at WH Smith plc.A vote FOR this resolution is warranted for those shareholders in markets which have a fiduciary responsibility to vote either for or against and who do not recognise abstention as a valid option.

Yes

For

For

For

For

No

No

No

Mgmt

Blended Rationale: Items 4-9A vote FOR these Directors is warranted as no significant concerns have been identified. Item 10An ABSTAIN vote regarding the re-election of Maurice Thompson is warranted:\* He served on the boards of several Greensill Capital group companies, including as Chair of the parent company until early 2021, Chair of the UK subsidiary until 2018 and continues to serve as Chair of the supervisory board of Greensill Bank AG (based on available information). Greensill Capital collapsed during 2021 in a high-profile failure. While the various ongoing investigations into Greensill Capital's collapse have not provided any failings explicitly linked to Maurice Thompson to date, his senior involvement on the boards of various Greensill companies raises questions about his accountability for potential failures of governance and oversight at Greensill Capital which are as yet unanswered, and could, if answered negatively in future, be relevant to his suitability as a NED and a member of the Audit Committee at WH Smith plc.A vote FOR this resolution is warranted for those shareholders in markets which have a fiduciary responsibility to vote either for or against and who do not recognise abstention as a valid option.

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Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	:
5		Re-elect Carl Cowling as Director	Mamt	Yes	For	For		For	For	No	No	No	_

Voting Policy Rationale: Items 4-9A vote FOR these Directors is warranted as no significant concerns have been identified. Item 10An ABSTAIN vote regarding the re-election of Maurice Thompson is warranted: \* He served on the boards of several Greensill Capital group companies, including as Chair of the parent company until early 2021, Chair of the UK subsidiary until 2018 and continues to serve as Chair of the supervisory board of Greensill Bank AG (based on available information). Greensill Capital collapsed during 2021 in a high-profile failure. While the various ongoing investigations into Greensill Capital's collapse have not provided any failings explicitly linked to Maurice Thompson to date, his senior involvement on the boards of various Greensill companies raises questions about his accountability for potential failures of governance and oversight at Greensill Capital which are as yet unanswered, and could, if answered negatively in future, be relevant to his suitability as a NED and a member of the Audit Committee at WH Smith plc. A vote FOR this resolution is warranted for those shareholders in markets which have a fiduciary responsibility to vote either for or against and who do not recognise abstention as a valid option.

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Re-elect Nicky Dulieu as Director Mgmt Yes For For For For No No No

Voting Policy Rationale: Items 4-9A vote FOR these Directors is warranted as no significant concerns have been identified. Item 10An ABSTAIN vote regarding the re-election of Maurice Thompson is warranted:\* He served on the boards of several Greensill Capital group companies, including as Chair of the parent company until early 2021, Chair of the UK subsidiary until 2018 and continues to serve as Chair of the supervisory board of Greensill Bank AG (based on available information). Greensill Capital collapsed during 2021 in a high-profile failure. While the various ongoing investigations into Greensill Capital's collapse have not provided any failings explicitly linked to Maurice Thompson to date, his senior involvement on the boards of various Greensill companies raises questions about his accountability for potential failures of governance and oversight at Greensill Capital which are as yet unanswered, and could, if answered negatively in future, be relevant to his suitability as a NED and a member of the Audit Committee at WH Smith plc.A vote FOR this resolution is warranted for those shareholders in markets which have a fiduciary responsibility to yote either for or against and who do not recognise abstention as a valid option.

Blended Rationale: Items 4-9A vote FOR these Directors is warranted as no significant concerns have been identified. Item 10An ABSTAIN vote regarding the re-election of Maurice Thompson is warranted: \* He served on the boards of several Greensill Capital group companies, including as Chair of the parent company until early 2021, Chair of the UK subsidiary until 2018 and continues to serve as Chair of the supervisory board of Greensill Bank AG (based on available information). Greensill Capital collapsed during 2021 in a high-profile failure. While the various ongoing investigations into Greensill Capital's collapse have not provided any failings explicitly linked to Maurice Thompson to date, his senior involvement on the boards of various Greensill companies raises questions about his accountability for potential failures of governance and oversight at Greensill Capital which are as yet unanswered, and could, if answered negatively in future, be relevant to his suitability as a NED and a member of the Audit Committee at WH Smith plc.A vote FOR this resolution is warranted for those shareholders in markets which have a fiduciary responsibility to vote either for or against and who do not recognise abstention as a valid option.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
7		Re-elect Simon Emeny as Director	Mamt	Yes	For	For		For	For	No	No	No	

Voting Policy Rationale: Items 4-9A vote FOR these Directors is warranted as no significant concerns have been identified. Item 10An ABSTAIN vote regarding the re-election of Maurice Thompson is warranted: \* He served on the boards of several Greensill Capital group companies, including as Chair of the parent company until early 2021, Chair of the UK subsidiary until 2018 and continues to serve as Chair of the supervisory board of Greensill Bank AG (based on available information). Greensill Capital collapsed during 2021 in a high-profile failure. While the various ongoing investigations into Greensill Capital's collapse have not provided any failings explicitly linked to Maurice Thompson to date, his senior involvement on the boards of various Greensill companies raises questions about his accountability for potential failures of governance and oversight at Greensill Capital which are as yet unanswered, and could, if answered negatively in future, be relevant to his suitability as a NED and a member of the Audit Committee at WH Smith plc.A vote FOR this resolution is warranted for those shareholders in markets which have a fiduciary responsibility to vote either for or against and who do not recognise abstention as a valid option.

Blended Rationale: Items 4-9A vote FOR these Directors is warranted as no significant concerns have been identified. Item 10An ABSTAIN vote regarding the re-election of Maurice Thompson is warranted:\* He served on the boards of several Greensill Capital group companies, including as Chair of the parent company until early 2021, Chair of the UK subsidiary until 2018 and continues to serve as Chair of the supervisory board of Greensill Bank AG (based on available information). Greensill Capital collapsed during 2021 in a high-profile failure. While the various ongoing investigations into Greensill Capital's collapse have not provided any failings explicitly linked to Maurice Thompson to date, his senior involvement on the boards of various Greensill companies raises questions about his accountability for potential failures of governance and oversight at Greensill Capital which are as yet unanswered, and could, if answered negatively in future, be relevant to his suitability as a NED and a member of the Audit Committee at WH Smith plc.A vote FOR this resolution is warranted for those shareholders in markets which have a fiduciary responsibility to vote either for or against and who do not recognise abstention as a valid option.

Re-elect Robert Moorhead as Director Mgmt Yes For For For For No No No

Voting Policy Rationale: Items 4-9A vote FOR these Directors is warranted as no significant concerns have been identified. Item 10An ABSTAIN vote regarding the re-election of Maurice Thompson is warranted:\* He served on the boards of several Greensill Capital group companies, including as Chair of the parent company until early 2021, Chair of the UK subsidiary until 2018 and continues to serve as Chair of the supervisory board of Greensill Bank AG (based on available information). Greensill Capital collapsed during 2021 in a high-profile failure. While the various ongoing investigations into Greensill Capital's collapse have not provided any failings explicitly linked to Maurice Thompson to date, his senior involvement on the boards of various Greensill companies raises questions about his accountability for potential failures of governance and oversight at Greensill Capital which are as yet unanswered, and could, if answered negatively in future, be relevant to his suitability as a NED and a member of the Audit Committee at WH Smith plc.A vote FOR this resolution is warranted for those shareholders in markets which have a fiduciary responsibility to yote either for or against and who do not recognise abstention as a valid option.

Blended Rationale: Items 4-9A vote FOR these Directors is warranted as no significant concerns have been identified. Item 10An ABSTAIN vote regarding the re-election of Maurice Thompson is warranted: \* He served on the boards of several Greensill Capital group companies, including as Chair of the parent company until early 2021, Chair of the UK subsidiary until 2018 and continues to serve as Chair of the supervisory board of Greensill Bank AG (based on available information). Greensill Capital collapsed during 2021 in a high-profile failure. While the various ongoing investigations into Greensill Capital's collapse have not provided any failings explicitly linked to Maurice Thompson to date, his senior involvement on the boards of various Greensill companies raises questions about his accountability for potential failures of governance and oversight at Greensill Capital which are as yet unanswered, and could, if answered negatively in future, be relevant to his suitability as a NED and a member of the Audit Committee at WH Smith plc.A vote FOR this resolution is warranted for those shareholders in markets which have a fiduciary responsibility to vote either for or against and who do not recognise abstention as a valid option.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Vote Against Mgmt	vote Against Policy	vote Against ISS
9		Re-elect Henry Staunton as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 4-9A vote FOR the 10An ABSTAIN vote regarding the re-election of Capital group companies, including as Chair of the continues to serve as Chair of the supervisory be collapsed during 2021 in a high-profile failure. In not provided any failings explicitly linked to Material Capital which are as yet unanswered, and could member of the Audit Committee at WH Smith p which have a fiduciary responsibility to vote either the supervisor of the Audit Committee at WH Smith p	f Maurice Thoi the parent con toard of Green While the varic urice Thompso accountability I, if answered lc.A vote FOR	mpson is wa npany until o sill Bank AG ous ongoing in to date, h for potential negatively in this resoluti	rranted.* He arry 2021, (based on a investigation is senior investigation of failures of gon future, be on is warranted.*	e served on to Chair of the Unvailable informs into Green olvement on a governance a relevant to hi ted for those	he boards of so IK subsidiary u mation). Green sill Capital's co the boards of and oversight a is suitability as a shareholders	everal Green ntil 2018 and nsill Capital Illapse have various t Greensill a NED and a in markets	sill d			
		Blended Rationale: Items 4-9A vote FOR these ABSTAIN vote regarding the re-election of Maur Capital group companies, including as Chair of the supervisory be collapsed during 2021 in a high-profile failure. In not provided any failings explicitly linked to Mat Greensill companies raises questions about his a Capital which are as yet unanswered, and could member of the Audit Committee at WH Smith p which have a fiduciary responsibility to vote either the Audit Committee at WH Smith p	rice Thompson the parent con loard of Green While the varic urice Thompso accountability I, if answered Ic.A vote FOR	n is warrante inpany until e sill Bank AG ous ongoing in to date, h for potential negatively in this resoluti	d:* He serve early 2021, ( (based on a investigation is senior inve failures of g in future, be on is warran	ed on the boa Chair of the Unvailable informs into Green olvement on a governance a relevant to hi ted for those	ards of several  IK subsidiary u  mation). Gree  sill Capital's co  the boards of o  nd oversight a  is suitability as  s shareholders	Greensill Intil 2018 and Intil 2018 and Insill Capital Illapse have Ivarious It Greensill It a NED and It In markets	đ			
10		Re-elect Maurice Thompson as Director	Mgmt	Yes	For	Abstain		Abstain	Abstain	Yes	No	No
		Voting Policy Rationale: Items 4-9A vote FOR the 10An ABSTAIN vote regarding the re-election of Capital group companies, including as Chair of continues to serve as Chair of the supervisory to collapsed during 2021 in a high-profile failure. In not provided any failings explicitly linked to Mat Greensill companies raises questions about his Capital which are as yet unanswered, and could member of the Audit Committee at WH Smith p which have a fiduciary responsibility to vote eith	f Maurice Thoi the parent con topic of Green While the varic urice Thompso accountability I, if answered Ic.A vote FOR	mpson is wa npany until e sill Bank AG ous ongoing on to date, h for potential negatively in this resoluti	rranted.* He early 2021, ( based on a investigation is senior involution of grant of grant on the control of th	e served on ti Chair of the U nvailable infor ns into Green olvement on a governance a relevant to hi ted for those	he boards of salk subsidiary un mation). Greensill Capital's country the boards of and oversight a sis suitability as a shareholders	everal Green ntil 2018 and nsill Capital Illapse have various t Greensill a NED and a in markets	sill 1			
		Blended Rationale: Items 4-9A vote FOR these ABSTAIN vote regarding the re-election of Maur Capital group companies, including as Chair of continues to serve as Chair of the supervisory be collapsed during 2021 in a high-profile failure. In not provided any failings explicitly linked to Mau Greensill companies raises questions about his capital which are as yet unanswered, and could member of the Audit Committee at WH Smith p which have a fiduciary responsibility to vote eith	rice Thompson, the parent con to and of Green While the varic urice Thompson accountability I, if answered Ic.A vote FOR	n is warrante inpany until e sill Bank AG ous ongoing in to date, h for potential negatively in this resoluti	d:* He service and 2021, ( based on a continuestigation is senior involution of grant future, be continued in surrant in the continued in future, be continued in future, and	ed on the boa Chair of the U nvailable infor ns into Green olvement on a governance a relevant to hi ted for those	ards of several  IK subsidiary u mation). Gree  sill Capital's co  the boards of o  nd oversight a  is suitability as  s shareholders	Greensill Intil 2018 and Intil 2018 and Insill Capital Illapse have Ivarious It Greensill It a NED and It In markets	d			
11		Reappoint PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
12		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
13		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
14		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolution recommended limits.	utions is warra	nted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	s is warranted	because the	e proposed a	amounts and	durations are	within				

Additional Voting

Vote

Vote

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
15		Authorise Issue of Equity with Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becau	se the prop	osed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR recommended limits.	R these resolution	ns is warranted	d because th	e proposea	l amounts and	durations are	within				
16		Authorise Issue of Equity with Pre-emptive Rights in Connec an Acquisition or Other Capita Investment	tion with	Mgmt	Yes	For	For		For	For	No	No	No
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.													
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because th	e proposea	l amounts and	durations are	within				
17		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
18		Authorise the Company to Cal Meeting with Two Weeks' Not		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Ballot Status	Instructe	d	Approv	red	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692		391692	Confirmed	jbisset		jbisset				61,19	)2	61,	192
				01/11/2022	2	01/11/2	022						

Total Shares:

61,192

61,192

#### **Meeting Results**

Outstanding Shares Voted: 130,909,807 Results Available: Partial

	<b>,</b>		.,										
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	109,734,659	100.0%	6,611	0.0%	2,998,707	N/A	Poll	F+A
2	Υ	Pass	No	For	45.6%	52,332,420	54.4%	43,861,419	45.6%	16,546,138	N/A	Poll	F+A
3	Υ	Pass	No	For	11.6%	99,470,149	88.4%	13,100,796	11.6%	169,032	N/A	Poll	F+A
4	Υ	Pass	No	For	0.4%	112,125,417	99.6%	466,586	0.4%	147,974	N/A	Poll	F+A
5	Υ	Pass	No	For	5.4%	106,486,091	94.6%	6,106,398	5.4%	147,488	N/A	Poll	F+A
6	Υ	Pass	No	For	1.3%	111,106,840	98.7%	1,487,201	1.3%	145,936	N/A	Poll	F+A
7	Υ	Pass	No	For	0.5%	112,051,313	99.5%	542,992	0.5%	145,672	N/A	Poll	F+A
8	Υ	Pass	No	For	2.4%	109,698,595	97.6%	2,657,925	2.4%	383,457	N/A	Poll	F+A
9	Υ	Pass	No	For	7.9%	103,686,736	92.1%	8,906,927	7.9%	146,314	N/A	Poll	F+A
10	Υ	Pass	No	For	21.8%	44,070,497	78.2%	12,286,760	21.8%	56,382,720	N/A	Poll	F+A
11	Υ	Pass	No	For	0.5%	112,052,885	99.5%	541,821	0.5%	145,271	N/A	Poll	F+A
12	Υ	Pass	No	For	0.3%	112,260,672	99.7%	336,346	0.3%	142,959	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
13	Υ	Pass	No	For	2.3%	109,367,256	97.7%	2,622,201	2.3%	750,328	N/A	Poll	F+A
14	Υ	Pass	No	For	3.9%	108,183,782	96.1%	4,394,052	3.9%	162,143	N/A	Poll	F+A
15	Υ	Pass	No	For	0.3%	109,380,042	99.7%	371,224	0.3%	2,988,711	N/A	Poll	F+A
16	Υ	Pass	No	For	8.7%	100,256,399	91.3%	9,502,932	8.7%	2,980,646	N/A	Poll	F+A
17	Υ	Pass	No	For	0.4%	111,422,764	99.6%	443,067	0.4%	874,146	N/A	Poll	F+A
18	Υ	Pass	No	For	1.7%	110,629,109	98.3%	1,969,016	1.7%	141,852	N/A	Poll	F+A

# **Countryside Properties Plc**

Meeting Date: 01/20/2022

Country: United Kingdom

Ticker: CSP

Proxy Level: N/A

**Record Date:** 01/18/2022 **Primary Security ID:** G24556170 Meeting Type: Annual Primary CUSIP: G24556170

**Meeting ID:** 1565662 Primary ISIN: GB00BYPHNG03

Primary SEDOL: BYPHNG0

Earliest Cutoff Date: 01/17/2022

Votable Shares: 498,352

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS Shares Instructed: 498,352 Additional Policy: Shares Voted: 498,352

votable 3i	10163. 430,332	Shares on Loan.			Shares II	istructeu. 450	,,552		Silares votet	1. 170,332		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect John Martin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	rectors is warrai	nted as no si	ignificant co	ncerns have L	been identified	!			-	
		Blended Rationale: A vote FOR these Director	ors is warranted	as no signific	cant conceri	ns have been	identified.				-	
4		Re-elect Iain McPherson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	rectors is warrai	nted as no si	ignificant co	ncerns have l	been identified	!.			-	
		Blended Rationale: A vote FOR these Director	ors is warranted	as no signific	cant concerr	ns have been	identified.				-	
5		Re-elect Douglas Hurt as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	rectors is warrai	nted as no si	ignificant co	ncerns have L	been identified	!			-	
		Blended Rationale: A vote FOR these Director	ors is warranted	as no signific	cant conceri	ns have been	identified.					
6		Re-elect Amanda Burton as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	rectors is warrai	nted as no si	ignificant co	ncerns have L	been identified	!			-	
		Blended Rationale: A vote FOR these Director	ors is warranted	as no signific	cant concerr	ns have been	identified.				-	
7		Re-elect Baroness Sally Morgan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	rectors is warrai	nted as no si	ignificant co	ncerns have l	been identified	!.			-	
		Blended Rationale: A vote FOR these Director	ors is warranted	as no signific	cant concerr	ns have been	identified.					
8		Re-elect Simon Townsend as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	rectors is warrai	nted as no si	ignificant co	ncerns have l	been identified	!.				
		Blended Rationale: A vote FOR these Director	ors is warranted	as no signific	cant concerr	ns have been	identified.					
9		Appoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
10		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Countryside Properties Plc**

Proposal Sign Number Vot	nificant :e	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	e FOR these reso	olutions is warr	anted becaus	se the propo	osed amounts	and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	R these resolutio	ns is warranted	d because th	e proposed a	amounts and	durations are	within				
12		Authorise Issue of Equity with Pre-emptive Rights	nout	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	e FOR these reso	olutions is warr	anted becaus	se the propo	osed amounts	and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	R these resolutio	ns is warranted	d because th	e proposed a	amounts and	durations are	within				
13		Authorise Issue of Equity with Pre-emptive Rights in Connec an Acquisition or Other Capita Investment	tion with	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	e FOR these reso	olutions is warr	anted becaus	se the propo	osed amounts	and durations	s are within			-	
		Blended Rationale: A vote FOR recommended limits.	R these resolutio	ns is warranted	d because th	e proposed a	amounts and	durations are	within			-	
14		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise UK Political Donation Expenditure	ns and	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve Change of Company Countryside Partnership Plc	Name to	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise the Company to Cal Meeting with Two Weeks' Not		Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>													
Institutional According IA Name, IA Num		Custodian Account Number	Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692		391692	Confirmed	jbisset		jbisset				498,35	52	498,	352
				01/07/202	2	01/07/20	22						
									Total Shares:	498,3	52	498,	352

### **Meeting Results**

Outstanding Shares Voted: 511,761,463 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	450,275,445	100.0%	2,987	0.0%	310,125	N/A	Poll	F+A
2	Υ	Pass	No	For	8.3%	413,117,193	91.7%	37,464,913	8.3%	6,451	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	450,571,689	100.0%	4,404	0.0%	13,564	N/A	Poll	F+A
4	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
5	Υ	Pass	No	For	12.6%	381,528,864	87.4%	55,004,977	12.6%	14,054,716	N/A	Poll	F+A
6	Υ	Pass	No	For	12.3%	383,001,756	87.7%	53,533,274	12.3%	14,053,527	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
7	Y	Pass	No	For	12.0%	383,001,502	88.0%	52,353,264	12.0%	15,233,791	N/A	Poll	F+A
8	Υ	Pass	No	For	8.3%	399,268,457	91.7%	36,085,120	8.3%	15,234,980	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	450,548,084	100.0%	36,234	0.0%	4,239	N/A	Poll	F+A
10	Υ	Pass	No	For	0.0%	450,540,225	100.0%	40,189	0.0%	8,143	N/A	Poll	F+A
11	Υ	Pass	No	For	8.2%	413,435,709	91.8%	36,895,535	8.2%	7,313	N/A	Poll	F+A
12	Υ	Pass	No	For	8.5%	403,401,896	91.5%	37,636,010	8.5%	9,300,651	N/A	Poll	F+A
13	Υ	Pass	No	For	15.1%	374,655,137	84.9%	66,395,682	15.1%	9,287,738	N/A	Poll	F+A
14	Υ	Pass	No	For	0.9%	446,160,978	99.1%	4,120,705	0.9%	56,874	N/A	Poll	F+A
15	Υ	Pass	No	For	8.8%	409,440,615	91.2%	39,705,735	8.8%	1,192,207	N/A	Poll	F+A
16	Υ	Pass	No	For	0.2%	449,483,585	99.8%	852,533	0.2%	2,439	N/A	Poll	F+A
17	Υ	Pass	No	For	1.2%	445,001,044	98.8%	5,332,488	1.2%	5,025	N/A	Poll	F+A

## Ruffer Illiquid Multi Strategies Fund 2015 Ltd.

Meeting Date: 02/14/2022

Country: Guernsey Meeting Type: Written Consent Ticker: N/A

Proxy Level: N/A

**Record Date:** 

Primary Security ID: G9402A105

Tender Offer

Primary CUSIP: G9402A105

**Meeting ID:** 1605477 Primary ISIN: GG00BSPCBR02

Primary SEDOL: BSPCBR0

Earliest Cutoff Date: 02/08/2022 Votable Shares: 8,888,249

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS Shares Instructed: 8,888,249 **Additional Policy: Shares Voted:** 8,888,249

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Written Consent	Mgmt	No								
1		Approve Matters Relating to the Re-designation of Ordinary Shares and	Mgmt	Yes	For	Refer		Refer	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		8,888,249	8,888,249
			02/10/2022	02/10/2022	_		
					Total Shares:	8,888,249	8,888,249

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Not Disclosed

Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Type	Base
	N												
1	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A

#### **Novartis AG**

**Record Date:** 

Meeting Date: 03/04/2022

Country: Switzerland

Meeting Type: Annual

Primary CUSIP: H5820Q150

Ticker: NOVN

**Meeting ID:** 1589873

**Primary ISIN:** CH0012005267

Proxy Level: N/A

Primary SEDOL: 7103065

Earliest Cutoff Date: 02/25/2022

Primary Security ID: H5820Q150

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 3,020

\*Shares on Loan: 0

Shares Instructed: 3,020

Shares Voted: 3,020

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Discharge of Board and Senior Management	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Allocation of Income and Dividends of CHF 3.10 per Share	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve CHF 15.3 Million Reduction in Share Capital via Cancellation of Repurchased Shares	Mgmt	Yes	For	For		For	For	No	No	No
5		Authorize Repurchase of up to CHF 10 Billion in Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No
6.1		Approve Remuneration of Directors in the Amount of CHF 8.6 Million	Mgmt	Yes	For	For		For	For	No	No	No
6.2		Approve Maximum Remuneration of Executive Committee in the Amount of CHF 91 Million	Mgmt	Yes	For	For		For	For	No	No	No
6.3		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
7.1		Reelect Joerg Reinhardt as Director and Board Chairman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	d.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
7.2		Reelect Nancy Andrews as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
7.3		Reelect Ton Buechner as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
7.4		Reelect Patrice Bula as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
7.5		Reelect Elizabeth Doherty as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
7.6		Reelect Bridgette Heller as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Novartis AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7.7		Reelect Frans van Houten as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	<i>1.</i>							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
7.8		Reelect Simon Moroney as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
7.9		Reelect Andreas von Planta as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
7.10		Reelect Charles Sawyers as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
7.11		Reelect William Winters as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.							-	
7.12		Elect Ana de Pro Gonzalo as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	d.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
7.13		Elect Daniel Hochstrasser as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
8.1		Reappoint Patrice Bula as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.						-	
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
8.2		Reappoint Bridgette Heller as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
8.3		Reappoint Simon Moroney as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
8.4		Reappoint William Winters as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.						-	
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.							-	
9		Ratify KPMG AG as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
10		Designate Peter Zahn as Independent Proxy	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

#### **Novartis AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
11		Transact Other Business (Voting)	Mamt	Yes	For	Against		Against	Against	Yes	No	No	

Voting Policy Rationale: A vote AGAINST is warranted because:\* This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and\* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.

Blended Rationale: A vote AGAINST is warranted because:\* This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and\* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		3,020	3,020
			02/21/2022	02/21/2022			
					Total Shares:	3,020	3,020

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.6%	1,431,157,166	99.4%	3,397,635	0.2%	5,945,878	0.4%	Poll	F+A+AB
2	Υ	Pass	No	For	2.5%	1,403,419,759	97.5%	22,769,861	1.6%	12,794,631	0.9%	Poll	F+A+AB
3	Υ	Pass	No	For	0.6%	1,432,034,011	99.4%	7,092,006	0.5%	1,374,662	0.1%	Poll	F+A+AB
4	Υ	Pass	No	For	0.4%	1,434,332,740	99.6%	3,702,323	0.3%	2,465,616	0.2%	Poll	F+A+AB
5	Υ	Pass	No	For	2.4%	1,405,657,241	97.6%	30,990,702	2.2%	3,852,736	0.3%	Poll	F+A+AB
6.1	Υ	Pass	No	For	3.4%	1,390,678,639	96.5%	44,221,391	3.1%	5,600,649	0.4%	Poll	F+A+AB
6.2	Υ	Pass	No	For	8.3%	1,320,877,978	91.7%	113,067,615	7.8%	6,555,085	0.5%	Poll	F+A+AB
6.3	Υ	Pass	No	For	9.4%	1,305,601,853	90.6%	122,750,787	8.5%	12,148,038	0.8%	Poll	F+A+AB
7.1	Υ	Pass	No	For	4.5%	1,376,102,077	95.5%	62,067,323	4.3%	2,331,279	0.2%	Poll	F+A+AB
7.2	Υ	Pass	No	For	0.8%	1,428,413,320	99.2%	9,789,667	0.7%	2,297,692	0.2%	Poll	F+A+AB
7.3	Υ	Pass	No	For	13.0%	1,253,754,493	87.0%	184,140,756	12.8%	2,605,429	0.2%	Poll	F+A+AB
7.4	Υ	Pass	No	For	2.1%	1,409,650,181	97.9%	28,366,927	2.0%	2,483,571	0.2%	Poll	F+A+AB
7.5	Υ	Pass	No	For	2.3%	1,407,479,326	97.7%	30,281,462	2.1%	2,739,891	0.2%	Poll	F+A+AB
7.6	Υ	Pass	No	For	2.7%	1,401,495,288	97.3%	36,280,654	2.5%	2,724,737	0.2%	Poll	F+A+AB
7.7	Υ	Pass	No	For	1.4%	1,419,795,377	98.6%	18,207,940	1.3%	2,497,362	0.2%	Poll	F+A+AB
7.8	Υ	Pass	No	For	1.0%	1,425,713,419	99.0%	12,215,398	0.8%	2,571,862	0.2%	Poll	F+A+AB
7.9	Υ	Pass	No	For	3.9%	1,383,685,864	96.1%	54,614,355	3.8%	2,200,460	0.2%	Poll	F+A+AB
7.10	Υ	Pass	No	For	2.2%	1,409,385,086	97.8%	28,627,356	2.0%	2,488,237	0.2%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
7.11	Y	Pass	No	For	1.9%	1,413,638,004	98.1%	24,344,941	1.7%	2,517,734	0.2%	Poll	F+A+AB
7.12	Υ	Pass	No	For	2.0%	1,411,592,783	98.0%	25,942,715	1.8%	2,965,181	0.2%	Poll	F+A+AB
7.13	Υ	Pass	No	For	1.9%	1,413,251,342	98.1%	24,502,776	1.7%	2,746,561	0.2%	Poll	F+A+AB
8.1	Υ	Pass	No	For	3.0%	1,397,939,177	97.0%	39,068,907	2.7%	3,492,595	0.2%	Poll	F+A+AB
8.2	Υ	Pass	No	For	3.6%	1,388,400,725	96.4%	48,284,549	3.4%	3,815,405	0.3%	Poll	F+A+AB
8.3	Υ	Pass	No	For	3.1%	1,396,230,784	96.9%	40,714,504	2.8%	3,555,391	0.2%	Poll	F+A+AB
8.4	Υ	Pass	No	For	3.2%	1,394,650,042	96.8%	42,304,536	2.9%	3,546,101	0.2%	Poll	F+A+AB
9	Υ	Pass	No	For	1.0%	1,425,359,803	98.9%	13,353,178	0.9%	1,787,698	0.1%	Poll	F+A+AB
10	Y	Pass	No	For	0.2%	1,437,847,678	99.8%	1,255,893	0.1%	1,397,108	0.1%	Poll	F+A+AB
11	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A

#### **Beazley Plc**

Meeting Date: 03/25/2022 Record Date: 03/23/2022

Earliest Cutoff Date: 03/22/2022

8

Primary Security ID: G0936K107

Re-elect Nicola Hodson as Director

Re-elect Christine LaSala as Director

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G0936K107

Total Ballots: 1

Ticker: BEZ

Voting Policy: ISS

Meeting ID: 1611020

Primary ISIN: GB00BYQ0JC66

Primary SEDOL: BYQ0JC6

For

For

For

For

Proxy Level: N/A

Additional Policy:

No

Votable Shares: 80.504 \*Shares on Loan: 0 Shares Instructed: 80.504 Shares Voted: 80.504 Additional Voting Vote Vote Vote Proposal Significant Votable Mgmt Policy Policy Vote Against Against Against Number Vote **Proposal Text** Proponent Proposal Rec **ISS Rec** Rec Instruction Mgmt Policy ISS 1 Accept Financial Statements and Mgmt Yes For For For For No No No Statutory Reports Approve Remuneration Report Mgmt Yes For For No No For For No Approve Interim Dividends Mgmt Yes For No No No Re-elect Adrian Cox as Director Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.

Blended Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.

Re-elect Pierre-Olivier Desaulle as Mamt Yes For For For Director

Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.

Blended Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.

Mgmt

Mgmt

Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.

Yes

For

For

For

For

Blended Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.

Re-elect Sally Lake as Director Mgmt Yes For For For

Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.

Blended Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.

Yes Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.

Blended Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Beazley Plc**

	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Re-elect John Reizenstein as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these di	rectors is conside	ered warrant	ted as no sig	nificant conc	erns have beel	n identified.				
		Blended Rationale: A vote FOR these directo	rs is considered	warranted a	s no significa	ant concerns	have been ide	ntified.				
10		Re-elect David Roberts as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these di	rectors is conside	ered warrant	ted as no sig	nificant conc	erns have beer	n identified.				
		Blended Rationale: A vote FOR these director	rs is considered	warranted a	s no significa	ant concerns	have been ide	ntified.				
11		Re-elect Robert Stuchbery as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these dia	rectors is conside	ered warrant	ted as no sig	nificant conc	erns have beel	n identified.				
		Blended Rationale: A vote FOR these directo	rs is considered	warranted a	s no significa	ant concerns	have been ide	ntified.				
12		Elect Rajesh Agrawal as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these dia	rectors is conside	ered warrant	ted as no sig	nificant conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these directo	rs is considered	warranted a	s no significa	ant concerns	have been ide	ntified.				
13		Reappoint EY as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
14		Authorise the Audit and Risk Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Approve Save As You Earn Share Option Plan	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve Long-Term Incentive Plan	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these re- recommended limits.	solutions is warr	anted becau	se the propo	osed amounts	s and durations	are within				
		Blended Rationale: A vote FOR these resolut recommended limits.	ions is warranted	d because th	e proposed	amounts and	durations are	within				
18		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these re- recommended limits.	solutions is warra	anted becau	se the propo	osed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolut recommended limits.	ions is warranted	d because th	e proposed	amounts and	durations are	within				
19		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detai	ils											
Institutional A	Account Detail Number)	Custodian Account Number Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares '	Voted
CAT, 391692		391692 Confirmed	jbisset		jbisset				80,50	4	80,5	004
			03/16/2022	2	03/16/20	22						
								Total Shares:	80,50	)4	80,5	04

#### **Meeting Results**

Outstanding Shares Voted: 609,896,418 Results Available: Partial

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	484,215,490	100.0%	14,573	0.0%	808,199	N/A	Poll	F+A
2	Υ	Pass	No	For	10.5%	434,012,961	89.5%	50,960,274	10.5%	65,027	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	484,985,841	100.0%	13,400	0.0%	39,021	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	485,008,137	100.0%	14,678	0.0%	15,447	N/A	Poll	F+A
5	Υ	Pass	No	For	0.0%	484,977,900	100.0%	14,573	0.0%	45,789	N/A	Poll	F+A
6	Υ	Pass	No	For	5.2%	459,560,283	94.8%	25,403,070	5.2%	74,909	N/A	Poll	F+A
7	Υ	Pass	No	For	0.3%	483,612,806	99.7%	1,350,547	0.3%	74,909	N/A	Poll	F+A
8	Υ	Pass	No	For	5.6%	457,743,533	94.4%	27,219,820	5.6%	74,909	N/A	Poll	F+A
9	Υ	Pass	No	For	0.8%	481,232,710	99.2%	3,759,763	0.8%	45,789	N/A	Poll	F+A
10	Υ	Pass	No	For	0.8%	481,228,498	99.2%	3,763,975	0.8%	45,789	N/A	Poll	F+A
11	Υ	Pass	No	For	4.8%	461,504,744	95.2%	23,487,729	4.8%	45,789	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	484,977,195	100.0%	15,078	0.0%	45,989	N/A	Poll	F+A
13	Υ	Pass	No	For	0.3%	483,514,755	99.7%	1,483,945	0.3%	39,562	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	484,778,927	100.0%	223,428	0.0%	35,907	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	484,652,133	99.9%	349,340	0.1%	36,789	N/A	Poll	F+A
16	Υ	Pass	No	For	0.7%	481,826,808	99.3%	3,174,797	0.7%	36,657	N/A	Poll	F+A
17	Υ	Pass	No	For	2.4%	460,110,764	97.6%	11,215,375	2.4%	13,712,123	N/A	Poll	F+A
18	Υ	Pass	No	For	0.1%	484,306,473	99.9%	686,000	0.1%	45,789	N/A	Poll	F+A
19	Υ	Pass	No	For	0.3%	483,528,023	99.7%	1,280,550	0.3%	229,689	N/A	Poll	F+A
20	Υ	Pass	No	For	11.4%	428,810,332	88.6%	54,936,977	11.4%	1,290,953	N/A	Poll	F+A

#### **TIM SA**

Meeting Date: 03/29/2022 **Record Date:** 02/28/2022

Country: Brazil

Meeting Type: Annual/Special

Primary Security ID: P9153B104 Primary CUSIP: P9153B104

Ticker: TIMS3

**Meeting ID:** 1615432

Primary ISIN: BRTIMSACNOR5

Proxy Level: N/A

Primary SEDOL: BN71RB6

Earliest Cutoff Date: 03/24/2022 Total Ballots: 1 **Additional Policy:** Voting Policy: ISS Votable Shares: 45,001 \*Shares on Loan: 0 Shares Instructed: 45,001 Shares Voted: 45,001

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Meeting for ADR Holders	Mgmt	No								
		Annual Shareholders' Meeting	Mgmt	No								
1		Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2021	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Allocation of Income and Dividends	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify Claudio Giovanni Ezio Ongaro and Alberto Mario Griselli as Directors	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **TIM SA**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4		Fix Number of Fiscal Council Members	Mgmt	Yes	For	For		For	For	No	No	No
5		Elect Fiscal Council Members	Mgmt	Yes	For	For		For	For	No	No	No
6		In Case One of the Nominees Leaves the Fiscal Council Slate Due to a Separate Minority Election, as Allowed Under Articles 161 and 240 of the Brazilian Corporate Law, May Your Votes Still Be Counted for the Proposed Slate?	Mgmt	Yes	None	Against		Against	For	No	Yes	Yes
7		Approve Remuneration of Company's Management and Fiscal Council	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this procompensation of its highest-paid administrator Blended Rationale: A vote AGAINST this proposed	does not appe	ar inclusive o	of all elemen	nts of the exe	ecutive's pay.		1			
		compensation of its highest-paid administrator			,	,	, ,	ne wai				
		Extraordinary Shareholders' Meeting	Mgmt	No								
1		Approve Prolonging of Cooperation and Support Agreement between Telecom Italia S.p.A and TIM S.A.	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails											

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	000391692	Confirmed	jbisset	jbisset		45,001	45,001
			03/21/2022	03/21/2022	_		
					Total Shares:	45,001	45,001

#### **Meeting Results**

Outstanding Shares Voted:

#### Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
	N												
1	Υ	Pass	No	For	2.9%	1,998,064,522	97.1%	1,126,320	0.1%	58,339,768	2.8%	Poll	F+A+AB
2	Υ	Pass	No	For	0.2%	2,054,120,085	99.8%	3,216,990	0.2%	193,535	0.0%	Poll	F+A+AB
3	Υ	Pass	No	For	1.3%	2,029,586,065	98.6%	24,342,881	1.2%	3,601,664	0.2%	Poll	F+A+AB
4	Υ	Pass	No	For	0.0%	2,056,702,640	100.0%	725,330	0.0%	102,640	0.0%	Poll	F+A+AB
5	Υ	Pass	No	For	0.2%	2,054,243,898	99.8%	2,741,262	0.1%	545,450	0.0%	Poll	F+A+AB
6	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
7	Υ	Pass	No	For	12.5%	1,799,616,760	87.5%	256,114,253	12.4%	1,799,597	0.1%	Poll	F+A+AB
	N												
1	Υ	Pass	No	For	0.0%	427,688,740	100.0%	170,280	0.0%	1,631,566,782	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Torii Pharmaceutical Co., Ltd.**

Meeting Date: 03/29/2022 **Record Date:** 12/31/2021

Country: Japan

Ticker: 4551 Primary ISIN: JP3635800000

Proxy Level: N/A

Primary Security ID: J8959J102

Meeting Type: Annual

Primary CUSIP: J8959J102

Meeting ID: 1614494

Primary SEDOL: 6896894

Earliest Cutoff Date: 03/27/2022

Votable Shares: 2,700

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS Shares Instructed: 2,700 **Additional Policy:** Shares Voted: 2,700

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 24	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect Director Fukuoka, Toshio	Mgmt	Yes	For	For		For	For	No	No	No
4		Appoint Statutory Auditor Matsumura, Takaharu	Mgmt	Yes	For	For		For	For	No	No	No
5		Elect Alternate Director Kondo, Nobumasa	Mgmt	Yes	For	For		For	For	No	No	No
6		Appoint Alternate Statutory Auditor Kumano, Hisashi	Mgmt	Yes	For	For		For	For	No	No	No
7		Amend Articles to Prohibit Appointments of Officials from Japan Tobacco Inc	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this share board where no Torii Pharmaceutical executiv directors casts doubt over the effectiveness of unfavorable relative stock performance.	es are present,	and the lac	k of related	business exp	erience among	the outside			-	
		Blended Rationale: A vote FOR this sharehold where no Torii Pharmaceutical executives are casts doubt over the effectiveness of the boar stock performance.	present, and to	he lack of re	lated busine.	ss experience	e among the o	utside direct	ors		-	
8		Amend Articles to Ban Funding to Japan Tobacco via Cash Management System	SH	Yes	Against	Against		Against	For	Yes	Yes	Yes
9		Amend Articles to Add Required Experience for Directors	SH	Yes	Against	Against		Against	Against	No	No	No
10		Amend Articles to Disclose Cost of Equity Capital in Corporate Governance Report	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this share more attention to an inefficient capital policy,							pay		-	
		Blended Rationale: A vote FOR this sharehold attention to an inefficient capital policy, with a						ement pay n	ore			
11		Approve Additional Allocation of Income so that Final Dividend per Share Equals to JPY 114	SH	Yes	Against	For		For	For	Yes	No	No
	Voting Policy Rationale: A vote FOR this shareholder proposal is recommended because: * Given the firm's position in cash and equivalents, the additional payment should be achievable without causing problems for the company's financial health.											

Blended Rationale: A vote FOR this shareholder proposal is recommended because:\* Given the firm's position in cash and equivalents, the additional payment should be achievable without causing problems for the company's financial health.

## **Torii Pharmaceutical Co., Ltd.**

Proposal Number	-		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
12		Initiate Share Repurchase Program	SH	Yes	Against	For		For	For	Yes	No	No

Additional

Voting Policy Rationale: A vote FOR this shareholder proposal is warranted because:\* The proposed authorization would not bind the company to actually repurchase any shares and imposes no inflexible mandate.\* A share repurchase appears reasonable in light of its rich cash position, and concerns over capital policy and poor equity valuation.

Blended Rationale: A vote FOR this shareholder proposal is warranted because:\* The proposed authorization would not bind the company to actually repurchase any shares and imposes no inflexible mandate.\* A share repurchase appears reasonable in light of its rich cash position, and concerns over capital policy and poor equity valuation.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jcoster	jcoster		2,700	2,700
			03/23/2022	03/23/2022			
					Total Shares:	2,700	2,700

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	231,806	92.9%	17,611	N/A	0	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	248,555	99.6%	862	N/A	0	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	239,844	96.1%	9,563	N/A	0	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	247,852	99.3%	1,565	N/A	0	N/A	Poll	F+A+AB
5	Υ	Pass	No	For	N/A	239,967	96.2%	9,450	N/A	0	N/A	Poll	F+A+AB
6	Υ	Pass	No	For	N/A	247,760	99.3%	1,657	N/A	0	N/A	Poll	F+A+AB
7	Υ	Fail	No	Against	N/A	23,650	9.5%	225,766	N/A	0	N/A	Poll	F+A+AB
8	Υ	Fail	No	Against	N/A	17,621	7.1%	231,784	N/A	0	N/A	Poll	F+A+AB
9	Υ	Fail	No	Against	N/A	5,960	2.4%	243,445	N/A	0	N/A	Poll	F+A+AB
10	Υ	Fail	No	Against	N/A	27,822	11.2%	221,582	N/A	0	N/A	Poll	F+A+AB
11	Υ	Fail	No	Against	N/A	51,810	20.8%	197,594	N/A	0	N/A	Poll	F+A+AB
12	Υ	Fail	No	Against	N/A	34,779	13.9%	214,534	N/A	91	N/A	Poll	F+A+AB

## Rakuten Group, Inc.

Meeting Date: 03/30/2022 Record Date: 12/31/2021 Primary Security ID: J64264104 Country: Japan
Meeting Type: Annual
Primary CUSIP: J64264104

Ticker: 4755

**Meeting ID:** 1616325 **Primary ISIN:** JP3967200001

Proxy Level: N/A

Primary SEDOL: 6229597

# **Rakuten Group, Inc.**

Earliest Cutoff Date: 03/28/2022

Total Ballots: 1

Voting Policy: ISS

Additional Policy:

Votable Shares: 214,900

\*Shares on Loan: 0

Shares Instructed: 214,900

Shares Voted: 214,900

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Articles to Disclose Shareholder Meeting Materials on Internet - Allow Virtual Only Shareholder Meetings - Reflect Changes in Law	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this , company to hold virtual only meetings permar crisis is resolved, and the proposed language.	nently, without	further neea	to consult :	shareholders,	even after the	e current he				
		Blended Rationale: A vote AGAINST this propo company to hold virtual only meetings permai crisis is resolved, and the proposed language	nently, without	further neea	to consult	shareholders,	even after the	e current he	alth			
2.1		Elect Director Mikitani, Hiroshi	Mgmt	Yes	For	For		For	For	No	No	No
2.2		Elect Director Hosaka, Masayuki	Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Charles B. Baxter	Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Hyakuno, Kentaro	Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Kutaragi, Ken	Mgmt	Yes	For	For		For	For	No	No	No
2.6		Elect Director Sarah J. M. Whitley	Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Mitachi, Takashi	Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Murai, Jun	Mgmt	Yes	For	For		For	For	No	No	No
2.9		Elect Director John V. Roos	Mgmt	Yes	For	For		For	For	No	No	No
3		Appoint Statutory Auditor Fujita, Satoshi	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Deep Discount Stock Option Plan	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this and the stock options could become exercisable recipients.	•		•	•		•	,			
	Blended Rationale: A vote AGAINST this proposal is warranted because:* No specific performance hurdles are specified, and the stock options could become exercisable in less than three years after this shareholder meeting by non-retiring recipients.											

Ballot Details

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		214,900	214,900
			03/21/2022	03/21/2022			
					Total Shares:	214,900	214,900

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	11,941,437	90.8%	1,206,351	N/A	50	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	11,963,328	90.9%	1,184,428	N/A	93	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2.2	Y	Pass	No	For	N/A	12,551,609	95.4%	596,156	N/A	93	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	12,589,280	95.7%	558,481	N/A	93	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	12,863,519	97.8%	284,245	N/A	93	N/A	Poll	F+A+AB
2.5	Y	Pass	No	For	N/A	11,119,115	84.5%	2,028,645	N/A	93	N/A	Poll	F+A+AB
2.6	Y	Pass	No	For	N/A	12,379,707	94.1%	768,060	N/A	93	N/A	Poll	F+A+AB
2.7	Y	Pass	No	For	N/A	12,624,876	96.0%	522,890	N/A	93	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	12,450,316	94.6%	697,448	N/A	93	N/A	Poll	F+A+AB
2.9	Υ	Pass	No	For	N/A	12,908,071	98.1%	239,693	N/A	93	N/A	Poll	F+A+AB
3	Y	Pass	No	For	N/A	13,141,290	99.9%	6,570	N/A	0	N/A	Poll	F+A+AB
4	Y	Pass	No	For	N/A	10,079,482	76.6%	3,064,759	N/A	3,613	N/A	Poll	F+A+AB

## Teikoku Sen-I Co., Ltd.

Meeting Date: 03/30/2022 **Record Date:** 12/31/2021

Earliest Cutoff Date: 03/28/2022

Primary Security ID: J82657107

Country: Japan Meeting Type: Annual

Total Ballots: 1

Primary CUSIP: J82657107

Ticker: 3302

**Meeting ID:** 1617673

Primary ISIN: JP3540800004

Voting Policy: ISS

Additional Policy:

Primary SEDOL: 6880983

Proxy Level: N/A

Votable SI	nares: 9,600	*Shares on Loan: 0			Shares In	structed: 9,60	00		Shares Voted	: 9,600		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 45	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Shiraiwa, Tsuyoshi	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this of company's capital misallocation.	director nomine	ee is warrant	ed because:	* Top manag	gement is resp	onsible for t	he			
		Blended Rationale: A vote AGAINST this direct company's capital misallocation.	or nominee is	warranted be	ecause:* To	p manageme	nt is responsit	ole for the				
3.2		Elect Director Masutani, Toru	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this of company's capital misallocation.	director nomine	ee is warrant	ed because:	* Top manag	gement is resp	onsible for t	he			
		Blended Rationale: A vote AGAINST this direct company's capital misallocation.	or nominee is	warranted be	ecause:* To	p manageme	nt is responsit	ole for the				
3.3		Elect Director Okamura, Tatsuru	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Nakao, Toru	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Takagi, Hiroyasu	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Fukazawa, Masahiro	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes

# Teikoku Sen-I Co., Ltd.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4		Appoint Alternate Statutory Auditor Aramaki, Mikito	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this n affiliation with the company could compromise			ıse:* The οι	ıtside statuto	ry auditor non	ninee's				
		Blended Rationale: A vote AGAINST this nomin with the company could compromise independ		d because:*	The outside	statutory au	iditor nominee	's affiliation				
5		Approve Compensation Ceiling for Directors	Mgmt	Yes	For	For		For	For	No	No	No
6		Approve Trust-Type Equity Compensation Plan	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this p other equity compensation plans reaches 6.7 p	•			lilution from	this plan and t	he company	's			
		Blended Rationale: A vote AGAINST this propo- equity compensation plans reaches 6.7 percent				on from this p	plan and the co	ompany's oti	her			

#### **Ballot Details**

24								
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted	
CAT, 391692	391692	Confirmed	jcoster	jcoster		9,600	9,600	
			03/23/2022	03/23/2022				
					Total Shares:	9,600	9,600	

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	226,600	94.5%	13,239	N/A	0	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	239,564	99.9%	275	N/A	0	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	212,063	88.4%	27,767	N/A	0	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	218,000	90.9%	21,831	N/A	0	N/A	Poll	F+A+AE
3.3	Υ	Pass	No	For	N/A	230,679	96.2%	9,154	N/A	0	N/A	Poll	F+A+AE
3.4	Υ	Pass	No	For	N/A	230,716	96.2%	9,117	N/A	0	N/A	Poll	F+A+AE
3.5	Υ	Pass	No	For	N/A	230,146	96.0%	9,687	N/A	0	N/A	Poll	F+A+AE
3.6	Y	Pass	No	For	N/A	224,451	93.6%	15,382	N/A	0	N/A	Poll	F+A+AE
4	Υ	Pass	No	For	N/A	189,809	79.1%	50,017	N/A	5	N/A	Poll	F+A+AE
5	Υ	Pass	No	For	N/A	239,343	99.8%	486	N/A	5	N/A	Poll	F+A+AE
6	Y	Pass	No	For	N/A	216,781	90.4%	23,057	N/A	0	N/A	Poll	F+A+AB

## Toagosei Co., Ltd.

**Meeting Date:** 03/30/2022 **Record Date:** 12/31/2021

Co

Country: Japan

Meeting Type: Annual

Primary CUSIP: J8381L105

Ticker: 4045

Meeting ID: 1612830

**Primary ISIN:** JP3556400004

Proxy Level: N/A

Primary SEDOL: 6894467

Earliest Cutoff Date: 03/28/2022

Votable Shares: 11,700

Primary Security ID: J8381L105

Total Ballots: 1
\*Shares on Loan: 0

Voting Policy: ISS

Additional Policy:

Shares Instructed: 11,700

Shares Voted: 11,700

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 19	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet - Allow Virtual Only Shareholder Meetings	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Takamura, Mikishi	Mgmt	Yes	For	For		For	For	No	No	No
3.2		Elect Director Ishikawa, Nobuhiro	Mgmt	Yes	For	For		For	For	No	No	No
3.3		Elect Director Miho, Susumu	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Kimura, Masahiro	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Koike, Yasuhiro	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Mori, Yuichiro	Mgmt	Yes	For	For		For	For	No	No	No
3.7		Elect Director Serita, Taizo	Mgmt	Yes	For	For		For	For	No	No	No
3.8		Elect Director Furukawa, Hidetoshi	Mgmt	Yes	For	For		For	For	No	No	No
4.1		Elect Director and Audit Committee Member Takano, Nobuhiko	Mgmt	Yes	For	For		For	For	No	No	No
4.2		Elect Director and Audit Committee Member Danno, Koichi	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this a be an audit committee member lacks independ		ee is warrant	ed because:	* This outsid	le director can	didate who i	vill		-	
		Blended Rationale: A vote AGAINST this direct an audit committee member lacks independent		warranted be	ecause:* Th	is outside dir	ector candidat	e who will b	e		-	
4.3		Elect Director and Audit Committee Member Suzuki, Yoshitaka	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		11,700	11,700
			03/28/2022	03/28/2022			
					Total Shares:	11,700	11,700

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	1,056,648	96.4%	16,889	N/A	6	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	1,067,193	97.4%	6,342	N/A	6	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
3.1	Y	Pass	No	For	N/A	1,042,266	95.1%	31,270	N/A	6	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	1,068,693	97.5%	4,843	N/A	6	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	1,068,777	97.5%	4,765	N/A	0	N/A	Poll	F+A+AB
3.4	Υ	Pass	No	For	N/A	1,068,676	97.5%	4,860	N/A	6	N/A	Poll	F+A+AB
3.5	Υ	Pass	No	For	N/A	1,071,071	97.7%	2,465	N/A	6	N/A	Poll	F+A+AB
3.6	Y	Pass	No	For	N/A	1,071,077	97.7%	2,459	N/A	6	N/A	Poll	F+A+AB
3.7	Y	Pass	No	For	N/A	1,060,391	96.7%	13,145	N/A	6	N/A	Poll	F+A+AB
3.8	Y	Pass	No	For	N/A	1,035,399	94.5%	38,137	N/A	6	N/A	Poll	F+A+AB
4.1	Y	Pass	No	For	N/A	1,071,011	97.7%	2,525	N/A	6	N/A	Poll	F+A+AB
4.2	Υ	Pass	No	For	N/A	959,178	87.5%	114,357	N/A	6	N/A	Poll	F+A+AB
4.3	Y	Pass	No	For	N/A	1,031,071	94.1%	42,465	N/A	6	N/A	Poll	F+A+AB

## Aena S.M.E. SA

Meeting Date: 03/31/2022 **Record Date:** 03/25/2022

Earliest Cutoff Date: 03/25/2022

**Primary Security ID:** E526K0106

Country: Spain Meeting Type: Annual

Primary CUSIP: E526K0106

Total Ballots: 1

Ticker: AENA

**Meeting ID:** 1610129

**Primary ISIN:** ES0105046009

Voting Policy: ISS

Proxy Level: N/A

Primary SEDOL: BVRZ8L1

**Additional Policy:** Shares Voted: 7.039

Votable SI	nares: 7,039	*Shares on Loan: 0			Shares In	structed: 7,03	39		Shares Voted	: 7,039		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Standalone Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is procedures used.	s warranted du	e to a lack o	of concern re	garding the	accounts preso	ented or aud	lit			
		Blended Rationale: A vote FOR this item is wan procedures used.	rranted due to	a lack of cor	ncern regard	ing the acco	unts presented	d or audit				
2		Approve Consolidated Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is procedures used.	s warranted du	e to a lack o	of concern re	garding the	accounts prese	ented or aud	lit			
		Blended Rationale: A vote FOR this item is was procedures used.	rranted due to	a lack of cor	ncern regard	ing the acco	unts presented	d or audit				
3		Approve Treatment of Net Loss	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Non-Financial Information Statement	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Discharge of Board	Mgmt	Yes	For	For		For	For	No	No	No
6		Appoint KPMG Auditores as Auditor	Mgmt	Yes	For	For		For	For	No	No	No

## Aena S.M.E. SA

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7.1		Ratify Appointment of and Elect Raul Miguez Bailo as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the ratific Delacampagne, and Balleste Morillas under It guideline applicable to Spain-incorporated con Maurici Lucena Betriu under Item 7.3 is warra failed to disclose any plans to separate the Co	tems 7.1, 7.2, a mpanies with a anted because f	nd 7.4 becau majority sha ne is not prop	ise the boa reholder. A posed for in	rd meets the o vote AGAINS nterim term or	one third indep T the reelection	pendence on of chair/C			-	
		Blended Rationale: A vote FOR the ratification Delacampagne, and Balleste Morillas under It guideline applicable to Spain-incorporated con Maurici Lucena Betriu under Item 7.3 is warra failed to disclose any plans to separate the Co	tems 7.1, 7.2, a mpanies with a anted because f	nd 7.4 becau majority sha ne is not prop	ise the boa reholder. A posed for in	rd meets the o vote AGAINS nterim term or	one third indep T the reelection	pendence on of chair/C	CEO		-	
7.2		Ratify Appointment of and Elect Manuel Delacampagne Crespo as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the ratific Delacampagne, and Balleste Morillas under It guideline applicable to Spain-incorporated con Maurici Lucena Betriu under Item 7.3 is warra failed to disclose any plans to separate the Co	tems 7.1, 7.2, a mpanies with a anted because f	nd 7.4 becau majority sha ne is not prop	ise the boa reholder. A posed for in	rd meets the o vote AGAINS nterim term or	one third indep T the reelection	pendence on of chair/C	·			
		Blended Rationale: A vote FOR the ratification Delacampagne, and Balleste Morillas under It guideline applicable to Spain-incorporated con Maurici Lucena Betriu under Item 7.3 is warra failed to disclose any plans to separate the Co	tems 7.1, 7.2, a mpanies with a anted because f	nd 7.4 becau majority sha ne is not prop	ise the boa reholder. A posed for in	rd meets the o vote AGAINS nterim term or	one third indep T the reelection	pendence on of chair/C	CEO			
7.3		Reelect Maurici Lucena Betriu as Director	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote FOR the ratific Delacampagne, and Balleste Morillas under It guideline applicable to Spain-incorporated con Maurici Lucena Betriu under Item 7.3 is warra failed to disclose any plans to separate the Co	tems 7.1, 7.2, a mpanies with a anted because f	nd 7.4 becau majority sha ne is not prop	ise the boa reholder. A posed for in	rd meets the o vote AGAINS nterim term or	one third indep T the reelection	pendence on of chair/C			-	
		Blended Rationale: A vote FOR the ratification Delacampagne, and Balleste Morillas under It guideline applicable to Spain-incorporated con Maurici Lucena Betriu under Item 7.3 is warra failed to disclose any plans to separate the Co	tems 7.1, 7.2, a mpanies with a anted because f	nd 7.4 becau majority sha ne is not prop	ise the boa reholder. A posed for in	rd meets the o vote AGAINS nterim term or	one third indep T the reelection	pendence on of chair/C	TEO		-	
7.4		Elect Eva Balleste Morillas as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the ratific Delacampagne, and Balleste Morillas under It guideline applicable to Spain-incorporated con Maurici Lucena Betriu under Item 7.3 is warra failed to disclose any plans to separate the Co	tems 7.1, 7.2, a mpanies with a anted because f	nd 7.4 becau majority sha ne is not prop	ise the boa reholder. A posed for in	rd meets the o vote AGAINS nterim term or	one third indep T the reelection	pendence on of chair/C	·			
		Blended Rationale: A vote FOR the ratification Delacampagne, and Balleste Morillas under It guideline applicable to Spain-incorporated con Maurici Lucena Betriu under Item 7.3 is warra failed to disclose any plans to separate the Co	tems 7.1, 7.2, a mpanies with a anted because f	nd 7.4 becau majority sha ne is not prop	ise the boa reholder. A posed for in	rd meets the o vote AGAINS nterim term or	one third indep T the reelection	pendence on of chair/C	CEO			
8.1		Amend Articles Re: General Shareholders Meeting's Competences and Board of Directors' Competences	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these res bylaws and general meeting regulations mere neutral to positive effect on shareholder right	ely update these	documents	to applicab	le legal provis	sions that, on t					
		Blended Rationale: A vote FOR these resolution by laws and general meeting regulations mere neutral to positive effect on shareholder right	ely update these	documents	to applicab	le legal provis	sions that, on t		ave		-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## Aena S.M.E. SA

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
8.2	Amend Articles Re: Shareho to Information and Annual I Directors' Remuneration		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vo bylaws and general meeting neutral to positive effect on	regulations merel	y update these	documents	to applicat	ble legal provis	sions that, on t		•		-	
	Blended Rationale: A vote For bylaws and general meeting neutral to positive effect on	regulations merel	y update these	documents	to applicat	ble legal provis	sions that, on t	, ,	ave			
8.3	Amend Articles Re: Technical Improvements	al	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vo bylaws and general meeting neutral to positive effect on	regulations merel	y update these	documents	to applicat	ble legal provis	sions that, on t		•		_	
	Blended Rationale: A vote For bylaws and general meeting neutral to positive effect on	regulations merel	y update these	documents	to applicat	ble legal provis	sions that, on t		ave		-	
9	Amend Articles of General N Regulations	leeting	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vo bylaws and general meeting neutral to positive effect on	regulations merel	y update these	documents	to applicat	ble legal provis	sions that, on t	,	,		_	
	Blended Rationale: A vote For bylaws and general meeting neutral to positive effect on	regulations merel	y update these	documents	to applicat	ble legal provis	sions that, on t	, ,	ave		_	
10	Authorize Issuance of Non-G Bonds/Debentures and/or C Securities up to EUR 5 Billio	ther Debt	Mgmt	Yes	For	For		For	For	No	No	No
11	Advisory Vote on Remunera	tion Report	Mgmt	Yes	For	For		For	For	No	No	No
12	Advisory Vote on Company's Updated Report on Climate		Mgmt	Yes	For	For		For	For	No	No	No
13	Authorize Board to Ratify ar Approved Resolutions	d Execute	Mgmt	Yes	For	For		For	For	No	No	No
allot Details												
nstitutional Account Deta IA Name, IA Number)	il Custodian Account Number	Ballot Status	Instructed	d	Appro	ved	Ballot Voting	Status	Votable S	hares	Shares	Voted
AT, 391692	391692	Confirmed	jbisset		jbisset				7,03	9	7,0	139
			03/18/2022	2	03/18/2	2022						
								Total Shares	. 7,03	9	7,0	39

#### **Meeting Results**

Outstanding Shares Voted: 150,000,000 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.4%	130,930,676	99.6%	150	0.0%	521,727	0.4%	Poll	F+A+AB
2	Υ	Pass	No	For	0.4%	130,930,676	99.6%	150	0.0%	521,727	0.4%	Poll	F+A+AB
3	Υ	Pass	No	For	0.0%	131,408,844	100.0%	237	0.0%	43,472	0.0%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
4	Υ	Pass	No	For	0.0%	131,392,894	100.0%	90	0.0%	59,569	0.0%	Poll	F+A+AB
5	Υ	Pass	No	For	1.0%	130,178,959	99.0%	478,263	0.4%	795,331	0.6%	Poll	F+A+AB
6	Υ	Pass	No	For	0.2%	131,213,550	99.8%	192,296	0.1%	46,697	0.0%	Poll	F+A+AB
7.1	Υ	Pass	No	For	5.9%	123,749,392	94.1%	7,642,768	5.8%	60,393	0.0%	Poll	F+A+AB
7.2	Υ	Pass	No	For	5.9%	123,742,387	94.1%	7,649,799	5.8%	60,367	0.0%	Poll	F+A+AB
7.3	Υ	Pass	No	For	17.5%	108,474,727	82.5%	22,934,138	17.4%	43,688	0.0%	Poll	F+A+AB
7.4	Υ	Pass	No	For	6.6%	122,731,672	93.4%	8,676,274	6.6%	44,607	0.0%	Poll	F+A+AB
8.1	Υ	Pass	No	For	0.0%	131,408,737	100.0%	81	0.0%	43,735	0.0%	Poll	F+A+AB
8.2	Υ	Pass	No	For	0.0%	131,408,697	100.0%	111	0.0%	43,745	0.0%	Poll	F+A+AB
8.3	Υ	Pass	No	For	0.0%	131,408,759	100.0%	100	0.0%	43,694	0.0%	Poll	F+A+AB
9	Υ	Pass	No	For	0.8%	130,349,454	99.2%	1,059,394	0.8%	43,705	0.0%	Poll	F+A+AB
10	Υ	Pass	No	For	1.2%	129,833,751	98.8%	1,575,233	1.2%	43,569	0.0%	Poll	F+A+AB
11	Υ	Pass	No	For	4.5%	125,578,361	95.5%	5,788,939	4.4%	85,253	0.1%	Poll	F+A+AB
12	Υ	Pass	No	For	5.6%	124,077,560	94.4%	3,833,306	2.9%	3,541,687	2.7%	Poll	F+A+AB
13	Υ	Pass	No	For	0.0%	131,408,641	100.0%	330	0.0%	43,582	0.0%	Poll	F+A+AB

Meeting Date: 03/31/2022 **Record Date:** 03/25/2022

Earliest Cutoff Date: 03/25/2022

Votable Shares: 954,167

**Primary Security ID:** E19790109

Country: Spain

Total Ballots: 1

\*Shares on Loan: 0

Meeting Type: Annual

Primary CUSIP: E19790109

Ticker: SAN

Voting Policy: ISS

Meeting ID: 1611611

Shares Instructed: 954,167

Primary ISIN: ES0113900J37

Proxy Level: N/A

Primary SEDOL: 5705946

**Additional Policy:** Shares Voted: 954,167

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.A		Approve Consolidated and Standalone Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
1.B		Approve Non-Financial Information Statement	Mgmt	Yes	For	For		For	For	No	No	No
1.C		Approve Discharge of Board	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Allocation of Income and Dividends	Mgmt	Yes	For	For		For	For	No	No	No
3.A		Fix Number of Directors at 15	Mgmt	Yes	For	For		For	For	No	No	No
3.B		Elect German de la Fuente as Director	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees. A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee. A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.

Blended Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees.A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee.A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence quideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3.C		Reelect Henrique de Castro as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 3.B, director nominees.A vote FOR Item 3.D is warn reelection of NI-NEDs Isasi and Rial under Iten guideline applicable to Spain-incorporated, non	ranted due to ans 3.F and 3.G	a lack of cond is warranted	cerns about d, as the bo	the CEO non ard meets the	ninee.A vote Fo e 50 percent in	OR the ndependence				
		Blended Rationale: A vote FOR Items 3.B, 3.C, nominees.A vote FOR Item 3.D is warranted do NI-NEDs Isasi and Rial under Items 3.F and 3.0 applicable to Spain-incorporated, non-controlle	ue to a lack of G is warranted,	concerns abo , as the boar	out the CEC rd meets the	O nominee.A v e 50 percent i	ote FOR the reindependence	eelection of	r			
3.D		Reelect Jose Antonio Alvarez as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 3.B, director nominees.A vote FOR Item 3.D is warn reelection of NI-NEDs Isasi and Rial under Iten guideline applicable to Spain-incorporated, non	ranted due to ans 3.F and 3.G	a lack of cond is warranted	cerns about d, as the bo	the CEO non ard meets the	ninee.A vote Fo e 50 percent in	OR the ndependence				
		Blended Rationale: A vote FOR Items 3.B, 3.C, nominees.A vote FOR Item 3.D is warranted do NI-NEDs Isasi and Rial under Items 3.F and 3.0 applicable to Spain-incorporated, non-controlle	ue to a lack of G is warranted,	concerns abo , as the boar	out the CEC od meets the	O nominee.A v e 50 percent i	ote FOR the reindependence	eelection of	r			
3.E		Reelect Belen Romana as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 3.B, director nominees.A vote FOR Item 3.D is warr reelection of NI-NEDs Isasi and Rial under Iten guideline applicable to Spain-incorporated, nor Blended Rationale: A vote FOR Items 3.B, 3.C,	ranted due to a ns 3.F and 3.G n-controlled con	a lack of cond is warranted mpanies and	cerns about d, as the bo there are r	the CEO non ard meets the no concerns a	ninee.A vote Fo e 50 percent in bout these nor	OR the odependence minees.				
		nominees.A vote FOR Item 3.D is warranted do NI-NEDs Isasi and Rial under Items 3.F and 3.0 applicable to Spain-incorporated, non-controlle	ue to a lack of G is warranted,	concerns abo , as the boar	out the CEC rd meets the	O nominee.A v e 50 percent i	ote FOR the reindependence	eelection of	,			
3.F		Reelect Luis Isasi as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 3.B, director nominees.A vote FOR Item 3.D is warr reelection of NI-NEDs Isasi and Rial under Item guideline applicable to Spain-incorporated, non	ranted due to a ns 3.F and 3.G	a lack of cond is warranted	cerns about d, as the bo	the CEO non ard meets the	ninee.A vote Fo e 50 percent in	OR the ndependence				
		Blended Rationale: A vote FOR Items 3.B, 3.C, nominees.A vote FOR Item 3.D is warranted do NI-NEDs Isasi and Rial under Items 3.F and 3.0 applicable to Spain-incorporated, non-controlle	ue to a lack of G is warranted,	concerns abo , as the boar	out the CEC od meets the	O nominee.A v e 50 percent i	ote FOR the reindependence	eelection of	r			
3.G		Reelect Sergio Rial as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 3.B, director nominees.A vote FOR Item 3.D is warr reelection of NI-NEDs Isasi and Rial under Item guideline applicable to Spain-incorporated, non	ranted due to ans 3.F and 3.G	a lack of cond is warranted	cerns about d, as the bo	the CEO non ard meets the	ninee.A vote Fo e 50 percent in	, OR the ndependence				
		Blended Rationale: A vote FOR Items 3.B, 3.C, nominees.A vote FOR Item 3.D is warranted du NI-NEDs Isasi and Rial under Items 3.F and 3.0 applicable to Spain-incorporated, non-controlle	ue to a lack of G is warranted,	concerns abo , as the boar	out the CEC od meets the	O nominee.A v e 50 percent i	ote FOR the reindependence	eelection of	r			
4		Ratify Appointment of PricewaterhouseCoopers as Auditor	Mgmt	Yes	For	For		For	For	No	No	No
5.A		Amend Articles Re: Form of Shares and Transfer of Shares	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item company bylaws with updated legal provisions,			,	amendments	s, most of whic	ch align the				
		Blended Rationale: A vote FOR these items is v company bylaws with updated legal provisions,	-		,	endments, mo	st of which ali	gn the				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5.B		Amend Article 16 Re: Capital Reduction	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,	,		,	amendments	s, most of whic	h align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,			-	ndments, mo.	st of which alig	gn the				
5.C		Amend Article 19 Re: Issuance of Other Securities	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,				amendments	s, most of whic	h align the				
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,				ndments, mo	st of which alig	gn the				
5.D		Amend Article 26 Re: Allow Shareholder Meetings to be Held in Virtual-Only Format	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,				amendments	s, most of whic	h align the				
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,			-	ndments, mo	st of which alig	gn the				
5.E		Amend Articles Re: Board Secretary and Presiding Committee of the General Shareholders' Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,				amendments	s, most of whic	h align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	-		•	ndments, mo.	st of which alig	gn the				
5.F		Amend Article 48 Re: Executive Chair	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			-	amendments	s, most of whic	h align the				
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,	,		,	ndments, mo.	st of which alig	gn the				
5.G		Amend Article 52 Re: Audit Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,				amendments	s, most of whic	h align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	-		•	ndments, mo.	st of which alig	gn the				
5.H		Amend Articles Re: Director Remuneration	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,	-		,	amendments	s, most of whic	h align the				
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,	-		•	ndments, mo	st of which alig	gn the				
5.I		Add Article 64 bis Re: Prior Authorization for the Payment of Dividends	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			-	amendments	s, most of whic	h align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,			-	ndments, mo.	st of which alig	gn the				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6.A		Amend Article 6 of General Meeting Regulations Re: Information Available as of the Date of the Call to Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,	-		•	amendments	s, most of whic	ch align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	-		•	ndments, mo	st of which ali	gn the				
6.B		Amend Article 13 of General Meeting Regulations Re: Presiding Committee of the General Shareholders' Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			-	amendments	s, most of whic	ch align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	-		,	ndments, mo	st of which ali	gn the				
6.C		Add New Article 15 bis and Amend Article 19 of General Meeting Regulations Re: Allow Shareholder Meetings to be Held in Virtual-Only Format	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,	-		•	amendments	s, most of whic	ch align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	-		•	ndments, mo	est of which ali	gn the				
6.D		Amend Article 17 of General Meeting Regulations Re: Presentations	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			-	amendments	s, most of whic	ch align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,			-	ndments, mo	st of which ali	gn the				
7.A		Authorize Increase in Capital up to 50 Percent via Issuance of Equity or Equity-Linked Securities, Excluding Preemptive Rights of up to 10 Percent	Mgmt	Yes	For	For		For	For	No	No	No
7.B		Approve Reduction in Share Capital via Amortization of Treasury Shares	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items improve the efficiency of the balance sheet, wh				-	o shareholders	and may				
		Blended Rationale: A vote FOR these items is we the efficiency of the balance sheet, which may				•	areholders and	may improv	re			
7.C		Approve Reduction in Share Capital via Amortization of Treasury Shares	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items improve the efficiency of the balance sheet, wh				-	o shareholders	and may				
		Blended Rationale: A vote FOR these items is we the efficiency of the balance sheet, which may				-	areholders and	may improv	re			
7.D		Approve Reduction in Share Capital via Amortization of Treasury Shares	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items improve the efficiency of the balance sheet, wh				-	o shareholders	and may				
		Blended Rationale: A vote FOR these items is we the efficiency of the balance sheet, which may				•	areholders and	may improv	re			

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

-	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8.A		Approve Remuneration	n Policy	Mgmt	Yes	For	For		For	For	No	No	No
		schemes may mitigate lower value than the ve stricter and clearer with currently.* The board industry standards. How total variable pay, whice	ch makes the pay mix in an.* The proposed polic	nce concerns, as  * The vesting no  * 40th percentile  ted metrics und  t the following  sufficiently long  ty, which maint	es any capita equirements e ranking vs der the long concerns:* g-term orien	ol gains from a under the ra 33 percent -term incent Long-term in ted.* Albeit	the exercise elative TSR of vesting for 32 ive scheme, ancentives only stricter, the I	of options sho omponent hav Brd percentile a aligning with it ov account for 3 relative TSR ve	ould result in the been made ranking, the practices w the percent of the percent of	a e vith			
		schemes may mitigate lower value than the ve stricter and clearer with currently.* The board industry standards. How total variable pay, whice allows pay below media	esting of share awards. h 50 percent vesting for has introduced ESG-rela	nce concerns, a * The vesting no • 40th percentile ted metrics und to the following sufficiently long ty, which maint	as any capita equirements e ranking vs der the long concerns:* g-term orien	al gains from under the r 33 percent term incent Long-term in ted.* Albeit	the exercise elative TSR of vesting for 32 ive scheme, a ncentives only stricter, the I	of options sho omponent hav Brd percentile a aligning with it ov account for 3 relative TSR ve	ould result in the been made ranking, ts practices w 186 percent of esting schedu	e vith			
8.B		Approve Remuneration	n of Directors	Mgmt	Yes	For	For		For	For	No	No	No
8.C		Fix Maximum Variable Ratio	Compensation	Mgmt	Yes	For	For		For	For	No	No	No
8.D		Approve Deferred Mult Variable Remuneration		Mgmt	Yes	For	For		For	For	No	No	No
		lower value than the ve stricter and clearer with currently.* The board industry standards.Hov	h 50 percent vesting for has introduced ESG-rela wever, this is not withou th makes the pay mix in ian.* The proposed polic	* The vesting re 40th percentile ted metrics und the following sufficiently long cy, which maint	equirements e ranking vs der the long concerns:* g-term orien	under the ra 33 percent -term incent Long-term in ted.* Albeit	elative TSR co vesting for 33 ive scheme, a ncentives only stricter, the r	omponent hav Brd percentile la Brigning with it Waccount for Breative TSR ver Brelative TSR ver	re been made ranking, is practices w 86 percent of esting schedu	e vith			
		Blended Rationale: A v. schemes may mitigate lower value than the v. stricter and clearer with currently.* The board lindustry standards. How total variable pay, which allows pay below medial.	ote FOR these items is some pay for performa esting of share awards. h 50 percent vesting for thas introduced ESG-rela	warranted beca nce concerns, a * The vesting n · 40th percentil ted metrics und t the following sufficiently long ry, which maint	as any capita equirements e ranking vs der the long concerns:* g-term orien	al gains from under the r 33 percent -term incent Long-term in ted.* Albeit	the exercise elative TSR of vesting for 32 ive scheme, ancentives only stricter, the i	of options sho omponent hav Brd percentile a aligning with it ov account for 3 relative TSR ve	ould result in the been made ranking, ts practices w 186 percent of esting schedu	e vith			
8.E		Approve Buy-out Police	у	Mgmt	Yes	For	For		For	For	No	No	No
8.F		Advisory Vote on Rem	uneration Report	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorize Board to Rat Approved Resolutions	tify and Execute	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detail	ls												
(IA Name, IA		Custodian Account Numbe	er Ballot Status	Instructed	ı	Approve	d	Ballot Voting	Status	Votable S	nares	Shares V	oted
CAT, 391692		391692	Confirmed	jbisset		jbisset				954,10	57	954,1	67
				03/17/2022	!	03/17/20	22				<u> </u>		
									Total Shares:	954,1	67	954,1	67

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.A	Y	Pass	No	For	3.0%	11,558,043,339	97.0%	31,109,277	0.3%	330,656,831	2.8%	Poll	F+A+AB
1.B	Y	Pass	No	For	3.0%	11,557,402,304	97.0%	33,945,091	0.3%	328,049,620	2.8%	Poll	F+A+AB
1.C	Υ	Pass	No	For	3.2%	11,530,333,893	96.7%	33,363,907	0.3%	354,800,552	3.0%	Poll	F+A+AB
2	Υ	Pass	No	For	3.1%	11,551,575,503	96.9%	38,878,626	0.3%	328,862,125	2.8%	Poll	F+A+AB
3.A	Υ	Pass	No	For	3.1%	11,542,845,514	96.9%	42,552,426	0.4%	331,986,147	2.8%	Poll	F+A+AB
3.B	Υ	Pass	No	For	3.2%	11,536,662,228	96.8%	45,816,716	0.4%	334,902,401	2.8%	Poll	F+A+AB
3.C	Υ	Pass	No	For	3.3%	11,526,418,503	96.7%	56,487,546	0.5%	334,287,941	2.8%	Poll	F+A+AB
3.D	Υ	Pass	No	For	3.2%	11,533,285,921	96.8%	48,259,358	0.4%	336,312,106	2.8%	Poll	F+A+AB
3.E	Y	Pass	No	For	3.3%	11,528,699,038	96.7%	55,441,146	0.5%	333,656,153	2.8%	Poll	F+A+AB
3.F	Υ	Pass	No	For	5.6%	11,243,887,306	94.3%	337,170,045	2.8%	336,593,959	2.8%	Poll	F+A+AB
3.G	Υ	Pass	No	For	4.4%	11,388,044,627	95.6%	194,966,417	1.6%	334,644,131	2.8%	Poll	F+A+AB
4	Υ	Pass	No	For	3.1%	11,546,391,486	96.9%	37,978,853	0.3%	334,980,575	2.8%	Poll	F+A+AB
5.A	Υ	Pass	No	For	3.2%	11,541,545,503	96.8%	42,875,978	0.4%	334,321,805	2.8%	Poll	F+A+AB
5.B	Υ	Pass	No	For	3.1%	11,548,704,255	96.9%	42,104,992	0.4%	328,621,270	2.8%	Poll	F+A+AB
5.C	Υ	Pass	No	For	3.2%	11,535,912,064	96.8%	45,064,698	0.4%	337,518,005	2.8%	Poll	F+A+AB
5.D	Υ	Pass	No	For	6.1%	11,192,832,422	93.9%	392,664,653	3.3%	333,022,256	2.8%	Poll	F+A+AB
5.E	Υ	Pass	No	For	3.1%	11,542,534,890	96.9%	39,176,752	0.3%	335,842,605	2.8%	Poll	F+A+AB
5.F	Υ	Pass	No	For	3.1%	11,541,842,214	96.8%	41,431,687	0.3%	334,534,130	2.8%	Poll	F+A+AB
5.G	Υ	Pass	No	For	3.1%	11,548,438,315	96.9%	36,036,370	0.3%	333,941,103	2.8%	Poll	F+A+AB
5.H	Υ	Pass	No	For	3.3%	11,527,964,756	96.7%	55,144,100	0.5%	334,858,069	2.8%	Poll	F+A+AB
5.I	Υ	Pass	No	For	3.1%	11,546,316,666	96.9%	43,158,104	0.4%	329,730,452	2.8%	Poll	F+A+AB
6.A	Υ	Pass	No	For	3.1%	11,551,571,515	96.9%	33,221,237	0.3%	334,020,110	2.8%	Poll	F+A+AB
6.B	Υ	Pass	No	For	3.1%	11,547,788,840	96.9%	35,166,816	0.3%	335,284,227	2.8%	Poll	F+A+AB
6.C	Υ	Pass	No	For	12.1%	10,469,563,436	87.8%	1,117,684,526	9.4%	331,481,834	2.8%	Poll	F+A+AB
6.D	Υ	Pass	No	For	4.2%	11,418,147,368	95.8%	164,143,627	1.4%	335,194,357	2.8%	Poll	F+A+AB
7.A	Υ	Pass	No	For	7.0%	11,081,155,863	93.0%	508,059,915	4.3%	331,416,718	2.8%	Poll	F+A+AB
7.B	Υ	Pass	No	For	3.1%	11,550,955,000	96.9%	43,228,415	0.4%	326,435,149	2.7%	Poll	F+A+AB
7.C	Υ	Pass	No	For	3.2%	11,543,604,192	96.8%	52,788,979	0.4%	324,044,566	2.7%	Poll	F+A+AB
7.D	Υ	Pass	No	For	3.1%	11,549,516,885	96.9%	47,043,364	0.4%	323,897,127	2.7%	Poll	F+A+AB
8.A	Υ	Pass	No	For	8.8%	10,867,348,146	91.2%	714,514,785	6.0%	337,312,738	2.8%	Poll	F+A+AB
8.B	Υ	Pass	No	For	4.6%	11,375,996,996	95.4%	212,126,194	1.8%	331,447,223	2.8%	Poll	F+A+AB
8.C	Υ	Pass	No	For	4.0%	11,373,506,994	96.0%	144,949,251	1.2%	331,070,577	2.8%	Poll	F+A+AB

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
8.D	Y	Pass	No	For	6.6%	11,133,341,098	93.4%	327,412,381	2.7%	458,317,435	3.8%	Poll	F+A+AB
8.E	Υ	Pass	No	For	4.2%	11,416,431,962	95.8%	156,400,219	1.3%	344,485,231	2.9%	Poll	F+A+AB
8.F	Υ	Pass	No	For	14.5%	10,193,385,775	85.5%	1,389,271,674	11.7%	336,389,901	2.8%	Poll	F+A+AB
9	Y	Pass	No	For	3.1%	11,554,161,776	96.9%	36,944,457	0.3%	328,619,423	2.8%	Poll	F+A+AB

#### **Telefonica SA**

Meeting Date: 04/07/2022

Country: Spain

Ticker: TEF

Proxy Level: N/A

**Record Date:** 04/01/2022

Meeting Type: Annual

Meeting ID: 1616104

Primary Security ID: 879382109

**Primary CUSIP:** 879382109

Primary ISIN: ES0178430E18

Primary SEDOL: 5732524

Earliest Cutoff Date: 04/01/2022 Votable Shares: 203,879

Total Ballots: 1 \*Shares on Loan: 0

Shares Instructed: 203,879

Voting Policy: ISS

**Additional Policy:** Shares Voted: 203,879

Votable Si	ilaies: 203,679	"Silates off Loan: 0			Silaies I	iisti ucteu: 203	3,079		Silares votet	1. 203,079		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Approve Consolidated and Standalone Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
1.2		Approve Non-Financial Information Statement	Mgmt	Yes	For	For		For	For	No	No	No
1.3		Approve Discharge of Board	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Allocation of Income	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these it and proposed dividend.	ems is warranted	d due to a la	ck of conce.	rns about the	proposed alloc	cation of inc	come			
		Blended Rationale: A vote FOR these items proposed dividend.	is warranted due	e to a lack of	concerns a	bout the prop	oosed allocation	n of income	and		_	
3		Renew Appointment of PricewaterhouseCoopers as Auditor	Mgmt	Yes	For	For		For	For	No	No	No
4.1		Reelect Jose Maria Abril Perez as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items a nominees. A vote FOR the reelection of exe Mancho under Items 4.1 and 4.4 is warrant Spain-incorporated, non-controlled compan- also meet the independence guidelines; and	cutive director Vied, as (i) the boaies; (ii) the comp	ila Boix unde ard meets the position of the	r Item 4.2 a e 50 percen e audit, and	and NI-NEDs . nt independen d remuneration	Abril-Perez and ace guideline ap	d De Paz oplicable to			-	
		Blended Rationale: A vote FOR Items 4.3 ar nominees. A vote FOR the reelection of exe Mancho under Items 4.1 and 4.4 is warrant Spain-incorporated, non-controlled compan- also meet the independence guidelines; and	cutive director Vi ed, as (i) the boa ies; (ii) the comp	ila Boix unde ard meets the position of the	r Item 4.2 a e 50 percer e audit, and	and NI-NEDs . nt independen d remuneratio	Abril-Perez and ace guideline ap	d De Paz oplicable to			-	
4.2		Reelect Angel Vila Boix as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Pationals, A vote FOR Items	1 2 and 4 5 ic wa	rranted due	to a lack of	concorne she	out the indepen	ndant direct	or		-	

Voting Policy Rationale: A vote FOR Items 4.3 and 4.5 is warranted due to a lack of concerns about the independent director nominees. A vote FOR the reelection of executive director Vila Boix under Item 4.2 and NI-NEDs Abril-Perez and De Paz Mancho under Items 4.1 and 4.4 is warranted, as (i) the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies; (ii) the composition of the audit, and remuneration and nomination committees also meet the independence guidelines; and (iii) women representation is above 30 percent.

Blended Rationale: A vote FOR Items 4.3 and 4.5 is warranted due to a lack of concerns about the independent director nominees. A vote FOR the reelection of executive director Vila Boix under Item 4.2 and NI-NEDs Abril-Perez and De Paz Mancho under Items 4.1 and 4.4 is warranted, as (i) the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies; (ii) the composition of the audit, and remuneration and nomination committees also meet the independence guidelines; and (iii) women representation is above 30 percent.

## **Telefonica SA**

I CICI							Additional	Vatina		Vata	Vote	Vata
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4.3		Reelect Maria Luisa Garcia Blanco as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 4.3 a nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii	ive director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 at 50 percent audit, and	nd NI-NEDs A independend remuneration	Abril-Perez and ce guideline ap	l De Paz oplicable to				
		Blended Rationale: A vote FOR Items 4.3 and 4 nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii.	ive director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 at 50 percent audit, and	nd NI-NEDs A independend remuneration	Abril-Perez and ce guideline ap	l De Paz oplicable to	es			
4.4		Reelect Francisco Javier de Paz Mancho as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 4.3 a nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii	ive director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 at 50 percent audit, and	nd NI-NEDs A independend remuneration	Abril-Perez and ce guideline ap	l De Paz oplicable to				
		Blended Rationale: A vote FOR Items 4.3 and 4 nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii.	ive director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 at 50 percent audit, and	nd NI-NEDs A independend remuneration	Abril-Perez and ce guideline ap	l De Paz oplicable to	es			
4.5		Ratify Appointment of and Elect Maria Rotondo Urcola as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 4.3 a nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii	ive director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 at 50 percent audit, and	nd NI-NEDs A independend remuneration	Abril-Perez and ce guideline ap	l De Paz oplicable to				
		Blended Rationale: A vote FOR Items 4.3 and 4 nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii.	ive director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 at 50 percent audit, and	nd NI-NEDs A independend remuneration	Abril-Perez and ce guideline ap	l De Paz oplicable to	es			
5		Fix Number of Directors at 15	Mgmt	Yes	For	For		For	For	No	No	No
6		Approve Reduction in Share Capital via Amortization of Treasury Shares	Mgmt	Yes	For	For		For	For	No	No	No
7.1		Approve Scrip Dividends	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items and proposed dividend.	s is warranted	due to a lac	k of concerr	ns about the p	proposed alloc	cation of inco.	me		_	
		Blended Rationale: A vote FOR these items is w proposed dividend.	varranted due	to a lack of o	concerns ab	out the propo	osed allocation	of income a	nd			
7.2		Approve Dividends Charged Against Unrestricted Reserves	Mgmt	Yes	For	For		For	For	No	No	No
8		Approve Share Matching Plan	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	Yes	For	For		For	For	No	No	No

#### **Telefonica SA**

	Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
_	10		Advisory Vote on Remuneration Report	Mgmt	Yes	For	Against		Against	Against	Yes	No	No

Voting Policy Rationale: A vote AGAINST this item is warranted because: \* Telefonica completed two significant transactions in 2021: the O2/Virgin joint-venture and Telxius' telecommunications towers division sale (see Material Company Updates section) for which the board rewarded both executive directors with one-off awards at maximum opportunity of 100 percent of salary. The board also considered Telefonica's outperforming the local index and sector peers in 2021. \* Telefonica is the sole European telco to have a remuneration policy provision allowing for the granting of one-off awards — Deutsche Telekom's supervisory board proposes to eliminate a similar clause at the company's 2022 AGM. \* Excluding this one-off award opportunity, the remuneration arrangements with management already are one of the most competitive among European peers. \* Without downplaying the significance of these two transactions, these one-off awards have further misaligned executive pay with company performance in comparison with peers, including 2021's 3.69x multiple of median, while management's pay package is one of the most competitive among European peers, and Telefonica has been an underperforming stock since incumbent chair/CEO took on his position in April 2016.

Blended Rationale: A vote AGAINST this item is warranted because: \* Telefonica completed two significant transactions in 2021: the O2/Virgin joint-venture and Telxius' telecommunications towers division sale (see Material Company Updates section) for which the board rewarded both executive directors with one-off awards at maximum opportunity of 100 percent of salary. The board also considered Telefonica's outperforming the local index and sector peers in 2021. \* Telefonica is the sole European telco to have a remuneration policy provision allowing for the granting of one-off awards — Deutsche Telekom's supervisory board proposes to eliminate a similar clause at the company's 2022 AGM. \* Excluding this one-off award opportunity, the remuneration arrangements with management already are one of the most competitive among European peers. \* Without downplaying the significance of these two transactions, these one-off awards have further misaligned executive pay with company performance in comparison with peers, including 2021's 3.69x multiple of median, while management's pay package is one of the most competitive among European peers, and Telefonica has been an underperforming stock since incumbent chair/CEO took on his position in April 2016.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		203,879	203,879
			03/28/2022	03/28/2022			
					Total Shares:	203,879	203,879

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	0.7%	3,213,114,539	99.3%	3,129,690	0.1%	18,167,899	0.6%	Poll	F+A+AB
1.2	Υ	Pass	No	For	0.6%	3,215,465,017	99.4%	2,308,311	0.1%	16,638,800	0.5%	Poll	F+A+AB
1.3	Υ	Pass	No	For	1.6%	3,183,708,495	98.4%	30,773,382	1.0%	19,930,251	0.6%	Poll	F+A+AB
2	Υ	Pass	No	For	1.0%	3,202,319,417	99.0%	15,540,639	0.5%	16,552,072	0.5%	Poll	F+A+AB
3	Υ	Pass	No	For	0.8%	3,207,048,660	99.2%	3,549,251	0.1%	23,814,217	0.7%	Poll	F+A+AB
4.1	Υ	Pass	No	For	3.9%	3,108,722,304	96.1%	80,259,670	2.5%	45,430,154	1.4%	Poll	F+A+AB
4.2	Υ	Pass	No	For	2.9%	3,141,120,768	97.1%	47,831,574	1.5%	45,459,786	1.4%	Poll	F+A+AB
4.3	Υ	Pass	No	For	11.2%	2,872,990,677	88.8%	339,287,842	10.5%	22,133,609	0.7%	Poll	F+A+AB
4.4	Υ	Pass	No	For	16.5%	2,699,492,991	83.5%	489,079,559	15.1%	45,839,578	1.4%	Poll	F+A+AB
4.5	Υ	Pass	No	For	2.2%	3,164,379,796	97.8%	24,672,481	0.8%	45,359,851	1.4%	Poll	F+A+AB
5	Y	Pass	No	For	0.9%	3,205,540,003	99.1%	10,119,834	0.3%	18,752,291	0.6%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
6	Υ	Pass	No	For	0.6%	3,214,300,166	99.4%	3,872,764	0.1%	16,239,198	0.5%	Poll	F+A+AB
7.1	Υ	Pass	No	For	1.0%	3,203,106,528	99.0%	20,503,619	0.6%	10,801,981	0.3%	Poll	F+A+AB
7.2	Υ	Pass	No	For	0.4%	3,220,618,644	99.6%	3,286,117	0.1%	10,507,367	0.3%	Poll	F+A+AB
8	Υ	Pass	No	For	1.1%	3,199,417,436	98.9%	16,529,836	0.5%	18,464,856	0.6%	Poll	F+A+AB
9	Υ	Pass	No	For	0.6%	3,214,302,257	99.4%	3,392,461	0.1%	16,717,410	0.5%	Poll	F+A+AB
10	Υ	Pass	No	For	46.7%	1,723,151,319	53.3%	1,387,366,467	42.9%	123,894,342	3.8%	Poll	F+A+AB

## **Royal KPN NV**

Meeting Date: 04/13/2022

Earliest Cutoff Date: 04/05/2022

Record Date: 03/16/2022 Primary Security ID: N4297B146 **Country:** Netherlands **Meeting Type:** Annual

Primary CUSIP: N4297B146

Total Ballots: 1
\*Shares on Loan: 0

Ticker: KPN

**Meeting ID:** 1596065

**Primary ISIN:** NL0000009082

Voting Policy: ISS

Shares Instructed: 390,098

Proxy Level: N/A

Primary SEDOL: 5956078

Additional Policy:

Votable Shares: 390,098		*Shares on Loan: 0	Shares In	structed: 390	,098		Shares Voted: 390,098					
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Annual Meeting Agenda	Mgmt	No								
1		Open Meeting and Announcements	Mgmt	No								
2		Receive Report of Management Board (Non-Voting)	Mgmt	No								
3		Adopt Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
5		Receive Explanation on Company's Reserves and Dividend Policy	Mgmt	No								
6		Approve Dividends	Mgmt	Yes	For	For		For	For	No	No	No
7		Approve Discharge of Management Board	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.											
		Blended Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.										
8		Approve Discharge of Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.										
	Blended Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.											
9		Ratify Ernst & Young Accountants LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
10		Opportunity to Make Recommendations	Mgmt	No								

# **Royal KPN NV**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11		Elect Kitty Koelemeijer to Su Board	pervisory	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vol exceeding four years;* The c known controversy concerning	andidates appear							s no		-	
		Blended Rationale: A vote FO four years;* The candidates a controversy concerning the co	appear to possess					,		_		-	
12		Elect Chantal Vergouw to Su Board	pervisory	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vot exceeding four years;* The c known controversy concerning	andidates appear							s no			
		Blended Rationale: A vote FO four years;* The candidates a controversy concerning the co	appear to possess					•		-			
13		Announce Vacancies on the I	Board	Mgmt	No								
14		Discussion of Supervisory Bo	ard Profile	Mgmt	No								
15		Authorize Repurchase of Up Percent of Issued Share Cap		Mgmt	Yes	For	For		For	For	No	No	No
16		Approve Reduction in Share through Cancellation of Share	•	Mgmt	Yes	For	For		For	For	No	No	No
17		Grant Board Authority to Issu Up to 10 Percent of Issued C		Mgmt	Yes	For	For		For	For	No	No	No
18		Authorize Board to Exclude F Rights from Share Issuances	•	Mgmt	Yes	For	For		For	For	No	No	No
19		Other Business (Non-Voting)		Mgmt	No								
20		Close Meeting		Mgmt	No								
Ballot Deta	nils												
Institutional (IA Name, IA	Account Detail A Number)	Custodian Account Number	Ballot Status	Instructe	d	Approv	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692		391692	Confirmed	jbisset		jbisset		Issuer Confirm	ied	390,0	98	390,	098

**Meeting Results** 

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	N												
2	N												
3	Y	Pass	No	For	0.0%	3,141,663,372	100.0%	19,393	0.0%	966,797	N/A	Poll	F+A
4	Υ	Pass	No	For	2.6%	3,021,301,717	97.4%	79,191,044	2.6%	42,156,553	N/A	Poll	F+A

03/28/2022

03/28/2022

Total Shares:

390,098

390,098

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
5	N												
6	Υ	Pass	No	For	0.2%	3,134,952,121	99.8%	7,573,628	0.2%	123,565	N/A	Poll	F+A
7	Y	Pass	No	For	3.2%	3,040,033,156	96.8%	100,329,588	3.2%	2,286,570	N/A	Poll	F+A
8	Υ	Pass	No	For	3.2%	3,037,780,994	96.8%	100,329,705	3.2%	4,538,615	N/A	Poll	F+A
9	Υ	Pass	No	For	0.2%	3,130,484,760	99.8%	5,576,787	0.2%	6,587,767	N/A	Poll	F+A
10	N												
11	Υ	Pass	No	For	2.3%	3,057,187,500	97.7%	72,759,704	2.3%	12,702,110	N/A	Poll	F+A
12	Υ	Pass	No	For	0.4%	3,118,547,820	99.6%	11,398,702	0.4%	12,702,792	N/A	Poll	F+A
13	N												
14	N												
15	Υ	Pass	No	For	0.2%	3,136,869,169	99.8%	4,975,847	0.2%	804,298	N/A	Poll	F+A
16	Υ	Pass	No	For	0.0%	3,142,013,113	100.0%	513,104	0.0%	123,097	N/A	Poll	F+A
17	Υ	Pass	No	For	1.2%	3,105,622,068	98.8%	36,890,723	1.2%	136,523	N/A	Poll	F+A
18	Υ	Pass	No	For	1.5%	3,094,749,763	98.5%	47,759,154	1.5%	140,397	N/A	Poll	F+A
19	N												
20	N												

# **Newmont Corporation**

Meeting Date: 04/21/2022 **Record Date:** 02/22/2022 Primary Security ID: 651639106 Country: USA Meeting Type: Annual

Ticker: NEM **Meeting ID:** 1616797 **Primary CUSIP:** 651639106

**Primary ISIN:** US6516391066

Primary SEDOL: 2636607

Earliest Cutoff Date: 04/20/2022 Votable Shares: 44 955

\*Shares on Loan: 0

**Total Ballots:** 1

Voting Policy: ISS Shares Instructed: 44,955 **Additional Policy:** Shares Voted: 44 055

Proxy Level: 3

Votable S	hares: 44,955	*Shares on Loan: 0			Shares I	nstructed: 44,	955		Shares Voted	<b>:</b> 44,955		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Patrick G. Awuah, Jr.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	rector nominees is	s warranted.								
		Blended Rationale: A vote FOR the director	r nominees is wai	rranted.								
1.2		Elect Director Gregory H. Boyce	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	rector nominees is	s warranted.							-	
		Blended Rationale: A vote FOR the director	r nominees is wai	rranted.							-	
1.3		Elect Director Bruce R. Brook	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	rector nominees is	s warranted.							-	
		Blended Rationale: A vote FOR the director	r nominees is wai	rranted.								
1.4		Elect Director Maura Clark	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	rector nominees is	s warranted.							-	
		Blended Rationale: A vote FOR the director	r nominees is wai	rranted.							-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Newmont Corporation**

Proposal Sign Number Vote	ificant	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Vote Against Mgmt	Against Policy	Vote Again ISS
1.5		Elect Director Emma FitzGera	ld	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	e FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FO	R the director no	ominees is war	ranted.								
1.6		Elect Director Mary A. Laschir	nger	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	e FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FO	R the director no	ominees is war	ranted.								
1.7		Elect Director Jose Manuel Ma	adero	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	e FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FO	R the director no	ominees is war	ranted.								
1.8		Elect Director Rene Medori		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	e FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FO	R the director no	ominees is wan	ranted.								
1.9		Elect Director Jane Nelson		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	e FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FO	R the director no	ominees is war	ranted.								
1.10		Elect Director Thomas Palmer	r	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	e FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FO	R the director no	ominees is wan	ranted.								
1.11		Elect Director Julio M. Quinta	na	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	e FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FO	R the director no	ominees is war	ranted.								
1.12		Elect Director Susan N. Story		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	e FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOI											
2		Advisory Vote to Ratify Name Executive Officers' Compensa		Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify Ernst & Young LLP as A	Auditors	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details													
Institutional Accou		Custodian Account Number	Ballot Status	Instructe	ed	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692		000391692	Confirmed	jbisset		jbisset				44,95	5	44,9	955
				04/13/202	2	04/13/20	)22						
									Total Shares	======================================	55	44,9	955

Additional Voting

-	 

Outstanding Shares Voted: 792,549,477 Results Available: Full

Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Туре	Base

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	0.2%	592,096,028	99.8%	1,424,621	0.2%	469,054	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	0.7%	589,483,457	99.3%	4,027,154	0.7%	479,092	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	7.2%	549,835,993	92.8%	42,840,764	7.2%	1,312,946	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	0.4%	590,905,783	99.6%	2,617,030	0.4%	466,890	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	0.2%	592,215,792	99.8%	1,318,049	0.2%	455,862	N/A	Proxy	F+A
1.6	Y	Pass	No	For	0.4%	590,917,906	99.6%	2,606,209	0.4%	465,588	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	0.2%	592,144,098	99.8%	1,372,496	0.2%	473,109	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	1.3%	585,721,607	98.7%	7,791,241	1.3%	476,855	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	1.2%	586,259,612	98.8%	7,274,012	1.2%	456,079	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	0.2%	592,270,080	99.8%	1,239,531	0.2%	480,092	N/A	Proxy	F+A
1.11	Y	Pass	No	For	2.3%	578,913,632	97.7%	13,916,305	2.3%	1,159,766	N/A	Proxy	F+A
1.12	Y	Pass	No	For	0.2%	592,216,015	99.8%	1,314,662	0.2%	459,026	N/A	Proxy	F+A
2	Y	Pass	No	For	7.5%	549,250,895	92.5%	43,518,911	7.3%	1,219,897	0.2%	Proxy	F+A+AB
3	Y	Pass	No	For	0.7%	638,414,009	99.3%	3,662,011	0.6%	616,665	0.1%	Proxy	F+A+AB

## **Grupo Aeroportuario del Centro Norte SAB de CV**

Meeting Date: 04/22/2022

Country: Mexico

Ticker: OMAB

Proxy Level: N/A

Record Date: 04/12/2022 Primary Security ID: P49530101 Meeting Type: Annual Primary CUSIP: P49530101 **Meeting ID:** 1631092

Primary ISIN: MX010M000018

Primary SEDOL: B1KFX13

Earliest Cutoff Date: 04/14/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 52,484

\*Shares on Loan: 0

Shares Instructed: 52,484

Shares Voted: 52,484

Votable S	hares: 52,484	*Shares on Loan: 0			Shares I	nstructed: 52,	484		Shares Voted	l: 52,484	Vote Against Policy	
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Against	Vote Against ISS
		Ordinary Business	Mgmt	No								
1		Present Board of Directors' Reports in Compliance with Article 28, Section IV (D and E) of Stock Market Law	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these ro 2021, and there are no independent auditor financial statements or audit procedures.										
		Blended Rationale: A vote FOR these routing and there are no independent auditor qualif statements or audit procedures.							•			
2		Present CEO and External Auditor Report in Compliance with Article 28, Section IV (B) of Stock Market Law	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these ro 2021, and there are no independent auditor financial statements or audit procedures.										

Blended Rationale: A vote FOR these routine proposals is warranted because: \* Audited financials are available for FY 2021, and there are no independent auditor qualifications; and \* There are no known concerns regarding the company's financial statements or audit procedures.

# **Grupo Aeroportuario del Centro Norte SAB de CV**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3	Present Board of Directors' Rep Accordance with Article 28, Sec (A and C) of Stock Market Law Including Tax Report		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote is 2021, and there are no independent financial statements or audit pro-	dent auditor qu										
	Blended Rationale: A vote FOR and there are no independent a statements or audit procedures.	uditor qualifica	•					-				
4	Approve Allocation of Income, I Increase, Set Aggregate Nomin Amount of Share Repurchase a Dividends of MXN 2.3 Billion	al	Mgmt	Yes	For	For		For	For	No	No	No
5	Discharge, Elect or Ratify Direct Elect or Ratify Chairmen of Aud Corporate Practices, Finance, P and Sustainability Committees; Approve their Remuneration	it,	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
6	Appoint Legal Representatives		Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692	391692	Confirmed	jbisset		jbisset				52,48	34	52,	184
			04/11/202	2	04/11/20	)22						
								Total Shares:	52,4	B4	52,4	184

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
5	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB

## **Vivendi SE**

Meeting Date: 04/25/2022

Country: France

Ticker: VIV

Proxy Level: N/A

**Record Date:** 04/21/2022

Meeting Type: Annual/Special

**Meeting ID:** 1621360

**Primary Security ID:** F97982106

Primary CUSIP: F97982106

Primary ISIN: FR0000127771

Primary SEDOL: 4834777

Earliest Cutoff Date: 04/19/2022

Total Ballots: 2

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 24,810

\*Shares on Loan: 0

Shares Instructed: 24,810

Shares Voted: 24,810

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary Business	Mgmt	No								
1		Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approvant lack of concerns.	ral of the annua	al accounts a	are warrante	ed due to the	unqualified au	ditors' opini	on			
		Blended Rationale: Votes FOR the approval of lack of concerns.	the annual acc	counts are w	arranted du	e to the unqu	ualified auditor	s' opinion ar	nd			
2		Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approvant lack of concerns.	ral of the annua	al accounts a	are warrante	ed due to the	unqualified au	ditors' opini	on			
		Blended Rationale: Votes FOR the approval of lack of concerns.	the annual acc	counts are w	arranted du	e to the unqu	ualified auditor	s' opinion ar	nd			
3		Approve Auditors' Special Report on Related-Party Transactions	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Allocation of Income and Dividends of EUR 0.25 per Share	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Compensation Report of Corporate Officers	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST the general remainstaining an employment contract a equivalent to management board members; a matter.	s advisor of the	e manageme	ent board ch	airman, inclu	ding a remune	ration packa	-			
		Blended Rationale: A vote AGAINST the globa maintaining an employment contract as adviss equivalent to management board members; a matter.	or of the manag	gement boar	d chairman,	including a r	remuneration p	package				
6		Approve Compensation of Yannick Bollore, Chairman of the Supervisory Board	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this of the group by ISS due to the level and structure management board members. His remuneration in his short-term variable remuneration as characteristic and * Despite not being granted per payment considered a replacement for the LT.	ture of his rem on report falls s airman and CE rformance shar	uneration, w short of good O of Havas is res for the ye	vhich are co d market pr s very limite ear under re	mparable to t actice becaus d; * One of t eview, Mr. Bol	those of Viveno e notably as: ? the one-off awo llore received a	di's * Information ards lacks an exception	n			
		Blended Rationale: A vote AGAINST this remu group by ISS due to the level and structure of members. His remuneration report falls short variable remuneration as chairman and CEO of Despite not being granted performance shares considered a replacement for the LTIP, the co	his remuneration of good market of Havas is very of for the year u	ion, which ai t practice bed limited; * O under review,	re comparal cause notal Ine of the ol . Mr. Bollore	ble to those only as: * Infor the off awards the received an	of Vivendi's ma mation on his a lacks rational exceptional pa	nagement b short-term e; and *				

Management Board

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Approve Compensation of Arnaud de	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Approve Compensation of Gilles Alix, Mgmt Yes For For For For No No No Management Board Member

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi:

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

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Member

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Approve Compensation of Cedric de Bailliencourt, Management Board	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Approve Compensation of Frederic Mgmt Yes For For For For No No No Crepin, Management Board Member

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi:

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

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Gillham, Management Board Member

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
11		Approve Compensation of Simon	Mgmt	Yes	For	For		For	For	No	No	No	_

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Approve Compensation of Herve Mgmt Yes For For For For No No No No Philippe, Management Board Member

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
13		Approve Compensation of Stephane Roussel, Management Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remun Gillham, Herve Philippe and Stephane Roussel at The level of details regarding the achievement of the financial performance targets raises quest advantageous for the corporate officers. The machievement improved, * The one-off awards re Bailliencourt) is warranted because: * The restanded of Vivendi, creates an important corporated ures nor likely to be balanced out by the in another listed group, the company failed to put the remuneration paid by Vivendi;	re warranted ( on some of the tions; and * Ti tions; and some tions; and some tions; and some tions ti	Items 7-8, 1 Items	0-13) althou al bonus crite muneration : * The trans oted in the p ives as execu neither prop eives at Vive	igh the follow eria is limited awarded in p sparency on oolicy. A vote utives of the operly tackled andi; and * G	wing concerns the level of the lotte of the L1 the bonus per AGAINST Itel Bollore Group by the compa iven his execu	are raised: a portion of stringency of strin	de S			
		Blended Rationale: Votes FOR these remuneration Gillham, Herve Philippe and Stephane Roussel at The level of details regarding the achievement of the financial performance targets raises quest advantageous for the corporate officers. The material achievement improved, * The one-off awards resultinencourty is warranted because: * The restarcholder of Vivendi, creates an important corporated ure so in likely to be balanced out by the in another listed group, the company failed to put the remuneration paid by Vivendi;	re warranted ( on some of the tions; and * Ti nin reasons for emain well belo emunerations nflict of interes remunerations	Titems 7-8, 1 I non-financia the one-off re is support are ow the cap we that he rece is that he rece is that he rece	0-13) althoual bonus crite muneration : * The trans oted in the p ives as execu- neither properives at Vives	igh the follow eria is limited awarded in p sparency on policy. A vote utives of the perly tackled andi; and * G	wing concerns th; * The level of place of the L1 the bonus per the AGAINST Itel Bollore Group by the compa	are raised: * of stringency TIP appears formance m 9 (Cedric o , main ny's	de s			
14		Approve Remuneration Policy of Supervisory Board Members and Chairman	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this ret the remuneration of the chairman of the superv. increase of the Chairman's remuneration with lin non-executive members of the supervisory board	isory board, w mited rationale	ho is also an	executive of	f a subsidiar	the lack of transy; * The propo erformance sha	sed 15%				
		Blended Rationale: A vote AGAINST this remune remuneration of the chairman of the supervisory of the Chairman's remuneration with limited rati members of the supervisory board.	board, who is	s also an exe	ecutive of a s	subsidiary; *	ck of transpare The proposed ce shares to no	15% increas				
15		Approve Remuneration Policy of Chairman of the Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the remune as it does not raise significant concerns. A vote warranted although the following concern is rais Bollore Group, main shareholder of Vivendi, creacompany's procedures The main reasons for supcompared to last year; and * The new LTIP stru	FOR the remul sed: * The rem ates an import oport are: * Th	neration poli nunerations t ant conflict c ne level of tra	cy of manag hat Mr. de B of interest, w nnsparency c	ement board Bailliencourt i Phich is not p In variable re	l members (ite receives as exe properly tackled remuneration it	em 16) is ecutives of th d by the	e			
		Blended Rationale: A vote FOR the remuneration does not raise significant concerns. A vote FOR although the following concern is raised: * The Group, main shareholder of Vivendi, creates an procedures The main reasons for support are: * to last year; and * The new LTIP structure exclusion.	the remunerat remunerations important conf The level of to	ion policy of that Mr. de flict of intere ransparency	managemer Bailliencourt st, which is i on variable i	nt board ment receives as not properly remuneration	nbers (item 16 executives of tackled by the	6) is warrante the Bollore company's	ed			

Position for Four and Deart Members   May 1	Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
as dicker not raise significant concerns. A vote IRVR the commencation policy of management band markers of the Bollium contraction in about 2. The remarkers that Mr. distillurization receives a executives of the Bollium Group, main state-hilder of Virentify, credition in impactant conflict of interest, which is not appearly tacked by the company's proceeding of the security of the Company's procedure from the main resources. The result is also injusted and conflict of interest, which is not appearly tacked by the company's company by procedure of the company of the Com	16			Mgmt	Yes	For	For		For	For	No	No	No
does not naise significant concerns. A vote FOR the renumeration policy of management beauth members (filters 16) is marranted attitudy the distinguish concerns in south of the distinguish of management per southers of the Bilbire Group, main shareholder of vinevals, centers an important conflict of sterees, which is not properly tacked by the company's procedures. The main reasons for soupport are: "The elevation of transpearing or management are surprised to less year," and "I the near LTD structure excludes compensative priests between cateria."  17 Realests Philippe Bernach as Negrit Yes For Against Against For No Yes Supervisory Board Member    Hooling Philips Pathachel A vote ACAMPST the nedection of Philippe Bernach is narranted because of several and important concerns regarding the prevention of conflicts of interests in the company (filters 17). Most FOR the (re)elections of these independent nominees are marranted in the absence of specific concerns (filters 18 21).    Benacket Cathini Lawson-Hell as Myrit Yes For For For For No No No Supervisory Board Member    Voting Philips Astronale A vote ACAMPST the redection of Philippe Bernach is varianted because of several and important concerns reparating the prevention of conflicts of interests in the company (filters 18 21).    Benacket Relaxation A vote ACAMPST the redection of Philippe Bernach is varianted because of several and important concerns reparating the prevention of conflicts of interests in the company (filters 17). Mosts FOR the (re)elections of these independent normnees are assembled in the absence of specific concerns (filters 18 21).    Benacket Relaxation A vote ACAMPST the redection of Philippe Bernach is varianted because of several and important concerns regarding the prevention of conflicts of interests in the company (filters 17). Wotes FOR the (re)elections of these independent normnees are assembled in the absence of specific concerns (filters 18 21).    Benacket Relaxation A vote ACAMPST the redection of Philippe Bernach is var			as it does not raise significant concerns. A vot warranted although the following concern is ra Bollore Group, main shareholder of Vivendi, cr company's procedures The main reasons for s	re FOR the remaised: * The re reates an import rupport are: * T	uneration po munerations rtant conflict The level of t	licy of man that Mr. de of interest, ransparenc	agement boai e Bailliencourt which is not y on variable	rd members (it receives as ex properly tacklo remuneration	tem 16) is xecutives of a ed by the	the			
Supervisory Board Member			does not raise significant concerns. A vote FOI although the following concern is raised: * The Group, main shareholder of Vivendi, creates a procedures The main reasons for support are:	R the remuneration or remuneration or important con or * The level of	ation policy on that Mr. de nflict of inter transparency	of managen e Baillienco rest, which y on variab	nent board me urt receives a is not properl le remuneration	embers (item i s executives of y tackled by th	16) is warran f the Bollore ne company's	ted 5			
concerns regarding the prevention of conflicts of interests in the company (tem 17.). Votes FOR the (re)elections of these independent concerns regarding the prevention of conflicts of interests in the company (tem 17.). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (tems 18-21).  18 Reelect Cathia Lavson-Hall as Mgmt Yes For For For For No No No Supervisory Roard Member  Voting Policy Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (tem 17.) Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (tems 18-21).  19 Reelect Richarde: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (tem 17.) Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (tems 18-21).  19 Reelect Richarde: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (tem 17.) Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (tems 18-21).  20 Reelect Richarde: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (tem 17.) Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (tems 18-21).  21 Reelect Katie Stanton as Supervisory Mgmt Yes For For For For For No No No No Board Member  Voting Policy Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (tem 17.) Vote	17		* *	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent namineses are warranted in the absence of specific concerns (Items 18-21).  Reelect Cathia Lawson-Hall as Mgmt Yes For For For For No No No Supervisory Board Member  Voting Policy Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent namineses are warranted in the absence of specific concerns (Items 18-21).  Benefalt Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent namineses are warranted in the absence of specific concerns (Items 18-21).  Reelect Michele Reiser as Supervisory Mgmt Yes For For For For No			concerns regarding the prevention of conflicts	of interests in	the company	v (item 17).	Votes FOR ti					-	
Supervisory Board Member  Voting Policy Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Blended Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Reelect Michele Reiser as Supervisory Mgmt Yes For For For For For No			regarding the prevention of conflicts of interes	sts in the comp	any (item 17	7). Votes FC		-					
concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (items 18-21).  Blended Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Page 19  Reelect Michele Reiser as Supervisory Mgmt Yes For For For For For No	18			Mgmt	Yes	For	For		For	For	No	No	No
regarding the prevention of conflicts of interests in the company (Item 17), Votes FOR the (re)elections of these independent nomlinees are warranted in the absence of specific concerns (Items 18-21).    Reelect Mitchele Reiser as Supervisory   Mgmt   Yes   For   For   For   For   For   No   No   No   No   No   No   No			concerns regarding the prevention of conflicts	of interests in	the company	v (item 17).	Votes FOR ti		-				
Board Member  Voting Policy Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (Item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Blended Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (Item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Reelect Katle Stanton as Supervisory Mgmt Yes For For For For For No			regarding the prevention of conflicts of interes	sts in the comp	any (item 17	7). Votes FC		-					
concerns regarding the prevention of conflicts of interests in the company (Item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Blended Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (Item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Reelect Katie Stanton as Supervisory Mgmt Yes For For For For For No	19			Mgmt	Yes	For	For		For	For	No	No	No
regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Reelect Katie Stanton as Supervisory Mgmt Yes For For For For No			concerns regarding the prevention of conflicts	of interests in	the company	v (item 17).	Votes FOR ti		-				
Board Member  Voting Policy Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Blended Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Reelect Maud Fontenoy as Supervisory Mgmt Yes For For For For No			regarding the prevention of conflicts of interes	sts in the comp	any (item 17	7). Votes FC		,					
concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Blended Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Reelect Maud Fontenoy as Supervisory Mgmt Yes For For For For No	20		• •	Mgmt	Yes	For	For		For	For	No	No	No
regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  21  Reelect Maud Fontenoy as Supervisory Mgmt Yes For For For For No No No Board Member  Voting Policy Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Blended Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  22  Authorize Repurchase of Up to 10 Mgmt Yes For For For For No No No No			concerns regarding the prevention of conflicts	of interests in	the company	v (item 17).	Votes FOR ti		•				
Board Member  Voting Policy Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Blended Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  22 Authorize Repurchase of Up to 10 Mgmt Yes For For For For No No No No			regarding the prevention of conflicts of interes	sts in the comp	any (item 17	7). Votes FC							
concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Blended Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  22 Authorize Repurchase of Up to 10 Mgmt Yes For For For For No No No No	21		, , ,	Mgmt	Yes	For	For		For	For	No	No	No
regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  22 Authorize Repurchase of Up to 10 Mgmt Yes For For For For No No No			concerns regarding the prevention of conflicts	of interests in	the company	v (item 17).	Votes FOR ti		-				
			regarding the prevention of conflicts of interes	sts in the comp	any (item 17	7). Votes FC						-	
	22			Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

-	ignificant ote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Extraordinary Business		Mgmt	No								
23		Authorize Decrease in Share Ca via Cancellation of Repurchased Shares	•	Mgmt	Yes	For	For		For	For	No	No	No
24		Authorize Specific Buyback Prog and Cancellation of Repurchase		Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote A buyback program is in sharehold	•	roposal is warr	anted becau	se the comp	pany failed to	demonstrate	that this				
		Blended Rationale: A vote AGAII program is in shareholders' inter		sal is warranted	d because th	ne company	failed to dem	nonstrate that	this buyback				
25		Authorize Capital Issuances for Employee Stock Purchase Plans		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes Fo	OR these items	are warranted	as the prop	osed author	rizations do n	ot raise conce	rns.				
		Blended Rationale: Votes FOR th	nese items are	warranted as t	he proposed	authorizatio	ons do not ra	ise concerns.					
26		Authorize Capital Issuances for Employee Stock Purchase Plans Employees of International Subsidiaries		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes Fo	OR these items	are warranted	as the prop	osed author	rizations do n	ot raise conce	rns.				
		Blended Rationale: Votes FOR these items are warranted as the proposed authorizations do not raise concerns.											
27		Authorize Filing of Required Documents/Other Formalities		Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>													
Institutional Acc (IA Name, IA Nu		etail Custodian Account Number Ballot Status Instructed Approved Ballot Voting Status Votable Shares										Shares \	/oted
CAT, 391692		391692	Confirmed	jbisset		jbisset				21,90	5	21,9	06

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		21,906	21,906
			04/11/2022	04/11/2022			
CAT, 391692	391692	Confirmed	jbisset	jbisset		2,904	2,904
			04/11/2022	04/11/2022			
					Total Shares:	24.810	24,810

## **Meeting Results**

Outstanding Shares Voted:

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.0%	767,205,447	100.0%	210,677	0.0%	42,896	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	767,176,965	100.0%	211,396	0.0%	44,721	N/A	Poll	F+A
3	Υ	Pass	No	For	1.4%	416,224,039	98.6%	5,869,930	1.4%	4,436,890	N/A	Poll	F+A
4	Υ	Pass	No	For	0.1%	766,483,196	99.9%	913,984	0.1%	23,841	N/A	Poll	F+A
5	Υ	Pass	No	For	41.0%	453,085,228	59.0%	314,260,047	41.0%	83,435	N/A	Poll	F+A
6	Υ	Pass	No	For	37.3%	481,010,383	62.7%	286,104,084	37.3%	312,355	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
7	Υ	Pass	No	For	22.0%	595,863,135	78.0%	167,888,789	22.0%	3,705,761	N/A	Poll	F+A
8	Υ	Pass	No	For	11.3%	677,337,642	88.7%	86,409,464	11.3%	3,712,316	N/A	Poll	F+A
9	Υ	Pass	No	For	33.3%	511,771,505	66.7%	255,361,197	33.3%	326,981	N/A	Poll	F+A
10	Υ	Pass	No	For	11.3%	680,757,951	88.7%	86,370,316	11.3%	327,196	N/A	Poll	F+A
11	Υ	Pass	No	For	11.3%	680,757,133	88.7%	86,375,303	11.3%	323,846	N/A	Poll	F+A
12	Υ	Pass	No	For	11.3%	680,723,138	88.7%	86,373,721	11.3%	359,482	N/A	Poll	F+A
13	Υ	Pass	No	For	11.3%	680,723,548	88.7%	86,372,561	11.3%	358,612	N/A	Poll	F+A
14	Υ	Pass	No	For	34.8%	499,785,352	65.2%	267,263,945	34.8%	406,259	N/A	Poll	F+A
15	Υ	Pass	No	For	2.8%	745,887,120	97.2%	21,213,504	2.8%	354,232	N/A	Poll	F+A
16	Υ	Pass	No	For	3.7%	738,543,819	96.3%	28,549,176	3.7%	361,348	N/A	Poll	F+A
17	Υ	Pass	No	For	33.9%	504,641,801	66.1%	258,316,902	33.9%	4,491,848	N/A	Poll	F+A
18	Υ	Pass	No	For	0.3%	758,747,346	99.7%	2,157,758	0.3%	6,547,865	N/A	Poll	F+A
19	Υ	Pass	No	For	0.3%	758,516,036	99.7%	2,382,668	0.3%	6,553,180	N/A	Poll	F+A
20	Υ	Pass	No	For	0.3%	758,764,554	99.7%	2,137,025	0.3%	6,549,354	N/A	Poll	F+A
21	Υ	Pass	No	For	1.3%	750,804,386	98.7%	10,106,762	1.3%	6,541,449	N/A	Poll	F+A
22	Υ	Pass	No	For	0.2%	765,493,338	99.8%	1,910,064	0.2%	46,361	N/A	Poll	F+A
	N												
23	Υ	Pass	No	For	0.1%	766,962,982	99.9%	424,344	0.1%	36,662	N/A	Poll	F+A
24	Υ	Pass	No	For	28.8%	542,885,120	71.2%	219,356,176	28.8%	5,181,621	N/A	Poll	F+A
25	Υ	Pass	No	For	0.4%	764,080,743	99.6%	3,277,035	0.4%	66,575	N/A	Poll	F+A
26	Υ	Pass	No	For	0.6%	763,128,297	99.4%	4,241,879	0.6%	50,421	N/A	Poll	F+A
27	Υ	Pass	No	For	0.0%	767,335,754	100.0%	51,088	0.0%	29,681	N/A	Poll	F+A

Ticker: CRFB3 Meeting Date: 04/26/2022 Country: Brazil Proxy Level: N/A **Record Date:** Meeting Type: Annual **Meeting ID:** 1626289

**Primary Security ID:** P0565P138 Primary CUSIP: P0565P138 Primary ISIN: BRCRFBACNOR2 Primary SEDOL: BF7LBH4

Earliest Cutoff Date: 04/15/2022 Total Ballots: 1 Voting Policy: ISS **Additional Policy:** Votable Shares: 139,044 \*Shares on Loan: 0 Shares Instructed: 139,044 Shares Voted: 139,044

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1		Accept Financial Statements and	Mgmt	Yes	For	For		For	For	No	No	No	

Statutory Reports for Fiscal Year

Ended Dec. 31, 2021

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Voting Policy Rationale: A vote FOR these rou are no independent auditor qualifications; and audit procedures.										
		Blended Rationale: A vote FOR these routine no independent auditor qualifications; and * audit procedures.							e			
2		Accept Management Statements for Fiscal Year Ended Dec. 31, 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these rou are no independent auditor qualifications; and audit procedures.										
		Blended Rationale: A vote FOR these routine no independent auditor qualifications; and * audit procedures.							e		-	
3		Approve Allocation of Income and Dividends	Mgmt	Yes	For	For		For	For	No	No	No
4		Fix Number of Directors at Ten	Mgmt	Yes	For	For		For	For	No	No	No
5		Do You Wish to Adopt Cumulative Voting for the Election of the Members of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law?	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of the election of directors under the terms of the election of directors under the terms of the election of directors under the election of the	of Article 141 of curities Regulat are warranted f	the Braziliar for (CVM), an for Items 5, a	n Corporate nd mandato 8, and 9.1-9	Law, in accor ny for all publ 19.10 in the ab	rdance with the licly-traded Bra sence of public	e rules of the zilian				
		Blended Rationale: Under these items, the co- election of directors under the terms of Article voting card issued by the Brazilian Securities ABSTAIN vote recommendations are warranted disclosed in a timely manner, regarding a cur	e 141 of the Bra Regulator (CVM ed for Items 5,	azilian Corpo 1), and mand 8, and 9.1-9	rate Law, in latory for al .10 in the a	n accordance i Il publicly-trad bsence of pub	with the rules of Hed Brazilian co	of the remot ompanies.				
6		Elect Directors	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this meet the expectations of institutional investor preventing shareholders from voting individual	rs; and * The co	ompany has			-					
		Blended Rationale: A vote AGAINST this item the expectations of institutional investors; and preventing shareholders from voting individual	d * The compai	ny has bundl					eet			
7		In Case There is Any Change to the Board Slate Composition, May Your Votes Still be Counted for the Proposed Slate?	Mgmt	Yes	None	Against		Against	Against	No	No	No
		If Voting FOR on Item 8, Votes Are Distributed in Equal % Amongst Nominees voted FOR. If You Vote AGST, Contact Your Client Service Rep to Unequally Allocate % of Votes. If You Vote ABST, You Will Not Participate in Cumulative Voting.	Mgmt	No								

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8		In Case Cumulative Voting Is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees below?	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of remote voting card issued by the Brazilian Secucompanies. ABSTAIN vote recommendations an information, disclosed in a timely manner, rega	Article 141 of urities Regulato re warranted fo	the Brazilian or (CVM), an or Items 5, 8	Corporate d mandator 3, and 9.1-9	Law, in accor ry for all publi 2.10 in the ab	rdance with the icly-traded Braz sence of public	rules of the rilian				
		Blended Rationale: Under these items, the come election of directors under the terms of Article voting card issued by the Brazilian Securities Real ABSTAIN vote recommendations are warranted disclosed in a timely manner, regarding a cumulations.	141 of the Bra egulator (CVM, I for Items 5, 8	zilian Corpor ), and mand 8, and 9.1-9.	rate Law, in atory for all 10 in the al	accordance v publicly-tradi bsence of pub	with the rules of Ted Brazilian col	of the remote of the remote				
9.1		Percentage of Votes to Be Assigned - Elect Matthieu Dominique Marie Malige as Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of remote voting card issued by the Brazilian Secucompanies. ABSTAIN vote recommendations an information, disclosed in a timely manner, rega	Article 141 of urities Regulato re warranted fo	the Brazilian or (CVM), an or Items 5, 8	Corporate d mandator 3, and 9.1-9	Law, in accor ry for all publi 0.10 in the abs	rdance with the icly-traded Braz sence of public	rules of the rilian				
		Blended Rationale: Under these items, the come lection of directors under the terms of Article voting card issued by the Brazilian Securities RABSTAIN vote recommendations are warranted disclosed in a timely manner, regarding a cumulations.	141 of the Bra egulator (CVM, I for Items 5, 8	zilian Corpor ), and mand 8, and 9.1-9.	rate Law, in atory for all 10 in the al	accordance v publicly-tradi bsence of pub	with the rules of Ted Brazilian col	of the remote of the remote				
9.2		Percentage of Votes to Be Assigned - Elect Edouard Balthazard Bertrand de Chavagnac as Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of remote voting card issued by the Brazilian Secucompanies. ABSTAIN vote recommendations ai information, disclosed in a timely manner, rega	Article 141 of urities Regulato re warranted fo	the Brazilian or (CVM), an or Items 5, 8	Corporate d mandator 3, and 9.1-9	Law, in accor ry for all publi 2.10 in the abs	rdance with the icly-traded Braz sence of public	rules of the rilian				
		Blended Rationale: Under these items, the com- election of directors under the terms of Article voting card issued by the Brazilian Securities Re ABSTAIN vote recommendations are warranted disclosed in a timely manner, regarding a cumul	141 of the Bra egulator (CVM, I for Items 5, 8	zilian Corpor ), and mand 8, and 9.1-9.	rate Law, in atory for all 10 in the al	accordance v publicly-tradi bsence of pub	with the rules of Ted Brazilian con	of the remote mpanies.				
9.3		Percentage of Votes to Be Assigned - Elect Stephane Samuel Maquaire as Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of remote voting card issued by the Brazilian Secu companies. ABSTAIN vote recommendations ar information, disclosed in a timely manner, rega	Article 141 of urities Regulato re warranted fo	the Brazilian or (CVM), an or Items 5, 8	Corporate d mandator 3, and 9.1-9	Law, in accor ry for all publi 0.10 in the abs	rdance with the icly-traded Braz sence of public	rules of the rilian				
		Blended Rationale: Under these items, the com- election of directors under the terms of Article voting card issued by the Brazilian Securities Re ABSTAIN vote recommendations are warranted disclosed in a timely manner, regarding a cumul	141 of the Bra egulator (CVM, I for Items 5, 8	zilian Corpor ), and mand 8, and 9.1-9.	rate Law, in atory for all 10 in the al	accordance v publicly-trad bsence of pub	with the rules of led Brazilian col	of the remote mpanies.				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9.4		Percentage of Votes to Be Assigned - Elect Claire Marie Du Payrat as Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of remote voting card issued by the Brazilian Secucompanies. ABSTAIN vote recommendations air information, disclosed in a timely manner, rega	Article 141 of urities Regulatore re warranted fo	the Brazilian or (CVM), an or Items 5, 8	Corporate d mandato 3, and 9.1-9	Law, in accor ry for all publi 9.10 in the abs	rdance with the icly-traded Bra sence of public	e rules of the zilian				
		Blended Rationale: Under these items, the com- election of directors under the terms of Article voting card issued by the Brazilian Securities R ABSTAIN vote recommendations are warranted disclosed in a timely manner, regarding a cumul	141 of the Bra egulator (CVM) I for Items 5, 8	zilian Corpor ), and mand 8, and 9.1-9.	rate Law, in atory for al 10 in the a	n accordance v Il publicly-trado bsence of pub	with the rules o led Brazilian co	of the remote impanies.				
9.5		Percentage of Votes to Be Assigned - Elect Jerome Alexis Louis Nanty as Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of remote voting card issued by the Brazilian Secucompanies. ABSTAIN vote recommendations air information, disclosed in a timely manner, regard	Article 141 of urities Regulatore re warranted fo	the Brazilian or (CVM), an or Items 5, 8	Corporate d mandato 3, and 9.1-9	Law, in accor ry for all publi 9.10 in the abs	rdance with the icly-traded Bra sence of public	e rules of the zilian				
9.6		Blended Rationale: Under these items, the com- election of directors under the terms of Article voting card issued by the Brazilian Securities R ABSTAIN vote recommendations are warranted disclosed in a timely manner, regarding a cumul	141 of the Bra egulator (CVM) I for Items 5, 8	zilian Corpor ), and mand 8, and 9.1-9.	rate Law, in atory for al 10 in the a	n accordance v Il publicly-trado bsence of pub	with the rules o led Brazilian co	of the remote impanies.				
		Percentage of Votes to Be Assigned - Elect Eduardo Pongracz Rossi as Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of remote voting card issued by the Brazilian Secucompanies. ABSTAIN vote recommendations ai information, disclosed in a timely manner, rega	Article 141 of urities Regulatore re warranted fo	the Brazilian or (CVM), an or Items 5, 8	Corporate d mandato 3, and 9.1-9	Law, in accor ry for all publi 9.10 in the abs	rdance with the icly-traded Bra sence of public	e rules of the zilian				
		Blended Rationale: Under these items, the com- election of directors under the terms of Article voting card issued by the Brazilian Securities Ra ABSTAIN vote recommendations are warranted disclosed in a timely manner, regarding a cumul	141 of the Bra egulator (CVM d for Items 5, 8	zilian Corpor ), and mand 8, and 9.1-9.	rate Law, in atory for al 10 in the a	n accordance v Il publicly-trado bsence of pub	with the rules of led Brazilian co	of the remote impanies.				
9.7		Percentage of Votes to Be Assigned - Elect Abilio dos Santos Diniz as Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of remote voting card issued by the Brazilian Secucompanies. ABSTAIN vote recommendations ai information, disclosed in a timely manner, rega	Article 141 of urities Regulatore re warranted fo	the Brazilian or (CVM), an or Items 5, 8	Corporate d mandato 3, and 9.1-9	Law, in accor ry for all publi 9.10 in the abs	rdance with the icly-traded Bra sence of public	e rules of the zilian				
		Blended Rationale: Under these items, the com- election of directors under the terms of Article voting card issued by the Brazilian Securities R ABSTAIN vote recommendations are warranted disclosed in a timely manner, regarding a cumul	141 of the Bra egulator (CVM) I for Items 5, 8	zilian Corpor ), and mand 8, and 9.1-9.	rate Law, in atory for all 10 in the a	n accordance v Il publicly-trado bsence of pub	with the rules of led Brazilian co	of the remote impanies.				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9.8		Percentage of Votes to Be Assigned - Elect Marc-Olivier Pierre Jean Francois Rochu as Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of remote voting card issued by the Brazilian Secompanies. ABSTAIN vote recommendations information, disclosed in a timely manner, reg	of Article 141 of curities Regulate are warranted fo	the Brazilian or (CVM), an or Items 5, 8	Corporate d mandato 3, and 9.1-	e Law, in accor ary for all publi 9.10 in the ab	rdance with the icly-traded Bra sence of public	e rules of the zilian				
		Blended Rationale: Under these items, the con- election of directors under the terms of Article voting card issued by the Brazilian Securities of ABSTAIN vote recommendations are warrante disclosed in a timely manner, regarding a cun	e 141 of the Bra Regulator (CVM) ed for Items 5, 8	nzilian Corpor 1), and mand 8, and 9.1-9.	rate Law, in atory for a 10 in the a	n accordance v Il publicly-trada absence of pub	with the rules of Ted Brazilian co	of the remote ompanies.			-	
9.9		Percentage of Votes to Be Assigned - Elect Luiz Fernando Vendramini Fleury as Independent Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of remote voting card issued by the Brazilian Secompanies. ABSTAIN vote recommendations of information, disclosed in a timely manner, reg	of Article 141 of curities Regulate are warranted f	the Brazilian or (CVM), an or Items 5, 8	Corporate d mandato 3, and 9.1-	E Law, in accor ory for all publi 9.10 in the ab	rdance with the icly-traded Bra sence of public	e rules of the zilian				
		Blended Rationale: Under these items, the con- election of directors under the terms of Article voting card issued by the Brazilian Securities of ABSTAIN vote recommendations are warranted disclosed in a timely manner, regarding a cum	e 141 of the Bra Regulator (CVM) ed for Items 5, 8	nzilian Corpor I), and mand 18, and 9.1-9.	rate Law, in atory for a 10 in the a	n accordance v Il publicly-trad absence of pub	with the rules of Ted Brazilian co	of the remote impanies.				
9.10		Percentage of Votes to Be Assigned - Elect Marcelo Pavao Lacerda as Independent Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of remote voting card issued by the Brazilian Secompanies. ABSTAIN vote recommendations of information, disclosed in a timely manner, reg	of Article 141 of curities Regulate are warranted f	the Brazilian or (CVM), an or Items 5, 8	Corporate d mandato 3, and 9.1-	E Law, in accor ory for all publi 9.10 in the ab	rdance with the icly-traded Bra sence of public	e rules of the zilian	,			
		Blended Rationale: Under these items, the con- election of directors under the terms of Article voting card issued by the Brazilian Securities of ABSTAIN vote recommendations are warranted disclosed in a timely manner, regarding a cum	e 141 of the Bra Regulator (CVM) ed for Items 5, 8	nzilian Corpor 1), and mand 8, and 9.1-9.	rate Law, in atory for a 10 in the a	n accordance v Il publicly-trada absence of pub	with the rules of Ted Brazilian co	of the remote impanies.				
10		As an Ordinary Shareholder, Would You like to Request a Separate Minority Election of a Member of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law?	Mgmt	Yes	None	Abstain		Abstain	For	No	Yes	Yes
11		Approve Classification of Marcelo Pavao Lacerda and Luiz Fernando Vendramini Fleury as Independent Directors	Mgmt	Yes	For	For		For	For	No	No	No
12		Approve Remuneration of Company's Management	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this compensation of its highest-paid administrato	•		_			for the total	1			
		Blended Rationale: A vote AGAINST this propo compensation of its highest-paid administrato	osal is warrante	ed because th	ne figure re	eported by the	company for	the total				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
13		Do You Wish to Request Installation of	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No	_

a Fiscal Council, Under the Terms of Article 161 of the Brazilian Corporate

Law?

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, CAT	391109	Confirmed	jbisset	jbisset		139,044	139,044
			04/11/2022	04/11/2022			
					Total Shares:	139,044	139,044

## **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

	uning bilares (					alto Available i i arac							
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	9.5%	1,677,873,125	90.5%	0	0.0%	176,932,943	9.5%	Poll	F+A+AB
2	Υ	Pass	No	For	9.5%	1,677,873,125	90.5%	0	0.0%	176,932,943	9.5%	Poll	F+A+AB
3	Υ	Pass	No	For	0.0%	1,854,806,068	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
4	Υ	Pass	No	For	0.0%	1,854,112,434	100.0%	340,800	0.0%	352,834	0.0%	Poll	F+A+AB
5	Υ	Fail	No	None	N/A	37,241,197	13.9%	89,010,343	33.1%	142,497,749	53.0%	Poll	F+A+AB
6	Υ	Pass	No	For	10.9%	1,652,218,220	89.1%	202,322,765	10.9%	265,083	0.0%	Poll	F+A+AB
7	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
	N												
8	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
9.1	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
9.2	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
9.3	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
9.4	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
9.5	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
9.6	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
9.7	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
9.8	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
9.9	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
9.10	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
10	Υ	Fail	No	None	N/A	17,328,027	8.0%	29,212,423	13.6%	168,896,838	78.4%	Poll	F+A+AB
11	Υ	Pass	No	For	0.0%	1,854,806,068	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
12	Υ	Pass	No	For	10.5%	1,660,759,493	89.5%	193,643,475	10.4%	403,100	0.0%	Poll	F+A+AB
13	Υ	Fail	No	None	N/A	143,144,621	53.3%	4,513,017	1.7%	121,091,651	45.1%	Poll	F+A+AB

Meeting Date: 04/26/2022

Country: Brazil

Ticker: CRFB3

Proxy Level: N/A

**Record Date:** 

Meeting Type: Extraordinary Shareholders

**Meeting ID:** 1626290

**Primary Security ID:** P0565P138

Primary CUSIP: P0565P138

Primary ISIN: BRCRFBACNOR2

Primary SEDOL: BF7LBH4

Earliest Cutoff Date: 04/15/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 139,044

\*Shares on Loan: 0

Shares Instructed: 139,044

Shares Voted: 139,044

							,			,		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Article 5 to Reflect Changes in Capital	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reproposed changes; and * The underlying incompany's authorized capital limit.	•								-	
		Blended Rationale: A vote FOR these requestions changes; and * The underlying increase in statcherized capital limit.				•			sed			
2		Consolidate Bylaws	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these re proposed changes; and * The underlying inc company's authorized capital limit.	•									
		Blended Rationale: A vote FOR these requestions changes; and * The underlying increase in statherized capital limit.				•			sed			

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, CAT	391109	Confirmed	jbisset	jbisset		139,044	139,044
			04/11/2022	04/11/2022			
					= Total Shares:	139,044	139,044

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	1,831,594,916	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
2	Υ	Pass	No	For	0.0%	1,831,594,916	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB

Meeting Date: 04/26/2022 Record Date: 04/22/2022 Country: France

Meeting Type: Annual/Special

Ticker: BN
Meeting ID: 1613099

Proxy Level: N/A

**Primary Security ID:** F12033134

Primary CUSIP: F12033134

**Primary ISIN:** FR0000120644

Primary SEDOL: B1Y9TB3

**Earliest Cutoff Date:** 04/20/2022 **Votable Shares:** 3,400

Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 3,400

Additional Policy: Shares Voted: 3,400

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary Business	Mgmt	No								
1		Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approve and lack of concerns.	al of the annua	al accounts a	re warrante	d due to the	unqualified au	ıditors' opinid	on			
		Blended Rationale: Votes FOR the approval of lack of concerns.	the annual acc	counts are w	arranted due	e to the unqu	ıalified auditor	s' opinion an	nd			
2		Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approve and lack of concerns.	al of the annua	al accounts a	re warrante	d due to the	unqualified au	ıditors' opinid	on			
		Blended Rationale: Votes FOR the approval of lack of concerns.	the annual acc	counts are w	arranted due	e to the unqu	ıalified auditor	s' opinion an	nd			
3		Approve Allocation of Income and Dividends of EUR 1.94 per Share	Mgmt	Yes	For	For		For	For	No	No	No
4		Ratify Appointment of Valerie Chapoulaud-Floquet as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns (warranted in the absence of concerns. (Item 5)	Items 4 and 6			-						
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).				-			in			
5		Elect Antoine de Saint-Afrique as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns (warranted in the absence of concerns. (Item 5,	Items 4 and 6			-						
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).				-			in			
6		Elect Patrice Louvet as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns ( warranted in the absence of concerns. (Item 5,	Items 4 and 6			-						
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).				-			in			
7		Elect Geraldine Picaud as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns ( warranted in the absence of concerns. (Item 5,	Items 4 and 6									
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).							in			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8		Elect Susan Roberts as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the rational warranted in the absence of specific concernwarranted in the absence of concerns. (Item	s (Items 4 and 6									
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).							in in			
9		Renew Appointment of Ernst & Young Audit as Auditor	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR are warra	nted because th	ere are no c	oncerns reg	garding these	proposals.					
		Blended Rationale: Votes FOR are warranted	because there a	are no conce	rns regardii	ng these prop	osals.					
10		Appoint Mazars & Associes as Auditor	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR are warra	nted because th	ere are no c	oncerns reg	garding these	proposals.					
		Blended Rationale: Votes FOR are warranted	because there a	are no conce	rns regardii	ng these prop	osals.					
11		Approve Transaction with Veronique Penchienati-Bosetta	Mgmt	Yes	For	For		For	For	No	No	No
12		Approve Compensation Report of Corporate Officers	Mgmt	Yes	For	For		For	For	No	No	No
13		Approve Compensation of Veronique Penchienati-Bosseta, CEO From March 14 to September 14, 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Compensations of Ve (Item 13) A vote FOR this remuneration repo			-			-	ns			

Voting Policy Rationale: Compensations of Veronique Penchienati-Bosseta, Interim CEO from March 14 until Sep. 14, 2021 (Item 13) A vote FOR this remuneration report is warranted because it does not raise any significant concern. Compensations of Shane Grant, Interim vice-CEO from March 14 until Sep. 14, 2021 (Item 14) A vote FOR this remuneration report is warranted, although the following concerns are raised: \* The base salary, the annual variable compensation and the LTI are allocated under the vice-CEO's employment so the company considers them as not submitted to shareholders' approval. \* The LTI grant in units does not provide the weighting of the attached performance criteria. The main reasons for support are: \* The good disclosure on the elements of remuneration granted under their mandate. \* The interim nature of the function, and \* The absence of any other significant concern.

Blended Rationale: Compensations of Veronique Penchienati-Bosseta, Interim CEO from March 14 until Sep. 14, 2021 (Item 13) A vote FOR this remuneration report is warranted because it does not raise any significant concern. Compensations of Shane Grant, Interim vice-CEO from March 14 until Sep. 14, 2021 (Item 14) A vote FOR this remuneration report is warranted, although the following concerns are raised: \* The base salary, the annual variable compensation and the LTI are allocated under the vice-CEO's employment so the company considers them as not submitted to shareholders' approval. \* The LTI grant in units does not provide the weighting of the attached performance criteria. The main reasons for support are: \* The good disclosure on the elements of remuneration granted under their mandate. \* The interim nature of the function, and \* The absence of any other significant concern.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
14		Approve Compensation of Shane Grant, Vice-CEO From March 14 to September 14, 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Compensations of V (Item 13) A vote FOR this remuneration rep of Shane Grant, Interim vice-CEO from Marc warranted, although the following concerns allocated under the vice-CEO's employment LTI grant in units does not provide the weig good disclosure on the elements of remuner absence of any other significant concern.	ort is warranted th 14 until Sep. 1 are raised: * Th so the company hting of the atta	because it d 14, 2021 (Ite e base salary considers th ched perform	oes not rais m 14) A voi r, the annua em as not s nance criter	e any signific te FOR this re al variable con submitted to s ia. The main	ant concern. Cemuneration rempensation and shareholders' a reasons for su	Compensation  Seport is  Seport is  Seport and  Seport are: *	re The * The		_	
		Blended Rationale: Compensations of Veron A vote FOR this remuneration report is warn Grant, Interim vice-CEO from March 14 unti- although the following concerns are raised: under the vice-CEO's employment so the co- in units does not provide the weighting of the disclosure on the elements of remuneration absence of any other significant concern.	anted because it I Sep. 14, 2021 ( * The base salar mpany considers ne attached perfo	does not rai Item 14) A v y, the annua them as not ormance crite	ise any sign vote FOR the of variable co t submitted eria. The ma	ificant concei is remunerati ompensation to sharehold ain reasons fo	rn. Compensat on report is wa and the LTI an ers' approval. or support are:	ions of Shai arranted, re allocated * The LTI good * The good	rant			
15		Approve Compensation of Antoine de Saint-Afrique, CEO Since 15 September 2021	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve Compensation of Gilles Schnepp, Chairman of the Board Since 14 March 2021	Mgmt	Yes	For	For		For	For	No	No	No
17		Approve Remuneration Policy of Executive Corporate Officers	Mgmt	Yes	For	For		For	For	No	No	No
18		Approve Remuneration Policy of Chairman of the Board	Mgmt	Yes	For	For		For	For	No	No	No
19		Approve Remuneration Policy of Directors	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No
		Extraordinary Business	Mgmt	No								
21		Authorize Capital Issuances for Use in Employee Stock Purchase Plans Reserved for Employees of International Subsidiaries	Mgmt	Yes	For	For		For	For	No	No	No
22		Authorize up to 0.5 Percent of Issued Capital for Use in Restricted Stock Plans with Performance Conditions Attached	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorize up to 0.2 Percent of Issued Capital for Use in Restricted Stock Plans	Mgmt	Yes	For	For		For	For	No	No	No
24		Amend Article 19.2 of Bylaws Re: Age Limit of CEO and Vice-CEO	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Items 24 CEO, deputy CEO and the chairman (Items . time, the proposal is in line with legal requir	24-25). * Even ti	hough directi	ors' shareho	olding would i	be reduced an					
		Blended Rationale: Votes FOR Items 24 to 2 deputy CEO and the chairman (Items 24-25, the proposal is in line with legal requiremen	). * Even though	directors' sl	nareholding	would be red	duced and adju		•		-	

\*Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

the proposal is in line with legal requirements and does not negatively impact shareholders (Item 26).

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
25		Amend Article 18.1 of Bylaws Re: Age Limit of Chairman of the Board	Mgmt	Yes	For	For		For	For	No	No	st Agains
		Voting Policy Rationale: Votes FOR Items 24 to CEO, deputy CEO and the chairman (Items 24 time, the proposal is in line with legal requirem	-25). * Even th	ough directo	ors' shareho	lding would b	be reduced and					
		Blended Rationale: Votes FOR Items 24 to 26 deputy CEO and the chairman (Items 24-25). the proposal is in line with legal requirements	* Even though	directors' sh	areholding	would be red	luced and adju					
26		Amend Article 17 of Bylaws Re: Shares Held by Directors	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Items 24 to CEO, deputy CEO and the chairman (Items 24 time, the proposal is in line with legal requirem	-25). * Even th	ough directo	ors' shareho	lding would b	be reduced and					
		Blended Rationale: Votes FOR Items 24 to 26 deputy CEO and the chairman (Items 24-25). the proposal is in line with legal requirements	* Even though	directors' sh	areholding	would be red	luced and adju					
27		Authorize Filing of Required Documents/Other Formalities	Mgmt	Yes	For	For		For	For	No	No	No
		Shareholder Proposals Submitted by Phitrust	Mgmt	No								
Α		Amend Article 18 of Bylaws: Role of Honorary Chairman	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR Item A is the role and the powers of the honorary chain specific duties towards the company and the s	man. They have						ÿ			
		Blended Rationale: A vote FOR Item A is warra role and the powers of the honorary chairman duties towards the company and the sharehold	. They have to		-							

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		3,400	3,400
			04/12/2022	04/12/2022			
					Total Shares:	3,400	3,400

#### **Meeting Results**

Outstanding Shares Voted: 663,177,179

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.0%	447,122,559	100.0%	27,866	0.0%	1,851,467	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	447,106,496	100.0%	28,206	0.0%	1,867,190	N/A	Poll	F+A
3	Υ	Pass	No	For	0.5%	446,539,129	99.5%	2,372,609	0.5%	90,154	N/A	Poll	F+A
4	Υ	Pass	No	For	0.2%	447,930,419	99.8%	984,203	0.2%	87,270	N/A	Poll	F+A
5	Υ	Pass	No	For	6.0%	421,867,296	94.0%	26,852,733	6.0%	281,863	N/A	Poll	F+A
6	Υ	Pass	No	For	0.2%	447,835,749	99.8%	1,064,201	0.2%	101,942	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
7	Υ	Pass	No	For	24.9%	336,571,630	75.1%	111,811,191	24.9%	619,071	N/A	Poll	F+A
8	Υ	Pass	No	For	0.1%	448,418,755	99.9%	496,750	0.1%	86,387	N/A	Poll	F+A
9	Υ	Pass	No	For	3.1%	435,210,664	96.9%	13,703,938	3.1%	87,290	N/A	Poll	F+A
10	Υ	Pass	No	For	0.1%	448,379,756	99.9%	531,048	0.1%	91,088	N/A	Poll	F+A
11	Υ	Pass	No	For	0.0%	448,121,266	100.0%	116,993	0.0%	746,740	N/A	Poll	F+A
12	Υ	Pass	No	For	3.4%	415,120,237	96.6%	14,743,884	3.4%	19,137,771	N/A	Poll	F+A
13	Υ	Pass	No	For	3.8%	431,501,726	96.2%	17,174,279	3.8%	325,887	N/A	Poll	F+A
14	Υ	Pass	No	For	2.9%	435,800,825	97.1%	12,822,354	2.9%	378,713	N/A	Poll	F+A
15	Υ	Pass	No	For	5.4%	424,266,734	94.6%	24,281,413	5.4%	453,745	N/A	Poll	F+A
16	Υ	Pass	No	For	0.1%	429,988,418	99.9%	404,795	0.1%	18,608,679	N/A	Poll	F+A
17	Υ	Pass	No	For	13.6%	368,249,791	86.4%	58,055,061	13.6%	22,697,040	N/A	Poll	F+A
18	Υ	Pass	No	For	0.7%	427,365,769	99.3%	3,006,130	0.7%	18,629,993	N/A	Poll	F+A
19	Υ	Pass	No	For	0.1%	446,786,781	99.9%	571,882	0.1%	1,643,229	N/A	Poll	F+A
20	Υ	Pass	No	For	5.4%	424,392,954	94.6%	24,126,255	5.4%	482,683	N/A	Poll	F+A
	N												
21	Υ	Pass	No	For	1.0%	444,484,394	99.0%	4,371,804	1.0%	94,313	N/A	Poll	F+A
22	Υ	Pass	No	For	6.9%	417,778,915	93.1%	31,041,868	6.9%	129,728	N/A	Poll	F+A
23	Υ	Pass	No	For	5.5%	423,658,540	94.5%	24,602,288	5.5%	689,683	N/A	Poll	F+A
24	Υ	Pass	No	For	2.1%	439,368,018	97.9%	9,453,182	2.1%	129,311	N/A	Poll	F+A
25	Υ	Pass	No	For	0.7%	445,704,442	99.3%	3,129,187	0.7%	116,882	N/A	Poll	F+A
26	Υ	Pass	No	For	1.0%	444,100,190	99.0%	4,383,987	1.0%	466,334	N/A	Poll	F+A
27	Υ	Pass	No	For	0.0%	448,871,302	100.0%	40,013	0.0%	90,577	N/A	Poll	F+A
	N												
Α	Υ	Fail	No	Against	59.3%	258,799,793	59.3%	177,370,368	40.7%	12,064,145	N/A	Poll	F+A

Meeting Date: 04/26/2022 Country: Brazil Ticker: VIVT3 Proxy Level: N/A **Record Date:** 03/24/2022 **Meeting ID:** 1630376 Meeting Type: Annual/Special **Primary Security ID:** P9T369176 Primary CUSIP: P9T369176 Primary ISIN: BRVIVTACNOR0 Primary SEDOL: B6XFBX3 Earliest Cutoff Date: 04/14/2022 Total Ballots: 1 Voting Policy: ISS **Additional Policy:** Votable Shares: 62,871 \*Shares on Loan: 0Shares Instructed: 62,871 Shares Voted: 62,871 Additional Voting Vote Vote

Proposal Significant Votable Mgmt Policy Policy Against Against Against Number Proposal Text Proponent Proposal Rec ISS Rec Rec Instruction Mgmt Policy ISS Vote Rec

Meeting for ADR Holders Mgmt No
Ordinary Shareholders' Meeting Mgmt No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2021	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Allocation of Income and Dividends	Mgmt	Yes	For	For		For	For	No	No	No
3		Fix Number of Directors at 12	Mgmt	Yes	For	For		For	For	No	No	No
4.1		Elect Eduardo Navarro de Carvalho as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct of independent members.	tors is warrant	ted given tha	at the propos	sed board co	ntains a reaso	nable numbe	er			
		Blended Rationale: A vote FOR these directors independent members.	is warranted g	iven that the	e proposed b	oard contain	ns a reasonable	e number of				
4.2		Elect Francisco Javier de Paz Mancho as Independent Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct of independent members.	tors is warrant	ted given tha	at the propos	sed board co	ntains a reaso	nable numbe	er			
		Blended Rationale: A vote FOR these directors in independent members.	is warranted g	iven that the	e proposed b	oard contain	s a reasonable	e number of				
4.3		Elect Ana Theresa Masetti Borsari as Independent Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct of independent members.	tors is warrant	ted given tha	at the propos	sed board co	ntains a reaso	nable numbe	er			
		Blended Rationale: A vote FOR these directors independent members.	is warranted g	iven that the	e proposed b	oard contain	is a reasonable	e number of				
4.4		Elect Jose Maria Del Rey Osorio as Independent Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct of independent members.	tors is warrant	ted given tha	at the propos	sed board co	ntains a reaso	nable numbe	er			
		Blended Rationale: A vote FOR these directors independent members.	is warranted g	iven that the	e proposed b	oard contain	is a reasonable	e number of				
4.5		Elect Christian Mauad Gebara as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct of independent members.	tors is warrant	ted given tha	at the propos	sed board co	ntains a reaso.	nable numbe	er			
		Blended Rationale: A vote FOR these directors independent members.	is warranted g	iven that the	e proposed b	oard contain	is a reasonable	e number of				
4.6		Elect Claudia Maria Costin as Independent Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct of independent members.	tors is warrant	ted given tha	at the propos	sed board co	ntains a reaso	nable numbe	er			
		Blended Rationale: A vote FOR these directors is independent members.	is warranted g	iven that the	e proposed b	oard contain	ns a reasonable	e number of				
4.7		Elect Juan Carlos Ros Brugueras as Independent Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct of independent members.	tors is warrant	ted given tha	at the propos	sed board co	ntains a reaso	nable numbe	er			
		Blended Rationale: A vote FOR these directors in independent members.	is warranted g	iven that the	e proposed b	ooard contain	ns a reasonable	number of				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4.8		Elect Jordi Gual Sole as Independent Director	Mgmt	Yes	For	For		For	For	No	No	Against
		Voting Policy Rationale: A vote FOR these direct of independent members.	tors is warran	ted given tha	at the propo	sed board co	ontains a reaso	nable numbe	er			
		Blended Rationale: A vote FOR these directors independent members.	is warranted g	iven that the	e proposed l	board contain	ns a reasonable	e number of				
4.9		Elect Ignacio Moreno Martinez as Independent Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct of independent members.	tors is warran	ted given tha	at the propo	sed board co	ontains a reaso	nable numbe	er			
		Blended Rationale: A vote FOR these directors independent members.	is warranted g	iven that the	e proposed l	board contair	ns a reasonable	e number of				
4.10		Elect Alfredo Arahuetes Garcia as Independent Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct of independent members.	tors is warran	ted given tha	at the propo	sed board co	ontains a reaso	nable numbe	er			
		Blended Rationale: A vote FOR these directors independent members.	is warranted g	iven that the	e proposed L	board contain	ns a reasonable	e number of				
4.11		Elect Andrea Capelo Pinheiro as Independent Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct of independent members.	tors is warran	ted given tha	at the propo	sed board co	ontains a reaso	nable numbe	er			
		Blended Rationale: A vote FOR these directors independent members.	is warranted g	iiven that the	e proposed l	board contain	ns a reasonable	e number of				
4.12		Elect Solange Sobral Targa as Independent Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct of independent members.	tors is warran	ted given tha	at the propo	sed board co	ontains a reaso	nable numbe	er			
		Blended Rationale: A vote FOR these directors independent members.	is warranted g	iiven that the	e proposed l	board contain	ns a reasonable	e number of				
		If Voting FOR on Item 5, Votes Are Distributed in Equal % Amongst Nominees voted FOR. If You Vote AGST, Contact Your Client Service Rep to Unequally Allocate % of Votes. If You Vote ABST, You Will Not Participate in Cumulative Voting.	Mgmt	No								
5		In Case Cumulative Voting Is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees below?	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of Brazilian companies. ABSTAIN vote recommend publicly-available information, disclosed in a tin	Article 141 of dations are wa	the Brazilian rranted for I	Corporate litems 5, 6.1	Law and man -6.12, and 7	ndatory for all pin the absence	publicly-trade of	ed			
		Blended Rationale: Under these items, the comelection of directors under the terms of Article Brazilian companies. ABSTAIN vote recommend publicly-available information, disclosed in a tin	141 of the Bra dations are wa	zilian Corpor rranted for I	rate Law and Items 5, 6.1	d mandatory -6.12, and 7	for all publicly in the absence	-traded of				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6.1		Percentage of Votes to Be Assigned - Elect Eduardo Navarro de Carvalho as Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of Brazilian companies. ABSTAIN vote recommence publicly-available information, disclosed in a tin	Article 141 of dations are wa	the Brazilian rranted for 1	Corporate Tems 5, 6.1	Law and man 1-6.12, and 7	ndatory for all p in the absence	publicly-trade of	ed			
		Blended Rationale: Under these items, the con election of directors under the terms of Article Brazilian companies. ABSTAIN vote recommen publicly-available information, disclosed in a tin	141 of the Bra dations are wa	nzilian Corpoi erranted for 1	rate Law ar Items 5, 6.1	nd mandatory 1-6.12, and 7	for all publicly in the absence	-traded of				
6.2		Percentage of Votes to Be Assigned - Elect Francisco Javier de Paz Mancho as Independent Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of Brazilian companies. ABSTAIN vote recommend publicly-available information, disclosed in a time	Article 141 of dations are wa	the Brazilian rranted for 1	Corporate Stems 5, 6.1	Law and man 1-6.12, and 7	ndatory for all pin the absence	publicly-trade of	ed			
		Blended Rationale: Under these items, the con election of directors under the terms of Article Brazilian companies. ABSTAIN vote recommend publicly-available information, disclosed in a tin	141 of the Bra dations are wa	nzilian Corpoi erranted for 1	rate Law ar Items 5, 6.1	nd mandatory 1-6.12, and 7	for all publicly in the absence	-traded of				
6.3		Percentage of Votes to Be Assigned - Elect Ana Theresa Masetti Borsari as Independent Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of Brazilian companies. ABSTAIN vote recommence publicly-available information, disclosed in a tin	Article 141 of dations are wa	the Brazilian rranted for 1	Corporate tems 5, 6.1	Law and man 1-6.12, and 7	ndatory for all pin the absence	publicly-trade of	ed			
		Blended Rationale: Under these items, the con- election of directors under the terms of Article Brazilian companies. ABSTAIN vote recommence publicly-available information, disclosed in a tin	141 of the Bra dations are wa	nzilian Corpoi erranted for 1	rate Law ar tems 5, 6.1	nd mandatory 1-6.12, and 7	for all publicly in the absence	-traded of				
6.4		Percentage of Votes to Be Assigned - Elect Jose Maria Del Rey Osorio as Independent Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of Brazilian companies. ABSTAIN vote recomment publicly-available information, disclosed in a tin	Article 141 of dations are wa	the Brazilian rranted for 1	Corporate Tems 5, 6.1	Law and man 1-6.12, and 7	ndatory for all pin the absence	publicly-trade of	ed			
		Blended Rationale: Under these items, the con election of directors under the terms of Article Brazilian companies. ABSTAIN vote recommend publicly-available information, disclosed in a tin	141 of the Bra dations are wa	nzilian Corpoi erranted for 1	rate Law ar tems 5, 6.1	nd mandatory 1-6.12, and 7	for all publicly in the absence	-traded of				
6.5		Percentage of Votes to Be Assigned - Elect Christian Mauad Gebara as Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of Brazilian companies. ABSTAIN vote recomment publicly-available information, disclosed in a time.	Article 141 of dations are wa	the Brazilian rranted for 1	Corporate tems 5, 6.1	Law and man 1-6.12, and 7	ndatory for all pin the absence	publicly-trade of	ed			
		Blended Rationale: Under these items, the come lection of directors under the terms of Article Brazilian companies. ABSTAIN vote recommental publicly-available information, disclosed in a time.	141 of the Bra dations are wa	nzilian Corpoi erranted for 1	rate Law ar tems 5, 6.1	nd mandatory 1-6.12, and 7	for all publicly in the absence	-traded of				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6.6		Percentage of Votes to Be Assigned - Elect Claudia Maria Costin as Independent Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of Brazilian companies. ABSTAIN vote recommence publicly-available information, disclosed in a tin	Article 141 of dations are wa	the Brazilian rranted for 1	Corporate Tems 5, 6.1	Law and man 1-6.12, and 7	ndatory for all p in the absence	publicly-trade of	ed			
		Blended Rationale: Under these items, the con- election of directors under the terms of Article Brazilian companies. ABSTAIN vote recommen publicly-available information, disclosed in a tin	141 of the Bra dations are wa	nzilian Corpoi erranted for 1	rate Law ar Items 5, 6.1	nd mandatory 1-6.12, and 7	for all publicly in the absence	-traded of				
6.7		Percentage of Votes to Be Assigned - Elect Juan Carlos Ros Brugueras as Independent Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of Brazilian companies. ABSTAIN vote recomment publicly-available information, disclosed in a tire	Article 141 of dations are wa	the Brazilian rranted for 1	Corporate Stems 5, 6.1	Law and man 1-6.12, and 7	ndatory for all pin the absence	publicly-trade of	ed			
		Blended Rationale: Under these items, the con election of directors under the terms of Article Brazilian companies. ABSTAIN vote recommend publicly-available information, disclosed in a tin	141 of the Bra dations are wa	nzilian Corpoi erranted for 1	rate Law ar tems 5, 6.1	nd mandatory 1-6.12, and 7	for all publicly in the absence	-traded of				
6.8		Percentage of Votes to Be Assigned - Elect Jordi Gual Sole as Independent Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of Brazilian companies. ABSTAIN vote recommend publicly-available information, disclosed in a time.	Article 141 of dations are wa	the Brazilian rranted for 1	Corporate tems 5, 6.1	Law and man 1-6.12, and 7	ndatory for all pin the absence	publicly-trade of	ed			
		Blended Rationale: Under these items, the con election of directors under the terms of Article Brazilian companies. ABSTAIN vote recommen publicly-available information, disclosed in a tin	141 of the Bra dations are wa	nzilian Corpoi erranted for 1	rate Law ar tems 5, 6.1	nd mandatory 1-6.12, and 7	for all publicly in the absence	-traded of				
6.9		Percentage of Votes to Be Assigned - Elect Ignacio Moreno Martinez as Independent Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of Brazilian companies. ABSTAIN vote recomment publicly-available information, disclosed in a tin	Article 141 of dations are wa	the Brazilian rranted for 1	Corporate Tems 5, 6.1	Law and man 1-6.12, and 7	ndatory for all pin the absence	publicly-trade of	ed			
		Blended Rationale: Under these items, the con- election of directors under the terms of Article Brazilian companies. ABSTAIN vote recomment publicly-available information, disclosed in a tin	141 of the Bra dations are wa	nzilian Corpoi erranted for 1	rate Law ar Items 5, 6.1	nd mandatory 1-6.12, and 7	for all publicly in the absence	-traded of				
6.10		Percentage of Votes to Be Assigned - Elect Alfredo Arahuetes Garcia as Independent Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of Brazilian companies. ABSTAIN vote recommen publicly-available information, disclosed in a tin	Article 141 of dations are wa	the Brazilian rranted for 1	Corporate tems 5, 6.1	Law and man 1-6.12, and 7	ndatory for all pin the absence	publicly-trade of	ed			
		Blended Rationale: Under these items, the comelection of directors under the terms of Article Brazilian companies. ABSTAIN vote recommence publicly-available information, disclosed in a time.	141 of the Bra dations are wa	nzilian Corpoi erranted for 1	rate Law ar tems 5, 6.1	nd mandatory 1-6.12, and 7	for all publicly in the absence	-traded of				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6.11		Percentage of Votes to Be Assigned - Elect Andrea Capelo Pinheiro as Independent Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of Brazilian companies. ABSTAIN vote recommen publicly-available information, disclosed in a til	f Article 141 of dations are wa	f the Braziliar arranted for I	n Corporate Items 5, 6.1	Law and mail 1-6.12, and 7	ndatory for all in the absence	publicly-trad e of	led .			
		Blended Rationale: Under these items, the con election of directors under the terms of Article Brazilian companies. ABSTAIN vote recommen publicly-available information, disclosed in a til	141 of the Bra dations are wa	azilian Corpol arranted for I	rate Law ar Items 5, 6.1	nd mandatory 1-6.12, and 7	for all publicly in the absence	r-traded e of				
6.12		Percentage of Votes to Be Assigned - Elect Solange Sobral Targa as Independent Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of Brazilian companies. ABSTAIN vote recommen publicly-available information, disclosed in a til	f Article 141 of dations are wa	f the Braziliar arranted for I	n Corporate Items 5, 6.1	Law and mail 1-6.12, and 7	ndatory for all in the absence	publicly-trad e of	led .			
		Blended Rationale: Under these items, the con election of directors under the terms of Article Brazilian companies. ABSTAIN vote recommen publicly-available information, disclosed in a til	141 of the Bra dations are wa	azilian Corpol arranted for I	rate Law ar Items 5, 6.1	nd mandatory 1-6.12, and 7	for all publicly in the absence	r-traded e of				
7		Do You Wish to Adopt Cumulative Voting for the Election of the Members of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law?	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of Brazilian companies. ABSTAIN vote recommen publicly-available information, disclosed in a til	f Article 141 of dations are wa	f the Braziliar arranted for I	n Corporate Items 5, 6.1	Law and mail 1-6.12, and 7	ndatory for all in the absence	publicly-trad e of	led .			
		Blended Rationale: Under these items, the con election of directors under the terms of Article Brazilian companies. ABSTAIN vote recommen publicly-available information, disclosed in a til	141 of the Bra dations are wa	azilian Corpol arranted for I	rate Law ar Items 5, 6.1	nd mandatory 1-6.12, and 7	for all publicly in the absence	r-traded e of				
8		As an Ordinary Shareholder, Would You like to Request a Separate Minority Election of a Member of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law?	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
9.1		Elect Cremenio Medola Netto as Fiscal Council Member and Juarez Rosa da Silva as Alternate	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item information of the nominees; and * There are						d biographic	a/			
		Blended Rationale: A vote FOR these items is winformation of the nominees; and * There are				-		graphical				
9.2		Elect Charles Edwards Allen as Fiscal Council Member and Stael Prata Silva Filho as Alternate	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item information of the nominees; and * There are						d biographic	al			
		Blended Rationale: A vote FOR these items is uniformation of the nominees; and * There are				•		graphical				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
10		Approve Remuneration of Company's Management and Fiscal Council	Mgmt	Yes	For	Against		Against	Abstain	Yes	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this regarding key remuneration figures.	s proposal is war	ranted becau	ise the con	npany's disclo	sure lacks tran	sparency				
		Blended Rationale: A vote AGAINST this prop key remuneration figures.	oosal is warrante	ed because ti	he compan	y's disclosure	lacks transpar	ency regardi	ing			
		Extraordinary General Meeting	Mgmt	No								
1		Amend Article 5 to Reflect Changes in Capital	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these re proposed amendment; and * The change in considered neutral in economic terms and po	share capital is t	the result of	the cancella	, , ,						
		Blended Rationale: A vote FOR these reques amendment; and * The change in share cap in economic terms and positive in terms of the	ital is the result									
2		Consolidate Bylaws	Mgmt	Yes	For	For		For	For	No	No	No
_		Voting Policy Rationale: A vote FOR these re proposed amendment; and * The change in considered neutral in economic terms and po	share capital is t	the result of	the cancell							
		Blended Rationale: A vote FOR these reques amendment; and * The change in share cap in economic terms and positive in terms of the	ital is the result			•						

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	000391692	Confirmed	jbisset	jbisset		62,871	62,871
			04/13/2022	04/13/2022			
					Total Shares:	62,871	62,871

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
	N												
1	Υ	Pass	No	For	2.3%	1,481,825,196	97.7%	296,667	0.0%	34,027,153	2.2%	Poll	F+A+AB
2	Υ	Pass	No	For	0.3%	1,513,793,563	99.7%	30,434	0.0%	4,313,821	0.3%	Poll	F+A+AB
3	Υ	Pass	No	For	2.3%	1,483,594,771	97.7%	30,103,206	2.0%	4,430,145	0.3%	Poll	F+A+AB
4.1	Υ	Pass	No	For	1.6%	1,494,281,639	98.4%	19,444,886	1.3%	4,401,627	0.3%	Poll	F+A+AB
4.2	Υ	Pass	No	For	3.4%	1,466,190,722	96.6%	47,536,347	3.1%	4,401,189	0.3%	Poll	F+A+AB
4.3	Υ	Pass	No	For	0.3%	1,513,688,776	99.7%	39,971	0.0%	4,399,528	0.3%	Poll	F+A+AB
4.4	Y	Pass	No	For	0.5%	1,509,993,643	99.5%	3,730,951	0.2%	4,401,581	0.3%	Poll	F+A+AB
4.5	Υ	Pass	No	For	0.3%	1,513,687,621	99.7%	38,293	0.0%	4,401,782	0.3%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

tem #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
4.6	Υ	Pass	No	For	0.3%	1,513,690,064	99.7%	37,934	0.0%	4,399,722	0.3%	Poll	F+A+AB
4.7	Υ	Pass	No	For	0.5%	1,509,993,831	99.5%	3,731,031	0.2%	4,401,896	0.3%	Poll	F+A+AB
4.8	Υ	Pass	No	For	0.3%	1,513,690,374	99.7%	36,229	0.0%	4,401,355	0.3%	Poll	F+A+AB
4.9	Υ	Pass	No	For	0.4%	1,511,482,242	99.6%	2,243,425	0.1%	4,401,106	0.3%	Poll	F+A+AB
4.10	Υ	Pass	No	For	0.3%	1,513,687,600	99.7%	37,447	0.0%	4,401,798	0.3%	Poll	F+A+AB
4.11	Υ	Pass	No	For	0.3%	1,513,690,392	99.7%	37,251	0.0%	4,399,045	0.3%	Poll	F+A+AB
4.12	Υ	Pass	No	For	0.4%	1,512,103,704	99.6%	1,490,400	0.1%	4,531,404	0.3%	Poll	F+A+AB
	N												
5	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.1	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.2	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.3	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.4	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.5	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.6	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.7	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.8	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.9	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.10	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.11	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.12	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
7	Υ	Fail	No	None	N/A	10,193,066	3.7%	61,578,468	22.5%	202,101,006	73.8%	Poll	F+A+AB
8	Υ	Fail	No	None	N/A	4,530,016	1.7%	42,022,822	15.4%	227,026,157	83.0%	Poll	F+A+AB
9.1	Υ	Pass	No	For	0.3%	1,513,489,381	99.7%	207,311	0.0%	4,418,277	0.3%	Poll	F+A+AB
9.2	Υ	Pass	No	For	0.3%	1,513,496,401	99.7%	202,421	0.0%	4,417,689	0.3%	Poll	F+A+AB
10	Υ	Pass	No	For	7.2%	1,408,059,933	92.8%	105,142,242	6.9%	4,918,917	0.3%	Poll	F+A+AB
	N												
1	Υ	Pass	No	For	0.3%	1,519,484,286	99.7%	56,400	0.0%	4,468,091	0.3%	Poll	F+A+AB
2	Υ	Pass	No	For	0.3%	1,519,484,198	99.7%	56,469	0.0%	4,468,098	0.3%	Poll	F+A+AB

# **Cigna Corporation**

Meeting Date: 04/27/2022 **Record Date:** 03/08/2022

Primary Security ID: 125523100

Country: USA Meeting Type: Annual

**Primary CUSIP:** 125523100

Ticker: CI

**Meeting ID:** 1622897

**Primary ISIN:** US1255231003

Proxy Level: 3

Primary SEDOL: BHJ0775

## **Cigna Corporation**

Earliest Cutoff Date: 04/26/2022

Votable Shares: 11,725

Total Ballots: 1

Voting Policy: ISS

\*Shares on Loan: 0

Shares Instructed: 11,725

Additional Policy: Shares Voted: 11.725

Additional Voting Vote Vote Proposal Significant Votable Mgmt Policy Policy Vote Against Against Against Number Vote Proposal Text Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS No Elect Director David M. Cordani Yes For No Mgmt For For 1a For No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1b Elect Director William J. DeLaney For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. Elect Director Eric J. Foss Mamt Yes For For For Against Yes Yes Yes 1c Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1d Elect Director Elder Granger Mgmt For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. Elect Director Neesha Hathi Mamt Yes For For For For No No No 1e Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1f Elect Director George Kurian Mgmt For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. Elect Director Kathleen M. Mazzarella For For For For No No 1g Mgmt Yes No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1h Elect Director Mark B. McClellan Mamt Yes For For For For Nο Nο Nο Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1i Elect Director Kimberly A. Ross Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1j Elect Director Eric C. Wiseman Mgmt For For For Against Yes Yes Yes Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1k Elect Director Donna F. Zarcone Mgmt Yes For For For Against Yes Yes Yes Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. Advisory Vote to Ratify Named 2 Mgmt Yes For For For For No No No **Executive Officers' Compensation** 

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Cigna Corporation**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propos the special meeting right more easily exercisable					•		,			
		Blended Rationale: A vote FOR this proposal is special meeting right more easily exercisable by			•							
5		Report on Gender Pay Gap	SH	Yes	Against	Against		Against	Against	No	No	No
6		Report on Congruency of Political Spending with Company Values and Priorities	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propose policy statements and its direct and indirect possareholders to have a more comprehensive un activities conducted by its partners.	litical contribut	tions and not	nprofit orgar	nization partio	cipation would	enable	7			
		Blended Rationale: A vote FOR this proposal is statements and its direct and indirect political of							•			

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	000391692	Confirmed	jbisset	jbisset		11,725	11,725
			04/20/2022	04/20/2022			
					Total Shares:	11,725	11,725

## **Meeting Results**

Outstanding Shares Voted: 318,376,760

conducted by its partners.

Results Available: Full

to have a more comprehensive understanding of how the company oversees and manages risks from political activities

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	7.4%	248,171,620	92.6%	19,859,521	7.4%	807,860	N/A	Proxy	F+A
1b	Υ	Pass	No	For	1.5%	264,174,478	98.5%	4,145,567	1.5%	518,956	N/A	Proxy	F+A
1c	Υ	Pass	No	For	3.0%	260,449,983	97.0%	8,084,668	3.0%	304,350	N/A	Proxy	F+A
1d	Υ	Pass	No	For	1.6%	264,089,539	98.4%	4,238,395	1.6%	511,067	N/A	Proxy	F+A
1e	Υ	Pass	No	For	0.5%	267,347,527	99.5%	1,227,622	0.5%	263,852	N/A	Proxy	F+A
1f	Y	Pass	No	For	1.0%	265,808,708	99.0%	2,741,012	1.0%	289,281	N/A	Proxy	F+A
1g	Y	Pass	No	For	3.8%	258,281,858	96.2%	10,306,788	3.8%	250,355	N/A	Proxy	F+A
1h	Y	Pass	No	For	1.3%	264,855,469	98.7%	3,475,947	1.3%	507,585	N/A	Proxy	F+A
1i	Y	Pass	No	For	0.6%	266,851,942	99.4%	1,739,461	0.6%	247,598	N/A	Proxy	F+A
1j	Y	Pass	No	For	3.1%	260,098,879	96.9%	8,447,585	3.1%	292,537	N/A	Proxy	F+A
1k	Y	Pass	No	For	4.6%	254,393,280	95.4%	12,154,207	4.6%	2,291,514	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2	Υ	Pass	No	For	17.3%	222,333,867	82.7%	45,870,501	17.1%	634,633	0.2%	Proxy	F+A+AB
3	Υ	Pass	No	For	5.5%	271,083,580	94.5%	15,415,347	5.4%	271,255	0.1%	Proxy	F+A+AB
4	Υ	Fail	No	Against	47.3%	126,313,901	47.0%	141,739,935	52.7%	785,165	0.3%	Proxy	F+A+AB
5	Υ	Fail	No	Against	33.2%	87,891,026	32.7%	179,547,902	66.8%	1,400,073	0.5%	Proxy	F+A+AB
6	Υ	Fail	No	Against	46.5%	123,785,139	46.0%	143,721,740	53.5%	1,332,122	0.5%	Proxy	F+A+AB

## **Activision Blizzard, Inc.**

Meeting Date: 04/28/2022

Country: USA

Meeting Type: Special

Ticker: ATVI

**Proxy Level:** 5

**Record Date:** 03/14/2022

Votable Shares: 3,670

Primary Security ID: 00507V109

Primary CUSIP: 00507V109

Meeting ID: 1623585 Primary ISIN: US00507V1098

Primary SEDOL: 2575818

Earliest Cutoff Date: 04/27/2022

Total Ballots: 1 \*Shares on Loan: 0

Shares Instructed: 3,670

Voting Policy: ISS

**Additional Policy:** Shares Voted: 3,670

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Merger Agreement	Mgmt	Yes	For	For		For	For	No	No	No
2		Advisory Vote on Golden Parachutes	Mgmt	Yes	For	For		For	For	No	No	No
3		Adjourn Meeting	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	000391692	Confirmed	jbisset	jbisset		3,670	3,670
			04/25/2022	04/25/2022			

otal Shares:	3 670	3 670

#### **Meeting Results**

Outstanding Shares Voted: 780,922,900

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	1.2%	539,332,512	69.1%	8,847,849	1.1%	786,522	0.1%	Proxy	Capital Represented
2	Υ	Pass	No	For	34.9%	357,220,104	65.1%	189,741,964	34.6%	2,004,815	0.4%	Proxy	F+A+AB
3	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Proxy	F+A+AB

## **ITV Plc**

Meeting Date: 04/28/2022 **Record Date:** 04/26/2022

Country: United Kingdom Meeting Type: Annual

Ticker: ITV

Proxy Level: N/A

Primary Security ID: G4984A110

Primary CUSIP: G4984A110

**Meeting ID:** 1615139 Primary ISIN: GB0033986497

Primary SEDOL: 3398649

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **ITV Plc**

Earliest Cutoff Date: 04/25/2022

Total Ballots: 1

Voting Policy: ISS

Additional Policy:

Votable Shares: 803,464

\*Shares on Loan: 0

Shares Instructed: 803,464

Shares Voted: 803,464

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
4		Re-elect Salman Amin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this candid	ate is warrante	ed as no sigi	nificant conc	erns have be	en identified.					
		Blended Rationale: A vote FOR this candidate is	s warranted as	no significa	nt concerns	have been id	dentified.					
5		Re-elect Peter Bazalgette as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this candid	ate is warrante	ed as no sigi	nificant conc	erns have be	en identified.					
		Blended Rationale: A vote FOR this candidate is	s warranted as	no significa	nt concerns	have been id	lentified.					
6		Re-elect Edward Bonham Carter as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this candida	ate is warrante	ed as no sigi	nificant conc	erns have be	en identified.					
		Blended Rationale: A vote FOR this candidate is	s warranted as	no significa	nt concerns	have been id	dentified.					
7		Re-elect Graham Cooke as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this candida	ate is warrante	ed as no sigi	nificant conc	erns have be	en identified.					
		Blended Rationale: A vote FOR this candidate is	s warranted as	no significa	nt concerns	have been id	dentified.					
8		Re-elect Margaret Ewing as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this candida	ate is warrante	ed as no sigi	nificant conc	erns have be	en identified.					
		Blended Rationale: A vote FOR this candidate is	s warranted as	no significa	nt concerns	have been id	lentified.					
9		Re-elect Mary Harris as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this candida	ate is warrante	ed as no sigi	nificant conc	erns have be	en identified.					
		Blended Rationale: A vote FOR this candidate is	s warranted as	no significa	nt concerns	have been id	lentified.					
10		Re-elect Chris Kennedy as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this candida	ate is warrante	ed as no sigi	nificant conc	erns have be	en identified.					
		Blended Rationale: A vote FOR this candidate is	s warranted as	no significa	nt concerns	have been id	lentified.					
11		Re-elect Anna Manz as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this candida	ate is warrante	ed as no sigi	nificant conc	erns have be	en identified.					
		Blended Rationale: A vote FOR this candidate is	s warranted as	no significa	nt concerns	have been id	lentified.					
12		Re-elect Carolyn McCall as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this candida	ate is warrante	ed as no sigi	nificant conc	erns have be	en identified.					
		Blended Rationale: A vote FOR this candidate is	s warranted as	no significa	nt concerns	have been id	lentified.					
13		Re-elect Sharmila Nebhrajani as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this candida	ate is warrante	ed as no sigi	nificant conc	erns have be	en identified.					
		Blended Rationale: A vote FOR this candidate is	s warranted as	no significa	nt concerns	have been id	dentified.					

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **ITV Plc**

	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
14		Re-elect Duncan Painter as Dir	ector	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	FOR this candid	date is warrant	ed as no sigi	nificant cond	cerns have be	een identified.					
		Blended Rationale: A vote FOR	this candidate	is warranted as	s no significa	nt concerns	have been id	dentified.					
15		Reappoint PricewaterhouseCoo LLP as Auditors	opers	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise Board to Fix Remune of Auditors	eration	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise UK Political Donation Expenditure	ns and	Mgmt	Yes	For	For		For	For	No	No	No
18		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	olutions is warra	anted becaus	se the propo	osed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolutio	ns is warranted	d because th	e proposed	amounts and	durations are	within				
19		Authorise Issue of Equity without Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.											
		Blended Rationale: A vote FOR recommended limits.	these resolutio	ns is warranted	d because th	e proposed	amounts and	durations are	within				
20		Authorise Issue of Equity without Pre-emptive Rights in Connect an Acquisition or Other Capital Investment	ion with	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	olutions is warr	anted becaus	se the propo	osed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolutio	ns is warranted	d because th	e proposed	amounts and	durations are	within				
21		Authorise Market Purchase of C Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
22		Authorise the Company to Call Meeting with Two Weeks' Noti		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details	s												
Institutional A (IA Name, IA N		Custodian Account Number	Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692		391692	Confirmed	jbisset		jbisset				803,46	54	803,	464
				04/20/2022	2	04/20/20	)22						
									Total Shares:	803,4	64	803,	464

#### **Meeting Results**

Outstanding Shares Voted: 4,025,409,194 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	3,268,503,915	100.0%	208,954	0.0%	6,257,811	N/A	Poll	F+A
2	Υ	Pass	No	For	18.7%	2,659,738,737	81.3%	611,109,771	18.7%	4,122,172	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
3	Υ	Pass	No	For	0.1%	3,269,383,466	99.9%	1,664,264	0.1%	3,922,950	N/A	Poll	F+A
4	Υ	Pass	No	For	1.3%	3,229,222,612	98.7%	41,667,527	1.3%	4,076,566	N/A	Poll	F+A
5	Υ	Pass	No	For	1.3%	3,227,967,679	98.7%	42,958,754	1.3%	4,040,812	N/A	Poll	F+A
6	Υ	Pass	No	For	7.2%	3,033,850,309	92.8%	237,057,091	7.2%	4,059,845	N/A	Poll	F+A
7	Υ	Pass	No	For	0.0%	3,269,518,019	100.0%	1,367,740	0.0%	4,081,486	N/A	Poll	F+A
8	Υ	Pass	No	For	8.8%	2,981,865,335	91.2%	289,037,379	8.8%	4,064,531	N/A	Poll	F+A
9	Υ	Pass	No	For	1.5%	3,221,375,090	98.5%	49,519,458	1.5%	4,072,697	N/A	Poll	F+A
10	Υ	Pass	No	For	0.2%	3,262,895,191	99.8%	7,987,360	0.2%	4,084,694	N/A	Poll	F+A
11	Υ	Pass	No	For	0.2%	3,263,015,365	99.8%	7,896,648	0.2%	4,055,232	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	3,269,430,964	100.0%	1,511,192	0.0%	4,028,524	N/A	Poll	F+A
13	Υ	Pass	No	For	8.7%	2,987,498,484	91.3%	283,401,957	8.7%	4,066,804	N/A	Poll	F+A
14	Υ	Pass	No	For	0.2%	3,262,943,252	99.8%	7,933,238	0.2%	4,090,755	N/A	Poll	F+A
15	Υ	Pass	No	For	2.7%	3,181,870,317	97.3%	88,998,208	2.7%	4,102,155	N/A	Poll	F+A
16	Υ	Pass	No	For	0.0%	3,270,234,320	100.0%	582,635	0.0%	4,153,725	N/A	Poll	F+A
17	Υ	Pass	No	For	1.1%	3,230,918,056	98.9%	37,580,386	1.1%	6,472,238	N/A	Poll	F+A
18	Υ	Pass	No	For	3.3%	3,164,545,060	96.7%	107,118,398	3.3%	3,307,222	N/A	Poll	F+A
19	Υ	Pass	No	For	2.3%	3,190,036,727	97.7%	73,634,698	2.3%	11,299,255	N/A	Poll	F+A
20	Υ	Pass	No	For	7.3%	3,024,971,594	92.7%	238,705,487	7.3%	11,293,599	N/A	Poll	F+A
21	Υ	Pass	No	For	3.0%	3,173,066,096	97.0%	98,221,872	3.0%	3,682,272	N/A	Poll	F+A
22	Υ	Pass	No	For	2.2%	3,198,560,911	97.8%	72,348,144	2.2%	4,061,625	N/A	Poll	F+A

Meeting Date: 04/28/2022

Votable Shares: 554,575

**Record Date:** 04/26/2022

Primary Security ID: G6422B105 Earliest Cutoff Date: 04/25/2022

Total Ballots: 1 \*Shares on Loan: 0

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G6422B105

Ticker: NWG **Meeting ID:** 1608486

Primary ISIN: GB00B7T77214

Voting Policy: ISS Shares Instructed: 554,575 Proxy Level: N/A

Primary SEDOL: B7T7721

**Additional Policy:** Shares Voted: 554,575

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5		Re-elect Howard Davies as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vo been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warranted companies, i	d: * In addition in particular, a	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted companies, i	d: * In addition in particular, a	n to his role s Board Chai				
6		Re-elect Alison Rose-Slade as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vo been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside ublicly listea	red warranted companies, i	d: * In addition in particular, a.	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted companies, i	d: * In addition in particular, a	n to his role s Board Chai				
7		Re-elect Katie Murray as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vo been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside ublicly listea	red warranted companies, i	d: * In addition in particular, a.	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted companies, i	d: * In addition in particular, a	n to his role s Board Chai				
8		Re-elect Frank Dangeard as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Items 5-7 & 9-15 A vo been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside ublicly listea	red warranted companies, i	d: * In addition in particular, a.	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted companies, i	d: * In addition in particular, a	n to his role s Board Chai				
9		Re-elect Patrick Flynn as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vo been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted companies, i	d: * In addition in particular, a	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warranted companies, i	d: * In addition in particular, a	n to his role s Board Chai				
10		Re-elect Morten Friis as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vo been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted companies, i	d: * In addition in particular, a	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warranted companies, i	d: * In addition in particular, a	n to his role s Board Chai				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11		Re-elect Robert Gillespie as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vot been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves o two of those. This could potentially compromise	election of Fra on boards of th	ank Dangeard Bree other pu	d is consider ublicly listed	ed warrante companies, i	d: * In addition in particular, as	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FO been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves o two of those. This could potentially compromise	election of Fra on boards of th	ank Dangeard Aree other pu	d is consider Iblicly listed	ed warrante companies, i	d: * In addition in particular, as	n to his role s Board Chai				
12		Re-elect Yasmin Jetha as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vol been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves o two of those. This could potentially compromise	election of Fra on boards of th	ank Dangeard Bree other pu	d is consider Iblicly listed	ed warrante companies, i	d: * In addition in particular, as	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FO been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves o two of those. This could potentially compromise	election of Fra on boards of th	ank Dangeard Diree other pu	d is consider ublicly listed	ed warrante companies, i	d: * In addition in particular, as	n to his role s Board Chai				
13		Re-elect Mike Rogers as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vot been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves o two of those. This could potentially compromise	election of Fra on boards of th	ank Dangeard Bree other pu	d is consider Iblicly listed	ed warrante companies, i	d: * In addition in particular, as	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FO been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves o two of those. This could potentially compromise	election of Fra on boards of th	ank Dangeard Bree other pu	d is consider ublicly listed	ed warrante companies, i	d: * In addition in particular, as	n to his role s Board Chai				
14		Re-elect Mark Seligman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vot been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves o two of those. This could potentially compromise	election of Fra on boards of th	ank Dangeard Bree other pu	d is consider Iblicly listed	ed warrante companies, i	d: * In addition in particular, as	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FO been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves o two of those. This could potentially compromise	election of Fra on boards of th	ank Dangeard aree other pu	d is consider ublicly listed	ed warrante companies, i	d: * In addition	n to his role Board Chai				
15		Re-elect Lena Wilson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vot been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves o two of those. This could potentially compromise	election of Fra on boards of th	ank Dangeard Bree other pu	d is consider Iblicly listed	ed warrante companies, i	d: * In addition in particular, as	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FO been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves o two of those. This could potentially compromise	election of Fra on boards of th	ank Dangeard Bree other pu	d is consider ublicly listed	ed warrante companies, i	d: * In addition in particular, as	n to his role s Board Chai				
16		Reappoint Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise the Group Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No

rtacti	CSC GIC	oup i ic					Additional	Voting		Voto	Voto	Voto
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
18		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonance of the series of the	lutions is warra	anted becaus	se the propo	sed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
19		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonance of the series of the	lutions is warra	anted becaus	se the propo	sed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
20		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonance of the series of the	lutions is warra	anted becaus	se the propo	sed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
21		Authorise Issue of Equity in Connection with Equity Convertible Notes	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorthe Company were to issue Equity Convertible shares, this would result in significant dilution to authorities are common proposals at UK banks into ordinary shares would require the CET1 rathe Company's current position and minimum in	Notes and a tr to non-particip and are intend tio to fall to a	igger event ating shareh ded to apply level which v	were to occur olders. The in extreme	ır, causing th main reasons circumstance	hem to convert s for support a es only; and *	into ordinar re: * Such The conversi	y ion			
		Blended Rationale: A vote FOR these resolution Company were to issue Equity Convertible Note shares, this would result in significant dilution to authorities are common proposals at UK banks into ordinary shares would require the CET1 ra the Company's current position and minimum of	es and a trigge to non-particip and are intend tio to fall to a	er event were ating shareh ded to apply level which v	e to occur, co colders. The i in extreme	ausing them main reasons circumstance	to convert into s for support a es only; and *	o ordinary re: * Such The conversi	ion			
22		Authorise Issue of Equity without Pre-emptive Rights in Connection with Equity Convertible Notes	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorthe Company were to issue Equity Convertible shares, this would result in significant dilution to authorities are common proposals at UK banks into ordinary shares would require the CET1 rathe Company's current position and minimum in	Notes and a tr to non-particip and are intend tio to fall to a	igger event ating shareh ded to apply level which v	were to occu colders. The in extreme	ır, causing th main reason: circumstance	hem to convert s for support a es only; and *	into ordinar re: * Such The conversi	y ion			
		Blended Rationale: A vote FOR these resolution Company were to issue Equity Convertible Note shares, this would result in significant dilution to authorities are common proposals at UK banks into ordinary shares would require the CET1 ra the Company's current position and minimum in	es and a trigge to non-particip and are intend tio to fall to a	er event were ating shareh ded to apply level which v	e to occur, co colders. The in extreme	ausing them main reasons circumstance	to convert into s for support a es only; and *	o ordinary re: * Such The conversi	ion			
23		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No
24		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
25		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Significant Number Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
26	Authorise Off-Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
27	Authorise Off-Market Purchase of Preference Shares	Mgmt	Yes	For	For		For	For	No	No	No
28	Approve Climate Strategy	Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>											
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted

jbisset

04/19/2022 04/19/2022 554,575 **Total Shares:** 554,575

554,575

554,575

jbisset

#### **Meeting Results**

CAT, 391692

Outstanding Shares Voted: 42,278,361,232 Results Available: Partial

391692

Confirmed

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	36,256,226,628	100.0%	4,270,580	0.0%	400,147,260	N/A	Poll	F+A
2	Υ	Pass	No	For	7.3%	33,883,943,928	92.7%	2,649,384,392	7.3%	126,953,196	N/A	Poll	F+A
3	Υ	Pass	No	For	1.1%	36,237,314,672	98.9%	414,528,384	1.1%	8,356,700	N/A	Poll	F+A
4	Υ	Pass	No	For	0.1%	36,636,298,020	99.9%	21,477,236	0.1%	2,497,336	N/A	Poll	F+A
5	Υ	Pass	No	For	2.2%	35,837,758,484	97.8%	817,139,400	2.2%	5,349,548	N/A	Poll	F+A
6	Υ	Pass	No	For	0.8%	36,349,901,100	99.2%	305,126,148	0.8%	5,145,304	N/A	Poll	F+A
7	Υ	Pass	No	For	0.1%	36,633,538,964	99.9%	18,950,896	0.1%	7,679,172	N/A	Poll	F+A
8	Υ	Pass	No	For	19.6%	29,459,990,820	80.4%	7,191,766,308	19.6%	8,407,008	N/A	Poll	F+A
9	Υ	Pass	No	For	0.9%	36,333,533,172	99.1%	316,877,396	0.9%	9,748,576	N/A	Poll	F+A
10	Υ	Pass	No	For	1.7%	36,043,546,816	98.3%	605,984,960	1.7%	10,641,032	N/A	Poll	F+A
11	Υ	Pass	No	For	2.3%	35,818,344,152	97.7%	833,133,592	2.3%	8,695,264	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	36,641,708,504	100.0%	10,362,796	0.0%	8,097,336	N/A	Poll	F+A
13	Υ	Pass	No	For	1.0%	36,273,002,496	99.0%	378,076,752	1.0%	9,085,040	N/A	Poll	F+A
14	Υ	Pass	No	For	1.0%	36,274,797,056	99.0%	376,576,944	1.0%	8,784,408	N/A	Poll	F+A
15	Υ	Pass	No	For	0.2%	36,575,947,996	99.8%	75,845,056	0.2%	8,369,252	N/A	Poll	F+A
16	Υ	Pass	No	For	0.8%	36,349,451,420	99.2%	306,680,040	0.8%	4,153,096	N/A	Poll	F+A
17	Υ	Pass	No	For	0.0%	36,650,358,236	100.0%	5,779,880	0.0%	4,150,160	N/A	Poll	F+A
18	Υ	Pass	No	For	1.4%	36,146,651,372	98.6%	508,649,596	1.4%	4,648,036	N/A	Poll	F+A
19	Υ	Pass	No	For	0.2%	36,579,472,708	99.8%	68,342,980	0.2%	12,166,628	N/A	Poll	F+A
20	Υ	Pass	No	For	1.8%	36,008,025,720	98.2%	641,460,572	1.8%	10,496,888	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
21	Y	Pass	No	For	0.8%	36,345,253,068	99.2%	304,289,184	0.8%	10,362,356	N/A	Poll	F+A
22	Υ	Pass	No	For	1.1%	36,239,519,588	98.9%	408,369,452	1.1%	12,013,976	N/A	Poll	F+A
23	Υ	Pass	No	For	1.9%	35,943,283,764	98.1%	711,583,004	1.9%	4,608,252	N/A	Poll	F+A
24	Υ	Pass	No	For	0.6%	36,449,960,888	99.4%	206,463,928	0.6%	3,391,748	N/A	Poll	F+A
25	Y	Pass	No	For	0.9%	36,325,720,640	99.1%	330,224,316	0.9%	4,272,700	N/A	Poll	F+A
26	Υ	Pass	No	For	0.2%	16,225,472,008	99.8%	32,545,616	0.2%	846,227,124	N/A	Poll	F+A
27	Υ	Pass	No	For	0.1%	36,614,870,408	99.9%	40,199,816	0.1%	4,764,524	N/A	Poll	F+A
28	Υ	Pass	No	For	7.4%	33,666,256,300	92.6%	2,698,582,140	7.4%	295,378,568	N/A	Poll	F+A

### Pfizer Inc.

Meeting Date: 04/28/2022

Record Date: 03/02/2022 Primary Security ID: 717081103 Country: USA
Meeting Type: Annual

**Primary CUSIP:** 717081103

Meet

**Meeting ID:** 1622134

Ticker: PFE

**Primary ISIN:** US7170811035

Proxy Level: 3

Primary SEDOL: 2684703

**Earliest Cutoff Date:** 04/27/2022 **Votable Shares:** 5,850

Total Ballots: 1
\*Shares on Loan: 0

Shares Instructed: 5,850

Voting Policy: ISS

Additional Policy:
Shares Voted: 5,850

Votable 3	iiai es. 5,650	Silares on Loan.			Silaies I	iisti ucteu. 5,0	130		Silares votet	1. 3,630		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Ronald E. Blaylock	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the di	rector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	r nominees is warr	ranted.								
1.2		Elect Director Albert Bourla	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the di	rector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	r nominees is warr	ranted.								
1.3		Elect Director Susan Desmond-Hellmann	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the di	rector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	r nominees is warı	ranted.								
1.4		Elect Director Joseph J. Echevarria	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the di	rector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	r nominees is wari	ranted.								
1.5		Elect Director Scott Gottlieb	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the di	rector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	r nominees is warr	ranted.								
1.6		Elect Director Helen H. Hobbs	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the di	rector nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director	r nominees is warr	ranted.								
1.7		Elect Director Susan Hockfield	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the di	rector nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director	r nominees is warı	ranted.								

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Pfizer Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.8		Elect Director Dan R. Littman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1.9		Elect Director Shantanu Narayen	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1.10		Elect Director Suzanne Nora Johnson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1.11		Elect Director James Quincey	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1.12		Elect Director James C. Smith	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
2		Ratify KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
4		Amend Proxy Access Right	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propolimit would improve the company's existing pro-				nation of the	20-shareholde	r aggregatio	nn			
		Blended Rationale: A vote FOR this proposal is would improve the company's existing proxy a				of the 20-si	hareholder agg	aregation lim	nit			
5		Report on Congruency of Political Electioneering Expenditures with Company Values and Policies	SH	Yes	Against	Against		Against	Against	No	No	No
6		Report on Feasibility of Technology Transfer to Boost Covid-19 Vaccine Production	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propo COVID-19 vaccine inequity and additional info related risks.		-				-				
		Blended Rationale: A vote FOR this proposal is COVID-19 vaccine inequity and additional information related risks.		-				-	ng			
7		Report on Board Oversight of Risks Related to Anticompetitive Practices	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propo of the company's processes and oversight med light of Pfizer's involvement in related controv	chanisms for m									
		Blended Rationale: A vote FOR this proposal is the company's processes and oversight mecha of Pfizer's involvement in related controversies	nisms for man									
8		Report on Public Health Costs of Limited Sharing of Vaccine Technology	SH	Yes	Against	Against		Against	Against	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

#### Pfizer Inc.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	000391692	Confirmed	jbisset	jbisset		5,850	5,850
			04/25/2022	04/25/2022			
					Total Shares:	5,850	5,850

### **Meeting Results**

Outstanding Shares Voted: 5,647,774,460

Results Available: Full

Dutstan	iding Shares	<b>Voted:</b> 5,647,7	/4,460		Kes	ults Available: Full							
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Υ	Pass	No	For	2.6%	3,701,357,646	97.4%	97,026,302	2.6%	10,925,480	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	5.4%	3,577,655,169	94.6%	204,869,446	5.4%	26,783,357	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.4%	3,744,546,772	98.6%	54,872,805	1.4%	9,887,325	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	12.7%	3,315,350,611	87.3%	483,108,900	12.7%	10,848,394	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	0.6%	3,775,202,986	99.4%	23,651,661	0.6%	10,414,283	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	2.5%	3,704,020,993	97.5%	95,742,430	2.5%	9,505,507	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	0.7%	3,773,537,829	99.3%	25,701,939	0.7%	10,029,162	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	1.5%	3,741,506,546	98.5%	57,146,873	1.5%	10,615,511	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	0.8%	3,766,994,058	99.2%	31,021,763	0.8%	11,292,326	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	5.1%	3,605,854,757	94.9%	193,599,113	5.1%	9,854,277	N/A	Proxy	F+A
1.11	Υ	Pass	No	For	1.8%	3,729,849,039	98.2%	68,041,486	1.8%	11,406,359	N/A	Proxy	F+A
1.12	Υ	Pass	No	For	2.7%	3,694,497,573	97.3%	103,133,820	2.7%	11,676,754	N/A	Proxy	F+A
2	Υ	Pass	No	For	4.4%	4,440,636,499	95.6%	204,291,133	4.4%	14,009,991	N/A	Proxy	F+A
3	Υ	Pass	No	For	7.3%	3,509,011,827	92.7%	275,230,428	7.3%	25,057,788	N/A	Proxy	F+A
4	Υ	Fail	No	Against	29.0%	1,096,172,490	29.0%	2,686,465,588	71.0%	26,655,147	N/A	Proxy	F+A
5	Υ	Fail	No	Against	10.4%	393,729,907	10.4%	3,387,577,521	89.6%	27,996,591	N/A	Proxy	F+A
6	Υ	Fail	No	Against	27.4%	1,023,141,083	27.4%	2,714,524,824	72.6%	71,640,637	N/A	Proxy	F+A
7	Υ	Fail	No	Against	30.4%	1,138,551,810	30.4%	2,600,688,619	69.6%	70,069,294	N/A	Proxy	F+A
8	Υ	Fail	No	Against	8.7%	325,762,383	8.7%	3,415,442,745	91.3%	68,096,240	N/A	Proxy	F+A

### **AstraZeneca Plc**

Meeting Date: 04/29/2022 Record Date: 04/07/2022 Primary Security ID: G0593M107 Country: United Kingdom Meeting Type: Annual Primary CUSIP: G0593M107

Ticker: AZN
Meeting ID: 1624617

Primary ISIN: GB0009895292

Proxy Level: N/A

Primary SEDOL: 0989529

Earliest Cutoff Date: 04/21/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 35,838

\*Shares on Loan: 0

Shares Instructed: 35,838

Shares Voted: 35,838

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
		Meeting for ADR Holders	Mgmt	No								
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Dividends	Mgmt	Yes	For	For		For	For	No	No	No
3		Reappoint PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
5a		Re-elect Leif Johansson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Item 5m A vote FOR this director's re-election external mandates he holds. However, it is not Therefore, no voting action is warranted.  Blended Rationale: Items 5a-5l A vote FOR the 5m A vote FOR this director's re-election is warranteds he holds. However, it is noted that voting action is warranted.	ese candidates erranted althou	mandates ar is warranted gh it is not v	re inter-con d as no sign vithout con	nnected, as op nificant concer ncern because	posed to discre rns have been of the number	ete roles. identified. I of external			-	
5b		Re-elect Pascal Soriot as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote FC Item 5m A vote FOR this director's re-election external mandates he holds. However, it is not Therefore, no voting action is warranted.  Blended Rationale: Items 5a-5l A vote FOR this director's re-election is warranted in a vote FOR this director's re-election is warrantets he holds. However, it is noted that	is warranted a sted that these ese candidates arranted althou	olthough it is mandates an is warranted gh it is not w	not withoure inter-condition  If as no signification in the second in th	nt concern beconnected, as opposite of the concern because	rause of the nu posed to discre rns have been of the number	mber of ete roles. identified. I of external	tem		-	
		voting action is warranted.										
5c		Elect Aradhana Sarin as Director  Voting Policy Rationale: Items 5a-5l A vote FC Item 5m A vote FOR this director's re-election external mandates he holds. However, it is no Therefore, no voting action is warranted.	is warranted a	although it is	not withou	ıt concern bec	cause of the nu	mber of	For ed.	No	No -	No
		Blended Rationale: Items 5a-5l A vote FOR th 5m A vote FOR this director's re-election is we mandates he holds. However, it is noted that voting action is warranted.	arranted althou	gh it is not v	vithout con	cern because	of the number	of external			-	
5d		Re-elect Philip Broadley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote FC Item 5m A vote FOR this director's re-election external mandates he holds. However, it is no Therefore, no voting action is warranted.	is warranted a	although it is	not withou	ıt concern bec	ause of the nu	mber of	ed.		-	
		Blended Rationale: Items 5a-5l A vote FOR th 5m A vote FOR this director's re-election is we mandates he holds. However, it is noted that voting action is warranted.	arranted althou	gh it is not v	vithout con	cern because	of the number	of external			-	

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
5e		Re-elect Euan Ashley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote l Item 5m A vote FOR this director's re-electic external mandates he holds. However, it is l Therefore, no voting action is warranted.	on is warranted a	although it is	not withou	t concern bec	ause of the nu	mber of	ed.			
		Blended Rationale: Items 5a-5l A vote FOR to 5m A vote FOR this director's re-election is wandates he holds. However, it is noted that voting action is warranted.	varranted althou	gh it is not v	vithout con	cern because	of the number	of external			_	
5f		Re-elect Michel Demare as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote I Item 5m A vote FOR this director's re-electic external mandates he holds. However, it is I Therefore, no voting action is warranted.	on is warranted a	although it is	not withou	t concern bec	ause of the nu	mber of	ed.		_	
		Blended Rationale: Items 5a-5l A vote FOR to 5m A vote FOR this director's re-election is warnandates he holds. However, it is noted that voting action is warranted.	varranted althou	gh it is not v	vithout con	cern because	of the number	of external				
5g		Re-elect Deborah DiSanzo as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote I Item 5m A vote FOR this director's re-election external mandates he holds. However, it is no Therefore, no voting action is warranted.	on is warranted a	although it is	not withou	t concern bec	ause of the nu	ımber of	ed.		_	
		Blended Rationale: Items 5a-5l A vote FOR to 5m A vote FOR this director's re-election is warnandates he holds. However, it is noted that voting action is warranted.	varranted althou	gh it is not v	vithout con	cern because	of the number	of external				
5h		Re-elect Diana Layfield as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote I Item 5m A vote FOR this director's re-election external mandates he holds. However, it is no Therefore, no voting action is warranted.	on is warranted a	although it is	not withou	t concern bec	ause of the nu	ımber of	ed.		-	
		Blended Rationale: Items 5a-5l A vote FOR to 5m A vote FOR this director's re-election is wandates he holds. However, it is noted that voting action is warranted.	varranted althou	gh it is not v	vithout con	cern because	of the number	of external			-	
5i		Re-elect Sheri McCoy as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote I Item 5m A vote FOR this director's re-electic external mandates he holds. However, it is I Therefore, no voting action is warranted.	on is warranted a	although it is	not withou	t concern bec	ause of the nu	mber of	ed.		_	
		Blended Rationale: Items 5a-5l A vote FOR to 5m A vote FOR this director's re-election is wandates he holds. However, it is noted that voting action is warranted.	varranted althou	gh it is not v	vithout con	cern because	of the number	of external			-	
5j		Re-elect Tony Mok as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote I Item 5m A vote FOR this director's re-election external mandates he holds. However, it is I Therefore, no voting action is warranted.	on is warranted a	although it is	not withou	t concern bec	ause of the nu	mber of	ed.		-	
		Blended Rationale: Items 5a-5l A vote FOR to 5m A vote FOR this director's re-election is warnandates he holds. However, it is noted that voting action is warranted.	varranted althou	gh it is not v	vithout con	cern because	of the number	of external			-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5k		Re-elect Nazneen Rahman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote F Item 5m A vote FOR this director's re-election external mandates he holds. However, it is n Therefore, no voting action is warranted.	n is warranted a	although it is	not withou	t concern bec	ause of the nu	ımber of	ed.			
		Blended Rationale: Items 5a-5l A vote FOR to 5m A vote FOR this director's re-election is w mandates he holds. However, it is noted that voting action is warranted.	arranted althou	igh it is not v	vithout cond	cern because	of the number	of external				
51		Elect Andreas Rummelt as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote For Item 5m A vote FOR this director's re-election external mandates he holds. However, it is no Therefore, no voting action is warranted.	n is warranted a	although it is	not withou	t concern bec	ause of the nu	ımber of	ed.			
		Blended Rationale: Items 5a-5l A vote FOR the 5m A vote FOR this director's re-election is we mandates he holds. However, it is noted that voting action is warranted.	varranted althou	igh it is not v	vithout cond	cern because	of the number	of external				
5m		Re-elect Marcus Wallenberg as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote F Item 5m A vote FOR this director's re-election external mandates he holds. However, it is n Therefore, no voting action is warranted.	n is warranted a oted that these	although it is mandates a	not withou re inter-con	t concern bec nected, as op	ause of the nu posed to discr	ımber of ete roles.				
		Blended Rationale: Items 5a-5l A vote FOR to 5m A vote FOR this director's re-election is w mandates he holds. However, it is noted that voting action is warranted.	arranted althou	igh it is not v	vithout cond	cern because	of the number	of external				
6		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
7		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
8		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reservenemented limits.	solutions is warr	ranted becau	se the prop	osed amount	s and duration	s are within	1			
		Blended Rationale: A vote FOR these resolution recommended limits.	ions is warrante	d because th	ne proposed	amounts and	d durations are	within				
9		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resrecommended limits.	solutions is warr	ranted becau	se the prop	osed amount	s and duration	s are within				
		Blended Rationale: A vote FOR these resolutive recommended limits.	ions is warrante	d because th	ne proposea	amounts and	d durations are	within				
10		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reserved	solutions is warr	ranted becau	se the prop	osed amount	s and duration	s are within	,		-	
		Blended Rationale: A vote FOR these resolutive recommended limits.	ions is warrante	d because th	ne proposed	amounts and	d durations are	within				
11		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No
13		Approve Savings Related Share Option Scheme	Mgmt	Yes	For	For		For	For	No	No	No

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	000391692	Confirmed	jbisset	jbisset		35,838	35,838
			04/19/2022	04/19/2022			
					Total Shares:	35,838	35,838

### **Meeting Results**

Outstanding Shares Voted: 1,549,464,013

Results Available: Partial

	realitating Strates voted: 1,5 15, 10 1,015												
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.2%	1,203,570,226	99.8%	1,828,274	0.2%	5,547,341	N/A	Poll	F+A
2	Υ	Pass	No	For	1.1%	1,193,597,088	98.9%	12,920,907	1.1%	4,366,330	N/A	Poll	F+A
3	Υ	Pass	No	For	0.7%	1,198,088,929	99.3%	8,509,251	0.7%	4,347,248	N/A	Poll	F+A
4	Υ	Pass	No	For	0.1%	1,204,954,300	99.9%	1,563,462	0.1%	4,311,513	N/A	Poll	F+A
5a	Υ	Pass	No	For	3.3%	1,166,282,927	96.7%	40,088,832	3.3%	4,573,789	N/A	Poll	F+A
5b	Υ	Pass	No	For	0.1%	1,205,673,389	99.9%	863,219	0.1%	4,408,995	N/A	Poll	F+A
5c	Υ	Pass	No	For	0.1%	1,204,771,723	99.9%	1,661,294	0.1%	4,512,437	N/A	Poll	F+A
5d	Υ	Pass	No	For	1.2%	1,191,418,997	98.8%	15,054,946	1.2%	4,471,514	N/A	Poll	F+A
5e	Υ	Pass	No	For	0.1%	1,205,615,431	99.9%	843,877	0.1%	4,486,117	N/A	Poll	F+A
5f	Υ	Pass	No	For	3.7%	1,159,460,793	96.3%	44,030,272	3.7%	7,453,982	N/A	Poll	F+A
5g	Υ	Pass	No	For	0.0%	1,199,445,587	100.0%	245,001	0.0%	11,254,771	N/A	Poll	F+A
5h	Υ	Pass	No	For	0.0%	1,206,239,742	100.0%	251,612	0.0%	4,454,103	N/A	Poll	F+A
5i	Υ	Pass	No	For	0.5%	1,199,985,750	99.5%	6,515,562	0.5%	4,444,145	N/A	Poll	F+A
5j	Υ	Pass	No	For	0.1%	1,205,526,446	99.9%	961,584	0.1%	4,457,427	N/A	Poll	F+A
5k	Υ	Pass	No	For	1.1%	1,192,803,495	98.9%	13,681,523	1.1%	4,460,509	N/A	Poll	F+A
51	Υ	Pass	No	For	0.1%	1,205,642,938	99.9%	816,947	0.1%	4,485,572	N/A	Poll	F+A
5m	Υ	Pass	No	For	18.9%	979,070,782	81.1%	227,477,101	18.9%	4,397,534	N/A	Poll	F+A
6	Υ	Pass	No	For	7.8%	1,109,853,237	92.2%	93,486,120	7.8%	7,606,290	N/A	Poll	F+A
7	Υ	Pass	No	For	2.5%	1,176,840,533	97.5%	29,853,717	2.5%	4,261,968	N/A	Poll	F+A
8	Υ	Pass	No	For	7.3%	1,112,092,040	92.7%	87,623,060	7.3%	11,230,323	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
9	Υ	Pass	No	For	0.9%	1,193,005,130	99.1%	10,731,935	0.9%	7,206,669	N/A	Poll	F+A
10	Υ	Pass	No	For	2.3%	1,175,775,085	97.7%	27,999,631	2.3%	7,088,425	N/A	Poll	F+A
11	Υ	Pass	No	For	0.8%	1,195,870,775	99.2%	10,230,544	0.8%	4,843,802	N/A	Poll	F+A
12	Υ	Pass	No	For	11.9%	1,062,882,097	88.1%	143,446,819	11.9%	4,616,168	N/A	Poll	F+A
13	Υ	Pass	No	For	0.5%	1,200,174,255	99.5%	5,676,533	0.5%	5,094,639	N/A	Poll	F+A

### **Bayer AG**

**Record Date:** 

Meeting Date: 04/29/2022 Country: Germany Ticker: BAYN

Meeting Type: Annual Meeting ID: 1609938

 Primary Security ID: D0712D163
 Primary CUSIP: D0712D163
 Primary ISIN: DE000BAY0017
 Primary SEDOL: 5069211

Earliest Cutoff Date: 04/19/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy:

Votable Shares: 44,305 \*Shares on Loan: 0 Shares Instructed: 44,305 Shares Voted: 44,305

	,					,				,		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Receive Financial Statements and Statutory Reports; Approve Allocation of Income and Dividends of EUR 2.00 per Share for Fiscal Year 2021	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Discharge of Management Board for Fiscal Year 2021	Mgmt	Yes	For	For		For	For	No	No	No

Proxy Level: N/A

Voting Policy Rationale: Votes FOR the discharge of the management board and the supervisory board are warranted as there is no evidence that the boards did not fulfill their fiduciary duties in the year under review, though this is not without concerns: \* The management board introduced a five-point plan, which allegedly "provides a clear path to closure of the U.S. glyphosate litigation, which will allow our company, our customers, and our shareholders to move on, put the uncertainty behind us, and focus on our performance, innovations, and contributions to society." Nevertheless, this was only introduced in May 2021, leading to concerns that management (and by extension the supervisory board) was too slow in addressing the Monsanto fallout head on and too focused on the litigation rather than allaying the legitimate concerns of its shareholders and of stakeholders worldwide. \* The supervisory board made discretionary adjustments to the management board's annual bonus in the year under review, leading to large bonus payouts for management despite ongoing and significant litigation against the company, which may raise legitimate concerns for shareholders.

Blended Rationale: Votes FOR the discharge of the management board and the supervisory board are warranted as there is no evidence that the boards did not fulfill their fiduciary duties in the year under review, though this is not without concerns: \*

The management board introduced a five-point plan, which allegedly "provides a clear path to closure of the U.S. glyphosate litigation, which will allow our company, our customers, and our shareholders to move on, put the uncertainty behind us, and focus on our performance, innovations, and contributions to society." Nevertheless, this was only introduced in May 2021, leading to concerns that management (and by extension the supervisory board) was too slow in addressing the Monsanto fallout head on and too focused on the litigation rather than allaying the legitimate concerns of its shareholders and of stakeholders worldwide. \* The supervisory board made discretionary adjustments to the management board's annual bonus in the year under review, leading to large bonus payouts for management despite ongoing and significant litigation against the company, which may raise legitimate concerns for shareholders.

# **Bayer AG**

Number Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3	Approve Discharge of Supervisory Board for Fiscal Year 2021	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Votes FOR the discharges no evidence that the boards did not fulfill the *The management board introduced a five-politigation, which will allow our company, our cufocus on our performance, innovations, and colleading to concerns that management (and by fallout head on and too focused on the litigation stakeholders worldwide. *The supervisory boat the year under review, leading to large bonus company, which may raise legitimate concerns Blended Rationale: Votes FOR the discharge of	eir fiduciary du int plan, which istomers, and ntributions to extension the on rather than ard made discrepayouts for ma for sharehold	tities in the yunder in the yunder in the yunder in the year our sharehold society." New supervisory allaying the actionary adjusted an agement of the year.	ear under re provides a c Iders to mou vertheless, t board) was legitimate c ustments to despite ongo	eview, though lear path to over on, put the this was only too slow in a oncerns of its the managen pand sign.	h this is not will closure of the le uncertainty be introduced in laddressing the shareholders ment board's a lificant litigation	thout concer J.S. glyphosa ehind us, ar May 2021, Monsanto and of innual bonus n against the	ns: ate ad : in			
	evidence that the boards did not fulfill their fid. The management board introduced a five-poin litigation, which will allow our company, our cufocus on our performance, innovations, and coleading to concerns that management (and by fallout head on and too focused on the litigation stakeholders worldwide. * The supervisory boat the year under review, leading to large bonus of company, which may raise legitimate concerns	uciary duties in t plan, which a istomers, and intributions to extension the in rather than and made discripayouts for ma	n the year un allegedly "pro our sharehol society." New supervisory allaying the w etionary adju anagement d	nder review, ovides a clea ders to mov vertheless, u board) was legitimate c ustments to	though this ar path to clove on, put the this was only too slow in a oncerns of its the manager	is not without osure of the U.se uncertainty be introduced in addressing the ses shareholders ment board's a	concerns: * S. glyphosate ehind us, an May 2021, Monsanto and of ennual bonus	e d			
4.1	Reelect Paul Achleitner to the Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
	Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
4.2	Reelect Norbert Bischofberger to the Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
	Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
4.3	Reelect Colleen Goggins to the Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
	Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
5	Approve Remuneration Report	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
	Voting Policy Rationale: A vote AGAINST this re short-term incentive components, resulting in p contribution-based pension entitlements for ce- considered excessive and are not aligned with under the LTI may not be considered sufficient	payouts that d rtain executive the wider wor	lo not accura es result in pe kforce or ma	tely reflect ( ension cont orket practic	company per ributions/serv e. * Targets	formance. * Le vice costs that and performan	egacy can be nce metrics	e			
	Blended Rationale: A vote AGAINST this resolu short-term incentive components, resulting in p contribution-based pension entitlements for ce- considered excessive and are not aligned with under the LTI may not be considered sufficient	payouts that d rtain executive the wider wor	lo not accura es result in pe kforce or ma	tely reflect ( ension cont erket practic	company per ributions/serv e. * Targets	formance. * Le vice costs that and performan	egacy can be nce metrics				
6	Approve Affiliation Agreement with Bayer Chemicals GmbH	Mgmt	Yes	For	For		For	For	No	No	No
7	Ratify Deloitte GmbH as Auditors for Fiscal Year 2022	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details											
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number Ballot Status	Instructe	d	Approv	ed	Ballot Voting	) Status	Votable S	ihares	Shares	Voted

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Bayer AG**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		44,305	44,305
			04/20/2022	04/20/2022			
					Total Shares:	44,305	44,305

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.8%	576,020,745	99.2%	4,559,018	0.8%	11,228,823	N/A	Poll	F+A
2	Υ	Pass	No	For	17.9%	468,365,700	82.1%	102,379,239	17.9%	21,055,373	N/A	Poll	F+A
3	Y	Pass	No	For	16.8%	474,772,479	83.2%	95,823,506	16.8%	21,190,335	N/A	Poll	F+A
4.1	Y	Pass	No	For	9.0%	521,845,219	91.0%	51,391,094	9.0%	18,561,964	N/A	Poll	F+A
4.2	Y	Pass	No	For	25.5%	439,254,156	74.5%	149,994,085	25.5%	2,558,456	N/A	Poll	F+A
4.3	Υ	Pass	No	For	2.4%	574,618,669	97.6%	14,093,883	2.4%	3,094,399	N/A	Poll	F+A
5	Υ	Fail	No	For	75.9%	141,792,849	24.1%	446,334,743	75.9%	3,669,116	N/A	Poll	F+A
6	Υ	Pass	No	For	0.2%	588,849,817	99.8%	1,200,443	0.2%	1,726,553	N/A	Poll	F+A
7	Υ	Pass	No	For	0.9%	584,393,209	99.1%	5,495,835	0.9%	1,915,931	N/A	Poll	F+A

### **Multiplan Empreendimentos Imobiliarios SA**

Meeting Date: 04/29/2022 Country: Brazil Ticker: MULT3 Proxy Level: N/A

Record Date: Meeting Type: Annual Meeting ID: 1628078

Primary Security ID: P69913187 Primary CUSIP: P69913187 Primary ISIN: BRMULTACNOR5 Primary SEDOL: B23DZG0

 Earliest Cutoff Date: 04/18/2022
 Total Ballots: 1
 Voting Policy: ISS
 Additional Policy:

 Votable Shares: 130,960
 \*Shares on Loan: 0
 Shares Instructed: 130,960
 Shares Voted: 130,960

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2021	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Allocation of Income and Dividends	Mgmt	Yes	For	For		For	For	No	No	No
3		Fix Number of Directors at Seven	Mgmt	Yes	For	For		For	For	No	No	No

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4		Do You Wish to Adopt Cumulative Voting for the Election of the Members of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law?	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of remote voting card issued by the Brazilian Secu companies. ABSTAIN vote recommendations ar information, disclosed in a timely manner, rega	Article 141 of crities Regulato e warranted fo	the Brazilian or (CVM), and or Items 4, 6	Corporate I d mandatory and 7.1-7.7	Law, in accor y for all publi 7 in the abse	dance with the icly-traded Bra. nce of publicly	e rules of the zilian				
		Blended Rationale: Under these items, the come election of directors under the terms of Article voting card issued by the Brazilian Securities ReabSTAIN vote recommendations are warranted disclosed in a timely manner, regarding a cumulations.	141 of the Bra egulator (CVM) for Items 4, t	zilian Corpor ), and manda 6 and 7.1-7.7	ate Law, in atory for all in the abse	accordance v publicly-trade ence of public	with the rules o ed Brazilian co	of the remote mpanies.				
5.1		Elect Jose Paulo Ferraz do Amaral as Director	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
Voting Policy Rationale: A vote AGAINST non-independent director nominees Jose Isaac Peres, Duncan George Osborne, Ana Paula Kaminitz Peres, Eduardo Kaminitz Peres and John Michael Sullivan is warranted given the proposed board's overall lack of independence. A vote FOR independent director nominee Gustavo Henrique de Barroso Franco is warranted given that it would be counter-productive to oppose independent director nominees. A vote FOR incumbent chair nominee Jose Paulo Ferraz do Amaral is recommended given the lack of known concerns regarding the board chair.  Blended Rationale: A vote AGAINST non-independent director nominees Jose Isaac Peres, Duncan George Osborne, Ana Paula Kaminitz Peres, Eduardo Kaminitz Peres and John Michael Sullivan is warranted given the proposed board's overall lack of												
		-	hn Michael Su nominee Gus director nomin	llivan is warr tavo Henriqu ees.A vote F	anted given Je de Barros OR incumbe	the propose so Franco is v ent chair nom	d board's over varranted give	all lack of n that it wou				
5.2		Elect Jose Isaac Peres as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST non-in- Paula Kaminitz Peres, Eduardo Kaminitz Peres a independence. A vote FOR independent director be counter-productive to oppose independent of Amaral is recommended given the lack of know	and John Mich nominee Gus director nomin	ael Sullivan i. tavo Henriqu ees.A vote Fi	s warranted Je de Barros OR incumbe	given the pr to Franco is v ent chair nom	oposed board: varranted give	s overall lack n that it wou	of			
		Blended Rationale: A vote AGAINST non-indeper Kaminitz Peres, Eduardo Kaminitz Peres and Joh independence. A vote FOR independent director be counter-productive to oppose independent of Amaral is recommended given the lack of known	hn Michael Su nominee Gus director nomin	llivan is warr tavo Henriqu ees.A vote F	anted given Ie de Barros OR incumbe	the propose to Franco is v	d board's over varranted give	all lack of n that it wou				
5.3		Elect Gustavo Henrique de Barroso Franco as Independent Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote AGAINST non-in- Paula Kaminitz Peres, Eduardo Kaminitz Peres a independence. A vote FOR independent director be counter-productive to oppose independent of Amaral is recommended given the lack of known	and John Mich nominee Gus director nomin	ael Sullivan i. tavo Henriqu ees.A vote F	s warranted ue de Barros OR incumbe	given the properties of Franco is vent chair nome	roposed board'. varranted give	s overall lack n that it wou	of			
		Blended Rationale: A vote AGAINST non-indepe Kaminitz Peres, Eduardo Kaminitz Peres and Jo independence. A vote FOR independent director be counter-productive to oppose independent of Amaral is recommended given the lack of know	hn Michael Su nominee Gus director nomin	llivan is warr tavo Henriqu ees.A vote F	anted given Je de Barros OR incumbe	the propose to Franco is v ent chair nom	d board's over varranted give	all lack of n that it wou				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5.4		Elect Eduardo Kaminitz Peres as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST non-in Paula Kaminitz Peres, Eduardo Kaminitz Peres independence.A vote FOR independent director be counter-productive to oppose independent Amaral is recommended given the lack of know	and John Mich r nominee Gus director nomin	nael Sullivan i Stavo Henriqu nees.A vote F	is warranted ue de Barros FOR incumbe	d given the pa so Franco is v ent chair non	roposed board warranted give	's overall lac n that it wou	k of			
		Blended Rationale: A vote AGAINST non-indepondential Peres, Eduardo Kaminitz Peres and Journal Independence. A vote FOR independent director be counter-productive to oppose independent of Amaral is recommended given the lack of known	nhn Michael Su r nominee Gus director nomin	ıllivan is warı stavo Henriqu nees.A vote F	ranted giver ue de Barros OR incumbe	n the propose so Franco is v ent chair non	ed board's over warranted give	rall lack of n that it wou				
5.5		Elect Ana Paula Kaminitz Peres as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST non-in Paula Kaminitz Peres, Eduardo Kaminitz Peres, independence.A vote FOR independent director be counter-productive to oppose independent Amaral is recommended given the lack of know	and John Mich r nominee Gus director nomin	nael Sullivan i Stavo Henriqu Dees.A vote F	is warranted ue de Barros FOR incumbe	d given the pa so Franco is v ent chair non	roposed board warranted give	's overall lac n that it wou	k of			
		Blended Rationale: A vote AGAINST non-indep Kaminitz Peres, Eduardo Kaminitz Peres and Jo independence.A vote FOR independent directo be counter-productive to oppose independent Amaral is recommended given the lack of know	nhn Michael Su r nominee Gus director nomin	ıllivan is warı stavo Henriqu nees.A vote F	ranted giver ue de Barros OR incumbe	n the propose so Franco is v ent chair non	ed board's over warranted give	rall lack of n that it wou				
5.6		Elect John Michael Sullivan as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST non-in Paula Kaminitz Peres, Eduardo Kaminitz Peres independence.A vote FOR independent director be counter-productive to oppose independent Amaral is recommended given the lack of know	and John Mich r nominee Gus director nomin	nael Sullivan i Stavo Henriqu Dees.A vote F	is warranted ue de Barros FOR incumbe	d given the pa so Franco is v ent chair non	roposed board warranted give	's overall lac n that it wou	k of			
		Blended Rationale: A vote AGAINST non-indep Kaminitz Peres, Eduardo Kaminitz Peres and Jo independence.A vote FOR independent directo be counter-productive to oppose independent Amaral is recommended given the lack of know	nhn Michael Su r nominee Gus director nomin	ıllivan is warı stavo Henriqu nees.A vote F	ranted giver ue de Barros FOR incumbe	n the propose so Franco is v ent chair non	ed board's over warranted give	rall lack of n that it wou			-	
5.7		Elect Duncan George Osborne as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST non-in Paula Kaminitz Peres, Eduardo Kaminitz Peres of independence. A vote FOR independent director be counter-productive to oppose independent of Amaral is recommended given the lack of know	and John Mich r nominee Gus director nomin	nael Sullivan i Stavo Henriqu nees.A vote F	is warranted ue de Barros OR incumbe	d given the pl so Franco is v ent chair non	roposed board warranted give	's overall lac n that it wou	k of			
		Blended Rationale: A vote AGAINST non-indep Kaminitz Peres, Eduardo Kaminitz Peres and Jo independence. A vote FOR independent directo. be counter-productive to oppose independent of Amaral is recommended given the lack of know	ohn Michael Su r nominee Gus director nomin	ıllivan is warı stavo Henriqu nees.A vote F	ranted giver ue de Barros OR incumbe	n the propose so Franco is v ent chair non	ed board's over warranted give	rall lack of n that it wou				
		If Voting FOR on Item 6, Votes Are Distributed in Equal % Amongst Nominees voted FOR. If You Vote AGST, Contact Your Client Service Rep to Unequally Allocate % of Votes. If You Vote ABST, You Will Not Participate in Cumulative Voting.	Mgmt	No								

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
6		In Case Cumulative Voting Is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees below?	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of remote voting card issued by the Brazilian Secucompanies. ABSTAIN vote recommendations are information, disclosed in a timely manner, rega	Article 141 of urities Regulato re warranted fo	the Brazilian or (CVM), and or Items 4, 6	Corporate d mandator and 7.1-7.	Law, in accor y for all publi 7 in the abse	dance with the cly-traded Bra nce of publicly	e rules of the zilian				
		Blended Rationale: Under these items, the come election of directors under the terms of Article voting card issued by the Brazilian Securities Reastrain vote recommendations are warranted disclosed in a timely manner, regarding a cumulation of the companies of	141 of the Bra egulator (CVM, I for Items 4, 6	zilian Corpor ), and manda 6 and 7.1-7.7	rate Law, in atory for all 7 in the abs	accordance v publicly-trade ence of public	with the rules o ed Brazilian co	of the remote mpanies.				
7.1		Percentage of Votes to Be Assigned - Elect Jose Paulo Ferraz do Amaral as Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of remote voting card issued by the Brazilian Secu- companies. ABSTAIN vote recommendations ar information, disclosed in a timely manner, rega	Article 141 of urities Regulato re warranted fo	the Brazilian or (CVM), and or Items 4, 6	Corporate d mandator and 7.1-7.	Law, in accor y for all publi 7 in the abse	dance with the icly-traded Bra nce of publicly	e rules of the zilian				
		Blended Rationale: Under these items, the come lection of directors under the terms of Article voting card issued by the Brazilian Securities Reastrain vote recommendations are warranted disclosed in a timely manner, regarding a cumulation of the companion of t	141 of the Bra egulator (CVM, I for Items 4, 6	zilian Corpor ), and manda 6 and 7.1-7.7	rate Law, in atory for all 7 in the abs	accordance v publicly-trade ence of public	with the rules o ed Brazilian co	of the remote mpanies.				
7.2		Percentage of Votes to Be Assigned - Elect Jose Isaac Peres as Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of remote voting card issued by the Brazilian Secucompanies. ABSTAIN vote recommendations are information, disclosed in a timely manner, rega	Article 141 of Irities Regulato re warranted fo	the Brazilian or (CVM), and or Items 4, 6	Corporate d mandator and 7.1-7.	Law, in accor y for all publi 7 in the abse	dance with the icly-traded Bra. nce of publicly	e rules of the zilian				
		Blended Rationale: Under these items, the com- election of directors under the terms of Article voting card issued by the Brazilian Securities Ra ABSTAIN vote recommendations are warranted disclosed in a timely manner, regarding a cumul	141 of the Bra egulator (CVM, I for Items 4, 6	zilian Corpor ), and manda 6 and 7.1-7.7	rate Law, in atory for all 7 in the abs	accordance v publicly-trade ence of public	with the rules o ed Brazilian co	of the remote mpanies.				
7.3		Percentage of Votes to Be Assigned - Elect Gustavo Henrique de Barroso Franco as Independent Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of remote voting card issued by the Brazilian Secucompanies. ABSTAIN vote recommendations are information, disclosed in a timely manner, rega	Article 141 of urities Regulato re warranted fo	the Brazilian or (CVM), and or Items 4, 6	Corporate d mandator and 7.1-7.	Law, in accor y for all publi 7 in the abse	dance with the cly-traded Bra nce of publicly	e rules of the zilian				
		Blended Rationale: Under these items, the com- election of directors under the terms of Article - voting card issued by the Brazilian Securities Re ABSTAIN vote recommendations are warranted disclosed in a timely manner, regarding a cumul	141 of the Bra egulator (CVM, I for Items 4, 6	zilian Corpor ), and manda 6 and 7.1-7.7	rate Law, in atory for all 7 in the abs	accordance v publicly-trade ence of public	with the rules o ed Brazilian co	of the remote mpanies.				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7.4		Percentage of Votes to Be Assigned - Elect Eduardo Kaminitz Peres as Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of remote voting card issued by the Brazilian Secucompanies. ABSTAIN vote recommendations an information, disclosed in a timely manner, rega	Article 141 of urities Regulatore warranted fo	the Brazilian or (CVM), an or Items 4, 6	n Corporate nd mandato 6 and 7.1-7.	Law, in accor ry for all publi 7 in the abse	rdance with the icly-traded Bra ence of publicly	e rules of the zilian				
		Blended Rationale: Under these items, the com- election of directors under the terms of Article voting card issued by the Brazilian Securities Re ABSTAIN vote recommendations are warranted disclosed in a timely manner, regarding a cumul	141 of the Bra egulator (CVM) I for Items 4, t	zilian Corpor ), and mand 6 and 7.1-7.7	rate Law, in latory for all 7 in the abs	accordance of publicly-traditions of publicly	with the rules o led Brazilian co	of the remote ompanies.				
7.5		Percentage of Votes to Be Assigned - Elect Ana Paula Kaminitz Peres as Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of remote voting card issued by the Brazilian Secucompanies. ABSTAIN vote recommendations ai information, disclosed in a timely manner, rega	Article 141 of urities Regulato re warranted fo	the Brazilian or (CVM), an or Items 4, 6	n Corporate nd mandato 6 and 7.1-7.	Law, in accor ry for all publi 7 in the abse	rdance with the ficly-traded Bra ence of publicly	e rules of the zilian				
		Blended Rationale: Under these items, the com- election of directors under the terms of Article voting card issued by the Brazilian Securities Re ABSTAIN vote recommendations are warranted disclosed in a timely manner, regarding a cumul	141 of the Bra egulator (CVM) I for Items 4, t	zilian Corpor ), and mand 6 and 7.1-7.7	rate Law, in latory for all 7 in the abs	accordance of publicly-trade sence of publicly	with the rules o led Brazilian co	of the remote ompanies.			-	
7.6		Percentage of Votes to Be Assigned - Elect John Michael Sullivan as Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of remote voting card issued by the Brazilian Secucompanies. ABSTAIN vote recommendations air information, disclosed in a timely manner, rega	Article 141 of urities Regulato re warranted fo	the Brazilian or (CVM), an or Items 4, 6	n Corporate nd mandato 6 and 7.1-7.	Law, in accor ry for all publi 7 in the abse	rdance with the icly-traded Bra ence of publicly	e rules of the zilian				
		Blended Rationale: Under these items, the com- election of directors under the terms of Article voting card issued by the Brazilian Securities Re ABSTAIN vote recommendations are warranted disclosed in a timely manner, regarding a cumul	141 of the Bra egulator (CVM) I for Items 4, t	zilian Corpoi ), and mand 6 and 7.1-7.)	rate Law, in latory for all 7 in the abs	accordance of publicly-trade	with the rules o led Brazilian co	of the remote ompanies.				
7.7		Percentage of Votes to Be Assigned - Elect Duncan George Osborne as Director	Mgmt	Yes	None	Abstain		Abstain	Abstain	No	No	No
		Voting Policy Rationale: Under these items, the for the election of directors under the terms of remote voting card issued by the Brazilian Secucompanies. ABSTAIN vote recommendations ai information, disclosed in a timely manner, rega	Article 141 of urities Regulatore warranted for	the Brazilian or (CVM), an or Items 4, 6	n Corporate nd mandato 6 and 7.1-7.	Law, in accor ry for all publi 7 in the abse	rdance with the icly-traded Bra ence of publicly	e rules of the zilian				
		Blended Rationale: Under these items, the com- election of directors under the terms of Article voting card issued by the Brazilian Securities Re ABSTAIN vote recommendations are warranted disclosed in a timely manner, regarding a cumu	141 of the Bra egulator (CVM) I for Items 4, t	zilian Corpor ), and mand 6 and 7.1-7.7	rate Law, in latory for all 7 in the abs	accordance of publicly-trade ence of public	with the rules o led Brazilian co	of the remote ompanies.				
8		As an Ordinary Shareholder, Would You like to Request a Separate Minority Election of a Member of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law?	Mgmt	Yes	None	Abstain		Abstain	For	No	Yes	Yes

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Approve Remuneration of Company's Management	Mgmt	Yes	For	For		For	For	No	No	No
10		Do You Wish to Request Installation of a Fiscal Council, Under the Terms of Article 161 of the Brazilian Corporate Law?	Mgmt	Yes	None	Abstain		Abstain	For	No	Yes	Yes

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, CAT	391109	Confirmed	jbisset	jbisset		130,960	130,960
			04/19/2022	04/19/2022			
					Total Shares:	130,960	130,960

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

outstan	aing Snares	votea:			Res	uits Available: Parti	aı						
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	8.2%	390,904,489	91.8%	332,951	0.1%	34,502,949	8.1%	Poll	F+A+AB
2	Y	Pass	No	For	1.7%	418,288,227	98.2%	503,885	0.1%	6,948,277	1.6%	Poll	F+A+AB
3	Υ	Pass	No	For	1.6%	418,792,112	98.4%	0	0.0%	6,948,277	1.6%	Poll	F+A+AB
4	Υ	Fail	No	None	N/A	1,123,575	1.1%	7,505,182	7.0%	97,846,930	91.9%	Poll	F+A+AB
5.1	Υ	Pass	No	For	14.9%	332,083,974	85.1%	51,088,821	13.1%	6,992,553	1.8%	Poll	F+A+AB
5.2	Υ	Pass	No	For	12.7%	340,441,989	87.3%	42,730,806	11.0%	6,992,553	1.8%	Poll	F+A+AB
5.3	Υ	Pass	No	For	4.4%	372,802,606	95.5%	16,089,341	4.1%	1,273,401	0.3%	Poll	F+A+AB
5.4	Υ	Pass	No	For	13.4%	337,979,308	86.6%	45,193,487	11.6%	6,992,553	1.8%	Poll	F+A+AB
5.5	Υ	Pass	No	For	13.4%	337,956,267	86.6%	45,216,528	11.6%	6,992,553	1.8%	Poll	F+A+AB
5.6	Υ	Pass	No	For	15.1%	331,309,034	84.9%	51,863,761	13.3%	6,992,553	1.8%	Poll	F+A+AB
5.7	Υ	Pass	No	For	14.0%	335,583,401	86.0%	47,589,394	12.2%	6,992,553	1.8%	Poll	F+A+AB
	N												
6	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
7.1	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
7.2	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
7.3	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
7.4	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
7.5	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
7.6	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
7.7	Υ	N/A	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
8	Υ	Fail	No	None	N/A	2,493,867	2.3%	5,144,332	4.8%	98,837,488	92.8%	Poll	F+A+AB
9	Υ	Pass	No	For	3.1%	412,334,708	96.9%	6,413,128	1.5%	6,992,553	1.6%	Poll	F+A+AB
10	Υ	Fail	No	None	N/A	5,198,274	4.9%	2,436,910	2.3%	98,840,503	92.8%	Poll	F+A+AB

### **American Express Company**

Meeting Date: 05/03/2022

Country: USA

Ticker: AXP

Proxy Level: 3

**Record Date:** 03/07/2022

Meeting Type: Annual

Meeting ID: 1622793

Primary Security ID: 025816109

**Primary CUSIP:** 025816109

**Primary ISIN:** US0258161092

Additional Policy:

Primary SEDOL: 2026082

Earliest Cutoff Date: 05/02/2022	Total Ballots: 1	Voting Policy: ISS	Additional Policy:
Votable Shares: 12,564	*Shares on Loan: 0	Shares Instructed: 12,564	Shares Voted: 12,564

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Thomas J. Baltimore	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1b		Elect Director Charlene Barshefsky	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1c		Elect Director John J. Brennan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1d		Elect Director Peter Chernin	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1e		Elect Director Ralph de la Vega	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1f		Elect Director Michael O. Leavitt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1g		Elect Director Theodore J. Leonsis	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
Voting Policy Rationale: A vote FOR the director nominees is warranted.												
		Blended Rationale: A vote FOR the director nominees is warranted.										
1h		Elect Director Karen L. Parkhill	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1i		Elect Director Charles E. Phillips	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nominees is warranted.										

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **American Express Company**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
1j		Elect Director Lynn	ı A. Pike		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Ration	nale: A vote FOR t	the director	nominees is v	varranted.								
		Blended Rationale:	A vote FOR the di	irector nom	ninees is warra	nted.								
1k		Elect Director Step	hen J. Squeri		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Ration	nale: A vote FOR t	the director	nominees is v	varranted.								
		Blended Rationale:	A vote FOR the di	irector nom	ninees is warra	nted.								
11		Elect Director Dani	el L. Vasella		Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Ration	nale: A vote FOR t	the director	nominees is v	varranted.								
		Blended Rationale:	A vote FOR the di	irector nom	ninees is warra	nted.								
1m		Elect Director Lisa	ect Director Lisa W. Wardell  ting Policy Rationale: A vote FOR the directo			Yes	For	For		For	For	No	No	No
		Voting Policy Ration	nale: A vote FOR t	he director	nominees is i	varranted.								
		Blended Rationale:	A vote FOR the di	irector nom	ninees is warra	nted.								
1n		Elect Director Chris	stopher D. Young		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Ration	nale: A vote FOR t	he director	nominees is i	varranted.								
		Blended Rationale:	A vote FOR the di	irector nom	ninees is warra	nted.								
2		Ratify Pricewaterho Auditors	ouseCoopers LLP a	as	Mgmt	Yes	For	For		For	For	No	No	No
3		,	uditors dvisory Vote to Ratify Named xecutive Officers' Compensation			Yes	For	For		For	For	No	No	No
4		Require Independe	Require Independent Board Chair			Yes	Against	Against		Against	For	Yes	Yes	Yes
Ballot Deta	ails													
Institutional (IA Name, IA	Account Detail A Number)	Custodian Account Number Ballot Status			Instructed		Approved	i	Ballot Voting	Status	Votable Sh	nares	Shares \	/oted
CAT, 391692		000391692 Confirmed			jbisset		jbisset				12,564	1	12,5	64
			04/25/2022				04/25/202	2						

#### **Meeting Results**

Outstanding Shares Voted: 757,288,906

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	20.4%	474,033,987	79.6%	121,378,514	20.4%	566,519	N/A	Proxy	F+A
1b	Υ	Pass	No	For	1.8%	584,659,884	98.2%	10,827,262	1.8%	491,874	N/A	Proxy	F+A
1c	Y	Pass	No	For	0.7%	591,017,498	99.3%	4,391,048	0.7%	570,474	N/A	Proxy	F+A
1d	Υ	Pass	No	For	8.2%	546,498,637	91.8%	48,643,326	8.2%	837,057	N/A	Proxy	F+A
1e	Υ	Pass	No	For	0.5%	592,138,818	99.5%	3,214,526	0.5%	625,676	N/A	Proxy	F+A
1f	Υ	Pass	No	For	2.0%	583,105,402	98.0%	12,055,780	2.0%	817,838	N/A	Proxy	F+A
1g	Y	Pass	No	For	4.1%	570,551,344	95.9%	24,618,491	4.1%	809,185	N/A	Proxy	F+A

Total Shares:

12,564

12,564

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1h	Y	Pass	No	For	0.2%	594,212,117	99.8%	1,290,461	0.2%	476,442	N/A	Proxy	F+A
1i	Υ	Pass	No	For	0.6%	592,048,919	99.4%	3,312,380	0.6%	617,721	N/A	Proxy	F+A
1j	Υ	Pass	No	For	0.5%	592,792,907	99.5%	2,685,445	0.5%	500,668	N/A	Proxy	F+A
1k	Υ	Pass	No	For	5.0%	564,696,146	95.0%	29,681,357	5.0%	1,601,517	N/A	Proxy	F+A
11	Υ	Pass	No	For	3.1%	576,830,349	96.9%	18,317,147	3.1%	831,524	N/A	Proxy	F+A
1m	Υ	Pass	No	For	1.0%	589,607,030	99.0%	5,877,228	1.0%	494,762	N/A	Proxy	F+A
1n	Υ	Pass	No	For	2.0%	583,148,389	98.0%	11,982,251	2.0%	848,380	N/A	Proxy	F+A
2	Υ	Pass	No	For	1.6%	638,940,663	98.4%	10,490,963	1.6%	381,012	N/A	Proxy	F+A
3	Y	Pass	No	For	4.4%	566,462,109	95.6%	25,952,699	4.4%	3,564,212	N/A	Proxy	F+A
4	Υ	Fail	No	Against	22.2%	132,054,164	22.2%	462,758,910	77.8%	1,165,946	N/A	Proxy	F+A

### **Barrick Gold Corporation**

Meeting Date: 05/03/2022

**Record Date:** 03/04/2022 **Primary Security ID:** 067901108

**Country:** Canada **Meeting Type:** Annual

**Primary CUSIP:** 067901108

Ticker: ABX

**Meeting ID:** 1605626

Primary ISIN: CA0679011084

**Proxy Level:** 3

Primary SEDOL: 2024644

**Earliest Cutoff Date:** 04/28/2022 **Votable Shares:** 156,362

Total Ballots: 1
\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 156,362

Additional Policy: Shares Voted: 156,362

Votable Si	iales: 150,502	"Silates on Loan: 0			Silaies II	istructeu: 150	,302		Silares voteu	130,302		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Mark Bristow	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propose	ed nominees as	no significan	nt concerns i	have been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed no	minees as no si	ignificant cor	ncerns have	been identifie	ed at this time.					
1.2		Elect Director Helen Cai	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propose	ed nominees as	no significar	nt concerns i	have been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed no	minees as no si	ignificant cor	ncerns have	been identifi	ed at this time.					
1.3		Elect Director Gustavo A. Cisneros	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propose	ed nominees as	no significar	nt concerns	have been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed no	minees as no si	ignificant cor	ncerns have	been identifie	ed at this time.					
1.4		Elect Director Christopher L. Coleman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propose	ed nominees as	no significar	nt concerns	have been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed no	minees as no si	ignificant cor	ncerns have	been identifie	ed at this time.					
1.5		Elect Director J. Michael Evans	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propose	ed nominees as	no significan	nt concerns i	have been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed no	minees as no si	ignificant cor	ncerns have	been identifie	ed at this time.	•				
1.6		Elect Director Brian L. Greenspun	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propose	ed nominees as	no significan	nt concerns i	have been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed no	minees as no si	ignificant cor	ncerns have	been identifie	ed at this time.					

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Barrick Gold Corporation**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.7		Elect Director J. Brett Harvey		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote Fo	OR all proposed	nominees as r	no significan	t concerns h	ave been idei	ntified at this t	ime.				
		Blended Rationale: Vote FOR a	ll proposed nom	inees as no sig	gnificant con	cerns have b	peen identifie	d at this time.					
1.8		Elect Director Anne Kabagamb	e	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote Fo	OR all proposed	nominees as r	no significan	t concerns h	ave been idei	ntified at this t	ime.				
		Blended Rationale: Vote FOR a	ll proposed nom	inees as no sig	gnificant con	cerns have b	peen identifie	d at this time.					
1.9		Elect Director Andrew J. Quinn	ı	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote Fo	OR all proposed	nominees as r	no significan	t concerns h	ave been idei	ntified at this t	ime.				
		Blended Rationale: Vote FOR a	ll proposed nom	inees as no sig	gnificant con	cerns have b	peen identifie	d at this time.					
1.10		Elect Director Loreto Silva		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote Fo	OR all proposed	nominees as r	no significan	t concerns h	ave been idei	ntified at this t	ime.				
		Blended Rationale: Vote FOR a	ll proposed nom	inees as no sig	gnificant con	cerns have b	peen identifie	d at this time.					
1.11		Elect Director John L. Thornton	n	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote F	OR all proposed	nominees as r	no significan	t concerns h	ave been ide	ntified at this t	ime.				
		Blended Rationale: Vote FOR a	ll proposed nom	inees as no sig	gnificant con	cerns have b	peen identifie	d at this time.					
2		Approve PricewaterhouseCoop as Auditors and Authorize Boar Their Remuneration		Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote on Executive Compensation Approach		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable S	nares	Shares '	Voted
CAT, 391692		000391692	Confirmed	jbisset		jbisset				156,36	52	156,	362
				04/21/2022	2	04/21/202	22						
									Total Shares:	156,3	52	156,3	362

### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	0.8%	1,140,613,452	99.2%	9,265,531	0.8%	N/A	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	0.8%	1,140,640,796	99.2%	9,238,187	0.8%	N/A	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	12.8%	1,002,985,227	87.2%	146,893,756	12.8%	N/A	N/A	Proxy	F+A
1.4	Y	Pass	No	For	6.5%	1,074,654,343	93.5%	75,224,640	6.5%	N/A	N/A	Proxy	F+A
1.5	Y	Pass	No	For	7.0%	1,068,880,403	93.0%	80,998,580	7.0%	N/A	N/A	Proxy	F+A
1.6	Y	Pass	No	For	5.1%	1,091,664,049	94.9%	58,214,934	5.1%	N/A	N/A	Proxy	F+A
1.7	Y	Pass	No	For	6.2%	1,079,124,936	93.8%	70,754,047	6.2%	N/A	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.8	Y	Pass	No	For	0.8%	1,140,105,168	99.2%	9,773,815	0.8%	N/A	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	0.8%	1,140,362,907	99.2%	9,516,076	0.8%	N/A	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	2.9%	1,116,053,879	97.1%	33,825,104	2.9%	N/A	N/A	Proxy	F+A
1.11	Υ	Pass	No	For	12.6%	1,004,668,858	87.4%	145,210,125	12.6%	N/A	N/A	Proxy	F+A
2	Υ	Pass	No	For	11.6%	1,116,834,090	88.4%	146,909,395	11.6%	N/A	N/A	Proxy	F+A
3	Υ	Pass	No	For	6.9%	1,070,634,153	93.1%	79,315,934	6.9%	N/A	N/A	Proxy	F+A

### **Bristol-Myers Squibb Company**

Meeting Date: 05/03/2022

Earliest Cutoff Date: 05/02/2022

Country: USA

Ticker: BMY

Proxy Level: 3

**Record Date:** 03/14/2022

Meeting Type: Annual

**Meeting ID:** 1625274

**Primary ISIN:** US1101221083

Primary SEDOL: 2126335

Primary Security ID: 110122108

**Primary CUSIP:** 110122108

Voting Policy:  ${\sf ISS}$ 

Additional Policy:

Votable Shares: 18,061

Total Ballots: 1
\*Shares on Loan: 0

Shares Instructed: 18,061

Shares Voted: 18,061

votable Si	nares: 18,001	*Snares on Loan: 0			Snares 1	nstructea: 18,	001		Snares voted	1: 18,001		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1A		Elect Director Peter J. Arduini	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is war	ranted.								
1B		Elect Director Giovanni Caforio	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is war	ranted.								
1C		Elect Director Julia A. Haller	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is war	ranted.								
1D		Elect Director Manuel Hidalgo Medina	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is war	ranted.								
1E		Elect Director Paula A. Price	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is war	ranted.								
1F		Elect Director Derica W. Rice	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is war	ranted.								
1G		Elect Director Theodore R. Samuels	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is war	ranted.								

### **Bristol-Myers Squibb Company**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1H		Elect Director Gerald L. Storch	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the director	r nominees is I	warranted.								
		Blended Rationale: A vote FOR the director non	ninees is warra	anted.								
1I		Elect Director Karen H. Vousden	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is I	warranted.								
		Blended Rationale: A vote FOR the director non	ninees is warra	anted.								
1J		Elect Director Phyllis R. Yale	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is l	warranted.								
		Blended Rationale: A vote FOR the director non	ninees is warra	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify Deloitte & Touche LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propose percent would improve shareholders' ability to unilaterally to call a special meeting at the prop	use the special	l meeting rig		•						
		Blended Rationale: A vote FOR this proposal is would improve shareholders' ability to use the s to call a special meeting at the proposed thresh	special meeting	-			•	•	lly			
5		Require Independent Board Chair	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this non-bir robust form of independent board oversight, in			-			the most				
		Blended Rationale: A vote FOR this non-binding form of independent board oversight, in the form		-				nost robust				

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	000391692	Confirmed	jbisset	jbisset		18,061	18,061
			04/25/2022	04/25/2022			
					Total Shares:	18,061	18,061

#### **Meeting Results**

Outstanding Shares Voted: 2,125,202,527

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1A	Y	Pass	No	For	2.5%	1,511,376,590	97.5%	38,188,121	2.5%	2,807,890	N/A	Proxy	F+A
1B	Υ	Pass	No	For	6.8%	1,438,738,311	93.2%	104,835,940	6.8%	8,798,350	N/A	Proxy	F+A
1C	Υ	Pass	No	For	0.7%	1,539,003,301	99.3%	10,417,583	0.7%	2,951,717	N/A	Proxy	F+A
1D	Υ	Pass	No	For	0.5%	1,542,361,836	99.5%	7,265,817	0.5%	2,744,948	N/A	Proxy	F+A
1E	Y	Pass	No	For	3.6%	1,494,413,964	96.4%	55,309,321	3.6%	2,649,316	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1F	Y	Pass	No	For	5.3%	1,468,034,295	94.7%	81,546,149	5.3%	2,792,157	N/A	Proxy	F+A
1G	Υ	Pass	No	For	3.9%	1,468,944,084	96.1%	59,607,951	3.9%	23,820,566	N/A	Proxy	F+A
1H	Υ	Pass	No	For	3.6%	1,493,429,987	96.4%	56,069,460	3.6%	2,873,154	N/A	Proxy	F+A
1I	Υ	Pass	No	For	2.5%	1,510,991,645	97.5%	38,870,433	2.5%	2,510,523	N/A	Proxy	F+A
1J	Υ	Pass	No	For	1.4%	1,527,951,521	98.6%	21,828,703	1.4%	2,592,377	N/A	Proxy	F+A
2	Υ	Pass	No	For	8.6%	1,419,400,241	91.4%	125,669,113	8.1%	7,303,247	0.5%	Proxy	F+A+AB
3	Υ	Pass	No	For	3.1%	1,774,670,025	96.9%	53,395,901	2.9%	3,829,831	0.2%	Proxy	F+A+AB
4	Υ	Fail	No	Against	34.7%	532,905,781	34.3%	1,012,865,048	65.2%	6,601,772	0.4%	Proxy	F+A+AB
5	Y	Fail	No	Against	45.1%	692,098,202	44.6%	852,696,749	54.9%	7,577,650	0.5%	Proxy	F+A+AB

### **IAMGOLD Corporation**

Meeting Date: 05/03/2022 Record Date: 03/29/2022

Earliest Cutoff Date: 04/28/2022

Votable Shares: 148,065

Primary Security ID: 450913108

Country: Canada
Meeting Type: Annual

**Primary CUSIP:** 450913108

Total Ballots: 1

\*Shares on Loan: 0

Ticker: IMG

**Meeting ID:** 1605081

Shares Instructed: 148,065

**Primary ISIN:** CA4509131088

Voting Policy: ISS Additional Policy:

Shares Voted: 148,065

Primary SEDOL: 2446646

Proxy Level: 3

							.,			-,		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Ian Ashby	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propose	ed nominees as	no significan	nt concerns	have been id	entified at this	time.				
		Blended Rationale: Vote FOR all proposed no	ominees as no s	ignificant cor	ncerns have	been identifi	ied at this time					
1.2		Elect Director Maryse Belanger	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propose	ed nominees as	no significar	nt concerns	have been id	entified at this	time.				
		Blended Rationale: Vote FOR all proposed no	ominees as no s	ignificant cor	ncerns have	been identifi	ied at this time					
1.3		Elect Director Ann Masse	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propose	ed nominees as	no significar	nt concerns	have been id	entified at this	time.				
		Blended Rationale: Vote FOR all proposed no	ominees as no s	ignificant cor	ncerns have	been identifi	ied at this time					
1.4		Elect Director Lawrence Peter O'Hagan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propose	ed nominees as	no significan	nt concerns	have been id	entified at this	time.			-	
		Blended Rationale: Vote FOR all proposed no	ominees as no s	ignificant cor	ncerns have	been identifi	ied at this time					
1.5		Elect Director Kevin O'Kane	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propose	ed nominees as	no significan	nt concerns	have been id	entified at this	time.			-	
		Blended Rationale: Vote FOR all proposed no	ominees as no s	ignificant cor	ncerns have	been identifi	ied at this time					
1.6		Elect Director David Smith	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propose	ed nominees as	no significan	nt concerns	have been id	entified at this	time.				
		Blended Rationale: Vote FOR all proposed no	ominees as no s	ignificant cor	ncerns have	been identifi	ied at this time				-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **IAMGOLD Corporation**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.7		Elect Director Deborah Starkma	in	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FC	OR all proposed	nominees as r	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR al	proposed nomi	inees as no sig	gnificant con	cerns have l	been identifie	ed at this time.					
1.8		Elect Director Anne Marie Tout	ant	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FC											
		Blended Rationale: Vote FOR al	proposed nomi	inees as no sig	gnificant con	cerns have l	been identifie	ed at this time.					
2		Blended Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.  Approve KPMG LLP as Auditors and Mgmt Yes For For For No Authorize Board to Fix Their Remuneration										No	No
3		Advisory Vote on Executive Compensation Approach		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	nils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable S	hares	Shares '	Voted
CAT, 391692		000391692	Confirmed	jbisset		jbisset				148,06	55	148,	065
				04/12/2022	2	04/12/20	22						

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	0.6%	288,492,555	99.4%	1,754,081	0.6%	N/A	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	4.6%	277,014,951	95.4%	13,231,685	4.6%	N/A	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	0.7%	288,347,716	99.3%	1,898,920	0.7%	N/A	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	0.7%	288,358,347	99.3%	1,888,289	0.7%	N/A	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	1.3%	286,481,744	98.7%	3,764,892	1.3%	N/A	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	1.0%	287,234,459	99.0%	3,012,177	1.0%	N/A	N/A	Proxy	F+A
1.7	Y	Pass	No	For	1.7%	285,328,718	98.3%	4,917,918	1.7%	N/A	N/A	Proxy	F+A
1.8	Y	Pass	No	For	0.7%	288,287,413	99.3%	1,959,223	0.7%	N/A	N/A	Proxy	F+A
2	Y	Pass	No	For	8.1%	307,402,189	91.9%	27,080,671	8.1%	N/A	N/A	Proxy	F+A
3	Υ	Pass	No	For	7.8%	267,637,287	92.2%	22,609,049	7.8%	N/A	N/A	Proxy	F+A

### **Barclays Plc**

Meeting Date: 05/04/2022 **Record Date:** 04/29/2022 Primary Security ID: G08036124

Country: United Kingdom Meeting Type: Annual

Ticker: BARC

Proxy Level: N/A

Total Shares:

148,065

148,065

**Meeting ID:** 1610879 Primary CUSIP: G08036124

Primary ISIN: GB0031348658

Primary SEDOL: 3134865

# **Barclays Plc**

Earliest Cutoff Date: 04/28/2022

Total Ballots: 1

Voting Policy: ISS

Votable Shares: 54,750 \*Shares on Loan: 0

Shares Instructed: 54,750

Additional Policy:

Shares Voted: 54,750

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect Coimbatore Venkatakrishnan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	idered warra	nted, as no	material con	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	s have been ide	entified.				
4		Elect Robert Berry as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	idered warra	nted, as no	material con	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	s have been ide	entified.				
5		Elect Anna Cross as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	dered warra	nted, as no l	material con	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considere	d warranted,	as no mate	rial concerns	s have been ide	entified.				
6		Re-elect Mike Ashley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	idered warra	nted, as no l	material con	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	s have been ide	entified.				
7		Re-elect Tim Breedon as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	dered warra	nted, as no l	material con	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	s have been ide	entified.				
8		Re-elect Mohamed A. El-Erian as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	dered warra	nted, as no	material con	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considere	d warranted,	as no mate	rial concerns	s have been ide	entified.				
9		Re-elect Dawn Fitzpatrick as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	idered warra	nted, as no	material con	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	s have been ide	entified.				
10		Re-elect Mary Francis as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	dered warra	nted, as no	material con	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	s have been ide	entified.				
11		Re-elect Crawford Gillies as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	dered warra	nted, as no	material con	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	s have been ide	entified.				
12		Re-elect Brian Gilvary as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	dered warra	nted, as no l	material con	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considere	d warranted,	as no mate	rial concerns	s have been ide	entified.				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Barclays Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
13		Re-elect Nigel Higgins as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	idered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considere	d warranted,	as no mate	erial concerns	have been ide	entified.				
14		Re-elect Diane Schueneman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	idered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considere	d warranted,	as no mate	erial concerns	s have been ide	entified.				
15		Re-elect Julia Wilson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	idered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considere	d warranted,	as no mate	erial concerns	s have been ide	entified.				
16		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise the Board Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
18		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	lutions is warr	anted becaus	se the propo	osed amounts	s and durations	s are within				
20		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because th	e proposed	amounts and	l durations are	within				
20		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resormenmended limits.	lutions is warr	anted becaus	se the propo	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because th	e proposed	amounts and	l durations are	within				
21		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	lutions is warra	anted becau	se the propo	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because th	e proposed	amounts and	l durations are	within				
22		Authorise Issue of Equity in Relation to the Issuance of Contingent Equity Conversion Notes.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reso the Company were to issue Equity Conversion ordinary shares, this would result in significant Such authorities are common proposals at UK conversion into ordinary shares would require well beyond the Company's current position an	Notes (ECNs) of dilution to now banks and are the CET1 ratio	and a triggei n-participatin intended to to fall to a l	r event were ng sharehold apply in ext evel which v	e to occur, ca lers. The mai reme circums	using them to in reasons for s stances only; a	convert into support are: and * The	*			
		Blended Rationale: A vote FOR these resolution. Company were to issue Equity Conversion Note ordinary shares, this would result in significant Such authorities are common proposals at UK conversion into ordinary shares would require well beyond the Company's current position an	es (ECNs) and dilution to not banks and are the CET1 ratio	a trigger even n-participatin intended to to fall to a l	ent were to o ng sharehold apply in ext evel which v	occur, causin lers. The mai reme circums	g them to con in reasons for s stances only; a	vert into support are: and * The	*			

# **Barclays Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
23		Authorise Issue of Equity with Pre-emptive Rights in Relatior Issuance of Contingent Equity Conversion Notes.	to the	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote the Company were to issue Eq ordinary shares, this would res Such authorities are common conversion into ordinary share well beyond the Company's cu	uity Conversion i sult in significant proposals at UK L s would require t	Notes (ECNs) a dilution to non banks and are the CET1 ratio	and a trigger n-participatin intended to to fall to a lo	event were ng shareholo apply in ext evel which w	to occur, ca lers. The mai reme circums	using them to in reasons for s stances only; a	convert into support are: nd * The	*			
		well beyond the Company's current position and minimum regulatory requirements.  Blended Rationale: A vote FOR these resolutions is warranted, although this is not without concern for shareholders: * If the Company were to issue Equity Conversion Notes (ECNs) and a trigger event were to occur, causing them to convert into ordinary shares, this would result in significant dilution to non-participating shareholders. The main reasons for support are: * Such authorities are common proposals at UK banks and are intended to apply in extreme circumstances only; and * The conversion into ordinary shares would require the CET1 ratio to fall to a level which would denote significant capital weakness well beyond the Company's current position and minimum regulatory requirements.											
24		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
25		Authorise the Company to Cal Meeting with Two Weeks' Not		Mgmt	Yes	For	For		For	For	No	No	No
26		Approve Barclays' Climate Stra Targets and Progress 2022	ategy,	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detai	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable S	hares	Shares \	Voted
CAT, 391692		391692	Confirmed	jbisset		jbisset				54,75	0	54,7	750
				04/27/2022		04/27/20	22						

Total Shares:

54,750

54,750

### **Meeting Results**

Outstanding Shares Voted: 16,769,307,716

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.4%	11,370,192,975	99.6%	42,249,957	0.4%	51,159,767	N/A	Poll	F+A
2	Υ	Pass	No	For	11.0%	10,193,013,827	89.0%	1,255,388,727	11.0%	15,189,796	N/A	Poll	F+A
3	Y	Pass	No	For	0.3%	11,408,432,166	99.7%	38,457,409	0.3%	16,705,032	N/A	Poll	F+A
4	Y	Pass	No	For	0.3%	11,406,754,559	99.7%	39,277,758	0.3%	17,562,290	N/A	Poll	F+A
5	Y	Pass	No	For	0.6%	11,377,885,907	99.4%	67,974,951	0.6%	17,733,745	N/A	Poll	F+A
6	Y	Pass	No	For	2.6%	11,143,328,173	97.4%	302,829,395	2.6%	17,445,128	N/A	Poll	F+A
7	Y	Pass	No	For	1.2%	11,305,790,590	98.8%	139,917,399	1.2%	17,886,614	N/A	Poll	F+A
8	Y	Pass	No	For	1.1%	11,261,462,765	98.9%	126,380,073	1.1%	75,714,452	N/A	Poll	F+A
9	Y	Pass	No	For	0.5%	11,383,933,847	99.5%	62,389,332	0.5%	17,271,424	N/A	Poll	F+A
10	Y	Pass	No	For	0.7%	11,370,246,574	99.3%	76,129,648	0.7%	17,218,381	N/A	Poll	F+A
11	Υ	Pass	No	For	1.4%	11,285,576,744	98.6%	160,377,664	1.4%	17,640,199	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
12	Y	Pass	No	For	1.9%	11,234,103,167	98.1%	211,865,132	1.9%	17,626,308	N/A	Poll	F+A
13	Υ	Pass	No	For	6.2%	10,735,148,686	93.8%	712,179,320	6.2%	16,266,597	N/A	Poll	F+A
14	Υ	Pass	No	For	1.3%	11,300,180,952	98.7%	146,080,001	1.3%	17,333,654	N/A	Poll	F+A
15	Y	Pass	No	For	0.3%	11,417,244,655	99.7%	29,089,359	0.3%	17,260,589	N/A	Poll	F+A
16	Y	Pass	No	For	1.3%	11,300,158,021	98.7%	148,322,981	1.3%	15,113,601	N/A	Poll	F+A
17	Y	Pass	No	For	0.1%	11,440,080,182	99.9%	9,013,748	0.1%	14,500,676	N/A	Poll	F+A
18	Y	Pass	No	For	1.0%	11,198,591,631	99.0%	112,492,402	1.0%	152,510,570	N/A	Poll	F+A
19	Y	Pass	No	For	5.5%	10,818,544,405	94.5%	630,264,985	5.5%	14,785,213	N/A	Poll	F+A
20	Y	Pass	No	For	2.5%	11,160,246,912	97.5%	287,483,293	2.5%	15,864,402	N/A	Poll	F+A
21	Y	Pass	No	For	3.9%	10,996,934,143	96.1%	450,700,722	3.9%	15,959,742	N/A	Poll	F+A
22	Υ	Pass	No	For	1.7%	11,252,640,901	98.3%	194,169,214	1.7%	16,784,487	N/A	Poll	F+A
23	Υ	Pass	No	For	2.2%	11,194,105,433	97.8%	252,009,639	2.2%	17,479,530	N/A	Poll	F+A
24	Υ	Pass	No	For	1.4%	11,269,172,494	98.6%	157,112,355	1.4%	37,309,759	N/A	Poll	F+A
25	Υ	Pass	No	For	3.9%	11,004,205,590	96.1%	444,501,721	3.9%	14,887,295	N/A	Poll	F+A
26	Y	Pass	No	For	19.2%	9,171,063,235	80.8%	2,177,434,005	19.2%	115,105,456	N/A	Poll	F+A

### **General Electric Company**

Meeting Date: 05/04/2022 **Record Date:** 03/08/2022

Primary Security ID: 369604301

Earliest Cutoff Date: 05/03/2022

Country: USA

Meeting Type: Annual

Primary CUSIP: 369604301

\*Shares on Loan: 0

**Total Ballots: 1** 

Ticker: GE Proxy Level: 4

Meeting ID: 1622256 Primary ISIN: US3696043013

Voting Policy: ISS

**Additional Policy:** Shares Voted: 5,676

Primary SEDOL: BL59CR9

Votable Shares: 5,676 Shares Instructed: 5,676 Additional Voting Vote Vote Significant Votable Policy Vote Against Against Against Proposal Mgmt Policy Proposal Text ISS Rec Number Vote **Proponent** Proposal Rec Rec Rec Instruction Mgmt Policy ISS 1a Elect Director Stephen Angel Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. Elect Director Sebastien Bazin For For No 1b Mgmt For For No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1c Elect Director Ashton Carter Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1d Elect Director H. Lawrence Culp, Jr. Mgmt For For For No For No No Voting Policy Rationale: A vote FOR the director nominees is warranted.

Blended Rationale: A vote FOR the director nominees is warranted.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **General Electric Company**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1e		Elect Director Francisco D'Souza	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
1f		Elect Director Edward Garden	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
1g		Elect Director Isabella Goren	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
1h		Elect Director Thomas Horton	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
1i		Elect Director Risa Lavizzo-Mourey	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
1j		Elect Director Catherine Lesjak	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
1k		Elect Director Tomislav Mihaljevic	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
11		Elect Director Paula Rosput Reynolds	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
1m		Elect Director Leslie Seidman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this part to last year's failed say-on-pay vote by lowering similarly adjust performance metrics for previous. The committee made a sizable metrics for both the short- and long-term incentive awards shifted from a three-year permetrics.  Blended Rationale: A vote AGAINST this proposed year's failed say-on-pay vote by lowering the cadjust performance metrics for previously grant review. The committee made a sizable adjust awards thifted from a three year parformance.	ng the CEO's Finally granted a adjustment to ntive awards, a formance periodes also warrantes (CEO's FY22 equated awards. In ment to free cawards, and the causily granted awards, and the causely granted awards.	Y22 equity g wards. How free cash fla and the adju od to a single ed. The com, uity grant by lowever, pay ish flow for h adjustment	rant by \$10 ever, pay-fo ow for FY21, stment is no e, annual pe opensation co \$10 million e-for-perform e-fy21, which is not well-e	million and n r-performanc which appea t well-explain enformance po mmittee was and making nance concer appears to a explained. In a	making a comme te concerns are ars to affect th ned. In additio eriod for the tw a sufficiently re a commitment as are raised fo ffect the perfor addition, long-	nitment not to a raised for the performant of the performant of the performant of the performant of the year in the year in the year in the performance metiterm incentii	to the oce last arly in			

awards shifted from a three-year performance period to a single, annual performance period for the two primary metrics.

### **General Electric Company**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Ratify Deloitte & Touche LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Omnibus Stock Plan	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Cessation of All Executive Stock Option and Bonus Programs	SH	Yes	Against	Against		Against	Against	No	No	No
6		Submit Severance Agreement (Change-in-Control) to Shareholder Vote	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Relias Retionales A vote FOR this item	a is warmantad si	i on that it is	nasitiva fau	sharahaldar	a ta baya tha	hilitu ta wat				

Voting Policy Rationale: A vote FOR this item is warranted given that it is positive for shareholders to have the ability to vote on severance amounts that exceed market norms, the proposal applies only to future severance arrangements, leaving current agreements unaffected, and the proposal offers flexibility as to when the board may seek shareholder approval of a new or renewed severance arrangement, such as at the next annual meeting.

Blended Rationale: A vote FOR this item is warranted given that it is positive for shareholders to have the ability to vote on severance amounts that exceed market norms, the proposal applies only to future severance arrangements, leaving current agreements unaffected, and the proposal offers flexibility as to when the board may seek shareholder approval of a new or renewed severance arrangement, such as at the next annual meeting.

Approve Nomination of Employee Representative Director Yes

SH

Against

Against

Against

Against

N

No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	000391692	Confirmed	jbisset	jbisset		5,676	5,676
			04/28/2022	04/28/2022	_		
					Total Shares:	5,676	5,676

#### **Meeting Results**

Outstanding Shares Voted: 1,101,751,355

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	0.7%	739,036,647	99.3%	5,271,341	0.7%	1,712,028	N/A	Proxy	F+A
1b	Υ	Pass	No	For	8.9%	677,985,798	91.1%	66,330,288	8.9%	1,703,930	N/A	Proxy	F+A
1c	Υ	Pass	No	For	2.2%	728,330,317	97.8%	16,011,340	2.2%	1,678,359	N/A	Proxy	F+A
1d	Υ	Pass	No	For	5.3%	705,008,080	94.7%	39,354,660	5.3%	1,657,276	N/A	Proxy	F+A
1e	Υ	Pass	No	For	8.4%	681,539,057	91.6%	62,795,701	8.4%	1,685,258	N/A	Proxy	F+A
1f	Υ	Pass	No	For	9.4%	674,143,368	90.6%	70,156,378	9.4%	1,720,270	N/A	Proxy	F+A
1g	Υ	Pass	No	For	0.7%	738,978,998	99.3%	5,453,438	0.7%	1,587,580	N/A	Proxy	F+A
1h	Υ	Pass	No	For	20.7%	590,378,372	79.3%	153,943,931	20.7%	1,697,713	N/A	Proxy	F+A
1i	Υ	Pass	No	For	5.2%	704,380,479	94.8%	38,827,578	5.2%	2,811,959	N/A	Proxy	F+A
1j	Υ	Pass	No	For	2.5%	725,485,303	97.5%	18,963,177	2.5%	1,571,536	N/A	Proxy	F+A
1k	Υ	Pass	No	For	0.8%	738,607,016	99.2%	5,691,690	0.8%	1,721,310	N/A	Proxy	F+A
11	Υ	Pass	No	For	8.4%	681,533,797	91.6%	62,889,572	8.4%	1,596,647	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1m	Υ	Pass	No	For	2.2%	727,790,426	97.8%	16,590,879	2.2%	1,638,711	N/A	Proxy	F+A
2	Υ	Pass	No	For	34.5%	486,608,605	65.5%	256,337,513	34.5%	3,073,898	N/A	Proxy	F+A
3	Υ	Pass	No	For	0.5%	876,945,753	99.5%	4,028,094	0.5%	2,089,815	N/A	Proxy	F+A
4	Υ	Pass	No	For	7.2%	689,244,390	92.8%	53,874,784	7.2%	2,900,842	N/A	Proxy	F+A
5	Υ	Fail	No	Against	1.9%	14,161,225	1.9%	727,850,067	98.1%	4,008,724	N/A	Proxy	F+A
6	Υ	Fail	No	Against	36.2%	269,056,908	36.2%	473,798,432	63.8%	3,164,676	N/A	Proxy	F+A
7	Υ	Fail	No	Against	4.7%	34,732,629	4.7%	707,970,853	95.3%	3,316,534	N/A	Proxy	F+A

#### **GlaxoSmithKline Plc**

Meeting Date: 05/04/2022 **Record Date:** 04/29/2022

Primary Security ID: G3910J112

Earliest Cutoff Date: 04/28/2022 Votable Shares: 90,715

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G3910J112

**Total Ballots: 1** 

\*Shares on Loan: 0

Ticker: GSK

Meeting ID: 1616361

Primary ISIN: GB0009252882

Voting Policy: ISS

Shares Instructed: 90,715

Proxy Level: N/A

Primary SEDOL: 0925288

Additional Policy: Shares Voted: 90,715

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Remuneration Policy	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes

Voting Policy Rationale: A vote AGAINST the remuneration policy is warranted because: \* The Company is seeking to increase bonus opportunity from 2x to 3x. salary. The amplified bonus opportunity would create the highest bonus potential, as a multiple of salary, for any company in the FTSE 10. It is acknowledged that the higher limit requires higher performance, only materializing on outperformance of the Company's new strategic targets. It is also noted that there would be lower pay for below target performance. In addition, the targets are firmly rooted in the new strategy and there is a clear link between the profit and sales objectives, as announced in June 2021, and new pay arrangements. However, these mitigating factors do not offset concerns with the quantum; the increased emphasis on short -term performance; or the precedent that this level of bonus opportunity would create. Further, the demerger will decrease the Company's market cap and the complexity of its operations. The proposed increase does not appear consistent with this background.

Blended Rationale: A vote AGAINST the remuneration policy is warranted because: \* The Company is seeking to increase bonus opportunity from 2x to 3x. salary. The amplified bonus opportunity would create the highest bonus potential, as a multiple of salary, for any company in the FTSE 10. It is acknowledged that the higher limit requires higher performance, only materializing on outperformance of the Company's new strategic targets. It is also noted that there would be lower pay for below target performance. In addition, the targets are firmly rooted in the new strategy and there is a clear link between the profit and sales objectives, as announced in June 2021, and new pay arrangements. However, these mitigating factors do not offset concerns with the quantum; the increased emphasis on short -term performance; or the precedent that this level of bonus opportunity would create. Further, the demerger will decrease the Company's market cap and the complexity of its operations. The proposed increase does not appear consistent with this background.

Voting Policy Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.

Yes

Blended Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.

Mgmt

Elect Harry Dietz as Director Mgmt For For No

For

For

For

For

Nο

Nο

No

No

No

Voting Policy Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.

Blended Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.

Elect Anne Beal as Director

### **GlaxoSmithKline Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6		Re-elect Sir Jonathan Symonds as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is consi	idered warra	nted as no i	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.										
7		Re-elect Dame Emma Walmsley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.										
		Blended Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.										
8		Re-elect Charles Bancroft as Director	Mgmt	Yes	For	For		For	For	No	No	No
		oting Policy Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.										
	Blended Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.											
9		Re-elect Vindi Banga as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is consi	idered warra	nted as no i	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.										
10		Re-elect Hal Barron as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is consi	idered warra	nted as no i	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	tes is considere	d warranted	as no mate	rial concerns	have been ide	ntified.				
11		Re-elect Dame Vivienne Cox as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.										
		Blended Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.										
12		Re-elect Lynn Elsenhans as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is consi	idered warra	nted as no i	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.										
13		Re-elect Laurie Glimcher as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is consi	idered warra	nted as no i	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	tes is considere	d warranted	as no mate	rial concerns	have been ide	ntified.				
14		Re-elect Jesse Goodman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is consi	idered warra	nted as no i	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.										
15		Re-elect Iain Mackay as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is consi	idered warra	nted as no i	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	tes is considere	d warranted	as no mate	rial concerns	have been ide	ntified.				
16		Re-elect Urs Rohner as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is consi	idered warra	nted as no i	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.										
17		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
18		Authorise the Audit & Risk Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **GlaxoSmithKline Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
19		Authorise UK Political Donations Expenditure	s and	Mgmt	Yes	For	For		For	For	No	No	No	
20		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote I recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within					
		Blended Rationale: A vote FOR to recommended limits.	these resolution	ns is warranted	d because the	e proposed a	amounts and	durations are	within					
21		Authorise Issue of Equity witho Pre-emptive Rights	ut	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote I recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	s and durations	are within					
		Blended Rationale: A vote FOR to recommended limits.	these resolution	ns is warranted	d because the	e proposed a	amounts and	durations are	within					
22		Authorise Issue of Equity witho Pre-emptive Rights in Connection an Acquisition or Other Capital Investment	orise Issue of Equity without emptive Rights in Connection with cquisition or Other Capital stment  g Policy Rationale: A vote FOR these resonanced limits.			For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote I recommended limits.	olicy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within											
		Blended Rationale: A vote FOR to recommended limits.	these resolution	ns is warranted	d because the	e proposed a	amounts and	durations are	within					
23		Authorise Market Purchase of C Shares	rdinary	Mgmt	Yes	For	For		For	For	No	No	No	
24		Approve the Exemption from Statement of the Name of the S Statutory Auditor in Published C of the Auditors' Reports		Mgmt	Yes	For	For		For	For	No	No	No	
25		Authorise the Company to Call Meeting with Two Weeks' Notice		Mgmt	Yes	For	For		For	For	No	No	No	
26		Approve Share Save Plan		Mgmt	Yes	For	For		For	For	No	No	No	
27		Approve Share Reward Plan		Mgmt	Yes	For	For		For	For	No	No	No	
28		Adopt New Articles of Association	on	Mgmt	Yes	For	For		For	For	No	No	No	
Ballot Deta	ails													
Institutional (IA Name, IA	Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	1	Approve	d	Ballot Voting	Status	Votable Sh	nares	Shares	Voted	
CAT, 391692		391692	Confirmed	jbisset		jbisset				90,71	5	90,7	15	
				04/25/2022	2	04/25/20	22							

### **Meeting Results**

Outstanding Shares Voted: 5,083,974,547 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	1.0%	3,524,582,633	99.0%	35,137,552	1.0%	11,843,164	N/A	Poll	F+A
2	Υ	Pass	No	For	8.9%	3,240,737,115	91.1%	318,510,405	8.9%	12,317,062	N/A	Poll	F+A
3	Y	Pass	No	For	38.2%	2,197,755,545	61.8%	1,360,515,540	38.2%	13,294,793	N/A	Poll	F+A

Total Shares:

90,715

90,715

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
4	Υ	Pass	No	For	0.5%	3,546,443,179	99.5%	16,055,842	0.5%	9,042,781	N/A	Poll	F+A
5	Υ	Pass	No	For	0.5%	3,545,845,271	99.5%	16,469,238	0.5%	9,224,475	N/A	Poll	F+A
6	Υ	Pass	No	For	2.2%	3,482,374,837	97.8%	79,898,313	2.2%	9,274,730	N/A	Poll	F+A
7	Υ	Pass	No	For	0.2%	3,555,195,651	99.8%	7,564,971	0.2%	8,806,465	N/A	Poll	F+A
8	Υ	Pass	No	For	1.6%	3,503,934,446	98.4%	58,393,472	1.6%	9,214,474	N/A	Poll	F+A
9	Υ	Pass	No	For	2.2%	3,483,288,426	97.8%	78,881,291	2.2%	9,373,841	N/A	Poll	F+A
10	Υ	Pass	No	For	0.5%	3,546,018,185	99.5%	16,347,031	0.5%	9,173,855	N/A	Poll	F+A
11	Υ	Pass	No	For	0.9%	3,531,865,048	99.1%	30,474,324	0.9%	9,204,548	N/A	Poll	F+A
12	Υ	Pass	No	For	2.4%	3,476,281,291	97.6%	86,142,909	2.4%	9,118,541	N/A	Poll	F+A
13	Υ	Pass	No	For	0.2%	3,556,622,114	99.8%	5,547,225	0.2%	9,375,696	N/A	Poll	F+A
14	Υ	Pass	No	For	0.2%	3,555,655,121	99.8%	6,515,409	0.2%	9,367,820	N/A	Poll	F+A
15	Υ	Pass	No	For	0.3%	3,551,784,186	99.7%	10,432,989	0.3%	9,333,434	N/A	Poll	F+A
16	Υ	Pass	No	For	4.3%	3,410,175,068	95.7%	151,806,419	4.3%	9,561,680	N/A	Poll	F+A
17	Υ	Pass	No	For	0.2%	3,557,280,686	99.8%	5,797,033	0.2%	8,481,780	N/A	Poll	F+A
18	Υ	Pass	No	For	0.1%	3,555,233,064	99.9%	5,000,395	0.1%	11,338,880	N/A	Poll	F+A
19	Υ	Pass	No	For	1.4%	3,493,409,287	98.6%	48,161,797	1.4%	30,003,446	N/A	Poll	F+A
20	Υ	Pass	No	For	3.7%	3,430,993,149	96.3%	130,127,471	3.7%	10,593,875	N/A	Poll	F+A
21	Υ	Pass	No	For	2.8%	3,460,375,320	97.2%	98,220,644	2.8%	12,963,416	N/A	Poll	F+A
22	Υ	Pass	No	For	4.4%	3,404,168,390	95.6%	154,942,194	4.4%	12,448,092	N/A	Poll	F+A
23	Υ	Pass	No	For	1.2%	3,517,497,794	98.8%	43,085,674	1.2%	10,990,502	N/A	Poll	F+A
24	Υ	Pass	No	For	0.4%	3,523,554,816	99.6%	14,359,955	0.4%	33,655,391	N/A	Poll	F+A
25	Υ	Pass	No	For	6.4%	3,331,432,180	93.6%	227,176,994	6.4%	12,950,914	N/A	Poll	F+A
26	Υ	Pass	No	For	1.4%	3,508,449,940	98.6%	49,235,709	1.4%	13,896,262	N/A	Poll	F+A
27	Υ	Pass	No	For	1.1%	3,516,666,377	98.9%	40,690,090	1.1%	14,160,284	N/A	Poll	F+A
28	Υ	Pass	No	For	0.2%	3,549,030,121	99.8%	6,964,097	0.2%	15,501,889	N/A	Poll	F+A

## **Unilever Plc**

Ticker: ULVR Meeting Date: 05/04/2022 Country: United Kingdom Proxy Level: N/A **Record Date:** 05/02/2022 Meeting Type: Annual Meeting ID: 1623697 Primary CUSIP: G92087165 Primary ISIN: GB00B10RZP78 Primary SEDOL: B10RZP7 Primary Security ID: G92087165 Earliest Cutoff Date: 04/29/2022 Total Ballots: 1 Voting Policy: ISS **Additional Policy:** Votable Shares: 11,437 \*Shares on Loan: 0 Shares Instructed: 11,437 Shares Voted: 11,437

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Unilever Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Re-elect Nils Andersen as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant cor	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
4		Re-elect Judith Hartmann as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant cor	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
5		Re-elect Alan Jope as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant cor	ncerns have b	neen identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
6		Re-elect Andrea Jung as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no sig	gnificant cor	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
7		Re-elect Susan Kilsby as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant cor	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
8		Re-elect Strive Masiyiwa as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no sig	gnificant cor	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
9		Re-elect Youngme Moon as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no sig	gnificant cor	ncerns have b	peen identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
10		Re-elect Graeme Pitkethly as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no sig	gnificant cor	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
11		Re-elect Feike Sijbesma as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no sig	gnificant cor	ncerns have b	peen identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
12		Elect Adrian Hennah as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no sig	gnificant cor	ncerns have b	peen identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
13		Elect Ruby Lu as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no sig	gnificant cor	ncerns have b	peen identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
14		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Unilever Plc**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
16	Authorise UK Political Donation Expenditure	ns and	Mgmt	Yes	For	For		For	For	No	No	No		
17	Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No		
	Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warr	anted becau	se the prop	osed amount	s and duration	s are within						
	Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because th	e proposea	amounts and	d durations are	within			-			
18	Authorise Issue of Equity with Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No		
	Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warr	anted becau	se the prop	osed amount	s and duration	s are within			-			
	Blended Rationale: A vote FOR recommended limits.													
19	Authorise Issue of Equity without Mgmt Yes For For For For No Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment								No	No	No			
	Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warr	anted becau	se the prop	osed amount	s and duration	s are within	,		-			
	Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because th	e proposea	amounts and	d durations are	within						
20	Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No		
21	Authorise the Company to Call General Mgmt Yes For For Meeting with Two Weeks' Notice							For	For	No	No	No		
Ballot Details														
Institutional Account Deta (IA Name, IA Number)	il Custodian Account Number	Ballot Status	Instructe	d	Approv	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted		
CAT, 391692	391692 Confirmed jbisset jbisset 11,437											437		

**Meeting Results** 

Outstanding Shares Voted: 2,555,681,470 Results Available: Partial

Pass

No

#### Item Voting Dissident Mgmt Dissent % % % Result For Against Abstain # Proposal Summary Proposal Rec Level For Against Abstain Type Base Υ Pass No 0.0% 1,784,771,403 100.0% 845,727 0.0% 5,690,097 N/A Poll F+A 7.5% 1,653,105,110 92.5% 133,616,656 7.5% 4,585,321 N/A Poll Pass For No F+A Pass No For 7.8% 1,650,208,767 92.2% 138,827,869 7.8% 2,267,306 N/A Poll F+A 0.8% 1,774,485,487 Poll Pass For 99.2% 14,499,168 0.8% 2,321,354 N/A No F+A Pass No For 3.6% 1,724,961,069 96.4% 64,568,113 3.6% 1,778,490 N/A Poll F+A Pass 5.0% 1,698,883,917 95.0% 90,190,281 5.0% 2,231,810 N/A Poll No For F+A Υ 0.8% Pass For 1,774,007,079 99.2% 15,061,832 0.8% 2,237,098 N/A Poll No F+A

04/26/2022

Total Shares:

11,437

11,437

04/26/2022

1.1%

1,769,253,409

For

98.9%

19,723,543

1.1%

2,329,056

N/A

Poll

F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
9	Y	Pass	No	For	1.0%	1,770,316,797	99.0%	18,660,776	1.0%	2,328,435	N/A	Poll	F+A
10	Υ	Pass	No	For	3.7%	1,723,474,854	96.3%	65,559,756	3.7%	2,252,435	N/A	Poll	F+A
11	Y	Pass	No	For	1.1%	1,769,656,458	98.9%	19,339,706	1.1%	2,309,845	N/A	Poll	F+A
12	Υ	Pass	No	For	1.2%	1,767,022,039	98.8%	21,955,381	1.2%	2,328,588	N/A	Poll	F+A
13	Υ	Pass	No	For	0.7%	1,777,112,750	99.3%	11,895,279	0.7%	2,297,929	N/A	Poll	F+A
14	Υ	Pass	No	For	1.0%	1,771,210,928	99.0%	16,997,442	1.0%	3,093,363	N/A	Poll	F+A
15	Υ	Pass	No	For	0.6%	1,778,622,006	99.4%	10,297,215	0.6%	2,384,951	N/A	Poll	F+A
16	Υ	Pass	No	For	2.0%	1,742,197,236	98.0%	35,890,771	2.0%	13,188,421	N/A	Poll	F+A
17	Υ	Pass	No	For	5.6%	1,689,544,033	94.4%	99,633,679	5.6%	2,130,961	N/A	Poll	F+A
18	Υ	Pass	No	For	1.7%	1,756,444,950	98.3%	30,741,777	1.7%	4,114,524	N/A	Poll	F+A
19	Υ	Pass	No	For	3.0%	1,734,740,008	97.0%	52,806,643	3.0%	3,762,073	N/A	Poll	F+A
20	Υ	Pass	No	For	1.1%	1,768,051,750	98.9%	19,745,781	1.1%	3,507,271	N/A	Poll	F+A
21	Y	Pass	No	For	8.0%	1,617,343,217	92.0%	141,172,318	8.0%	31,733,892	N/A	Poll	F+A

Meeting Date: 05/10/2022

**Record Date:** 03/14/2022

Country: USA Meeting Type: Annual

Primary CUSIP: 20825C104

Ticker: COP

Meeting ID: 1626875

Primary ISIN: US20825C1045

Proxy Level: 3

Primary SEDOL: 2685717

Earliest Cutoff Date: 05/09/2022

Votable Shares: 21,252

1b

Primary Security ID: 20825C104

Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 21,252

Additional Policy:

Shares Voted: 21,252

Additional Voting Vote **Proposal** Significant Votable Mgmt Policy Policy Vote Against Against Against **Proposal Text** ISS Rec Instruction Policy ISS Number Vote Proponent **Proposal** Mgmt Rec Rec Rec Elect Director Caroline Maury Devine Mgmt Yes For For No No 1a No

> Voting Policy Rationale: A vote FOR Jody Freeman is warranted, with caution, for partial responsiveness to a majority-supported shareholder proposal. A vote FOR directors Ryan Lance and Robert Niblock is warranted, with caution, on the grounds that they have insufficiently managed climate-related risks. Votes FOR the remaining director nominees are warranted.

Blended Rationale: A vote FOR Jody Freeman is warranted, with caution, for partial responsiveness to a majority-supported shareholder proposal. A vote FOR directors Ryan Lance and Robert Niblock is warranted, with caution, on the grounds that they have insufficiently managed climate-related risks. Votes FOR the remaining director nominees are warranted.

Elect Director Jody Freeman

Against

Yes

Voting Policy Rationale: A vote FOR Jody Freeman is warranted, with caution, for partial responsiveness to a majority-supported shareholder proposal. A vote FOR directors Ryan Lance and Robert Niblock is warranted, with caution, on the grounds that they have insufficiently managed climate-related risks. Votes FOR the remaining director nominees are warranted.

Blended Rationale: A vote FOR Jody Freeman is warranted, with caution, for partial responsiveness to a majority-supported shareholder proposal. A vote FOR directors Ryan Lance and Robert Niblock is warranted, with caution, on the grounds that they have insufficiently managed climate-related risks. Votes FOR the remaining director nominees are warranted.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1c		Elect Director Gay Huey Evans	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Jody Freen majority-supported shareholder proposal. A vot the grounds that they have insufficiently managuarranted.	te FOR directo	rs Ryan Land	ce and Robe	rt Niblock is	warranted, wit	-	1			
		Blended Rationale: A vote FOR Jody Freeman is shareholder proposal. A vote FOR directors Rya have insufficiently managed climate-related risk	an Lance and I	Robert Nibloo	ck is warran	ted, with cau	tion, on the gr		hey			
1d		Elect Director Jeffrey A. Joerres	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR Jody Freen majority-supported shareholder proposal. A vot the grounds that they have insufficiently managuranted.	te FOR directo	rs Ryan Land	ce and Robe	rt Niblock is	warranted, wit	-	7			
		Blended Rationale: A vote FOR Jody Freeman is shareholder proposal. A vote FOR directors Rya have insufficiently managed climate-related risk	an Lance and P	Robert Nibloo	ck is warran	ted, with cau	tion, on the gr		hey			
1e		Elect Director Ryan M. Lance	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Jody Freen majority-supported shareholder proposal. A vot the grounds that they have insufficiently managwarranted.	te FOR directo	rs Ryan Land	ce and Robe	rt Niblock is	warranted, wit		7			
		Blended Rationale: A vote FOR Jody Freeman is shareholder proposal. A vote FOR directors Rya have insufficiently managed climate-related risk	an Lance and P	Robert Nibloo	ck is warran	ted, with cau	tion, on the gr		hey			
1f		Elect Director Timothy A. Leach	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Jody Freen majority-supported shareholder proposal. A vot the grounds that they have insufficiently management.	te FOR directo	rs Ryan Land	ce and Robe	rt Niblock is	warranted, wit	-	1			
		Blended Rationale: A vote FOR Jody Freeman is shareholder proposal. A vote FOR directors Rya have insufficiently managed climate-related risk	an Lance and I	Robert Nibloo	ck is warran	ted, with cau	tion, on the gr		hey			
1g		Elect Director William H. McRaven	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Jody Freen majority-supported shareholder proposal. A vot the grounds that they have insufficiently managuranted.	te FOR directo	rs Ryan Land	ce and Robe	rt Niblock is	warranted, wit		7			
		Blended Rationale: A vote FOR Jody Freeman is shareholder proposal. A vote FOR directors Rya have insufficiently managed climate-related risk	an Lance and P	Robert Nibloo	ck is warran	ted, with cau	tion, on the gr		hey			
1h		Elect Director Sharmila Mulligan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Jody Freen majority-supported shareholder proposal. A vot the grounds that they have insufficiently mana- warranted.	te FOR directo	rs Ryan Land	ce and Robe	rt Niblock is	warranted, wit		1			
		Blended Rationale: A vote FOR Jody Freeman is shareholder proposal. A vote FOR directors Rya have insufficiently managed climate-related risk	an Lance and P	Robert Nibloo	ck is warran	ted, with cau	tion, on the gr		hey			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1i		Elect Director Eric D. Mullins	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Jody Freen majority-supported shareholder proposal. A vot the grounds that they have insufficiently management.	te FOR directo	rs Ryan Land	ce and Robe	rt Niblock is	warranted, wit	-	7			
		Blended Rationale: A vote FOR Jody Freeman is shareholder proposal. A vote FOR directors Rya have insufficiently managed climate-related risi	an Lance and P	Robert Nibloo	ck is warran	ted, with cau	tion, on the gr		hey			
1j		Elect Director Arjun N. Murti	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Jody Freen majority-supported shareholder proposal. A vot the grounds that they have insufficiently manawarranted.	te FOR directo	rs Ryan Land	ce and Robe	rt Niblock is	warranted, wit	-	7			
		Blended Rationale: A vote FOR Jody Freeman is shareholder proposal. A vote FOR directors Rya have insufficiently managed climate-related risk	an Lance and P	Robert Nibloo	ck is warran	ted, with cau	tion, on the gr		hey			
1k		Elect Director Robert A. Niblock	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Jody Freen majority-supported shareholder proposal. A vot the grounds that they have insufficiently management.	te FOR directo	rs Ryan Land	ce and Robe	rt Niblock is	warranted, wit		7			
		Blended Rationale: A vote FOR Jody Freeman is shareholder proposal. A vote FOR directors Rya have insufficiently managed climate-related risk	an Lance and P	Robert Nibloo	ck is warran	ted, with cau	tion, on the gr		hey			
11		Elect Director David T. Seaton	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Jody Freen majority-supported shareholder proposal. A vot the grounds that they have insufficiently management.	te FOR directo	rs Ryan Land	ce and Robe	rt Niblock is	warranted, wit	-	7			
		Blended Rationale: A vote FOR Jody Freeman is shareholder proposal. A vote FOR directors Rya have insufficiently managed climate-related risk	an Lance and P	Robert Nibloo	ck is warran	ted, with cau	tion, on the gr		hey			
1m		Elect Director R.A. Walker	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Jody Freen majority-supported shareholder proposal. A vot the grounds that they have insufficiently management.	te FOR directo	rs Ryan Land	ce and Robe	rt Niblock is	warranted, wit		7			
		Blended Rationale: A vote FOR Jody Freeman is shareholder proposal. A vote FOR directors Rya have insufficiently managed climate-related risk	an Lance and P	Robert Nibloo	ck is warran	ted, with cau	tion, on the gr		hey			
2		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
3	Advisory Vote to Ratify Name Executive Officers' Compensa		Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
	Voting Policy Rationale: A vot share price performance for to by several concerns regarding disclosure of threshold and m year to year. The committee of performance-based, target m concerning as PSUs have bee	he year in review, n incentive progra aximum goals for also used discreti erely median pen	, a quantitative ms. First, anno many metrics on to increase formance and i	e pay-for-pe ual incentive This limits payouts in H lack a cap of	rformance mes, while base investor abil FY21. Long-ton n vesting for	isalignment i ed on an obje ity to assess erm incentive negative TS	is identified an ective scorecal payouts and g es, while mosti	d underscord rd, lack clear roal rigor froi ly	ed		_	
	Blended Rationale: A vote AG price performance for the yea several concerns regarding in disclosure of threshold and m year to year. The committee of performance-based, target m concerning as PSUs have bee	r in review, a qua centive programs aximum goals for also used discreti erely median pen	antitative pay-l . First, annual r many metrics on to increase formance and l	for-performa incentives, v This limits payouts in H lack a cap ol	ance misalign while based o investor abil FY21. Long-ton n vesting for	ment is iden on an objecti ity to assess erm incentive negative TS	tified and under ive scorecard, in payouts and go es, while mosti	erscored by lack clear loal rigor frol ly				
4	Eliminate Supermajority Vote Requirement		Mgmt	Yes	For	For		For	For	No	No	No
5	Provide Right to Call Special	Meeting	Mgmt	Yes	For	For		For	For	No	No	No
6	Provide Right to Call Special	Meetings	SH	Yes	Against	For		For	For	Yes	No	No
	Voting Policy Rationale: A vot threshold would enhance sha		sal is warrante	d as the righ	ht to call spe	cial meetings	s at a 10 perce	nt ownership	)			
	Blended Rationale: A vote FO. threshold would enhance sha		warranted as	the right to	call special n	neetings at a	10 percent ov	vnership				
7	Report on GHG Emissions Re Targets	duction	SH	Yes	Against	For		For	Against	No	Yes	Yes
	Voting Policy Rationale: A vot carbon footprint and align its company is managing its tran	operations with F	Paris Agreemen	nt goals wou	ıld allow inve	stors to bette			its		_	
	Blended Rationale: A vote FO carbon footprint and align its company is managing its tran	operations with F	Paris Agreemen	nt goals wou	ıld allow inve	stors to bette	•					
8	Report on Lobbying Payment Policy	s and	SH	Yes	Against	Against		Against	Against	No	No	No
Ballot Details												
Institutional Account Detai (IA Name, IA Number)	l Custodian Account Number	Ballot Status	Instructed	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692	000391692	Confirmed	dnicoll		dnicoll				21,25	52	21,	252
			05/03/2022	2	05/03/20	22						
								Total Shares:	21,2	52	21,2	252

### **Meeting Results**

Outstanding Shares Voted: 1,269,051,257 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	1.5%	1,001,286,612	98.5%	13,031,008	1.3%	2,242,767	0.2%	Proxy	F+A+AB
1b	Υ	Pass	No	For	4.6%	969,807,521	95.4%	44,668,744	4.4%	2,084,122	0.2%	Proxy	F+A+AB
1c	Υ	Pass	No	For	1.6%	1,000,041,011	98.4%	15,327,567	1.5%	1,191,809	0.1%	Proxy	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1d	Υ	Pass	No	For	3.4%	981,524,374	96.6%	32,690,487	3.2%	2,345,526	0.2%	Proxy	F+A+AB
1e	Υ	Pass	No	For	7.5%	940,330,997	92.5%	71,793,840	7.1%	4,435,550	0.4%	Proxy	F+A+AB
1f	Υ	Pass	No	For	1.8%	998,073,495	98.2%	17,160,433	1.7%	1,326,459	0.1%	Proxy	F+A+AB
1g	Υ	Pass	No	For	1.5%	1,000,960,105	98.5%	14,367,285	1.4%	1,232,997	0.1%	Proxy	F+A+AB
1h	Υ	Pass	No	For	2.1%	995,349,661	97.9%	20,039,894	2.0%	1,170,832	0.1%	Proxy	F+A+AB
1i	Υ	Pass	No	For	1.1%	1,005,632,173	98.9%	9,683,339	1.0%	1,244,875	0.1%	Proxy	F+A+AB
1j	Υ	Pass	No	For	2.1%	995,101,970	97.9%	19,937,904	2.0%	1,520,513	0.1%	Proxy	F+A+AB
1k	Υ	Pass	No	For	9.0%	925,317,740	91.0%	79,154,713	7.8%	12,087,934	1.2%	Proxy	F+A+AB
11	Υ	Pass	No	For	1.3%	1,002,718,902	98.6%	11,752,783	1.2%	2,088,702	0.2%	Proxy	F+A+AB
1m	Υ	Pass	No	For	2.3%	993,449,351	97.7%	21,843,384	2.1%	1,267,652	0.1%	Proxy	F+A+AB
2	Υ	Pass	No	For	11.6%	998,003,261	88.4%	129,174,410	11.4%	1,827,857	0.2%	Proxy	F+A+AB
3	Υ	Pass	No	For	39.6%	613,581,961	60.4%	396,011,916	39.0%	6,966,510	0.7%	Proxy	F+A+AB
4	Υ	Fail	No	For	0.6%	1,008,358,791	79.5%	6,138,665	0.5%	2,062,931	0.2%	Proxy	Capital Represented
5	Υ	Pass	No	For	20.4%	809,123,521	79.6%	205,548,087	20.2%	1,888,779	0.2%	Proxy	F+A+AB
6	Υ	Pass	No	Against	53.1%	536,805,733	52.8%	476,933,909	46.9%	2,820,745	0.3%	Proxy	F+A+AB
7	Υ	Fail	No	Against	45.0%	400,909,568	39.4%	559,448,611	55.0%	56,202,208	5.5%	Proxy	F+A+AB
8	Υ	Fail	No	Against	20.0%	199,361,165	19.6%	812,760,356	80.0%	4,438,866	0.4%	Proxy	F+A+AB

### **Yara International ASA**

Meeting Date: 05/10/2022 **Record Date:** 05/10/2022

Primary Security ID: R9900C106

Earliest Cutoff Date: 05/04/2022 Votable Shares: 26,378

Country: Norway

Total Ballots: 1

Meeting Type: Annual

Primary CUSIP: R9900C106

Ticker: YAR

Meeting ID: 1633561

**Primary ISIN:** NO0010208051

Voting Policy: ISS

Proxy Level: N/A

Primary SEDOL: 7751259

Additional Policy: Shares Instructed: 26,378 Shares Voted: 26,378

Votable Sh	ares: 26,378	*Shares on Loan: 0			Shares Ins	structed: 26,3	378		Shares Voted:	26,378		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Notice of Meeting and Agenda	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine meet	ting formalities	5.								
		Blended Rationale: These are routine meeting in	formalities.									
2		Elect Chairman of Meeting; Designate Inspector(s) of Minutes of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine meet	ting formalities	5.								
		Blended Rationale: These are routine meeting i	formalities.									
3		Approve Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Accept Financial Statements and Statutory Reports; Approve Allocation of Income and Dividends of NOK 30.00 Per Share	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Yara International ASA**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5.1		Approve Remuneration Policy And Other Terms of Employment For Executive Management	Mgmt	Yes	For	For		For	For	No	No	No
5.2		Approve Remuneration Statement	Mgmt	Yes	For	For		For	For	No	No	No
6		Approve Company's Corporate Governance Statement	Mgmt	Yes	For	For		For	For	No	No	No
7		Elect Trond Berger, John Thuestad, Birgitte Ringstad Vartdal, Hakon Reistad Fure, Tove Feld and Jannicke Hilland as Directors	Mgmt	Yes	For	For		For	For	No	No	No
8		Elect Otto Soberg (Chair), Thorunn Kathrine Bakke, Ann Kristin Brautaset and Ottar Ertzeid as Members of Nominating Committee	Mgmt	Yes	For	For		For	For	No	No	No
9		Approve Remuneration of Directors in the Amount of NOK 713,500 for the Chairman, NOK 426,000 for the Vice Chairman, and NOK 375,500 for the Other Directors; Approve Committee Fees	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these remu proposed fees.	neration propo	osals is warra	anted becau	se of a lack o	of concern rega	arding the				
		Blended Rationale: A vote FOR these remunerates.	tion proposals	is warranted	d because of	a lack of col	ncern regardin	g the propos	sed			
10		Approve Remuneration of Nominating Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these remuneration proposals is warranted because of a lack of concern regarding the proposed fees.										
		Blended Rationale: A vote FOR these remunerates.	tion proposals	is warranted	d because of	a lack of col	ncern regardin	g the propos	sed			
11		Authorize Share Repurchase Program and Cancellation of Repurchased Shares	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	nils											

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	dnicoll	dnicoll		26,378	26,378
			05/04/2022	05/04/2022			_
					Total Shares:	26,378	26,378

### **Meeting Results**

Outstanding Shares Voted: 254,717,320 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	150,017,269	100.0%	N/A	N/A	1,103	0.0%	Poll	F+A+AB
2	Υ	Pass	No	For	0.0%	150,017,439	100.0%	N/A	N/A	1,124	0.0%	Poll	F+A+AB
3	Υ	Pass	No	For	0.3%	149,509,672	99.7%	499,783	0.3%	9,043	0.0%	Poll	F+A+AB
4	Υ	Pass	No	For	1.0%	148,504,316	99.0%	174,575	0.1%	1,339,672	0.9%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
5.1	Υ	Pass	No	For	0.7%	148,926,885	99.3%	774,842	0.5%	316,836	0.2%	Poll	F+A+AB
5.2	Υ	Pass	No	For	1.1%	148,359,225	98.9%	1,317,162	0.9%	342,176	0.2%	Poll	F+A+AB
6	Υ	Pass	No	For	0.0%	150,016,653	100.0%	N/A	N/A	1,224	0.0%	Poll	F+A+AB
7	Y	Pass	No	For	1.2%	148,258,151	98.8%	1,521,914	1.0%	238,498	0.2%	Poll	F+A+AB
8	Y	Pass	No	For	0.1%	149,899,983	99.9%	112,978	0.1%	5,602	0.0%	Poll	F+A+AB
9	Υ	Pass	No	For	0.0%	149,971,222	100.0%	31,385	0.0%	15,956	0.0%	Poll	F+A+AB
10	Υ	Pass	No	For	0.0%	149,985,860	100.0%	23,074	0.0%	9,629	0.0%	Poll	F+A+AB
11	Υ	Pass	No	For	0.9%	148,675,119	99.1%	1,339,774	0.9%	3,670	0.0%	Poll	F+A+AB

## **Conduit Holdings Ltd.**

**Meeting Date:** 05/11/2022 **Record Date:** 05/09/2022

Country: Bermuda
Meeting Type: Annual

Ticker: CRE

Proxy Level: N/A

Primary Security ID: G24385109

Meeting Type: Annual Primary CUSIP: G24385109 Meeting ID: 1599694
Primary ISIN: BMG243851091

Primary SEDOL: BN133N2

Earliest Cutoff Date: 05/05/2022

Votable Shares: 50,590

Total Ballots: 1
\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 50,590

Additional Policy: Shares Voted: 50,590

For

No

No

No

	55. 50,550	5.14.145 G.1. 204.11 S					550		J 05 1 0 1 0 1	50,550		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Reduction of the Share Premium Account	Mgmt	Yes	For	For		For	For	No	No	No
5		Re-elect Neil Eckert as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re- Malcolm Furbert, Elizabeth Murphy, Richard significant concerns have been identified.							on,			
		Blended Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard significant concerns have been identified.	•	-			-	-				
6		Re-elect Trevor Carvey as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re- Malcolm Furbert, Elizabeth Murphy, Richard significant concerns have been identified.							on,			
		Blended Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard significant concerns have been identified.	•		,		•	,				

Voting Policy Rationale: A vote FOR the re-election/election of Neil Eckert, Trevor Carvey, Elaine Whelan, Sir Brian Williamson, Malcolm Furbert, Elizabeth Murphy, Richard Sandor, Ken Randall, and Michelle Seymour Smith is warranted because no significant concerns have been identified.

Yes

Blended Rationale: A vote FOR the re-election/election of Neil Eckert, Trevor Carvey, Elaine Whelan, Sir Brian Williamson, Malcolm Furbert, Elizabeth Murphy, Richard Sandor, Ken Randall, and Michelle Seymour Smith is warranted because no significant concerns have been identified.

Mgmt

Re-elect Elaine Whelan as Director

# **Conduit Holdings Ltd.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8		Re-elect Sir Brian Williamson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-ele Malcolm Furbert, Elizabeth Murphy, Richard S significant concerns have been identified.	,		•	,,	,		n,			
		Blended Rationale: A vote FOR the re-election Malcolm Furbert, Elizabeth Murphy, Richard S significant concerns have been identified.		-	, ,		•	-				
9		Re-elect Malcolm Furbert as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-ele Malcolm Furbert, Elizabeth Murphy, Richard S significant concerns have been identified.	-		-	, .	-		n,			
		Blended Rationale: A vote FOR the re-election Malcolm Furbert, Elizabeth Murphy, Richard S significant concerns have been identified.			, .			-				
10		Re-elect Elizabeth Murphy as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-ele Malcolm Furbert, Elizabeth Murphy, Richard S significant concerns have been identified.	-		-	, .	-		n,			
		Blended Rationale: A vote FOR the re-election Malcolm Furbert, Elizabeth Murphy, Richard S significant concerns have been identified.										
11		Re-elect Richard Sandor as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-ele Malcolm Furbert, Elizabeth Murphy, Richard S significant concerns have been identified.										
		Blended Rationale: A vote FOR the re-election Malcolm Furbert, Elizabeth Murphy, Richard S significant concerns have been identified.			-							
12		Re-elect Ken Randall as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-election/election of Neil Eckert, Trevor Carvey, Elaine Whelan, Sir Brian Williamson, Malcolm Furbert, Elizabeth Murphy, Richard Sandor, Ken Randall, and Michelle Seymour Smith is warranted because no significant concerns have been identified.										
		Blended Rationale: A vote FOR the re-election Malcolm Furbert, Elizabeth Murphy, Richard S significant concerns have been identified.		-	, ,		•	-				
13		Elect Michelle Smith as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-election/election of Neil Eckert, Trevor Carvey, Elaine Whelan, Sir Brian Williamson, Malcolm Furbert, Elizabeth Murphy, Richard Sandor, Ken Randall, and Michelle Seymour Smith is warranted because no significant concerns have been identified.										
		Blended Rationale: A vote FOR the re-election Malcolm Furbert, Elizabeth Murphy, Richard S significant concerns have been identified.	•	,	,,		•	,			_	
14		Ratify KPMG Audit Limited as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these restrecommended limits.	olutions is warr	anted becaus	se the prop	osed amount	s and duration	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ons is warranted	d because th	e proposed	amounts and	d durations are	within				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Conduit Holdings Ltd.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
17		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reservecemmended limits.	olutions is warr	anted becaus	se the prop	osed amounts	s and duration	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because th	e proposed	amounts and	d durations are	within				
18		Authorise Market Purchase of Common Shares	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails											

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		50,590	50,590
			04/28/2022	04/28/2022			

Total Shares: 50,590 50,590

### **Meeting Results**

Outstanding Shares Voted: 164,717,174

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
2	Υ	Pass	No	For	2.4%	131,008,002	97.6%	3,222,914	2.4%	0	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	132,758,002	100.0%	22,914	0.0%	1,450,000	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
5	Υ	Pass	No	For	1.3%	132,440,890	98.7%	1,790,026	1.3%	0	N/A	Poll	F+A
6	Υ	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
7	Υ	Pass	No	For	0.1%	134,069,427	99.9%	161,489	0.1%	0	N/A	Poll	F+A
8	Υ	Pass	No	For	5.8%	126,501,256	94.2%	7,729,660	5.8%	0	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	134,206,721	100.0%	24,195	0.0%	0	N/A	Poll	F+A
10	Υ	Pass	No	For	0.0%	134,206,721	100.0%	24,195	0.0%	0	N/A	Poll	F+A
11	Υ	Pass	No	For	0.0%	134,206,721	100.0%	24,195	0.0%	0	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	134,206,721	100.0%	24,195	0.0%	0	N/A	Poll	F+A
13	Υ	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
15	Υ	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
16	Υ	Pass	No	For	0.4%	133,707,045	99.6%	523,871	0.4%	0	N/A	Poll	F+A
17	Υ	Pass	No	For	0.2%	133,980,916	99.8%	250,000	0.2%	0	N/A	Poll	F+A
18	Υ	Pass	No	For	1.1%	132,589,559	98.9%	1,450,000	1.1%	191,357	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Kinross Gold Corporation**

Meeting Date: 05/11/2022 **Record Date:** 03/16/2022

Country: Canada

Meeting Type: Annual

Meeting ID: 1608213

Proxy Level: 3

Primary Security ID: 496902404

**Primary CUSIP:** 496902404

**Primary ISIN:** CA4969024047

Primary SEDOL: B03Z841

Earliest Cutoff Date: 05/06/2022

Votable Shares: 595,329

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

\*Shares on Loan: 0 Shares Instructed: 595,329 Shares Voted: 595,329

Ticker: K

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Ian Atkinson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as r	o significant	concerns ha	ave been ide	ntified at this t	ime.				
		Blended Rationale: Vote FOR all proposed nom	inees as no sig	nificant cond	cerns have b	een identifie	d at this time.					
1.2		Elect Director Kerry D. Dyte	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as r	o significant	concerns ha	ave been ide	ntified at this t	ime.				
		Blended Rationale: Vote FOR all proposed nomi	inees as no sig	nificant cond	cerns have b	een identifie	d at this time.					
1.3		Elect Director Glenn A. Ives	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as r	o significant	concerns ha	ave been ide.	ntified at this t	ime.				
		Blended Rationale: Vote FOR all proposed nomi	inees as no sig	nificant cond	cerns have b	een identifie	d at this time.					
1.4		Elect Director Ave G. Lethbridge	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as r	o significant	concerns ha	ave been ide	ntified at this t	ime.				
		Blended Rationale: Vote FOR all proposed nomi	inees as no sig	nificant cond	cerns have b	een identifie	d at this time.					
1.5		Elect Director Elizabeth D. McGregor	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as r	o significant	concerns ha	ave been ide	ntified at this t	ime.				
		Blended Rationale: Vote FOR all proposed nomi	inees as no sig	nificant cond	cerns have b	een identifie	d at this time.					
1.6		Elect Director Catherine McLeod-Seltzer	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as r	o significant	concerns ha	ave been ide.	ntified at this t	ime.				
		Blended Rationale: Vote FOR all proposed nomi	inees as no sig	nificant cond	cerns have b	een identifie	d at this time.					
1.7		Elect Director Kelly J. Osborne	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as r	no significant	concerns ha	ave been ide.	ntified at this t	ime.				
		Blended Rationale: Vote FOR all proposed nomi	inees as no sig	nificant cond	cerns have b	een identifie	d at this time.					
1.8		Elect Director J. Paul Rollinson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as r	o significant	concerns ha	ave been ide	ntified at this t	ime.				
		Blended Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.										
1.9		Elect Director David A. Scott	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as r	o significant	concerns ha	ave been ide	ntified at this t	ime.				
		Blended Rationale: Vote FOR all proposed nomi	inees as no sig	nificant con	cerns have b	een identifie	d at this time.					
2		Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officer's Compensation	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Kinross Gold Corporation**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	000391692	Confirmed	dnicoll	dnicoll		595,329	595,329
			05/03/2022	05/03/2022			
					Total Shares:	595,329	595,329

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	2.3%	818,552,633	97.7%	19,425,689	2.3%	N/A	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	1.6%	824,194,573	98.4%	13,783,748	1.6%	N/A	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.1%	828,957,429	98.9%	9,020,893	1.1%	N/A	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	1.9%	822,031,204	98.1%	15,947,117	1.9%	N/A	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	1.1%	828,610,027	98.9%	9,368,295	1.1%	N/A	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	11.1%	745,258,792	88.9%	92,719,530	11.1%	N/A	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	1.7%	823,834,258	98.3%	14,144,063	1.7%	N/A	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	1.1%	829,059,653	98.9%	8,918,668	1.1%	N/A	N/A	Proxy	F+A
1.9	Y	Pass	No	For	1.1%	829,143,995	98.9%	8,834,327	1.1%	N/A	N/A	Proxy	F+A
2	Υ	Pass	No	For	2.9%	898,743,904	97.1%	26,884,653	2.9%	N/A	N/A	Proxy	F+A
3	Υ	Pass	No	For	9.4%	759,370,975	90.6%	78,737,593	9.4%	N/A	N/A	Proxy	F+A

### **Unibail-Rodamco-Westfield SE**

Meeting Date: 05/11/2022 Record Date: 05/09/2022 Primary Security ID: F95094581

Earliest Cutoff Date: 05/05/2022

Votable Shares: 18,556

Country: France

Meeting Type: Annual/Special

Primary CUSIP: F95094581

\*Shares on Loan: 0

Ticker: URW

Meeting ID: 1624834

**Primary ISIN:** FR0013326246

Voting Policy: ISS

Policy: ISS Additional Policy:

**Shares Instructed:** 18,556 **Shares Voted:** 18,556

Proxy Level: N/A

Primary SEDOL: BFYM460

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary Business	Mgmt	No								
1		Approve Financial Statements and	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion

Blended Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2		Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approva and lack of concerns.	l of the annua	l accounts a	re warranted	due to the	unqualified au	ditors' opinio	n			
		Blended Rationale: Votes FOR the approval of t lack of concerns.	he annual acc	ounts are wa	arranted due	to the unqu	alified auditors	s' opinion an	d			
3		Approve Allocation of Income and Absence of Dividends	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Auditors' Special Report on Related-Party Transactions	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Compensation Report of Jean-Marie Tritant, Chairman of the Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remuis difficult to ascertain the alignment between pactories of shopping centers on the company's that the CSR sub-criteria are challenging enouge weigh 10 percent of the LTI grant structure, who concern.	nay and perform results. (Items th (Items 5-8).	mance regar ns 5-9). * Un The main re	rding the boi der the 202 easons for st	nus payouts g 1 LTI grant, i upport are: *	given the impa it is difficult to * The CSR subd	oct of the ascertain th criteria only				
		Blended Rationale: Votes FOR these remunerat difficult to ascertain the alignment between pay of shopping centers on the company's results. CSR sub-criteria are challenging enough (Items percent of the LTI grant structure, which limits	and performa (Items 5-9). * 5-8). The mai	ance regardii * Under the 2 in reasons fo	ng the bonu 2021 LTI gra or support ar	s payouts giv ent, it is diffic re: * The CSA	ven the impact cult to ascertain R subcriteria on	of the closu on that that th only weigh 10	ne			
6		Approve Compensation of Olivier Bossard, Management Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remuis difficult to ascertain the alignment between particles of shopping centers on the company's that the CSR sub-criteria are challenging enouge weigh 10 percent of the LTI grant structure, who concern.	nay and perform results. (Items th (Items 5-8).	mance regar ns 5-9). * Un The main re	rding the boi der the 202 easons for st	nus payouts g 1 LTI grant, i upport are: *	given the impa it is difficult to * The CSR subd	oct of the ascertain th criteria only				
		Blended Rationale: Votes FOR these remunerat difficult to ascertain the alignment between pay of shopping centers on the company's results. CSR sub-criteria are challenging enough (Items percent of the LTI grant structure, which limits	and performa (Items 5-9). * 5-8). The mai	ance regardii Under the 2 in reasons fo	ng the bonu. 2021 LTI gra or support ar	s payouts giv ent, it is diffic re: * The CSA	ven the impact cult to ascertain R subcriteria on	of the closu on that that th only weigh 10	ne			
7		Approve Compensation of Fabrice Mouchel, Management Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remulis difficult to ascertain the alignment between puclosures of shopping centers on the company's that the CSR sub-criteria are challenging enoug weigh 10 percent of the LTI grant structure, who concern.  Blended Rationale: Votes FOR these remunerated difficult to ascertain the alignment between pay of shopping centers on the company's results.	nay and performand per	mance regar ns 5-9). * Un The main re risk to rewar e warranted, ance regardii	ding the both did the	nus payouts of 1 LTI grant, in 1 LTI grant, in 1 LTI grant, in 1 LTI grant, in 1 LTI grant	given the impa it is difficult to The CSR sub- of any other s concerns are ra ven the impact	nct of the ascertain th criteria only significant vised: * It is of the closu	at res			
		CSR sub-criteria are challenging enough (Items percent of the LTI grant structure, which limits	-						,			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8		Approve Compensation of Astrid Panosyan, Management Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remulis difficult to ascertain the alignment between purchasers of shopping centers on the company's that the CSR sub-criteria are challenging enough weigh 10 percent of the LTI grant structure, who concern.	pay and perfor results. (Iten ah (Items 5-8).	mance regar ns 5-9). * Un . The main re	ding the bol der the 202 easons for s	nus payouts 11 LTI grant, upport are:	given the impa it is difficult to * The CSR sub	act of the ascertain th criteria only				
		Blended Rationale: Votes FOR these remuneral difficult to ascertain the alignment between pa of shopping centers on the company's results. CSR sub-criteria are challenging enough (Items percent of the LTI grant structure, which limits	v and performa (Items 5-9). * 5 5-8). The ma	ance regardii * Under the 2 in reasons fo	ng the bonu 2021 LTI gra or support a	s payouts givent, it is difficant, it is difficant.	ven the impact cult to ascertail R subcriteria o	of the closu In that that th Inly weigh 10	he			
9		Approve Compensation of Caroline Puechoultres, Management Board Member Since 15 July 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remules difficult to ascertain the alignment between places of shopping centers on the company's that the CSR sub-criteria are challenging enough weigh 10 percent of the LTI grant structure, we concern.	pay and perfor results. (Iten hh (Items 5-8).	mance regar ns 5-9). * Un . The main re	rding the bol der the 202 easons for s	nus payouts 11 LTI grant, upport are:	given the impa it is difficult to * The CSR sub	act of the ascertain th criteria only				
		Blended Rationale: Votes FOR these remuneral difficult to ascertain the alignment between payof shopping centers on the company's results. CSR sub-criteria are challenging enough (Items percent of the LTI grant structure, which limits	v and performa (Items 5-9). * 5 5-8). The ma	ance regardii * Under the 2 in reasons fo	ng the bonu 2021 LTI gra or support a	s payouts givent, it is difficant, it is difficant.	ven the impact cult to ascertail R subcriteria o	of the closu In that that th Inly weigh 10	he			
10		Approve Compensation of Leon Bressler, Chairman of the Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
11		Approve Compensation Report of Corporate Officers	Mgmt	Yes	For	For		For	For	No	No	No
12		Approve Remuneration Policy of Chairman of the Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this remun the proposed remuneration policy, the LTI targ could reduce the attractivity for the executives would allow full payment when reaching the le the no-pay-for-failure principle. The main reasc compared to last year's remuneration. * The all	et level would to overperform vel of 75 perce ons for support	increase whom. * The perion on. * The perion of achievent of are: * The p	ile the max formance cr ement. As so proposed re	LTI grant wo iteria under t uch, it does i muneration j	ould decrease. the termination not seem fully	This change n agreement aligned with				
		Blended Rationale: A vote FOR this remuneration proposed remuneration policy, the LTI target le reduce the attractivity for the executives to over allow full payment when reaching the level of it no-pay-for-failure principle. The main reasons to compared to last year's remuneration. * The allows the second properties of	evel would incr erperform. * Ti 75 percent of a for support are	rease while to the performan achievement. e: * The prop	he max LTI nce criteria i As such, it nosed remun	grant would under the ter does not see neration pack	decrease. This rmination agree em fully aligned	change cou ement would with the	ld			

\*Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
13		Approve Remuneration Policy of Management Board Members	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this remunthe proposed remuneration policy, the LTI targe could reduce the attractivity for the executives would allow full payment when reaching the lette no-pay-for-failure principle. The main reason compared to last year's remuneration. * The allows the property of the principle is the principle in the principle in the principle is the principle in the principle in the principle is the principle in the principle in the principle is the principle in the principle in the principle is the principle in the principle in the principle in the principle is the principle in the principle in the principle is the principle in the principle in the principle is the principle in the principle in the principle is the principle in the principle in the principle is the principle in the principle in the principle in the principle is the principle in the principle in the principle is the principle in the principle in the principle is the principle in the principle in the principle is the principle in the pri	net level would to overperform vel of 75 perce ons for support	increase wh m. * The per ent of achieve t are: * The	ile the max formance co ement. As s proposed re	LTI grant wo riteria under t such, it does i emuneration j	ould decrease. the termination not seem fully	This change n agreement aligned with				
		Blended Rationale: A vote FOR this remuneration proposed remuneration policy, the LTI target le reduce the attractivity for the executives to over allow full payment when reaching the level of ino-pay-for-failure principle. The main reasons a compared to last year's remuneration. * The allows the property of the second payers of the second payers.	evel would incr erperform. * Ti 75 percent of a for support are	rease while to the performation to the propertion of the properties of the propertie	he max LTI nce criteria As such, it posed remu	grant would under the ter does not see neration pack	decrease. This rmination agree em fully aligned	change cou ement would with the	ld			
14		Approve Remuneration Policy of Supervisory Board Members	Mgmt	Yes	For	For		For	For	No	No	No
15		Reelect Julie Avrane as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the reelect concerns (Items 15, 17-18). * The number of a guidelines. Nevertheless, her overboarded posi- position in Danone. As such, her reelection was	outside manda ition will cease	tes held by ( by end of Ju	Cecile Cabai	nis is in exces	s of recommer	nded	<del>,</del>			
		Blended Rationale: * Votes FOR the reelections concerns (Items 15, 17-18). * The number of a guidelines. Nevertheless, her overboarded position in Danone. As such, her reelection was	outside manda ition will cease	tes held by ( by end of Ju	Cecile Cabai	nis is in exces	s of recommer	nded				
16		Reelect Cecile Cabanis as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the reelect concerns (Items 15, 17-18). * The number of a guidelines. Nevertheless, her overboarded posi position in Danone. As such, her reelection was	outside manda ition will cease	tes held by C by end of Ju	Cecile Cabai	nis is in exces	s of recommer	nded .	•			
		Blended Rationale: * Votes FOR the reelections concerns (Items 15, 17-18). * The number of a guidelines. Nevertheless, her overboarded position in Danone. As such, her reelection was	outside manda ition will cease	tes held by ( by end of Ju	Cecile Cabai	nis is in exces	s of recommer	nded				
17		Reelect Dagmar Kollmann as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the reelect concerns (Items 15, 17-18). * The number of a guidelines. Nevertheless, her overboarded posi- position in Danone. As such, her reelection was	outside manda ition will cease	tes held by C by end of Ju	Cecile Cabai	nis is in exces	s of recommer	nded .	,			
		Blended Rationale: * Votes FOR the reelections concerns (Items 15, 17-18). * The number of a guidelines. Nevertheless, her overboarded position in Danone. As such, her reelection was	outside manda ition will cease	tes held by ( by end of Ju	Cecile Cabai	nis is in exces	s of recommer	nded				
18		Appoint Michel Dessolain as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the reelect concerns (Items 15, 17-18). * The number of a guidelines. Nevertheless, her overboarded posi- position in Danone. As such, her reelection was	outside manda ition will cease	tes held by C by end of Ju	Cecile Cabai	nis is in exces	s of recommer	nded .	•			
		Blended Rationale: * Votes FOR the reelections concerns (Items 15, 17-18). * The number of a guidelines. Nevertheless, her overboarded position in Danone. As such, her reelection was	outside manda ition will cease	tes held by ( by end of Ju	Cecile Cabai	nis is in exces	s of recommer	nded				

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
19	Authorize Repurchase of Up to Percent of Issued Share Capit		Mgmt	Yes	For	For		For	For	No	No	No
	Extraordinary Business		Mgmt	No								
20	Authorize Decrease in Share Ovia Cancellation of Repurchas Shares	•	Mgmt	Yes	For	For		For	For	No	No	No
21	Authorize Capital Issuances fo Employee Stock Purchase Plan		Mgmt	Yes	For	For		For	For	No	No	No
22	Authorize up to 2 Percent of I Capital for Use in Stock Option		Mgmt	Yes	For	For		For	For	No	No	No
23	Authorize up to 1.8 Percent or Capital for Use in Restricted S Plans		Mgmt	Yes	For	For		For	For	No	No	No
	Ordinary Business		Mgmt	No								
24	Authorize Filing of Required Documents/Other Formalities		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Deta (IA Name, IA Number)	l Custodian Account Number	Ballot Status	Instructe	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692	391692	Confirmed	jbisset		jbisset				18,55	6	18,	556
			04/28/202	2	04/28/20	22						

**Total Shares:** 

18,556

18,556

### **Meeting Results**

Outstanding Shares Voted: 138,759,659 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.0%	87,002,272	100.0%	5,289	0.0%	395,480	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	87,002,366	100.0%	5,298	0.0%	395,377	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	87,339,337	100.0%	38	0.0%	26	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	87,358,540	100.0%	21,788	0.0%	22,713	N/A	Poll	F+A
5	Υ	Pass	No	For	6.7%	81,530,210	93.3%	5,842,792	6.7%	30,039	N/A	Poll	F+A
6	Υ	Pass	No	For	6.8%	81,416,884	93.2%	5,959,784	6.8%	26,373	N/A	Poll	F+A
7	Υ	Pass	No	For	6.4%	81,777,517	93.6%	5,598,806	6.4%	26,718	N/A	Poll	F+A
8	Υ	Pass	No	For	6.4%	81,765,256	93.6%	5,610,897	6.4%	26,888	N/A	Poll	F+A
9	Υ	Pass	No	For	5.5%	82,588,303	94.5%	4,789,248	5.5%	25,490	N/A	Poll	F+A
10	Υ	Pass	No	For	0.2%	87,150,886	99.8%	207,063	0.2%	45,092	N/A	Poll	F+A
11	Υ	Pass	No	For	5.9%	82,234,577	94.1%	5,120,923	5.9%	47,541	N/A	Poll	F+A
12	Υ	Pass	No	For	6.7%	81,316,503	93.3%	5,859,599	6.7%	226,939	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
13	Y	Pass	No	For	6.7%	81,316,701	93.3%	5,859,272	6.7%	227,068	N/A	Poll	F+A
14	Υ	Pass	No	For	2.5%	85,219,583	97.5%	2,153,859	2.5%	29,599	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	87,305,220	99.9%	73,609	0.1%	24,212	N/A	Poll	F+A
16	Υ	Pass	No	For	12.4%	76,545,485	87.6%	10,833,518	12.4%	24,038	N/A	Poll	F+A
17	Υ	Pass	No	For	6.3%	81,876,261	93.7%	5,502,570	6.3%	24,210	N/A	Poll	F+A
18	Υ	Pass	No	For	6.6%	81,635,478	93.4%	5,739,091	6.6%	28,472	N/A	Poll	F+A
19	Υ	Pass	No	For	1.5%	86,077,793	98.5%	1,273,435	1.5%	51,813	N/A	Poll	F+A
	N												
20	Υ	Pass	No	For	3.0%	84,759,705	97.0%	2,630,612	3.0%	16,792	N/A	Poll	F+A
21	Υ	Pass	No	For	3.7%	84,147,778	96.3%	3,235,978	3.7%	23,353	N/A	Poll	F+A
22	Υ	Pass	No	For	4.8%	83,142,953	95.2%	4,225,764	4.8%	38,392	N/A	Poll	F+A
23	Υ	Pass	No	For	5.3%	82,746,944	94.7%	4,622,070	5.3%	38,095	N/A	Poll	F+A
	N												
24	Υ	Pass	No	For	0.0%	87,333,736	100.0%	26,923	0.0%	42,382	N/A	Poll	F+A

## **Balfour Beatty Plc**

Meeting Date: 05/12/2022 **Record Date:** 05/10/2022

Country: United Kingdom

Meeting Type: Annual

Primary CUSIP: G3224V108

Ticker: BBY

**Meeting ID:** 1630189

Primary ISIN: GB0000961622

Proxy Level: N/A

Primary SEDOL: 0096162

Earliest Cutoff Date: 05/09/2022

Primary Security ID: G3224V108

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Si	hares: 117,651	*Shares on Loan: 0			Shares In	structed: 117	,651		Shares Voted	<b>l:</b> 117,651		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
4		Elect Charles Allen, Lord of Kensington as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	rectors is warrar	nted as no si	ignificant co	ncerns have l	been identified	1.				
		Blended Rationale: A vote FOR these Directo	ors is warranted	as no signific	cant concern	ns have been	identified.					
5		Elect Louise Hardy as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	rectors is warrai	nted as no si	ignificant co	ncerns have l	been identified	<i>1.</i>			-	
		Blended Rationale: A vote FOR these Directo	ors is warranted	as no signific	cant concern	ns have been	identified.					
6		Re-elect Stephen Billingham as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	rectors is warrai	nted as no si	ignificant co	ncerns have l	been identified	1.				
		Blended Rationale: A vote FOR these Director	ors is warranted i	as no sianific	cant conceri	ns have heen	identified.				-	

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# **Balfour Beatty Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Re-elect Stuart Doughty as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these D	irectors is warrar	nted as no sig	gnificant co	ncerns have L	peen identified					
		Blended Rationale: A vote FOR these Director	ors is warranted a	as no signific	cant concern	ns have been	identified.					
8		Re-elect Philip Harrison as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these D	irectors is warrar	nted as no sig	gnificant co	ncerns have L	peen identified					
		Blended Rationale: A vote FOR these Director	ors is warranted a	as no signific	cant concern	ns have been	identified.					
9		Re-elect Michael Lucki as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these D	irectors is warrar	nted as no sig	gnificant co	ncerns have b	peen identified					
		Blended Rationale: A vote FOR these Director	ors is warranted a	as no signific	cant concern	ns have been	identified.					
10		Re-elect Barbara Moorhouse as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these D	irectors is warrar	nted as no sig	gnificant co	ncerns have L	peen identified					
		Blended Rationale: A vote FOR these Director	ors is warranted a	as no signific	ant concern	ns have been	identified.					
11		Re-elect Leo Quinn as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these D	irectors is warrar	nted as no sig	gnificant co	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Director	ors is warranted a	as no signific	cant concern	ns have been	identified.					
12		Re-elect Anne Drinkwater as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these D	irectors is warrar	nted as no sig	gnificant co	ncerns have L	been identified					
		Blended Rationale: A vote FOR these Director	ors is warranted a	as no signific	ant concern	ns have been	identified.					
13		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
14		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these re recommended limits.	esolutions is warr	anted becau.	se the prop	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolute recommended limits.	tions is warranted	d because th	e proposed	amounts and	l durations are	within				
17		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these re recommended limits.	esolutions is warr	anted becau	se the prop	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolute recommended limits.	tions is warranted	d because th	e proposed	amounts and	durations are	within				
18		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Det												
Institutiona (IA Name, I	l Account Detail A Number)	Custodian Account Number Ballot Statu	s Instructe	d	Approv	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Balfour Beatty Plc**

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jcoster	jcoster		117,651	117,651
			05/03/2022	05/03/2022			
					= Total Shares:	117,651	117,651

### **Meeting Results**

Outstanding Shares Voted: 631,036,860

Results Available: Partial

ou cocui	iuling Silares	000001 001,000	,,000			iits Available. Falu	<b></b>						
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	491,440,184	100.0%	6,709	0.0%	4,942,482	N/A	Poll	F+A
2	Υ	Pass	No	For	6.4%	464,255,659	93.6%	31,798,975	6.4%	42,544	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	496,073,589	100.0%	1,585	0.0%	22,004	N/A	Poll	F+A
4	Y	Pass	No	For	11.0%	427,209,135	89.0%	52,773,938	11.0%	16,114,105	N/A	Poll	F+A
5	Y	Pass	No	For	0.4%	493,917,992	99.6%	2,135,772	0.4%	43,414	N/A	Poll	F+A
6	Y	Pass	No	For	1.7%	487,499,559	98.3%	8,574,815	1.7%	22,804	N/A	Poll	F+A
7	Υ	Pass	No	For	2.6%	483,116,738	97.4%	12,952,926	2.6%	27,514	N/A	Poll	F+A
8	Υ	Pass	No	For	0.2%	495,178,324	99.8%	895,036	0.2%	23,818	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	495,976,613	100.0%	97,225	0.0%	23,340	N/A	Poll	F+A
10	Y	Pass	No	For	1.7%	487,734,083	98.3%	8,630,958	1.7%	24,334	N/A	Poll	F+A
11	Υ	Pass	No	For	0.0%	496,263,191	100.0%	102,366	0.0%	23,818	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	496,254,814	100.0%	108,008	0.0%	26,553	N/A	Poll	F+A
13	Υ	Pass	No	For	5.3%	469,925,333	94.7%	26,380,937	5.3%	83,105	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	496,321,316	100.0%	37,376	0.0%	30,683	N/A	Poll	F+A
15	Υ	Pass	No	For	2.1%	485,791,733	97.9%	10,573,191	2.1%	24,451	N/A	Poll	F+A
16	Υ	Pass	No	For	3.0%	481,424,843	97.0%	14,940,401	3.0%	24,131	N/A	Poll	F+A
17	Υ	Pass	No	For	1.0%	491,582,337	99.0%	4,779,975	1.0%	27,063	N/A	Poll	F+A
18	Υ	Pass	No	For	5.4%	468,543,841	94.6%	26,494,542	5.4%	1,350,992	N/A	Poll	F+A
19	Υ	Pass	No	For	4.1%	475,807,727	95.9%	20,264,440	4.1%	1,706,306	N/A	Poll	F+A

### **BP Plc**

Meeting Date: 05/12/2022 Record Date: 05/10/2022 Primary Security ID: G12793108 Country: United Kingdom
Meeting Type: Annual
Primary CUSIP: G12793108

Ticker: BP

**Meeting ID:** 1622524

**Primary ISIN:** GB0007980591

Proxy Level: N/A

Primary SEDOL: 0798059

#### **BP PIc**

Earliest Cutoff Date: 05/09/2022

Votable Shares: 1,454,186

Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

**Additional Policy:** 

Shares Voted: 1.454.186

Shares Instructed: 1,454,186

**Additional** Voting Vote Vote Vote Proposal Significant Votable Mgmt Policy Policy Vote Against Against Against Number Vote Proposal Text Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS Management Proposals No Mgmt Accept Financial Statements and 1 Mamt Yes For For For For No No No Statutory Reports Approve Remuneration Report Mgmt Yes For For For For No No No Approve Net Zero - From Ambition to Mgmt Yes For For For For Nο Nο Nο Action Report Re-elect Helge Lund as Director Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Blended Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Re-elect Bernard Looney as Director Mgmt Yes For For For For Nο Nο Nο Voting Policy Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Blended Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Re-elect Murray Auchincloss as Mgmt For For No No No Director Voting Policy Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Blended Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Re-elect Paula Revnolds as Director For For For For No No Mamt Yes No Voting Policy Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Blended Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. 8 Re-elect Pamela Daley as Director Mgmt For For No No No Yes Voting Policy Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Blended Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Re-elect Melody Meyer as Director Mamt Yes For For For For No No No Voting Policy Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Blended Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. 10 Re-elect Sir John Sawers as Director Mgmt Yes For For For No No No Voting Policy Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Blended Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Re-elect Tushar Morzaria as Director Yes For For For No No 11 Mamt For No Voting Policy Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Blended Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. 12 Re-elect Karen Richardson as Director Mgmt Yes For For For No No No Voting Policy Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Blended Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified.

### **BP Plc**

Proposal Number	Significant Vote	Proposal Text	Propo			lgmt ec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
13		Re-elect Johannes Teyssen as Director	or Mgmt	Yes	s Fo	or	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR to	hese candidates is	considerea	d warranted	d, as no m	aterial conce	erns have beel	n identified.						
		Blended Rationale: A vote FOR these	candidates is con	sidered war	ranted, as	no materia	al concerns	have been ide	ntified.						
14		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	s Fo	or	For		For	For	No	No	No		
15		Authorise the Audit Committee to Fix Remuneration of Auditors	. Mgmt	Yes	s Fo	or	For		For	For	No	No	No		
16		Approve ShareMatch UK Plan	Mgmt	Yes	s Fo	or	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR to	his item is conside	ered warrant	ted, as no i	material co	oncerns hav	e been identifi	ied.						
		Blended Rationale: A vote FOR this its	em is considered v	warranted, a	as no mate	erial conce	rns have bee	en identified.							
17		Approve Sharesave UK Plan	Mgmt	Yes	s Fo	or	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR to	his item is conside	ered warrant	ted, as no i	material co	oncerns hav	e been identifi	ed.						
		Blended Rationale: A vote FOR this its	em is considered v	warranted, a	as no mate	erial concei	rns have bee	en identified.							
18		Authorise UK Political Donations and Expenditure	Mgmt	Yes	s Fo	or	For		For	For	No	No	No		
19		Authorise Issue of Equity	Mgmt	Yes	s Fo	or	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR to recommended limits.	lended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within												
		Blended Rationale: A vote FOR these recommended limits.	resolutions is war	ranted beca	ause the pro	roposed an	mounts and o	durations are I	vithin						
20		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	s Fo	or	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR to recommended limits.	hese resolutions is	warranted	because th	he propose	ed amounts	and durations	are within						
		Blended Rationale: A vote FOR these recommended limits.	resolutions is war	ranted beca	ause the pro	roposed an	mounts and o	durations are I	vithin						
21		Authorise Issue of Equity without Pre-emptive Rights in Connection wit an Acquisition or Other Capital Investment	Mgmt h	Yes	s Fo	or	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR to recommended limits.	hese resolutions is	warranted	because th	he propose	ed amounts	and durations	are within						
		Blended Rationale: A vote FOR these recommended limits.	resolutions is war	ranted beca	ause the pro	roposed an	mounts and o	durations are I	vithin						
22		Authorise Market Purchase of Ordina Shares	ry Mgmt	Yes	s Fo	or	For		For	For	No	No	No		
23		Authorise the Company to Call Gener Meeting with Two Weeks' Notice	ral Mgmt	Yes	s Fo	or	For		For	For	No	No	No		
		Shareholder Proposal	Mgmt	No											
24		Approve Shareholder Resolution on Climate Change Targets	SH	Yes	s Ag	gainst	Against		Against	Against	No	No	No		
Ballot Det	ails														
Institutiona (IA Name, I	al Account Detail (A Number)	Custodian Account Number Ballo	ot Status Ins	structed		Approved		Ballot Voting S	Status	Votable Sh	ares	Shares \	/oted		
CAT, 391692		391692 Confi	rmed dni	coll		dnicoll				1,454,18	36	1,454,	186		
			05/	04/2022		05/04/2022									

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Total Shares: 1,454,186 1,454,186

### **Meeting Results**

Outstanding Shares Voted: 19,522,131,901

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Y	Pass	No	For	0.4%	11,888,811,888	99.6%	43,967,714	0.4%	53,028,472	N/A	Poll	F+A
2	Y	Pass	No	For	5.6%	11,117,563,056	94.4%	665,022,090	5.6%	203,221,922	N/A	Poll	F+A
3	Υ	Pass	No	For	11.5%	10,243,411,197	88.5%	1,327,625,024	11.5%	414,773,596	N/A	Poll	F+A
4	Υ	Pass	No	For	3.4%	11,522,425,313	96.6%	410,700,046	3.4%	52,672,313	N/A	Poll	F+A
5	Υ	Pass	No	For	1.3%	11,782,025,805	98.7%	156,794,718	1.3%	46,983,844	N/A	Poll	F+A
6	Υ	Pass	No	For	1.2%	11,791,571,084	98.8%	145,700,050	1.2%	48,544,835	N/A	Poll	F+A
7	Υ	Pass	No	For	4.6%	11,388,720,684	95.4%	547,004,247	4.6%	50,091,676	N/A	Poll	F+A
8	Υ	Pass	No	For	1.3%	11,775,967,983	98.7%	159,440,873	1.3%	50,404,614	N/A	Poll	F+A
9	Υ	Pass	No	For	2.1%	11,571,670,836	97.9%	247,989,413	2.1%	166,155,721	N/A	Poll	F+A
10	Υ	Pass	No	For	3.0%	11,572,408,331	97.0%	362,567,294	3.0%	50,842,596	N/A	Poll	F+A
11	Υ	Pass	No	For	1.2%	11,795,665,999	98.8%	139,322,205	1.2%	50,827,765	N/A	Poll	F+A
12	Υ	Pass	No	For	9.6%	10,794,224,268	90.4%	1,141,764,905	9.6%	49,826,797	N/A	Poll	F+A
13	Υ	Pass	No	For	1.6%	11,748,126,515	98.4%	186,660,015	1.6%	51,029,439	N/A	Poll	F+A
14	Υ	Pass	No	For	0.3%	11,908,406,408	99.7%	37,233,981	0.3%	40,185,810	N/A	Poll	F+A
15	Υ	Pass	No	For	0.4%	11,890,464,940	99.6%	43,701,452	0.4%	51,657,005	N/A	Poll	F+A
16	Υ	Pass	No	For	0.8%	11,833,757,590	99.2%	91,540,475	0.8%	60,531,313	N/A	Poll	F+A
17	Υ	Pass	No	For	1.1%	11,798,066,613	98.9%	126,207,453	1.1%	61,543,949	N/A	Poll	F+A
18	Υ	Pass	No	For	2.4%	11,638,254,421	97.6%	292,192,818	2.4%	55,370,527	N/A	Poll	F+A
19	Υ	Pass	No	For	4.8%	11,365,262,872	95.2%	567,087,208	4.8%	53,479,307	N/A	Poll	F+A
20	Υ	Pass	No	For	1.3%	11,750,475,006	98.7%	153,527,693	1.3%	81,825,689	N/A	Poll	F+A
21	Υ	Pass	No	For	3.7%	11,463,506,845	96.3%	441,630,811	3.7%	80,690,782	N/A	Poll	F+A
22	Υ	Pass	No	For	1.6%	11,746,635,916	98.4%	188,156,921	1.6%	51,031,941	N/A	Poll	F+A
23	Υ	Pass	No	For	7.8%	11,014,542,918	92.2%	929,145,322	7.8%	42,142,449	N/A	Poll	F+A
	N												
24	Y	Fail	Yes	Against	14.9%	1,765,833,694	14.9%	10,118,016,838	85.1%	101,979,681	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### Fresenius Medical Care AG & Co. KGaA

391692

Meeting Date: 05/12/2022

Country: Germany

Proxy Level: N/A

**Record Date:** 04/20/2022 Primary Security ID: D2734Z107 Meeting Type: Annual

Primary CUSIP: D2734Z107

Confirmed

Meeting ID: 1612357 **Primary ISIN:** DE0005785802

Ticker: FME

Primary SEDOL: 5129074

Earliest Cutoff Date: 05/04/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

16,199

16,199

Total Shares:

16,199

16,199

Votable Shares: 16,199

\*Shares on Loan: 0

Shares Instructed: 16,199

Shares Voted: 16,199

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports for Fiscal Year 2021	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Allocation of Income and Dividends of EUR 1.35 per Share	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Discharge of Personally Liable Partner for Fiscal Year 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this propagation of the Policy Rationale: A vote FOR this proposal		ed.								
4		Approve Discharge of Supervisory Board for Fiscal Year 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this prop	oosal is warrante	ed.							-	
		Blended Rationale: A vote FOR this proposal	is warranted.									
5		Ratify PricewaterhouseCoopers GmbH as Auditors for Fiscal Year 2022 and for the Review of Interim Financial Statements for Fiscal Year 2022	Mgmt	Yes	For	For		For	For	No	No	No
6		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	nils											
Institutional Account Detail Custodian (IA Name, IA Number) Account Number Ballot Status		Instructe	ed	Appro	/ed	Ballot Voting	Status	Votable S	hares	Shares	Voted	

dnicoll 05/04/2022

#### **Meeting Results**

CAT, 391692

**Outstanding Shares Voted:** 

Results Available: Partial

dnicoll

05/04/2022

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	234,789,695	100.0%	109,220	0.0%	1,727,450	N/A	Poll	F+A
2	Υ	Pass	No	For	0.5%	235,409,680	99.5%	1,214,625	0.5%	2,060	N/A	Poll	F+A
3	Υ	Pass	No	For	2.3%	136,855,509	97.7%	3,292,510	2.3%	2,097,964	N/A	Poll	F+A
4	Υ	Pass	No	For	8.3%	128,823,515	91.7%	11,669,230	8.3%	1,753,238	N/A	Poll	F+A
5	Υ	Pass	No	For	7.4%	131,682,034	92.6%	10,464,949	7.4%	99,000	N/A	Poll	F+A
6	Υ	Pass	No	For	5.1%	219,455,306	94.9%	11,860,077	5.1%	5,310,982	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Lloyds Banking Group Plc**

Meeting Date: 05/12/2022 **Record Date:** 05/10/2022

Country: United Kingdom

Ticker: LLOY **Meeting ID:** 1611399 Proxy Level: N/A

Primary Security ID: G5533W248

Meeting Type: Annual Primary CUSIP: G5533W248

Primary ISIN: GB0008706128

Primary SEDOL: 0870612

Earliest Cutoff Date: 05/09/2022 Votable Shares: 2,657,679

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

\*Shares on Loan: 0 Shares Instructed: 2,657,679 **Shares Voted:** 2,657,679

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Elect Harmeen Mehta as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	tors is conside	red warrant	ed, as no ma	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered v	varranted, a	s no materia	l concerns h	ave been iden	tified.				
3		Elect Charlie Nunn as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	tors is conside	red warrant	ed, as no ma	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered v	warranted, a	s no materia	l concerns h	ave been iden	tified.				
4		Re-elect Robin Budenberg as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	tors is conside	red warrant	ed, as no ma	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered v	warranted, a	s no materia	l concerns h	ave been iden	tified.				
5		Re-elect William Chalmers as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	tors is conside	red warranto	ed, as no ma	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered v	varranted, a	s no materia	l concerns h	ave been iden	tified.				
6		Re-elect Alan Dickinson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	tors is conside	red warrant	ed, as no ma	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered v	varranted, a	s no materia	l concerns h	ave been iden	tified.				
7		Re-elect Sarah Legg as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	tors is conside	red warrant	ed, as no ma	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered v	warranted, a	s no materia	l concerns h	ave been iden	tified.				
8		Re-elect Lord Lupton as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	tors is conside	red warrant	ed, as no ma	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered i	varranted, a	s no materia	l concerns h	ave been iden	tified.				
9		Re-elect Amanda Mackenzie as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	tors is conside	red warrant	ed, as no ma	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered v	warranted, a	s no materia	l concerns h	ave been iden	tified.				
10		Re-elect Catherine Woods as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	tors is conside	red warrant	ed, as no ma	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered v	varranted, a	s no materia	l concerns h	ave been iden	tified.				
11		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
12		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
13		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Lloyds Banking Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
14		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Approve Share Incentive Plan	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reso recommended limits.	lutions is warra	anted becaus	se the propo	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed	amounts and	l durations are	within				
18		Authorise Issue of Equity in Relation to the Issue of Regulatory Capital Convertible Instruments	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reso the Company were to issue Regulatory Capital convert into ordinary shares, this would result support are: * Such authorities are common pi and * The conversion into ordinary shares wou weakness well beyond the Company's current	Convertible In in significant a roposals at UK ıld require the	struments ai lilution to no banks and a CET1 ratio t	nd a trigger n-participati nre intended o fall to a le	event were t ing sharehold to apply in e evel which wo	to occur, causii ders. The main extreme circum	ng them to reasons for estances only	;;			
		Blended Rationale: A vote FOR these resolution Company were to issue Regulatory Capital Con- into ordinary shares, this would result in signifi- are: * Such authorities are common proposals conversion into ordinary shares would require well beyond the Company's current position and	vertible Instru icant dilution to at UK banks a the CET1 ratio	ments and a o non-partici nd are intend to fall to a lo	trigger eve pating share ded to apply evel which v	ent were to oc eholders. The v in extreme c	ccur, causing to main reasons circumstances	hem to conve for support only; and *	ert The			
19		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reso recommended limits.	lutions is warra	anted becaus	se the propo	osed amounts	s and duration:	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed	amounts and	d durations are	within				
20		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorecommended limits.	lutions is warra	anted becaus	se the propo	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed	amounts and	d durations are	within				
21		Authorise Issue of Equity without Pre-Emptive Rights in Relation to the Issue of Regulatory Capital Convertible Instruments	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reso the Company were to issue Regulatory Capital convert into ordinary shares, this would result support are: * Such authorities are common po and * The conversion into ordinary shares wou weakness well beyond the Company's current	Convertible In in significant a roposals at UK ıld require the	struments ai lilution to no banks and a CET1 ratio t	nd a trigger n-participati nre intended o fall to a le	event were t ing sharehold to apply in e evel which wo	to occur, causii ders. The main extreme circum	ng them to reasons for estances only	;;			
		Blended Rationale: A vote FOR these resolution Company were to issue Regulatory Capital Com- into ordinary shares, this would result in signifi- are: * Such authorities are common proposals conversion into ordinary shares would require well beyond the Company's current position and	vertible Instru icant dilution to at UK banks a the CET1 ratio	ments and a non-partici nd are intend to fall to a lo	trigger eve pating share ded to apply evel which v	ent were to oc eholders. The v in extreme c	ccur, causing to main reasons circumstances	hem to conve for support only; and *	ert The			

# **Lloyds Banking Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
22		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorise Market Purchase of Preference Shares	Mgmt	Yes	For	For		For	For	No	No	No
24		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	dnicoll	dnicoll		2,657,679	2,657,679
			05/03/2022	05/03/2022			

Total Shares: 2,657,679 2,657,679

### **Meeting Results**

Outstanding Shares Voted: 69,445,479,613

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	44,489,826,013	100.0%	13,124,412	0.0%	N/A	N/A	Poll	F+A
2	Υ	Pass	No	For	3.5%	42,679,074,026	96.5%	1,526,118,513	3.5%	N/A	N/A	Poll	F+A
3	Υ	Pass	No	For	0.4%	44,446,739,453	99.6%	178,595,142	0.4%	N/A	N/A	Poll	F+A
4	Υ	Pass	No	For	4.2%	42,557,827,339	95.8%	1,887,280,081	4.2%	N/A	N/A	Poll	F+A
5	Υ	Pass	No	For	1.4%	44,021,312,867	98.6%	604,451,363	1.4%	N/A	N/A	Poll	F+A
6	Υ	Pass	No	For	0.5%	44,396,388,893	99.5%	228,650,918	0.5%	N/A	N/A	Poll	F+A
7	Υ	Pass	No	For	0.4%	44,440,992,370	99.6%	184,736,463	0.4%	N/A	N/A	Poll	F+A
8	Υ	Pass	No	For	0.4%	44,424,470,749	99.6%	200,139,304	0.4%	N/A	N/A	Poll	F+A
9	Υ	Pass	No	For	0.4%	44,441,576,917	99.6%	183,875,179	0.4%	N/A	N/A	Poll	F+A
10	Υ	Pass	No	For	0.4%	44,441,173,490	99.6%	183,961,935	0.4%	N/A	N/A	Poll	F+A
11	Υ	Pass	No	For	3.9%	42,141,827,602	96.1%	1,723,549,390	3.9%	N/A	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	44,626,273,712	100.0%	15,562,423	0.0%	N/A	N/A	Poll	F+A
13	Υ	Pass	No	For	0.2%	44,567,182,226	99.8%	67,473,360	0.2%	N/A	N/A	Poll	F+A
14	Υ	Pass	No	For	0.1%	44,566,553,055	99.9%	35,636,915	0.1%	N/A	N/A	Poll	F+A
15	Υ	Pass	No	For	1.5%	43,952,820,702	98.5%	667,668,792	1.5%	N/A	N/A	Poll	F+A
16	Υ	Pass	No	For	3.4%	43,118,721,808	96.6%	1,526,048,441	3.4%	N/A	N/A	Poll	F+A
17	Υ	Pass	No	For	6.4%	41,790,170,341	93.6%	2,841,926,082	6.4%	N/A	N/A	Poll	F+A
18	Y	Pass	No	For	1.8%	43,801,188,711	98.2%	816,529,534	1.8%	N/A	N/A	Poll	F+A
19	Υ	Pass	No	For	1.5%	43,942,204,037	98.5%	669,308,541	1.5%	N/A	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
20	Y	Pass	No	For	4.0%	42,822,618,963	96.0%	1,788,152,127	4.0%	N/A	N/A	Poll	F+A
21	Υ	Pass	No	For	2.9%	43,337,756,120	97.1%	1,271,845,082	2.9%	N/A	N/A	Poll	F+A
22	Y	Pass	No	For	1.5%	43,934,510,487	98.5%	686,976,512	1.5%	N/A	N/A	Poll	F+A
23	Υ	Pass	No	For	0.9%	44,229,925,104	99.1%	386,470,267	0.9%	N/A	N/A	Poll	F+A
24	Y	Pass	No	For	6.0%	41,973,380,355	94.0%	2,655,902,499	6.0%	N/A	N/A	Poll	F+A

## **Gresham House Energy Storage Fund Plc**

Meeting Date: 05/13/2022

Country: United Kingdom

Primary CUSIP: G4110M103

Ticker: GRID

Proxy Level: N/A

**Record Date:** 05/11/2022

Meeting Type: Special

**Meeting ID:** 1640714

Primary SEDOL: BFX3K77

Primary Security ID: G4110M103 Earliest Cutoff Date: 05/10/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 258,820

\*Shares on Loan: 0

Shares Instructed: 258,820

Primary ISIN: GB00BFX3K770

Shares Voted: 258,820

votable Sr	iares: 258,820	*Snares on Loan: 0			Shares Ir	istructed: 258	3,820		Shares Voted	1: 258,820		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these following reason: * The duration of the preasons for support are: * The Company provided a commitment that shares would	roposal is for longer has historically soug	r than 18 mc ght renewal	onths, contra of the autho	ary to recomi	mended limits.	The main				
		Blended Rationale: A vote FOR these reso following reason: * The duration of the pr reasons for support are: * The Company I provided a commitment that shares would	roposal is for longer has historically soug	r than 18 mo ght renewal	onths, contra of the autho	ary to recomi	mended limits.	The main	has			
2		Approve Amendments to the Investment Policy of the Company	Mgmt	Yes	For	For		For	For	No	No	No
3		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these following reason: * The duration of the preasons for support are: * The Company I provided a commitment that shares would	roposal is for longer has historically soug	r than 18 mo ght renewal	onths, contra of the autho	ary to recomi	mended limits.	The main				
		Blended Rationale: A vote FOR these reso following reason: * The duration of the pr reasons for support are: * The Company of provided a commitment that shares would	roposal is for longer has historically soug	r than 18 mc ght renewal	onths, contra of the autho	ary to recomi	mended limits.	The main	has		-	

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jcoster	jcoster		258,820	258,820
			05/03/2022	05/03/2022			
					Total Shares:	258,820	258,820

### **Meeting Results**

Outstanding Shares Voted: 437,842,078

Results Available: Full

Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Туре	Base

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	264,256,619	100.0%	22,009	0.0%	116,000	N/A	Poll	F+A
2	Υ	Pass	No	For	0.1%	264,068,907	99.9%	207,710	0.1%	118,011	N/A	Poll	F+A
3	Υ	Pass	No	For	0.1%	263,981,429	99.9%	285,356	0.1%	127,843	N/A	Poll	F+A

Ticker: WPM

**Meeting ID:** 1607614

Proxy Level: 3

## **Wheaton Precious Metals Corp.**

Meeting Date: 05/13/2022 Country: Canada

**Record Date:** 03/18/2022 **Meeting Type:** Annual/Special

 Primary Security ID: 962879102
 Primary CUSIP: 962879102
 Primary ISIN: CA9628791027
 Primary SEDOL: BF13KN5

Earliest Cutoff Date: 05/10/2022Total Ballots: 1Voting Policy: ISSAdditional Policy:Votable Shares: 55,349\*Shares on Loan: 0Shares Instructed: 55,349Shares Voted: 55,349

Votable 3i	iai es. 33,349	Silares on Loan. 0				Silaies Histructeu: 55,549				Silates voted: 33,349				
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
1.1		Elect Director George L. Brack	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significan	t concerns h	ave been ide	entified at this	time.						
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have l	been identifie	ed at this time.							
1.2		Elect Director John A. Brough	Mgmt	Yes	For	For		For	Withhold	Yes	Yes	Yes		
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significan	t concerns h	ave been ide	entified at this	time.						
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have l	been identifie	ed at this time.							
1.3		Elect Director Jaimie Donovan	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significan	t concerns h	ave been ide	entified at this	time.						
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have l	been identifie	ed at this time.							
1.4		Elect Director R. Peter Gillin	Mgmt	Yes	For	For		For	Withhold	Yes	Yes	Yes		
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significan	t concerns h	ave been ide	entified at this	time.						
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have l	been identifie	ed at this time.							
1.5		Elect Director Chantal Gosselin	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significan	t concerns h	ave been ide	entified at this	time.						
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have l	been identifie	ed at this time.							
1.6		Elect Director Glenn Ives	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significan	t concerns h	ave been ide	entified at this	time.						
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have l	been identifie	ed at this time.							
1.7		Elect Director Charles A. Jeannes	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significan	t concerns h	ave been ide	entified at this	time.						
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have l	been identifie	ed at this time.							
1.8		Elect Director Eduardo Luna	Mgmt	Yes	For	For		For	Withhold	Yes	Yes	Yes		
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significan	t concerns h	ave been ide	entified at this	time.						
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have l	been identifie	ed at this time.							
1.9		Elect Director Marilyn Schonberner	Mgmt	Yes	For	For		For	For	No	No	No		
Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been iden					entified at this	time.								
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have l	been identifie	ed at this time.							

## **Wheaton Precious Metals Corp.**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.10		Elect Director Randy V.J. Small	wood	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FC	ing Policy Rationale: Vote FOR all proposed no nded Rationale: Vote FOR all proposed nomine			t concerns h	ave been ide	ntified at this	time.				
		Blended Rationale: Vote FOR al	proposed nom	inees as no sig	es as no significant concerns have been identified at this time.								
2		Approve Deloitte LLP as Auditors and Mgm Authorize Board to Fix Their Remuneration		Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote on Executive Compensation Approach		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	nils												
Institutional (IA Name, I	Account Detail A Number)	l Custodian Account Number Ballot Status		Instructed	ı	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692		000391692	Confirmed	jbisset		jbisset				55,34	9	55,3	149
				05/05/2022		05/05/20	22						

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	13.3%	279,843,827	86.7%	42,799,191	13.3%	N/A	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	10.1%	289,923,537	89.9%	32,719,481	10.1%	N/A	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	0.6%	320,848,121	99.4%	1,794,897	0.6%	N/A	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	16.6%	268,956,212	83.4%	53,686,806	16.6%	N/A	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	1.6%	317,437,144	98.4%	5,205,874	1.6%	N/A	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	0.4%	321,506,611	99.6%	1,136,407	0.4%	N/A	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	0.4%	321,420,318	99.6%	1,222,700	0.4%	N/A	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	10.6%	288,408,017	89.4%	34,235,001	10.6%	N/A	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	0.3%	321,639,355	99.7%	1,003,663	0.3%	N/A	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	0.6%	320,784,002	99.4%	1,859,016	0.6%	N/A	N/A	Proxy	F+A
2	Υ	Pass	No	For	2.9%	343,416,137	97.1%	10,231,684	2.9%	N/A	N/A	Proxy	F+A
3	Y	Pass	No	For	8.4%	295,423,075	91.6%	27,218,396	8.4%	N/A	N/A	Proxy	F+A

### JPMorgan Chase & Co.

Meeting Date: 05/17/2022

Country: USA

Ticker: JPM

Proxy Level: 3

Total Shares:

55,349

55,349

**Record Date:** 03/18/2022

Meeting Type: Annual

**Meeting ID:** 1630758

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**Primary Security ID:** 46625H100

Primary CUSIP: 46625H100

**Primary ISIN:** US46625H1005

Primary SEDOL: 2190385

Total Ballots: 1

Earliest Cutoff Date: 05/16/2022

\*Shares on Loan: 0 Shares Instructed: 14.919 Shares Voted: 14,919 Votable Shares: 14.919 **Additional** Voting Vote Vote **Proposal** Significant Votable Mgmt Policy Policy Vote Against Against Against Number Vote Proposal Text Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS Elect Director Linda B. Bammann Mgmt Yes For For No No 1a For For No Voting Policy Rationale: A vote FOR Risk Committee Chair Linda Bammann and Public Responsibility Committee James Crown is warranted, with caution. Although certain concerns are raised regarding the rigor of JP Morgan's GHG emission reduction targets, these are not considered to rise to a level of concern that would imply that votes against Bammann and Crown are warranted. A vote FOR the other director nominees is warranted. Blended Rationale: A vote FOR Risk Committee Chair Linda Bammann and Public Responsibility Committee James Crown is warranted, with caution. Although certain concerns are raised regarding the rigor of JP Morgan's GHG emission reduction targets, these are not considered to rise to a level of concern that would imply that votes against Bammann and Crown are warranted. A vote FOR the other director nominees is warranted. 1b Elect Director Stephen B. Burke For For No No No Voting Policy Rationale: A vote FOR Risk Committee Chair Linda Bammann and Public Responsibility Committee James Crown is warranted, with caution. Although certain concerns are raised regarding the rigor of JP Morgan's GHG emission reduction targets, these are not considered to rise to a level of concern that would imply that votes against Bammann and Crown are warranted. A vote FOR the other director nominees is warranted. Blended Rationale: A vote FOR Risk Committee Chair Linda Bammann and Public Responsibility Committee James Crown is warranted, with caution. Although certain concerns are raised regarding the rigor of JP Morgan's GHG emission reduction targets, these are not considered to rise to a level of concern that would imply that votes against Bammann and Crown are warranted. A vote FOR the other director nominees is warranted. Elect Director Todd A. Combs Mgmt For For For For No No No 1c Voting Policy Rationale: A vote FOR Risk Committee Chair Linda Bammann and Public Responsibility Committee James Crown is warranted, with caution. Although certain concerns are raised regarding the rigor of JP Morgan's GHG emission reduction targets, these are not considered to rise to a level of concern that would imply that votes against Bammann and Crown are warranted. A vote FOR the other director nominees is warranted. Blended Rationale: A vote FOR Risk Committee Chair Linda Bammann and Public Responsibility Committee James Crown is warranted, with caution. Although certain concerns are raised regarding the rigor of JP Morgan's GHG emission reduction targets, these are not considered to rise to a level of concern that would imply that votes against Bammann and Crown are warranted. A vote FOR the other director nominees is warranted. Elect Director James S. Crown For For For For No No 1d Mamt No Voting Policy Rationale: A vote FOR Risk Committee Chair Linda Bammann and Public Responsibility Committee James Crown is warranted, with caution, Although certain concerns are raised regarding the rigor of JP Morgan's GHG emission reduction targets, these are not considered to rise to a level of concern that would imply that votes against Bammann and Crown are warranted. A vote FOR the other director nominees is warranted. Blended Rationale: A vote FOR Risk Committee Chair Linda Bammann and Public Responsibility Committee James Crown is warranted, with caution. Although certain concerns are raised regarding the rigor of JP Morgan's GHG emission reduction targets, these are not considered to rise to a level of concern that would imply that votes against Bammann and Crown are warranted. A vote FOR the other director nominees is warranted. Elect Director James Dimon 1e For For No No No Voting Policy Rationale: A vote FOR Risk Committee Chair Linda Bammann and Public Responsibility Committee James Crown is warranted, with caution. Although certain concerns are raised regarding the rigor of JP Morgan's GHG emission reduction targets, these are not considered to rise to a level of concern that would imply that votes against Bammann and Crown are warranted. A vote FOR the other director nominees is warranted. Blended Rationale: A vote FOR Risk Committee Chair Linda Bammann and Public Responsibility Committee James Crown is warranted, with caution. Although certain concerns are raised regarding the rigor of JP Morgan's GHG emission reduction targets, these are not considered to rise to a level of concern that would imply that votes against Bammann and Crown are warranted. A vote FOR the other director nominees is warranted.

Voting Policy: ISS

Additional Policy:

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1f		Elect Director Timothy P. Flynn	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Risk Comm warranted, with caution. Although certain conc targets, these are not considered to rise to a le warranted. A vote FOR the other director nomi	erns are raised evel of concern	d regarding to that would	the rigor of .	JP Morgan's (	GHG emission i	reduction	ı is			
		Blended Rationale: A vote FOR Risk Committee warranted, with caution. Although certain conc targets, these are not considered to rise to a le warranted. A vote FOR the other director nomi	erns are raised evel of concern	d regarding to that would	the rigor of .	JP Morgan's (	GHG emission i	reduction				
1g		Elect Director Mellody Hobson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Risk Comm warranted, with caution. Although certain conc targets, these are not considered to rise to a le warranted. A vote FOR the other director nomi	erns are raised evel of concern	d regarding to that would	the rigor of .	JP Morgan's (	GHG emission i	reduction	ı is			
		Blended Rationale: A vote FOR Risk Committee warranted, with caution. Although certain conc targets, these are not considered to rise to a le warranted. A vote FOR the other director nomi	erns are raised evel of concern	d regarding to that would	the rigor of .	JP Morgan's (	GHG emission i	reduction				
1h		Elect Director Michael A. Neal	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Risk Comm warranted, with caution. Although certain conc targets, these are not considered to rise to a le warranted. A vote FOR the other director nomi	erns are raised evel of concern	d regarding to that would	the rigor of .	JP Morgan's (	GHG emission i	reduction	1 is			
		Blended Rationale: A vote FOR Risk Committee warranted, with caution. Although certain conc targets, these are not considered to rise to a le warranted. A vote FOR the other director nomi	erns are raised evel of concern	d regarding to that would	the rigor of .	JP Morgan's (	GHG emission i	reduction				
<b>1</b> i		Elect Director Phebe N. Novakovic	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Risk Comm warranted, with caution. Although certain conc targets, these are not considered to rise to a le warranted. A vote FOR the other director nomi	erns are raised evel of concern	d regarding to that would	the rigor of .	JP Morgan's (	GHG emission i	reduction	ı is			
		Blended Rationale: A vote FOR Risk Committee warranted, with caution. Although certain conc targets, these are not considered to rise to a le warranted. A vote FOR the other director nomin	erns are raised evel of concern	d regarding to that would	the rigor of .	JP Morgan's (	GHG emission i	reduction				
1j		Elect Director Virginia M. Rometty	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Risk Comm warranted, with caution. Although certain conc targets, these are not considered to rise to a le warranted. A vote FOR the other director nomi	erns are raised evel of concern	d regarding to that would	the rigor of .	JP Morgan's (	GHG emission i	reduction	ı is			
		Blended Rationale: A vote FOR Risk Committee warranted, with caution. Although certain conctargets, these are not considered to rise to a lewarranted. A vote FOR the other director nominations.	erns are raised evel of concern	d regarding to that would	the rigor of .	JP Morgan's (	GHG emission i	reduction				

Proposal Sig Number Vo	gnificant ote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2		Advisory Vote to Ratify Named Executive Officers' Compensation		Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: The inc appreciation rights. Although the concerns regarding the structur premium exercise price. This st provides. The executive pay pro- when such discretion is guided performance may provide conte increase in the CEO's annual-cy by such a sizable one-time awa mitigated and a vote AGAINST	ne CEO's annual re of the one-tin tructural concern ogram's reliance by performance ext to an increas vcle award while ind. In light of th	LTI is entirely ne award, as to n is heightened e on discretion e assessments se in performa. e long-term TSi nese concerns,	based on clothe award laced for the second to determine against consecutive year pay R lagged the	early disclosing in the second	ed multi-year performance- ay opportunit entives remai cs. While the stors may now ad when the I	goals, there a vesting criteri ies this off-cyc ins an ongoing company's rec netheless que regular award	are significan ia, or even a cle award n concern, ev cent improve stion an was precede	en d			
		Blended Rationale: The increasing rights. Although the CEO's annual regarding the structure of the continuous exercise price. This structural continuous executive pay program's reliance discretion is guided by performation performance may provide containcrease in the CEO's annual-cyby such a sizable one-time await mitigated and a vote AGAINST	ual LTI is entirel one-time award, oncern is height ce on discretion ance assessmen ext to an increas ycle award while ord. In light of th	ly based on clea as the award tened given the to determine a its against con se in performa be long-term TSI nese concerns,	early disclose lacks rigorou e substantial annual incen sistent metri nce year pay R lagged the	ed multi-year us performar pay opporte tives remain ics. While th y, some inve	goals, there nce-vesting counities this of san ongoing e company's stors may now and when the r	are significan. riteria, or ever ff-cycle award concern, ever recent improv netheless que. regular award	t concerns In a premium In provides. The In when such Ited Ited Ited Ited Ited Ited Ited Ited	е			
3		Ratify PricewaterhouseCoopers Auditors	s LLP as	Mgmt	Yes	For	For		For	For	No	No	No
4		Adopt Fossil Fuel Financing Pol Consistent with IEA's Net Zero Scenario	•	SH	Yes	Against	Against		Against	Against	No	No	No
5		Reduce Ownership Threshold f Shareholders to Call Special Me		SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote special meeting right for sharel		sal is warrante	d as a lower	ownership t	threshold wou	ıld provide for	a more usef	Tul			
		Blended Rationale: A vote FOR special meeting right for sharel		warranted as	a lower own	ership thresi	hold would pi	rovide for a m	ore useful				
6		Require Independent Board Ch	nair	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote in the form of an independent of		sal is warrante	d as shareho	olders would	benefit from	more indeper	ndent oversig	ht			
		Blended Rationale: A vote FOR the form of an independent cha		warranted as .	shareholders	s would bene	efit from more	e independent	t oversight in				
7		Disclose Director Skills and Qualifications Including Ideolog Perspectives	gical	SH	Yes	Against	Against		Against	Against	No	No	No
8		Amend Certificate of Incorpora Become a Public Benefit Corpo		SH	Yes	Against	Against		Against	Against	No	No	No
9		Report on Absolute Targets for Financed GHG Emissions in Lin Net Zero Commitments		SH	Yes	Against	Against		Against	Against	No	No	No
<b>Ballot Details</b>													
Institutional Acco		Custodian Account Number	Ballot Status	Instructed	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692		000391692	Confirmed	jbisset 05/12/2022	2	jbisset 05/12/20	22			14,91	9	14,9	919
				,, -022		,,			Total Shares:	14,9:	.9	14,9	19

### **Meeting Results**

Outstanding Shares Voted: 2,939,770,246

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	7.8%	1,935,111,208	92.2%	163,403,502	7.8%	4,983,522	N/A	Proxy	F+A
1b	Υ	Pass	No	For	7.8%	1,933,343,178	92.2%	163,898,420	7.8%	6,256,634	N/A	Proxy	F+A
1c	Υ	Pass	No	For	4.7%	1,999,245,454	95.3%	98,143,092	4.7%	6,109,686	N/A	Proxy	F+A
1d	Υ	Pass	No	For	5.5%	1,980,571,134	94.5%	116,037,510	5.5%	6,889,588	N/A	Proxy	F+A
1e	Υ	Pass	No	For	6.8%	1,949,753,518	93.2%	141,885,433	6.8%	11,859,281	N/A	Proxy	F+A
1f	Υ	Pass	No	For	2.4%	2,046,396,859	97.6%	51,253,626	2.4%	5,847,747	N/A	Proxy	F+A
1g	Υ	Pass	No	For	2.2%	2,052,513,156	97.8%	45,964,520	2.2%	5,020,556	N/A	Proxy	F+A
1h	Υ	Pass	No	For	3.1%	2,032,709,284	96.9%	65,130,925	3.1%	5,658,023	N/A	Proxy	F+A
1i	Υ	Pass	No	For	1.4%	2,068,216,949	98.6%	29,988,874	1.4%	5,292,409	N/A	Proxy	F+A
1j	Υ	Pass	No	For	6.0%	1,972,585,701	94.0%	125,183,754	6.0%	5,728,777	N/A	Proxy	F+A
2	Υ	Fail	No	For	69.0%	652,188,238	31.0%	1,440,436,189	68.5%	10,873,805	0.5%	Proxy	F+A+AB
3	Υ	Pass	No	For	7.3%	2,289,221,864	92.7%	174,154,263	7.1%	5,472,014	0.2%	Proxy	F+A+AB
4	Υ	Fail	No	Against	11.6%	209,858,579	10.0%	1,860,351,074	88.4%	33,288,579	1.6%	Proxy	F+A+AB
5	Υ	Fail	No	Against	47.0%	978,582,611	46.5%	1,114,111,716	53.0%	10,803,905	0.5%	Proxy	F+A+AB
6	Υ	Fail	No	Against	40.0%	833,359,241	39.6%	1,261,534,233	60.0%	8,604,758	0.4%	Proxy	F+A+AB
7	Υ	Fail	No	Against	4.8%	86,210,945	4.1%	2,001,964,029	95.2%	15,323,258	0.7%	Proxy	F+A+AB
8	Υ	Fail	No	Against	1.4%	15,602,821	0.7%	2,074,883,359	98.6%	13,012,052	0.6%	Proxy	F+A+AB
9	Υ	Fail	No	Against	16.9%	322,043,503	15.3%	1,747,997,240	83.1%	33,457,489	1.6%	Proxy	F+A+AB

### **The Charles Schwab Corporation**

Meeting Date: 05/17/2022 **Record Date:** 03/18/2022

Country: USA Meeting Type: Annual Ticker: SCHW Meeting ID: 1630522

Voting Policy: ISS

Proxy Level: 3

Primary Security ID: 808513105

**Primary CUSIP:** 808513105

Primary ISIN: US8085131055

Primary SEDOL: 2779397

Earliest Cutoff Date: 05/16/2022

Total Ballots: 1

**Additional Policy:** 

Votable Shares: 5,640

\*Shares on Loan: 0

Shares Instructed: 5,640

Shares Voted: 5,640

roposal umber	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director John K. Adams, Jr.	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: A vote FOR the director nominees is warranted.

Blended Rationale: A vote FOR the director nominees is warranted.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **The Charles Schwab Corporation**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1b		Elect Director Stephen A. Ellis	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.									
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.									
1c		Elect Director Brian M. Levitt	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.									
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.									
1d		Elect Director Arun Sarin	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes	
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.									
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.									
1e		Elect Director Charles R. Schwab	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.									
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.									
1f		Elect Director Paula A. Sneed	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes	
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.									
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.									
2		Declassify the Board of Directors	Mgmt	Yes	For	For		For	For	No	No	No	
3		Ratify Deloitte & Touche LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No	
4		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No	
5		Approve Omnibus Stock Plan	Mgmt	Yes	For	For		For	For	No	No	No	
6		Provide Proxy Access Right	Mgmt	Yes	For	For		For	For	No	No	No	
7		Adopt Proxy Access Right	SH	Yes	Against	For		For	For	Yes	No	No	
		Voting Policy Rationale: A vote FOR this advisor proponent would be more favorable to shareho				•		•					
		Blended Rationale: A vote FOR this advisory pr would be more favorable to shareholders than	-			-			nt				
8		Report on Lobbying Payments and Policy	SH	Yes	Against	For		For	For	Yes	No	No	
		Voting Policy Rationale: A vote FOR this resolu memberships, payments, and oversight, along the company's comprehensive lobbying-related	with direct lob	obying expen	ditures, wou	ıld enable sh	areholders to						
		Blended Rationale: A vote FOR this resolution is warranted, as additional information on the company's trade association memberships, payments, and oversight, along with direct lobbying expenditures, would enable shareholders to better assess the company's comprehensive lobbying-related activities and management of related risks and opportunities.											
Ballot Deta	ails												

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	000391692	Confirmed	jbisset	jbisset		5,640	5,640
			05/09/2022	05/09/2022			
					Total Shares:	5,640	5,640

#### **Meeting Results**

Outstanding Shares Voted: 1,816,003,557

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	1.1%	1,591,270,097	98.9%	17,076,753	1.1%	634,660	N/A	Proxy	F+A
1b	Y	Pass	No	For	4.2%	1,541,356,577	95.8%	66,966,902	4.2%	658,031	N/A	Proxy	F+A
1c	Υ	Pass	No	For	2.1%	1,574,533,140	97.9%	33,688,768	2.1%	759,602	N/A	Proxy	F+A
1d	Υ	Pass	No	For	8.8%	1,466,490,268	91.2%	141,841,227	8.8%	650,015	N/A	Proxy	F+A
1e	Υ	Pass	No	For	3.6%	1,550,928,506	96.4%	57,549,303	3.6%	503,701	N/A	Proxy	F+A
1f	Υ	Pass	No	For	4.8%	1,523,676,597	95.2%	77,497,845	4.8%	7,807,068	N/A	Proxy	F+A
2	Υ	Fail	No	For	10.1%	1,425,958,661	78.5%	182,051,166	10.0%	971,683	0.1%	Proxy	Capital Represented
3	Υ	Pass	No	For	5.6%	1,551,491,318	94.4%	91,993,339	5.6%	572,843	0.0%	Proxy	F+A+AB
4	Y	Pass	No	For	6.8%	1,499,041,479	93.2%	108,280,222	6.7%	1,659,809	0.1%	Proxy	F+A+AB
5	Υ	Pass	No	For	3.3%	1,556,189,076	96.7%	51,876,293	3.2%	916,141	0.1%	Proxy	F+A+AB
6	Υ	Pass	No	For	0.8%	1,595,101,275	87.8%	12,739,560	0.7%	1,140,675	0.1%	Proxy	Capital Represented
7	Y	Fail	No	Against	27.3%	494,220,875	27.2%	1,112,327,357	61.3%	2,433,278	0.1%	Proxy	Capital Represented
8	Υ	Fail	No	Against	34.9%	557,517,246	34.7%	1,047,778,039	65.1%	3,686,225	0.2%	Proxy	F+A+AB

### **Science Group Plc**

Meeting Date: 05/18/2022 **Record Date:** 05/16/2022

**Primary Security ID:** G7863T100

Earliest Cutoff Date: 05/12/2022

Votable Shares: 42,070

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G7863T100

Total Ballots: 1 \*Shares on Loan: 0 Ticker: SAG

Meeting ID: 1635151

Shares Instructed: 42,070

Primary ISIN: GB00B39GTJ17

Voting Policy: ISS

**Additional Policy:** 

Proxy Level: N/A

Primary SEDOL: B39GTJ1

Shares Voted: 42,070

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST t					,					

considered to hold the combined roles of Chair and CEO, which calls into question whether the Board can adequately oversee and evaluate the performance of senior officers and the Company; \* The Executive Chair received a one-off payment during the year under review; and \* The NEDs received discretionary payments in addition to their basic fees.

Blended Rationale: A vote AGAINST this resolution is warranted because of the following reason: \* Martyn Ratcliffe is considered to hold the combined roles of Chair and CEO, which calls into question whether the Board can adequately oversee and evaluate the performance of senior officers and the Company; \* The Executive Chair received a one-off payment during the year under review; and \* The NEDs received discretionary payments in addition to their basic fees.

Reappoint Grant Thornton UK LLP as Auditors and Authorise Their Remuneration

Elect Jon Brett as Director

Mgmt Yes

Mgmt

For

For

No

No No No No

Voting Policy Rationale: A vote FOR the election/re-election of Jon Brett, Daniel Edwards and Michael Lacey-Solymar is warranted because no significant concerns have been identified.

Blended Rationale: A vote FOR the election/re-election of Jon Brett, Daniel Edwards and Michael Lacey-Solymar is warranted because no significant concerns have been identified.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Science Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
4		Re-elect Daniel Edwards as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR the election warranted because no significant concerns have	-	-	Daniel Edwa	ards and Mich	ael Lacey-Soly	mar is						
		Blended Rationale: A vote FOR the election/re because no significant concerns have been ide		n Brett, Danie	el Edwards a	and Michael L	acey-Solymar	is warranted	1					
5		Re-elect Michael Lacey-Solymar as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR the election warranted because no significant concerns have	•	-	Daniel Edwa	ards and Mich	ael Lacey-Soly	mar is						
		Blended Rationale: A vote FOR the election/re because no significant concerns have been ide		n Brett, Danie	el Edwards a	and Michael L	acey-Solymar	is warranted	1					
6		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No		
7		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.												
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because th	e proposed	amounts and	durations are	within						
8		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	lutions is warr	anted becaus	se the propo	osed amounts	and durations	are within						
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because th	e proposed	amounts and	durations are	within						
9		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No		
10		Approve Performance Share Plan	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes		
		Voting Policy Rationale: A vote AGAINST the p overall dilution limit contained within the schel years, which is in excess of best practice limits	ne rules allows	s the Compa			-							
	Blended Rationale: A vote AGAINST the proposed Performance Share Plan is warranted for the following reason: * The overall dilution limit contained within the scheme rules allows the Company to issue 15% of the issued share capital in 10 years, which is in excess of best practice limits of 10 percent in 10 years.													

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		42,070	42,070
			05/10/2022	05/10/2022			
					Total Shares:	42,070	42,070

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	3.9%	31,537,013	96.1%	1,269,494	3.9%	1,833,892	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	34,635,386	100.0%	4,795	0.0%	218	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	34,639,960	100.0%	221	0.0%	218	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
4	Y	Pass	No	For	0.0%	34,639,960	100.0%	221	0.0%	218	N/A	Poll	F+A
5	Υ	Pass	No	For	2.1%	33,903,683	97.9%	736,489	2.1%	218	N/A	Poll	F+A
6	Υ	Pass	No	For	0.0%	34,640,181	100.0%	0	0.0%	218	N/A	Poll	F+A
7	Υ	Pass	No	For	0.0%	34,630,257	100.0%	3,424	0.0%	6,718	N/A	Poll	F+A
8	Υ	Pass	No	For	0.0%	34,625,265	100.0%	13,916	0.0%	1,218	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	34,639,490	100.0%	691	0.0%	218	N/A	Poll	F+A
10	Υ	Pass	No	For	13.8%	29,861,239	86.2%	4,777,472	13.8%	1,688	N/A	Poll	F+A

### **Southwest Airlines Co.**

Meeting Date: 05/18/2022

Earliest Cutoff Date: 05/17/2022

Votable Shares: 5,778

**Record Date:** 03/22/2022

Primary Security ID: 844741108

Country: USA

Meeting Type: Annual Primary CUSIP: 844741108

Total Ballots: 1

\*Shares on Loan: 0

Ticker: LUV

Voting Policy: ISS

Meeting ID: 1633573

**Primary ISIN:** US8447411088

Primary SEDOL: 2831543

Proxy Level: 3

Additional Policy:

Shares Instructed: 5,778 Shares Voted: 5,778

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director David W. Biegler	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1b		Elect Director J. Veronica Biggins	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	ninees is warra	anted.								
1c		Elect Director Douglas H. Brooks	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nominees is warranted.										
1d		Elect Director William H. Cunningham	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	ninees is warra	anted.								
1e		Elect Director John G. Denison	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1f		Elect Director Thomas W. Gilligan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1g		Elect Director David P. Hess	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director nominees is warranted.										
		Blended Rationale: A vote FOR the director non	ninees is warra	anted.								

### **Southwest Airlines Co.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1h		Elect Director Robert E. Jordan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1i		Elect Director Gary C. Kelly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1j		Elect Director Nancy B. Loeffler	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1k		Elect Director John T. Montford	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
11		Elect Director Christopher P. Reynolds	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nominees is warranted.										
1m		Elect Director Ron Ricks	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Amend Qualified Employee Stock Purchase Plan	Mgmt	Yes	For	For		For	For	No	No	No
4		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
5		Adopt Majority Vote Cast to Remove Directors With or Without Cause	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this proposenhance shareholder rights.	sal is warranted	d as the abili	ity to remove	e directors w	ith or without	cause would				
		Blended Rationale: A vote FOR this proposal is enhance shareholder rights.	warranted as	the ability to	remove dire	ectors with o	r without caus	e would				
6		Submit Severance Agreement (Change-in-Control) to Shareholder Vote	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propose arrangements, the current agreements will not shareholder approval of a new or renewed sew shareholders to have the ability to vote on sew	be affected, ti erance arrange	he request o ement, such	ffers flexibili as at the ne.	ty as to when xt annual me	n the board m	ay seek				
		Blended Rationale: A vote FOR this proposal is warranted given that the request applies only to future severance arrangements, the current agreements will not be affected, the request offers flexibility as to when the board may seek shareholder approval of a new or renewed severance arrangement, such as at the next annual meeting, and it is positive for shareholders to have the ability to vote on severance amounts that exceed market norms.										
Ballot Deta	ails											

Institutional Account Detail	Custodian						
(IA Name, IA Number)	Account Number	<b>Ballot Status</b>	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted

### **Southwest Airlines Co.**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	000391692	Confirmed	jbisset	jbisset		5,778	5,778
			04/20/2022	04/20/2022			
					= Total Shares:	5,778	5,778

#### **Meeting Results**

Outstanding Shares Voted: 592,844,796

Results Available: Full

Jutstan	iding Shares	ning Shares Voted: 392,644,790 Results Available: Full	,/90		uits Available: ruii								
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	9.8%	369,190,620	90.2%	39,964,420	9.8%	1,657,279	N/A	Proxy	F+A
1b	Υ	Pass	No	For	8.2%	375,541,610	91.8%	33,674,427	8.2%	1,596,282	N/A	Proxy	F+A
1c	Y	Pass	No	For	4.3%	391,431,574	95.7%	17,782,588	4.3%	1,598,157	N/A	Proxy	F+A
1d	Υ	Pass	No	For	7.6%	364,966,416	92.4%	29,886,604	7.6%	15,959,299	N/A	Proxy	F+A
1e	Y	Pass	No	For	3.7%	394,257,045	96.3%	15,057,368	3.7%	1,497,906	N/A	Proxy	F+A
1f	Υ	Pass	No	For	1.6%	402,542,283	98.4%	6,697,585	1.6%	1,572,451	N/A	Proxy	F+A
1g	Y	Pass	No	For	1.3%	403,586,775	98.7%	5,519,954	1.3%	1,705,590	N/A	Proxy	F+A
1h	Y	Pass	No	For	2.8%	398,267,981	97.2%	11,293,694	2.8%	1,250,644	N/A	Proxy	F+A
1i	Υ	Pass	No	For	4.5%	377,602,096	95.5%	17,655,405	4.5%	15,554,818	N/A	Proxy	F+A
1j	Y	Pass	No	For	5.2%	387,930,466	94.8%	21,293,307	5.2%	1,588,546	N/A	Proxy	F+A
1k	Υ	Pass	No	For	6.1%	384,172,785	93.9%	25,070,609	6.1%	1,568,925	N/A	Proxy	F+A
11	Υ	Pass	No	For	1.4%	403,567,219	98.6%	5,553,399	1.4%	1,691,701	N/A	Proxy	F+A
1m	Y	Pass	No	For	1.6%	402,662,716	98.4%	6,536,804	1.6%	1,612,799	N/A	Proxy	F+A
2	Υ	Pass	No	For	6.9%	380,200,105	93.1%	28,307,420	6.9%	2,304,794	N/A	Proxy	F+A
3	Υ	Pass	No	For	0.8%	406,010,830	99.2%	3,245,957	0.8%	1,555,532	N/A	Proxy	F+A
4	Υ	Pass	No	For	2.6%	490,994,238	97.4%	13,112,716	2.6%	2,166,618	N/A	Proxy	F+A
5	Υ	Fail	No	Against	47.5%	194,191,170	47.5%	214,655,884	52.5%	1,965,265	N/A	Proxy	F+A
6	Υ	Fail	No	Against	40.9%	167,243,513	40.9%	241,544,462	59.1%	2,024,344	N/A	Proxy	F+A

### **Atacadao SA**

Meeting Date: 05/19/2022

Country: Brazil

Ticker: CRFB3

Proxy Level: N/A

**Record Date:** 

**Meeting Type:** Extraordinary Shareholders

**Meeting ID:** 1645619

**Primary Security ID:** P0565P138

Primary CUSIP: P0565P138

Primary ISIN: BRCRFBACNOR2

Primary SEDOL: BF7LBH4

### **Atacadao SA**

Earliest Cutoff Date: 05/12/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 139,044

\*Shares on Loan: 0

Shares Instructed: 139,044

Shares Voted: 139,044

	101031 133,011	Shares on Edam o			onares 1	iisti uctcui 155	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		Silai es votea	135,011		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
1		Approve Acquisition of Grupo BIG Brasil S.A. (Grupo BIG)	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item for the proposed acquisition; * The 5.55-perce known concerns about the terms of the transactions.	ent full dilution					-				
		Blended Rationale: A vote FOR these items is we the proposed acquisition; * The 5.55-percent to concerns about the terms of the transaction.				•	-					
2		Approve Agreement to Acquire Grupo BIG Brasil S.A. (Grupo BIG)	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item for the proposed acquisition; * The 5.55-perce known concerns about the terms of the transaction.	ent full dilution					-				
		Blended Rationale: A vote FOR these items is we the proposed acquisition; * The 5.55-percent the concerns about the terms of the transaction.					_					
3		Ratify Alvarez & Marsal Assessoria em Transacoes Ltda. as Independent Firm to Appraise Proposed Transaction	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item for the proposed acquisition; * The 5.55-perce known concerns about the terms of the transaction.	ent full dilution					-				
		Blended Rationale: A vote FOR these items is we the proposed acquisition; * The 5.55-percent the concerns about the terms of the transaction.				•	-					
4		Approve Independent Firm's Appraisal	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item for the proposed acquisition; * The 5.55-perce known concerns about the terms of the transac	ent full dilution		-			_				
		Blended Rationale: A vote FOR these items is we the proposed acquisition; * The 5.55-percent for concerns about the terms of the transaction.					_					
5		Approve Share Merger Agreement	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item for the proposed acquisition; * The 5.55-perce known concerns about the terms of the transac	ent full dilution					-				
		Blended Rationale: A vote FOR these items is we the proposed acquisition; * The 5.55-percent from the transaction.				•	-					
6		Approve Capital Increase in Connection with Proposed Transaction and Amend Article 5 Accordingly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item for the proposed acquisition; * The 5.55-perce known concerns about the terms of the transac	ent full dilution		-			_				
		Blended Rationale: A vote FOR these items is we the proposed acquisition; * The 5.55-percent for concerns about the terms of the transaction.				-	_				-	

### **Atacadao SA**

Proposal Signific Number Vote	ant Propos	al Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7	Consoli	date Bylaws		Mgmt	Yes	For	For		For	For	No	No	No
	for the	Policy Rationale: A vote proposed acquisition; * concerns about the ten	* The 5.55-percent	full dilution t					-				
	the prop	f Rationale: A vote FOR posed acquisition; * Th ps about the terms of th	e 5.55-percent full					_					
8		ze Board to Ratify and ed Resolutions	Execute	Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>													
Institutional Account I (IA Name, IA Number)	Petail	Custodian Account Number	Ballot Status	Instructed		Approv	red	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, CAT		391109	Rejected - NO POA	jbisset		jbisset				139,0	44	139,	044
				05/11/2022		05/11/2	022						
									Total Shares	s: 139,0	44	139,	044

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	1,747,264,792	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
2	Υ	Pass	No	For	0.0%	1,747,264,792	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
3	Y	Pass	No	For	0.0%	1,747,264,792	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
4	Y	Pass	No	For	0.0%	1,747,264,792	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
5	Υ	Pass	No	For	0.0%	1,747,264,792	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
6	Υ	Pass	No	For	0.0%	1,747,264,792	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
7	Υ	Pass	No	For	0.0%	1,747,264,792	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
8	Υ	Pass	No	For	0.0%	1,747,264,792	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB

### **Hertz Global Holdings, Inc.**

110102	GIODU	i i i o i di i i go,	11101										
Meeting	<b>Date:</b> 05/19/2	2022	Country: USA			Ticker:	HTZ			Proxy Level	: 3		
Record D	ate: 03/25/20	)22	Meeting Type: Annu	al		Meeting	<b>ID:</b> 1633785	5					
Primary 9	mary Security ID: 428063700		Primary CUSIP: 428	06J700		Primary	<b>ISIN:</b> US428	306J7000		Primary SEI	OOL: BNM5	672	
Earliest Cu	rliest Cutoff Date: 05/18/2022		Total Ballots: 1			Voting Po	olicy: ISS			Additional Po	licy:		
Votable Sh	ortable Shares: 8,644		*Shares on Loan: 0			Shares Ir	structed: 8,6	14		Shares Voted	: 8,644		
Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Flect Director Micha	ael Gregory O'Hara	Mamt	Yes	For	Withhold		Withhold	For	No	Yes	Yes

# Hertz Global Holdings, Inc.

	ignificant ote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Voting Policy Rationale: WITHH public boards while serving as 0			-	,,		-					
		Blended Rationale: WITHHOLD boards while serving as CEO of			- , ,		-		three public	•			
1b		Elect Director Thomas Wagner		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHH public boards while serving as 0			_	, ,							
		Blended Rationale: WITHHOLD boards while serving as CEO of			, ,				three public				
1c		Elect Director Vincent J. Intrier	i	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHH public boards while serving as 0			-	,,		-					
		Blended Rationale: WITHHOLD boards while serving as CEO of			- , ,		-		three public				
2		Ratify Ernst & Young LLP as Au	uditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officers' Compensati		Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>													
Institutional Acc (IA Name, IA Nu		Custodian Account Number	Ballot Status	Instructed	i	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692		000391692	Confirmed	jbisset		jbisset				8,644	1	8,6	44
				05/12/2022	2	05/12/20	)22						
									Total Shares:	8,64	4	8,64	14

#### **Meeting Results**

Outstanding Shares Voted: 417,094,805

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	6.2%	301,160,469	93.8%	19,790,893	6.2%	0	N/A	Proxy	F+A
1b	Υ	Pass	No	For	0.1%	320,645,972	99.9%	305,390	0.1%	0	N/A	Proxy	F+A
1c	Υ	Pass	No	For	6.5%	300,149,374	93.5%	20,801,988	6.5%	0	N/A	Proxy	F+A
2	Υ	Pass	No	For	0.1%	341,401,050	99.9%	202,128	0.1%	36,057	N/A	Proxy	F+A
3	Y	Pass	No	For	6.9%	298,613,145	93.1%	22,217,840	6.9%	120,377	N/A	Proxy	F+A

### **Zynga Inc.**

Meeting Date: 05/19/2022 **Record Date:** 04/04/2022

Country: USA

Ticker: ZNGA

**Proxy Level:** 5

Meeting Type: Special

Meeting ID: 1633212

**Primary Security ID:** 98986T108

Primary CUSIP: 98986T108

Primary ISIN: US98986T1088

Primary SEDOL: B79PX49

### **Zynga Inc.**

Earliest Cu	toff Date: 05/1	8/2022	Total I	Ballots: 1			Voting Po	licy: ISS			Additional Po	licy:		
Votable Sh	ares: 31,370		*Share	es on Loan: 0			Shares In	structed: 31,3	370		Shares Voted	: 31,370		
Proposal Number	Significant Vote	Proposa	l Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve	e Merger Agreement		Mgmt	Yes	For	For		For	For	No	No	No
2		Advisory	/ Vote on Golden Par	rachutes	Mgmt	Yes	For	For		For	For	No	No	No
3		Adjourn	Meeting		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	nils													
Institutional (IA Name, I <i>I</i>	Account Detail A Number)		Custodian Account Number	Ballot Status	Instructed	i	Approv	ed	Ballot Voting	Status	Votable Si	hares	Shares '	Voted
CAT, 391692			000391692	Confirmed	jbisset		jbisset				31,37	0	31,3	370
					05/11/2022	2	05/11/20	22						
										Total Shares	31,37	70	31,3	370

#### **Meeting Results**

Outstanding Shares Voted: 1,137,276,459 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.3%	773,916,852	68.1%	2,033,856	0.2%	1,352,539	0.1%	Proxy	Capital Represented
2	Y	Pass	No	For	4.4%	743,319,160	95.6%	29,337,217	3.8%	4,646,870	0.6%	Proxy	F+A+AB
3	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Proxy	F+A+AB

### **Royal Dutch Shell Plc**

Meeting Date: 05/24/2022Country: United KingdomTicker: SHELProxy Level: N/A

Record Date: 05/20/2022 Meeting Type: Annual Meeting ID: 1566400

Primary Security ID: G80827101 Primary CUSIP: G80827101 Primary ISIN: G8008P6MXD84 Primary SEDOL: BP6MXD8

Earliest Cutoff Date: 05/19/2022Total Ballots: 1Voting Policy: ISSAdditional Policy:Votable Shares: 139,661\*Shares on Loan: 0Shares Instructed: 139,661Shares Voted: 139,661

votable of	101 051 155,001	Situres on Louin o			Shares 1	noti acteur 15	7,001		Silares voted	133,001		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Management Proposals	Mgmt	No								
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect Sinead Gorman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these D	Directors is warrai	nted as no si	ignificant co	oncerns have i	been identified	1.				
		Blended Rationale: A vote FOR these Direct	ors is warranted	as no signific	cant concer	ns have been	identified.					
4		Re-elect Ben van Beurden as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these D	Directors is warrai	nted as no si	ignificant co	nncerns have i	been identified	<i>1.</i>			-	
		Blended Rationale: A vote FOR these Direct	ors is warranted	as no signific	cant concer	ns have been	identified.					

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Royal Dutch Shell Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5		Re-elect Dick Boer as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been l	identified.					
6		Re-elect Neil Carson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been l	identified.					
7		Re-elect Ann Godbehere as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been l	identified.					
8		Re-elect Euleen Goh as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been l	identified.					
9		Re-elect Jane Lute as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been l	identified.					
10		Re-elect Catherine Hughes as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been l	identified.					
11		Re-elect Martina Hund-Mejean as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been l	identified.					
12		Re-elect Sir Andrew Mackenzie as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been l	identified.					
13		Re-elect Abraham Schot as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been l	identified.					
14		Reappoint Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolution recommended limits.	utions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	s is warranted	because the	e proposed a	amounts and	durations are	within				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Royal Dutch Shell Plc**

Proposal Significant Number Vote	t Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
17	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR these res recommended limits.	solutions is warr	ranted becau	se the propo	osed amounts	s and duration.	s are within				
	Blended Rationale: A vote FOR these resolution recommended limits.	ions is warrante	d because th	e proposed	amounts and	durations are	within				
18	Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
19	Authorise Off-Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
20	Approve the Shell Energy Transition Progress Update	Mgmt	Yes	For	For		For	For	No	No	No
	Shareholder Proposal	Mgmt	No								
21	Request Shell to Set and Publish Targets for Greenhouse Gas (GHG) Emissions	SH	Yes	Against	Against		Against	Against	No	No	No
<b>Ballot Details</b>											
Institutional Account Deta (IA Name, IA Number)	iil Custodian Account Number Ballot Status	Instructe	ed	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		139,661	139,661
			05/13/2022	05/13/2022			

Total Shares: 139,661 139,661

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	1.6%	4,469,477,767	98.4%	71,091,532	1.6%	15,067,658	N/A	Poll	F+A
2	Υ	Pass	No	For	4.1%	4,346,283,705	95.9%	184,753,614	4.1%	24,598,418	N/A	Poll	F+A
3	Y	Pass	No	For	0.5%	4,516,521,089	99.5%	23,417,006	0.5%	15,675,553	N/A	Poll	F+A
4	Y	Pass	No	For	0.8%	4,496,569,558	99.2%	34,290,352	0.8%	24,761,284	N/A	Poll	F+A
5	Y	Pass	No	For	1.5%	4,474,674,800	98.5%	67,820,033	1.5%	13,126,425	N/A	Poll	F+A
6	Υ	Pass	No	For	1.1%	4,491,217,829	98.9%	51,083,981	1.1%	13,317,337	N/A	Poll	F+A
7	Y	Pass	No	For	1.8%	4,458,461,056	98.2%	83,907,506	1.8%	13,244,853	N/A	Poll	F+A
8	Υ	Pass	No	For	1.4%	4,479,743,495	98.6%	62,636,105	1.4%	13,240,198	N/A	Poll	F+A
9	Y	Pass	No	For	0.6%	4,514,627,950	99.4%	27,643,508	0.6%	13,345,259	N/A	Poll	F+A
10	Y	Pass	No	For	1.5%	4,472,378,257	98.5%	70,125,060	1.5%	13,117,075	N/A	Poll	F+A
11	Υ	Pass	No	For	0.2%	4,533,297,371	99.8%	9,092,680	0.2%	13,230,216	N/A	Poll	F+A
12	Y	Pass	No	For	6.9%	4,229,127,499	93.1%	312,416,135	6.9%	14,070,548	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
13	Y	Pass	No	For	0.7%	4,510,980,924	99.3%	30,852,332	0.7%	13,768,612	N/A	Poll	F+A
14	Υ	Pass	No	For	0.1%	4,498,735,944	99.9%	5,326,757	0.1%	51,567,695	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	4,536,967,618	99.9%	5,577,382	0.1%	13,084,173	N/A	Poll	F+A
16	Υ	Pass	No	For	2.0%	4,454,082,528	98.0%	88,725,174	2.0%	12,831,705	N/A	Poll	F+A
17	Υ	Pass	No	For	1.1%	4,490,698,350	98.9%	48,830,150	1.1%	16,095,313	N/A	Poll	F+A
18	Υ	Pass	No	For	1.2%	4,487,609,043	98.8%	53,240,550	1.2%	14,778,954	N/A	Poll	F+A
19	Υ	Pass	No	For	1.2%	4,485,657,888	98.8%	54,853,594	1.2%	15,114,434	N/A	Poll	F+A
20	Υ	Pass	No	For	20.1%	3,525,014,244	79.9%	886,272,022	20.1%	144,341,223	N/A	Poll	F+A
	N												
21	Υ	Fail	Yes	Against	20.3%	906,494,546	20.3%	3,560,626,618	79.7%	88,507,794	N/A	Poll	F+A

Meeting Date: 05/24/2022

Earliest Cutoff Date: 05/18/2022

Votable Shares: 34,720

**Primary Security ID:** F96708270

**Record Date:** 05/20/2022

Country: France

Meeting Type: Annual/Special Primary CUSIP: F96708270

Total Ballots: 1 \*Shares on Loan: 0 Ticker: VK

**Meeting ID:** 1638586

**Primary ISIN:** FR0013506730

Voting Policy: ISS Shares Instructed: 34,720 Proxy Level: N/A

Primary SEDOL: BLGWF90

Additional Policy: Shares Voted: 34,720

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary Business	Mgmt	No								
1		Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the apparant lack of concerns.	proval of the annu	al accounts a	are warrani	ted due to the	unqualified au	ditors' opini	on			
		Blended Rationale: Votes FOR the approvalack of concerns.	of the annual acc	counts are w	varranted d	ue to the unqu	ualified auditor	s' opinion ar	nd			
2		Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the apparant lack of concerns.	proval of the annu	al accounts a	are warrani	ted due to the	unqualified au	ditors' opini	on			
		Blended Rationale: Votes FOR the approvalack of concerns.	of the annual acc	counts are w	varranted d	ue to the unqu	ıalified auditor	s' opinion ar	nd			
3		Approve Allocation of Income and Absence of Dividends	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Transaction with Edouard Guinotte Re: Execution and Termination of his Duties within Vallourec	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes

performance conditions as the company chose a transactional agreement instead of the termination package approved in the previously voted policy; \* There is no auditors' special report made available on this transaction.

Blended Rationale: A vote AGAINST this proposal is warranted because: \* The termination payment was not subject to performance conditions as the company chose a transactional agreement instead of the termination package approved in the previously voted policy; \* There is no auditors' special report made available on this transaction.

Proposal	Significant	•		Votable	Mgmt		Additional Policy	Voting Policy	Vote	Vote Against	Vote Against	Vote Against
Number	Vote	Proposal Text	Proponent	Proposal	Rec	ISS Rec	Rec	Rec	Instruction	Mgmt	Policy	ISS
5		Reelect Angela Minas as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the (re)e. concerns (Items 5 and 6). * A vote AGAINST t. (item7).							ific			
		Blended Rationale: * Votes FOR the (re)electic concerns (Items 5 and 6). * A vote AGAINST t (item7).		•				•				
6		Reelect Hera Siu as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the (re)econcerns (Items 5 and 6). * A vote AGAINST to (item7).		-				-	ific			
		Blended Rationale: * Votes FOR the (re)electic concerns (Items 5 and 6). * A vote AGAINST t (item7).						-				
7		Ratify Appointment of Philippe Guillemot as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: * Votes FOR the (re)erconcerns (Items 5 and 6). * A vote AGAINST to (item7).							ific			
		Blended Rationale: * Votes FOR the (re)electic concerns (Items 5 and 6). * A vote AGAINST t (item7).		-				-				
8		Approve Compensation Report of Corporate Officers	Mgmt	Yes	For	For		For	For	No	No	No
9		Approve Compensation of Edouard Guinotte, Chairman of the Management Board Until 30 June 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remu granted LTIPs are not sufficiently long-term or reasons for support are: * Most of the granted granted prior to his mandate; and * There are	iented; * The i LTIPs lapsed i	level of disclo following the	osure surrou	inding the ve	ested LTIP is lo	w. The mair	7			
		Blended Rationale: Votes FOR these remunera granted LTIPs are not sufficiently long-term or reasons for support are: * Most of the granted granted prior to his mandate; and * There are	iented; * The i LTIPs lapsed i	level of disclo following the	osure surrou	ınding the ve	ested LTIP is lo	w. The mair				
10		Approve Compensation of Edouard Guinotte, Chairman and CEO From 1 July 2021 to 31 December 2021 and From 1 January 2022 to 20 March 2022	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remu granted LTIPs are not sufficiently long-term or reasons for support are: * Most of the granted granted prior to his mandate; and * There are	iented; * The i LTIPs lapsed i	level of disclo following the	osure surrou	inding the ve	ested LTIP is lo	w. The mair	7			
		Blended Rationale: Votes FOR these remunera granted LTIPs are not sufficiently long-term or reasons for support are: * Most of the granted granted prior to his mandate; and * There are	iented; * The i LTIPs lapsed i	level of disclo following the	osure surrou	ınding the ve	ested LTIP is lo	w. The mair				
11		Approve Compensation of Olivier Mallet, Management Board Member Until 30 June 2021	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Votes AGAINST these sufficiently long-term oriented; and * The leve		-			e granted LTIF	P are not				
		Blended Rationale: Votes AGAINST these remulong-term oriented; and * The level of disclosure.				_	anted LTIP are	not sufficien	ntly			

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS		
12		Approve Compensation of Olivier Mallet, Vice-CEO From 1 July 2021 to 31 December 2021 and From 1 January 2022 to 20 March 2022	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes		
		Voting Policy Rationale: Votes AGAINST these remuneration reports are warranted because: * The granted LTIP are not sufficiently long-term oriented; and * The level of disclosure surrounding the vested LTIP is low.												
		Blended Rationale: Votes AGAINST these remuneration reports are warranted because: * The granted LTIP are not sufficiently long-term oriented; and * The level of disclosure surrounding the vested LTIP is low.												
13		Approve Compensation of Vivienne Cox, Chairman of the Supervisory Board Until 30 June 2021	Mgmt	Yes	For	For		For	For	No	No	No		
14		Approve Remuneration Policy of Chairman and CEO	Mgmt	Yes	For	For		For	For	No	No	No		

Additional

Voting

Voting Policy Rationale: A vote FOR the remuneration policy of Philippe Guillemot (item 14) is warranted although the following concerns are raised: \* The new CEO's remuneration package shows a significant increase with little rationale; \* Corporate officers are guaranteed the payment of a part of their bonuses; \* The performance conditions attached to the LTIP are not guaranteed to be long-term oriented; \* The performance conditions attached to the termination package are deemed to lack stringency; \* The policy authorizes the post-mandate vesting of awards. The main reasons for support are: \* The proposed package is broadly in line with Mr. Guillemot's past position's remuneration, justifying a higher package to attract an experienced CEO; \* The LTIP does not include the ordinary shares that were subject to a problematic performance condition; \* Company's past practice is for unvested preference shares to lapse; \* The application of the previous CEO remuneration policy if this policy is rejected would not be considered more advantageous. A vote AGAINST the remuneration policy of Olivier Mallet (item 15) is warranted because: \* The CFO is guaranteed the payment of 100% of his bonus for the period of the year he is a corporate officer. \* He still benefits from the problematic part of the 2021 LTIP with ordinary shared vesting each year just for reaching EUR 8.09 (see item 12) \* The policy authorizes the post-mandate vesting of awards.

Blended Rationale: A vote FOR the remuneration policy of Philippe Guillemot (item 14) is warranted although the following concerns are raised: \* The new CEO's remuneration package shows a significant increase with little rationale; \* Corporate officers are guaranteed the payment of a part of their bonuses; \* The performance conditions attached to the LTIP are not guaranteed to be long-term oriented; \* The performance conditions attached to the termination package are deemed to lack stringency; \* The policy authorizes the post-mandate vesting of awards. The main reasons for support are: \* The proposed package is broadly in line with Mr. Guillemot's past position's remuneration, justifying a higher package to attract an experienced CEO; \* The LTIP does not include the ordinary shares that were subject to a problematic performance condition; \* Company's past practice is for unvested preference shares to lapse; \* The application of the previous CEO remuneration policy if this policy is rejected would not be considered more advantageous. A vote AGAINST the remuneration policy of Olivier Mallet (item 15) is warranted because: \* The CFO is guaranteed the payment of 100% of his bonus for the period of the year he is a corporate officer. \* He still benefits from the problematic part of the 2021 LTIP with ordinary shared vesting each year just for reaching EUR 8.09 (see item 12) \* The policy authorizes the post-mandate vesting of awards.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
15		Approve Remuneration Policy of Vice-CEO	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote FOR the remunconcerns are raised: * The new CEO's remune officers are guaranteed the payment of a part guaranteed to be long-term oriented; * The p stringency; * The policy authorizes the post-n package is broadly in line with Mr. Guillemot's experienced CEO; * The LTIP does not include Company's past practice is for unvested prefer if this policy is rejected would not be consider (item 15) is warranted because: * The CFO is corporate officer. * He still benefits from the preaching EUR 8.09 (see item 12) * The policy	eration package of their bonus erformance con nandate vesting past position's e the ordinary rence shares to ed more advar guaranteed the problematic pal	e shows a signes; * The penditions atta g of awards. s remunerations shares that volumes that volumes; * That all all all all all all all all all all	gnificant inc erformance ched to the The main n on, justifyin were subjec the application of 100% of in 11 LTIP with	rease with litt conditions att termination peasons for su g a higher pa t to a problen on of the prev ST the remun his bonus for ordinary sha	tle rationale; * tached to the L package are de upport are: * Th pckage to attrac matic performan ious CEO remu peration policy of the period of to	Corporate TIP are not themed to lace the proposed that the condition the condition the proposed that that the proposed t	k n; * licy llet s a		-	
		Blended Rationale: A vote FOR the remunerate concerns are raised: * The new CEO's remune officers are guaranteed the payment of a particular guaranteed to be long-term oriented; * The pstringency; * The policy authorizes the post-new package is broadly in line with Mr. Guillemot's experienced CEO; * The LTIP does not include Company's past practice is for unvested prefer if this policy is rejected would not be consider (item 15) is warranted because: * The CFO is corporate officer. * He still benefits from the preaching EUR 8.09 (see item 12) * The policy	eration package of their bonus erformance con nandate vesting past position's e the ordinary rence shares to ed more advar guaranteed the problematic pal	e shows a signes; * The penditions atta g of awards. s remuneratic shares that v o lapse; * Thatageous. A v ne payment of the 202	gnificant inc erformance ched to the The main r on, justifyin were subjec the application of 100% of in 11 LTIP with	rease with litt conditions att termination p easons for su g a higher pa t to a problen on of the prev ST the remun his bonus for ordinary sha	tle rationale; * tached to the L package are de apport are: * Th ackage to attrac matic performan aious CEO remu aperation policy of the period of to	Corporate TIP are not remed to lace the proposed at an ance condition aneration pol of Olivier Ma he year he is	n; * licy llet s a			
16		Approve Remuneration Policy of Directors	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No
		Extraordinary Business	Mgmt	No								
18		Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 1,831,427	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with au				ed as their pro	oposed volumes	s respect the	2			
		Blended Rationale: * Votes FOR the authoriza recommended guidelines for issuances with a				their propose	ed volumes res <sub>l</sub>	pect the			-	
19		Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 457,857	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the auth- recommended guidelines for issuances with au				ed as their pro	pposed volumes	s respect the	9		-	
		Blended Rationale: * Votes FOR the authoriza recommended guidelines for issuances with a				their propose	ed volumes res <sub>l</sub>	pect the				
20		Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 457,857	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the auth recommended guidelines for issuances with a				ed as their pro	oposed volumes	s respect the	?			
		Blended Rationale: * Votes FOR the authoriza recommended guidelines for issuances with a	tions under 18	to 25 are w	arranted as	their propose	ed volumes resp	pect the			-	

Vallo	urec SA											
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
21		Authorize Board to Set Issue Price for 10 Percent Per Year of Issued Capital Pursuant to Issue Authority without Preemptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with an				as their prop	posed volumes	respect the				
		Blended Rationale: * Votes FOR the authorization recommended guidelines for issuances with an area of the commended guidelines for issuances with an area of the commended guidelines for issuances with a first property of the commended guidelines for issuances with a first property of the commended guidelines for issuances with a first property of the commended guidelines for issuances with a first property of the commended guidelines for issuances with a first property of the commended guidelines for issuances with a first property of the commended guidelines for issuances with a first property of the commended guidelines for issuances with a first property of the commended guidelines for issuances with a first property of the commended guidelines for issuances with a first property of the commended guidelines for issuances with a first property of the commended guidelines for issuances with a first property of the commended guidelines for issuances with a first property of the commended guidelines for issuances with a first property of the commended guidelines for its property of the commended guidelines for the commended guidel				heir proposed	d volumes resp	ect the				
22		Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with an				as their prop	posed volumes	respect the				
		Blended Rationale: * Votes FOR the authorization recommended guidelines for issuances with an area.				heir proposed	d volumes resp	ect the				
23		Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with an				as their prop	posed volumes	respect the				
		Blended Rationale: * Votes FOR the authorization recommended guidelines for issuances with an area of the commended guidelines for issuances with an area of the commended guidelines.										
24		Authorize Capital Increase of Up to EUR 457,857 for Future Exchange Offers	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with an				as their prop	posed volumes	respect the				
		Blended Rationale: * Votes FOR the authorization recommended guidelines for issuances with an area.				heir proposed	d volumes resp	ect the				
25		Approve Issuance of Equity or Equity-Linked Securities Reserved for Specific Beneficiaries, up to Aggregate Nominal Amount of EUR 457,857	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with an				as their prop	posed volumes	respect the				
		Blended Rationale: * Votes FOR the authorization recommended guidelines for issuances with an area.				heir proposed	d volumes resp	ect the				
26		Authorize Capitalization of Reserves of Up to EUR 1,373,570 for Bonus Issue or Increase in Par Value	Mgmt	Yes	For	For		For	For	No	No	No
27		Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	Yes	For	For		For	For	No	No	No
28		Authorize Decrease in Share Capital via Cancellation of Repurchased Shares	Mgmt	Yes	For	For		For	For	No	No	No
29		Amend Article 10 and 13 of Bylaws Re: Chairman and CEO	Mgmt	Yes	For	For		For	For	No	No	No
30		Authorize Filing of Required Documents/Other Formalities	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails											
Institutional	l Account Detail A Number)	Custodian Account Number Ballot Status	Instructed	i	Approved	ı	Ballot Voting	Status	Votable Si	nares	Shares	Voted

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number) Custodian Account Number **Ballot Status** Instructed **Ballot Voting Status** Votable Shares Shares Voted Approved CAT, 391692 391692 Confirmed jbisset jbisset 34,720 34,720 05/17/2022 05/17/2022 Total Shares: 34,720 34,720

#### **Meeting Results**

Outstanding Shares Voted: 228,740,563

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.0%	159,113,166	100.0%	23,346	0.0%	116,189	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	159,112,941	100.0%	23,604	0.0%	116,156	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	159,153,321	100.0%	73,908	0.0%	25,472	N/A	Poll	F+A
4	Υ	Pass	No	For	14.9%	135,505,103	85.1%	23,642,734	14.9%	39,143	N/A	Poll	F+A
5	Υ	Pass	No	For	0.1%	158,678,415	99.9%	235,719	0.1%	338,567	N/A	Poll	F+A
6	Υ	Pass	No	For	0.2%	158,601,879	99.8%	306,461	0.2%	344,361	N/A	Poll	F+A
7	Υ	Pass	No	For	13.1%	138,417,622	86.9%	20,805,318	13.1%	29,761	N/A	Poll	F+A
8	Υ	Pass	No	For	0.3%	158,778,620	99.7%	438,989	0.3%	35,092	N/A	Poll	F+A
9	Υ	Pass	No	For	4.1%	152,685,503	95.9%	6,476,373	4.1%	90,825	N/A	Poll	F+A
10	Υ	Pass	No	For	5.2%	150,895,377	94.8%	8,269,280	5.2%	88,044	N/A	Poll	F+A
11	Υ	Pass	No	For	14.2%	136,543,294	85.8%	22,662,486	14.2%	46,921	N/A	Poll	F+A
12	Υ	Pass	No	For	14.2%	136,541,805	85.8%	22,664,052	14.2%	46,844	N/A	Poll	F+A
13	Υ	Pass	No	For	0.4%	158,506,094	99.6%	701,018	0.4%	45,589	N/A	Poll	F+A
14	Υ	Pass	No	For	8.6%	145,458,319	91.4%	13,678,260	8.6%	116,122	N/A	Poll	F+A
15	Υ	Pass	No	For	19.1%	128,840,171	80.9%	30,362,086	19.1%	50,444	N/A	Poll	F+A
16	Υ	Pass	No	For	0.6%	158,166,040	99.4%	1,033,139	0.6%	53,522	N/A	Poll	F+A
17	Υ	Pass	No	For	0.1%	159,128,785	99.9%	82,766	0.1%	41,150	N/A	Poll	F+A
	N												
18	Υ	Pass	No	For	0.9%	157,842,199	99.1%	1,383,641	0.9%	26,861	N/A	Poll	F+A
19	Υ	Pass	No	For	0.7%	158,161,521	99.3%	1,052,734	0.7%	38,446	N/A	Poll	F+A
20	Υ	Pass	No	For	0.7%	158,146,476	99.3%	1,077,923	0.7%	28,302	N/A	Poll	F+A
21	Y	Pass	No	For	0.4%	158,666,111	99.6%	558,515	0.4%	28,075	N/A	Poll	F+A
22	Y	Pass	No	For	1.2%	157,256,177	98.8%	1,968,823	1.2%	27,701	N/A	Poll	F+A
23	Y	Pass	No	For	0.4%	158,569,573	99.6%	656,855	0.4%	26,273	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
24	Y	Pass	No	For	0.3%	158,721,631	99.7%	504,593	0.3%	26,477	N/A	Poll	F+A
25	Υ	Pass	No	For	0.7%	158,177,217	99.3%	1,048,813	0.7%	26,671	N/A	Poll	F+A
26	Υ	Pass	No	For	0.2%	158,889,631	99.8%	339,792	0.2%	23,278	N/A	Poll	F+A
27	Υ	Pass	No	For	0.3%	158,698,818	99.7%	521,864	0.3%	32,019	N/A	Poll	F+A
28	Υ	Pass	No	For	0.4%	158,646,417	99.6%	581,898	0.4%	24,386	N/A	Poll	F+A
29	Υ	Pass	No	For	0.3%	158,709,970	99.7%	503,138	0.3%	39,593	N/A	Poll	F+A
30	Υ	Pass	No	For	0.0%	159,173,822	100.0%	49,659	0.0%	29,220	N/A	Poll	F+A

### **Meta Platforms, Inc.**

Meeting Date: 05/25/2022

Earliest Cutoff Date: 05/24/2022

Votable Shares: 820

Record Date: 04/01/2022 Primary Security ID: 30303M102

Country: USA
Meeting Type: Annual

Primary CUSIP: 30303M102

Total Ballots: 1
\*Shares on Loan: 0

Ticker: FB

Meeting ID: 1634027

Shares Instructed: 820

Primary ISIN: US30303M1027

Voting Policy: ISS Additional Policy:

Shares Voted: 820

Proxy Level: 3

Primary SEDOL: B7TL820

							-					
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Peggy Alford	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all direct	ctor nominees are	warranted.								
		Blended Rationale: Votes FOR all director n	nominees are wari	ranted.							-	
1.2		Elect Director Marc L. Andreessen	Mgmt	Yes	For	For		For	Withhold	Yes	Yes	Yes
		Voting Policy Rationale: Votes FOR all direct	ctor nominees are	warranted.								
		Blended Rationale: Votes FOR all director n	nominees are wari	ranted.								
1.3		Elect Director Andrew W. Houston	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all direct	ctor nominees are	warranted.								
		Blended Rationale: Votes FOR all director re	nominees are warr	ranted.								
1.4		Elect Director Nancy Killefer	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all direct	ctor nominees are	warranted.								
		Blended Rationale: Votes FOR all director re	nominees are wari	ranted.								
1.5		Elect Director Robert M. Kimmitt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all direct	ctor nominees are	warranted.								
		Blended Rationale: Votes FOR all director n	nominees are wari	ranted.							-	
1.6		Elect Director Sheryl K. Sandberg	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all direct	ctor nominees are	warranted.								
		Blended Rationale: Votes FOR all director n	nominees are wari	ranted.							-	
1.7		Elect Director Tracey T. Travis	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all direct	ctor nominees are	warranted.								
		Blended Rationale: Votes FOR all director n	nominees are warı	ranted.								

## **Meta Platforms, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.8		Elect Director Tony Xu	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nom	inees are warra	anted.								
1.9		Elect Director Mark Zuckerberg	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nom	inees are warra	anted.								
2		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: There are several ong- remain discretionary, and incentive programs in individual performance assessments is also pol- impact on annual bonuses. Executives again re- the CEO's and COO's security costs are exceed warranted.  Blended Rationale: There are several ongoing remain discretionary, and incentive programs in individual performance assessments is also pol- impact on annual bonuses. Executives again re- the CEO's and COO's security costs are exceed	lack disclosed por, and the desectived very land the desectived very land land land land land land land land	nerformance sign allows for ge equity availight of thesi and disclosura serformance sign allows for ge equity av	metrics and or such cons wards that late e concerns, e concerns. metrics and or such conswards that late wards that late or such conswards that late or such cons	quantified g iderations to ack performa a vote AGAII  The compan; quantified g iderations to ack performa	oals. Disclosur have a potent nce vesting cn NST this propo y's award dete toals. Disclosur have a potent nce vesting cn	e around tially large iteria. Moreo ssal is rminations re around tially large iteria. Moreo	ver,			
4		warranted.  Approve Recapitalization Plan for all	SH	Yes	Against	For		For	For	Yes	No	No
		Stock to Have One-vote per Share  Voting Policy Rationale: A vote FOR this propo	ical ic warranto	d ac it would	d convoy to t	the heard no	naffiliated cha	rahaldara'				
		preference for a capital structure in which the						CHOIGCIS				
		Blended Rationale: A vote FOR this proposal is preference for a capital structure in which the			-			'ders'				
5		Require Independent Board Chair	SH	Yes	Against	For	ang.rear	For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propo appointment of a non-independent director (pu the most robust form of independent board ov	sal is warrante er ISS classifica	d. Given the ations) to ser	concerns wi	ith the board director, shar	-	and the				
		Blended Rationale: A vote FOR this proposal is appointment of a non-independent director (pu the most robust form of independent board ov	er ISS classifica	ntions) to ser	rve as lead d	director, shar			m			
6		Report on Risks Associated with Use of Concealment Clauses	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propo of concealment clauses has on its employees r recruitment, development and retention.					•		use			
		Blended Rationale: A vote FOR this proposal is concealment clauses has on its employees may development and retention.				-						
7		Report on External Costs of Misinformation and Impact on Diversified Shareholders	SH	Yes	Against	Against		Against	For	Yes	Yes	Yes
8		Report on Community Standards Enforcement	SH	Yes	Against	For		For	For	Yes	No	No
Voting Policy Rationale: A vote FOR this proposal is warranted. Shareholders would benefit from increased transparency and disclosure on how the company is managing material risks related to misinformation and harmful content.												
		Blended Rationale: A vote FOR this proposal is disclosure on how the company is managing n					•	ncy and				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Meta Platforms, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Report on User Risk and Advisory Vote on Metaverse Project	SH	Yes	Against	Against		Against	Against	No	No	No
10		Publish Third Party Human Rights Impact Assessment	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this resolution help shareholders better assess Meta's manager BACKGROUND INFORMATION Policies: Human	ment of risks re	elated to its		-	•					
		Blended Rationale: A vote FOR this resolution is shareholders better assess Meta's management INFORMATION Policies: Human Rights Proposal	of risks related	•								
11		Report on Child Sexual Exploitation Online	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propose exploitation of children through the company's parameter is managing related risks.							/			
		Blended Rationale: A vote FOR this proposal is a exploitation of children through the company's p is managing related risks.	-				•		,			
12		Commission a Workplace Non-Discrimination Audit	SH	Yes	Against	Against		Against	Against	No	No	No
13		Report on Lobbying Payments and Policy	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this resolution lobbying practices, policies, and expenditures w										
		Blended Rationale: A vote FOR this resolution is lobbying practices, policies, and expenditures w	-									
14		Commission Assessment of Audit and Risk Oversight Committee	SH	Yes	Against	Against		Against	Against	No	No	No
15		Report on Charitable Contributions	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propose policies nor the recipients of its charitable contri		because the	e company p	rovides no ir	nformation reg	arding its				
		Blended Rationale: A vote FOR this proposal is nor the recipients of its charitable contributions.		ause the con	npany provid	les no inform	nation regardin	g its policies	7			

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	000391692	Confirmed	jcoster	jcoster		820	820
			05/20/2022	05/20/2022			
					Total Shares:	820	820

#### **Meeting Results**

Outstanding Shares Voted: 6,430,287,310 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	7.3%	4,904,494,626	92.7%	383,611,794	7.3%	0	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	4.4%	5,056,331,589	95.6%	231,774,831	4.4%	0	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	3.1%	5,124,400,680	96.9%	163,705,740	3.1%	0	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.4	Y	Pass	No	For	0.9%	5,238,099,605	99.1%	50,006,815	0.9%	0	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	2.7%	5,144,345,108	97.3%	143,761,312	2.7%	0	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	1.1%	5,232,184,350	98.9%	55,922,070	1.1%	0	N/A	Proxy	F+A
1.7	Y	Pass	No	For	1.4%	5,212,941,405	98.6%	75,165,015	1.4%	0	N/A	Proxy	F+A
1.8	Y	Pass	No	For	2.0%	5,180,691,337	98.0%	107,415,083	2.0%	0	N/A	Proxy	F+A
1.9	Y	Pass	No	For	3.2%	5,116,809,278	96.8%	171,297,142	3.2%	0	N/A	Proxy	F+A
2	Y	Pass	No	For	0.9%	5,480,892,394	99.1%	47,413,306	0.9%	3,834,808	N/A	Proxy	F+A
3	Y	Pass	No	For	14.4%	4,521,652,771	85.6%	758,249,438	14.4%	8,204,211	N/A	Proxy	F+A
4	Υ	Fail	No	Against	28.1%	1,484,954,936	28.1%	3,797,308,327	71.9%	5,843,157	N/A	Proxy	F+A
5	Υ	Fail	No	Against	16.7%	882,731,624	16.7%	4,400,304,144	83.3%	5,070,652	N/A	Proxy	F+A
6	Υ	Fail	No	Against	19.0%	1,000,344,420	19.0%	4,271,695,462	81.0%	16,066,538	N/A	Proxy	F+A
7	Υ	Fail	No	Against	2.7%	143,673,070	2.7%	5,118,039,014	97.3%	26,394,336	N/A	Proxy	F+A
8	Υ	Fail	No	Against	19.2%	1,014,551,386	19.2%	4,257,534,514	80.8%	16,020,520	N/A	Proxy	F+A
9	Υ	Fail	No	Against	3.0%	153,778,067	3.0%	5,044,992,196	97.0%	89,336,157	N/A	Proxy	F+A
10	Υ	Fail	No	Against	23.8%	1,252,277,259	23.8%	4,019,162,296	76.2%	16,666,865	N/A	Proxy	F+A
11	Υ	Fail	No	Against	17.3%	910,386,444	17.3%	4,358,740,887	82.7%	18,979,089	N/A	Proxy	F+A
12	Υ	Fail	No	Against	0.3%	16,649,419	0.3%	5,258,669,739	99.7%	12,787,262	N/A	Proxy	F+A
13	Υ	Fail	No	Against	20.6%	1,086,537,695	20.6%	4,189,164,211	79.4%	12,404,514	N/A	Proxy	F+A
14	Y	Fail	No	Against	10.5%	552,168,187	10.5%	4,723,297,839	89.5%	12,640,394	N/A	Proxy	F+A
15	Y	Fail	No	Against	9.3%	488,979,142	9.3%	4,785,865,773	90.7%	13,261,505	N/A	Proxy	F+A

Meeting Date: 05/26/2022 **Record Date:** 05/22/2022

Votable Shares: 92,348

Primary Security ID: G0756R109

Primary CUSIP: G0756R109 Earliest Cutoff Date: 05/20/2022

Total Ballots: 1 \*Shares on Loan: 0

Country: Ireland

Meeting Type: Annual

Ticker: BIRG

Meeting ID: 1622531

Shares Instructed: 92,348

Primary ISIN: IE00BD1RP616

**Additional Policy:** Voting Policy: ISS

Proxy Level: N/A

Shares Voted: 92,348

Primary SEDOL: BD1RP61

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Final Dividend	Mamt	Yes	For	For		For	For	No	No	No

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3a		Elect Mark Spain as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board Ci	hair, is warra	anted, altho	ugh this is no	t without cond	ern because	**		-	
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board Ci	hair, is warra	anted, altho	ugh this is no	t without cond	ern because				
3b		Re-elect Giles Andrews as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board Ci	hair, is warra	anted, altho	ugh this is no	t without cond	ern because	*			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board Ci	hair, is warra	anted, altho	ugh this is no	t without cond	ern because				
3c		Re-elect Evelyn Bourke as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned. He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board Ci	hair, is warra	anted, altho	ugh this is no	t without cond	ern because	: *			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board Ci	hair, is warra	anted, altho	ugh this is no	t without cond	ern because				
3d		Re-elect Ian Buchanan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board Ci	hair, is warra	anted, altho	ugh this is no	t without cond	ern because	*		-	
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board Ci	hair, is warra	anted, altho	ugh this is no	t without cond	ern because				
3e		Re-elect Eileen Fitzpatrick as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board Ci	hair, is warra	nted, altho	ugh this is no	t without cond	ern because	: *			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board Ci	hair, is warra	anted, altho	ugh this is no	t without cond	ern because				
3f		Re-elect Richard Goulding as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board Ci	hair, is warra	anted, altho	ugh this is no	t without cond	ern because	*			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board Ci	hair, is warra	anted, altho	ugh this is no	t without cond	ern because				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3g		Re-elect Michele Greene as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Cl	hair, is warra	anted, altho	ugh this is no	t without cond	ern because	* *			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Cl	hair, is warra	anted, altho	ugh this is no	t without cond	ern because				
3h		Re-elect Patrick Kennedy as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Ci	hair, is warra	anted, altho	ugh this is no	t without cond	ern because	*		-	
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Ci	hair, is warra	anted, altho	ugh this is no	t without cond	ern because				
3i		Re-elect Francesca McDonagh as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Cl	hair, is warra	anted, altho	ugh this is no	t without cond	ern because	<i>*</i>		-	
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. It continuing tenure has been provided.	, the Board Cl	hair, is warra	anted, altho	ugh this is no	t without cond	ern because				
3j		Re-elect Fiona Muldoon as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Ci	hair, is warra	anted, altho	ugh this is no	t without cond	ern because	: *			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Ci	hair, is warra	anted, altho	ugh this is no	t without cond	ern because				
3k		Re-elect Steve Pateman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Cl	hair, is warra	anted, altho	ugh this is no	t without cond	ern because	* *			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Cl	hair, is warra	anted, altho	ugh this is no	t without cond	ern because				
4		Ratify KPMG as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
5		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
6		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No
7		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
8		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resol recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
10		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolution recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
11		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resol recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
12		Authorise Issue of Equity in Relation to Additional Tier 1 Contingent Equity Conversion Notes	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: vote FOR these resolut Company were to issue Additional Tier 1 Contin to convert into ordinary shares, this would resu support are: * Such authorities are common pro * The conversion into ordinary shares would re weakness well beyond the Company s current p	ngent Equity C ult in significan oposals at bar quire the CET.	onversion No t dilution to aks and are in 1 ratio to fall	ntes and a tr non-particip ntended to a ' to a level w	rigger event v ating shareho apply in extre vhich would d	were to occur, olders. The ma eme circumstar	causing thei in reasons fo nces only; ar	m For			
		Blended Rationale: vote FOR these resolutions Company were to issue Additional Tier 1 Contint to convert into ordinary shares, this would resu support are: * Such authorities are common pro * The conversion into ordinary shares would re weakness well beyond the Company s current p	ngent Equity C ult in significan oposals at bar quire the CET	onversion No t dilution to aks and are in 1 ratio to fali	ntes and a tr non-particip ntended to a ' to a level w	rigger event v ating shareho apply in extre vhich would d	were to occur, olders. The ma eme circumstar	causing thei in reasons fo nces only; ar	or			
13		Authorise Issue of Equity without Pre-emptive Rights in Relation to Additional Tier 1 Contingent Equity Conversion Notes	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: vote FOR these resolut Company were to issue Additional Tier 1 Contin to convert into ordinary shares, this would resu support are: * Such authorities are common pr * The conversion into ordinary shares would re weakness well beyond the Company s current p	ngent Equity C ult in significan oposals at ban equire the CET	ionversion No t dilution to nks and are in 1 ratio to fall	ntes and a tr non-particip ntended to a ' to a level w	rigger event v ating shareho apply in extre vhich would d	were to occur, olders. The ma eme circumstar	causing thei in reasons fonces only; ar	m ior			
		Blended Rationale: vote FOR these resolutions Company were to issue Additional Tier 1 Contin to convert into ordinary shares, this would resu support are: * Such authorities are common pro * The conversion into ordinary shares would re weakness well beyond the Company s current p	ngent Equity C ult in significan oposals at ban quire the CET	ionversion No t dilution to aks and are in 1 ratio to fali	ntes and a tr non-particip ntended to a ' to a level w	rigger event v ating shareho apply in extre vhich would d	were to occur, olders. The ma eme circumstar	causing thei in reasons fo nces only; ar	or			
Ballot Deta	ails											

Institutional Account Detail (IA Name, IA Number) Custodian **Ballot Voting Status** Votable Shares **Ballot Status Shares Voted** 

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		92,348	92,348
			05/18/2022	05/18/2022			
					Total Shares:	92,348	92,348

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	741,540,596	100.0%	12,276	0.0%	656,292	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	742,157,796	100.0%	11,663	0.0%	40,272	N/A	Poll	F+A
3a	Y	Pass	No	For	1.7%	729,493,678	98.3%	12,660,618	1.7%	54,721	N/A	Poll	F+A
3b	Υ	Pass	No	For	0.6%	737,777,644	99.4%	4,363,830	0.6%	67,543	N/A	Poll	F+A
3c	Υ	Pass	No	For	6.2%	696,409,662	93.8%	45,735,674	6.2%	63,681	N/A	Poll	F+A
3d	Υ	Pass	No	For	0.6%	737,773,818	99.4%	4,363,097	0.6%	72,676	N/A	Poll	F+A
3e	Υ	Pass	No	For	1.4%	731,825,371	98.6%	10,321,904	1.4%	62,316	N/A	Poll	F+A
3f	Υ	Pass	No	For	1.4%	731,803,328	98.6%	10,341,997	1.4%	64,266	N/A	Poll	F+A
3g	Υ	Pass	No	For	0.7%	736,670,813	99.3%	5,467,695	0.7%	70,936	N/A	Poll	F+A
3h	Υ	Pass	No	For	4.2%	710,615,350	95.8%	31,034,165	4.2%	559,068	N/A	Poll	F+A
3i	Υ	Pass	No	For	0.7%	736,640,687	99.3%	5,465,658	0.7%	103,078	N/A	Poll	F+A
3j	Υ	Pass	No	For	1.4%	731,805,440	98.6%	10,341,553	1.4%	62,513	N/A	Poll	F+A
3k	Υ	Pass	No	For	0.6%	737,781,704	99.4%	4,355,350	0.6%	72,537	N/A	Poll	F+A
4	Υ	Pass	No	For	0.1%	735,618,860	99.9%	506,203	0.1%	6,094,085	N/A	Poll	F+A
5	Υ	Pass	No	For	0.0%	741,762,019	100.0%	366,680	0.0%	91,290	N/A	Poll	F+A
6	Υ	Pass	No	For	6.1%	696,417,990	93.9%	45,307,922	6.1%	493,917	N/A	Poll	F+A
7	Υ	Pass	No	For	0.2%	740,924,738	99.8%	1,239,564	0.2%	55,687	N/A	Poll	F+A
8	Υ	Pass	No	For	0.8%	735,676,986	99.2%	6,089,039	0.8%	453,751	N/A	Poll	F+A
9	Y	Pass	No	For	5.1%	704,425,965	94.9%	37,787,904	5.1%	6,120	N/A	Poll	F+A
10	Y	Pass	No	For	0.0%	742,068,401	100.0%	127,678	0.0%	23,910	N/A	Poll	F+A
11	Υ	Pass	No	For	7.3%	687,637,313	92.7%	54,548,887	7.3%	33,789	N/A	Poll	F+A
12	Υ	Pass	No	For	0.1%	741,465,782	99.9%	706,204	0.1%	48,003	N/A	Poll	F+A
13	Υ	Pass	No	For	0.8%	736,024,084	99.2%	6,149,746	0.8%	46,159	N/A	Poll	F+A

#### Victoria's Secret & Co.

Meeting Date: 05/27/2022 **Record Date:** 03/31/2022

Primary Security ID: 926400102

Country: USA

Meeting Type: Annual

**Primary CUSIP:** 926400102

Ticker: VSCO

Meeting ID: 1637575

**Primary ISIN:** US9264001028

Proxy Level: 3

Primary SEDOL: BNNTGH3

5,856

5,856

Earliest Cutoff Date: 05/26/2022

Votable Shares: 5,856

CAT, 391692

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS Shares Instructed: 5,856 **Additional Policy:** Shares Voted: 5,856

•	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Irene Chang Britt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.								
1.2		Elect Director Sarah Davis	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.								
1.3		Elect Director Jacqueline Hernandez	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.								
1.4		Elect Director Donna James	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.								
1.5		Elect Director Mariam Naficy	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.								
1.6		Elect Director Lauren Peters	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.								
1.7		Elect Director Anne Sheehan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.								
1.8		Elect Director Martin Waters	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote on Say on Pay Frequency	Mgmt	Yes	One Year	One Year		One Year	One Year	No	No	No
4		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details	ls											
Institutional Ac (IA Name, IA N		Custodian Account Number Ballot Status	Instructe	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted

jbisset

05/18/2022

Confirmed

000391692

jbisset

05/18/2022

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

		-
Total Shares:	5,856	5,856

#### **Meeting Results**

Outstanding Shares Voted: 82,827,486

Results Available: Full

Item	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Agains	st A	% Igainst	Abstain	% Abstain	Result Type	Base
1.1	Υ	Pass	No	For	0.3%	71,265,358	99.7%	232,24	8	0.3%	60,019	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	1.0%	70,817,512	99.0%	680,14	1	1.0%	59,972	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.0%	70,800,310	99.0%	697,04	5	1.0%	60,270	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	1.1%	70,720,515	98.9%	789,49	9	1.1%	47,611	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	0.1%	71,452,047	99.9%	56,550	)	0.1%	49,028	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	0.1%	71,400,931	99.9%	94,318	3	0.1%	62,376	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	1.2%	68,263,846	98.8%	862,59	1	1.2%	2,431,188	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	0.2%	71,395,670	99.8%	112,06	2	0.2%	49,893	N/A	Proxy	F+A
2	Υ	Pass	No	For	0.9%	70,854,622	99.1%	626,22	4	0.9%	76,779	N/A	Proxy	F+A
4	Y	Pass	No	For	0.1%	75,475,689	99.9%	56,631	L	0.1%	27,421	N/A	Proxy	F+A
	VTG Prop Summa	Dissiden ary Prop	t Mgmt Rec	Dissent Level	1 YR	% 1 YR	2 YR	% 2 YR	3 YR	% 3 YR	Abstain	% Abstain	Result Type	Base
3	Y Pass	No	One Year	N/A	71055117	99.3%	24769	0.0%	415019	0.6%	62721	0.1%	Proxy	F+A

### **Alphabet Inc.**

Meeting Date: 06/01/2022 **Record Date:** 04/05/2022

Earliest Cutoff Date: 05/31/2022

Votable Shares: 62

Primary Security ID: 02079K305

Meeting Type: Annual Primary CUSIP: 02079K305

**Total Ballots:** 1 \*Shares on Loan: 0

Country: USA

Ticker: GOOGL

Voting Policy: ISS

Meeting ID: 1641383

Primary ISIN: US02079K3059

Proxy Level: 3

Primary SEDOL: BYVY8G0

**Additional Policy:** Shares Voted: 62

**Shares Instructed:** 62 **Additional** Voting Vote Vote Significant Votable Policy Against Proposal Mgmt Policy Vote Against Against Number Vote **Proposal Text Proponent** Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS 1a Elect Director Larry Page Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1h Elect Director Sergey Brin Mgmt For For For For Nο Nο Yes Nο Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1c Elect Director Sundar Pichai Mgmt For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted.

# Alphabet Inc.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1d		Elect Director John L. Hennessy	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1e		Elect Director Frances H. Arnold	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1f		Elect Director L. John Doerr	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1g		Elect Director Roger W. Ferguson, Jr.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1h		Elect Director Ann Mather	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
<b>1</b> i		Elect Director K. Ram Shriram	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1j		Elect Director Robin L. Washington	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
2		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Amend Omnibus Stock Plan	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: Based on evaluation of Scorecard (EPSC), a vote AGAINST this proposerate is excessive * The disclosure of change-indiscretionary) * The plan permits liberal recycles.	sal is warranted n-control ("CIC"	d due to the ") vesting tre	following ke Patment is in	y factor(s): ? complete (or	* The three-ye is otherwise o	ar average i considered	burn			
		Blended Rationale: Based on evaluation of the Scorecard (EPSC), a vote AGAINST this propo- rate is excessive * The disclosure of change-in discretionary) * The plan permits liberal recycle	sal is warranted n-control ("CIC"	d due to the ") vesting tre	following ke Patment is in	y factor(s): ? complete (or	* The three-ye is otherwise o	ar average i considered	burn		•	
4		Increase Authorized Common Stock	Mgmt	Yes	For	For		For	For	No	No	No
5		Report on Lobbying Payments and Policy	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this resolution practices and policies, such as its trade associal management of related risks.										
		Blended Rationale: A vote FOR this resolution and policies, such as its trade association men management of related risks.			-	-		-	ices			

## **Alphabet Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6		Report on Climate Lobbying	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propos review of how the company's and its trade asso company caused by climate change and the con	ociations' lobby	ing positions	-		-					
		Blended Rationale: A vote FOR this proposal is of how the company's and its trade associations caused by climate change and the company's p	s' lobbying pos									
7		Report on Physical Risks of Climate Change	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propos regarding how the company is assessing and m				enefit from i	increased discl	osure				
		Blended Rationale: A vote FOR this proposal is how the company is assessing and managing co			would benet	ît from increa	ased disclosure	e regarding				
8		Report on Metrics and Efforts to Reduce Water Related Risk	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propos regarding how the company is managing climate			ders would b	enefit from i	increased discl	osure				
		Blended Rationale: A vote FOR this proposal is how the company is managing climate-related		nareholders ı	would benef	ît from increa	ased disclosure	e regarding				
9		Oversee and Report a Third-Party Racial Equity Audit	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this resolut better assess the effectiveness of Alphabet's eff of related risks.			-							
		Blended Rationale: A vote FOR this resolution is better assess the effectiveness of Alphabet's eff of related risks.		-			-		nt			
10		Report on Risks Associated with Use of Concealment Clauses	SH	Yes	Against	Against		Against	Against	No	No	No
11		Approve Recapitalization Plan for all Stock to Have One-vote per Share	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propos preference for a capital structure in which the la			•			reholders'				
		Blended Rationale: A vote FOR this proposal is preference for a capital structure in which the la			-			ders'				
12		Report on Government Takedown Requests	SH	Yes	Against	Against		Against	Against	No	No	No
13		Report on Risks of Doing Business in Countries with Significant Human Rights Concerns	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propos regarding how the company is managing huma					increased discl	osure				
		Blended Rationale: A vote FOR this proposal is how the company is managing human rights-re				ît from increa	ased disclosure	e regarding				
14		Report on Managing Risks Related to Data Collection, Privacy and Security	SH	Yes	Against	Against		Against	Against	No	No	No

## **Alphabet Inc.**

-	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
15		Disclose More Quantitative an Qualitative Information on Alg Systems		SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote systems and increased reporting			•		,		-	mic			
		Blended Rationale: A vote FOR systems and increased reporting		-		•	,		-				
16		Commission Third Party Asses Company's Management of Misinformation and Disinforma Across Platforms		SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote shareholders better evaluate to misinformation.					-			)			
		Blended Rationale: A vote FOR shareholders better evaluate to misinformation.				•	-						
17		Report on External Costs of Misinformation and Impact on Diversified Shareholders		SH	Yes	Against	Against		Against	Against	No	No	No
18		Report on Steps to Improve R Gender Board Diversity	acial and	SH	Yes	Against	Against		Against	Against	No	No	No
19		Establish an Environmental Sustainability Board Committee	e	SH	Yes	Against	Against		Against	Against	No	No	No
20		Adopt a Policy to Include Non-Management Employees Prospective Director Candidate		SH	Yes	Against	Against		Against	Against	No	No	No
21		Report on Policies Regarding I and Militarized Policing Agence	•	SH	Yes	Against	Against		Against	Against	No	No	No
Ballot Detai	ils												
Institutional A	Account Detail Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692		000391692	Confirmed	Auto-Instru	ucted	Auto-App	roved			62		6	2
				05/18/2022	2	05/18/20	22						

#### **Meeting Results**

Outstanding Shares Voted: 744,803,196 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	1.8%	592,852,727	98.2%	10,483,152	1.7%	444,070	0.1%	Proxy	F+A+AB
1b	Υ	Pass	No	For	1.8%	592,848,088	98.2%	10,485,256	1.7%	446,605	0.1%	Proxy	F+A+AB
1c	Υ	Pass	No	For	1.3%	595,666,963	98.7%	7,658,201	1.3%	454,785	0.1%	Proxy	F+A+AB
1d	Υ	Pass	No	For	8.3%	553,426,687	91.7%	49,316,543	8.2%	1,036,719	0.2%	Proxy	F+A+AB
1e	Υ	Pass	No	For	2.3%	589,868,660	97.7%	12,865,280	2.1%	1,046,009	0.2%	Proxy	F+A+AB
1f	Υ	Pass	No	For	5.5%	570,481,269	94.5%	32,801,993	5.4%	496,687	0.1%	Proxy	F+A+AB

Total Shares:

62

62

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

_	<b>Date:</b> 06/0	-		Country: USA Meeting Typ				er: GDDY ing ID: 1641401		Proxy	Level: 5		
	addy I												
21	Y	Fail	No	Against	9.8%	55,301,799	9.2%	544,653,039	90.2%	3,825,111	0.6%	Proxy	F+A+AB
.0	Υ	Fail	No	Against	2.7%	15,377,634	2.5%	587,364,843	97.3%	1,037,472	0.2%	Proxy	F+A+AB
9	Υ	Fail	No	Against	5.2%	28,643,318	4.7%	572,059,570	94.7%	3,077,061	0.5%	Proxy	F+A+AB
3	Υ	Fail	No	Against	5.6%	31,672,686	5.2%	569,647,268	94.3%	2,459,995	0.4%	Proxy	F+A+AB
,	Υ	Fail	No	Against	4.2%	21,171,063	3.5%	578,562,198	95.8%	4,046,688	0.7%	Proxy	F+A+AB
5	Υ	Fail	No	Against	23.4%	138,860,290	23.0%	462,429,154	76.6%	2,490,505	0.4%	Proxy	F+A+AB
5	Υ	Fail	No	Against	19.9%	117,998,457	19.5%	483,701,300	80.1%	2,080,192	0.3%	Proxy	F+A+AE
ŀ	Υ	Fail	No	Against	12.5%	73,742,058	12.2%	528,275,894	87.5%	1,761,997	0.3%	Proxy	F+A+AE
;	Υ	Fail	No	Against	17.3%	102,603,593	17.0%	498,995,355	82.6%	2,181,001	0.4%	Proxy	F+A+Al
	Υ	Fail	No	Against	1.0%	2,422,967	0.4%	597,589,694	99.0%	3,767,288	0.6%	Proxy	F+A+A
	Υ	Fail	No	Against	33.3%	200,212,885	33.2%	402,897,885	66.7%	669,179	0.1%	Proxy	F+A+Al
	Y	Fail	No	Against	12.4%	72,130,367	11.9%	528,736,242	87.6%	2,913,340	0.5%	Proxy	F+A+Al
	Y	Fail	No	Against	22.6%	134,699,006	22.3%	466,991,436	77.3%	2,089,507	0.3%	Proxy	F+A+A
	Y	Fail	No	Against	22.9%	136,092,909	22.5%	465,662,037	77.1%	2,025,003	0.3%	Proxy	F+A+A
	Y	Fail	No	Against	18.1%	107,101,112	17.7%	494,683,281	81.9%	1,995,556	0.3%	Proxy	F+A+Al
	Y	Fail	No	Against	20.1%	113,506,023	18.8%	482,661,112	79.9%	7,612,814	1.3%	Proxy	F+A+Al
	Y	Fail	No	Against	19.1%	114,337,748	18.9%	488,159,890	80.9%	1,282,311	0.2%	Proxy	Represent
	Y	Pass	No	For	3.3%	609,336,661	81.8%	24,202,969	3.2%	590,763	0.1%	Proxy	Capital
	Y	Pass	No	For	16.1%	506,345,892	83.9%	96,866,593	16.0%	567,464	0.1%	Proxy	F+A+Al
	Y Y	Pass Pass	No No	For For	2.2%	590,506,893 617,716,269	97.8% 97.4%	12,772,617 15,840,842	2.1%	500,439 573,282	0.1%	Proxy	F+A+A
	Y	Pass	No	For	3.4%	583,326,313	96.6%	19,954,131	3.3%	499,505	0.1%	Proxy	F+A+A
	Y	Pass	No	For	10.9%	537,742,671	89.1%	65,444,274	10.8%	593,004	0.1%	Proxy	F+A+A
	Y	Pass	No	For	10.00/	F07 740 674	00.10/	65 444 274	10.00/	502.004	0.40/		F. 4 . 4

Against

Against

Abstain

For

Result

Туре

Abstain

Item

Voting

Proposal

Summary

Dissident

Proposal

Mgmt

Rec

Dissent

Level

For

**Primary CUSIP:** 380237107 **Primary ISIN:** US3802371076 Primary SEDOL: BWFRFC6 Primary Security ID: 380237107

Earliest Cutoff Date: 05/31/2022 Voting Policy: ISS **Total Ballots:** 1 **Additional Policy:** Votable Shares: 6,320 \*Shares on Loan: 0Shares Instructed: 6,320 Shares Voted: 6,320

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Amanpal (Aman) Bhutani	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **GoDaddy Inc.**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
	Voting Policy Rationale: A vote I	FOR the directo	r nominees is	warranted.								
	Blended Rationale: A vote FOR t	the director nor	minees is warra	anted.								
1b	Elect Director Caroline Donahue	e	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote I	FOR the directo	r nominees is	warranted.								
	Blended Rationale: A vote FOR t	the director nor	minees is warra	anted.								
1c	Elect Director Charles Robel		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote I	FOR the directo	r nominees is	warranted.								
	Blended Rationale: A vote FOR t	the director nor	minees is warra	anted.								
2	Advisory Vote to Ratify Named Executive Officers' Compensation	on	Mgmt	Yes	For	For		For	For	No	No	No
3	Advisory Vote on Say on Pay Frequency		Mgmt	Yes	One Year	One Year		One Year	One Year	No	No	No
4	Ratify Ernst & Young LLP as Au	ditors	Mgmt	Yes	For	For		For	For	No	No	No
5	Declassify the Board of Director	rs	Mgmt	Yes	For	For		For	For	No	No	No
6	Eliminate Certain Supermajority Requirements	Voting	Mgmt	Yes	For	For		For	For	No	No	No
7	Amend Certificate of Incorporat Eliminate Certain Business Combination Restrictions	cion to	Mgmt	Yes	For	For		For	For	No	No	No
8	Amend Certificate of Incorporat Eliminate Inoperative Provisions Implement Certain Other Miscellaneous Amendments		Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	d	Approved	i	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692	000391692				jbisset				6,320	)	6,3	20
			05/19/2022	2	05/19/202	2				_		

#### **Meeting Results**

Outstanding Shares Voted: 162,053,285 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	0.6%	140,888,793	99.4%	831,042	0.6%	17,690	N/A	Proxy	F+A
1b	Υ	Pass	No	For	1.7%	139,226,683	98.3%	2,479,754	1.7%	31,088	N/A	Proxy	F+A
1c	Υ	Pass	No	For	1.7%	134,746,644	98.3%	2,292,579	1.7%	4,698,302	N/A	Proxy	F+A
2	Υ	Pass	No	For	16.9%	117,784,337	83.1%	23,920,585	16.9%	32,603	0.0%	Proxy	F+A+AB
4	Υ	Pass	No	For	0.8%	147,472,602	99.2%	1,148,738	0.8%	10,260	0.0%	Proxy	F+A+AB
5	Υ	Pass	No	For	0.1%	141,585,231	99.9%	138,949	0.1%	13,345	0.0%	Proxy	F+A+AB
6	Υ	Pass	No	For	0.1%	141,549,650	99.9%	166,805	0.1%	21,070	0.0%	Proxy	F+A+AB

Total Shares:

6,320

6,320

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	A	Against	% Against	Abstain	% Abstain	Result Type	Base
7	Υ	Pass	No	For	12.2%	124,399,755	87.8%	17	7,317,537	12.2%	20,233	0.0%	Proxy	F+A+AB
8	Υ	Pass	No	For	0.0%	141,689,185	100.0%	:	25,041	0.0%	23,299	0.0%	Proxy	F+A+AB
	VTG Prop Sum	Disside mary Prop	nt Mgmt Rec	Dissent Level	1 YR	% 1 YR	2 YR	% 2 YR	3 YR	% 3 YR	Abstain	% Abstain	Result Type	Base
3	Y Pa	ss No	One Year	N/A	140799074	99.3%	10583	0.0%	911330	0.6%	16538	0.0%	Proxy	F+A

### **Prosegur Cash SA**

Meeting Date: 06/01/2022

Country: Spain

Ticker: CASH

Proxy Level: N/A

**Record Date:** 05/27/2022

Meeting Type: Annual

**Meeting ID:** 1610500

**Primary Security ID:** E8S56X108

Primary CUSIP: E8S56X108

**Primary ISIN:** ES0105229001

Primary SEDOL: BDH3Q71

Earliest Cutoff Date: 05/26/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 173,100

\*Shares on Loan: 0

Shares Instructed: 173,100

Shares Voted: 173,100

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Consolidated and Standalone Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Non-Financial Information Statement	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Allocation of Income and Dividends	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Discharge of Board	Mgmt	Yes	For	For		For	For	No	No	No
5		Reelect Christian Gut Revoredo as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the reelective Tiem 7 is warranted, as the company complied controlled companies. A vote FOR Item 6 is well as wel	s with the one-	third indepe	ndence guid	leline applicat	ble to Spain-in				-	
		Blended Rationale: A vote FOR the reelection warranted, as the company complies with the companies. A vote FOR Item 6 is warranted d	one-third inde	pendence gu	iideline app	licable to Spa					-	
6		Reelect Jose Antonio Lasanta Luri as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the reelect Item 7 is warranted, as the company complies controlled companies. A vote FOR Item 6 is w	s with the one-	third indepe	ndence guid	leline applicat	ble to Spain-in					
		Blended Rationale: A vote FOR the reelection warranted, as the company complies with the companies. A vote FOR Item 6 is warranted d	one-third inde	pendence gu	iideline app	licable to Spa					-	
7		Reelect Antonio Rubio Merino as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the reelect Item 7 is warranted, as the company complies controlled companies. A vote FOR Item 6 is w	s with the one-	third indepe	ndence guid	leline applicat	ble to Spain-in					
		Blended Rationale: A vote FOR the reelection warranted, as the company complies with the companies. A vote FOR Item 6 is warranted d	one-third inde	pendence gu	iideline app	licable to Spa					-	
8		Advisory Vote on Remuneration Report	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes

# **Prosegur Cash SA**

Proposal Significan Number Vote	t Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9	Approve Remuneration Police	у	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
	Voting Policy Rationale: A vol does not set clear guidelines joined the company. * Inform Optimum LTI awards vest aft	on potential salar nation on the STI	ry increase and	the board h	as significa	ntly increased	the CEO's sal	ary since he				
	Blended Rationale: A vote AC set clear guidelines on potent company. * Information on to LTI awards vest after three y	tial salary increas he STI metrics re	e and the board	d has signific	cantly incre	ased the CEO	's salary since	he joined th	e			
10	Approve Reduction in Share Amortization of Treasury Sha	•	Mgmt	Yes	For	For		For	For	No	No	No
11	Approve Merger by Absorption Prosegur Global CIT SLU	on of	Mgmt	Yes	For	For		For	For	No	No	No
12	Authorize Board to Ratify and Approved Resolutions	d Execute	Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account Det (IA Name, IA Number)	ail Custodian Account Number	Ballot Status	Instructed	i	Approv	red	Ballot Voting	Status	Votable S	ihares	Shares	Voted
CAT, 391692	391692	Confirmed	jbisset		jbisset				173,1	00	173,	.100
			05/26/2022	2	05/26/2	022						
								Total Shares	173,1	.00	173,	100

#### **Meeting Results**

Outstanding Shares Voted: 1,522,946,683

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	1,344,171,116	100.0%	0	0.0%	253,938	0.0%	Poll	F+A+AB
2	Υ	Pass	No	For	0.0%	1,344,425,054	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
3	Υ	Pass	No	For	0.0%	1,344,425,054	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
4	Υ	Pass	No	For	0.4%	1,339,144,329	99.6%	4,988,523	0.4%	292,202	0.0%	Poll	F+A+AB
5	Υ	Pass	No	For	2.0%	1,317,619,258	98.0%	26,805,796	2.0%	0	0.0%	Poll	F+A+AB
6	Υ	Pass	No	For	1.8%	1,320,632,169	98.2%	23,792,885	1.8%	0	0.0%	Poll	F+A+AB
7	Υ	Pass	No	For	2.0%	1,317,446,549	98.0%	26,978,505	2.0%	0	0.0%	Poll	F+A+AB
8	Υ	Pass	No	For	5.7%	1,268,342,779	94.3%	76,082,275	5.7%	0	0.0%	Poll	F+A+AB
9	Υ	Pass	No	For	6.7%	1,254,002,033	93.3%	90,423,021	6.7%	0	0.0%	Poll	F+A+AB
10	Υ	Pass	No	For	0.0%	1,344,425,054	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
11	Υ	Pass	No	For	0.0%	1,344,366,454	100.0%	0	0.0%	58,600	0.0%	Poll	F+A+AB
12	Y	Pass	No	For	0.0%	1,344,425,054	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB

### **Chesapeake Energy Corporation**

Meeting Date: 06/09/2022 **Record Date:** 04/11/2022

**Primary Security ID:** 165167735

Country: USA

Meeting Type: Annual **Primary CUSIP:** 165167735 Ticker: CHK

**Meeting ID:** 1646883 **Primary ISIN:** US1651677353

Primary SEDOL: BMZ5LZ5

Proxy Level: 3

Earliest Cutoff Date: 06/08/2022

Votable Shares: 18,032

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

\*Shares on Loan: 0

Shares Instructed: 18,032

Shares Voted: 18,032

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a	Elect Director Domenic J. Dell'o	Osso, Jr.	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR the director nominees is warranted.											
	Blended Rationale: A vote FOR the director nominees is warranted.											
1b	Elect Director Timothy S. Dunc	an	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR the director nominees is warranted.											
	Blended Rationale: A vote FOR											
1c	Elect Director Benjamin C. Dus	ter, IV	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR the director nominees is warranted.											
	Blended Rationale: A vote FOR the director nominees is warranted.											
1d	Elect Director Sarah A. Emerso	n	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR the director nominees is warranted.											
	Blended Rationale: A vote FOR the director nominees is warranted.											
1e	Elect Director Matthew M. Gall	agher	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR the director nominees is warranted.											
	Blended Rationale: A vote FOR the director nominees is warranted.											
1f	Elect Director Brian Steck		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR the director nominees is warranted.											
	Blended Rationale: A vote FOR the director nominees is warranted.											
1g	Elect Director Michael A. Wicht	erich	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote											
	Blended Rationale: A vote FOR the director nominees is warranted.											
2	Advisory Vote to Ratify Named Executive Officers' Compensation		Mgmt	Yes	For	For		For	For	No	No	No
3	Ratify PricewaterhouseCoopers LLP as Auditor		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number Ballot Status		Instructed		Approved		Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692	000391692 Confirmed		jbisset		jbisset				18,032		18,0	)32
		05/31/2022 05/31/2022										

#### **Meeting Results**

Outstanding Shares Voted: 127,185,697 Results Available: Full Total Shares:

18,032

18,032

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	0.2%	103,135,437	99.8%	207,525	0.2%	1,969,395	N/A	Proxy	F+A
1b	Υ	Pass	No	For	0.2%	103,089,039	99.8%	254,107	0.2%	1,969,211	N/A	Proxy	F+A
1c	Y	Pass	No	For	0.3%	103,023,987	99.7%	319,452	0.3%	1,968,918	N/A	Proxy	F+A
1d	Y	Pass	No	For	2.8%	100,426,396	97.2%	2,916,772	2.8%	1,969,189	N/A	Proxy	F+A
1e	Y	Pass	No	For	4.5%	98,164,971	95.5%	4,598,518	4.5%	2,548,868	N/A	Proxy	F+A
1f	Υ	Pass	No	For	0.1%	103,218,663	99.9%	124,375	0.1%	1,969,319	N/A	Proxy	F+A
1g	Y	Pass	No	For	0.3%	103,041,006	99.7%	301,314	0.3%	1,970,037	N/A	Proxy	F+A
2	Y	Pass	No	For	0.7%	101,508,570	99.3%	704,537	0.7%	3,099,250	N/A	Proxy	F+A
3	Υ	Pass	No	For	1.7%	105,419,382	98.3%	1,864,357	1.7%	2,253,205	N/A	Proxy	F+A

#### **Jackson Financial Inc.**

Meeting Date: 06/09/2022 Record Date: 04/14/2022

Earliest Cutoff Date: 06/08/2022

Votable Shares: 7,956

Primary Security ID: 46817M107

Country: USA

**Total Ballots:** 1

Meeting Type: Annual Primary CUSIP: 46817M107

\*Shares on Loan: 0

Ticker: JXN Meeting ID: 1641939

Primary ISIN: US46817M1071

Shares Instructed: 7,956

Voting Policy: ISS

Proxy Level: 3

Primary SEDOL: BMFX6P4

**Additional Policy:** 

Shares Voted: 7,956

Additional Voting Vote Vote Vote Policy **Proposal** Significant Votable Mgmt Policy Vote Against Against Against ISS Rec Policy Number Proposal Text Instruction ISS Vote Proponent Proposal Rec Rec Rec Mgmt 1.1 Elect Director Lily Fu Claffee Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. For Elect Director Gregory T. Durant For For 1.2 Mgmt Yes For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.3 Elect Director Steven A. Kandarian Mgmt For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. Elect Director Derek G. Kirkland For 1.4 Mgmt Yes For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. Elect Director Drew E. Lawton For 1.5 Mgmt For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. For Elect Director Martin J. Lippert Mgmt For For For No 1.6 Yes No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Jackson Financial Inc.**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.7	Elect Director Russell G. Noles		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.								
	Blended Rationale: A vote FOR	the director no.	minees is warra	anted.								
1.8	Elect Director Laura L. Priesko	rn	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.								
	Blended Rationale: A vote FOR	the director no.	minees is warra	anted.								
1.9	Elect Director Esta E. Stecher		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.								
	Blended Rationale: A vote FOR	the director no.	minees is warra	anted.								
2	Ratify KPMG LLP as Auditors		Mgmt	Yes	For	For		For	For	No	No	No
3	Advisory Vote on Say on Pay Frequency		Mgmt	Yes	One Year	One Year		One Year	One Year	No	No	No
4	Advisory Vote to Ratify Named Executive Officers' Compensat		Mgmt	Yes	For	For		For	For	No	No	No
5	Eliminate Class B Common Sto	ck	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable S	nares	Shares	Voted
CAT, 391692	000391692	Confirmed	jbisset		jbisset				7,956	5	7,9	56
			06/01/2022	2	06/01/202	22						
								Total Shares:	7,95	5	7,9	56

#### **Meeting Results**

Outstanding Shares Voted: 86,672,714

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	1.1%	57,760,088	98.9%	623,225	1.1%	0	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	0.5%	58,071,626	99.5%	311,687	0.5%	0	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.2%	57,666,462	98.8%	716,851	1.2%	0	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	0.4%	58,151,442	99.6%	231,871	0.4%	0	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	0.5%	58,102,402	99.5%	280,911	0.5%	0	N/A	Proxy	F+A
1.6	Y	Pass	No	For	1.1%	57,756,714	98.9%	626,599	1.1%	0	N/A	Proxy	F+A
1.7	Y	Pass	No	For	0.4%	58,156,889	99.6%	226,424	0.4%	0	N/A	Proxy	F+A
1.8	Y	Pass	No	For	0.3%	58,184,462	99.7%	198,851	0.3%	0	N/A	Proxy	F+A
1.9	Y	Pass	No	For	1.1%	57,760,512	98.9%	622,801	1.1%	0	N/A	Proxy	F+A
2	Y	Pass	No	For	1.6%	65,504,943	98.4%	708,021	1.1%	363,997	0.5%	Proxy	F+A+AB
4	Y	Pass	No	For	3.6%	56,249,701	96.3%	1,947,710	3.3%	185,902	0.3%	Proxy	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #		ting posal S	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Aga	ainst	% Against	Abstain	% Abstain	Result Type	Base
5	,	Υ	Pass	No	For	0.2%	58,189,305	67.1%	16	,731	0.0%	177,277	0.2%	Proxy	Capital Represented
Item #		Summary	Dissident Prop	Mgmt Rec	Dissent Level	1 YR	% 1 YR	2 YR	% 2 YR	3 YR	% 3 YR	Abstain	% Abstain	Result Type	Base
3	Υ	Pass	No	One Year	N/A	57793886	99.0%	324029	0.6%	79335	0.1%	186063	0.3%	Proxy	F+A

### **General Motors Company**

Meeting Date: 06/13/2022Country: USATicker: GMProxy Level: 3

Record Date: 04/19/2022 Meeting Type: Annual Meeting ID: 1647489

 Primary Security ID: 37045V100
 Primary CUSIP: 37045V100
 Primary ISIN: US37045V1008
 Primary SEDOL: B665KZ5

Earliest Cutoff Date: 06/10/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy:

Votable Shares: 24,342 \*Shares on Loan: 0 Shares Instructed: 24,342 Shares Voted: 24,342

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Mary T. Barra	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1b		Elect Director Aneel Bhusri	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1c		Elect Director Wesley G. Bush	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1d		Elect Director Linda R. Gooden	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1e		Elect Director Joseph Jimenez	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1f		Elect Director Judith A. Miscik	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1g		Elect Director Patricia F. Russo	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1h		Elect Director Thomas M. Schoewe	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								

# **General Motors Company**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1i		Elect Director Carol M. Stephenson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ctor nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director	nominees is warı	ranted.								
1j		Elect Director Mark A. Tatum	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warı	ranted.								
1k		Elect Director Devin N. Wenig	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warı	ranted.								
11		Elect Director Margaret C. Whitman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warı	ranted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this proposare shareholders to call a special meeting would of the right is considered small given the considered small given given the considered small given the considered small given the considered small given the considered small given the considered	enhance shareh	olders' abilit	ty to make u	se of the rigi	ht, and the like		ouse		-	
		Blended Rationale: A vote FOR this proposal call a special meeting would enhance shared considered small given the company's size a	nolders' ability to	make use o	f the right, a	and the likelil						
5		Require Independent Board Chair	SH	Yes	Against	Against		Against	Against	No	No	No
6		Report on the Use of Child Labor in Connection with Electric Vehicles	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this properties of the Policy Rationale: A vote FOR this properties of the Policy Rational		-					ate			
		Blended Rationale: A vote FOR this proposal labor from its supply chain would allow inve- risks in its supply chain.	,			,	,		hild		-	

### Ballot Details

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	000391692	Confirmed	jbisset	jbisset		24,342	24,342
			06/01/2022	06/01/2022			
					Total Shares:	24,342	24,342

#### **Meeting Results**

Outstanding Shares Voted: 1,458,240,927 Results Available: Full

Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Type	Base

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	4.0%	1,039,068,895	96.0%	43,334,388	4.0%	6,619,561	N/A	Proxy	F+A
1b	Υ	Pass	No	For	0.4%	1,082,407,112	99.6%	4,069,890	0.4%	2,545,842	N/A	Proxy	F+A
1c	Υ	Pass	No	For	1.0%	1,074,924,277	99.0%	11,281,829	1.0%	2,816,738	N/A	Proxy	F+A
1d	Υ	Pass	No	For	0.3%	1,083,001,248	99.7%	3,254,292	0.3%	2,767,304	N/A	Proxy	F+A
1e	Υ	Pass	No	For	5.6%	1,025,762,525	94.4%	60,736,923	5.6%	2,523,396	N/A	Proxy	F+A
<b>1</b> f	Υ	Pass	No	For	1.0%	1,075,382,022	99.0%	10,838,350	1.0%	2,802,472	N/A	Proxy	F+A
1g	Υ	Pass	No	For	7.7%	993,051,809	92.3%	82,346,660	7.7%	13,624,375	N/A	Proxy	F+A
1h	Υ	Pass	No	For	1.0%	1,075,772,231	99.0%	10,399,974	1.0%	2,850,639	N/A	Proxy	F+A
<b>1</b> i	Υ	Pass	No	For	2.9%	1,054,305,094	97.1%	31,921,439	2.9%	2,796,311	N/A	Proxy	F+A
1j	Υ	Pass	No	For	0.6%	1,079,690,772	99.4%	6,783,968	0.6%	2,548,104	N/A	Proxy	F+A
1k	Υ	Pass	No	For	0.3%	1,083,369,503	99.7%	3,092,859	0.3%	2,560,482	N/A	Proxy	F+A
11	Υ	Pass	No	For	0.9%	1,078,208,931	99.1%	9,319,798	0.9%	1,494,115	N/A	Proxy	F+A
2	Υ	Pass	No	For	7.7%	1,005,382,990	92.3%	80,003,171	7.3%	3,636,683	0.3%	Proxy	F+A+AB
3	Υ	Pass	No	For	0.6%	1,212,233,262	99.4%	4,594,488	0.4%	2,539,189	0.2%	Proxy	F+A+AB
4	Υ	Fail	No	Against	39.1%	422,415,213	38.8%	663,278,506	60.9%	3,329,125	0.3%	Proxy	F+A+AB
5	Υ	Fail	No	Against	20.7%	222,140,892	20.4%	863,656,900	79.3%	3,225,052	0.3%	Proxy	F+A+AB
6	Υ	Fail	No	Against	23.5%	240,069,374	22.0%	833,595,989	76.5%	15,357,481	1.4%	Proxy	F+A+AB

### **Activision Blizzard, Inc.**

Meeting Date: 06/21/2022 **Record Date:** 04/22/2022

Primary Security ID: 00507V109

Earliest Cutoff Date: 06/17/2022

Votable Shares: 3,670

Country: USA

Meeting Type: Annual

Primary CUSIP: 00507V109

Total Ballots: 1

\*Shares on Loan: 0

Ticker: ATVI

Meeting ID: 1647569

**Primary ISIN:** US00507V1098

Voting Policy: ISS Shares Instructed: 3,670 Primary SEDOL: 2575818

Proxy Level: 3

**Additional Policy:** Shares Voted: 3,670

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Reveta Bowers	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted.

Blended Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted.

# **Activision Blizzard, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1b		Elect Director Kerry Carr	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Re Nolan are warranted, with caution, as the comp understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage a	nal informat and mitigate	ion that woul	d allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company's understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allov	v investors to l	better				
1c		Elect Director Robert Corti	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Re Nolan are warranted, with caution, as the com understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	vide addition to manage a	nal informat and mitigate	ion that woul	d allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allov	v investors to i	better				
1d		Elect Director Brian Kelly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Re Nolan are warranted, with caution, as the com- understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage a	nal informat and mitigate	ion that woul	d allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allov	v investors to i	better				
1e		Elect Director Robert Kotick	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Re Nolan are warranted, with caution, as the com- understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	vide addition to manage a	nal informat and mitigate	ion that woul	d allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allov	v investors to l	better				
1f		Elect Director Lulu Meservey	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Re Nolan are warranted, with caution, as the com understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage a	nal informat and mitigate	ion that woul	d allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allov	v investors to l	better				
1g		Elect Director Barry Meyer	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Re Nolan are warranted, with caution, as the com, understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage a	nal informat and mitigate	ion that woul	d allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allov	v investors to l	better				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Activision Blizzard, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1h		Elect Director Robert Morgado	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Nolan are warranted, with caution, as the counderstand the effectiveness of the compandiscrimination. A vote FOR the remaining directions.	mpany could proving recent efforts	ovide additions to manage	nal informat and mitigate	tion that wou	ıld allow invest	ors to better	•		-	
		Blended Rationale: Votes FOR directors Robe are warranted, with caution, as the company understand the effectiveness of the company discrimination. A vote FOR the remaining dir	could provide a strate offorts	additional info s to manage	ormation tha and mitigate	at would allo	w investors to	better			-	
1i		Elect Director Peter Nolan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Nolan are warranted, with caution, as the co understand the effectiveness of the company discrimination. A vote FOR the remaining dir	mpany could proving serving the court of the	ovide additions to manage	nal informat and mitigate	tion that wou	ıld allow invest	ors to better	•		-	
		Blended Rationale: Votes FOR directors Robe are warranted, with caution, as the company understand the effectiveness of the company discrimination. A vote FOR the remaining dir	could provide a strate offorts	additional info s to manage	ormation tha and mitigate	at would allo	w investors to	better				
1j		Elect Director Dawn Ostroff	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Nolan are warranted, with caution, as the counderstand the effectiveness of the compan, discrimination. A vote FOR the remaining dir	mpany could proving serving the court of the	ovide additions to manage	nal informat and mitigate	tion that wou	ıld allow invest	ors to better	•		-	
		Blended Rationale: Votes FOR directors Robe are warranted, with caution, as the company understand the effectiveness of the company discrimination. A vote FOR the remaining dir	could provide a strate offorts	additional info s to manage	ormation tha and mitigate	at would allo	w investors to	better			-	
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Adopt a Policy to Include Non-Management Employees as Prospective Director Candidates	SH	Yes	Against	Against		Against	Against	No	No	No
5		Report on Efforts Prevent Abuse, Harassment, and Discrimination	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this prop the ongoing scrutiny over the company's sex Increased transparency would help sharehol	rual harassment	and discrimi	nation issue.	s involving pi	rotected classe				-	
		Blended Rationale: A vote FOR this proposal ongoing scrutiny over the company's sexual Increased transparency would help sharehol	harassment and	discrimination	on issues inv	olving prote	cted classes of				-	

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	000391692	Confirmed	jbisset	jbisset		3,670	3,670
			06/16/2022	06/16/2022			
					Total Shares:	3,670	3,670

#### **Meeting Results**

Outstanding Shares Voted: 781,848,813 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	3.4%	570,069,460	96.6%	20,069,854	3.4%	8,323,643	N/A	Proxy	F+A
1b	Υ	Pass	No	For	0.4%	594,269,935	99.6%	2,253,529	0.4%	1,939,493	N/A	Proxy	F+A
1c	Υ	Pass	No	For	15.9%	501,431,883	84.1%	95,076,047	15.9%	1,955,027	N/A	Proxy	F+A
1d	Υ	Pass	No	For	11.5%	527,602,184	88.5%	68,415,423	11.5%	2,445,350	N/A	Proxy	F+A
1e	Υ	Pass	No	For	10.5%	533,703,580	89.5%	62,597,199	10.5%	2,162,178	N/A	Proxy	F+A
1f	Υ	Pass	No	For	0.4%	594,225,355	99.6%	2,302,158	0.4%	1,935,444	N/A	Proxy	F+A
1g	Υ	Pass	No	For	12.5%	521,987,017	87.5%	74,530,701	12.5%	1,945,239	N/A	Proxy	F+A
1h	Υ	Pass	No	For	27.7%	431,416,165	72.3%	164,932,068	27.7%	2,114,724	N/A	Proxy	F+A
<b>1</b> i	Υ	Pass	No	For	8.5%	546,056,263	91.5%	50,459,532	8.5%	1,947,162	N/A	Proxy	F+A
1j	Υ	Pass	No	For	4.3%	570,649,200	95.7%	25,909,272	4.3%	1,904,485	N/A	Proxy	F+A
2	Υ	Pass	No	For	12.4%	524,115,176	87.6%	69,072,128	11.5%	5,275,653	0.9%	Proxy	F+A+AB
3	Υ	Pass	No	For	3.5%	624,981,205	96.5%	21,247,950	3.3%	1,658,608	0.3%	Proxy	F+A+AB
4	Υ	Fail	No	Against	7.2%	30,425,554	5.1%	555,091,091	92.8%	12,946,312	2.2%	Proxy	F+A+AB
5	Υ	Pass	No	Against	69.3%	379,308,934	63.4%	183,876,515	30.7%	35,277,508	5.9%	Proxy	F+A+AB

### **NEC Corp.**

**Meeting Date:** 06/22/2022

**Record Date:** 03/31/2022

Country: Japan
Meeting Type: Annual

Primary CUSIP: J48818207

Ticker: 6701

Meeting ID: 1656270

**Primary ISIN:** JP3733000008

Proxy Level: N/A

Primary SEDOL: 6640400

Earliest Cutoff Date: 06/20/2022

Votable Shares: 53,600

Primary Security ID: J48818207

Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 53,600

Additional Policy: Shares Voted: 53,600

						,				,		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Elect Director Niino, Takashi	Mgmt	Yes	For	For		For	For	No	No	No
2.2		Elect Director Morita, Takayuki	Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Matsukura, Hajime	Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Nishihara, Moto	Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Fujikawa, Osamu	Mgmt	Yes	For	For		For	For	No	No	No
2.6		Elect Director Iki, Noriko	Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Ito, Masatoshi	Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Nakamura, Kuniharu	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
2.9		Elect Director Christina Ahmadjian	Mgmt	Yes	For	For		For	For	No	No	No
2.10		Elect Director Oka, Masashi	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Appoint Statutory Auditor Obata, Shinobu	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **NEC Corp.**

	roposal umber	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
	3.2		Appoint Statutory Auditor Okada, Kyoko	Mgmt	Yes	For	For		For	For	No	No	No
B	allot Deta	nils											

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		53,600	53,600
			06/14/2022	06/14/2022	_		
					Total Shares:	53,600	53,600

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Jutstai	ding Shares	oteu.			Kesu	NO AVAIIABLE: Tare	iai						
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	2,155,091	99.8%	2,856	N/A	549	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	2,013,637	93.2%	120,985	N/A	23,878	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	2,064,014	95.6%	70,721	N/A	23,769	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	2,059,206	95.4%	98,751	N/A	549	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	2,059,541	95.4%	98,416	N/A	549	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	2,087,858	96.7%	70,097	N/A	549	N/A	Poll	F+A+AB
2.6	Y	Pass	No	For	N/A	2,107,425	97.6%	50,535	N/A	549	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	2,114,700	97.9%	43,260	N/A	549	N/A	Poll	F+A+AB
2.8	Y	Pass	No	For	N/A	1,946,921	90.2%	211,033	N/A	549	N/A	Poll	F+A+AB
2.9	Y	Pass	No	For	N/A	2,107,868	97.6%	50,089	N/A	549	N/A	Poll	F+A+AB
2.10	Y	Pass	No	For	N/A	2,041,910	94.6%	116,044	N/A	549	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	2,127,172	98.5%	27,920	N/A	3,336	N/A	Poll	F+A+AB
3.2	Y	Pass	No	For	N/A	2,153,012	99.7%	4,867	N/A	549	N/A	Poll	F+A+AB

### **Unibail-Rodamco-Westfield NV**

Discuss Annual Report

-	<b>Date:</b> 06/22/2		Country: Netherlands Meeting Type: Annual			Ticker: N Meeting	/A <b>ID:</b> 1646413	3		Proxy Level: N/A			
Primary 9	Security ID:	N96244103	Primary CUSIP: N962	44103		Primary :	ISIN: NL001	12846356		Primary SED	OOL: N/A		
	itoff Date: 06/1	16/2022	Total Ballots: 1			Voting Pol	•	200		Additional Policy: Shares Voted: 25,000			
Votable Si	nares: 25,000		*Shares on Loan: 0			Shares In	structed: 25,0	000		Shares Voted	: 25,000		
Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Annual Meeting Ager	nda	Mgmt	No								

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Mgmt

No

### **Unibail-Rodamco-Westfield NV**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1		Approve Remuneration Report		Mgmt	Yes	For	For		For	For	No	No	No	
2		Approve Remuneration Policy		Mgmt	Yes	For	For		For	For	No	No	No	
3		Adopt Financial Statements and Statutory Reports	d	Mgmt	Yes	For	For		For	For	No	No	No	
ii		Receive Explanation on Divider	nd Policy	Mgmt	No									
4		Approve Discharge of Manager Board	nent	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote controversies that the manager							d compelling	7				
		Blended Rationale: A vote FOR controversies that the manager				•	-		mpelling					
5		Approve Discharge of Supervisi Board	ory	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote controversies that the manager				•		-	d compelling	7				
		Blended Rationale: A vote FOR controversies that the manager				•	_		mpelling					
6		Reelect Gerard Sieben to Mana Board	gement	Mgmt	Yes	For	For		For	For	No	No	No	
7		Reelect Jean-Louis Laurens to Mgmt Yes For For For No No Supervisory Board  Voting Policy Rationale: A vote FOR the election of Jean-Louis Laurens is warranted because: * The nominee is elected for a												
		Voting Policy Rationale: A vote FOR the election of Jean-Louis Laurens is warranted because: * The nominee is elected for a period not exceeding four years; * The candidate appears to possess the necessary qualifications for board membership; and * There is no known controversy concerning the candidate A vote AGAINST the non-independent nominees (Aline Taireh) is warranted as the future board composition lacks sufficient independent among its members.  Blended Rationale: A vote FOR the election of Jean-Louis Laurens is warranted because: * The nominee is elected for a period												
		Blended Rationale: A vote FOR not exceeding four years; * The is no known controversy concer as the future board composition	e candidate appe ning the candid	ears to posses. ate A vote AG	s the necess AINST the n	ary qualifica on-independ	ntions for boa	nrd membershij	p; and * The	ere				
8		Reelect Aline Taireh to Supervi Board	sory	Mgmt	Yes	For	Against		Against	Against	Yes	No	No	
		Voting Policy Rationale: A vote period not exceeding four years There is no known controversy warranted as the future board of	s; * The candida concerning the	te appears to candidate A vo	possess the ote AGAINST	necessary q the non-ind	nualifications dependent no	for board men	nbership; and					
		Blended Rationale: A vote FOR not exceeding four years; * The is no known controversy concer as the future board composition	e candidate appe ning the candid	ears to posses. ate A vote AG	s the necess AINST the n	ary qualifica on-independ	ntions for boa	nrd membershij	p; and * The	ere				
9		Ratify Deloitte Accountants B.V Auditors	'. as	Mgmt	Yes	For	For		For	For	No	No	No	
10		Authorize Repurchase of Share	S	Mgmt	Yes	For	For		For	For	No	No	No	
11		Approve Cancellation of Shares	;	Mgmt	Yes	For	For		For	For	No	No	No	
Ballot Deta														
Institutional (IA Name, I	A Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted	
CAT, 391692		391692	Confirmed	Auto-Instru	acted	Auto-App	roved			25,00	0	25,0	000	
				05/26/2022	2	05/26/20	22							
									Total Shares:	25,00	00	25,0	00	

### **Unibail-Rodamco-Westfield NV**

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Not Disclosed

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
i	N												
1	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
2	Y	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
3	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
ii	N												
4	Y	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
5	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
7	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
8	Y	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
9	Y	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
10	Y	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
11	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB

### Nissan Shatai Co., Ltd.

Meeting Date: 06/23/2022 **Record Date:** 03/31/2022

Primary Security ID: J57289100

Earliest Cutoff Date: 06/21/2022 Votable Shares: 600

Country: Japan

Meeting Type: Annual Primary CUSIP: J57289100

Total Ballots: 1

\*Shares on Loan: 0

Ticker: 7222

**Meeting ID:** 1658220

Primary ISIN: JP3672000001

Voting Policy: ISS

Shares Instructed: 600

Proxy Level: N/A

Primary SEDOL: 6642901

Additional Policy: Shares Voted: 600

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 6.5	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect Director Ohira, Yasuyuki	Mgmt	Yes	For	For		For	For	No	No	No
4		Appoint Statutory Auditor Aoji, Kiyoshi	Mgmt	Yes	For	For		For	For	No	No	No
5		Appoint Alternate Statutory Auditor Okada, Kazuhiro	Mgmt	Yes	For	For		For	For	No	No	No
6		Amend Articles to Prohibit Appointments of Officials from Nissan Motor Co., Ltd	SH	Yes	Against	Against		Against	For	Yes	Yes	Yes

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## Nissan Shatai Co., Ltd.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Amend Articles to Prohibit the Company from Depositing Funds to Parent Company	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this sharehold amount of cash with its parent company but ear						significant				
		Blended Rationale: A vote FOR this shareholder of cash with its parent company but earns only					eposits a signifi	icant amoun	•			
8		Amend Articles to Require Individual Compensation Disclosure for Representative Directors	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this sharehopromote accountability and help shareholders n				se: * The pro	oposed disclosu	ure would				
		Blended Rationale: A vote FOR this shareholder accountability and help shareholders make better	, ,		because: *	The propose	ed disclosure w	ould promot	e			
9		Amend Articles to Disclose Cost of Equity Capital in Corporate Governance Report	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this sharehomore attention to inefficient capital policy, with						anagement p	ау			
		Blended Rationale: A vote FOR this shareholder more attention to inefficient capital policy, with						ement pay				
10		Initiate Share Repurchase Program	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this shareh cash reserves (i.e., deposits to parent Nissan M address concerns over the balance sheet manage	lotor), share re									
		Blended Rationale: A vote FOR this shareholder reserves (i.e., deposits to parent Nissan Motor), address concerns over the balance sheet manage	share repurci				-		h			

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		600	600
			06/09/2022	06/09/2022			
					Total Shares:	600	600

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	1,297,737	99.9%	1,838	N/A	0	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	1,297,752	99.9%	1,823	N/A	0	N/A	Poll	F+A+AB
3	Y	Pass	No	For	N/A	884,929	68.1%	414,646	N/A	0	N/A	Poll	F+A+AB
4	Y	Pass	No	For	N/A	1,296,892	99.8%	2,683	N/A	0	N/A	Poll	F+A+AB
5	Υ	Pass	No	For	N/A	1,297,554	99.8%	2,021	N/A	0	N/A	Poll	F+A+AB
6	Y	Fail	No	Against	N/A	407,886	31.4%	891,652	N/A	17	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
7	Υ	Fail	No	Against	N/A	447,659	34.4%	851,895	N/A	0	N/A	Poll	F+A+AB
8	Υ	Fail	No	Against	N/A	477,120	36.7%	822,425	N/A	10	N/A	Poll	F+A+AB
9	Υ	Fail	No	Against	N/A	453,478	34.9%	846,067	N/A	10	N/A	Poll	F+A+AB
10	Υ	Fail	No	Against	N/A	468,018	36.0%	831,526	N/A	10	N/A	Poll	F+A+AB

### **ORIX Corp.**

Meeting Date: 06/24/2022

Country: Japan

Ticker: 8591

Proxy Level: N/A

**Record Date:** 03/31/2022

Meeting Type: Annual

Meeting ID: 1656283

Primary SEDOL: 6661144

Primary Security ID: J61933123 Earliest Cutoff Date: 06/22/2022

Primary CUSIP: J61933123

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 158,600

Total Ballots: 1 \*Shares on Loan: 0

Shares Instructed: 158,600

Primary ISIN: JP3200450009

Shares Voted: 158,600

		5.1a. 55 5.1 25a 5			J.141. 05 211	<b>50. 40004.</b> 150	,000		J 05 10104	. 150,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Elect Director Inoue, Makoto	Mgmt	Yes	For	For		For	For	No	No	No
2.2		Elect Director Irie, Shuji	Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Matsuzaki, Satoru	Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Suzuki, Yoshiteru	Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Stan Koyanagi	Mgmt	Yes	For	For		For	For	No	No	No
2.6		Elect Director Michael Cusumano	Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Akiyama, Sakie	Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Watanabe, Hiroshi	Mgmt	Yes	For	For		For	For	No	No	No
2.9		Elect Director Sekine, Aiko	Mgmt	Yes	For	For		For	For	No	No	No
2.10		Elect Director Hodo, Chikatomo	Mgmt	Yes	For	For		For	For	No	No	No
2.11		Elect Director Yanagawa, Noriyuki	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		158,600	158,600
			06/15/2022	06/15/2022	_		
					Total Shares:	158,600	158,600

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	9,822,505	99.8%	11,220	N/A	0	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	9,537,590	96.9%	298,185	N/A	0	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2.2	Y	Pass	No	For	N/A	9,734,663	98.9%	77,498	N/A	23,617	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	9,746,775	99.0%	65,386	N/A	23,617	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	9,747,019	99.0%	65,142	N/A	23,617	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	9,747,074	99.0%	65,087	N/A	23,617	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	9,797,725	99.6%	38,055	N/A	0	N/A	Poll	F+A+AB
2.7	Y	Pass	No	For	N/A	9,577,094	97.3%	199,387	N/A	59,295	N/A	Poll	F+A+AB
2.8	Y	Pass	No	For	N/A	9,668,746	98.3%	167,032	N/A	0	N/A	Poll	F+A+AB
2.9	Y	Pass	No	For	N/A	9,714,140	98.7%	68,008	N/A	53,632	N/A	Poll	F+A+AB
2.10	Υ	Pass	No	For	N/A	9,797,674	99.6%	38,106	N/A	0	N/A	Poll	F+A+AB
2.11	Υ	Pass	No	For	N/A	9,819,221	99.8%	16,558	N/A	0	N/A	Poll	F+A+AB

### **ROHM Co., Ltd.**

**Meeting Date:** 06/24/2022 **Record Date:** 03/31/2022

Earliest Cutoff Date: 06/22/2022

Votable Shares: 14,900

Primary Security ID: J65328122

Country: Japan
Meeting Type: Annual

Primary CUSIP: J65328122

Total Ballots: 1

\*Shares on Loan: 0

Ticker: 6963

Voting Policy: ISS

**Meeting ID:** 1655644

Shares Instructed: 14,900

**Primary ISIN:** JP3982800009

Primary SEDOL: 6747204

Proxy Level: N/A

Additional Policy:

Shares Voted: 14,900

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 110	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Clarify Director Authority on Shareholder Meetings - Disclose Shareholder Meeting Materials on Internet - Allow Virtual Only Shareholder Meetings - Amend Provisions on Director Titles - Clarify Director Authority on Board Meetings	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Matsumoto, Isao	Mgmt	Yes	For	For		For	For	No	No	No
3.2		Elect Director Azuma, Katsumi	Mgmt	Yes	For	For		For	For	No	No	No
3.3		Elect Director Ino, Kazuhide	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Tateishi, Tetsuo	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Yamamoto, Koji	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Nagumo, Tadanobu	Mgmt	Yes	For	For		For	For	No	No	No
3.7		Elect Director Peter Kenevan	Mgmt	Yes	For	For		For	For	No	No	No
3.8		Elect Director Muramatsu, Kuniko	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Restricted Stock Plan	Mgmt	Yes	For	For		For	For	No	No	No
Rallot Det	aile											

**Ballot Details** 

Institutional Account Detail Custodian
(IA Name, IA Number) Account Number Ballot Status Instructed Approved Ballot Voting Status Votable Shares Shares Voted

### **ROHM Co., Ltd.**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		14,900	14,900
			06/20/2022	06/20/2022			
					Total Shares:	14,900	14,900

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	805,525	97.2%	23,235	N/A	11	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	802,454	96.8%	26,295	N/A	11	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	805,945	97.2%	22,803	N/A	13	N/A	Poll	F+A+AB
3.2	Y	Pass	No	For	N/A	822,471	99.2%	5,880	N/A	416	N/A	Poll	F+A+AB
3.3	Y	Pass	No	For	N/A	822,484	99.2%	5,867	N/A	416	N/A	Poll	F+A+AB
3.4	Y	Pass	No	For	N/A	820,412	99.0%	7,937	N/A	416	N/A	Poll	F+A+AB
3.5	Y	Pass	No	For	N/A	822,485	99.2%	5,866	N/A	416	N/A	Poll	F+A+AB
3.6	Y	Pass	No	For	N/A	788,622	95.1%	39,722	N/A	416	N/A	Poll	F+A+AB
3.7	Y	Pass	No	For	N/A	828,000	99.9%	755	N/A	13	N/A	Poll	F+A+AB
3.8	Y	Pass	No	For	N/A	823,685	99.4%	5,069	N/A	13	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	824,362	99.5%	4,408	N/A	0	N/A	Poll	F+A+AB

#### SoftBank Group Corp.

Meeting Date: 06/24/2022 Record Date: 03/31/2022

Primary Security ID: J7596P109

Meeting Type: Annual

Country: Japan

Primary CUSIP: J7596P109

Earliest Cutoff Date: 06/22/2022 Total Ballots: 1 Votable Shares: 7,300 \*Shares on Loan: 0 Ticker: 9984

Meeting ID: 1657533

**Primary ISIN:** JP3436100006

Voting Policy: ISS

Shares Instructed: 7,300

Proxy Level: N/A

Primary SEDOL: 6770620

**Additional Policy:** Shares Voted: 7,300

Additional Voting Vote Vote Vote Significant Votable Mgmt Policy Against Against Proposal Policy Vote Against ISS ISS Rec Number **Proposal Text** Proponent Proposal Instruction Policy Vote Rec Rec Rec Mgmt 1 Approve Allocation of Income, with a Yes For For For For No No No Mgmt Final Dividend of JPY 22 2 Amend Articles to Disclose For For Mgmt Yes For For No Nο No Shareholder Meeting Materials on Internet 3.1 Elect Director Son, Masayoshi Mgmt Yes For For For For No No No Elect Director Goto, Yoshimitsu 3.2 Mgmt Yes For For For For No No No Elect Director Miyauchi, Ken 3.3 Mgmt Yes For For For For No No No Elect Director Kawabe, Kentaro Mgmt 3.4 Yes For For For For No No No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **SoftBank Group Corp.**

roposal lumber	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3.5		Elect Director Iijima, Masami	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Matsuo, Yutaka	Mgmt	Yes	For	For		For	For	No	No	No
3.7		Elect Director Erikawa, Keiko	Mgmt	Yes	For	For		For	For	No	No	No
3.8		Elect Director Kenneth A. Siegel	Mgmt	Yes	For	For		For	For	No	No	No
3.9		Elect Director David Chao	Mgmt	Yes	For	For		For	For	No	No	No

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		7,300	7,300
			06/20/2022	06/20/2022			

Total Shares: 7,300 7,300

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	14,644,920	99.8%	21,903	N/A	684	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	13,375,463	99.9%	8,489	N/A	1,156	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	13,261,586	97.7%	304,724	N/A	11,901	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	13,496,191	99.4%	67,438	N/A	14,587	N/A	Poll	F+A+AE
3.3	Υ	Pass	No	For	N/A	13,451,344	99.1%	112,282	N/A	14,587	N/A	Poll	F+A+AE
3.4	Υ	Pass	No	For	N/A	13,431,517	98.9%	132,109	N/A	14,587	N/A	Poll	F+A+AE
3.5	Υ	Pass	No	For	N/A	13,471,771	99.2%	105,230	N/A	1,213	N/A	Poll	F+A+AE
3.6	Υ	Pass	No	For	N/A	13,540,962	99.7%	36,044	N/A	1,213	N/A	Poll	F+A+AE
3.7	Υ	Pass	No	For	N/A	13,539,288	99.7%	37,718	N/A	1,213	N/A	Poll	F+A+AE
3.8	Y	Pass	No	For	N/A	10,164,871	74.9%	3,412,123	N/A	1,213	N/A	Poll	F+A+A
3.9	Y	Pass	No	For	N/A	13,276,997	97.8%	300,001	N/A	1,213	N/A	Poll	F+A+AB

### **Fujitsu Ltd.**

Meeting Date: 06/27/2022 Country: Japan Ticker: 6702 Proxy Level: N/A

 Record Date: 03/31/2022
 Meeting Type: Annual
 Meeting ID: 1657566

 Primary Security ID: 315708159
 Primary CUSIP: 315708159
 Primary ISIN: 3P3818000006
 Primary SEDOL: 6356945

## Fujitsu Ltd.

 Earliest Cutoff Date: 06/23/2022
 Total Ballots: 1
 Voting Policy: ISS
 Additional Policy:

 Votable Shares: 4,000
 \*Shares on Loan: 0
 Shares Instructed: 4,000
 Shares Voted: 4,000

06/14/2022

•	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Articles to Change Co Name - Disclose Shareholder Materials on Internet		Mgmt	Yes	For	For		For	For	No	No	No
2.1		Elect Director Tokita, Takahit	o	Mgmt	Yes	For	For		For	For	No	No	No
2.2		Elect Director Furuta, Hidenor	i	Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Isobe, Takeshi		Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Yamamoto, Ma	ect Director Yamamoto, Masami			For	For		For	For	No	No	No
2.5		Elect Director Mukai, Chiaki	lect Director Mukai, Chiaki			For	For		For	For	No	No	No
2.6		Elect Director Abe, Atsushi		Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Kojo, Yoshiko		Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Scott Callon		Mgmt	Yes	For	For		For	For	No	No	No
2.9		Elect Director Sasae, Kenichir	0	Mgmt	Yes	For	For		For	For	No	No	No
3		Appoint Statutory Auditor Cat OConnell	herine	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Performance Share F	Plan	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detai	ils												
Institutional A	Account Detail Number)	Custodian Account Number	Instructe	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted	
CAT, 391692		391692	391692 Confirmed			jbisset				4,000	)	4,0	00

06/14/2022

**Total Shares:** 

4,000

4,000

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	1,657,113	99.9%	591	N/A	8	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	1,597,222	96.2%	49,698	N/A	10,803	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	1,610,945	97.1%	35,352	N/A	11,433	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	1,620,184	97.6%	26,113	N/A	11,432	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	1,624,356	97.9%	21,942	N/A	11,432	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	1,640,746	98.9%	6,182	N/A	10,803	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	1,640,536	98.9%	6,392	N/A	10,803	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	1,640,953	98.9%	5,975	N/A	10,803	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	1,567,901	94.5%	79,017	N/A	10,803	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2.9	Y	Pass	No	For	N/A	1,629,371	98.2%	17,557	N/A	10,803	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	1,645,941	99.2%	999	N/A	10,803	N/A	Poll	F+A+AB
4	Y	Pass	No	For	N/A	1.650.701	99.5%	3.997	N/A	3.059	N/A	Poll	F+A+AB

### **Tokio Marine Holdings, Inc.**

Meeting Date: 06/27/2022

**Record Date:** 03/31/2022

Primary Security ID: J86298106

Earliest Cutoff Date: 06/23/2022

Votable Shares: 33,100

Country: Japan

Meeting Type: Annual

**Primary CUSIP:** J86298106

Total Ballots: 1

\*Shares on Loan: 0

Ticker: 8766

Meeting ID: 1655188

**Primary ISIN:** JP3910660004

Voting Policy: ISS Shares Instructed: 33,100 Proxy Level: N/A

Primary SEDOL: 6513126

Additional Policy:

Shares Voted: 33,100

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 135	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Amend Business Lines - Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Nagano, Tsuyoshi	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this of company's capital misallocation.	director nomine	ee is warrant	ted because.	* Top mana	ngement is resp	oonsible for	the		-	
		Blended Rationale: A vote AGAINST this direct company's capital misallocation.	or nominee is	warranted be	ecause: * To	op managem	ent is responsi	ble for the				
3.2		Elect Director Komiya, Satoru	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this director nominee is warranted because: * Top management is responsible for the company's capital misallocation.  Blended Rationale: A vote AGAINST this director nominee is warranted because: * Top management is responsible for the		the		-						
		company's capital misallocation.					-					
3.3		Elect Director Harashima, Akira	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Okada, Kenji	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Moriwaki, Yoichi	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Hirose, Shinichi	Mgmt	Yes	For	For		For	For	No	No	No
3.7		Elect Director Mimura, Akio	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
3.8		Elect Director Egawa, Masako	Mgmt	Yes	For	For		For	For	No	No	No
3.9		Elect Director Mitachi, Takashi	Mgmt	Yes	For	For		For	For	No	No	No
3.10		Elect Director Endo, Nobuhiro	Mgmt	Yes	For	For		For	For	No	No	No
3.11		Elect Director Katanozaka, Shinya	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
3.12		Elect Director Osono, Emi	Mgmt	Yes	For	For		For	For	No	No	No
3.13		Elect Director Ishii, Yoshinori	Mgmt	Yes	For	For		For	For	No	No	No
3.14		Elect Director Wada, Kiyoshi	Mgmt	Yes	For	For		For	For	No	No	No
4.1		Appoint Statutory Auditor Wani, Akihiro	Mgmt	Yes	For	For		For	For	No	No	No
4.2		Appoint Statutory Auditor Otsuki, Nana	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Tokio Marine Holdings, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4.3		Appoint Statutory Auditor Yuasa, Takayuki	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		33,100	33,100
			06/13/2022	06/13/2022	_		
					Total Shares:	33,100	33,100

#### **Meeting Results**

Outstanding Shares Voted: 6,776,819

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	5,636,887	99.9%	1,195	N/A	261	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	5,635,791	99.8%	1,557	N/A	995	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	4,790,897	84.9%	846,063	N/A	1,173	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	4,713,852	83.5%	923,287	N/A	995	N/A	Poll	F+A+AB
3.3	Y	Pass	No	For	N/A	5,360,272	95.0%	263,395	N/A	14,483	N/A	Poll	F+A+AB
3.4	Y	Pass	No	For	N/A	5,412,493	95.9%	211,174	N/A	14,483	N/A	Poll	F+A+AB
3.5	Υ	Pass	No	For	N/A	5,433,478	96.3%	190,192	N/A	14,483	N/A	Poll	F+A+AB
3.6	Y	Pass	No	For	N/A	5,433,526	96.3%	190,144	N/A	14,483	N/A	Poll	F+A+AB
3.7	Υ	Pass	No	For	N/A	4,411,950	78.2%	1,225,204	N/A	995	N/A	Poll	F+A+AB
3.8	Υ	Pass	No	For	N/A	5,614,668	99.5%	22,495	N/A	995	N/A	Poll	F+A+AB
3.9	Υ	Pass	No	For	N/A	5,595,285	99.1%	40,430	N/A	2,440	N/A	Poll	F+A+AB
3.10	Υ	Pass	No	For	N/A	5,592,652	99.1%	43,063	N/A	2,440	N/A	Poll	F+A+AB
3.11	Υ	Pass	No	For	N/A	5,147,755	91.2%	489,220	N/A	1,173	N/A	Poll	F+A+AB
3.12	Y	Pass	No	For	N/A	5,614,512	99.5%	22,651	N/A	995	N/A	Poll	F+A+AB
3.13	Y	Pass	No	For	N/A	5,431,684	96.2%	191,986	N/A	14,483	N/A	Poll	F+A+AB
3.14	Υ	Pass	No	For	N/A	5,431,726	96.2%	191,944	N/A	14,483	N/A	Poll	F+A+AB
4.1	Y	Pass	No	For	N/A	5,631,811	99.8%	5,377	N/A	995	N/A	Poll	F+A+AB
4.2	Υ	Pass	No	For	N/A	5,631,286	99.8%	5,902	N/A	995	N/A	Poll	F+A+AB
4.3	Υ	Pass	No	For	N/A	5,489,666	97.3%	124,929	N/A	23,586	N/A	Poll	F+A+AB

### Fuji Electric Co., Ltd.

Meeting Date: 06/28/2022 **Record Date:** 03/31/2022

Country: Japan

Ticker: 6504 **Meeting ID:** 1660785 Proxy Level: N/A

Primary Security ID: J14112106

Meeting Type: Annual Primary CUSIP: J14112106

Primary ISIN: JP3820000002

Primary SEDOL: 6356365

Earliest Cutoff Date: 06/26/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 37,600

\*Shares on Loan: 0

Shares Instructed: 37,600

Shares Voted: 37,600

votable Silares: 37,000	"Silai e	S OII LOAII: 0			Silares II	istructeu: 37,	000		Silares voteu	37,000		
Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1	Amend Articles to Clarify Dire Authority on Shareholder Me Disclose Shareholder Meeting on Internet	etings -	Mgmt	Yes	For	For		For	For	No	No	No
2.1	Elect Director Kitazawa, Mich	ihiro	Mgmt	Yes	For	For		For	For	No	No	No
2.2	Elect Director Kondo, Shiro		Mgmt	Yes	For	For		For	For	No	No	No
2.3	Elect Director Abe, Michio		Mgmt	Yes	For	For		For	For	No	No	No
2.4	Elect Director Arai, Junichi	•		Yes	For	For		For	For	No	No	No
2.5	Elect Director Hosen, Toru	elect Director Hosen, Toru			For	For		For	For	No	No	No
2.6	Elect Director Tetsutani, Hiro	Elect Director Tetsutani, Hiroshi			For	For		For	For	No	No	No
2.7	Elect Director Tamba, Toshih	iito	Mgmt	Yes	For	For		For	For	No	No	No
2.8	Elect Director Tachikawa, Na	omi	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
2.9	Elect Director Hayashi, Yoshi	tsugu	Mgmt	Yes	For	For		For	For	No	No	No
2.10	Elect Director Tominaga, Yuk	cari	Mgmt	Yes	For	For		For	For	No	No	No
3	Appoint Statutory Auditor Ok Yoshio	uno,	Mgmt	Yes	For	For		For	For	No	No	No
4	Approve Compensation Ceilir Directors	Mgmt	Yes	For	For		For	For	No	No	No	
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	d	Approv	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692	391692 Confirmed		jbisset		jbisset				37,60	00	37,0	500

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

06/23/2022

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	1,258,173	99.8%	374	N/A	0	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	1,125,524	89.3%	132,422	N/A	603	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	1,107,497	87.9%	150,507	N/A	544	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	1,240,182	98.4%	18,229	N/A	141	N/A	Poll	F+A+AB
2.4	Y	Pass	No	For	N/A	1,240,232	98.4%	18,179	N/A	141	N/A	Poll	F+A+AB

06/23/2022

Total Shares:

37,600

37,600

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2.5	Υ	Pass	No	For	N/A	1,241,612	98.5%	16,799	N/A	141	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	1,241,613	98.5%	16,798	N/A	141	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	1,220,750	96.9%	37,660	N/A	141	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	1,198,366	95.1%	60,042	N/A	141	N/A	Poll	F+A+AB
2.9	Y	Pass	No	For	N/A	1,254,360	99.5%	4,193	N/A	0	N/A	Poll	F+A+AB
2.10	Υ	Pass	No	For	N/A	1,246,514	98.9%	12,040	N/A	0	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	1,192,706	94.7%	62,895	N/A	2,948	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	1,256,169	99.7%	2,254	N/A	131	N/A	Poll	F+A+AB

### **Sony Group Corp.**

Meeting Date: 06/28/2022 Record Date: 03/31/2022

Primary Security ID: J76379106

Country: Japan

Meeting Type: Annual
Primary CUSIP: J76379106

Ticker: 6758

Voting Policy: ISS

**Meeting ID:** 1653690

Primary ISIN: JP3435000009

Proxy Level: N/A

Primary SEDOL: 6821506

**Earliest Cutoff Date:** 06/26/2022 **Votable Shares:** 7,800 Total Ballots: 1
\*Shares on Loan: 0

Shares Instructed: 7,800

Additional Policy: Shares Voted: 7,800

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Elect Director Yoshida, Kenichiro	Mgmt	Yes	For	For		For	For	No	No	No
2.2		Elect Director Totoki, Hiroki	Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Sumi, Shuzo	Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Tim Schaaff	Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Oka, Toshiko	Mgmt	Yes	For	For		For	For	No	No	No
2.6		Elect Director Akiyama, Sakie	Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Wendy Becker	Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Hatanaka, Yoshihiko	Mgmt	Yes	For	For		For	For	No	No	No
2.9		Elect Director Kishigami, Keiko	Mgmt	Yes	For	For		For	For	No	No	No
2.10		Elect Director Joseph A. Kraft Jr	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Stock Option Plan	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset	jbisset		7,800
			06/14/2022	06/14/2022			
					Total Shares:	7,800	7,800

#### **Meeting Results**

Outstanding Shares Voted: 12,351,499

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	10,284,261	99.0%	5,895	N/A	2,929	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	10,021,207	97.0%	205,315	N/A	66,818	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	10,023,878	97.0%	206,830	N/A	62,631	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	10,153,844	98.0%	136,924	N/A	2,572	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	10,155,107	98.0%	135,676	N/A	2,557	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	10,074,772	97.0%	216,007	N/A	2,556	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	10,112,617	98.0%	178,170	N/A	2,549	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	10,091,197	98.0%	199,598	N/A	2,540	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	10,162,751	98.0%	128,033	N/A	2,556	N/A	Poll	F+A+AB
2.9	Υ	Pass	No	For	N/A	10,134,063	98.0%	156,717	N/A	2,560	N/A	Poll	F+A+AB
2.10	Υ	Pass	No	For	N/A	10,134,099	98.0%	156,677	N/A	2,564	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	9,634,919	93.0%	657,182	N/A	1,233	N/A	Poll	F+A+AB

#### **TIM SA**

Meeting Date: 06/28/2022
Record Date: 05/26/2022

Primary Security ID: P9153B104

Country: Brazil

Meeting Type: Extraordinary

Shareholders

Primary CUSIP: P9153B104

Ticker: TIMS3

Meeting ID: 1655562

Primary ISIN: BRTIMSACNOR5

Proxy Level: N/A

Primary SEDOL: BN71RB6

Earliest Cutoff Date: 06/23/2022

Votable Shares: 50,000

Total Ballots: 1

Approve Independent Firm's Appraisal

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 50,000

Additional Policy: Shares Voted: 50,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Meeting for ADR Holders	Mgmt	No								
1		Ratify Apsis Consultoria Empresarial Ltda. as Independent Firm to Appraise Proposed Transaction	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item the acquisition; * The all-cash offer considerate provided a sound strategic rationale for the tra	ion poses no ri					_	of			
		Blended Rationale: A vote FOR these items is w acquisition; * The all-cash offer consideration p provided a sound strategic rationale for the tra	poses no risk d				5 5		e			

For

For

For

For

No

No

No

Voting Policy Rationale: A vote FOR these items is warranted because: \* There are no known concerns regarding the terms of the acquisition; \* The all-cash offer consideration poses no risk of dilution to current shareholders; and \* The company has provided a sound strategic rationale for the transaction.

Yes

Mgmt

Blended Rationale: A vote FOR these items is warranted because: \* There are no known concerns regarding the terms of the acquisition; \* The all-cash offer consideration poses no risk of dilution to current shareholders; and \* The company has provided a sound strategic rationale for the transaction.

#### **TIM SA**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3	Ratify Acquisition of All Shares Cozani RJ Infraestrutura e Red Telecomunicacoes S.A. (Cozan	les de	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR these items is warranted because: * There are no known concerns regarding the terms of the acquisition; * The all-cash offer consideration poses no risk of dilution to current shareholders; and * The company has provided a sound strategic rationale for the transaction.  Blended Rationale: A vote FOR these items is warranted because: * There are no known concerns regarding the terms of the											
	Blended Rationale: A vote FOR acquisition; * The all-cash offer provided a sound strategic ratio	r consideration p	oses no risk o						e			
4	Ratify Directors		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	I	Approv	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692	000391692	Confirmed	jbisset		jbisset				50,00	0	50,0	000
			06/13/2022		06/13/20	)22						
								Total Shares	50,00	00	50,0	000

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.0%	2,049,890,813	100.0%	32,110	0.0%	79,440	0.0%	Poll	F+A+AB
2	Υ	Pass	No	For	0.0%	2,049,208,313	100.0%	27,010	0.0%	767,040	0.0%	Poll	F+A+AB
3	Υ	Pass	No	For	0.0%	2,049,213,578	100.0%	27,980	0.0%	760,805	0.0%	Poll	F+A+AB
4	Y	Pass	No	For	0.6%	2,036,548,500	99.3%	8,451,495	0.4%	5,002,368	0.2%	Poll	F+A+AB

### Mitsubishi Electric Corp.

Meeting Date: 06/29/2022 Ticker: 6503 Country: Japan Proxy Level: N/A **Meeting ID:** 1658206 **Record Date:** 03/31/2022 Meeting Type: Annual Primary Security ID: J43873116 Primary CUSIP: J43873116 **Primary ISIN:** JP3902400005 Primary SEDOL: 6597045 Earliest Cutoff Date: 06/27/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy: Votable Shares: 108,000 \*Shares on Loan: 0 Shares Instructed: 108,000 Shares Voted: 108,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Elect Director Yabunaka, Mitoji	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
2.2		Elect Director Obayashi, Hiroshi	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
2.3		Elect Director Watanabe, Kazunori	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## Mitsubishi Electric Corp.

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2.4		Elect Director Koide, Hiroko		Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Oyamada, Takas	shi	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote majority independent and this					* The board	l after this mee	eting will no	t be			
		Blended Rationale: A vote AGA majority independent and this					he board afte	r this meeting	will not be				
2.6		Elect Director Kosaka, Tatsuro		Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Yanagi, Hiroyuki		Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Uruma, Kei		Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this nominee is warranted because: * President Uruma should be ultimately held responsible for the test data falsification incidents.											
		Blended Rationale: A vote AGA responsible for the test data fa			ed because:	* President	Uruma should	d be ultimately	held				
2.9		Elect Director Kawagoishi, Tad	ashi	Mgmt	Yes	For	For		For	For	No	No	No
2.10		Elect Director Masuda, Kuniaki		Mgmt	Yes	For	For		For	For	No	No	No
2.11		Elect Director Nagasawa, Jun		Mgmt	Yes	For	For		For	For	No	No	No
2.12		Elect Director Kaga, Kunihiko		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutiona (IA Name, I	l Account Detail A Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692		391692	Confirmed	jbisset		jbisset				108,0	00	108,	.000
				06/21/2022	2	06/21/20	122						

Total Shares:

108,000

108,000

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	18,265,182	99.8%	11,407	N/A	475	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	14,904,190	81.4%	3,015,483	N/A	357,378	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	14,491,707	79.2%	3,427,969	N/A	357,378	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	15,020,860	82.1%	2,911,295	N/A	344,907	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	15,688,428	85.7%	2,190,954	N/A	397,675	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	11,747,787	64.2%	6,184,356	N/A	344,907	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	18,059,917	98.7%	215,709	N/A	1,436	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	17,445,833	95.3%	829,788	N/A	1,436	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	10,702,265	58.5%	7,059,954	N/A	514,828	N/A	Poll	F+A+AB
2.9	Υ	Pass	No	For	N/A	14,723,193	80.4%	3,208,956	N/A	344,907	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2.10	Y	Pass	No	For	N/A	15,765,404	86.1%	2,166,743	N/A	344,907	N/A	Poll	F+A+AB
2.11	Υ	Pass	No	For	N/A	17,357,417	94.8%	918,204	N/A	1,436	N/A	Poll	F+A+AB
2.12	Y	Pass	No	For	N/A	18.108.785	98.9%	166.841	N/A	1,436	N/A	Poll	F+A+AB

### **Sumitomo Mitsui Financial Group, Inc.**

Meeting Date: 06/29/2022

Country: Japan

Ticker: 8316

Proxy Level: N/A

**Record Date:** 03/31/2022

Meeting Type: Annual

Meeting ID: 1659015

**Primary Security ID:** J7771X109

Primary CUSIP: J7771X109

**Primary ISIN:** JP3890350006

Primary SEDOL: 6563024

Earliest Cutoff Date: 06/27/2022 Votable Shares: 58,100

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS Shares Instructed: 58,100 Additional Policy: Shares Voted: 58,100

nares: 58,100	*Snares on Loan: 0			Shares In	structea: 58,1	100		Shares Voted	: 58,100		
Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
	Approve Allocation of Income, with a Final Dividend of JPY 105	Mgmt	Yes	For	For		For	For	No	No	No
	Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
	Elect Director Kunibe, Takeshi	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
	Voting Policy Rationale: A vote AGAINST this company's capital misallocation.	director nomine	ee is warrani	ted because:	* Top mana	ngement is resp	oonsible for	the			
	Blended Rationale: A vote AGAINST this direct company's capital misallocation.	ctor nominee is	warranted b	ecause: * To	op manageme	ent is responsi	ble for the				
	Elect Director Ota, Jun	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
	Voting Policy Rationale: A vote AGAINST this company's capital misallocation.	director nomine	ee is warrant	ted because:	* * Top mana	ngement is res <sub>l</sub>	oonsible for	the			
	Blended Rationale: A vote AGAINST this direct company's capital misallocation.	ctor nominee is	warranted b	ecause: * To	op manageme	ent is responsi	ble for the				
	Elect Director Takashima, Makoto	Mgmt	Yes	For	For		For	For	No	No	No
	Elect Director Nakashima, Toru	Mgmt	Yes	For	For		For	For	No	No	No
	Elect Director Kudo, Teiko	Mgmt	Yes	For	For		For	For	No	No	No
	Elect Director Inoue, Atsuhiko	Mgmt	Yes	For	For		For	For	No	No	No
	Elect Director Isshiki, Toshihiro	Mgmt	Yes	For	For		For	For	No	No	No
	Elect Director Kawasaki, Yasuyuki	Mgmt	Yes	For	For		For	For	No	No	No
	Elect Director Matsumoto, Masayuki	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
					* * The board	l after this med	eting will not	t be			
					he board afte	er this meeting	will not be				
	Elect Director Arthur M. Mitchell	Mgmt	Yes	For	For		For	For	No	No	No
	Elect Director Yamazaki, Shozo	Mgmt	Yes	For	For		For	For	No	No	No
	Elect Director Kono, Masaharu	Mgmt	Yes	For	For		For	For	No	No	No
	Elect Director Tsutsui, Yoshinobu	Mgmt	Yes	For	For		For	For	No	No	No
	Elect Director Shimbo, Katsuyoshi	Mgmt	Yes	For	For		For	For	No	No	No
	-	Approve Allocation of Income, with a Final Dividend of JPY 105  Amend Articles to Disclose Shareholder Meeting Materials on Internet  Elect Director Kunibe, Takeshi  Voting Policy Rationale: A vote AGAINST this company's capital misallocation.  Blended Rationale: A vote AGAINST this directory and the company's capital misallocation.  Elect Director Ota, Jun  Voting Policy Rationale: A vote AGAINST this directory and the company's capital misallocation.  Blended Rationale: A vote AGAINST this directory and the company's capital misallocation.  Elect Director Takashima, Makoto  Elect Director Nakashima, Toru  Elect Director Kudo, Teiko  Elect Director Inoue, Atsuhiko  Elect Director Inoue, Atsuhiko  Elect Director Kawasaki, Yasuyuki  Elect Director Matsumoto, Masayuki  Voting Policy Rationale: A vote AGAINST this majority independent and this outside directory indep	Approve Allocation of Income, with a Final Dividend of JPY 105  Amend Articles to Disclose Mgmt  Amend Articles to Disclose Mgmt  Shareholder Meeting Materials on Internet  Elect Director Kunibe, Takeshi Mgmt  Voting Policy Rationale: A vote AGAINST this director nomine company's capital misallocation.  Blended Rationale: A vote AGAINST this director nomine is company's capital misallocation.  Elect Director Ota, Jun Mgmt  Voting Policy Rationale: A vote AGAINST this director nomine is company's capital misallocation.  Blended Rationale: A vote AGAINST this director nomine is company's capital misallocation.  Blended Rationale: A vote AGAINST this director nomine is company's capital misallocation.  Elect Director Takashima, Makoto Mgmt  Elect Director Nakashima, Toru Mgmt  Elect Director Kudo, Teiko Mgmt  Elect Director Kudo, Teiko Mgmt  Elect Director Isshiki, Toshihiro Mgmt  Elect Director Kawasaki, Yasuyuki Mgmt  Elect Director Kawasaki, Yasuyuki Mgmt  Elect Director Matsumoto, Masayuki Mgmt  Elect Director Matsumoto, Masayuki Mgmt  Elect Director Arthur M. Witchell Mgmt  Elect Director Arthur M. Mitchell Mgmt  Elect Director Yamazaki, Shozo Mgmt  Elect Director Tsutsui, Yoshinobu Mgmt	Significant Vote Proposal Text Proposal Text Proposal Mgmt Yes  Approve Allocation of Income, with a Final Dividend of JPY 105  Amend Articles to Disclose Mgmt Yes Shareholder Meeting Materials on Internet  Elect Director Kunibe, Takeshi Mgmt Yes  Voting Policy Rationale: A vote AGAINST this director nominee is warrant company's capital misallocation.  Blended Rationale: A vote AGAINST this director nominee is warranted by company's capital misallocation.  Elect Director Ota, Jun Mgmt Yes  Voting Policy Rationale: A vote AGAINST this director nominee is warranted by company's capital misallocation.  Blended Rationale: A vote AGAINST this director nominee is warranted by company's capital misallocation.  Elect Director Takashima, Makoto Mgmt Yes  Elect Director Takashima, Toru Mgmt Yes  Elect Director Kudo, Teiko Mgmt Yes  Elect Director Inoue, Atsuhiko Mgmt Yes  Elect Director Inoue, Atsuhiko Mgmt Yes  Elect Director Inoue, Atsuhiko Mgmt Yes  Elect Director Matsumoto, Masayuki Mgmt Yes  Elect Director Matsumoto, Masayuki Mgmt Yes  Elect Director Matsumoto, Masayuki Mgmt Yes  Elect Director Arthur M. was AGAINST this director nominee is warranted by majority independent and this outside director nominee is warranted by majority independent and this outside director nominee is warranted by majority independent and this outside director nominee is warranted by majority independent and this outside director nominee is warranted by majority independent and this outside director nominee is warranted by majority independent and this outside director nominee is warranted by majority independent and this outside director nominee is warranted by majority independent and this outside director nominee is warranted by majority independent and this outside director nominee is warranted by majority independent and this outside director nominee is warranted by majority independent and this outside director nominee is warranted by majority independent and this outside director nominee is warranted by majority independent and th	Approve Allocation of Income, with a Final Dividend of JPY 105  Amend Articles to Disclose   Mgmt   Yes   For    Amend Articles to Disclose   Mgmt   Yes   For    Amend Articles to Disclose   Mgmt   Yes   For    Voting Policy Rationale: A vote AGAINST this director nominee is warranted because: * To company's capital misallocation.  Blended Rationale: A vote AGAINST this director nominee is warranted because: * To company's capital misallocation.  Blended Rationale: A vote AGAINST this director nominee is warranted because: * To company's capital misallocation.  Blended Rationale: A vote AGAINST this director nominee is warranted because: * To company's capital misallocation.  Blended Rationale: A vote AGAINST this director nominee is warranted because: * To company's capital misallocation.  Blended Rationale: A vote AGAINST this director nominee is warranted because: * To company's capital misallocation.  Elect Director Takashima, Makoto   Mgmt   Yes   For    Elect Director Nakashima, Toru   Mgmt   Yes   For    Elect Director Inoue, Atsuhiko   Mgmt   Yes   For    Elect Director Inoue, Atsuhiko   Mgmt   Yes   For    Elect Director Kawasaki, Yasuyuki   Mgmt   Yes   For    Voting Policy Rationale: A vote AGAINST this director nominee is warranted because: * To majority independent and this outside director nominee lacks independence.  Blended Rationale: A vote AGAINST this director nominee is warranted because: * To majority independent and this outside director nominee lacks independence.  Elect Director Arthur M. Mitchell   Mgmt   Yes   For    Elect Director Armazaki, Shozo   Mgmt   Yes   For    Elect Director For Instali, Yoshinobu   Mgmt   Yes   For    Elect Director For Instali, Yoshinobu   Mgmt   Yes   For	Approve Allocation of Income, with a Final Dividend of JPY 105  Amend Articles to Disclose Mgmt Yes For For Shareholder Meeting Materials on Internet  Elect Director Kunibe, Takeshi Mgmt Yes For Against  Voting Policy Rationale: A vote AGAINST this director nominee is warranted because: * Top managem company's capital misallocation.  Elect Director Ota, Jun Mgmt Yes For Against  Voting Policy Rationale: A vote AGAINST this director nominee is warranted because: * Top managem company's capital misallocation.  Elect Director Ota, Jun Mgmt Yes For Against  Voting Policy Rationale: A vote AGAINST this director nominee is warranted because: * Top managem company's capital misallocation.  Blended Rationale: A vote AGAINST this director nominee is warranted because: * Top managem company's capital misallocation.  Blended Rationale: A vote AGAINST this director nominee is warranted because: * Top managem company's capital misallocation.  Elect Director Takashima, Makoto Mgmt Yes For For Elect Director Nakashima, Makoto Mgmt Yes For For Elect Director Inoue, Atsuhiko Mgmt Yes For For Elect Director Inoue, Atsuhiko Mgmt Yes For For Elect Director Kawasaki, Yasuyuki Mgmt Yes For For Elect Director Matsumoto, Masayuki Mgmt Yes For For Elect Director Arthur M. Mitchell Mgmt Yes For For Elect Director Arthur M. Mitchell Mgmt Yes For For Elect Director Yamazaki, Shozo Mgmt Yes For For Elect Director Kono, Masaharu Mgmt Yes For For For Elect Director Kono, Masaharu Mgmt Yes For For For Elect Director Kono, Masaharu Mgmt Yes For For For Elect Director Yamazaki, Shozo Mgmt Yes For For For Elect Director Yamazaki, Shozo Mgmt Yes For For For Elect Director Yamazaki, Shozo Mgmt Yes For For For Elect Director Yamazaki, Shozo Mgmt Yes For For For For Elect Director Yamazaki, Shozo Mgmt Yes For For For For Elect Director Yamazaki,	Approve Allocation of Income, with a Final Dividend of JPY 105	Approve Allocation of Income, with a Froposent   Proposent   Pro	Notation   Proposal Text   Propose   Propos	Significant Votes   Proposal Text   Proposal	Name

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Sumitomo Mitsui Financial Group, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3.15		Elect Director Sakurai, Eriko	Mgmt	Yes	For	For		For	For	No	No	No
4		Amend Articles to Disclose Plan Outlining Company's Business Strategy to Align Investments with Goals of Paris Agreement	SH	Yes	Against	Against		Against	Against	No	No	No
5		Amend Articles to Disclose Measures to be Taken to Make Sure that the Company's Lending and Underwriting are not Used for Expansion of Fossil Fuel Supply or Associated Infrastructure	SH	Yes	Against	Against		Against	Against	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		58,100	58,100
			06/21/2022	06/21/2022			
					Total Shares:	58,100	58,100

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

utstan	nung Shares voteu.				Rest	iits Available: Parti	aı						
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	10,289,759	99.4%	38,507	N/A	3,529	N/A	Poll	F+A+AE
2	Υ	Pass	No	For	N/A	10,306,550	99.6%	21,737	N/A	3,542	N/A	Poll	F+A+AE
3.1	Υ	Pass	No	For	N/A	6,727,978	65.0%	3,600,189	N/A	3,523	N/A	Poll	F+A+AE
3.2	Υ	Pass	No	For	N/A	6,374,789	61.6%	3,953,365	N/A	3,525	N/A	Poll	F+A+A
3.3	Y	Pass	No	For	N/A	9,839,523	95.0%	483,303	N/A	8,880	N/A	Poll	F+A+AE
3.4	Υ	Pass	No	For	N/A	9,797,734	94.6%	525,089	N/A	8,880	N/A	Poll	F+A+AE
3.5	Y	Pass	No	For	N/A	9,815,557	94.8%	507,271	N/A	8,876	N/A	Poll	F+A+AE
3.6	Υ	Pass	No	For	N/A	9,398,020	90.8%	924,788	N/A	8,879	N/A	Poll	F+A+AE
3.7	Y	Pass	No	For	N/A	9,396,185	90.8%	926,624	N/A	8,879	N/A	Poll	F+A+A
3.8	Y	Pass	No	For	N/A	7,086,584	68.5%	3,210,183	N/A	34,917	N/A	Poll	F+A+AE
3.9	Υ	Pass	No	For	N/A	6,719,858	64.9%	3,581,657	N/A	30,166	N/A	Poll	F+A+AE
3.10	Υ	Pass	No	For	N/A	9,325,228	90.1%	1,002,952	N/A	3,524	N/A	Poll	F+A+Al
3.11	Υ	Pass	No	For	N/A	9,962,653	96.2%	365,527	N/A	3,526	N/A	Poll	F+A+AE
3.12	Υ	Pass	No	For	N/A	9,297,402	89.8%	1,030,768	N/A	3,524	N/A	Poll	F+A+Al
3.13	Υ	Pass	No	For	N/A	9,141,507	88.3%	1,160,012	N/A	30,169	N/A	Poll	F+A+A
3.14	Υ	Pass	No	For	N/A	9,953,355	96.1%	374,826	N/A	3,525	N/A	Poll	F+A+A
3.15	Υ	Pass	No	For	N/A	9,335,263	90.2%	952,946	N/A	43,485	N/A	Poll	F+A+Al

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
4	Υ	Fail	No	Against	N/A	2,800,946	27.1%	7,325,280	N/A	205,502	N/A	Poll	F+A+AB
5	Υ	Fail	No	Against	N/A	989,505	9.6%	9,112,283	N/A	229,968	N/A	Poll	F+A+AB

## **Gresham House Energy Storage Fund Plc**

Meeting Date: 06/30/2022 **Record Date:** 06/28/2022

Country: United Kingdom Meeting Type: Annual

Ticker: GRID

Proxy Level: N/A

**Primary Security ID:** G4110M103

Primary CUSIP: G4110M103

Meeting ID: 1632040 Primary ISIN: GB00BFX3K770

Primary SEDOL: BFX3K77

Earliest Cutoff Date: 06/27/2022

Votable Shares: 258,820

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS Shares Instructed: 258,820 **Additional Policy:** 

Shares Voted: 258,820

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Company's Dividend Policy	Mgmt	Yes	For	For		For	For	No	No	No
4		Re-elect John Leggate as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-ele- warranted because no significant concerns had			can Neale, C	Catherine Pitt	and David Ste	evenson is				
		Blended Rationale: A vote FOR the re-election because no significant concerns have been ide		te, Duncan i	Veale, Cathe	rine Pitt and	David Stevens	son is warrar	nted			
5		Re-elect Duncan Neale as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-ele- warranted because no significant concerns ha			can Neale, C	Catherine Pitt	and David Ste	evenson is				
		Blended Rationale: A vote FOR the re-election because no significant concerns have been ide		te, Duncan i	Veale, Cathe	rine Pitt and	David Stevens	son is warrar	nted			
6		Re-elect Cathy Pitt as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elewarranted because no significant concerns have			can Neale, C	Catherine Pitt	and David Ste	evenson is				
		Blended Rationale: A vote FOR the re-election because no significant concerns have been ide		te, Duncan i	Veale, Cathe	rine Pitt and	David Stevens	son is warrar	nted			
7		Re-elect David Stevenson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-ele- warranted because no significant concerns ha			can Neale, C	Catherine Pitt	and David Ste	evenson is				
		Blended Rationale: A vote FOR the re-election because no significant concerns have been ide		te, Duncan i	Veale, Cathe	rine Pitt and	David Stevens	son is warrar	nted			
8		Reappoint BDO LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
10		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	olutions is warra	anted becau	se the propo	sed amounts	s and durations	s are within				

Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.

# **Gresham House Energy Storage Fund Plc**

-	Significant /ote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
11		Authorise Issue of Equity with Pre-emptive Rights	hout	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vot recommended limits.	e FOR these resc	lutions is warr	anted becaus	se the prop	osed amounts	s and durations	s are within	,		-		
		Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Authorise Market Purchase of Ordinary Mgmt Yes For For For No.												
12												No	No	
13		Authorise the Company to Ca Meeting with Two Weeks' No		Mgmt	Yes	For	For		For	For	No	No	No	
Ballot Details	5													
Institutional Ac (IA Name, IA N		Custodian Account Number	Ballot Status	Instructe	d	Approv	ved .	Ballot Voting	Status	Votable S	Shares	Shares	Voted	
CAT, 391692		391692	Confirmed	jbisset		jbisset				258,8	20	258,	820	
				06/21/202	2	06/21/2	.022							

Total Shares:

258,820

258,820

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	332,064,468	100.0%	2,325	0.0%	80,600	N/A	Poll	F+A
2	Υ	Pass	No	For	3.1%	321,900,359	96.9%	10,212,213	3.1%	34,281	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	332,142,333	100.0%	4,520	0.0%	0	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	332,118,127	100.0%	11,185	0.0%	17,541	N/A	Poll	F+A
5	Υ	Pass	No	For	0.0%	332,118,127	100.0%	11,185	0.0%	17,541	N/A	Poll	F+A
6	Υ	Pass	No	For	9.1%	254,913,888	90.9%	25,515,945	9.1%	51,717,020	N/A	Poll	F+A
7	Y	Pass	No	For	10.1%	298,534,288	89.9%	33,595,024	10.1%	17,541	N/A	Poll	F+A
8	Y	Pass	No	For	10.1%	298,562,032	89.9%	33,584,821	10.1%	0	N/A	Poll	F+A
9	Y	Pass	No	For	10.1%	298,541,018	89.9%	33,590,821	10.1%	15,014	N/A	Poll	F+A
10	Y	Pass	No	For	0.0%	332,125,051	100.0%	21,802	0.0%	0	N/A	Poll	F+A
11	Y	Pass	No	For	5.4%	314,130,590	94.6%	17,989,242	5.4%	27,021	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	332,115,004	100.0%	29,573	0.0%	2,276	N/A	Poll	F+A
13	Υ	Pass	No	For	0.5%	330,387,034	99.5%	1,759,819	0.5%	0	N/A	Poll	F+A

### **Marks & Spencer Group Plc**

Meeting Date: 07/05/2022

**Record Date:** 07/01/2022

Country: United Kingdom Meeting Type: Annual

Ticker: MKS **Meeting ID:** 1618773 Proxy Level: N/A

**Primary Security ID:** G5824M107

Primary CUSIP: G5824M107

**Primary ISIN:** GB0031274896

Primary SEDOL: 3127489

Earliest Cutoff Date: 06/30/2022 Votable Shares: 200,030

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS Shares Instructed: 200,030 **Additional Policy:** Shares Voted: 200,030

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST the reconstruction of the served on his last day practice, his formal notice should have commendanted. This has given rise to a "notice of notice contributory factors towards the adverse vote of granting Steve Rowe a bonus in FY2021/22, deepositioning for CEO Stuart Machin and Co-CEO directors effectively share the leadership role, a in the Company's market capitalisation in recent	y of employme nced on 10 Ma. re" scenario. Co ecommendatio spite being un Katie Bickersta and ii) the sala	ent with the or rch 2022, whoncerns have on — that the der notice be affe, has bee	Company on then his depa the also been to Remunerath the fore the both the highlighte	5 July 2022. Inture was foi noted – but a ion Committe nus payment d as a mattei	However, in larmally annound are not being he applied discurs date. Also, the of concern, g	ine with best ced to the nighlighted as retion in e salary iven that i) t	s the			
		Blended Rationale: A vote AGAINST the remune Rowe's notice is set to be served on his last day practice, his formal notice should have commen market. This has given rise to a "notice of notice contributory factors towards the adverse vote of granting Steve Rowe a bonus in FY2021/22, deepositioning for CEO Stuart Machin and Co-CEO directors effectively share the leadership role, a in the Company's market capitalisation in recen	y of employme nced on 10 Mai re" scenario. Co ecommendatio spite being un Katie Bickersta und ii) the salai	ent with the or rch 2022, whoncerns have on — that the der notice be affe, has bee	Company on then his depa the also been to Remunerath the fore the bot on highlighte	5 July 2022. Inture was for noted – but a ion Committe nus payment d as a matter	However, in larmally annound are not being he applied discurs date. Also, the of concern, g	ine with best ced to the nighlighted as retion in e salary iven that i) t	s the			
3		Re-elect Archie Norman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	nificant con	cerns have be	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no significa	ant concerns	s have been i	dentified.					
4		Re-elect Eoin Tonge as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	nificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no significa	ant concerns	s have been i	dentified.					
5		Re-elect Evelyn Bourke as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	nificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no significa	ant concerns	s have been i	dentified.					
6		Re-elect Fiona Dawson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	nificant con	cerns have be	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no significa	ant concerns	s have been i	dentified.					
7		Re-elect Andrew Fisher as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	nificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no significa	ant concerns	s have been i	dentified.					
8		Re-elect Andy Halford as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	nificant con	cerns have be	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no significa	ant concerns	s have been i	dentified.					

# **Marks & Spencer Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Re-elect Tamara Ingram as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no sig	gnificant cor	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
10		Re-elect Justin King as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no sig	gnificant cor	ncerns have b	peen identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
11		Re-elect Sapna Sood as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no sig	gnificant cor	ncerns have b	been identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
12		Elect Stuart Machin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no sig	gnificant cor	ncerns have b	been identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
13		Elect Katie Bickerstaffe as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no sig	gnificant cor	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
14		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorecommended limits.	lutions is warra	anted becaus	se the propo	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because th	e proposed	amounts and	l durations are	within				
18		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reso recommended limits.	lutions is warra	anted becau	se the propo	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because th	e proposed	amounts and	durations are	within				
19		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	lutions is warra	anted becaus	se the propo	osed amounts	s and duration:	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because th	e proposed	amounts and	durations are	within				
20		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
21		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No
22		Approve Renewal of Share Incentive Plan	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Marks & Spencer Group Pic**

Sports Edit Limited from Justin King

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
23		Authorise Purchase by Marks and Spencer plc of Ordinary Shares in The	Mgmt	Yes	For	For		For	For	No	No	No

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		200,030	200,030
			06/27/2022	06/27/2022			
					Total Shares:	200,030	200,030

**Meeting Results** 

Outstanding Shares Voted: 1,964,019,744

Results Available: Full

		2,50 .,0	- 7										
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	1,339,451,971	100.0%	157,191	0.0%	1,411,785	N/A	Poll	F+A
2	Υ	Pass	No	For	29.1%	930,901,466	70.9%	382,304,226	29.1%	27,809,219	N/A	Poll	F+A
3	Υ	Pass	No	For	3.9%	1,288,556,149	96.1%	52,271,066	3.9%	204,180	N/A	Poll	F+A
4	Υ	Pass	No	For	0.4%	1,335,843,323	99.6%	4,958,347	0.4%	251,353	N/A	Poll	F+A
5	Υ	Pass	No	For	12.2%	1,176,809,541	87.8%	163,959,382	12.2%	283,901	N/A	Poll	F+A
6	Υ	Pass	No	For	1.1%	1,326,480,850	98.9%	14,279,272	1.1%	290,202	N/A	Poll	F+A
7	Υ	Pass	No	For	1.8%	1,316,596,126	98.2%	24,159,878	1.8%	272,212	N/A	Poll	F+A
8	Υ	Pass	No	For	2.6%	1,305,732,873	97.4%	35,035,074	2.6%	282,857	N/A	Poll	F+A
9	Υ	Pass	No	For	1.7%	1,318,085,555	98.3%	22,646,240	1.7%	292,311	N/A	Poll	F+A
10	Υ	Pass	No	For	1.1%	1,326,501,796	98.9%	14,310,651	1.1%	240,759	N/A	Poll	F+A
11	Υ	Pass	No	For	1.1%	1,326,415,831	98.9%	14,330,957	1.1%	277,318	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	1,340,304,101	100.0%	475,033	0.0%	267,080	N/A	Poll	F+A
13	Υ	Pass	No	For	0.3%	1,337,195,657	99.7%	3,583,671	0.3%	269,386	N/A	Poll	F+A
14	Υ	Pass	No	For	0.1%	1,330,455,419	99.9%	1,384,747	0.1%	9,206,048	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	1,339,612,877	99.9%	1,217,059	0.1%	214,229	N/A	Poll	F+A
16	Υ	Pass	No	For	5.3%	1,268,497,930	94.7%	70,333,790	5.3%	2,214,494	N/A	Poll	F+A
17	Υ	Pass	No	For	2.0%	1,313,858,056	98.0%	26,945,573	2.0%	242,585	N/A	Poll	F+A
18	Υ	Pass	No	For	0.3%	1,336,141,058	99.7%	4,508,799	0.3%	394,992	N/A	Poll	F+A
19	Υ	Pass	No	For	4.6%	1,279,181,425	95.4%	61,473,173	4.6%	390,251	N/A	Poll	F+A
20	Υ	Pass	No	For	0.8%	1,328,435,544	99.2%	10,854,595	0.8%	1,755,985	N/A	Poll	F+A
21	Υ	Pass	No	For	5.6%	1,256,405,720	94.4%	74,404,099	5.6%	226,395	N/A	Poll	F+A
22	Y	Pass	No	For	0.0%	1,340,136,466	100.0%	543,524	0.0%	366,224	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
23	Y	Pass	No	For	0.0%	1,340,023,164	100.0%	414,688	0.0%	606,997	N/A	Poll	F+A

#### **GSK Plc**

Meeting Date: 07/06/2022 **Record Date:** 07/04/2022

Country: United Kingdom Meeting Type: Special Primary CUSIP: G3910J112 Ticker: GSK

Proxy Level: N/A

Meeting ID: 1659110

Primary ISIN: GB0009252882

Primary SEDOL: 0925288

Earliest Cutoff Date: 07/01/2022

Primary Security ID: G3910J112

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 65,000

\*Shares on Loan: 0

Shares Instructed: 65,000

Shares Voted: 65,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Matters Relating to the Demerger of Haleon Group from the GSK Group	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve the Related Party Transaction Arrangements	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		65,000	65,000
			06/24/2022	06/24/2022			
					Total Shares:	65,000	65,000

#### **Meeting Results**

Outstanding Shares Voted: 5,084,180,157

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.2%	3,568,610,751	99.8%	6,794,940	0.2%	7,388,793	N/A	Poll	F+A
2	Υ	Pass	No	For	0.2%	3,565,834,534	99.8%	8,056,152	0.2%	8,857,644	N/A	Poll	F+A

#### **Atacadao SA**

Meeting Date: 07/07/2022

Country: Brazil Meeting Type: Extraordinary Ticker: CRFB3

Proxy Level: N/A

**Record Date:** 

Primary Security ID: P0565P138

Shareholders

Primary CUSIP: P0565P138

Meeting ID: 1662099

Primary ISIN: BRCRFBACNOR2

Primary SEDOL: BF7LBH4

Earliest Cutoff Date: 06/28/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 175,000

\*Shares on Loan: 0

Shares Instructed: 175,000

Shares Voted: 175,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Fix Number of Directors at 13	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles and Consolidate Bylaws	Mgmt	Yes	For	For		For	For	No	No	No

#### Atacadao SA

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Elect Directors	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this its meet the expectations of institutional investors, preventing shareholders from voting individuall,	and * The co	mpany has b			•					
		Blended Rationale: A vote AGAINST this item is the expectations of institutional investors; and preventing shareholders from voting individually	* The compan	y has bundle					neet			
4		Approve Classification of Claudia Almeida e Silva, Vania Maria Lima Neves, and Alexandre Arie Szapiro as Independent Directors	Mgmt	Yes	For	For		For	For	No	No	No
Pallet Det	aile											

Ballot Details							
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, CAT	391109	Confirmed	jbisset	jbisset		175,000	175,000
			06/22/2022	06/22/2022			
					Total Shares:	175,000	175,000

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.7%	1,853,591,447	99.3%	13,522,963	0.7%	0	0.0%	Poll	F+A+AB
2	Υ	Pass	No	For	0.0%	1,867,114,410	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
3	Υ	Pass	No	For	8.9%	1,700,321,435	91.1%	166,494,392	8.9%	298,583	0.0%	Poll	F+A+AB
4	Y	Pass	No	For	0.0%	1,867,114,410	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB

#### **Yara International ASA**

Meeting Date: 07/07/2022	Country: Norway	Ticker: YAR	Proxy Level: N/A
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**Record Date:** Meeting Type: Extraordinary **Meeting ID:** 1663437 Shareholders

Primary CUSIP: R9900C106 Primary Security ID: R9900C106 **Primary ISIN:** NO0010208051 Primary SEDOL: 7751259

Earliest Cutoff Date: 07/01/2022 Total Ballots: 1 Voting Policy: ISS **Additional Policy:** Votable Shares: 26,378 \*Shares on Loan: 0 Shares Instructed: 26,378 Shares Voted: 26,378

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Notice of Meeting and Agenda	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: These are routine meeting formalities.

Blended Rationale: These are routine meeting formalities.

### **Yara International ASA**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2		Elect Chairman of Meeting; Designate Inspector(s) of Minutes of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine meet	ing formalities									
		Blended Rationale: These are routine meeting for	ormalities.									
3.1		Approve Spin-Off Agreement	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these proportion internal reorganization of the company.	osals is warran	ted because	due to the I	lack of conce	rn regarding ti	he proposed	,			
		Blended Rationale: A vote FOR these proposals reorganization of the company.	is warranted t	ecause due	to the lack o	of concern re	garding the pr	roposed inter	rnal			
3.2		Approve Merger Agreement with Yara Clean Ammonia NewCo AS and Yara Clean Ammonia Holding AS	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propo internal reorganization of the company.	osals is warran	ted because	due to the l	lack of conce	rn regarding ti	he proposed				
		Blended Rationale: A vote FOR these proposals reorganization of the company.	is warranted L	necause due	to the lack o	of concern re	garding the pr	roposed inter	rnal			

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	Auto-Instructed	Auto-Approved		26,378	26,378
			06/22/2022	06/22/2022			
					Total Shares:	26,378	26,378

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB

### **BT Group Plc**

Statutory Reports

Meeting	<b>Date:</b> 07/14/2	2022	Country: United Kinge	dom		Ticker:	BT.A			Proxy Level	: N/A		
Record D	oate: 07/12/20	)22	Meeting Type: Annua	al		Meeting	<b>ID:</b> 165116	3					
Primary 9	Security ID:	G16612106	Primary CUSIP: G16	612106		Primary	ISIN: GB00	30913577		Primary SEI	<b>DOL:</b> 30913	357	
Earliest Cu	utoff Date: 07/1	11/2022	Total Ballots: 1			Voting Po	olicy: ISS			Additional Po	licy:		
Votable Sh	Shares: 342,000 *Shares on Loan: 0				Shares Ir	structed: 342	2,000		Shares Voted	: 342,000			
Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1	Accept Financial Statements and Mgmt Yes For		For		For	For	No	No	No				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **BT Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No	
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No	
4		Elect Adam Crozier as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.											
		Blended Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.											
5		Re-elect Philip Jansen as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.											
		Blended Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.											
6		Re-elect Simon Lowth as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	anted as no s	significant co	oncerns have	been identifie	ed.					
		Blended Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.											
7		Re-elect Adel Al-Saleh as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.											
		Blended Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.											
8		Re-elect Sir Ian Cheshire as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.											
		Blended Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.											
9		Re-elect Iain Conn as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	anted as no s	significant co	oncerns have	been identifie	ed.					
		Blended Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.											
10		Re-elect Isabel Hudson as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	anted as no s	significant co	oncerns have	been identifie	ed.					
		Blended Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.											
11		Re-elect Matthew Key as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.											
		Blended Rationale: A vote FOR these candidate	es is warranted	l as no signif	ficant concer	ns have bee	n identified.						
12		Re-elect Allison Kirkby as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.											
		Blended Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.											
13		Re-elect Sara Weller as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these cand	oncerns have	erns have been identified.									
		Blended Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.											
14		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No	
15		Authorise the Audit & Risk Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **BT Group Plc**

Proposal Signific Number Vote	ant Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
16	Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.											
	Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.											
17	Authorise Issue of Equity wi Pre-emptive Rights	thout	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.											
	Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.											
18	Authorise Issue of Equity wi Pre-emptive Rights in Conne an Acquisition or Other Capi Investment	ection with	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.											
	Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.											
19	Authorise Market Purchase of Shares	of Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
20	Authorise the Company to C Meeting with Two Weeks' N		Mgmt	Yes	For	For		For	For	No	No	No
21	Authorise UK Political Donat	ions	Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account D (IA Name, IA Number)	Petail Custodian Account Number	Ballot Status	Instructed	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692	391692	391692 Confirmed			jbisset				342,0	00	342,	.000
				07/08/2022		07/08/2022						
								Total Shares:	342,0	00	342,	000

### **Meeting Results**

Outstanding Shares Voted: 9,928,556,204 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	6,884,918,349	100.0%	3,090,764	0.0%	18,627,473	N/A	Poll	F+A
2	Υ	Pass	No	For	6.4%	6,408,190,533	93.6%	439,625,354	6.4%	58,845,040	N/A	Poll	F+A
3	Y	Pass	No	For	0.0%	6,903,698,159	100.0%	1,552,001	0.0%	1,387,433	N/A	Poll	F+A
4	Y	Pass	No	For	0.5%	6,870,405,553	99.5%	31,994,754	0.5%	4,248,931	N/A	Poll	F+A
5	Y	Pass	No	For	0.1%	6,898,551,134	99.9%	5,954,099	0.1%	2,226,347	N/A	Poll	F+A
6	Υ	Pass	No	For	0.3%	6,886,397,247	99.7%	17,729,620	0.3%	2,510,407	N/A	Poll	F+A
7	Υ	Pass	No	For	5.8%	6,499,721,607	94.2%	403,635,170	5.8%	3,278,503	N/A	Poll	F+A
8	Y	Pass	No	For	10.2%	6,202,483,392	89.8%	701,632,470	10.2%	2,511,991	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
9	Y	Pass	No	For	5.5%	6,306,485,497	94.5%	365,204,219	5.5%	234,940,523	N/A	Poll	F+A
10	Υ	Pass	No	For	4.9%	6,564,844,200	95.1%	339,235,402	4.9%	2,546,602	N/A	Poll	F+A
11	Υ	Pass	No	For	5.1%	6,553,182,141	94.9%	350,853,443	5.1%	2,589,339	N/A	Poll	F+A
12	Υ	Pass	No	For	4.9%	6,565,454,993	95.1%	338,679,358	4.9%	2,500,511	N/A	Poll	F+A
13	Υ	Pass	No	For	4.9%	6,565,482,663	95.1%	338,659,808	4.9%	2,492,381	N/A	Poll	F+A
14	Υ	Pass	No	For	0.3%	6,885,608,174	99.7%	18,716,105	0.3%	2,235,437	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	6,899,556,184	99.9%	4,730,501	0.1%	2,295,852	N/A	Poll	F+A
16	Υ	Pass	No	For	4.7%	4,880,980,923	95.3%	238,220,255	4.7%	1,787,401,193	N/A	Poll	F+A
17	Υ	Pass	No	For	1.2%	6,819,953,992	98.8%	82,199,972	1.2%	4,399,869	N/A	Poll	F+A
18	Υ	Pass	No	For	2.0%	6,766,054,033	98.0%	137,445,641	2.0%	3,050,763	N/A	Poll	F+A
19	Y	Pass	No	For	0.3%	6,881,778,233	99.7%	22,400,610	0.3%	2,405,619	N/A	Poll	F+A
20	Y	Pass	No	For	3.6%	6,652,979,608	96.4%	251,629,194	3.6%	1,955,283	N/A	Poll	F+A
21	Υ	Pass	No	For	1.0%	6,834,991,613	99.0%	70,001,397	1.0%	1,608,818	N/A	Poll	F+A

## **Telefonica Brasil SA**

 Meeting Date: 08/04/2022
 Country: Brazil
 Ticker: VIVT3
 Proxy Level: N/A

 Record Date: 06/27/2022
 Meeting Type: Extraordinary
 Meeting ID: 1667942

 Shareholders
 Shareholders

Primary Security ID: P9T369176 Primary CUSIP: P9T369176 Primary ISIN: BRVIVTACNOR0 Primary SEDOL: B6XFBX3

 Earliest Cutoff Date: 07/25/2022
 Total Ballots: 1
 Voting Policy: ISS
 Additional Policy:

 Votable Shares: 70,000
 \*Shares on Loan: 0
 Shares Instructed: 70,000
 Shares Voted: 70,000

Votable Si	hares: 70,000	*Shares on Loan: 0			Shares I	nstructed: 70,0	000		Shares Voted	: 70,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Meeting for ADR Holders	Mgmt	No								
1		Ratify Acquisition of All Shares of Garliava RJ Infraestrutura e Redes de Telecomunicacoes S.A.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these iter the acquisition; * The all-cash offer considera provided a sound strategic rationale for the to	ation poses no r					_			-	
		Blended Rationale: A vote FOR these items is acquisition; * The all-cash offer consideration provided a sound strategic rationale for the ti	poses no risk d						he		-	
2		Ratify Ernst & Young Assessoria Empresarial Ltda. as Independent Firm	Mgmt	Yes	For	For		For	For	No	No	No

to Appraise Proposed Transaction

Voting Policy Rationale: A vote FOR these items is warranted because: \* There are no known concerns regarding the terms of
the acquisition: \* The all-cach offer consideration poses no risk of dilution to current shareholders: and \* The company has

the acquisition; \* The all-cash offer consideration poses no risk of dilution to current shareholders; and \* The company has provided a sound strategic rationale for the transaction.

Blended Rationale: A vote FOR these items is warranted because: \* There are no known concerns regarding the terms of the acquisition; \* The all-cash offer consideration poses no risk of dilution to current shareholders; and \* The company has provided a sound strategic rationale for the transaction.

## **Telefonica Brasil SA**

•	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Approve Independent Firm's	Appraisal	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vot the acquisition; * The all-cast provided a sound strategic ra	h offer considerati	ion poses no ri				-	-				
		Blended Rationale: A vote FC acquisition; * The all-cash of provided a sound strategic ra	fer consideration p	poses no risk d						ne			
4		Authorize Board to Ratify and Approved Resolutions	d Execute	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detail	ls												
Institutional A		Custodian Account Number	Ballot Status	Instructe	d	Appro	ved	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692		000391692	Confirmed	jbisset		jbisset				70,00	00	70,0	000
				07/27/202	2	07/27/2	2022						
									Total Shares	70,0	00	70,0	000

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Y	Pass	No	For	0.0%	1,514,265,885	100.0%	204,075	0.0%	67,231	0.0%	Poll	F+A+AB
2	Y	Pass	No	For	0.0%	1,514,314,077	100.0%	156,278	0.0%	66,836	0.0%	Poll	F+A+AB
3	Y	Pass	No	For	0.0%	1,514,286,197	100.0%	162,890	0.0%	88,104	0.0%	Poll	F+A+AB
4	Υ	Pass	No	For	0.0%	1,514,326,113	100.0%	171,514	0.0%	39,564	0.0%	Poll	F+A+AB

## **Jet2 Plc**

**Meeting Date:** 09/01/2022 **Record Date:** 08/30/2022

**Country:** United Kingdom **Meeting Type:** Annual

Ticker: JET2

Proxy Level: N/A

Primary Security ID: G5112P101

Meeting Type: Annual Primary CUSIP: G5112P101

Meeting ID: 1669297
Primary ISIN: GB00B1722W11

Primary SEDOL: B1722W1

Earliest Cutoff Date: 08/29/2022

Votable Shares: 39,304

Total Ballots: 1
\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 39,304

Additional Policy:
Shares Voted: 39,304

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes

Voting Policy Rationale: A vote AGAINST this resolution is warranted because of the following reasons: \* There is insufficient independent representation on the Board; \* The Executive Chair sits on the Remuneration Committee; and \* Options granted under the Share Reward Plan vest subject to continued employment only.

Blended Rationale: A vote AGAINST this resolution is warranted because of the following reasons: \* There is insufficient independent representation on the Board; \* The Executive Chair sits on the Remuneration Committee; and \* Options granted under the Share Reward Plan vest subject to continued employment only.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Jet2 Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2		Re-elect Gary Brown as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR been identified. Item 3 A vote AGAINST the issue has been identified and he sits on the does not adhere to UK best practice recomm	re-election of Ma Audit and Remui	ark Laurence neration Con	is warrante mittees, an	d because: *	A potential in	dependence				
		Blended Rationale: Item 2 A vote FOR the ridentified. Item 3 A vote AGAINST the re-elbeen identified and he sits on the Audit and adhere to UK best practice recommendation	ection of Mark La Remuneration C	urence is wa ommittees, a	rranted beca	ause: * A pol	tential indepen	ndence issue				
3		Re-elect Mark Laurence as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Item 2 A vote FOR been identified. Item 3 A vote AGAINST the issue has been identified and he sits on the does not adhere to UK best practice recomm	re-election of Ma Audit and Remui	ark Laurence neration Con	is warrante nmittees, an	d because: *	A potential in	dependence				
		Blended Rationale: Item 2 A vote FOR the ridentified. Item 3 A vote AGAINST the re-el been identified and he sits on the Audit and adhere to UK best practice recommendation	ection of Mark La Remuneration C	urence is wa ommittees, a	rranted beca	ause: * A pol	tential indepen	ndence issue				
4		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
5		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
6		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these recommended limits.	esolutions is warr	anted becau	se the propo	osed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolute recommended limits.	tions is warranted	d because th	e proposed	amounts and	durations are	within				
7		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these recommended limits.	esolutions is warr	anted becau.	se the propo	osed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolute recommended limits.	tions is warranted	d because th	e proposed	amounts and	l durations are	within				
8		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these recommended limits.	esolutions is warr	anted becau	se the propo	osed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolute recommended limits.	tions is warranted	d because th	e proposed	amounts and	durations are	within				
Ballot Deta	nils											
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number Ballot Statu	s Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT 201622		201602	det		On to a second				20.00		20.5	20.4

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		39,304	39,304
			08/22/2022	08/22/2022			
					Total Shares:	39,304	39,304

## **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	7.1%	153,733,922	92.9%	11,744,978	7.1%	1,858,387	N/A	Poll	F+A
2	Υ	Pass	No	For	5.1%	158,836,534	94.9%	8,493,736	5.1%	7,017	N/A	Poll	F+A
3	Υ	Pass	No	For	16.7%	138,160,216	83.3%	27,788,327	16.7%	1,388,744	N/A	Poll	F+A
4	Y	Pass	No	For	0.7%	162,378,931	99.3%	1,218,243	0.7%	3,740,113	N/A	Poll	F+A
5	Υ	Pass	No	For	0.1%	167,218,038	99.9%	108,086	0.1%	11,163	N/A	Poll	F+A
6	Υ	Pass	No	For	0.6%	165,275,627	99.4%	948,062	0.6%	1,113,598	N/A	Poll	F+A
7	Υ	Pass	No	For	0.8%	165,917,627	99.2%	1,406,053	0.8%	13,207	N/A	Poll	F+A
8	Y	Pass	No	For	1.8%	164,327,696	98.2%	2,995,984	1.8%	13,207	N/A	Poll	F+A

## **Currys Plc**

Meeting Date: 09/08/2022 **Record Date:** 09/06/2022

Earliest Cutoff Date: 09/05/2022

Votable Shares: 78,396

Primary Security ID: G2601D103

Total Ballots: 1

\*Shares on Loan: 0

Meeting Type: Annual

Country: United Kingdom Ticker: CURY

Primary CUSIP: G2601D103 Primary ISIN: GB00B4Y7R145

Shares Instructed: 78,396

Voting Policy: ISS

Meeting ID: 1674968

Proxy Level: N/A

Primary SEDOL: B4Y7R14

Additional Policy: Shares Voted: 78,396

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
5		Elect Ian Dyson as Director	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: Items 5-10 and 12 A vote FOR these Directors is warranted as no significant concerns have been identified. Item 11 A vote FOR the re-election of Fiona McBain is warranted, although it is not without concerns on account of: \* Fiona McBain failed to attend at least 75% of the Board and Audit Committee meetings she was eligible to attend during the year due to illness. The main reasons for support are: \* There is no evidence of a longer-term attendance issue at this time. Her attendance will be kept under review.

Blended Rationale: Items 5-10 and 12 A vote FOR these Directors is warranted as no significant concerns have been identified. Item 11 A vote FOR the re-election of Fiona McBain is warranted, although it is not without concerns on account of: \* Fiona McBain failed to attend at least 75% of the Board and Audit Committee meetings she was eligible to attend during the year due to illness. The main reasons for support are: \* There is no evidence of a longer-term attendance issue at this time. Her attendance will be kept under review.

Re-elect Alex Baldock as Director

Mgmt

Yes

For

For

For

For

No

No No

Voting Policy Rationale: Items 5-10 and 12 A vote FOR these Directors is warranted as no significant concerns have been identified. Item 11 A vote FOR the re-election of Fiona McBain is warranted, although it is not without concerns on account of: \* Fiona McBain failed to attend at least 75% of the Board and Audit Committee meetings she was eligible to attend during the year due to illness. The main reasons for support are: \* There is no evidence of a longer-term attendance issue at this time. Her attendance will be kept under review.

Blended Rationale: Items 5-10 and 12 A vote FOR these Directors is warranted as no significant concerns have been identified. Item 11 A vote FOR the re-election of Fiona McBain is warranted, although it is not without concerns on account of: \* Fiona McBain failed to attend at least 75% of the Board and Audit Committee meetings she was eligible to attend during the year due to illness. The main reasons for support are: \* There is no evidence of a longer-term attendance issue at this time. Her attendance will be kept under review.

# **Currys Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Re-elect Eileen Burbidge as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-10 and 12 A v identified. Item 11 A vote FOR the re-election of * Fiona McBain failed to attend at least 75% or year due to illness. The main reasons for supporter attendance will be kept under review.	of Fiona McBail f the Board and	n is warrante d Audit Comi	ed, although mittee meet	it is not with ings she was	out concerns eligible to atte	on account o end during t	he			
		Blended Rationale: Items 5-10 and 12 A vote F Item 11 A vote FOR the re-election of Fiona Mo McBain failed to attend at least 75% of the Bo to illness. The main reasons for support are: * attendance will be kept under review.	cBain is warran ard and Audit (	nted, althoug Committee m	h it is not w neetings she	rithout concer was eligible	rns on account to attend duri	t of: * Fiona ing the year				
8		Re-elect Tony DeNunzio as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-10 and 12 A v identified. Item 11 A vote FOR the re-election of Fiona McBain failed to attend at least 75% or year due to illness. The main reasons for supporter attendance will be kept under review.	of Fiona McBail f the Board and	n is warrante d Audit Comi	ed, although mittee meet	it is not with ings she was	out concerns eligible to atte	on account o end during t	he			
		Blended Rationale: Items 5-10 and 12 A vote F Item 11 A vote FOR the re-election of Fiona Me McBain failed to attend at least 75% of the Bos to illness. The main reasons for support are: * attendance will be kept under review.	cBain is warran ard and Audit (	nted, althoug Committee m	h it is not w neetings she	rithout concer was eligible	rns on account to attend duri	t of: * Fiona ing the year				
9		Re-elect Andrea Gisle Joosen as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-10 and 12 A v identified. Item 11 A vote FOR the re-election of Fiona McBain failed to attend at least 75% or year due to illness. The main reasons for support Her attendance will be kept under review.	of Fiona McBail f the Board and	n is warrante d Audit Comi	ed, although mittee meet	it is not with ings she was	out concerns eligible to atte	on account o end during t	he			
		Blended Rationale: Items 5-10 and 12 A vote F Item 11 A vote FOR the re-election of Fiona Mc McBain failed to attend at least 75% of the Boo to illness. The main reasons for support are: * attendance will be kept under review.	cBain is warran ard and Audit (	nted, althoug Committee m	h it is not w neetings she	rithout concer was eligible	rns on account to attend duri	t of: * Fiona ing the year				
10		Re-elect Bruce Marsh as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-10 and 12 A v identified. Item 11 A vote FOR the re-election of * Fiona McBain failed to attend at least 75% or year due to illness. The main reasons for support Her attendance will be kept under review.	of Fiona McBail f the Board and	n is warrante d Audit Comi	ed, although mittee meet	it is not with ings she was	out concerns eligible to atte	on account o end during t	he			
		Blended Rationale: Items 5-10 and 12 A vote F Item 11 A vote FOR the re-election of Fiona Mo McBain failed to attend at least 75% of the Boa to illness. The main reasons for support are: * attendance will be kept under review.	cBain is warran ard and Audit (	nted, althoug Committee m	h it is not w neetings she	ithout concer was eligible	rns on account to attend duri	t of: * Fiona ng the year				
11		Re-elect Fiona McBain as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-10 and 12 A v identified. Item 11 A vote FOR the re-election * Fiona McBain failed to attend at least 75% or year due to illness. The main reasons for supporter attendance will be kept under review.	of Fiona McBail f the Board and	n is warrante d Audit Comi	ed, although mittee meet	it is not with ings she was	out concerns eligible to atte	on account o end during t	he			
		Blended Rationale: Items 5-10 and 12 A vote F Item 11 A vote FOR the re-election of Fiona Mc McBain failed to attend at least 75% of the Boa to illness. The main reasons for support are: * attendance will be kept under review.	cBain is warran ard and Audit (	nted, althoug Committee m	h it is not w neetings she	ithout concer was eligible	rns on account to attend duri	t of: * Fiona ing the year				

# **Currys Plc**

Proposal Significan Number Vote	t Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12	Re-elect Gerry Murphy as Di	rector	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Item identified. Item 11 A vote FC * Fiona McBain failed to atte year due to illness. The main Her attendance will be kept t	OR the re-election nd at least 75% o n reasons for supp	of Fiona McBai of the Board an	in is warrant d Audit Com	ed, althoug mittee mee	nh it is not wit etings she was	hout concerns s eligible to att	on account o end during th				
	Blended Rationale: Items 5-1 Item 11 A vote FOR the re-e McBain failed to attend at lea to illness. The main reasons attendance will be kept unde	lection of Fiona M ast 75% of the Bo for support are: *	AcBain is warrar Dard and Audit (	nted, althoug Committee r	gh it is not neetings sh	without conce ne was eligible	erns on account to attend duri	t of: * Fiona ing the year o				
13	Appoint KPMG LLP as Audito	ors	Mgmt	Yes	For	For		For	For	No	No	No
14	Authorise Board to Fix Remu of Auditors	uneration	Mgmt	Yes	For	For		For	For	No	No	No
15	Authorise UK Political Donat Expenditure	ions and	Mgmt	Yes	For	For		For	For	No	No	No
16	Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vorecommended limits.	te FOR these reso	olutions is warr	anted becau	se the prop	oosed amount	s and duration.	s are within			_	
	Blended Rationale: A vote FC recommended limits.	OR these resolutio	ons is warranted	d because th	e proposed	d amounts and	d durations are	within				
17	Authorise Issue of Equity wi Pre-emptive Rights	thout	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vo	te FOR these reso	olutions is warr	anted becau	se the prop	oosed amount	s and duration.	s are within			-	
	Blended Rationale: A vote FO recommended limits.	OR these resolutio	ons is warranted	d because th	e proposed	d amounts and	d durations are	within				
18	Authorise Market Purchase of Shares	of Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
19	Authorise the Company to C Meeting with Two Weeks' N		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Det (IA Name, IA Number)	nil Custodian Account Number	Ballot Status	Instructe	d	Appro	ved .	Ballot Voting	Status	Votable S	hares	Shares	Voted
AT, 391692	391692	Confirmed	jbisset		jbisset				78,39	16	78,3	396
			08/26/2022	2	08/26/2	2022						
								Total Shares:	78,39	96	78,3	196

## **Meeting Results**

Outstanding Shares Voted: 1,133,494,651 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	955,700,306	100.0%	6,079	0.0%	700,215	N/A	Poll	F+A
2	Υ	Pass	No	For	13.1%	831,115,642	86.9%	125,254,427	13.1%	36,531	N/A	Poll	F+A
3	Υ	Pass	No	For	34.0%	630,742,347	66.0%	325,630,007	34.0%	33,828	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	956,390,709	100.0%	9,142	0.0%	6,331	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
5	Υ	Pass	No	For	7.0%	889,149,078	93.0%	67,238,175	7.0%	19,347	N/A	Poll	F+A
6	Υ	Pass	No	For	0.0%	956,091,713	100.0%	277,423	0.0%	37,464	N/A	Poll	F+A
7	Υ	Pass	No	For	0.0%	956,072,878	100.0%	317,459	0.0%	16,263	N/A	Poll	F+A
8	Υ	Pass	No	For	0.7%	949,328,885	99.3%	7,058,045	0.7%	19,670	N/A	Poll	F+A
9	Υ	Pass	No	For	7.7%	882,405,638	92.3%	73,964,165	7.7%	36,797	N/A	Poll	F+A
10	Υ	Pass	No	For	0.2%	954,788,941	99.8%	1,582,137	0.2%	35,522	N/A	Poll	F+A
11	Υ	Pass	No	For	7.8%	881,691,836	92.2%	74,679,391	7.8%	35,373	N/A	Poll	F+A
12	Υ	Pass	No	For	7.0%	889,311,644	93.0%	67,057,767	7.0%	37,189	N/A	Poll	F+A
13	Υ	Pass	No	For	5.3%	905,526,952	94.7%	50,857,494	5.3%	22,154	N/A	Poll	F+A
14	Υ	Pass	No	For	0.1%	955,616,742	99.9%	751,462	0.1%	37,978	N/A	Poll	F+A
15	Υ	Pass	No	For	1.0%	946,629,784	99.0%	9,758,663	1.0%	18,792	N/A	Poll	F+A
16	Υ	Pass	No	For	0.7%	949,590,325	99.3%	6,768,641	0.7%	47,634	N/A	Poll	F+A
17	Υ	Pass	No	For	0.0%	956,190,858	100.0%	175,671	0.0%	40,071	N/A	Poll	F+A
18	Υ	Pass	No	For	5.3%	905,390,430	94.7%	50,842,010	5.3%	173,468	N/A	Poll	F+A
19	Υ	Pass	No	For	1.4%	943,119,669	98.6%	13,270,882	1.4%	15,357	N/A	Poll	F+A

## **Ashmore Group Plc**

**Meeting Date:** 10/14/2022 **Record Date:** 10/12/2022

Primary Security ID: G0609C101

**Country:** United Kingdom **Meeting Type:** Annual

Primary CUSIP: G0609C101

Ticker: ASHM

**Meeting ID:** 1684288

Primary ISIN: GB00B132NW22

Proxy Level: N/A

Primary SEDOL: B132NW2

**Earliest Cutoff Date:** 10/11/2022 **Votable Shares:** 114,060 Total Ballots: 1
\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 114,060

Additional Policy: Shares Voted: 114,060

							,					
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
3		Re-elect Mark Coombs as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant cor	ncerns have b	neen identified				1	
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.				1	
4		Re-elect Tom Shippey as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant cor	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.				1	
5		Re-elect Clive Adamson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant cor	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Ashmore Group Plc**

Proposal Significant Number Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6	Re-elect Helen Beck as Director	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR these	Directors is warrar	nted as no si	ignificant cor	ncerns have b	been identified					
	Blended Rationale: A vote FOR these Direct	tors is warranted	as no signific	cant concern	s have been	identified.					
7	Re-elect Jennifer Bingham as Director	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR these	Directors is warraı	nted as no si	ignificant cor	ncerns have b	been identified.					
	Blended Rationale: A vote FOR these Direct	tors is warranted	as no signific	cant concern	s have been	identified.					
8	Elect Shirley Garrood as Director	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR these	Directors is warrar	nted as no si	ignificant cor	ncerns have b	been identified				•	
	Proposed   Significant   Proposed Text   Pro										
9	Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
10	Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
11	Committee to Fix Remuneration of	Mgmt	Yes	For	For		For	For	No	No	No
12		Mgmt	Yes	For	For		For	For	No	No	No
13	Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		resolutions is warr	anted becau	ise the propo	osed amounts	s and durations	s are within				
		lutions is warranted	d because th	ne proposed	amounts and	d durations are	within				
14		Mgmt	Yes	For	For		For	For	No	No	No
	= -	resolutions is warr	anted becau	ise the propo	osed amounts	s and duration:	s are within				
		lutions is warranted	d because th	ne proposed	amounts and	d durations are	within				
15	Pre-emptive Rights in Connection with an Acquisition or Other Capital	Mgmt	Yes	For	For		For	For	No	No	No
		resolutions is warr	anted becau	ise the propo	osed amounts	s and duration.	s are within				
		utions is warranted	d because th	ne proposed	amounts and	d durations are	within				
16	•	Mgmt	Yes	For	For		For	For	No	No	No
17	* *	Mgmt	Yes	For	For		For	For	No	No	No
18		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details											
nstitutional Account Detail IA Name, IA Number)		us Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692	391692 Confirmed	jbisset		jbisset				114,0	60	114,	060
		10/04/202	2	10/04/20	22						

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Total Shares: 114,060 114,060

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.1%	591,870,242	99.9%	818,275	0.1%	1,437,438	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	594,121,795	100.0%	280	0.0%	3,880	N/A	Poll	F+A
3	Υ	Pass	No	For	0.7%	589,837,002	99.3%	4,279,260	0.7%	9,693	N/A	Poll	F+A
4	Υ	Pass	No	For	0.7%	589,689,895	99.3%	4,426,367	0.7%	9,693	N/A	Poll	F+A
5	Υ	Pass	No	For	6.2%	554,974,977	93.8%	36,759,902	6.2%	2,391,076	N/A	Poll	F+A
6	Υ	Pass	No	For	9.5%	537,747,843	90.5%	56,368,418	9.5%	9,694	N/A	Poll	F+A
7	Υ	Pass	No	For	5.3%	562,538,281	94.7%	31,577,981	5.3%	9,693	N/A	Poll	F+A
8	Υ	Pass	No	For	0.0%	593,970,078	100.0%	146,184	0.0%	9,693	N/A	Poll	F+A
9	Υ	Pass	No	For	22.0%	433,517,825	78.0%	122,140,125	22.0%	38,468,005	N/A	Poll	F+A
10	Υ	Pass	No	For	13.2%	515,433,165	86.8%	78,688,909	13.2%	3,881	N/A	Poll	F+A
11	Υ	Pass	No	For	0.0%	593,939,149	100.0%	179,349	0.0%	7,457	N/A	Poll	F+A
12	Υ	Pass	No	For	0.2%	586,709,880	99.8%	922,456	0.2%	6,493,619	N/A	Poll	F+A
13	Υ	Pass	No	For	0.4%	591,975,037	99.6%	2,143,461	0.4%	7,457	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	594,079,265	100.0%	40,410	0.0%	6,280	N/A	Poll	F+A
15	Υ	Pass	No	For	2.8%	577,443,567	97.2%	16,676,108	2.8%	6,280	N/A	Poll	F+A
16	Υ	Pass	No	For	1.8%	583,438,652	98.2%	10,616,931	1.8%	70,372	N/A	Poll	F+A
17	Υ	Pass	No	For	4.9%	337,066,726	95.1%	17,526,341	4.9%	17,469,274	N/A	Poll	F+A
18	Υ	Pass	No	For	2.0%	582,071,421	98.0%	12,045,137	2.0%	9,397	N/A	Poll	F+A

## **Ruffer Protection Strategies-Ruffer Protection Strategies**

Meeting Date: 10/19/2022 Record Date: 10/12/2022 Country: Luxembourg
Meeting Type: Annual

Ticker: N/A
Meeting ID: 1690366

Voting Policy: ISS

Proxy Level: N/A

Primary Security ID: L8032E101

Primary CUSIP: L8032E101

**Primary ISIN:** LU0830940101

Primary SEDOL: B8G2MX2

No

No

No

Earliest Cutoff Date: 10/13/2022

Votable Shares: 3,575,000

3

Total Ballots: 1

\*Shares on Loan: 0

Approve Allocation of Income

Shares Instructed: 3,575,000

For

For

For

Additional Policy:
Shares Voted: 3,575,000

Additional Voting Vote Vote Proposal Significant Votable Mgmt Policy Policy Vote Against Against Against Number Vote **Proposal Text** Proponent Proposal Rec ISS Rec Rec Instruction Mgmt Policy Rec Receive Board's and Auditor's Reports Mgmt No 2 Approve Financial Statements Mgmt Yes For For For For No No No

Yes

Mgmt

For

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Ruffer Protection Strategies-Ruffer Protection Strategies**

-	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4.1		Approve Remuneration of Dir Paul-Emile Cornet	rector	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vot remuneration.	e FOR this item is	warranted be	ecause there	is no sign o	f excessivene	ess about the b	ooard				
		Blended Rationale: A vote FO	R this item is war	ranted becaus	e there is no	sign of exc	essiveness al	bout the board	remuneration	on.			
4.2		Approve Remuneration of Dia Aude Lemogne	rector	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vot remuneration.	e FOR this item is	warranted be	ecause there	is no sign o	f excessivene	ess about the b	ooard				
		Blended Rationale: A vote FO	R this item is war	ranted because	e there is no	sign of exc	essiveness al	bout the board	remuneration	on.			
5		Approve Discharge of Directo	ors	Mgmt	Yes	For	For		For	For	No	No	No
6.1		Acknowledge Resignation of McBain as Director	Mary	Mgmt	No								
		Voting Policy Rationale: This	is a non-voting ite	em .									
		Blended Rationale: This is a r	on-voting item										
6.2		Acknowledge Resignation of Marmion as Director	Myles	Mgmt	No								
		Voting Policy Rationale: This	is a non-voting ite	em									
		Blended Rationale: This is a r	on-voting item										
6.3		Ratify Co-optation of Michael Director	Gower as	Mgmt	Yes	For	For		For	For	No	No	No
6.4		Re-Elect Paul-Emile Cornet a	s Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vot	e FOR this item is	warranted in	the absence	of any cond	cern about th	e board and its	s compositio	n.			
		Blended Rationale: A vote FO	R this item is war	ranted in the a	absence of a	ny concern a	about the boa	ard and its con	nposition.				
6.5		Re-Elect Benjamin Boucher-F Director	erte as	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vot	e FOR this item is	warranted in	the absence	of any cond	cern about th	e board and it	s compositio	n.			
		Blended Rationale: A vote FO	R this item is war	ranted in the a	absence of a	ny concern a	about the boa	ard and its con	nposition.				
6.6		Re-Elect Aude Lemogne as D	irector	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vot	e FOR this item is	warranted in	the absence	of any cond	cern about th	e board and it	s compositio	n.			
		Blended Rationale: A vote FO	R this item is war	ranted in the a	absence of a	ny concern a	about the boa	ard and its con	nposition.				
6.7		Re-Elect Michael Gower as D	irector	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vot	e FOR this item is	warranted in	the absence	of any cond	cern about th	e board and its	s compositio	n.			
		Blended Rationale: A vote FO	R this item is war	ranted in the a	absence of a	ny concern a	about the boa	ard and its con	nposition.				
7		Renew Appointment of Ernst as Auditor	& Young	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detail	ls												
Institutional A (IA Name, IA N		Custodian Account Number	Ballot Status	Instructed	d	Approve	ed .	Ballot Voting	Status	Votable S	Shares	Shares	Voted
CAT, 391692		391692	Confirmed	dnicoll		dnicoll				3,575,	.000	3,575	5,000
				10/10/2022	2	10/10/20	22						
									Total Shares:				

## **Ruffer Protection Strategies-Ruffer Protection Strategies**

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	N												
2	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
3	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
4.1	Y	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
4.2	Y	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
5	Y	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.1	N												
6.2	N												
6.3	Y	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.4	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.5	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.6	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.7	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
7	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB

## Coty Inc.

Meeting Date: 11/03/2022 Record Date: 09/09/2022

Primary Security ID: 222070203

Earliest Cutoff Date: 11/02/2022
Votable Shares: 73.139

Country: USA

Meeting Type: Annual
Primary CUSIP: 222070203

Fillial y COSIF. 2220/0203

Total Ballots: 1

\*Shares on Loan: 0

Ticker: COTY

Meeting ID: 1686579

**Primary ISIN:** US2220702037

Voting Policy: ISS Additional Policy:

Shares Instructed: 73,139 Shares Voted: 73,139

Proxy Level: 3

Primary SEDOL: BBBSMJ2

**Additional** Voting Vote Vote Vote Proposal Significant Votable Mgmt **Policy** Policy Vote Against Against Against Number **Proposal Text** Proponent Proposal Rec **ISS Rec** Instruction Mgmt Policy ISS Elect Director Beatrice Ballini Withhold Withhold 1.1 Mgmt Yes For For No Yes Yes

Voting Policy Rationale: WITHHOLD votes are warranted for Johannes Huth for failing to attend at least 75 percent of his total board and committee meetings held during the fiscal year under review without an acceptable reason for the absences. WITHHOLD votes are warranted for incumbent compensation committee members Maria Aramburuzabala Larregui, Beatrice Ballini, Anna Makanju, and Erhard Schoewel, due to persistent compensation-related concerns demonstrating poor stewardship of the pay program. A vote FOR the remaining director nominees is warranted.

Blended Rationale: WITHHOLD votes are warranted for Johannes Huth for failing to attend at least 75 percent of his total board and committee meetings held during the fiscal year under review without an acceptable reason for the absences. WITHHOLD votes are warranted for incumbent compensation committee members Maria Aramburuzabala Larregui, Beatrice Ballini, Anna Makanju, and Erhard Schoewel, due to persistent compensation-related concerns demonstrating poor stewardship of the pay program. A vote FOR the remaining director nominees is warranted.

# **Coty Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.2		Elect Director Joachim Creus	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are was board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, do of the pay program. A vote FOR the remaining Blended Rationale: WITHHOLD votes are warranted for the pay program.	fiscal year und compensation ue to persisten director nomin	der review wan committee at compensations is warra	vithout an ac members Ma tion-related c anted.	ceptable rea pria Arambur concerns den	son for the absuzabala Larreg	sences. aui, Beatrice or stewardsh	hip			
		and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	on committee i ent compensati	members Ma ion-related c	aria Arambur	uzabala Larr	egui, Beatrice	Ballini, Anna				
1.3		Elect Director Olivier Goudet	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are valued and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, do of the pay program. A vote FOR the remaining	fiscal year und compensation ue to persisten	der review w n committee nt compensat	vithout an ac members Ma tion-related c	ceptable rea oria Arambur	son for the abs uzabala Larreg	sences. nui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under rev on committee : ent compensati	view without members Ma ion-related c	an acceptab Aria Arambur	le reason foi uzabala Larr	r the absences regui, Beatrice	. WITHHOLL Ballini, Anna	)			
1.4		Elect Director Peter Harf	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are was board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, do of the pay program. A vote FOR the remaining	fiscal year und compensation ue to persisten	der review w n committee nt compensat	vithout an ac members Ma tion-related c	ceptable rea oria Arambur	son for the abs uzabala Larreg	sences. nui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under rev on committee : ent compensati	view without members Ma ion-related c	an acceptab Aria Arambur	le reason foi uzabala Larr	r the absences. egui, Beatrice	. WITHHOLL Ballini, Anna	)			
1.5		Elect Director Johannes P. Huth	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes
		Voting Policy Rationale: WITHHOLD votes are we board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, do of the pay program. A vote FOR the remaining	fiscal year und compensation ue to persisten	der review w n committee nt compensat	vithout an ac members Ma tion-related c	ceptable rea oria Arambur	son for the abs uzabala Larreg	sences. nui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under rev on committee : ent compensati	view without members Ma ion-related c	an acceptab Aria Arambur	le reason foi uzabala Larr	r the absences. egui, Beatrice	. WITHHOLL Ballini, Anna	)			
1.6		Elect Director Maria Ausuncion Aramburuzabala Larregui	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes
		Voting Policy Rationale: WITHHOLD votes are v board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, do of the pay program. A vote FOR the remaining	fiscal year und compensation ue to persisten	der review w n committee nt compensat	vithout an ac members Ma tion-related c	ceptable rea oria Arambur	son for the abs uzabala Larreg	sences. nui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under rev on committee : ent compensati	view without members Ma ion-related c	an acceptab Aria Arambur	le reason foi uzabala Larr	r the absences regui, Beatrice	. WITHHOLL Ballini, Anna	)			

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Coty Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.7		Elect Director Anna Adeola Makanju	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, of the pay program. A vote FOR the remaining	e fiscal year un t compensation lue to persister	nder review v n committee nt compensa	vithout an a members M tion-related	cceptable rea Iaria Arambui	son for the ab ruzabala Larreg	sences. gui, Beatrice			-	
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensat Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under re ion committee ent compensat	view without members Ma ion-related c	an accepta Aria Arambu	ble reason fo. Iruzabala Lari	r the absences regui, Beatrice	s. WITHHOLI Ballini, Anna	D 3			
1.8		Elect Director Sue Y. Nabi	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during the WITHHOLD votes are warranted for incumben. Ballini, Anna Makanju, and Erhard Schoewel, a of the pay program. A vote FOR the remaining	e fiscal year un t compensation lue to persister	nder review v n committee nt compensa	vithout an a members M tion-related	cceptable rea Iaria Arambui	son for the ab ruzabala Larreg	sences. gui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensat Makanju, and Erhard Schoewel, due to persist program. A vote FOR the remaining director no	year under re ion committee ent compensat	view without members Ma ion-related c	an accepta Aria Arambu	ble reason fo. Iruzabala Lari	r the absences regui, Beatrice	s. WITHHOLI Ballini, Anna	D 3			
1.9		Elect Director Isabelle Parize	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during the WITHHOLD votes are warranted for incumbend Ballini, Anna Makanju, and Erhard Schoewel, a of the pay program. A vote FOR the remaining	e fiscal year un t compensation lue to persister	nder review v n committee nt compensa	vithout an a members M tion-related	cceptable rea Iaria Arambui	son for the ab ruzabala Larreg	sences. gui, Beatrice				
		Blended Rationale: WITHHOLD votes are warn and committee meetings held during the fiscal votes are warranted for incumbent compensat Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under re ion committee ent compensat	view without members Ma ion-related c	an accepta Aria Arambu	ble reason fo. Iruzabala Lari	r the absences regui, Beatrice	s. WITHHOLI Ballini, Anna	D 3			
1.10		Elect Director Erhard Schoewel	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during the WITHHOLD votes are warranted for incumben. Ballini, Anna Makanju, and Erhard Schoewel, a of the pay program. A vote FOR the remaining	e fiscal year un t compensation lue to persister	nder review v n committee nt compensa	vithout an a members M tion-related	cceptable rea Iaria Arambui	son for the ab ruzabala Larreg	sences. gui, Beatrice				
		Blended Rationale: WITHHOLD votes are warrand committee meetings held during the fiscal votes are warranted for incumbent compensations. Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director needs to be a superior of the committee.	year under re ion committee ent compensat	view without members Ma ion-related c	an accepta aria Arambu	ble reason fo. Iruzabala Lari	r the absences regui, Beatrice	s. WITHHOLI Ballini, Anna	D 3			
1.11		Elect Director Robert Singer	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during the WITHHOLD votes are warranted for incumben Ballini, Anna Makanju, and Erhard Schoewel, a of the pay program. A vote FOR the remaining	e fiscal year un t compensation lue to persister	nder review v n committee nt compensa	vithout an a members M tion-related	cceptable rea Iaria Arambui	son for the ab ruzabala Larreg	sences. gui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensat Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under re ion committee ent compensat	view without members Ma ion-related c	an accepta aria Arambu	ble reason fo. Iruzabala Lari	r the absences regui, Beatrice	s. WITHHOLI Ballini, Anna	D 3			

## Coty Inc.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes

Voting Policy Rationale: A vote AGAINST this proposal is warranted. CEO Nabi's pay declined for the year in review, consisting only of base salary, following her \$280 million sign-on RSU award in the prior year. However, a review of the pay program reveals persistent concerning features. Specifically, NEO pay consisted primarily of base salary and equity awards that lack performance vesting conditions. Investors increasingly expect a meaningful portion of incentives to be tied to pre-set performance goals. Further, there are renewed concerns regarding high base salaries without a compelling rationale. Notably, these significant concerns for the year in review follow multiple years of problematic pay decisions at the company.

Blended Rationale: A vote AGAINST this proposal is warranted. CEO Nabi's pay declined for the year in review, consisting only of base salary, following her \$280 million sign-on RSU award in the prior year. However, a review of the pay program reveals persistent concerning features. Specifically, NEO pay consisted primarily of base salary and equity awards that lack performance vesting conditions. Investors increasingly expect a meaningful portion of incentives to be tied to pre-set performance goals. Further, there are renewed concerns regarding high base salaries without a compelling rationale. Notably, these significant concerns for the year in review follow multiple years of problematic pay decisions at the company.

Ratify Deloitte & Touche LLP as Mgmt
Auditors

Yes For For

Fo

Additional

Voting

No.

No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	000391692	Confirmed	dnicoll	dnicoll		73,139	73,139
			10/27/2022	10/27/2022			
					= Total Shares:	73,139	73,139

#### **Meeting Results**

Outstanding Shares Voted: 872,925,305

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	20.0%	607,696,010	80.0%	151,763,817	20.0%	0	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	1.0%	751,758,889	99.0%	7,700,938	1.0%	0	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	3.7%	731,251,012	96.3%	28,208,815	3.7%	0	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	1.2%	750,248,263	98.8%	9,211,564	1.2%	0	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	20.6%	603,200,386	79.4%	156,259,441	20.6%	0	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	15.8%	639,698,694	84.2%	119,761,133	15.8%	0	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	15.6%	640,769,964	84.4%	118,689,863	15.6%	0	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	1.0%	752,063,388	99.0%	7,396,439	1.0%	0	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	0.6%	754,639,679	99.4%	4,820,148	0.6%	0	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	19.5%	611,637,066	80.5%	147,822,761	19.5%	0	N/A	Proxy	F+A
1.11	Υ	Pass	No	For	1.4%	748,963,487	98.6%	10,496,340	1.4%	0	N/A	Proxy	F+A
2	Υ	Pass	No	For	22.2%	590,354,580	77.8%	168,839,178	22.2%	266,069	N/A	Proxy	F+A
3	Υ	Pass	No	For	1.6%	790,316,084	98.4%	12,815,276	1.6%	184,796	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Coherent Corp.**

**Meeting Date:** 11/16/2022 **Record Date:** 09/15/2022

/16/2022 **Country:** USA

Meeting Type: Annual

Ticker: COHR
Meeting ID: 1688648

Proxy Level: 3

Primary Security ID: 19247G107

Primary CUSIP: 19247G107

**Primary ISIN:** US19247G1076

Primary SEDOL: BNG8Z81

**Earliest Cutoff Date:** 11/15/2022 **Votable Shares:** 6,078 Total Ballots: 1
\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 6,078

Additional Policy: Shares Voted: 6,078

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a	Elect Director Enrico Digirolamo		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote F	OR the directo	or nominees is	warranted.								
	Blended Rationale: A vote FOR to	he director nor	minees is warr	anted.								
1b	Elect Director David L. Motley		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote F	OR the directo	or nominees is	warranted.								
	Blended Rationale: A vote FOR to	he director nor	minees is warr	anted.								
1c	Elect Director Shaker Sadasivam	1	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote F	OR the directo	or nominees is	warranted.								
	Blended Rationale: A vote FOR to	he director nor	minees is warr	anted.								
1d	Elect Director Lisa Neal-Graves		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote F	OR the directo	r nominees is	warranted.								
	Blended Rationale: A vote FOR to	he director nor	minees is warr	anted.								
2	Advisory Vote to Ratify Named Executive Officers' Compensatio	n	Mgmt	Yes	For	For		For	For	No	No	No
3	Ratify Ernst & Young LLP as Auc	litors	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	d	Approv	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	000391692	Confirmed	jbisset	jbisset		6,078	6,078
			11/09/2022	11/09/2022			
					Total Shares:	6,078	6,078

## Meeting Results

Outstanding Shares Voted: 164,500,790

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	8.2%	120,372,972	91.8%	10,747,099	8.2%	384,485	N/A	Proxy	F+A
1b	Υ	Pass	No	For	2.5%	128,110,968	97.5%	3,311,630	2.5%	81,958	N/A	Proxy	F+A
1c	Υ	Pass	No	For	1.6%	129,346,741	98.4%	2,071,735	1.6%	86,080	N/A	Proxy	F+A
1d	Υ	Pass	No	For	0.9%	130,202,844	99.1%	1,233,657	0.9%	68,055	N/A	Proxy	F+A
2	Υ	Pass	No	For	4.6%	125,467,665	95.4%	5,874,006	4.5%	162,885	0.1%	Proxy	F+A+AB
3	Y	Pass	No	For	1.2%	142,761,468	98.8%	1,652,091	1.1%	106,354	0.1%	Proxy	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## Ruffer Illiquid Multi Strategies Fund 2015 Ltd.

Meeting Date: 11/28/2022

Country: Guernsey

Ticker: N/A

Proxy Level: N/A

**Record Date:** 

Primary Security ID: G9402A105

Meeting Type: Written Consent Primary CUSIP: G9402A105

Meeting ID: 1699457

Primary SEDOL: BSPCBR0

Earliest Cutoff Date: 11/22/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 15,461,800

\*Shares on Loan: 0

Shares Instructed: 15,461,800

Primary ISIN: GG00BSPCBR02

Shares Voted: 15,461,800

15,461,800

Prop Num	-	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Written Consent	Mgmt	No								
1		Approve Matters Relating to the Re-designation of Ordinary Shares and Tender Offer	Mgmt	Yes	For	Refer		Refer	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	dnicoll	dnicoll		15,461,800	15,461,800
			11/21/2022	11/21/2022			
					= Total Shares:	15,461,800	15,461,800

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A

#### The PRS REIT Plc

Meeting Date: 11/28/2022 **Record Date:** 11/24/2022

Country: United Kingdom Meeting Type: Annual

Ticker: PRSR

Proxy Level: N/A

Primary Security ID: G7282R107

Primary CUSIP: G7282R107

Meeting ID: 1690565 Primary ISIN: GB00BF01NH51

Primary SEDOL: BF01NH5

Earliest Cutoff Date: 11/23/2022 Votable Shares: 1,500,000

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS

Shares Instructed: 1,500,000

**Additional Policy: Shares Voted:** 1,500,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Re-elect Stephen Smith as Director	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: A vote FOR the re-election of Steve Smith, Steffan Francis, Rod MacRae, Geeta Nanda, and Jim Prower is warranted because no significant concerns have been identified.

Blended Rationale: A vote FOR the re-election of Steve Smith, Steffan Francis, Rod MacRae, Geeta Nanda, and Jim Prower is warranted because no significant concerns have been identified.

## The PRS REIT Plc

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4		Re-elect Steffan Francis as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-election is warranted because no significant concerns h			n Francis, F	Rod MacRae,	Geeta Nanda,	and Jim Prov	ver			
		Blended Rationale: A vote FOR the re-election warranted because no significant concerns have		-	ancis, Rod M	lacRae, Geeta	a Nanda, and .	lim Prower is	5			
5		Re-elect Roderick MacRae as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elective warranted because no significant concerns h		-	n Francis, F	Rod MacRae, (	Geeta Nanda,	and Jim Prov	wer			
		Blended Rationale: A vote FOR the re-election warranted because no significant concerns have		-	ancis, Rod M	lacRae, Geeta	a Nanda, and .	Iim Prower is	5			
6		Re-elect Geeta Nanda as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elective warranted because no significant concerns h		-	n Francis, F	Rod MacRae, (	Geeta Nanda,	and Jim Prov	wer			
		Blended Rationale: A vote FOR the re-election warranted because no significant concerns have		-	ancis, Rod M	lacRae, Geeta	a Nanda, and .	lim Prower is	5			
7		Re-elect Jim Prower as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elective warranted because no significant concerns h			n Francis, F	Rod MacRae, (	Geeta Nanda,	and Jim Prov	ver			
		Blended Rationale: A vote FOR the re-election warranted because no significant concerns hav		•	ancis, Rod M	lacRae, Geeta	a Nanda, and .	lim Prower is	5			
8		Reappoint RSM UK Audit LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
10		Approve Dividend Policy	Mgmt	Yes	For	For		For	For	No	No	No
11		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reso recommended limits; and * The Company has				•						
		Blended Rationale: A vote FOR these resolution recommended limits; and * The Company has							lue			
12		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reso recommended limits; and * The Company has			-	-						
		Blended Rationale: A vote FOR these resolution recommended limits; and * The Company has							lue			
13		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reso recommended limits; and * The Company has				•						
		Blended Rationale: A vote FOR these resolution recommended limits; and * The Company has							lue			
14		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

#### The PRS REIT Plc

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		1,500,000	1,500,000
			11/21/2022	11/21/2022			
					Total Shares:	1,500,000	1,500,000

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Juistan	uning Snares	voteu.			Resi	uits Available. Falu	aı						
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	391,854,418	100.0%	0	0.0%	305,843	N/A	Poll	F+A
2	Υ	Pass	No	For	0.5%	390,262,447	99.5%	1,896,508	0.5%	1,306	N/A	Poll	F+A
3	Υ	Pass	No	For	6.2%	367,810,282	93.8%	24,348,673	6.2%	1,306	N/A	Poll	F+A
4	Υ	Pass	No	For	0.7%	389,264,925	99.3%	2,893,222	0.7%	2,114	N/A	Poll	F+A
5	Υ	Pass	No	For	0.7%	389,267,936	99.3%	2,891,019	0.7%	1,306	N/A	Poll	F+A
6	Υ	Pass	No	For	0.7%	389,260,530	99.3%	2,897,617	0.7%	2,114	N/A	Poll	F+A
7	Υ	Pass	No	For	0.7%	389,243,936	99.3%	2,915,019	0.7%	1,306	N/A	Poll	F+A
8	Υ	Pass	No	For	0.0%	378,005,896	100.0%	66,500	0.0%	14,087,865	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	392,120,732	100.0%	38,223	0.0%	1,306	N/A	Poll	F+A
10	Υ	Pass	No	For	0.0%	392,154,560	100.0%	0	0.0%	5,701	N/A	Poll	F+A
11	Υ	Pass	No	For	1.2%	387,360,176	98.8%	4,794,384	1.2%	5,701	N/A	Poll	F+A
12	Υ	Pass	No	For	0.2%	391,492,605	99.8%	666,350	0.2%	1,306	N/A	Poll	F+A
13	Υ	Pass	No	For	4.0%	376,530,749	96.0%	15,628,206	4.0%	1,306	N/A	Poll	F+A
14	Υ	Pass	No	For	4.6%	374,159,803	95.4%	17,941,881	4.6%	58,577	N/A	Poll	F+A
15	Y	Pass	No	For	2.0%	384,350,531	98.0%	7,806,350	2.0%	3,380	N/A	Poll	F+A

## **Grupo Aeroportuario del Centro Norte SAB de CV**

Meeting Date: 11/30/2022Country: MexicoTicker: OMABProxy Level: N/ARecord Date: 11/22/2022Meeting Type: Ordinary ShareholdersMeeting ID: 1696992

Primary Security ID: P49530101 Primary CUSIP: P49530101 Primary ISIN: MX010M000018 Primary SEDOL: B1KFX13

Earliest Cutoff Date: 11/22/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy:

Votable Shares: 85,000 \*Shares on Loan: 0 Shares Instructed: 85,000 Shares Voted: 85,000

Additional Voting Vote Vote Significant Votable Policy Policy Against Against Against Proposal Mgmt Vote Number Vote Proposal Text Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS Receive Report on Share Purchase Mgmt Yes For For For For No No No

Agreement Entered into Among Shareholders of Company Dated July 31, 2022

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Grupo Aeroportuario del Centro Norte SAB de CV**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2		Receive Report on Resignation of Members and Chairman of Board of Directors	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 2 an information on the resignation of directors; * To proposed board is at least one-third independent expectations of institutional shareholders. Item	he company h nt and contain	as disclosed s at least tw	the names	of the new di	irector nomine	es; and * Ti	he			
		Blended Rationale: A vote FOR Items 2 and 4.a the resignation of directors; * The company ha is at least one-third independent and contains institutional shareholders. Item 3 is a non-votin	s disclosed the at least two inc	names of ti	he new dire	ctor nominee	s; and * The p	proposed bo				
3		Information on Election of Three Directors Designated by Series BB Shareholders	Mgmt	No								
		Voting Policy Rationale: A vote FOR Items 2 an information on the resignation of directors; * T. proposed board is at least one-third independent expectations of institutional shareholders. Item	he company h nt and contain	as disclosed s at least tw	the names	of the new di	irector nomine	es; and * Ti	he			
		Blended Rationale: A vote FOR Items 2 and 4.a the resignation of directors; * The company ha is at least one-third independent and contains a institutional shareholders. Item 3 is a non-votin	s disclosed the at least two inc	e names of ti	he new dire	ctor nominee	s; and * The p	proposed bo				
4.a		Elect Eric Delobel as Director Representing Series B Shareholders	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 2 an information on the resignation of directors; * T. proposed board is at least one-third independent expectations of institutional shareholders. Item	he company h nt and contain	as disclosed s at least tw	the names	of the new di	irector nomine	es; and * Ti	he			
		Blended Rationale: A vote FOR Items 2 and 4.a the resignation of directors; * The company ha is at least one-third independent and contains a institutional shareholders. Item 3 is a non-votin	s disclosed the at least two inc	e names of ti	he new dire	ctor nominee	s; and * The p	proposed bo				
4.b		Elect Pierre-Hughes Schmit as Director Representing Series B Shareholders	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 2 an information on the resignation of directors; * Toproposed board is at least one-third independent expectations of institutional shareholders. Item	he company h nt and contain	as disclosed s at least tw	the names	of the new di	irector nomine	es; and * Ti	he			
		Blended Rationale: A vote FOR Items 2 and 4.a the resignation of directors; * The company ha is at least one-third independent and contains a institutional shareholders. Item 3 is a non-voting	s disclosed the at least two inc	names of ti	he new dire	ctor nominee	s; and * The p	proposed bo				
4.c		Elect Emmanuelle Huon as Director Representing Series B Shareholders	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 2 an information on the resignation of directors; * T. proposed board is at least one-third independent expectations of institutional shareholders. Item	he company h nt and contain	as disclosed s at least tw	the names	of the new di	irector nomine	es; and * Ti	he			
		Blended Rationale: A vote FOR Items 2 and 4.a the resignation of directors; * The company ha is at least one-third independent and contains a institutional shareholders. Item 3 is a non-voting	s disclosed the at least two inc	e names of ti	he new dire	ctor nominee	s; and * The p	proposed bo				

# **Grupo Aeroportuario del Centro Norte SAB de CV**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5.a	Elect Nicolas Notebaert as Boa Chairman	rd	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote information on the resignation proposed board is at least one- expectations of institutional sha	of directors; * T third independe	The company hent and contain	as disclosed s at least tw	the names of	of the new di	irector nomine	es; and * Tl	he			
	Blended Rationale: A vote FOR the resignation of directors; * ' is at least one-third independer institutional shareholders. Item	The company hant and contains	as disclosed the at least two inc	e names of t	he new dired	ctor nominee	es; and * The p	proposed bo				
5.b	Ratify Adriana Diaz Galindo (Non-Member) as Board Secre	tary	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote information on the resignation proposed board is at least one-expectations of institutional sha	of directors; * T third independe	The company hent and contain	as disclosed s at least tw	the names of	of the new di	irector nomine	es; and * Tl	he			
	Blended Rationale: A vote FOR the resignation of directors; * ! is at least one-third independer institutional shareholders. Item	The company hant nt and contains	as disclosed the at least two inc	e names of t	he new dired	ctor nominee	es; and * The p	proposed boo				
6	Authorize Board to Ratify and Approved Resolutions	Execute	Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable S	hares	Shares \	oted/
CAT, 391692	391692	Confirmed	jbisset		jbisset				85,00	0	85,0	00
			11/21/2022	2	11/21/202	22						

Total Shares:

85,000

85,000

# Meeting Results

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
3	N												
4.a	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
4.b	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
4.c	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
5.a	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
5.b	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Schroder BSC Social Impact Trust Plc**

Meeting Date: 12/02/2022 **Record Date:** 11/30/2022

Country: United Kingdom

Ticker: SBSI Meeting ID: 1693206 Proxy Level: N/A

Primary Security ID: G788A1125

Meeting Type: Annual Primary CUSIP: G788A1125

Primary ISIN: GB00BF781319

Primary SEDOL: BF78131

Earliest Cutoff Date: 11/29/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable S	hares: 1,128,85	0	*Shares on Loan: 0			Shares I	nstructed: 1,1	28,850		Shares Voted	: 1,128,850		
Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	V A Is

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Dividend Policy	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
4		Re-elect Susannah Nicklin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect warranted because no significant concerns have			Mike Balfour	, James Brod	lerick and Alice	Chapple is				
		Blended Rationale: A vote FOR the re-election warranted because no significant concerns hav			Balfour, Jan	nes Broderick	and Alice Cha	ople is				
5		Re-elect Mike Balfour as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect warranted because no significant concerns have		-	Mike Balfour	, James Brod	lerick and Alice	Chapple is				
		Blended Rationale: A vote FOR the re-election warranted because no significant concerns hav			Balfour, Jan	nes Broderick	and Alice Cha	ople is				
6		Re-elect James Broderick as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect warranted because no significant concerns have		-	Mike Balfour	, James Brod	lerick and Alice	Chapple is				
		Blended Rationale: A vote FOR the re-election warranted because no significant concerns have		-	Balfour, Jan	nes Broderick	and Alice Cha	pple is				
7		Re-elect Alice Chapple as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect warranted because no significant concerns have		-	Mike Balfour	, James Brod	lerick and Alice	Chapple is				
		Blended Rationale: A vote FOR the re-election warranted because no significant concerns hav			Balfour, Jan	nes Broderick	and Alice Cha	pple is				
8		Reappoint BDO LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
10		Approve Amended Investment Policy	Mgmt	Yes	For	For		For	For	No	No	No
11		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorecommended limits.	lutions is warra	anted becaus	se the propo	osed amounts	s and duration	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because th	e proposed	amounts and	d durations are	within				
12		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorecommended limits.	lutions is warra	anted becaus	se the prop	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because th	e proposed	amounts and	durations are	within			-	
13		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Schroder BSC Social Impact Trust Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
14		Authorise the Company to Call General	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		1,128,850	1,128,850
			11/29/2022	11/29/2022	_		_
					Total Shares:	1,128,850	1,128,850

## **Meeting Results**

Outstan	ding Shares	Voted: 85,316,	586		Resu	ılts Available: Full							
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	59,674,767	100.0%	0	0.0%	0	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	59,674,767	100.0%	0	0.0%	0	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	59,674,767	100.0%	0	0.0%	0	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	59,674,767	100.0%	0	0.0%	0	N/A	Poll	F+A
5	Υ	Pass	No	For	0.0%	59,674,767	100.0%	0	0.0%	0	N/A	Poll	F+A
6	Υ	Pass	No	For	0.0%	59,674,767	100.0%	0	0.0%	0	N/A	Poll	F+A
7	Υ	Pass	No	For	0.0%	59,674,767	100.0%	0	0.0%	0	N/A	Poll	F+A
8	Υ	Pass	No	For	0.0%	59,674,767	100.0%	0	0.0%	0	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	59,674,767	100.0%	0	0.0%	0	N/A	Poll	F+A
10	Υ	Pass	No	For	0.0%	59,674,767	100.0%	0	0.0%	0	N/A	Poll	F+A
11	Υ	Pass	No	For	0.0%	59,674,767	100.0%	0	0.0%	0	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	59,674,767	100.0%	0	0.0%	0	N/A	Poll	F+A
13	Υ	Pass	No	For	0.0%	59,674,767	100.0%	0	0.0%	0	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	59,674,767	100.0%	0	0.0%	0	N/A	Poll	F+A

## **Yara International ASA**

Meeting Date: 12/06/2022 **Record Date:** 12/05/2022

Primary Security ID: R9900C106

Country: Norway

Meeting Type: Extraordinary

Shareholders

Ticker: YAR **Meeting ID:** 1696586 Proxy Level: N/A

Primary CUSIP: R9900C106

Primary ISIN: NO0010208051

Primary SEDOL: 7751259

## Yara International ASA

Earliest Cutoff Date: 11/30/2022 Total Ballots: 1 Voting Policy: ISS **Additional Policy:** 

Shares Voted: 25,000 Votable Shares: 25,000 \*Shares on Loan: 0 Shares Instructed: 25,000

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Notice of Meeting an	nd Agenda	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These	are routine mee	eting formalitie	5.								
		Blended Rationale: These are	routine meeting	formalities.									
2		Elect Ketil E. Boe as Chairmar Meeting; Designate Lars Matt Hanssen as Inspector of Minu Meeting	is H.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These	are routine mee	eting formalitie	5.								
		Blended Rationale: These are	routine meeting	formalities.									
3		Approve Additional Dividends 10.00 Per Share	of NOK	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	nils												
Institutional (IA Name, IA	Account Detail A Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
CAT, 391692		391692	Confirmed	jbisset		jbisset				25,00	00	25,1	000
				11/23/202	2	11/23/20	22						

## **Meeting Results**

**Outstanding Shares Voted:** Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	156,070,441	100.0%	0	N/A	167	0.0%	Poll	F+A+AB
2	Υ	Pass	No	For	0.0%	156,070,194	100.0%	1	0.0%	413	0.0%	Poll	F+A+AB
3	V	Pacc	No	For	0.0%	156 045 666	100.0%	24 832	0.0%	110	0.0%	Poll	F±A±AR

Total Shares:

25,000

25,000

## **Prosegur Cash SA**

Meeting Date: 12/07/2022 Country: Spain Ticker: CASH Proxy Level: N/A

**Record Date:** 12/02/2022 Meeting Type: Extraordinary **Meeting ID:** 1694090

Shareholders

**Primary ISIN:** ES0105229001 Primary SEDOL: BDH3Q71 Primary Security ID: E8S56X108 Primary CUSIP: E8S56X108

Earliest Cutoff Date: 12/01/2022 Total Ballots: 1 Voting Policy: ISS **Additional Policy:** 

Votable Shares: 209,359 \*Shares on Loan: 0 Shares Instructed: 209,359 Shares Voted: 209,359

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Dividends Charged Against Reserves	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend the Maximum Amount of the Reduction in Share Capital Approved at the June 1, 2022 AGM	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Prosegur Cash SA**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Amend Long-Term Global Opt Plan	imum	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote awards under this Global Opti pay-for-performance link and requirement to return share a Dec. 31, 2023, under undisclo	mum LTIP time decorrelates exc wards (or the ca	-based only. * 1 ecutive long-ten ash equivalent)	The proposed m compensa to the comp	d amendmen ation from sh any in case a	nt therefore v nareholder ex	veakens the operience. * Th	e associated	1		•	
		Blended Rationale: A vote AG, under this Global Optimum LT and decorrelates executive lor awards (or the cash equivalen undisclosed conditions does no	IP time-based of g-term compen t) to the compa	only. * The prop esation from sha eny in case a bel	osed amend oreholder exp	lment therefa perience. * T	ore weakens The associate	the pay-for-pe ed requirement	erformance li to return sh	ink			
4		Amend Remuneration Policy f 2023-2025	or FY	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote awards under this Global Opti pay-for-performance link and requirement to return share a Dec. 31, 2023, under undisclo	mum LTIP time decorrelates exc wards (or the ca	-based only. * 1 ecutive long-ten ash equivalent)	The proposed m compensa to the comp	d amendmen ation from sh any in case a	nt therefore v nareholder ex	veakens the operience. * Th	e associated	1			
		Blended Rationale: A vote AGA under this Global Optimum LT and decorrelates executive lor awards (or the cash equivalen undisclosed conditions does no	IP time-based of g-term compen t) to the compa	only. * The prop esation from sha eny in case a bel	osed amend oreholder exp	lment therefa perience. * T	ore weakens The associate	the pay-for-pe ed requirement	erformance li to return sh	ink		-	
5		Authorize Board to Ratify and Approved Resolutions	Execute	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
-anot bett		Custodian	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable S	hares		
	Account Detail A Number)	Account Number	Danot Status							Votable 3	iiai es	Shares	Voted
Institutional		Account Number	Confirmed	jbisset		jbisset				209,3		Shares 209,	
Institutional (IA Name, I					2	jbisset 11/30/20	22						

## **Meeting Results**

Outstanding Shares Voted: 1,522,946,683 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	1.0%	1,324,049,550	99.0%	13,846,514	1.0%	0	0.0%	Poll	F+A+AB
2	Υ	Pass	No	For	0.0%	1,337,843,049	100.0%	53,015	0.0%	0	0.0%	Poll	F+A+AB
3	Υ	Pass	No	For	3.9%	1,285,112,007	96.1%	52,784,057	3.9%	0	0.0%	Poll	F+A+AB
4	Υ	Pass	No	For	4.0%	1,284,715,795	96.0%	53,180,269	4.0%	0	0.0%	Poll	F+A+AB
5	Υ	Pass	No	For	0.0%	1,337,843,049	100.0%	53,015	0.0%	0	0.0%	Poll	F+A+AB

## **Associated British Foods Plc**

Meeting Date: 12/09/2022

**Record Date:** 12/07/2022

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G05600138

Meeting ID: 1697107

Ticker: ABF

**Primary ISIN:** GB0006731235

Proxy Level: N/A

Primary SEDOL: 0673123

Earliest Cutoff Date: 12/06/2022 Votable Shares: 75,000

Primary Security ID: G05600138

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS Shares Instructed: 75,000 **Additional Policy:** Shares Voted: 75,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
5		Re-elect Emma Adamo as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this candid	late is warrant	ed as no sigi	nificant cond	erns have be	een identified.					
		Blended Rationale: A vote FOR this candidate is	is warranted as	s no significa	nt concerns	have been id	dentified.					
6		Re-elect Graham Allan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this candid	late is warrant	ed as no sigi	nificant cond	erns have be	een identified.					
		Blended Rationale: A vote FOR this candidate is	is warranted as	s no significa	nt concerns	have been id	dentified.					
7		Re-elect John Bason as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this candid	late is warrant	ed as no sigi	nificant conc	erns have be	een identified.					
		Blended Rationale: A vote FOR this candidate is	is warranted as	s no significa	nt concerns	have been id	dentified.					
8		Re-elect Ruth Cairnie as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this candid	date is warrant	ed as no sigi	nificant cond	erns have be	een identified.					
		Blended Rationale: A vote FOR this candidate in	is warranted as	s no significa	nt concerns	have been id	dentified.					
9		Re-elect Wolfhart Hauser as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this candid	date is warrant	ed as no sigi	nificant conc	erns have be	een identified.					
		Blended Rationale: A vote FOR this candidate in	is warranted as	s no significa	nt concerns	have been id	dentified.					
10		Re-elect Michael McLintock as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this candid	late is warrant	ed as no sigi	nificant cond	erns have be	een identified.					
		Blended Rationale: A vote FOR this candidate is	is warranted as	s no significa	nt concerns	have been id	dentified.					
11		Re-elect Dame Heather Rabbatts as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this candid	late is warrant	ed as no sigi	nificant cond	erns have be	een identified.					
		Blended Rationale: A vote FOR this candidate is	is warranted as	s no significa	nt concerns	have been id	dentified.					
12		Re-elect Richard Reid as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this candid	late is warrant	ed as no sigi	nificant conc	erns have be	een identified.					
		Blended Rationale: A vote FOR this candidate is	is warranted as	s no significa	nt concerns	have been id	dentified.					
13		Re-elect George Weston as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this candid	date is warrant	ed as no sigi	nificant cond	erns have be	een identified.					
		Blended Rationale: A vote FOR this candidate is	is warranted as	no significa	nt concerns	have been id	dentified.					

## **Associated British Foods Plc**

	gnificant ote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
14		Reappoint Ernst & Young LLP Auditors	as	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise the Audit Committe Remuneration of Auditors	e to Fix	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise UK Political Donatio Expenditure	ns and	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Authorise Issue of Equity without Mgmt Yes For For For For No											
18		Authorise Issue of Equity with Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	l because the	e proposed	amounts and	l durations are	within				
19		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorise the Company to Cal Meeting with Two Weeks' Not		Mgmt	Yes	For	For		For	For	No	No	No
21		Approve Restricted Share Plan	1	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details													
Institutional Acco		tail Custodian Account Number Ballot Status Instructed Approved Ballot Voting Status Votable Shares									Shares	Voted	
CAT, 391692		391692	Confirmed	jbisset		jbisset				75,00	0	75,0	100

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
CAT, 391692	391692	Confirmed	jbisset	jbisset		75,000	75,000
			12/02/2022	12/02/2022			
					Total Shares:	75,000	75,000

## **Meeting Results**

Outstanding Shares Voted: 789,612,902

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	693,860,360	100.0%	25,273	0.0%	168,831	N/A	Poll	F+A
2	Υ	Pass	No	For	0.9%	686,958,267	99.1%	6,168,155	0.9%	928,042	N/A	Poll	F+A
3	Υ	Pass	No	For	7.6%	638,749,317	92.4%	52,765,749	7.6%	2,539,398	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	694,014,171	100.0%	23,173	0.0%	17,120	N/A	Poll	F+A
5	Y	Pass	No	For	0.2%	692,302,947	99.8%	1,727,878	0.2%	23,639	N/A	Poll	F+A
6	Y	Pass	No	For	1.2%	685,776,602	98.8%	8,254,201	1.2%	23,661	N/A	Poll	F+A
7	Y	Pass	No	For	1.2%	685,397,132	98.8%	8,633,582	1.2%	23,750	N/A	Poll	F+A
8	Y	Pass	No	For	2.1%	677,335,680	97.9%	14,189,424	2.1%	2,529,360	N/A	Poll	F+A
9	Y	Pass	No	For	1.2%	685,771,084	98.8%	8,255,071	1.2%	28,309	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
10	Υ	Pass	No	For	1.5%	681,030,184	98.5%	10,387,594	1.5%	2,636,686	N/A	Poll	F+A
11	Υ	Pass	No	For	1.0%	684,740,078	99.0%	6,778,928	1.0%	2,531,468	N/A	Poll	F+A
12	Υ	Pass	No	For	1.2%	683,263,282	98.8%	8,256,760	1.2%	2,530,432	N/A	Poll	F+A
13	Υ	Pass	No	For	0.0%	693,862,778	100.0%	165,668	0.0%	22,028	N/A	Poll	F+A
14	Υ	Pass	No	For	0.1%	693,318,609	99.9%	709,271	0.1%	22,594	N/A	Poll	F+A
15	Υ	Pass	No	For	0.0%	693,911,631	100.0%	115,268	0.0%	23,575	N/A	Poll	F+A
16	Υ	Pass	No	For	0.5%	690,340,090	99.5%	3,684,006	0.5%	26,378	N/A	Poll	F+A
17	Υ	Pass	No	For	2.1%	676,951,973	97.9%	14,564,373	2.1%	2,534,128	N/A	Poll	F+A
18	Υ	Pass	No	For	0.1%	692,139,654	99.9%	992,700	0.1%	918,120	N/A	Poll	F+A
19	Υ	Pass	No	For	0.1%	693,356,215	99.9%	650,187	0.1%	44,072	N/A	Poll	F+A
20	Υ	Pass	No	For	2.8%	671,874,973	97.2%	19,649,455	2.8%	2,526,046	N/A	Poll	F+A
21	Υ	Pass	No	For	6.1%	649,051,271	93.9%	42,456,832	6.1%	2,546,361	N/A	Poll	F+A

#### **PARAMETERS**

Location(s): All Locations

Account Group(s): All Account Groups

Institution Account(s): CAT

Custodian Account(s): All Custodian Accounts

Additional Policy: None ADR Meetings: All Meetings Ballot Statuses: All Statuses Contrary Votes: All Votes Date Format: MM/DD/YYYY ESG Pillar: All Pillars

Header Display: Repeat Headers for Any Meeting Split by Multiple Pages Markets: All Markets

Meeting ID's: All Meeting ID's Meeting Types: All Meeting Types PoA Markets: All Markets Proposal Category: All Categories Proposal Proponents: All Proponents Proposal Subcategory: All Subcategories Rationale: All Rationale

Recommendations: All Recommendations Record Date Markets: All Markets

Reregistration Meetings: Include Reregistration Meetings

Shareblocking Markets: All Markets

Significant Vote: None

Sort Order: Meeting Date, Company Name

Vote Instructions: All Instructions Voting Policies: All Policies

Zero (0) Share Ballots: Exclude 0 Share Ballots

Account Watchlist: None Country Watchlist: None Issuer Watchlist: None Proposal Code Watchlist: None

Proposal Code Watchlist - Agenda Output: Include Exact Matches Only



# Voting summary 2022

# LF RUFFER ABSOLUTE RETURN FUND



REPORTING PERIOD: 01/01/2022 to 12/31/2022

## LOCATION(S): ALL LOCATIONS

INSTITUTION ACCOUNT(S): RARF

## **Voting Statistics**

	Total	Percent
Votable Meetings	85	
Meetings Voted	85	100.00%
Meetings with One or More Votes Against Management	31	36.47%
Votable Ballots	86	
Ballots Voted	86	100.00%

Note: A meeting is votable when one or more ballots are eligible to vote at the meeting, and differences in votable meetings and ballots occurs when multiple ballots are available to vote for the same meeting.

	Manageme	nt Proposals	Shareholder	Proposals	All Pro	posals
	Total	Percent	Total	Percent	Total	Percent
Votable Proposals	1329		91		1420	
Proposals Voted	1329	100.00%	91	100.00%	1420	100.00%
FOR Votes	1277	96.09%	40	43.96%	1317	92.75%
AGAINST Votes	44	3.31%	51	56.04%	95	6.69%
ABSTAIN Votes	1	0.08%	0	0.00%	1	0.07%
WITHHOLD Votes	4	0.30%	0	0.00%	4	0.28%
Votes WITH Management	1280	96.31%	52	57.14%	1332	93.80%
Votes AGAINST Management	49	3.69%	39	42.86%	88	6.20%
Significant Votes	0	0.00%	0	0.00%	0	0.00%

Note: Instructions of Do Not Vote are not considered voted; Frequency on Pay votes of 1, 2 or 3 Years are only reflected statistically, where applicable, but present in the underlying detail; and in cases of different votes submitted across ballots for a given meeting, votes cast are distinctly counted by type per proposal where total votes submitted may be higher than unique proposals voted.

## **Proposal Statistics**

		able osals	Propo Vot		Manage Propo		Shareh Propo		Votes A		Signifi Vot	
	Total	Percent	Total	Percent	Total	Percent	Total	Percent	Total	Percent	Total	Percent
Audit Related	81	5.70%	81	5.70%	80	5.63%	1	0.07%	0	0.00%	0	0.00%
Capitalization	134	9.44%	134	9.44%	134	9.44%	0	0.00%	0	0.00%	0	0.00%
Company Articles	37	2.61%	37	2.61%	36	2.54%	1	0.07%	0	0.00%	0	0.00%
Compensation	171	12.04%	171	12.04%	166	11.69%	5	0.35%	7	0.49%	0	0.00%
Corporate Governance	5	0.35%	5	0.35%	0	0.00%	5	0.35%	3	0.21%	0	0.00%
Director Election	668	47.04%	668	47.04%	668	47.04%	0	0.00%	38	2.68%	0	0.00%
Director Related	83	5.85%	83	5.85%	71	5.00%	12	0.85%	8	0.56%	0	0.00%
E&S Blended	9	0.63%	9	0.63%	4	0.28%	5	0.35%	0	0.00%	0	0.00%
Environmental	24	1.69%	24	1.69%	6	0.42%	18	1.27%	3	0.21%	0	0.00%
Miscellaneous	4	0.28%	4	0.28%	3	0.21%	1	0.07%	0	0.00%	0	0.00%
Mutual Funds	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%
No Research	1	0.07%	1	0.07%	1	0.07%	0	0.00%	0	0.00%	0	0.00%
Non-Routine Business	10	0.70%	10	0.70%	5	0.35%	5	0.35%	5	0.35%	0	0.00%
Routine Business	127	8.94%	127	8.94%	118	8.31%	9	0.63%	8	0.56%	0	0.00%
Social	41	2.89%	41	2.89%	12	0.85%	29	2.04%	16	1.13%	0	0.00%
Strategic Transactions	7	0.49%	7	0.49%	7	0.49%	0	0.00%	0	0.00%	0	0.00%
Takeover Related	18	1.27%	18	1.27%	18	1.27%	0	0.00%	0	0.00%	0	0.00%
Total	1420	100.00%	1420	100.00%	1329	93.59%	91	6.41%	88	6.20%	0	0.00%

## **Ruffer UK Mid and Smaller Companies Fund**

Meeting Date: 01/14/2022

Country: Luxembourg

Ticker: N/A

Proxy Level: N/A

**Record Date:** 01/09/2022

Primary Security ID: L7984E226

Meeting Type: Annual Primary CUSIP: L7984E226 Meeting ID: 1600784

**Primary ISIN:** LU0788206679

Primary SEDOL: B8F4LY3

Earliest Cutoff Date: 01/07/2022

Votable Shares: 395,667

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

\*Shares on Loan: 0

Shares Instructed: 395,667

Shares Voted: 395,667

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Receive Board's and Auditor's Reports	Mgmt	No								
2		Approve Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
3.a		Approve Allocation of Income as of 15 September 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	warranted du	e to the lack	c of concerns	regarding t	his proposal.					
		Blended Rationale: A vote FOR this item is war	ranted due to	the lack of c	oncerns rega	arding this p	roposal.					
3.b		Ratification of Interim Dividends Paid in November 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	warranted du	e to the lack	c of concerns	regarding t	his proposal.					
		Blended Rationale: A vote FOR this item is war	ranted due to	the lack of c	oncerns rega	arding this p	roposal.					
4.1		Approve Remuneration of Director Alain Guerard for 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is remuneration.	warranted be	cause there	is no sign of	excessivene	ess about the b	ooard				
		Blended Rationale: A vote FOR this item is war	ranted because	e there is no	sign of exce	essiveness al	bout the board	remuneration	on.			
4.2		Approve Remuneration of Director Aude Lemogne for 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is remuneration.	warranted be	cause there	is no sign of	<sup>e</sup> excessivene	ess about the b	ooard				
		Blended Rationale: A vote FOR this item is war	ranted because	e there is no	sign of exce	essiveness at	bout the board	remuneration	on.			
5		Approve Discharge of Directors	Mgmt	Yes	For	For		For	For	No	No	No
6.1		Ratification of the Appointment of Gower Michael as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	warranted in	the absence	of any conc	ern about th	e board and its	s compositio	n.			
		Blended Rationale: A vote FOR this item is war	ranted in the a	bsence of a	ny concern a	about the boo	ard and its con	nposition.				
6.2		Re-elect Benjamin Boucher-Ferte as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	warranted in	the absence	of any conc	ern about th	e board and its	s compositio	n.			
		Blended Rationale: A vote FOR this item is war	ranted in the a	bsence of a	ny concern a	about the boo	ard and its con	nposition.				
6.3		Approve Non-Renewal of the Mandate of Myles Marmion as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	warranted in	the absence	of any conc	ern about th	e board and its	s compositio	n.			
		Blended Rationale: A vote FOR this item is war	ranted in the a	bsence of a	ny concern a	about the boo	ard and its con	nposition.				
6.4		Approve Non-Renewal of the Mandate of Mary McBain as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	warranted in	the absence	of any conc	ern about th	e board and it.	s compositio	n.			
		Blended Rationale: A vote FOR this item is war	ranted in the a	bsence of a	ny concern a	about the boo	ard and its con	nposition.				

# **Ruffer UK Mid and Smaller Companies Fund**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6.5		Re-elect Alain Guerard as Dire	ctor	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	FOR this item is	warranted in	the absence	of any conc	ern about th	e board and its	s compositio	n.			
		Blended Rationale: A vote FOR	this item is war	ranted in the a	absence of a	ny concern a	about the boa	ard and its con	nposition.				
6.6		Re-elect Aude Lemogne as Dir	ector	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	FOR this item is	warranted in	the absence	of any conc	ern about th	e board and its	s compositio	ın.			
		Blended Rationale: A vote FOR	this item is war	ranted in the a	absence of a	ny concern a	about the boa	ard and its con	nposition.				
7		Renew Appointment of Ernst a Young as Auditor	ind	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable S	nares	Shares	Voted
RARF, RARF		392452	Confirmed	jbisset		jbisset				395,66	57	395,	667
				01/07/2022	2	01/07/20	22						

Total Shares:

395,667

395,667

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	N												
2	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
3.a	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
3.b	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
4.1	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
4.2	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
5	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.2	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.3	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.4	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.5	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.6	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
7	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB

## **Countryside Properties Plc**

Meeting Date: 01/20/2022 **Record Date:** 01/18/2022

Country: United Kingdom

Meeting Type: Annual

Meeting ID: 1565662

Proxy Level: N/A

Primary Security ID: G24556170

Primary CUSIP: G24556170

Primary ISIN: GB00BYPHNG03

Primary SEDOL: BYPHNG0

Earliest Cutoff Date: 01/17/2022

Total Ballots: 1

Voting Policy: ISS

Ticker: CSP

**Additional Policy:** 

Votable Shares: 13,699,960

\*Shares on Loan: 0

Shares Instructed: 13,699,960

Shares Voted: 13,699,960

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect John Martin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no sig	gnificant cor	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
4		Re-elect Iain McPherson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no sig	gnificant cor	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
5		Re-elect Douglas Hurt as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no sig	gnificant cor	ncerns have b	been identified	,				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
6		Re-elect Amanda Burton as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no sig	gnificant cor	ncerns have b	been identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
7		Re-elect Baroness Sally Morgan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no sig	gnificant cor	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
8		Re-elect Simon Townsend as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no sig	gnificant cor	ncerns have b	been identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
9		Appoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
10		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
11		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorecommended limits.	lutions is warra	anted becau	se the propo	osed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because th	e proposed	amounts and	d durations are	within				
12		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorecommended limits.	lutions is warr	anted becaus	se the propo	osed amounts	s and duration	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because th	e proposed	amounts and	d durations are	within			-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Countryside Properties Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
13		Authorise Issue of Equity with Pre-emptive Rights in Connect an Acquisition or Other Capit Investment	ction with	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	e FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	R these resolutio	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
14		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise UK Political Donation	ons and	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve Change of Company Countryside Partnership Plc	Name to	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise the Company to Ca Meeting with Two Weeks' No		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
RARF, RARF		392452	Confirmed	jbisset		jbisset				13,699,	960	13,699	9,960
				01/07/2022	2	01/07/20	22						

Total Shares:

13,699,960

13,699,960

#### **Meeting Results**

Outstanding Shares Voted: 511,761,463 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	450,275,445	100.0%	2,987	0.0%	310,125	N/A	Poll	F+A
2	Υ	Pass	No	For	8.3%	413,117,193	91.7%	37,464,913	8.3%	6,451	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	450,571,689	100.0%	4,404	0.0%	13,564	N/A	Poll	F+A
4	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
5	Υ	Pass	No	For	12.6%	381,528,864	87.4%	55,004,977	12.6%	14,054,716	N/A	Poll	F+A
6	Υ	Pass	No	For	12.3%	383,001,756	87.7%	53,533,274	12.3%	14,053,527	N/A	Poll	F+A
7	Υ	Pass	No	For	12.0%	383,001,502	88.0%	52,353,264	12.0%	15,233,791	N/A	Poll	F+A
8	Υ	Pass	No	For	8.3%	399,268,457	91.7%	36,085,120	8.3%	15,234,980	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	450,548,084	100.0%	36,234	0.0%	4,239	N/A	Poll	F+A
10	Υ	Pass	No	For	0.0%	450,540,225	100.0%	40,189	0.0%	8,143	N/A	Poll	F+A
11	Υ	Pass	No	For	8.2%	413,435,709	91.8%	36,895,535	8.2%	7,313	N/A	Poll	F+A
12	Υ	Pass	No	For	8.5%	403,401,896	91.5%	37,636,010	8.5%	9,300,651	N/A	Poll	F+A
13	Υ	Pass	No	For	15.1%	374,655,137	84.9%	66,395,682	15.1%	9,287,738	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

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Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
14	Υ	Pass	No	For	0.9%	446,160,978	99.1%	4,120,705	0.9%	56,874	N/A	Poll	F+A
15	Υ	Pass	No	For	8.8%	409,440,615	91.2%	39,705,735	8.8%	1,192,207	N/A	Poll	F+A
16	Υ	Pass	No	For	0.2%	449,483,585	99.8%	852,533	0.2%	2,439	N/A	Poll	F+A
17	Υ	Pass	No	For	1.2%	445,001,044	98.8%	5,332,488	1.2%	5,025	N/A	Poll	F+A

## **Ruffer Illiquid Multi Strategies Fund 2015 Ltd.**

Meeting Date: 02/14/2022 Country: Guernsey Ticker: N/A Proxy Level: N/A

Record Date: Meeting Type: Written Consent Meeting ID: 1605477

Primary Security ID: G9402A105 Primary CUSIP: G9402A105 Primary ISIN: GG00BSPCBR02 Primary SEDOL: BSPCBR0

Earliest Cutoff Date: 02/08/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy:

 Votable Shares: 219,111,505
 \*Shares on Loan: 0
 Shares Instructed: 219,111,505
 Shares Voted: 219,111,505

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Written Consent	Mgmt	No								
1		Approve Matters Relating to the Re-designation of Ordinary Shares and Tender Offer	Mgmt	Yes	For	Refer		Refer	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		219,111,505	219,111,505
			02/10/2022	02/10/2022			

Total Shares: 219,111,505 219,111,505

## **Meeting Results**

Outstanding Shares Voted: Results Available: Not Disclosed

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A

## **Novartis AG**

Meeting Date: 03/04/2022	Country: Switzerland	Ticker: NOVN	Proxy Level: N/A
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 Record Date:
 Meeting Type: Annual
 Meeting ID: 1589873

 Primary Security ID: H5820Q150
 Primary CUSIP: H5820Q150
 Primary ISIN: CH0012005267
 Primary SEDOL: 7103065

Earliest Cutoff Date: 02/25/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy:

Votable Shares: 97,410 \*Shares on Loan: 0 Shares Instructed: 97,410 Shares Voted: 97,410

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Novartis AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
2		Approve Discharge of Board and Senior Management	Mgmt	Yes	For	For		For	For	No	No	No	
3		Approve Allocation of Income and Dividends of CHF 3.10 per Share	Mgmt	Yes	For	For		For	For	No	No	No	
4		Approve CHF 15.3 Million Reduction in Share Capital via Cancellation of Repurchased Shares	Mgmt	Yes	For	For		For	For	No	No	No	
5		Authorize Repurchase of up to CHF 10 Billion in Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No	
6.1		Approve Remuneration of Directors in the Amount of CHF 8.6 Million	Mgmt	Yes	For	For		For	For	No	No	No	
6.2		Approve Maximum Remuneration of Executive Committee in the Amount of CHF 91 Million	Mgmt	Yes	For	For		For	For	No	No	No	
6.3		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No	
7.1		Reelect Joerg Reinhardt as Director and Board Chairman	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: Votes FOR the propose	d nominees ar	e warrantea	<i>l.</i>								
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.									
7.2		Reelect Nancy Andrews as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: Votes FOR the propose	d nominees ar	e warrantea	<i>l.</i>								
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.									
7.3		Reelect Ton Buechner as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: Votes FOR the propose	d nominees ar	e warrantea	1.								
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.									
7.4		Reelect Patrice Bula as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: Votes FOR the proposed nominees are warranted.											
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.									
7.5		Reelect Elizabeth Doherty as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: Votes FOR the propose	d nominees ar	e warrantea	<i>l.</i>								
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.									
7.6		Reelect Bridgette Heller as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: Votes FOR the propose	d nominees ar	e warrantea	<i>l.</i>								
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.									
7.7		Reelect Frans van Houten as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: Votes FOR the propose	d nominees ar	e warranted	1.								
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.									
7.8		Reelect Simon Moroney as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: Votes FOR the propose	d nominees ar	e warrantea	1.								
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.									

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Novartis AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
7.9		Reelect Andreas von Planta as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	1.									
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.										
7.10		Reelect Charles Sawyers as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	<i>1.</i>									
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.										
7.11		Reelect William Winters as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	f.									
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.										
7.12		Elect Ana de Pro Gonzalo as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	<i>1.</i>									
		Blended Rationale: Votes FOR the proposed nominees are warranted.												
7.13		Elect Daniel Hochstrasser as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	<i>1.</i>									
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.										
8.1		Reappoint Patrice Bula as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	t.									
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.										
8.2		Reappoint Bridgette Heller as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	t.									
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.										
8.3		Reappoint Simon Moroney as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	t.									
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.										
8.4		Reappoint William Winters as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No		
	Voting Policy Rationale: Votes FOR the proposed nominees are warranted.													
	Blended Rationale: Votes FOR the proposed nominees are warranted.													
9		Ratify KPMG AG as Auditors	Mgmt	Yes	For	For		For	For	No	No	No		
10		Designate Peter Zahn as Independent Proxy	Mgmt	Yes	For	For		For	For	No	No	No		

#### **Novartis AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11		Transact Other Business (Voting)	Mamt	Yes	For	Against		Against	Against	Yes	Nο	Nο

Voting Policy Rationale: A vote AGAINST is warranted because:\* This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and\* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.

Blended Rationale: A vote AGAINST is warranted because:\* This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and\* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		97,410	97,410
			02/21/2022	02/21/2022			
					Total Shares:	97,410	97,410

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.6%	1,431,157,166	99.4%	3,397,635	0.2%	5,945,878	0.4%	Poll	F+A+AB
2	Υ	Pass	No	For	2.5%	1,403,419,759	97.5%	22,769,861	1.6%	12,794,631	0.9%	Poll	F+A+AB
3	Υ	Pass	No	For	0.6%	1,432,034,011	99.4%	7,092,006	0.5%	1,374,662	0.1%	Poll	F+A+AB
4	Υ	Pass	No	For	0.4%	1,434,332,740	99.6%	3,702,323	0.3%	2,465,616	0.2%	Poll	F+A+AB
5	Υ	Pass	No	For	2.4%	1,405,657,241	97.6%	30,990,702	2.2%	3,852,736	0.3%	Poll	F+A+AB
6.1	Υ	Pass	No	For	3.4%	1,390,678,639	96.5%	44,221,391	3.1%	5,600,649	0.4%	Poll	F+A+AB
6.2	Υ	Pass	No	For	8.3%	1,320,877,978	91.7%	113,067,615	7.8%	6,555,085	0.5%	Poll	F+A+AB
6.3	Υ	Pass	No	For	9.4%	1,305,601,853	90.6%	122,750,787	8.5%	12,148,038	0.8%	Poll	F+A+AB
7.1	Υ	Pass	No	For	4.5%	1,376,102,077	95.5%	62,067,323	4.3%	2,331,279	0.2%	Poll	F+A+AB
7.2	Υ	Pass	No	For	0.8%	1,428,413,320	99.2%	9,789,667	0.7%	2,297,692	0.2%	Poll	F+A+AB
7.3	Υ	Pass	No	For	13.0%	1,253,754,493	87.0%	184,140,756	12.8%	2,605,429	0.2%	Poll	F+A+AB
7.4	Υ	Pass	No	For	2.1%	1,409,650,181	97.9%	28,366,927	2.0%	2,483,571	0.2%	Poll	F+A+AB
7.5	Υ	Pass	No	For	2.3%	1,407,479,326	97.7%	30,281,462	2.1%	2,739,891	0.2%	Poll	F+A+AB
7.6	Υ	Pass	No	For	2.7%	1,401,495,288	97.3%	36,280,654	2.5%	2,724,737	0.2%	Poll	F+A+AB
7.7	Υ	Pass	No	For	1.4%	1,419,795,377	98.6%	18,207,940	1.3%	2,497,362	0.2%	Poll	F+A+AB
7.8	Υ	Pass	No	For	1.0%	1,425,713,419	99.0%	12,215,398	0.8%	2,571,862	0.2%	Poll	F+A+AB
7.9	Υ	Pass	No	For	3.9%	1,383,685,864	96.1%	54,614,355	3.8%	2,200,460	0.2%	Poll	F+A+AB
7.10	Υ	Pass	No	For	2.2%	1,409,385,086	97.8%	28,627,356	2.0%	2,488,237	0.2%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
7.11	Y	Pass	No	For	1.9%	1,413,638,004	98.1%	24,344,941	1.7%	2,517,734	0.2%	Poll	F+A+AB
7.12	Υ	Pass	No	For	2.0%	1,411,592,783	98.0%	25,942,715	1.8%	2,965,181	0.2%	Poll	F+A+AB
7.13	Υ	Pass	No	For	1.9%	1,413,251,342	98.1%	24,502,776	1.7%	2,746,561	0.2%	Poll	F+A+AB
8.1	Y	Pass	No	For	3.0%	1,397,939,177	97.0%	39,068,907	2.7%	3,492,595	0.2%	Poll	F+A+AB
8.2	Y	Pass	No	For	3.6%	1,388,400,725	96.4%	48,284,549	3.4%	3,815,405	0.3%	Poll	F+A+AB
8.3	Y	Pass	No	For	3.1%	1,396,230,784	96.9%	40,714,504	2.8%	3,555,391	0.2%	Poll	F+A+AB
8.4	Y	Pass	No	For	3.2%	1,394,650,042	96.8%	42,304,536	2.9%	3,546,101	0.2%	Poll	F+A+AB
9	Y	Pass	No	For	1.0%	1,425,359,803	98.9%	13,353,178	0.9%	1,787,698	0.1%	Poll	F+A+AB
10	Y	Pass	No	For	0.2%	1,437,847,678	99.8%	1,255,893	0.1%	1,397,108	0.1%	Poll	F+A+AB
11	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A

### **Beazley Pic**

Meeting Date: 03/25/2022 Record Date: 03/23/2022

Earliest Cutoff Date: 03/22/2022

Votable Shares: 2.367.081

Primary Security ID: G0936K107

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G0936K107

Total Ballots: 1 \*Shares on Loan: 0 Ticker: BEZ

Voting Policy: ISS

Meeting ID: 1611020

Shares Instructed: 2,367,081

Primary ISIN: GB00BYQ0JC66

Primary SEDOL: BYQ0JC6

Additional Policy: Shares Voted: 2,367,081

Proxy Level: N/A

Additional Voting Vote Vote Vote Proposal Significant Votable Mgmt Policy Policy Vote Against Against Against Number Vote **Proposal Text** Proponent Proposal Rec **ISS Rec** Rec Instruction Mgmt Policy ISS 1 Accept Financial Statements and Mgmt Yes For For For For No No No Statutory Reports Approve Remuneration Report Mgmt Yes For For No No For For No Approve Interim Dividends Mgmt Yes For No No No Re-elect Adrian Cox as Director Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified. Blended Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified. Re-elect Pierre-Olivier Desaulle as No Mamt Yes For For For For No No Director Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified. Blended Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified. Re-elect Nicola Hodson as Director Mgmt Yes For For For No No No Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified. Blended Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified. Re-elect Sally Lake as Director Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified. Blended Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified. 8 Re-elect Christine LaSala as Director Mgmt Yes For For For No No No Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified. Blended Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Beazley Plc**

Proposal Number	Significant Vote	Proposal Text	Propone	Votable nt Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Re-elect John Reizenstein as Directo	r Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR t	these directors is con	sidered warran	ted as no s	ignificant conce	erns have beer	n identified.				
		Blended Rationale: A vote FOR these	directors is consider	red warranted a	s no signifi	icant concerns	have been ide	ntified.				
10		Re-elect David Roberts as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR t	these directors is con	nsidered warran	ted as no s	ignificant conce	erns have beer	n identified.				
		Blended Rationale: A vote FOR these	directors is consider	red warranted a	s no signifi	icant concerns	have been idei	ntified.				
11		Re-elect Robert Stuchbery as Directo	or Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR t	these directors is con	sidered warran	ted as no s	ignificant conce	erns have beer	n identified.				
		Blended Rationale: A vote FOR these	directors is consider	red warranted a	s no signifi	icant concerns	have been ide	ntified.				
12		Elect Rajesh Agrawal as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR t	these directors is con	nsidered warran	ted as no s	ignificant conce	erns have beer	n identified.				
		Blended Rationale: A vote FOR these	directors is consider	red warranted a	s no signifi	cant concerns	have been ide	ntified.				
13		Reappoint EY as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
14		Authorise the Audit and Risk Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Approve Save As You Earn Share Option Plan	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve Long-Term Incentive Plan	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR trecommended limits.	these resolutions is w	varranted becau	ise the prop	posed amounts	and durations	are within				
		Blended Rationale: A vote FOR these recommended limits.	resolutions is warra	nted because ti	he proposed	d amounts and	durations are	within				
18		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR t recommended limits.	these resolutions is w	varranted becau	ise the prop	posed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.										
19		Authorise Market Purchase of Ordina Shares	nry Mgmt	Yes	For	For		For	For	No	No	No
20		Authorise the Company to Call Gene Meeting with Two Weeks' Notice	ral Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails											
Institutional (IA Name, I	l Account Detail A Number)	Custodian Account Number Ballot Status Instructed Ap				ved	Ballot Voting	Status	Votable S	hares	Shares	Voted
RARF, RARF		392452 Conf	392452 Confirmed jbisset jbisset						2,367,0	081	2,367	,081
			03/16	/2022	03/16/	2022						
								Total Shares:	2,367,0	081	2,367,	,081

#### **Meeting Results**

Outstanding Shares Voted: 609,896,418 Results Available: Partial

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	484,215,490	100.0%	14,573	0.0%	808,199	N/A	Poll	F+A
2	Υ	Pass	No	For	10.5%	434,012,961	89.5%	50,960,274	10.5%	65,027	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	484,985,841	100.0%	13,400	0.0%	39,021	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	485,008,137	100.0%	14,678	0.0%	15,447	N/A	Poll	F+A
5	Υ	Pass	No	For	0.0%	484,977,900	100.0%	14,573	0.0%	45,789	N/A	Poll	F+A
6	Υ	Pass	No	For	5.2%	459,560,283	94.8%	25,403,070	5.2%	74,909	N/A	Poll	F+A
7	Υ	Pass	No	For	0.3%	483,612,806	99.7%	1,350,547	0.3%	74,909	N/A	Poll	F+A
8	Υ	Pass	No	For	5.6%	457,743,533	94.4%	27,219,820	5.6%	74,909	N/A	Poll	F+A
9	Υ	Pass	No	For	0.8%	481,232,710	99.2%	3,759,763	0.8%	45,789	N/A	Poll	F+A
10	Υ	Pass	No	For	0.8%	481,228,498	99.2%	3,763,975	0.8%	45,789	N/A	Poll	F+A
11	Υ	Pass	No	For	4.8%	461,504,744	95.2%	23,487,729	4.8%	45,789	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	484,977,195	100.0%	15,078	0.0%	45,989	N/A	Poll	F+A
13	Υ	Pass	No	For	0.3%	483,514,755	99.7%	1,483,945	0.3%	39,562	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	484,778,927	100.0%	223,428	0.0%	35,907	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	484,652,133	99.9%	349,340	0.1%	36,789	N/A	Poll	F+A
16	Υ	Pass	No	For	0.7%	481,826,808	99.3%	3,174,797	0.7%	36,657	N/A	Poll	F+A
17	Υ	Pass	No	For	2.4%	460,110,764	97.6%	11,215,375	2.4%	13,712,123	N/A	Poll	F+A
18	Υ	Pass	No	For	0.1%	484,306,473	99.9%	686,000	0.1%	45,789	N/A	Poll	F+A
19	Υ	Pass	No	For	0.3%	483,528,023	99.7%	1,280,550	0.3%	229,689	N/A	Poll	F+A
20	Υ	Pass	No	For	11.4%	428,810,332	88.6%	54,936,977	11.4%	1,290,953	N/A	Poll	F+A

### Torii Pharmaceutical Co., Ltd.

Meeting Date: 03/29/2022

**Record Date:** 12/31/2021 Primary Security ID: J8959J102

Earliest Cutoff Date: 03/27/2022 Total Ballots: 1 Votable Shares: 100,900

Country: Japan

Primary CUSIP: J8959J102

Meeting Type: Annual

\*Shares on Loan: 0

Ticker: 4551

Voting Policy: ISS

**Meeting ID:** 1614494

Shares Instructed: 100,900

Primary ISIN: JP3635800000

Proxy Level: N/A

Primary SEDOL: 6896894

**Additional Policy:** Shares Voted: 100,900

Additional Voting Vote Vote Significant Votable Mgmt Policy Against Against Proposal Policy Vote Against **Proposal Text** Proponent Proposal ISS Rec Instruction Policy ISS Number Vote Rec Rec Rec Mgmt 1 Approve Allocation of Income, with a Mgmt Yes For For For For No No No Final Dividend of JPY 24 Amend Articles to Disclose 2 Mgmt Yes For For For For No No No Shareholder Meeting Materials on Internet Elect Director Fukuoka, Toshio Mgmt Yes For For For No No No Appoint Statutory Auditor Matsumura, Mgmt Yes For For For For No No No Takaharu Elect Alternate Director Kondo, For For No No Mgmt Yes No Nobumasa

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Torii Pharmaceutical Co., Ltd.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6		Appoint Alternate Statutory Auditor Kumano, Hisashi	Mgmt	Yes	For	For		For	For	No	No	No
7		Amend Articles to Prohibit Appointments of Officials from Japan Tobacco Inc	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this shareh board where no Torii Pharmaceutical executives directors casts doubt over the effectiveness of tunfavorable relative stock performance.	s are present, a	and the lack	of related b	usiness expe	rience among	the outside				
		Blended Rationale: A vote FOR this shareholder where no Torii Pharmaceutical executives are p casts doubt over the effectiveness of the board stock performance.	resent, and the	e lack of rela	ated busines	s experience	among the ou	tside directo	rs			
8		Amend Articles to Ban Funding to Japan Tobacco via Cash Management System	SH	Yes	Against	Against		Against	For	Yes	Yes	Yes
9		Amend Articles to Add Required Experience for Directors	SH	Yes	Against	Against		Against	Against	No	No	No
10		Amend Articles to Disclose Cost of Equity Capital in Corporate Governance Report	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this sharehmore attention to an inefficient capital policy, w					•		ny .			
		Blended Rationale: A vote FOR this shareholder attention to an inefficient capital policy, with a continuous c						ment pay mo	ore			
11		Approve Additional Allocation of Income so that Final Dividend per Share Equals to JPY 114	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this sharehequivalents, the additional payment should be a					-		nd			
		Blended Rationale: A vote FOR this shareholder equivalents, the additional payment should be a										
12		Initiate Share Repurchase Program	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this shareh bind the company to actually repurchase any sl reasonable in light of its rich cash position, and	nares and impo	oses no infle.	xible manda	te.* A share	repurchase ap					
		Blended Rationale: A vote FOR this shareholder the company to actually repurchase any shares light of its rich cash position, and concerns over	and imposes i	no inflexible	mandate.* A	1 share repui			in			
Ballot Deta	ails											

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jcoster	jcoster	jcoster		100,900
			03/23/2022	03/23/2022			
					Total Shares:	100,900	100,900

#### **Meeting Results**

**Outstanding Shares Voted:** Results Available: Partial

Item	Voting		Dissident	Mamt	Dissent		%		%		%	Result	
				9	2.000		,,						
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Type	Base
"	oposu.	Juninary	Порозан		LCVC.	. 0.		Aguinst	7.gaoc	Abstani	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	.,,,,	

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	231,806	92.9%	17,611	N/A	0	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	248,555	99.6%	862	N/A	0	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	239,844	96.1%	9,563	N/A	0	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	247,852	99.3%	1,565	N/A	0	N/A	Poll	F+A+AB
5	Υ	Pass	No	For	N/A	239,967	96.2%	9,450	N/A	0	N/A	Poll	F+A+AB
6	Υ	Pass	No	For	N/A	247,760	99.3%	1,657	N/A	0	N/A	Poll	F+A+AB
7	Υ	Fail	No	Against	N/A	23,650	9.5%	225,766	N/A	0	N/A	Poll	F+A+AB
8	Υ	Fail	No	Against	N/A	17,621	7.1%	231,784	N/A	0	N/A	Poll	F+A+AB
9	Υ	Fail	No	Against	N/A	5,960	2.4%	243,445	N/A	0	N/A	Poll	F+A+AB
10	Υ	Fail	No	Against	N/A	27,822	11.2%	221,582	N/A	0	N/A	Poll	F+A+AB
11	Υ	Fail	No	Against	N/A	51,810	20.8%	197,594	N/A	0	N/A	Poll	F+A+AB
12	Υ	Fail	No	Against	N/A	34,779	13.9%	214,534	N/A	91	N/A	Poll	F+A+AB

### Rakuten Group, Inc.

Meeting Date: 03/30/2022

**Record Date:** 12/31/2021

Primary Security ID: J64264104

Country: Japan

Primary CUSIP: J64264104

Meeting Type: Annual

Ticker: 4755

**Meeting ID:** 1616325

**Primary ISIN:** JP3967200001

Voting Policy: ISS

Proxy Level: N/A

Primary SEDOL: 6229597

Earliest Co	utoff Date: 03/2	28/2022 <b>Total Ballots:</b> 1			Voting Po	olicy: ISS			Additional Po	licy:		
Votable SI	hares: 2,000,000	*Shares on Loan: 0	1		Shares Ir	nstructed: 2,00	00,000		Shares Voted	: 2,000,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Articles to Disclose Shareholder Meeting Materials on Internet - Allow Virtual Only Shareholder Meetings - Reflect Changes in Law	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST company to hold virtual only meetings pecrisis is resolved, and the proposed langu	rmanently, without	further need	to consult	shareholders,	, even after the	e current he			-	
		Blended Rationale: A vote AGAINST this percompany to hold virtual only meetings per crisis is resolved, and the proposed langu	rmanently, without	further need	to consult	shareholders,	, even after the	e current he	alth		-	
2.1		Elect Director Mikitani, Hiroshi	Mgmt	Yes	For	For		For	For	No	No	No
2.2		Elect Director Hosaka, Masayuki	Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Charles B. Baxter	Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Hyakuno, Kentaro	Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Kutaragi, Ken	Mgmt	Yes	For	For		For	For	No	No	No
2.6		Elect Director Sarah J. M. Whitley	Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Mitachi, Takashi	Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Murai, Jun	Mgmt	Yes	For	For		For	For	No	No	No
2.9		Elect Director John V. Roos	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### Rakuten Group, Inc.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
3		Appoint Statutory Auditor Fujita, Satoshi	Mgmt	Yes	For	For		For	For	No	No	No	
4		Approve Deep Discount Stock Option Plan	Mgmt	Yes	For	Against		Against	Against	Yes	No	No	
		Voting Policy Rationale: A vote AGAINST this proposal is warranted because:* No specific performance hurdles are specified, and the stock options could become exercisable in less than three years after this shareholder meeting by non-retiring recipients.											
	Blended Rationale: A vote AGAINST this proposal is warranted because:* No specific performance hurdles are specified, and												

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		2,000,000	2,000,000
			03/21/2022	03/21/2022			
					Total Shares:	2,000,000	2,000,000

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

the stock options could become exercisable in less than three years after this shareholder meeting by non-retiring recipients.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	11,941,437	90.8%	1,206,351	N/A	50	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	11,963,328	90.9%	1,184,428	N/A	93	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	12,551,609	95.4%	596,156	N/A	93	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	12,589,280	95.7%	558,481	N/A	93	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	12,863,519	97.8%	284,245	N/A	93	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	11,119,115	84.5%	2,028,645	N/A	93	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	12,379,707	94.1%	768,060	N/A	93	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	12,624,876	96.0%	522,890	N/A	93	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	12,450,316	94.6%	697,448	N/A	93	N/A	Poll	F+A+AB
2.9	Υ	Pass	No	For	N/A	12,908,071	98.1%	239,693	N/A	93	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	13,141,290	99.9%	6,570	N/A	0	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	10,079,482	76.6%	3,064,759	N/A	3,613	N/A	Poll	F+A+AB

## Teikoku Sen-I Co., Ltd.

Meeting Date: 03/30/2022 Country: Japan Ticker: 3302 Proxy Level: N/A

 Record Date: 12/31/2021
 Meeting Type: Annual
 Meeting ID: 1617673

 Primary Security ID: J82657107
 Primary CUSIP: J82657107
 Primary ISIN: JP3540800004
 Primary SEDOL: 6880983

### Teikoku Sen-I Co., Ltd.

Total Ballots: 1

company's capital misallocation.

Elect Director Nakao, Toru

Aramaki, Mikito

Compensation Plan

Earliest Cutoff Date: 03/28/2022

Votable Shares: 92.600 \*Shares on Loan: 0 Shares Instructed: 92.600 Shares Voted: 92.600 Additional Voting Vote Vote Significant Proposal Votable Mgmt **Policy** Policy Vote Against Against Against Number Vote Proposal Text Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS Approve Allocation of Income, with a No Mgmt Yes For For For For No 1 No Final Dividend of JPY 45 2 Amend Articles to Disclose Mgmt For No No Yes For No Shareholder Meeting Materials on Internet 3.1 Elect Director Shiraiwa, Tsuyoshi Mgmt Yes For Against Against Against Yes No No Voting Policy Rationale: A vote AGAINST this director nominee is warranted because: \* Top management is responsible for the company's capital misallocation. Blended Rationale: A vote AGAINST this director nominee is warranted because: \* Top management is responsible for the company's capital misallocation. 3.2 Elect Director Masutani, Toru Mgmt For Against Against Against No Yes Yes No

Voting Policy: ISS

Additional Policy:

For

For

For

No

No

No

No

No

No

Blended Rationale: A vote AGAINST this director nominee is warranted because:\* Top management is responsible for the company's capital misallocation.

Elect Director Okamura, Tatsuru Mqmt Yes For For For For

Mgmt

Elect Director Takagi, Hiroyasu Mgmt For No No Yes For No Elect Director Fukazawa, Masahiro Mgmt Yes For For For Against Yes Yes Yes Appoint Alternate Statutory Auditor Mgmt Yes For Against Against Against Yes Nο Nο

For

For

Voting Policy Rationale: A vote AGAINST this nominee is warranted because:\* The outside statutory auditor nominee's affiliation with the company could compromise independence.

Blended Rationale: A vote AGAINST this nominee is warranted because:\* The outside statutory auditor nominee's affiliation with the company could compromise independence.

Voting Policy Rationale: A vote AGAINST this director nominee is warranted because: \* Top management is responsible for the

Yes

Approve Compensation Ceiling for Yes For For For For Nο Nο Mamt Nο Directors Approve Trust-Type Equity No Mgmt Yes For Against Against Yes

Voting Policy Rationale: A vote AGAINST this proposal is warranted because: \* Total dilution from this plan and the company's other equity compensation plans reaches 6.7 percent, which appears excessive.

Blended Rationale: A vote AGAINST this proposal is warranted because:\* Total dilution from this plan and the company's other equity compensation plans reaches 6.7 percent, which appears excessive.

#### **Ballot Details**

3.3

3.4

3.5

3.6

4

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jcoster	jcoster		92,600	92,600
			03/23/2022	03/23/2022			
					Total Shares:	92,600	92,600

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	226,600	94.5%	13,239	N/A	0	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	239,564	99.9%	275	N/A	0	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	212,063	88.4%	27,767	N/A	0	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	218,000	90.9%	21,831	N/A	0	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	230,679	96.2%	9,154	N/A	0	N/A	Poll	F+A+AB
3.4	Y	Pass	No	For	N/A	230,716	96.2%	9,117	N/A	0	N/A	Poll	F+A+AB
3.5	Y	Pass	No	For	N/A	230,146	96.0%	9,687	N/A	0	N/A	Poll	F+A+AB
3.6	Y	Pass	No	For	N/A	224,451	93.6%	15,382	N/A	0	N/A	Poll	F+A+AB
4	Y	Pass	No	For	N/A	189,809	79.1%	50,017	N/A	5	N/A	Poll	F+A+AB
5	Y	Pass	No	For	N/A	239,343	99.8%	486	N/A	5	N/A	Poll	F+A+AB
6	Y	Pass	No	For	N/A	216,781	90.4%	23,057	N/A	0	N/A	Poll	F+A+AB

### Toagosei Co., Ltd.

Meeting Date: 03/30/2022 **Record Date:** 12/31/2021

Earliest Cutoff Date: 03/28/2022

Primary Security ID: J8381L105

Country: Japan Meeting Type: Annual

Total Ballots: 1

Primary CUSIP: J8381L105

Votable Shares: 296,400 \*Shares on Loan: 0 Ticker: 4045

**Meeting ID:** 1612830

Primary ISIN: JP3556400004

Voting Policy: ISS

Shares Instructed: 296,400

Proxy Level: N/A

Primary SEDOL: 6894467

**Additional Policy:** Shares Voted: 296,400

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 19	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet - Allow Virtual Only Shareholder Meetings	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Takamura, Mikishi	Mgmt	Yes	For	For		For	For	No	No	No
3.2		Elect Director Ishikawa, Nobuhiro	Mgmt	Yes	For	For		For	For	No	No	No
3.3		Elect Director Miho, Susumu	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Kimura, Masahiro	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Koike, Yasuhiro	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Mori, Yuichiro	Mgmt	Yes	For	For		For	For	No	No	No
3.7		Elect Director Serita, Taizo	Mgmt	Yes	For	For		For	For	No	No	No
3.8		Elect Director Furukawa, Hidetoshi	Mgmt	Yes	For	For		For	For	No	No	No
4.1		Elect Director and Audit Committee Member Takano, Nobuhiko	Mgmt	Yes	For	For		For	For	No	No	No

# Toagosei Co., Ltd.

-	ignificant ote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4.2		Elect Director and Audit Committe Member Danno, Koichi	ee	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGD be an audit committee member lad			e is warrante	ed because:	* This outsia	le director cand	lidate who v	vill			
		Blended Rationale: A vote AGAINS an audit committee member lacks			varranted be	ecause:* Thi	s outside din	ector candidate	e who will be	2			
4.3		Elect Director and Audit Committe Member Suzuki, Yoshitaka	ee	Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>													
Institutional Acc (IA Name, IA Nu		Custodian Account Number B	Ballot Status	Instructed		Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		296,400	296,400
			03/28/2022	03/28/2022			

Total Shares: 296,400 296,400

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	1,056,648	96.4%	16,889	N/A	6	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	1,067,193	97.4%	6,342	N/A	6	N/A	Poll	F+A+AB
3.1	Y	Pass	No	For	N/A	1,042,266	95.1%	31,270	N/A	6	N/A	Poll	F+A+AB
3.2	Y	Pass	No	For	N/A	1,068,693	97.5%	4,843	N/A	6	N/A	Poll	F+A+AB
3.3	Y	Pass	No	For	N/A	1,068,777	97.5%	4,765	N/A	0	N/A	Poll	F+A+AB
3.4	Y	Pass	No	For	N/A	1,068,676	97.5%	4,860	N/A	6	N/A	Poll	F+A+AB
3.5	Υ	Pass	No	For	N/A	1,071,071	97.7%	2,465	N/A	6	N/A	Poll	F+A+AB
3.6	Υ	Pass	No	For	N/A	1,071,077	97.7%	2,459	N/A	6	N/A	Poll	F+A+AB
3.7	Υ	Pass	No	For	N/A	1,060,391	96.7%	13,145	N/A	6	N/A	Poll	F+A+AB
3.8	Υ	Pass	No	For	N/A	1,035,399	94.5%	38,137	N/A	6	N/A	Poll	F+A+AB
4.1	Υ	Pass	No	For	N/A	1,071,011	97.7%	2,525	N/A	6	N/A	Poll	F+A+AB
4.2	Y	Pass	No	For	N/A	959,178	87.5%	114,357	N/A	6	N/A	Poll	F+A+AB
4.3	Y	Pass	No	For	N/A	1,031,071	94.1%	42,465	N/A	6	N/A	Poll	F+A+AB

### Aena S.M.E. SA

Meeting Date: 03/31/2022 **Record Date:** 03/25/2022

Country: Spain

Ticker: AENA

Proxy Level: N/A

**Primary Security ID:** E526K0106

Meeting Type: Annual

**Meeting ID:** 1610129

Primary SEDOL: BVRZ8L1

Primary CUSIP: E526K0106

**Primary ISIN:** ES0105046009

#### Aena S.M.E. SA

Earliest Cutoff Date: 03/28/2022

Total Ballots: 1

Voting Policy: ISS

Additional Policy: Shares Voted: 350.000

Votable Shares: 350,000

\*Shares on Loan: 0

Shares Instructed: 350,000

Additional Voting Vote Vote **Proposal** Significant Votable Mgmt Policy Policy Vote Against Against Against Number Vote Proposal Text Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS Approve Standalone Financial Yes For For No No 1 Mgmt For For No Voting Policy Rationale: A vote FOR this item is warranted due to a lack of concern regarding the accounts presented or audit procedures used. Blended Rationale: A vote FOR this item is warranted due to a lack of concern regarding the accounts presented or audit procedures used. Approve Consolidated Financial Yes For No No 2 Mamt For For For No Statements Voting Policy Rationale: A vote FOR this item is warranted due to a lack of concern regarding the accounts presented or audit Blended Rationale: A vote FOR this item is warranted due to a lack of concern regarding the accounts presented or audit procedures used. Approve Treatment of Net Loss Mgmt For For For No No Approve Non-Financial Information Mgmt Yes For For No No For For No Statement Approve Discharge of Board For Mamt Yes For For For Nο Nο Nο Appoint KPMG Auditores as Auditor Mamt Yes For For No No For For No Ratify Appointment of and Elect Raul 7.1 Mgmt Yes For For For No No No Miguez Bailo as Director Voting Policy Rationale: A vote FOR the ratification of appointment, as the case may be, and election of NI-NEDs Miguez Bailo, Delacampagne, and Balleste Morillas under Items 7.1, 7.2, and 7.4 because the board meets the one third independence guideline applicable to Spain-incorporated companies with a majority shareholder. A vote AGAINST the reelection of chair/CEO Maurici Lucena Betriu under Item 7.3 is warranted because he is not proposed for interim term only, and the company has failed to disclose any plans to separate the CEO and chairman positions in the near term. Blended Rationale: A vote FOR the ratification of appointment, as the case may be, and election of NI-NEDs Miguez Bailo, Delacampagne, and Balleste Morillas under Items 7.1, 7.2, and 7.4 because the board meets the one third independence guideline applicable to Spain-incorporated companies with a majority shareholder. A vote AGAINST the reelection of chair/CEO Maurici Lucena Betriu under Item 7.3 is warranted because he is not proposed for interim term only, and the company has failed to disclose any plans to separate the CEO and chairman positions in the near term. 7.2 Ratify Appointment of and Elect Mgmt Yes For For For For No No No Manuel Delacampagne Crespo as Director Voting Policy Rationale: A vote FOR the ratification of appointment, as the case may be, and election of NI-NEDs Miguez Bailo, Delacampagne, and Balleste Morillas under Items 7.1, 7.2, and 7.4 because the board meets the one third independence guideline applicable to Spain-incorporated companies with a majority shareholder. A vote AGAINST the reelection of chair/CEO Maurici Lucena Betriu under Item 7.3 is warranted because he is not proposed for interim term only, and the company has failed to disclose any plans to separate the CEO and chairman positions in the near term.

Blended Rationale: A vote FOR the ratification of appointment, as the case may be, and election of NI-NEDs Miguez Bailo, Delacampagne, and Balleste Morillas under Items 7.1, 7.2, and 7.4 because the board meets the one third independence guideline applicable to Spain-incorporated companies with a majority shareholder. A vote AGAINST the reelection of chair/CEO Maurici Lucena Betriu under Item 7.3 is warranted because he is not proposed for interim term only, and the company has failed to disclose any plans to separate the CEO and chairman positions in the near term.

### Aena S.M.E. SA

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7.3		Reelect Maurici Lucena Betriu as Director	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote FOR the ratifica Delacampagne, and Balleste Morillas under Iter guideline applicable to Spain-incorporated com, Maurici Lucena Betriu under Item 7.3 is warran failed to disclose any plans to separate the CEC	ms 7.1, 7.2, an panies with a n nted because h	nd 7.4 becau majority shai ne is not prop	se the board reholder. A l posed for int	d meets the o vote AGAINS erim term on	one third indep T the reelectio	nendence n of chair/CL				
		Blended Rationale: A vote FOR the ratification of Delacampagne, and Balleste Morillas under Itel guideline applicable to Spain-incorporated complex Maurici Lucena Betriu under Item 7.3 is warrant failed to disclose any plans to separate the CEC	ms 7.1, 7.2, an panies with a n ated because h	nd 7.4 becau majority shai ne is not prop	se the board reholder. A posed for int	d meets the o vote AGAINS erim term on	one third indep T the reelection	oendence n of chair/CL	<del>-</del> 0			
7.4		Elect Eva Balleste Morillas as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the ratifica Delacampagne, and Balleste Morillas under Iter guideline applicable to Spain-incorporated com Maurici Lucena Betriu under Item 7.3 is warran failed to disclose any plans to separate the CEC	ms 7.1, 7.2, an panies with a n ated because h	nd 7.4 becau majority shai ne is not prop	se the board reholder. A nosed for int	d meets the o vote AGAINS erim term on	one third indep T the reelectio	nendence n of chair/CL				
		Blended Rationale: A vote FOR the ratification of Delacampagne, and Balleste Morillas under Iter guideline applicable to Spain-incorporated com, Maurici Lucena Betriu under Item 7.3 is warran failed to disclose any plans to separate the CEC	ms 7.1, 7.2, an panies with a n ated because h	nd 7.4 becau majority shai ne is not prop	se the board reholder. A nosed for int	d meets the o vote AGAINS erim term on	one third indep T the reelection	oendence n of chair/CL	<del>5</del> 0			
8.1		Amend Articles Re: General Shareholders Meeting's Competences and Board of Directors' Competences	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorbylaws and general meeting regulations merely neutral to positive effect on shareholder rights.	update these	documents	to applicable	e legal provis	ions that, on t					
		Blended Rationale: A vote FOR these resolution bylaws and general meeting regulations merely neutral to positive effect on shareholder rights.	update these	documents	to applicable	e legal provis	ions that, on t		ive			
8.2		Amend Articles Re: Shareholders' Right to Information and Annual Report on Directors' Remuneration	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorbylaws and general meeting regulations merely neutral to positive effect on shareholder rights.	update these	documents	to applicable	e legal provis	ions that, on t					
		Blended Rationale: A vote FOR these resolution bylaws and general meeting regulations merely neutral to positive effect on shareholder rights.	update these	documents	to applicable	e legal provis	ions that, on t		ive			
8.3		Amend Articles Re: Technical Improvements	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reson bylaws and general meeting regulations merely neutral to positive effect on shareholder rights.	update these	documents	to applicable	e legal provis	ions that, on t					
		Blended Rationale: A vote FOR these resolution bylaws and general meeting regulations merely neutral to positive effect on shareholder rights.	update these	documents	to applicable	e legal provis	ions that, on t		ove			

### Aena S.M.E. SA

Proposal Significa Number Vote	ant Proposa	l Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9	Amend . Regulati	Articles of General Medions	eting	Mgmt	Yes	For	For		For	For	No	No	No
	bylaws a	olicy Rationale: A vote and general meeting re to positive effect on sh	gulations merel	y update these	documents	to applicabl	e legal provis	sions that, on t		•			
	bylaws a	Rationale: A vote FOR and general meeting re to positive effect on sh	gulations merel	y update these	documents	to applicabl	e legal provis	ions that, on t	, ,	ave		-	
10	Bonds/E	re Issuance of Non-Co Debentures and/or Oth Les up to EUR 5 Billion		Mgmt	Yes	For	For		For	For	No	No	No
11	Advisory	/ Vote on Remuneration	n Report	Mgmt	Yes	For	For		For	For	No	No	No
12		/ Vote on Company's 2 I Report on Climate Ac		Mgmt	Yes	For	For		For	For	No	No	No
13		ee Board to Ratify and ed Resolutions	Execute	Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>													
Institutional Account D (IA Name, IA Number)	Petail	Custodian Account Number	Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
RARF, RARF		392452	Confirmed	jbisset		jbisset				350,0	00	350,	000
				03/18/2022	2	03/18/20	22						
									Total Shares	350,0	00	350,	000

#### **Meeting Results**

Outstanding Shares Voted: 150,000,000 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.4%	130,930,676	99.6%	150	0.0%	521,727	0.4%	Poll	F+A+AB
2	Υ	Pass	No	For	0.4%	130,930,676	99.6%	150	0.0%	521,727	0.4%	Poll	F+A+AB
3	Υ	Pass	No	For	0.0%	131,408,844	100.0%	237	0.0%	43,472	0.0%	Poll	F+A+AB
4	Υ	Pass	No	For	0.0%	131,392,894	100.0%	90	0.0%	59,569	0.0%	Poll	F+A+AB
5	Υ	Pass	No	For	1.0%	130,178,959	99.0%	478,263	0.4%	795,331	0.6%	Poll	F+A+AB
6	Υ	Pass	No	For	0.2%	131,213,550	99.8%	192,296	0.1%	46,697	0.0%	Poll	F+A+AB
7.1	Υ	Pass	No	For	5.9%	123,749,392	94.1%	7,642,768	5.8%	60,393	0.0%	Poll	F+A+AB
7.2	Υ	Pass	No	For	5.9%	123,742,387	94.1%	7,649,799	5.8%	60,367	0.0%	Poll	F+A+AB
7.3	Υ	Pass	No	For	17.5%	108,474,727	82.5%	22,934,138	17.4%	43,688	0.0%	Poll	F+A+AB
7.4	Υ	Pass	No	For	6.6%	122,731,672	93.4%	8,676,274	6.6%	44,607	0.0%	Poll	F+A+AB
8.1	Υ	Pass	No	For	0.0%	131,408,737	100.0%	81	0.0%	43,735	0.0%	Poll	F+A+AB
8.2	Υ	Pass	No	For	0.0%	131,408,697	100.0%	111	0.0%	43,745	0.0%	Poll	F+A+AB
8.3	Υ	Pass	No	For	0.0%	131,408,759	100.0%	100	0.0%	43,694	0.0%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
**	Fioposai	Julillary	rioposai	Kec	Level	101	101	Agailist	Against	ADStalli	Abstain	Type	Dasc
9	Υ	Pass	No	For	0.8%	130,349,454	99.2%	1,059,394	0.8%	43,705	0.0%	Poll	F+A+AB
10	Υ	Pass	No	For	1.2%	129,833,751	98.8%	1,575,233	1.2%	43,569	0.0%	Poll	F+A+AB
11	Υ	Pass	No	For	4.5%	125,578,361	95.5%	5,788,939	4.4%	85,253	0.1%	Poll	F+A+AB
12	Υ	Pass	No	For	5.6%	124,077,560	94.4%	3,833,306	2.9%	3,541,687	2.7%	Poll	F+A+AB
13	Υ	Pass	No	For	0.0%	131,408,641	100.0%	330	0.0%	43,582	0.0%	Poll	F+A+AB

Meeting Date: 03/31/2022

Country: Spain

Ticker: SAN

Proxy Level: N/A

**Record Date:** 03/25/2022

Meeting Type: Annual

Meeting ID: 1611611

Primary SEDOL: 5705946

Primary Security ID: E19790109 Earliest Cutoff Date: 03/28/2022

**Total Ballots:** 1

Primary CUSIP: E19790109

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 6.000.000

3.C

\*Shares on Loan: 0

Shares Instructed: 6,000,000

Primary ISIN: ES0113900J37

Shares Voted: 6,000,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.A		Approve Consolidated and Standalone Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
1.B		Approve Non-Financial Information Statement	Mgmt	Yes	For	For		For	For	No	No	No
1.C		Approve Discharge of Board	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Allocation of Income and Dividends	Mgmt	Yes	For	For		For	For	No	No	No
3.A		Fix Number of Directors at 15	Mgmt	Yes	For	For		For	For	No	No	No
3.B		Elect German de la Fuente as Director	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees. A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee. A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.

Blended Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees.A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee.A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.

Yes

Reelect Henrique de Castro as Director

Mgmt

For

For

For

For

No

No

No

Voting Policy Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees. A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee. A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.

Blended Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees.A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee.A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3.D		Reelect Jose Antonio Alvarez as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 3.0 director nominees. A vote FOR Item 3.D is ware reelection of NI-NEDs Isasi and Rial under Ite guideline applicable to Spain-incorporated, no	erranted due to a	a lack of con is warranted	cerns about d, as the bo	t the CEO nor pard meets th	minee.A vote F e 50 percent ii	OR the ndependence				
		Blended Rationale: A vote FOR Items 3.B, 3.0 nominees.A vote FOR Item 3.D is warranted NI-NEDs Isasi and Rial under Items 3.F and applicable to Spain-incorporated, non-control	due to a lack of 3.G is warranted	concerns ab , as the boar	out the CEC rd meets th	O nominee.A e 50 percent	vote FOR the i independence	reelection of	or			
3.E		Reelect Belen Romana as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 3.1 director nominees.A vote FOR Item 3.D is wa reelection of NI-NEDs Isasi and Rial under Ite guideline applicable to Spain-incorporated, no	erranted due to a	a lack of con is warranted	cerns about d, as the bo	t the CEO nor pard meets th	minee.A vote F e 50 percent ii	OR the ndependence				
		Blended Rationale: A vote FOR Items 3.B, 3.0 nominees.A vote FOR Item 3.D is warranted NI-NEDs Isasi and Rial under Items 3.F and applicable to Spain-incorporated, non-control	due to a lack of 3.G is warranted	concerns ab , as the boar	out the CEC rd meets th	O nominee.A e 50 percent	vote FOR the i independence	reelection of	or			
3.F		Reelect Luis Isasi as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 3.1 director nominees.A vote FOR Item 3.D is wa reelection of NI-NEDs Isasi and Rial under Ite guideline applicable to Spain-incorporated, no	erranted due to a	a lack of con is warranted	cerns about d, as the bo	t the CEO nor pard meets th	minee.A vote F e 50 percent ii	OR the ndependence	,			
		Blended Rationale: A vote FOR Items 3.B, 3.0 nominees.A vote FOR Item 3.D is warranted NI-NEDs Isasi and Rial under Items 3.F and applicable to Spain-incorporated, non-control	due to a lack of 3.G is warranted	concerns ab , as the boar	out the CEC rd meets th	O nominee.A e 50 percent	vote FOR the i independence	reelection of				
3.G		Reelect Sergio Rial as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 3.1 director nominees.A vote FOR Item 3.D is wa reelection of NI-NEDs Isasi and Rial under Ite guideline applicable to Spain-incorporated, no	erranted due to a	a lack of con is warranted	cerns about d, as the bo	t the CEO nor pard meets th	minee.A vote F e 50 percent ii	OR the ndependence	2			
		Blended Rationale: A vote FOR Items 3.B, 3.0 nominees.A vote FOR Item 3.D is warranted NI-NEDs Isasi and Rial under Items 3.F and applicable to Spain-incorporated, non-control	due to a lack of 3.G is warranted	concerns ab , as the boar	out the CEC rd meets th	O nominee.A e 50 percent	vote FOR the i independence	reelection of				
4		Ratify Appointment of PricewaterhouseCoopers as Auditor	Mgmt	Yes	For	For		For	For	No	No	No
5.A		Amend Articles Re: Form of Shares and Transfer of Shares	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these ite company bylaws with updated legal provision			-	amendment.	s, most of whi	ch align the				
		Blended Rationale: A vote FOR these items is company bylaws with updated legal provision	-		•	endments, mo	ost of which all	ign the				
5.B		Amend Article 16 Re: Capital Reduction	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these ite company bylaws with updated legal provision			•	amendment.	s, most of whi	ch align the				
		Blended Rationale: A vote FOR these items is company bylaws with updated legal provision			-	endments, mo	ost of which all	ign the				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5.C		Amend Article 19 Re: Issuance of Other Securities	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item company bylaws with updated legal provisions,	-		•	amendments	s, most of whic	h align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	•		,	ndments, mo	st of which alig	gn the				
5.D		Amend Article 26 Re: Allow Shareholder Meetings to be Held in Virtual-Only Format	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			-	amendments	s, most of whic	th align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	•		,	ndments, mo	st of which alig	gn the				
5.E		Amend Articles Re: Board Secretary and Presiding Committee of the General Shareholders' Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item company bylaws with updated legal provisions,			-	amendments	s, most of whic	h align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	· ·		•	ndments, mo	st of which alig	gn the				
5.F		Amend Article 48 Re: Executive Chair	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			-	amendments	s, most of whic	h align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	•		,	ndments, mo	st of which alig	gn the				
5.G		Amend Article 52 Re: Audit Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item company bylaws with updated legal provisions,			-	amendments	s, most of whic	h align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	•		,	ndments, mo	st of which alig	gn the				
5.H		Amend Articles Re: Director Remuneration	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,				amendments	s, most of whic	th align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	•		•	ndments, mo	st of which alig	gn the				
5.I		Add Article 64 bis Re: Prior Authorization for the Payment of Dividends	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			-	amendments	s, most of whic	h align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	· ·		•	ndments, mo	st of which alig	gn the				
6.A		Amend Article 6 of General Meeting Regulations Re: Information Available as of the Date of the Call to Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			-	amendments	s, most of whic	th align the				
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,	•		,	ndments, mo	st of which alig	gn the				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6.B		Amend Article 13 of General Meeting Regulations Re: Presiding Committee of the General Shareholders' Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			-	amendments	s, most of whic	ch align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	-		•	ndments, mo	est of which ali	gn the				
6.C		Add New Article 15 bis and Amend Article 19 of General Meeting Regulations Re: Allow Shareholder Meetings to be Held in Virtual-Only Format	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			-	amendments	s, most of whic	ch align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	,		,	ndments, mo	est of which ali	gn the				
6.D		Amend Article 17 of General Meeting Regulations Re: Presentations	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			-	amendments	s, most of whic	ch align the				
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,	,		,	ndments, mo	st of which ali	gn the				
7.A		Authorize Increase in Capital up to 50 Percent via Issuance of Equity or Equity-Linked Securities, Excluding Preemptive Rights of up to 10 Percent	Mgmt	Yes	For	For		For	For	No	No	No
7.B		Approve Reduction in Share Capital via Amortization of Treasury Shares	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items improve the efficiency of the balance sheet, wh					o shareholders	and may				
		Blended Rationale: A vote FOR these items is we the efficiency of the balance sheet, which may				'	areholders and	may improv	re			
7.C		Approve Reduction in Share Capital via Amortization of Treasury Shares	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items improve the efficiency of the balance sheet, wh				•	o shareholders	and may				
		Blended Rationale: A vote FOR these items is we the efficiency of the balance sheet, which may				•	areholders and	may improv	e			
7.D		Approve Reduction in Share Capital via Amortization of Treasury Shares	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items improve the efficiency of the balance sheet, wh					o shareholders	and may				
		Blended Rationale: A vote FOR these items is we the efficiency of the balance sheet, which may				•	areholders and	may improv	re			

-	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8.A		Approve Remunerat	ion Policy	Mgmt	Yes	For	For		For	For	No	No	No
		schemes may mitigal lower value than the stricter and clearer w currently.* The boan industry standards.H total variable pay, w allows pay below me	tle: A vote FOR these item te some pay for performan vesting of share awards. vith 50 percent vesting for d has introduced ESG-rela owever, this is not withou hich makes the pay mix in dian.* The proposed polic y for performance concen	nce concerns, as  * The vesting re  * 40th percentile  ted metrics und  t the following  sufficiently long  ty, which maint	es any capita equirements e ranking vs der the long- concerns:* I g-term orien	of gains from under the re 33 percent v term incenti Long-term in ted.* Albeit :	the exercise elative TSR covesting for 33 ive scheme, a exentives only stricter, the r	of options sho component hav Brd percentile i aligning with it of account for 3 relative TSR ve	ould result in e been made ranking, s practices w 16 percent of esting schedu	a gith			
		schemes may mitigal lower value than the stricter and clearer w currently.* The boan industry standards.H total variable pay, w allows pay below me	vote FOR these items is a ten some pay for performance vesting of share awards. With 50 percent vesting for a has introduced ESG-relation which makes the pay mix in dian.* The proposed policy for performance concentrics.	nce concerns, a * The vesting re • 40th percentile ted metrics und to the following sufficiently long ty, which maint	ns any capita equirements e ranking vs der the long- concerns:* I g-term orien	I gains from under the re 33 percent v term incenti Long-term in ted.* Albeit :	the exercise elative TSR covesting for 33 ive scheme, a exentives only stricter, the r	of options sho omponent hav Brd percentile i aligning with it of account for 3 relative TSR ve	ould result in e been made ranking, s practices w 6 percent of esting schedu	ith			
8.B		Approve Remunerat	ion of Directors	Mgmt	Yes	For	For		For	For	No	No	No
8.C		Fix Maximum Variab Ratio	le Compensation	Mgmt	Yes	For	For		For	For	No	No	No
8.D		Approve Deferred M Variable Remunerati		Mgmt	Yes	For	For		For	For	No	No	No
		lower value than the stricter and clearer w currently.* The boan industry standards.H total variable pay, w allows pay below me	te some pay for performan vesting of share awards. vith 50 percent vesting for dhas introduced ESG-relat owever, this is not without thich makes the pay mix indian.* The proposed police by for performance concen-	* The vesting re 40th percentile ted metrics und the following sufficiently long ty, which maint	equirements e ranking vs der the long- concerns:* i g-term orien	under the re 33 percent v term incenti Long-term in ted.* Albeit	elative TSR covesting for 33 ive scheme, a contives only stricter, the r	omponent hav Brd percentile i aligning with it v account for 3 relative TSR ve	e been made ranking, s practices w of percent of esting schedu	ith			
		Blended Rationale: A schemes may mitigal lower value than the stricter and clearer was currently.* The board industry standards. His total variable pay, whe allows pay below me	vote FOR these items is a term of the some pay for performance vesting of share awards. With 50 percent vesting for a has introduced ESG-relation were, this is not withouthich makes the pay mix in the dian.* The proposed policy for performance concentry.	warranted beca nce concerns, a * The vesting re * 40th percentile ted metrics und t the following sufficiently long ry, which maint	ns any capita equirements e ranking vs der the long- concerns:* I g-term orien	I gains from under the re 33 percent v term incenti Long-term in ted.* Albeit :	the exercise relative TSR coverting for 33 for scheme, a reentives only stricter, the r	of options sho omponent hav Brd percentile i aligning with it of account for 3 relative TSR ve	ould result in e been made ranking, s practices w eg percent of esting schedu	ith			
8.E		Approve Buy-out Po	licy	Mgmt	Yes	For	For		For	For	No	No	No
8.F		Advisory Vote on Re	muneration Report	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorize Board to F Approved Resolution	•	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detai													
Institutional A		Custodian Account Nun	nber Ballot Status	Instructed	I	Approve	i	Ballot Voting	Status	Votable S	nares	Shares V	oted
RARF, RARF		392452	Confirmed	jbisset		jbisset				6,000,0	00	6,000,0	000
				03/17/2022		03/17/202	2						
									Total Shares:	6,000,0	000	6,000,0	000

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.A	Υ	Pass	No	For	3.0%	11,558,043,339	97.0%	31,109,277	0.3%	330,656,831	2.8%	Poll	F+A+AB
1.B	Υ	Pass	No	For	3.0%	11,557,402,304	97.0%	33,945,091	0.3%	328,049,620	2.8%	Poll	F+A+AB
1.C	Υ	Pass	No	For	3.2%	11,530,333,893	96.7%	33,363,907	0.3%	354,800,552	3.0%	Poll	F+A+AB
2	Υ	Pass	No	For	3.1%	11,551,575,503	96.9%	38,878,626	0.3%	328,862,125	2.8%	Poll	F+A+AB
3.A	Υ	Pass	No	For	3.1%	11,542,845,514	96.9%	42,552,426	0.4%	331,986,147	2.8%	Poll	F+A+AB
3.B	Υ	Pass	No	For	3.2%	11,536,662,228	96.8%	45,816,716	0.4%	334,902,401	2.8%	Poll	F+A+AB
3.C	Υ	Pass	No	For	3.3%	11,526,418,503	96.7%	56,487,546	0.5%	334,287,941	2.8%	Poll	F+A+AB
3.D	Υ	Pass	No	For	3.2%	11,533,285,921	96.8%	48,259,358	0.4%	336,312,106	2.8%	Poll	F+A+AB
3.E	Υ	Pass	No	For	3.3%	11,528,699,038	96.7%	55,441,146	0.5%	333,656,153	2.8%	Poll	F+A+AB
3.F	Υ	Pass	No	For	5.6%	11,243,887,306	94.3%	337,170,045	2.8%	336,593,959	2.8%	Poll	F+A+AB
3.G	Υ	Pass	No	For	4.4%	11,388,044,627	95.6%	194,966,417	1.6%	334,644,131	2.8%	Poll	F+A+AB
4	Υ	Pass	No	For	3.1%	11,546,391,486	96.9%	37,978,853	0.3%	334,980,575	2.8%	Poll	F+A+AB
5.A	Υ	Pass	No	For	3.2%	11,541,545,503	96.8%	42,875,978	0.4%	334,321,805	2.8%	Poll	F+A+AB
5.B	Υ	Pass	No	For	3.1%	11,548,704,255	96.9%	42,104,992	0.4%	328,621,270	2.8%	Poll	F+A+AB
5.C	Υ	Pass	No	For	3.2%	11,535,912,064	96.8%	45,064,698	0.4%	337,518,005	2.8%	Poll	F+A+AB
5.D	Υ	Pass	No	For	6.1%	11,192,832,422	93.9%	392,664,653	3.3%	333,022,256	2.8%	Poll	F+A+AB
5.E	Υ	Pass	No	For	3.1%	11,542,534,890	96.9%	39,176,752	0.3%	335,842,605	2.8%	Poll	F+A+AB
5.F	Υ	Pass	No	For	3.1%	11,541,842,214	96.8%	41,431,687	0.3%	334,534,130	2.8%	Poll	F+A+AB
5.G	Υ	Pass	No	For	3.1%	11,548,438,315	96.9%	36,036,370	0.3%	333,941,103	2.8%	Poll	F+A+AB
5.H	Υ	Pass	No	For	3.3%	11,527,964,756	96.7%	55,144,100	0.5%	334,858,069	2.8%	Poll	F+A+AB
5.I	Υ	Pass	No	For	3.1%	11,546,316,666	96.9%	43,158,104	0.4%	329,730,452	2.8%	Poll	F+A+AB
6.A	Υ	Pass	No	For	3.1%	11,551,571,515	96.9%	33,221,237	0.3%	334,020,110	2.8%	Poll	F+A+AB
6.B	Υ	Pass	No	For	3.1%	11,547,788,840	96.9%	35,166,816	0.3%	335,284,227	2.8%	Poll	F+A+AB
6.C	Υ	Pass	No	For	12.1%	10,469,563,436	87.8%	1,117,684,526	9.4%	331,481,834	2.8%	Poll	F+A+AB
6.D	Υ	Pass	No	For	4.2%	11,418,147,368	95.8%	164,143,627	1.4%	335,194,357	2.8%	Poll	F+A+AB
7.A	Υ	Pass	No	For	7.0%	11,081,155,863	93.0%	508,059,915	4.3%	331,416,718	2.8%	Poll	F+A+AB
7.B	Υ	Pass	No	For	3.1%	11,550,955,000	96.9%	43,228,415	0.4%	326,435,149	2.7%	Poll	F+A+AB
7.C	Υ	Pass	No	For	3.2%	11,543,604,192	96.8%	52,788,979	0.4%	324,044,566	2.7%	Poll	F+A+AB
7.D	Υ	Pass	No	For	3.1%	11,549,516,885	96.9%	47,043,364	0.4%	323,897,127	2.7%	Poll	F+A+AB
8.A	Υ	Pass	No	For	8.8%	10,867,348,146	91.2%	714,514,785	6.0%	337,312,738	2.8%	Poll	F+A+AB
8.B	Υ	Pass	No	For	4.6%	11,375,996,996	95.4%	212,126,194	1.8%	331,447,223	2.8%	Poll	F+A+AB
8.C	Υ	Pass	No	For	4.0%	11,373,506,994	96.0%	144,949,251	1.2%	331,070,577	2.8%	Poll	F+A+AB

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
8.D	Y	Pass	No	For	6.6%	11,133,341,098	93.4%	327,412,381	2.7%	458,317,435	3.8%	Poll	F+A+AB
8.E	Υ	Pass	No	For	4.2%	11,416,431,962	95.8%	156,400,219	1.3%	344,485,231	2.9%	Poll	F+A+AB
8.F	Υ	Pass	No	For	14.5%	10,193,385,775	85.5%	1,389,271,674	11.7%	336,389,901	2.8%	Poll	F+A+AB
9	Y	Pass	No	For	3.1%	11,554,161,776	96.9%	36,944,457	0.3%	328,619,423	2.8%	Poll	F+A+AB

#### **Telefonica SA**

Meeting Date: 04/07/2022

Country: Spain

Ticker: TEF

Proxy Level: N/A

**Record Date:** 04/01/2022

Meeting Type: Annual
Primary CUSIP: 879382109

**Meeting ID:** 1616104 **Primary ISIN:** ES0178430E18

Primary SEDOL: 5732524

Primary Security ID: 879382109

Earliest Cutoff Date: 04/04/2022

Total Ballots: 1

Voting Policy: ISS

Additional Policy:

Votable Shares: 6,000,000

\*Shares on Loan: 0

Shares Instructed: 6,000,000

Shares Voted: 6,000,000

Votable Si	naies: 0,000,000	"Silates oil Loan: 0			Silaies I	iisti ucteu: 0,0	00,000		Silaies votet	1. 0,000,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Approve Consolidated and Standalone Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
1.2		Approve Non-Financial Information Statement	Mgmt	Yes	For	For		For	For	No	No	No
1.3		Approve Discharge of Board	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Allocation of Income	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these its and proposed dividend.	ems is warranted	d due to a lad	ck of conce	rns about the	proposed alloc	cation of inc	rome			
		Blended Rationale: A vote FOR these items proposed dividend.	is warranted due	to a lack of	concerns a	bout the prop	osed allocation	n of income	and			
3		Renew Appointment of PricewaterhouseCoopers as Auditor	Mgmt	Yes	For	For		For	For	No	No	No
4.1		Reelect Jose Maria Abril Perez as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 4 nominees. A vote FOR the reelection of execution and a vote FOR the reelection of the reele	cutive director Vi ed, as (i) the boa ies; (ii) the comp	la Boix under ard meets the osition of the	r Item 4.2 a e 50 percen e audit, and	and NI-NEDs i It independen I remuneration	Abril-Perez and ce guideline a <sub>l</sub>	d De Paz Oplicable to			-	
		Blended Rationale: A vote FOR Items 4.3 an nominees. A vote FOR the reelection of exe Mancho under Items 4.1 and 4.4 is warrants Spain-incorporated, non-controlled companialso meet the independence guidelines; and	cutive director Vi ed, as (i) the boa es; (ii) the comp	la Boix under ard meets the osition of the	r Item 4.2 a e 50 percen e audit, and	and NI-NEDs / nt independen I remuneration	Abril-Perez and ce guideline a <sub>l</sub>	d De Paz oplicable to	tees		_	
4.2		Reelect Angel Vila Boix as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 4	.3 and 4.5 is wa	rranted due t	to a lack of	concerns abo	ut the indeper	ndent directo	or		-	

Voting Policy Rationale: A vote FOR Items 4.3 and 4.5 is warranted due to a lack of concerns about the independent director nominees. A vote FOR the reelection of executive director Vila Boix under Item 4.2 and NI-NEDs Abril-Perez and De Paz Mancho under Items 4.1 and 4.4 is warranted, as (i) the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies; (ii) the composition of the audit, and remuneration and nomination committees also meet the independence guidelines; and (iii) women representation is above 30 percent.

Blended Rationale: A vote FOR Items 4.3 and 4.5 is warranted due to a lack of concerns about the independent director nominees. A vote FOR the reelection of executive director Vila Boix under Item 4.2 and NI-NEDs Abril-Perez and De Paz Mancho under Items 4.1 and 4.4 is warranted, as (i) the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies; (ii) the composition of the audit, and remuneration and nomination committees also meet the independence guidelines; and (iii) women representation is above 30 percent.

### **Telefonica SA**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4.3		Reelect Maria Luisa Garcia Blanco as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 4.3 a nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii	ve director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 at 50 percent audit, and	nd NI-NEDs A independend remuneration	bril-Perez and e guideline ap	De Paz plicable to				
		Blended Rationale: A vote FOR Items 4.3 and 4 nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii	ve director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 at 50 percent audit, and	nd NI-NEDs A independend remuneration	bril-Perez and e guideline ap	De Paz plicable to	es			
4.4		Reelect Francisco Javier de Paz Mancho as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 4.3 a nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii	ve director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 at 50 percent audit, and	nd NI-NEDs A independend remuneration	bril-Perez and e guideline ap	De Paz plicable to				
		Blended Rationale: A vote FOR Items 4.3 and 4 nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii	ve director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 at 50 percent audit, and	nd NI-NEDs A independend remuneration	Ibril-Perez and re guideline ap	De Paz plicable to	es			
4.5		Ratify Appointment of and Elect Maria Rotondo Urcola as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 4.3 a nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii	ve director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 at 50 percent audit, and	nd NI-NEDs A independend remuneration	bril-Perez and e guideline ap	De Paz plicable to				
		Blended Rationale: A vote FOR Items 4.3 and 4 nominees. A vote FOR the reelection of execution Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii.	ve director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 at 50 percent audit, and	nd NI-NEDs A independend remuneration	bril-Perez and e guideline ap	De Paz plicable to	es			
5		Fix Number of Directors at 15	Mgmt	Yes	For	For		For	For	No	No	No
6		Approve Reduction in Share Capital via Amortization of Treasury Shares	Mgmt	Yes	For	For		For	For	No	No	No
7.1		Approve Scrip Dividends	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items and proposed dividend.	s is warranted	due to a lac	k of conceri	ns about the p	proposed alloc	ation of inco	me			
		Blended Rationale: A vote FOR these items is w proposed dividend.	varranted due	to a lack of o	concerns ab	out the propo	osed allocation	of income a	nd			
7.2		Approve Dividends Charged Against Unrestricted Reserves	Mgmt	Yes	For	For		For	For	No	No	No
8		Approve Share Matching Plan	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	Yes	For	For		For	For	No	No	No

#### **Telefonica SA**

	Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
_	10		Advisory Vote on Remuneration Report	Mgmt	Yes	For	Against		Against	Against	Yes	No	No

Voting Policy Rationale: A vote AGAINST this item is warranted because: \* Telefonica completed two significant transactions in 2021: the O2/Virgin joint-venture and Telxius' telecommunications towers division sale (see Material Company Updates section) for which the board rewarded both executive directors with one-off awards at maximum opportunity of 100 percent of salary. The board also considered Telefonica's outperforming the local index and sector peers in 2021. \* Telefonica is the sole European telco to have a remuneration policy provision allowing for the granting of one-off awards — Deutsche Telekom's supervisory board proposes to eliminate a similar clause at the company's 2022 AGM. \* Excluding this one-off award opportunity, the remuneration arrangements with management already are one of the most competitive among European peers. \* Without downplaying the significance of these two transactions, these one-off awards have further misaligned executive pay with company performance in comparison with peers, including 2021's 3.69x multiple of median, while management's pay package is one of the most competitive among European peers, and Telefonica has been an underperforming stock since incumbent chair/CEO took on his position in April 2016.

Blended Rationale: A vote AGAINST this item is warranted because: \* Telefonica completed two significant transactions in 2021: the O2/Virgin joint-venture and Telxius' telecommunications towers division sale (see Material Company Updates section) for which the board rewarded both executive directors with one-off awards at maximum opportunity of 100 percent of salary. The board also considered Telefonica's outperforming the local index and sector peers in 2021. \* Telefonica is the sole European telco to have a remuneration policy provision allowing for the granting of one-off awards — Deutsche Telekom's supervisory board proposes to eliminate a similar clause at the company's 2022 AGM. \* Excluding this one-off award opportunity, the remuneration arrangements with management already are one of the most competitive among European peers. \* Without downplaying the significance of these two transactions, these one-off awards have further misaligned executive pay with company performance in comparison with peers, including 2021's 3.69x multiple of median, while management's pay package is one of the most competitive among European peers, and Telefonica has been an underperforming stock since incumbent chair/CEO took on his position in April 2016.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		6,000,000	6,000,000
			03/28/2022	03/28/2022			
					Total Shares:	6,000,000	6,000,000

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Υ	Pass	No	For	0.7%	3,213,114,539	99.3%	3,129,690	0.1%	18,167,899	0.6%	Poll	F+A+AB
1.2	Υ	Pass	No	For	0.6%	3,215,465,017	99.4%	2,308,311	0.1%	16,638,800	0.5%	Poll	F+A+AB
1.3	Υ	Pass	No	For	1.6%	3,183,708,495	98.4%	30,773,382	1.0%	19,930,251	0.6%	Poll	F+A+AB
2	Υ	Pass	No	For	1.0%	3,202,319,417	99.0%	15,540,639	0.5%	16,552,072	0.5%	Poll	F+A+AB
3	Υ	Pass	No	For	0.8%	3,207,048,660	99.2%	3,549,251	0.1%	23,814,217	0.7%	Poll	F+A+AB
4.1	Υ	Pass	No	For	3.9%	3,108,722,304	96.1%	80,259,670	2.5%	45,430,154	1.4%	Poll	F+A+AB
4.2	Υ	Pass	No	For	2.9%	3,141,120,768	97.1%	47,831,574	1.5%	45,459,786	1.4%	Poll	F+A+AB
4.3	Υ	Pass	No	For	11.2%	2,872,990,677	88.8%	339,287,842	10.5%	22,133,609	0.7%	Poll	F+A+AB
4.4	Υ	Pass	No	For	16.5%	2,699,492,991	83.5%	489,079,559	15.1%	45,839,578	1.4%	Poll	F+A+AB
4.5	Υ	Pass	No	For	2.2%	3,164,379,796	97.8%	24,672,481	0.8%	45,359,851	1.4%	Poll	F+A+AB
5	Υ	Pass	No	For	0.9%	3,205,540,003	99.1%	10,119,834	0.3%	18,752,291	0.6%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
6	Υ	Pass	No	For	0.6%	3,214,300,166	99.4%	3,872,764	0.1%	16,239,198	0.5%	Poll	F+A+AB
7.1	Υ	Pass	No	For	1.0%	3,203,106,528	99.0%	20,503,619	0.6%	10,801,981	0.3%	Poll	F+A+AB
7.2	Υ	Pass	No	For	0.4%	3,220,618,644	99.6%	3,286,117	0.1%	10,507,367	0.3%	Poll	F+A+AB
8	Υ	Pass	No	For	1.1%	3,199,417,436	98.9%	16,529,836	0.5%	18,464,856	0.6%	Poll	F+A+AB
9	Υ	Pass	No	For	0.6%	3,214,302,257	99.4%	3,392,461	0.1%	16,717,410	0.5%	Poll	F+A+AB
10	Υ	Pass	No	For	46.7%	1,723,151,319	53.3%	1,387,366,467	42.9%	123,894,342	3.8%	Poll	F+A+AB

### **Adecco Group AG**

Meeting Date: 04/13/2022

Country: Switzerland

Ticker: ADEN

Proxy Level: N/A

Record Date:

Meeting Type: Annual

**Meeting ID:** 1601495

Primary SEDOL: 7110720

Primary Security ID: H00392318

Earliest Cutoff Date: 04/05/2022

Primary CUSIP: H00392318

Voting Policy: ISS

Additional Policy:

Votable Shares: 400,000

Total Ballots: 1
\*Shares on Loan: 0

Shares Instructed: 400,000

**Primary ISIN:** CH0012138605

Shares Voted: 400,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
1.2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Approve Allocation of Income and Dividends of CHF 1.25 per Share	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the alloc	ration of income i	resolutions a	re warrante	d.					_	
		Blended Rationale: Votes FOR the allocation	of income resolu	utions are wa	arranted.							
2.2		Approve Dividends of CHF 1.25 per Share from Capital Contribution Reserves	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the allocation of income resolutions are warranted.										
		Blended Rationale: Votes FOR the allocation of income resolutions are warranted.										
3		Approve Discharge of Board and Senior Management	Mgmt	Yes	For	For		For	For	No	No	No
4.1		Approve Remuneration of Directors in the Amount of CHF 5.1 Million	Mgmt	Yes	For	For		For	For	No	No	No
4.2		Approve Remuneration of Executive Committee in the Amount of CHF 32 Million	Mgmt	Yes	For	For		For	For	No	No	No
5.1.1		Reelect Jean-Christophe Deslarzes as Director and Board Chair	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the prop	osed board and o	committee n	ominees are	e warranted.					-	
		Blended Rationale: Votes FOR the proposed	board and comn	mittee nomin	ees are war	ranted.						
5.1.2		Reelect Rachel Duan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the prop	osed board and o	committee n	ominees are	e warranted.					-	
		Blended Rationale: Votes FOR the proposed	board and comn	mittee nomin	ees are war	ranted.					-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Adecco Group AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5.1.3		Reelect Ariane Gorin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed boo	ard and comm	ittee nomine	ees are warr	anted.						
5.1.4		Reelect Alexander Gut as Director	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed boo	ard and comm	ittee nomine	ees are warr	anted.						
5.1.5		Reelect Didier Lamouche as Director	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed boo	ard and comm	ittee nomine	ees are warr	anted.						
5.1.6		Reelect David Prince as Director	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed boo	ard and comm	ittee nomine	ees are warra	anted.						
5.1.7		Reelect Kathleen Taylor as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed boo	ard and comm	ittee nomine	ees are warr	anted.						
5.1.8		Reelect Regula Wallimann as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.										
		Blended Rationale: Votes FOR the proposed boo	ard and comm	ittee nomine	ees are warr	anted.						
5.2.1		Reappoint Rachel Duan as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed boo	ard and comm	ittee nomine	ees are warr	anted.						
5.2.2		Reappoint Didier Lamouche as Member of the Compensation Committee	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed boo	ard and comm	ittee nomine	ees are warr	anted.						
5.2.3		Reappoint Kathleen Taylor as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed boo	ard and comm	ittee nomine	ees are warra	anted.						
5.3		Designate Keller KLG as Independent Proxy	Mgmt	Yes	For	For		For	For	No	No	No
5.4		Ratify Ernst & Young AG as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
6		Approve CHF 142,438.80 Reduction in Share Capital as Part of the Share Buyback Program via Cancellation of Repurchased Shares	Mgmt	Yes	For	For		For	For	No	No	No
7		Approve Renewal of CHF 840,000 Pool of Authorized Capital with or without Exclusion of Preemptive Rights	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Adecco Group AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS	
8		Transact Other Business (Voting)	Mamt	Yes	For	Against		Against	Against	Yes	Nο	Nο	_

Voting Policy Rationale: A vote AGAINST is warranted because: \* This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and \* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.

Blended Rationale: A vote AGAINST is warranted because: \* This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and \* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		400,000	400,000
			03/30/2022	03/30/2022			
					Total Shares:	400,000	400,000

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	0.2%	115,507,616	99.8%	56,189	0.0%	209,789	0.2%	Poll	F+A+AB
1.2	Υ	Pass	No	For	16.3%	96,864,395	83.7%	18,810,590	16.2%	98,609	0.1%	Poll	F+A+AB
2.1	Υ	Pass	No	For	0.2%	115,589,821	99.8%	163,398	0.1%	20,375	0.0%	Poll	F+A+AB
2.2	Υ	Pass	No	For	0.2%	115,591,992	99.8%	158,336	0.1%	23,266	0.0%	Poll	F+A+AB
3	Υ	Pass	No	For	5.4%	109,201,429	94.6%	198,685	0.2%	6,066,356	5.3%	Poll	F+A+AB
4.1	Υ	Pass	No	For	6.3%	108,262,579	93.7%	7,288,380	6.3%	222,635	N/A	Poll	F+A
4.2	Υ	Pass	No	For	13.4%	100,031,004	86.6%	15,517,686	13.4%	224,904	N/A	Poll	F+A
5.1.1	Υ	Pass	No	For	1.0%	114,583,457	99.0%	1,078,893	0.9%	111,244	0.1%	Poll	F+A+AB
5.1.2	Υ	Pass	No	For	14.1%	99,473,237	85.9%	16,176,821	14.0%	123,536	0.1%	Poll	F+A+AB
5.1.3	Υ	Pass	No	For	0.9%	114,733,344	99.1%	920,048	0.8%	120,202	0.1%	Poll	F+A+AB
5.1.4	Υ	Pass	No	For	17.8%	95,144,777	82.2%	20,517,255	17.7%	111,562	0.1%	Poll	F+A+AB
5.1.5	Υ	Pass	No	For	36.8%	73,189,094	63.2%	42,468,353	36.7%	116,147	0.1%	Poll	F+A+AB
5.1.6	Υ	Pass	No	For	22.1%	90,163,901	77.9%	25,472,989	22.0%	136,704	0.1%	Poll	F+A+AB
5.1.7	Υ	Pass	No	For	15.2%	98,162,709	84.8%	17,486,152	15.1%	124,733	0.1%	Poll	F+A+AB
5.1.8	Υ	Pass	No	For	1.1%	114,533,904	98.9%	1,119,506	1.0%	120,184	0.1%	Poll	F+A+AB
5.2.1	Υ	Pass	No	For	14.2%	99,337,034	85.8%	16,314,061	14.1%	122,499	0.1%	Poll	F+A+AB
5.2.2	Υ	Pass	No	For	36.9%	73,054,516	63.1%	42,595,973	36.8%	123,105	0.1%	Poll	F+A+AB
5.2.3	Υ	Pass	No	For	15.4%	97,952,976	84.6%	17,649,298	15.2%	171,320	0.1%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
5.3	Υ	Pass	No	For	0.0%	115,737,602	100.0%	12,287	0.0%	23,705	0.0%	Poll	F+A+AB
5.4	Υ	Pass	No	For	8.7%	105,654,532	91.3%	10,077,821	8.7%	41,241	0.0%	Poll	F+A+AB
6	Υ	Pass	No	For	0.1%	115,684,069	99.9%	49,116	0.0%	40,409	0.0%	Poll	F+A+AB
7	Υ	Pass	No	For	15.1%	98,296,220	84.9%	17,429,288	15.1%	48,086	0.0%	Poll	F+A+AB
8	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A

### **Royal KPN NV**

Meeting Date: 04/13/2022

Earliest Cutoff Date: 04/05/2022

Votable Shares: 12,500,000

Primary Security ID: N4297B146

**Record Date:** 03/16/2022

**Country:** Netherlands

Meeting Type: Annual Primary CUSIP: N4297B146

Total Ballots: 1

\*Shares on Loan: 0

Ticker: KPN

**Meeting ID:** 1596065

**Primary ISIN:** NL0000009082

Voting Policy: ISS Shares Instructed: 12,500,000 Proxy Level: N/A

Primary SEDOL: 5956078

**Additional Policy:** Shares Voted: 12,500,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Annual Meeting Agenda	Mgmt	No								
1		Open Meeting and Announcements	Mgmt	No								
2		Receive Report of Management Board (Non-Voting)	Mgmt	No								
3		Adopt Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
5		Receive Explanation on Company's Reserves and Dividend Policy	Mgmt	No								
6		Approve Dividends	Mgmt	Yes	For	For		For	For	No	No	No
7		Approve Discharge of Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR is warrants controversies that the management board and,			,		_	nd compelling	7			
		Blended Rationale: A vote FOR is warranted be controversies that the management board and			•	-		mpelling				
8		Approve Discharge of Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR is warrants controversies that the management board and,					-	nd compelling	7			
		Blended Rationale: A vote FOR is warranted be controversies that the management board and,			•	-		mpelling				
9		Ratify Ernst & Young Accountants LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
10		Opportunity to Make Recommendations	Mgmt	No								

# **Royal KPN NV**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11		Elect Kitty Koelemeijer to Sup Board	ervisory	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote exceeding four years;* The ca known controversy concerning	ndidates appear							s no			
		Blended Rationale: A vote FOR four years;* The candidates a controversy concerning the ca	ppear to possess					•		-			
12		Elect Chantal Vergouw to Sup Board	ervisory	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote exceeding four years;* The ca known controversy concerning	ndidates appear							s no			
		Blended Rationale: A vote FOR four years;* The candidates a controversy concerning the ca	ppear to possess					,		-			
13		Announce Vacancies on the B	oard	Mgmt	No								
14		Discussion of Supervisory Boa	rd Profile	Mgmt	No								
15		Authorize Repurchase of Up t Percent of Issued Share Capit		Mgmt	Yes	For	For		For	For	No	No	No
16		Approve Reduction in Share C through Cancellation of Share	•	Mgmt	Yes	For	For		For	For	No	No	No
17		Grant Board Authority to Issu Up to 10 Percent of Issued Ca		Mgmt	Yes	For	For		For	For	No	No	No
18		Authorize Board to Exclude Pr Rights from Share Issuances	reemptive	Mgmt	Yes	For	For		For	For	No	No	No
19		Other Business (Non-Voting)		Mgmt	No								
20		Close Meeting		Mgmt	No								
Ballot Deta	ails												
Institutiona (IA Name, I	Account Detail A Number)	Custodian Account Number				Approv	ed	Ballot Voting	) Status	Votable S	hares	Shares	Voted
RARF, RARF		392452	jbisset		jbisset		Issuer Confirm	ned	12,500,	000	12,500	ე,000	

### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	N												
2	N												
3	Y	Pass	No	For	0.0%	3,141,663,372	100.0%	19,393	0.0%	966,797	N/A	Poll	F+A
4	Υ	Pass	No	For	2.6%	3,021,301,717	97.4%	79,191,044	2.6%	42,156,553	N/A	Poll	F+A

03/28/2022

Total Shares:

12,500,000

12,500,000

03/28/2022

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
5	N												
6	Υ	Pass	No	For	0.2%	3,134,952,121	99.8%	7,573,628	0.2%	123,565	N/A	Poll	F+A
7	Υ	Pass	No	For	3.2%	3,040,033,156	96.8%	100,329,588	3.2%	2,286,570	N/A	Poll	F+A
8	Υ	Pass	No	For	3.2%	3,037,780,994	96.8%	100,329,705	3.2%	4,538,615	N/A	Poll	F+A
9	Υ	Pass	No	For	0.2%	3,130,484,760	99.8%	5,576,787	0.2%	6,587,767	N/A	Poll	F+A
10	N												
11	Υ	Pass	No	For	2.3%	3,057,187,500	97.7%	72,759,704	2.3%	12,702,110	N/A	Poll	F+A
12	Υ	Pass	No	For	0.4%	3,118,547,820	99.6%	11,398,702	0.4%	12,702,792	N/A	Poll	F+A
13	N												
14	N												
15	Υ	Pass	No	For	0.2%	3,136,869,169	99.8%	4,975,847	0.2%	804,298	N/A	Poll	F+A
16	Υ	Pass	No	For	0.0%	3,142,013,113	100.0%	513,104	0.0%	123,097	N/A	Poll	F+A
17	Υ	Pass	No	For	1.2%	3,105,622,068	98.8%	36,890,723	1.2%	136,523	N/A	Poll	F+A
18	Υ	Pass	No	For	1.5%	3,094,749,763	98.5%	47,759,154	1.5%	140,397	N/A	Poll	F+A
19	N												
20	N												

### **Lockheed Martin Corporation**

**Meeting Date:** 04/21/2022 **Record Date:** 02/25/2022

Primary Security ID: 539830109

Country: USA

Meeting Type: Annual

**Primary CUSIP:** 539830109

Ticker: LMT

**Meeting ID:** 1619608

Primary ISIN: US5398301094

Proxy Level: 3

Primary SEDOL: 2522096

Earliest Cutoff Date: 04/20/2022 Votable Shares: 54,800 Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 54,800

Additional Policy: Shares Voted: 54,800

Voting Additional Vote Vote Vote Significant Votable Against Against Proposal Mamt Policy Policy Vote Against ISS Rec Number Vote **Proposal Text** Proponent Proposal Rec Rec Rec Instruction Mgmt Policy ISS 1.1 Elect Director Daniel F. Akerson Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.2 Elect Director David B. Burritt Mgmt For For For Against Yes Yes Yes Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. Elect Director Bruce A. Carlson 1.3 Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.4 Elect Director John M. Donovan Mgmt For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Lockheed Martin Corporation**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.5		Elect Director Joseph F. Dunford, Jr.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.6		Elect Director James O. Ellis, Jr.	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.7		Elect Director Thomas J. Falk	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.8		Elect Director Ilene S. Gordon	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.9		Elect Director Vicki A. Hollub	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.10		Elect Director Jeh C. Johnson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.11		Elect Director Debra L. Reed-Klages	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.12		Elect Director James D. Taiclet	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.13		Elect Director Patricia E. Yarrington	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
2		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
4		Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proposing to call special meetings.	sal is warrante	d as a lower	threshold w	ould enhanc	e the current s	shareholder				
		Blended Rationale: A vote FOR this proposal is call special meetings.	warranted as	a lower thre	shold would	enhance the	e current share	holder right	to			
5		Report on Human Rights Impact Assessment	SH	Yes	Against	Against		Against	Against	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Lockheed Martin Corporation**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	jbisset	jbisset		54,800	54,800
			04/06/2022	04/06/2022			
					Total Shares:	54,800	54,800

### **Meeting Results**

Outstanding Shares Voted: 266,534,099

Results Available: Full

	ding Shares	20041 200,00	.,055			ilics Available: 1 all							
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Υ	Pass	No	For	3.0%	191,774,894	97.0%	6,001,362	3.0%	1,955,422	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	4.0%	189,920,837	96.0%	7,818,805	4.0%	1,992,036	N/A	Proxy	F+A
1.3	Y	Pass	No	For	3.3%	191,358,332	96.7%	6,475,460	3.3%	1,897,886	N/A	Proxy	F+A
1.4	Y	Pass	No	For	1.6%	194,543,116	98.4%	3,205,675	1.6%	1,982,896	N/A	Proxy	F+A
1.5	Y	Pass	No	For	2.6%	192,684,083	97.4%	5,135,570	2.6%	1,912,034	N/A	Proxy	F+A
1.6	Y	Pass	No	For	3.3%	191,493,696	96.7%	6,521,642	3.3%	1,716,349	N/A	Proxy	F+A
1.7	Y	Pass	No	For	3.2%	191,475,909	96.8%	6,320,296	3.2%	1,935,482	N/A	Proxy	F+A
1.8	Y	Pass	No	For	3.4%	191,375,607	96.6%	6,649,001	3.4%	1,707,079	N/A	Proxy	F+A
1.9	Y	Pass	No	For	3.8%	190,467,954	96.2%	7,444,752	3.8%	1,818,916	N/A	Proxy	F+A
1.10	Y	Pass	No	For	3.1%	191,820,329	96.9%	6,163,463	3.1%	1,747,895	N/A	Proxy	F+A
1.11	Υ	Pass	No	For	2.6%	192,772,269	97.4%	5,236,360	2.6%	1,723,058	N/A	Proxy	F+A
1.12	Υ	Pass	No	For	3.7%	190,965,193	96.3%	7,242,281	3.7%	1,524,213	N/A	Proxy	F+A
1.13	Υ	Pass	No	For	2.1%	193,664,493	97.9%	4,224,622	2.1%	1,842,572	N/A	Proxy	F+A
2	Υ	Pass	No	For	3.0%	225,840,352	97.0%	6,974,355	3.0%	1,054,314	N/A	Proxy	F+A
3	Υ	Pass	No	For	6.8%	183,647,712	93.2%	13,314,579	6.8%	2,769,780	N/A	Proxy	F+A
4	Υ	Fail	No	Against	46.6%	91,979,851	46.6%	105,234,387	53.4%	2,517,988	N/A	Proxy	F+A
5	Υ	Fail	No	Against	20.2%	39,585,466	20.2%	156,389,942	79.8%	3,756,810	N/A	Proxy	F+A

### **Vivendi SE**

Meeting Date: 04/25/2022 Country: France Record Date: 04/21/2022 Meeting Type: Annual/Special			Ticker: VIV Meeting ID: 1621360				Proxy Level: N/A			
Primary Security ID: F97982106	Primary CUSIP: F97982106		-	ISIN: FR000			Primary SEDOL: 4834777			
Earliest Cutoff Date: 04/19/2022 Total Ballots: 1 Voting Policy: ISS					Additional Po	licy:				
Votable Shares: 717,639	*Shares on Loan: 0		Shares In	structed: 717	,639		Shares Voted: 717,639			
Proposal Significant Number Vote Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS

Ordinary Business Mgmt No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approve and lack of concerns.	al of the annua	al accounts a	re warranted	d due to the	unqualified au	ditors' opinio	on			
		Blended Rationale: Votes FOR the approval of lack of concerns.	the annual acc	counts are wa	arranted due	to the unqu	ualified auditor.	s' opinion an	d			
2		Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approve and lack of concerns.	al of the annua	al accounts a	re warranted	d due to the	unqualified au	ditors' opinio	on			
		Blended Rationale: Votes FOR the approval of lack of concerns.	the annual acc	counts are wa	arranted due	e to the unqu	ıalified auditor.	s' opinion an	d			
3		Approve Auditors' Special Report on Related-Party Transactions	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Allocation of Income and Dividends of EUR 0.25 per Share	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Compensation Report of Corporate Officers	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST the global remuneration report is warranted because: * Vincent Bollore remains a censor maintaining an employment contract as advisor of the management board chairman, including a remuneration package equivalent to management board members; and * The board's reactivity to shareholders' dissent is deemed too limited on that matter.										
		Blended Rationale: A vote AGAINST the global maintaining an employment contract as adviso equivalent to management board members; an matter.	r of the manag	gement board	d chairman,	including a r	emuneration p	oackage				
6		Approve Compensation of Yannick Bollore, Chairman of the Supervisory Board	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this no of the group by ISS due to the level and struct management board members. His remuneratio on his short-term variable remuneration as cha rationale; and * Despite not being granted per payment considered a replacement for the LTI	ture of his remon on report falls s airman and CEG formance shar	uneration, w short of good O of Havas is es for the ye	hich are con I market pra s very limited Par under rev	nparable to to ctice because d; * One of to view, Mr. Boli	hose of Vivende e notably as: * he one-off awa lore received a	di's * Informatior ards lacks an exceptiona	7			
		Blended Rationale: A vote AGAINST this remur group by ISS due to the level and structure of members. His remuneration report falls short of variable remuneration as chairman and CEO of Despite not being granted performance shares	his remunerati of good market f Havas is very	ion, which ar practice bed limited; * O	re comparab cause notabl ne of the on	le to those of ly as: * Infort e-off awards	f Vivendi's mai mation on his lacks rational	nagement bo short-term e; and *				

Despite not being granted performance shares for the year under review, Mr. Bollore received an exceptional payment considered a replacement for the LTIP, the conditions of which appear advantageous for the corporate officers.

Management Board

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Approve Compensation of Arnaud de	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Approve Compensation of Gilles Alix, Mgmt Yes For For For For No No No Management Board Member

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi:

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

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Member

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Approve Compensation of Cedric de Bailliencourt, Management Board	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Approve Compensation of Frederic Mgmt Yes For For For For No No No Crepin, Management Board Member

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi:

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

12

Gillham, Management Board Member

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	:
11		Approve Compensation of Simon	Mamt	Yes	For	For		For	For	No	No	No	_

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Approve Compensation of Herve Mgmt Yes For For For For No No No No Philippe, Management Board Member

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
13		Approve Compensation of Stephane Roussel, Management Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remulations of the level of details regarding the achievement of the financial performance targets raises questadvantageous for the corporate officers. The machievement improved, * The one-off awards in Bailliencourt) is warranted because: * The shareholder of Vivendi, creates an important corporated procedures nor likely to be balanced out by the in another listed group, the company failed to get the remuneration paid by Vivendi;	are warranted on some of the stions; and * in a sain reasons for the semain well be remunerations on flict of interest eremunerations.	(Items 7-8, e non-finance The one-off r or support are so that he recest. These are so that he recests that he recesses the recession has been also been a	10-13) altho ial bonus cr emuneration e: * The tra voted in the eives as exe e neither pr reives at Viv	ough the follo iteria is limite in awarded in insparency or policy. A vot cutives of th operly tackle rendi; and * (	owing concerns ed; * The level place of the L the bonus pel ee AGAINST Ite ee Bollore Group d by the compa	are raised: of stringency TIP appears rformance om 9 (Cedric o, main any's utive function	y de ns			
		Blended Rationale: Votes FOR these remuneral Gillham, Herve Philippe and Stephane Roussel of the level of details regarding the achievement of the financial performance targets raises quest advantageous for the corporate officers. The machievement improved, * The one-off awards in Bailliencourt) is warranted because: * The shareholder of Vivendi, creates an important corporate out by the in another listed group, the company failed to put the remuneration paid by Vivendi;	are warranted on some of the stions; and * in a sain reasons for the semain well be remunerations on flict of interest eremunerations.	(Items 7-8, e non-finance The one-off r or support are so that he rece	10-13) altho ial bonus cr emuneratio. e: * The tra voted in the eives as exe e neither pr reives at Viv	ough the follo iteria is limite in awarded in insparency or in policy. A vot in policy. A v	owing concerns ed; * The level place of the L n the bonus pe te AGAINST Ite e Bollore Group d by the compa	are raised: of stringency TIP appears rformance om 9 (Cedric o, main any's	y de ns			
14		Approve Remuneration Policy of Supervisory Board Members and Chairman	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this remuneration policy is warranted because of: * The lack of transparency on the remuneration of the chairman of the supervisory board, who is also an executive of a subsidiary; * The proposed 15% increase of the Chairman's remuneration with limited rationale; and * The possible grants of performance shares to non-executive members of the supervisory board.										
		Blended Rationale: A vote AGAINST this remun remuneration of the chairman of the supervisor of the Chairman's remuneration with limited rat members of the supervisory board.	ry board, who	is also an ex	ecutive of a	subsidiary;	lack of transpal * The proposed nce shares to r	d 15% increa	ase			
15		Approve Remuneration Policy of Chairman of the Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the remuneration policy of the Chairman of the management board (item 15) is warranted as it does not raise significant concerns. A vote FOR the remuneration policy of management board members (item 16) is warranted although the following concern is raised: * The remunerations that Mr. de Bailliencourt receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest, which is not properly tackled by the company's procedures The main reasons for support are: * The level of transparency on variable remuneration items improves compared to last year; and * The new LTIP structure excludes compensatory effects between criteria.										
		Blended Rationale: A vote FOR the remuneration policy of the Chairman of the management board (item 15) is warranted as it does not raise significant concerns. A vote FOR the remuneration policy of management board members (item 16) is warranted although the following concern is raised: * The remunerations that Mr. de Bailliencourt receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest, which is not properly tackled by the company's procedures The main reasons for support are: * The level of transparency on variable remuneration items improves compared to last year; and * The new LTIP structure excludes compensatory effects between criteria.										

	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
16		Approve Remuneration Policy of Management Board Members	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the remur as it does not raise significant concerns. A vot warranted although the following concern is ra Bollore Group, main shareholder of Vivendi, co company's procedures The main reasons for s compared to last year; and * The new LTIP st	te FOR the remales to FOR the remales an importance of the remales and reportance of the remales are the remal	uneration po munerations rtant conflict The level of t	licy of man that Mr. de of interest, ransparenc	agement boai e Bailliencourt which is not y on variable	rd members (it receives as ex properly tacklo remuneration	tem 16) is xecutives of ed by the	the			
		Blended Rationale: A vote FOR the remunerate does not raise significant concerns. A vote FO although the following concern is raised: * The Group, main shareholder of Vivendi, creates a procedures The main reasons for support are: to last year; and * The new LTIP structure extends to the structure of the structure of the support and the support are:	R the remuneration important con * The level of	ation policy on that Mr. de nflict of inter transparency	of managen e Baillienco est, which y on variab	nent board me urt receives a is not properl le remunerati	embers (item i s executives of y tackled by th	16) is warran f the Bollore ne company's	nted 5			
17		Reelect Philippe Benacin as Supervisory Board Member	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST the r concerns regarding the prevention of conflicts independent nominees are warranted in the a	of interests in	the company	v (item 17).	Votes FOR to						
		Blended Rationale: A vote AGAINST the reelect regarding the prevention of conflicts of interest nominees are warranted in the absence of spe	sts in the comp	any (item 17	). Votes FC		-					
18		Reelect Cathia Lawson-Hall as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote AGAINST the r concerns regarding the prevention of conflicts independent nominees are warranted in the a	of interests in	the company	v (item 17).	Votes FOR to		-				
		Blended Rationale: A vote AGAINST the reelect regarding the prevention of conflicts of interest nominees are warranted in the absence of spe	sts in the comp	any (item 17	). Votes FC		-					
19		Reelect Michele Reiser as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote AGAINST the r concerns regarding the prevention of conflicts independent nominees are warranted in the a	of interests in	the company	v (item 17).	Votes FOR to		-				
		Blended Rationale: A vote AGAINST the reelect regarding the prevention of conflicts of interest nominees are warranted in the absence of spe	sts in the comp	any (item 17	). Votes FC		,					
20		Reelect Katie Stanton as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote AGAINST the r concerns regarding the prevention of conflicts independent nominees are warranted in the a	of interests in	the company	v (item 17).	Votes FOR to		•				
		Blended Rationale: A vote AGAINST the reelect regarding the prevention of conflicts of interest nominees are warranted in the absence of spe	sts in the comp	any (item 17	). Votes FC		-					
21		Reelect Maud Fontenoy as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote AGAINST the r concerns regarding the prevention of conflicts independent nominees are warranted in the a	of interests in	the company	v (item 17).	Votes FOR to		-				
		Blended Rationale: A vote AGAINST the reelect regarding the prevention of conflicts of interest nominees are warranted in the absence of spe	sts in the comp	any (item 17	). Votes FC							
22		Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Vivendi SE**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Extraordinary Business		Mgmt	No								
23		Authorize Decrease in Share Ca via Cancellation of Repurchased Shares		Mgmt	Yes	For	For		For	For	No	No	No
24		Authorize Specific Buyback Pro and Cancellation of Repurchase	-	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote buyback program is in sharehold		roposal is warr	anted becau	se the comp	any failed to	demonstrate	that this				
		Blended Rationale: A vote AGAI program is in shareholders' inte		sal is warranted	d because th	ne company i	failed to dem	onstrate that i	this buyback				
25		Authorize Capital Issuances for Employee Stock Purchase Plans		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these items are warranted as the proposed authorizations do not raise concerns.											
		Blended Rationale: Votes FOR these items are warranted as the proposed authorizations do not raise concerns.											
26		Authorize Capital Issuances for Employee Stock Purchase Plans Employees of International Subsidiaries		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes F	FOR these items	are warranted	as the prop	osed author	izations do n	ot raise concer	rns.				
		Blended Rationale: Votes FOR to	hese items are	warranted as t	he proposea	authorizatio	ons do not ra	ise concerns.					
27		Authorize Filing of Required Documents/Other Formalities		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutional (IA Name, IA	Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	ı	Approved	i	Ballot Voting	Status	Votable Sh	nares	Shares	/oted
RARF, RARF		392452		jbisset				717,63	9	717,0	539		

### **Meeting Results**

Outstanding Shares Voted: Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.0%	767,205,447	100.0%	210,677	0.0%	42,896	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	767,176,965	100.0%	211,396	0.0%	44,721	N/A	Poll	F+A
3	Υ	Pass	No	For	1.4%	416,224,039	98.6%	5,869,930	1.4%	4,436,890	N/A	Poll	F+A
4	Υ	Pass	No	For	0.1%	766,483,196	99.9%	913,984	0.1%	23,841	N/A	Poll	F+A
5	Y	Pass	No	For	41.0%	453,085,228	59.0%	314,260,047	41.0%	83,435	N/A	Poll	F+A
6	Y	Pass	No	For	37.3%	481,010,383	62.7%	286,104,084	37.3%	312,355	N/A	Poll	F+A
7	Υ	Pass	No	For	22.0%	595,863,135	78.0%	167,888,789	22.0%	3,705,761	N/A	Poll	F+A
8	Y	Pass	No	For	11.3%	677,337,642	88.7%	86,409,464	11.3%	3,712,316	N/A	Poll	F+A

Total Shares:

717,639

717,639

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
9	Y	Pass	No	For	33.3%	511,771,505	66.7%	255,361,197	33.3%	326,981	N/A	Poll	F+A
10	Υ	Pass	No	For	11.3%	680,757,951	88.7%	86,370,316	11.3%	327,196	N/A	Poll	F+A
11	Υ	Pass	No	For	11.3%	680,757,133	88.7%	86,375,303	11.3%	323,846	N/A	Poll	F+A
12	Υ	Pass	No	For	11.3%	680,723,138	88.7%	86,373,721	11.3%	359,482	N/A	Poll	F+A
13	Υ	Pass	No	For	11.3%	680,723,548	88.7%	86,372,561	11.3%	358,612	N/A	Poll	F+A
14	Υ	Pass	No	For	34.8%	499,785,352	65.2%	267,263,945	34.8%	406,259	N/A	Poll	F+A
15	Υ	Pass	No	For	2.8%	745,887,120	97.2%	21,213,504	2.8%	354,232	N/A	Poll	F+A
16	Υ	Pass	No	For	3.7%	738,543,819	96.3%	28,549,176	3.7%	361,348	N/A	Poll	F+A
17	Υ	Pass	No	For	33.9%	504,641,801	66.1%	258,316,902	33.9%	4,491,848	N/A	Poll	F+A
18	Υ	Pass	No	For	0.3%	758,747,346	99.7%	2,157,758	0.3%	6,547,865	N/A	Poll	F+A
19	Υ	Pass	No	For	0.3%	758,516,036	99.7%	2,382,668	0.3%	6,553,180	N/A	Poll	F+A
20	Υ	Pass	No	For	0.3%	758,764,554	99.7%	2,137,025	0.3%	6,549,354	N/A	Poll	F+A
21	Υ	Pass	No	For	1.3%	750,804,386	98.7%	10,106,762	1.3%	6,541,449	N/A	Poll	F+A
22	Υ	Pass	No	For	0.2%	765,493,338	99.8%	1,910,064	0.2%	46,361	N/A	Poll	F+A
	N												
23	Υ	Pass	No	For	0.1%	766,962,982	99.9%	424,344	0.1%	36,662	N/A	Poll	F+A
24	Υ	Pass	No	For	28.8%	542,885,120	71.2%	219,356,176	28.8%	5,181,621	N/A	Poll	F+A
25	Υ	Pass	No	For	0.4%	764,080,743	99.6%	3,277,035	0.4%	66,575	N/A	Poll	F+A
26	Υ	Pass	No	For	0.6%	763,128,297	99.4%	4,241,879	0.6%	50,421	N/A	Poll	F+A
27	Υ	Pass	No	For	0.0%	767,335,754	100.0%	51,088	0.0%	29,681	N/A	Poll	F+A

Meeting Date: 04/26/2022 **Record Date:** 04/22/2022

Primary Security ID: F12033134

Country: France

Meeting Type: Annual/Special

Primary CUSIP: F12033134

Earliest Cutoff Date: 04/20/2022 Total Ballots: 1

Ticker: BN

**Meeting ID:** 1613099

**Primary ISIN:** FR0000120644

Voting Policy: ISS

**Additional Policy:** 

Primary SEDOL: B1Y9TB3

Proxy Level: N/A

Votable Shares: 95,100 \*Shares on Loan: 0 Shares Instructed: 95,100 Shares Voted: 95,100

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary Business	Mgmt	No								
1		Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.

Blended Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2		Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approve and lack of concerns.	al of the annua	al accounts a	re warrante	ed due to the	unqualified au	ıditors' opini	on			
		Blended Rationale: Votes FOR the approval of lack of concerns.	the annual acc	counts are wa	arranted du	e to the unqu	ualified auditor	s' opinion a	nd			
3		Approve Allocation of Income and Dividends of EUR 1.94 per Share	Mgmt	Yes	For	For		For	For	No	No	No
4		Ratify Appointment of Valerie Chapoulaud-Floquet as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns warranted in the absence of concerns. (Item 5	(Items 4 and 6			-						
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).							' in			
5		Elect Antoine de Saint-Afrique as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns warranted in the absence of concerns. (Item 5	(Items 4 and 6									
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).							' in			
6		Elect Patrice Louvet as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns warranted in the absence of concerns. (Item 5	(Items 4 and 6									
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).				-			' in			
7		Elect Geraldine Picaud as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns warranted in the absence of concerns. (Item 5	Items 4 and 6									
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).							l in			
8		Elect Susan Roberts as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns warranted in the absence of concerns. (Item 5)	Items 4 and 6									
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).							l in			
9		Renew Appointment of Ernst & Young Audit as Auditor	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR are warrant	ted because th	ere are no c	oncerns reg	arding these	proposals.					
		Blended Rationale: Votes FOR are warranted b	ecause there a	are no concei	rns regardir	ng these prop	osals.					

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
10		Appoint Mazars & Associes as Auditor	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR are warra	nted because th	nere are no c	oncerns rega	arding these	proposals.					
		Blended Rationale: Votes FOR are warranted	because there a	are no conce	rns regardin	g these prop	osals.					
11		Approve Transaction with Veronique Penchienati-Bosetta	Mgmt	Yes	For	For		For	For	No	No	No
12		Approve Compensation Report of Corporate Officers	Mgmt	Yes	For	For		For	For	No	No	No
13		Approve Compensation of Veronique Penchienati-Bosseta, CEO From March 14 to September 14, 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Compensations of Ve (Item 13) A vote FOR this remuneration repo of Shane Grant, Interim vice-CEO from March warranted, although the following concerns a allocated under the vice-CEO's employment's LTI grant in units does not provide the weigh good disclosure on the elements of remunera absence of any other significant concern.	rt is warranted in 14 until Sep. 1 are raised: * The The company ting of the attac	because it do 4, 2021 (Itel base salary considers the ched perform	oes not raise m 14) A vote r, the annual em as not su nance criteria	e any significa e FOR this re variable con ubmitted to s a. The main l	ant concern. C emuneration re mpensation and shareholders' a reasons for su	ompensation port is d the LTI are pproval. * T pport are: *	e The The			
		Blended Rationale: Compensations of Veronic, A vote FOR this remuneration report is warra Grant, Interim vice-CEO from March 14 until although the following concerns are raised: * under the vice-CEO's employment so the comin units does not provide the weighting of the disclosure on the elements of remuneration gabsence of any other significant concern.	nted because it Sep. 14, 2021 ( The base salar apany considers e attached perfo	does not rail Item 14) A v y, the annua them as not ormance crite	se any signinote FOR this Il variable co Il submitted t Peria. The man	ficant concert remuneration mpensation to shareholde in reasons fo	n. Compensation report is wa and the LTI ar ers' approval. or support are:	ions of Shan pranted, e allocated * The LTI gn * The good	e <sup>°</sup> ant			
14		Approve Compensation of Shane Grant, Vice-CEO From March 14 to September 14, 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Compensations of Ve (Item 13) A vote FOR this remuneration repo of Shane Grant, Interim vice-CEO from March warranted, although the following concerns a allocated under the vice-CEO's employment s LTI grant in units does not provide the weigh good disclosure on the elements of remunera absence of any other significant concern.	rt is warranted on 14 until Sep. 1 The raised: * The office of the company of the attactions of the attactions.	because it do 4, 2021 (Itel base salary considers the ched perform	oes not raise m 14) A vote r, the annual em as not su nance criteria	e any significa e FOR this re variable con ubmitted to s a. The main i	ant concern. C emuneration re mpensation and shareholders' a reasons for su	ompensation port is d the LTI are pproval. * T pport are: *	e The The			
		Blended Rationale: Compensations of Veronic, A vote FOR this remuneration report is warra. Grant, Interim vice-CEO from March 14 until although the following concerns are raised: * under the vice-CEO's employment so the comin units does not provide the weighting of the disclosure on the elements of remuneration gabsence of any other significant concern.	nted because it Sep. 14, 2021 ( The base salar apany considers a attached perfo	does not rail Item 14) A v y, the annua them as not ormance crite	ise any signin note FOR this Il variable co It submitted t Peria. The man	ficant concert remuneration impensation of to shareholder in reasons fo	n. Compensation report is wa and the LTI ar ers' approval. a or support are:	ions of Shan prranted, e allocated * The LTI gr * The good	e ´ ant			
15		Approve Compensation of Antoine de Saint-Afrique, CEO Since 15 September 2021	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve Compensation of Gilles Schnepp, Chairman of the Board Since 14 March 2021	Mgmt	Yes	For	For		For	For	No	No	No
17		Approve Remuneration Policy of Executive Corporate Officers	Mgmt	Yes	For	For		For	For	No	No	No
18		Approve Remuneration Policy of Chairman of the Board	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
19		Approve Remuneration Policy of Directors	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No
		Extraordinary Business	Mgmt	No								
21		Authorize Capital Issuances for Use in Employee Stock Purchase Plans Reserved for Employees of International Subsidiaries	Mgmt	Yes	For	For		For	For	No	No	No
22		Authorize up to 0.5 Percent of Issued Capital for Use in Restricted Stock Plans with Performance Conditions Attached	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorize up to 0.2 Percent of Issued Capital for Use in Restricted Stock Plans	Mgmt	Yes	For	For		For	For	No	No	No
24		Amend Article 19.2 of Bylaws Re: Age Limit of CEO and Vice-CEO	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Items 24 to CEO, deputy CEO and the chairman (Items 24- time, the proposal is in line with legal requiren	-25). * Even th	hough directo	ors' sharehol	lding would b	be reduced and					
		Blended Rationale: Votes FOR Items 24 to 26 deputy CEO and the chairman (Items 24-25). the proposal is in line with legal requirements.	* Even though	directors' sh	nareholding v	would be red	luced and adju				-	
25		Amend Article 18.1 of Bylaws Re: Age Limit of Chairman of the Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Items 24 to CEO, deputy CEO and the chairman (Items 24- time, the proposal is in line with legal requiren	-25). * Even th	nough directo	ors' sharehol	ding would b	be reduced and					
		Blended Rationale: Votes FOR Items 24 to 26 deputy CEO and the chairman (Items 24-25), the proposal is in line with legal requirements	* Even though	directors' sh	nareholding v	would be red	luced and adju		-			
26		Amend Article 17 of Bylaws Re: Shares Held by Directors	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Items 24 to CEO, deputy CEO and the chairman (Items 24 time, the proposal is in line with legal requiren	-25). * Even th	nough directo	ors' sharehol	ding would b	ne reduced and				-	
		Blended Rationale: Votes FOR Items 24 to 26 deputy CEO and the chairman (Items 24-25). the proposal is in line with legal requirements.	* Even though	directors' sh	nareholding v	would be red	luced and adju				-	
27		Authorize Filing of Required Documents/Other Formalities	Mgmt	Yes	For	For		For	For	No	No	No
		Shareholder Proposals Submitted by Phitrust	Mgmt	No								
A		Amend Article 18 of Bylaws: Role of Honorary Chairman	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR Item A is with the role and the powers of the honorary chairst specific duties towards the company and the state.	man. They hav						y		-	
		Blended Rationale: A vote FOR Item A is warra role and the powers of the honorary chairman. duties towards the company and the sharehold	They have to		-							

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		95,100	95,100
			04/12/2022	04/12/2022			
					: Total Shares:	95,100	95,100

## **Meeting Results**

Outstanding Shares Voted: 663,177,179

Results Available: Full

tem #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
**	N	Jummury	Тороза	Rec	20101			Aguilloc	, igac	Abbum	7.000	Турс	
1	Υ	Pass	No	For	0.0%	447,122,559	100.0%	27,866	0.0%	1,851,467	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	447,106,496	100.0%	28,206	0.0%	1,867,190	N/A	Poll	F+A
3	Υ	Pass	No	For	0.5%	446,539,129	99.5%	2,372,609	0.5%	90,154	N/A	Poll	F+A
4	Υ	Pass	No	For	0.2%	447,930,419	99.8%	984,203	0.2%	87,270	N/A	Poll	F+A
5	Υ	Pass	No	For	6.0%	421,867,296	94.0%	26,852,733	6.0%	281,863	N/A	Poll	F+A
6	Υ	Pass	No	For	0.2%	447,835,749	99.8%	1,064,201	0.2%	101,942	N/A	Poll	F+A
7	Υ	Pass	No	For	24.9%	336,571,630	75.1%	111,811,191	24.9%	619,071	N/A	Poll	F+A
8	Υ	Pass	No	For	0.1%	448,418,755	99.9%	496,750	0.1%	86,387	N/A	Poll	F+A
9	Υ	Pass	No	For	3.1%	435,210,664	96.9%	13,703,938	3.1%	87,290	N/A	Poll	F+A
.0	Υ	Pass	No	For	0.1%	448,379,756	99.9%	531,048	0.1%	91,088	N/A	Poll	F+
1	Υ	Pass	No	For	0.0%	448,121,266	100.0%	116,993	0.0%	746,740	N/A	Poll	F+/
12	Υ	Pass	No	For	3.4%	415,120,237	96.6%	14,743,884	3.4%	19,137,771	N/A	Poll	F+A
13	Υ	Pass	No	For	3.8%	431,501,726	96.2%	17,174,279	3.8%	325,887	N/A	Poll	F+A
14	Υ	Pass	No	For	2.9%	435,800,825	97.1%	12,822,354	2.9%	378,713	N/A	Poll	F+A
15	Υ	Pass	No	For	5.4%	424,266,734	94.6%	24,281,413	5.4%	453,745	N/A	Poll	F+A
16	Υ	Pass	No	For	0.1%	429,988,418	99.9%	404,795	0.1%	18,608,679	N/A	Poll	F+A
17	Υ	Pass	No	For	13.6%	368,249,791	86.4%	58,055,061	13.6%	22,697,040	N/A	Poll	F+A
18	Υ	Pass	No	For	0.7%	427,365,769	99.3%	3,006,130	0.7%	18,629,993	N/A	Poll	F+A
19	Υ	Pass	No	For	0.1%	446,786,781	99.9%	571,882	0.1%	1,643,229	N/A	Poll	F+A
.0	Υ	Pass	No	For	5.4%	424,392,954	94.6%	24,126,255	5.4%	482,683	N/A	Poll	F+
	N												
21	Υ	Pass	No	For	1.0%	444,484,394	99.0%	4,371,804	1.0%	94,313	N/A	Poll	F+A
22	Υ	Pass	No	For	6.9%	417,778,915	93.1%	31,041,868	6.9%	129,728	N/A	Poll	F+
23	Υ	Pass	No	For	5.5%	423,658,540	94.5%	24,602,288	5.5%	689,683	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
24	Υ	Pass	No	For	2.1%	439,368,018	97.9%	9,453,182	2.1%	129,311	N/A	Poll	F+A
25	Υ	Pass	No	For	0.7%	445,704,442	99.3%	3,129,187	0.7%	116,882	N/A	Poll	F+A
26	Υ	Pass	No	For	1.0%	444,100,190	99.0%	4,383,987	1.0%	466,334	N/A	Poll	F+A
27	Υ	Pass	No	For	0.0%	448,871,302	100.0%	40,013	0.0%	90,577	N/A	Poll	F+A
	N												
Α	Υ	Fail	No	Against	59.3%	258,799,793	59.3%	177,370,368	40.7%	12,064,145	N/A	Poll	F+A

# **Cigna Corporation**

Meeting Date: 04/27/2022

Country: USA

Ticker: CI

Proxy Level: 3

**Record Date:** 03/08/2022

Meeting Type: Annual **Primary CUSIP:** 125523100

**Meeting ID:** 1622897

**Primary ISIN:** US1255231003

Primary SEDOL: BHJ0775

Primary Security ID: 125523100

Voting Policy: ISS

Earliest Cutoff Date: 04/26/2022 Total Ballots: 1 Additional Policy: Votable Shares: 450,000 \*Shares on Loan: 0 Shares Instructed: 450,000 Shares Voted: 450,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director David M. Cordani	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1b		Elect Director William J. DeLaney	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1c		Elect Director Eric J. Foss	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.							•	
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1d		Elect Director Elder Granger	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.							•	
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1e		Elect Director Neesha Hathi	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
<b>1</b> f		Elect Director George Kurian	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1g		Elect Director Kathleen M. Mazzarella	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.							•	

# **Cigna Corporation**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1h		Elect Director Mark B. McClellan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
1i		Elect Director Kimberly A. Ross	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
1j		Elect Director Eric C. Wiseman	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
1k		Elect Director Donna F. Zarcone	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director no	minees is warı	ranted.							-	
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proporties special meeting right more easily exercisate							e			
		Blended Rationale: A vote FOR this proposal is special meeting right more easily exercisable b	-		•				•			
5		Report on Gender Pay Gap	SH	Yes	Against	Against		Against	Against	No	No	No
6		Report on Congruency of Political Spending with Company Values and Priorities	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proportion policy statements and its direct and indirect pushareholders to have a more comprehensive usactivities conducted by its partners.	olitical contribu	tions and no	nprofit orga	nization parti	icipation would	d enable	ic		_	
		Blended Rationale: A vote FOR this proposal is statements and its direct and indirect political to have a more comprehensive understanding conducted by its partners.	contributions a	and nonprofit	t organizatio	n participatio	on would enab	le sharehold			_	

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	jbisset	jbisset		450,000	450,000
			04/20/2022	04/20/2022			
					Total Shares:	450,000	450,000

### **Meeting Results**

Outstanding Shares Voted: 318,376,760 Results Available: Full

Item	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	7.4%	248,171,620	92.6%	19,859,521	7.4%	807,860	N/A	Proxy	F+A
1b	Υ	Pass	No	For	1.5%	264,174,478	98.5%	4,145,567	1.5%	518,956	N/A	Proxy	F+A
1c	Υ	Pass	No	For	3.0%	260,449,983	97.0%	8,084,668	3.0%	304,350	N/A	Proxy	F+A
1d	Υ	Pass	No	For	1.6%	264,089,539	98.4%	4,238,395	1.6%	511,067	N/A	Proxy	F+A
1e	Υ	Pass	No	For	0.5%	267,347,527	99.5%	1,227,622	0.5%	263,852	N/A	Proxy	F+A
1f	Υ	Pass	No	For	1.0%	265,808,708	99.0%	2,741,012	1.0%	289,281	N/A	Proxy	F+A
1g	Υ	Pass	No	For	3.8%	258,281,858	96.2%	10,306,788	3.8%	250,355	N/A	Proxy	F+A
1h	Υ	Pass	No	For	1.3%	264,855,469	98.7%	3,475,947	1.3%	507,585	N/A	Proxy	F+A
1i	Υ	Pass	No	For	0.6%	266,851,942	99.4%	1,739,461	0.6%	247,598	N/A	Proxy	F+A
1j	Υ	Pass	No	For	3.1%	260,098,879	96.9%	8,447,585	3.1%	292,537	N/A	Proxy	F+A
1k	Υ	Pass	No	For	4.6%	254,393,280	95.4%	12,154,207	4.6%	2,291,514	N/A	Proxy	F+A
2	Υ	Pass	No	For	17.3%	222,333,867	82.7%	45,870,501	17.1%	634,633	0.2%	Proxy	F+A+AB
3	Υ	Pass	No	For	5.5%	271,083,580	94.5%	15,415,347	5.4%	271,255	0.1%	Proxy	F+A+AB
4	Υ	Fail	No	Against	47.3%	126,313,901	47.0%	141,739,935	52.7%	785,165	0.3%	Proxy	F+A+AB
5	Υ	Fail	No	Against	33.2%	87,891,026	32.7%	179,547,902	66.8%	1,400,073	0.5%	Proxy	F+A+AB
6	Υ	Fail	No	Against	46.5%	123,785,139	46.0%	143,721,740	53.5%	1,332,122	0.5%	Proxy	F+A+AB

Meeting Date: 04/27/2022 Record Date: 04/19/2022 Primary Security ID: W9376L154 Country: Sweden
Meeting Type: Annual
Primary CUSIP: W9376L154

Ticker: SWMA
Meeting ID: 1623173

Primary ISIN: SE0015812219

Proxy Level: N/A

**Additional Policy:** 

Primary SEDOL: BKS8Y81

**Earliest Cutoff Date:** 04/19/2022 **Votable Shares:** 1,637,318

\*Shares on Loan: 0

**Total Ballots:** 1

Voting Policy: ISS

Shares Instructed: 1,637,318

Shares Voted: 1,637,318

votable 5	nares: 1,037,31	*Snares on Loan: 0			Snares 1	nstructea: 1,0	37,316		Snares voted	1: 1,037,316		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Open Meeting; Elect Chairman of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine n	neeting formaliti	es.								
		Blended Rationale: These are routine meeti	ing formalities.								_	
2		Prepare and Approve List of Shareholders	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine r	neeting formaliti	es.							_	
		Blended Rationale: These are routine meeti	ing formalities.								-	
3		Designate Peter Lundkvist and Filippa Gerstadt Inspectors of Minutes of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine n	neeting formaliti	es.							-	
		Blended Rationale: These are routine meeti	ing formalities.								-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4		Acknowledge Proper Convening of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine meet	ting formalities	5.								
		Blended Rationale: These are routine meeting t	formalities.									
5		Approve Agenda of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine meet	ting formalities	5.								
		Blended Rationale: These are routine meeting t	formalities.									
6		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
7		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
8		Approve Allocation of Income and Dividends of SEK 1.86 Per Share	Mgmt	Yes	For	For		For	For	No	No	No
9.a		Approve Discharge of Charles A. Blixt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these proportional fulfilled their fiduciary duties.	osals is warrar	nted as there	e is no evide.	nce that the	board director	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	is warranted a	as there is n	o evidence t	that the boar	d directors hav	ve not fulfille	d			
9.b		Approve Discharge of Andrew Cripps	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propo- fulfilled their fiduciary duties.	osals is warrar	nted as there	e is no evide.	nce that the	board director	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	is warranted a	as there is n	o evidence t	that the boar	d directors hav	e not fulfille	d			
9.c		Approve Discharge of Jacqueline Hoogerbrugge	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propo- fulfilled their fiduciary duties.	osals is warrar	nted as there	e is no evide	nce that the	board director	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	is warranted a	as there is n	o evidence t	that the boar	d directors hav	e not fulfille	d			
9.d		Approve Discharge of Conny Carlsson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propo- fulfilled their fiduciary duties.	osals is warrar	nted as there	e is no evide	nce that the	board director	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	is warranted a	as there is n	o evidence t	that the boar	d directors hav	e not fulfille	d			
9.e		Approve Discharge of Alexander Lacik	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propo- fulfilled their fiduciary duties.	osals is warrar	nted as there	e is no evide	nce that the	board director	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	is warranted a	as there is n	o evidence t	that the boar	d directors hav	e not fulfille	d			
9.f		Approve Discharge of Pauline Lindwall	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propo- fulfilled their fiduciary duties.	osals is warrar	nted as there	is no evide	nce that the	board director	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	is warranted a	as there is n	o evidence t	that the boar	d directors hav	ve not fulfille	d			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9.g		Approve Discharge of Wenche Rolfsen	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propfulfilled their fiduciary duties.	osals is warrar	nted as there	e is no evide	ence that the	board directors	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted a	as there is no	o evidence	that the board	d directors hav	e not fulfille	d			
9.h		Approve Discharge of Joakim Westh	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop fulfilled their fiduciary duties.	osals is warrar	nted as there	e is no evide	ence that the	board director:	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted a	as there is no	o evidence i	that the board	d directors hav	e not fulfille	d			
9.i		Approve Discharge of Patrik Engelbrektsson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propfulfilled their fiduciary duties.	osals is warraı	nted as there	is no evide	ence that the	board director:	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted a	as there is no	o evidence i	that the board	d directors hav	e not fulfille	d			
9.j		Approve Discharge of Par-Ola Olausson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propfulfilled their fiduciary duties.	osals is warraı	nted as there	e is no evide	ence that the	board directors	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted o	as there is no	o evidence i	that the board	d directors hav	e not fulfille	d			
9.k		Approve Discharge of Dragan Popovic	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop fulfilled their fiduciary duties.	osals is warraı	nted as there	is no evide	ence that the	board director:	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted a	as there is no	o evidence i	that the board	d directors hav	e not fulfille	d			
9.1		Approve Discharge of CEO Lars Dahlgren	Mgmt	Yes	For	For		For	For	No	No	No
10		Determine Number of Members (7) and Deputy Members (0) of Board	Mgmt	Yes	For	For		For	For	No	No	No
11		Approve Remuneration of Directors in the Amount of SEK 2.36 million to Chair and SEK 945,000 to Other Directors; Approve Remuneration for Committee Work	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this it relation to comparable domestic peers.	em is warrante	ed, as the pro	oposed dire	ctor fees can	be considered	excessive in	7			
		Blended Rationale: A vote AGAINST this item is relation to comparable domestic peers.	s warranted, as	s the propose	ed director i	fees can be c	onsidered exce	essive in				
12.a		Reelect Charles A. Blixt as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidates Alexander Lacik (12.d), Pauline Lindwall (12.e) suitability of these individuals in particular. A vois overboarded.	and Joakim W	esth (12.f) is	s warrantea	due to a laci	k of concern re	garding the				
		Blended Rationale: A vote FOR candidates, Cha Alexander Lacik (12.d), Pauline Lindwall (12.e) suitability of these individuals in particular. A w is overboarded.	and Joakim W	lesth (12.f) is	s warrantea	due to a laci	k of concern re	garding the	te			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12.b		Reelect Jacqueline Hoogerbrugge as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidate. Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f) i	is warranted	d due to a lac	k of concern re	egarding the				
		Blended Rationale: A vote FOR candidates, Ch Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f) i	is warranted	d due to a lac	k of concern re	egarding the			-	
12.c		Reelect Conny Carlsson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidate. Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f) i	is warranted	d due to a lac	k of concern re	egarding the			-	
		Blended Rationale: A vote FOR candidates, Ch Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f) i	is warranted	d due to a lac	k of concern re	egarding the				
12.d		Reelect Alexander Lacik as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidate. Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f) i	is warranted	d due to a lac	k of concern re	egarding the			-	
		Blended Rationale: A vote FOR candidates, Ch Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f) i	is warranted	d due to a lac	k of concern re	egarding the				
12.e		Reelect Pauline Lindwall as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidate. Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f) i	is warranted	d due to a lac	k of concern re	egarding the			-	
		Blended Rationale: A vote FOR candidates, Ch Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f) i	is warranted	d due to a lac	k of concern re	egarding the			-	
12.f		Reelect Joakim Westh as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidate. Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f) i	is warranted	d due to a lac	k of concern re	egarding the			-	
		Blended Rationale: A vote FOR candidates, Ch Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f) i	is warranted	d due to a lac	k of concern re	egarding the			-	

-	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12.g		Elect Sanna Suvanto-Harsaae a Director	s New	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote Alexander Lacik (12.d), Pauline suitability of these individuals in is overboarded.	Lindwall (12.e)	and Joakim V	Vesth (12.f)	is warranted	d due to a lac	k of concern re	egarding the	,		-	
		Blended Rationale: A vote FOR Alexander Lacik (12.d), Pauline suitability of these individuals in is overboarded.	Lindwall (12.e)	and Joakim V	Vesth (12.f)	is warranted	d due to a lac	k of concern re	egarding the				
12.h		Reelect Conny Karlsson as Boa	rd Chair	Mgmt	Yes	For	For		For	For	No	No	No
13		Determine Number of Auditors Deputy Auditors (0)	(1) and	Mgmt	Yes	For	For		For	For	No	No	No
14		Approve Remuneration of Audit	tors	Mgmt	Yes	For	For		For	For	No	No	No
15		Ratify Deloitte as Auditors		Mgmt	Yes	For	For		For	For	No	No	No
16.a		Approve SEK 13.5 Million Redu Share Capital via Share Cancell for Transfer to Unrestricted Equ	ation	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote balance sheet, which may also				ation of sha	res may impro	ove the efficien	ncy of the			-	
		Blended Rationale: A vote FOR sheet, which may also enhance			cancellation	of shares n	nay improve t	he efficiency o	f the balanc	e		-	
16.b		Approve Capitalization of Reser SEK 13.5 Million for a Bonus Is:		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote balance sheet, which may also				ation of sha	res may impro	ove the efficier	ncy of the				
		Blended Rationale: A vote FOR sheet, which may also enhance			cancellation	of shares n	nay improve t	he efficiency o	f the balanc	e			
17		Authorize Share Repurchase Pr	ogram	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote volume limit, because: * There repurchase authorities.		-	-	-		-		osed		-	
		Blended Rationale: A vote FOR volume limit, because: * There repurchase authorities.											
18		Authorize Reissuance of Repure Shares	chased	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote volume limit, because: * There repurchase authorities.		,	,	,		,		osed			
		Blended Rationale: A vote FOR volume limit, because: * There repurchase authorities.	, ,	,	, ,		, ,						
19		Approve Issuance of Shares up Per cent of Share Capital witho Preemptive Rights		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details	s												
Institutional Ac (IA Name, IA N		Custodian Account Number	Ballot Status	Instructe	d	Approv	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
RARF, RARF		392452	Confirmed	jcoster		jcoster				1,637,	318	1,637	,318

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Total Shares: 1,637,318 1,637,318

## **Meeting Results**

Outstanding Shares Voted:

Results Available: Full

Outstai	iuling Silares	voteu:			Res	uits Available: Full							
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	398,763,292	100.0%	15	0.0%	306	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	398,763,613	100.0%	0	N/A	0	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	398,763,292	100.0%	15	0.0%	306	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	398,763,238	100.0%	375	0.0%	0	N/A	Poll	F+A
5	Υ	Pass	No	For	0.0%	398,763,613	100.0%	0	N/A	0	N/A	Poll	F+A
6	Υ	Pass	No	For	1.3%	385,600,106	98.7%	4,967,200	1.3%	8,196,307	N/A	Poll	F+A
7	Υ	Pass	No	For	0.1%	397,851,295	99.9%	397,165	0.1%	515,153	N/A	Poll	F+A
8	Υ	Pass	No	For	0.0%	398,214,825	100.0%	33,875	0.0%	514,913	N/A	Poll	F+A
9.a	Υ	Pass	No	For	0.5%	393,243,379	99.5%	1,945,804	0.5%	3,574,430	N/A	Poll	F+A
9.b	Υ	Pass	No	For	0.5%	393,216,784	99.5%	1,972,414	0.5%	3,574,415	N/A	Poll	F+A
9.c	Υ	Pass	No	For	0.5%	393,243,769	99.5%	1,945,429	0.5%	3,574,415	N/A	Poll	F+A
9.d	Υ	Pass	No	For	0.5%	392,916,784	99.5%	1,972,414	0.5%	3,874,415	N/A	Poll	F+A
9.e	Υ	Pass	No	For	0.5%	393,242,894	99.5%	1,945,804	0.5%	3,574,915	N/A	Poll	F+A
9.f	Υ	Pass	No	For	N/A	393,243,269	N/A	1,945,429	N/A	3,574,915	N/A	Poll	F+A
9.g	Υ	Pass	No	For	0.5%	393,243,269	99.5%	1,945,429	0.5%	3,574,915	N/A	Poll	F+A
9.h	Υ	Pass	No	For	0.5%	393,216,784	99.5%	1,972,414	0.5%	3,574,415	N/A	Poll	F+A
9.i	Υ	Pass	No	For	0.5%	393,242,894	99.5%	1,945,804	0.5%	3,574,915	N/A	Poll	F+A
9.j	Υ	Pass	No	For	0.5%	392,877,894	99.5%	1,945,804	0.5%	3,939,915	N/A	Poll	F+A
9.k	Υ	Pass	No	For	0.5%	393,243,769	99.5%	1,945,429	0.5%	3,574,415	N/A	Poll	F+A
9.1	Υ	Pass	No	For	0.5%	392,878,394	99.5%	1,945,804	0.5%	3,939,415	N/A	Poll	F+A
10	Υ	Pass	No	For	0.0%	398,248,460	100.0%	0	N/A	515,153	N/A	Poll	F+A
11	Υ	Pass	No	For	5.1%	248,315,290	94.9%	13,478,395	5.1%	15,869,928	N/A	Poll	F+A
12.a	Υ	Pass	No	For	0.3%	396,321,397	99.7%	1,161,757	0.3%	1,280,459	N/A	Poll	F+A
12.b	Υ	Pass	No	For	0.3%	396,321,772	99.7%	1,161,382	0.3%	1,280,459	N/A	Poll	F+A
12.c	Υ	Pass	No	For	9.8%	349,218,098	90.2%	38,070,174	9.8%	11,475,314	N/A	Poll	F+A
12.d	Υ	Pass	No	For	0.3%	396,321,397	99.7%	1,161,757	0.3%	1,280,459	N/A	Poll	F+A
12.e	Υ	Pass	No	For	0.3%	396,321,772	99.7%	1,161,382	0.3%	1,280,459	N/A	Poll	F+A
12.f	Υ	Pass	No	For	3.4%	375,460,344	96.6%	13,370,030	3.4%	9,933,239	N/A	Poll	F+A
12.g	Υ	Pass	No	For	67.4%	129,570,714	32.6%	267,534,795	67.4%	1,658,104	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
12.h	Y	Pass	No	For	11.3%	344,983,195	88.7%	44,072,353	11.3%	9,708,065	N/A	Poll	F+A
13	Υ	Pass	No	For	0.0%	398,248,460	100.0%	0	N/A	515,153	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	398,221,715	100.0%	26,985	0.0%	514,913	N/A	Poll	F+A
15	Υ	Pass	No	For	0.0%	398,221,850	100.0%	26,610	0.0%	515,153	N/A	Poll	F+A
16.a	Υ	Pass	No	For	0.0%	398,762,998	100.0%	615	0.0%	0	N/A	Poll	F+A
16.b	Y	Pass	No	For	0.0%	398,755,315	100.0%	8,298	0.0%	0	N/A	Poll	F+A
17	Y	Pass	No	For	0.0%	398,642,197	100.0%	121,416	0.0%	0	N/A	Poll	F+A
18	Y	Pass	No	For	0.2%	391,518,412	99.8%	939,005	0.2%	6,306,196	N/A	Poll	F+A
19	Y	Pass	No	For	0.1%	378,749,016	99.9%	366,615	0.1%	19,647,982	N/A	Poll	F+A

## **Activision Blizzard, Inc.**

Meeting Date: 04/28/2022

Country: USA

Ticker: ATVI

**Proxy Level:** 5

**Record Date:** 03/14/2022

Meeting Type: Special Primary CUSIP: 00507V109

Meeting ID: 1623585 Primary ISIN: US00507V1098

Primary SEDOL: 2575818

Earliest Cutoff Date: 04/27/2022

Primary Security ID: 00507V109

**Total Ballots:** 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 109,760

\*Shares on Loan: 0

Shares Instructed: 109,760

Shares Voted: 109,760

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Merger Agreement	Mgmt	Yes	For	For		For	For	No	No	No
2		Advisory Vote on Golden Parachutes	Mgmt	Yes	For	For		For	For	No	No	No
3		Adjourn Meeting	Mgmt	Yes	For	For		For	For	No	No	No

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	jbisset	jbisset		109,760	109,760
			04/25/2022	04/25/2022			
					Total Shares:	109,760	109,760

### **Meeting Results**

Outstanding Shares Voted: 780,922,900

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	1.2%	539,332,512	69.1%	8,847,849	1.1%	786,522	0.1%	Proxy	Capital Represented
2	Y	Pass	No	For	34.9%	357,220,104	65.1%	189,741,964	34.6%	2,004,815	0.4%	Proxy	F+A+AB
3	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Proxy	F+A+AB

Meeting Date: 04/28/2022 Record Date: 04/26/2022 **Country:** United Kingdom **Meeting Type:** Annual

Ticker: NWG Meeting ID: 1608486 Proxy Level: N/A

Primary Security ID: G6422B105

Primary CUSIP: G6422B105

Primary ISIN: GB00B7T77214

Primary SEDOL: B7T7721

Earliest Cutoff Date: 04/25/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 20,000,000 \*Shares on Loan: 0

Shares Instructed: 20,000,000

Shares Voted: 20,000,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
5		Re-elect Howard Davies as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A w been identified. Item 8 A vote AGAINST the n NED of the Company, Frank Dangeard serves two of those. This could potentially compromi Blended Rationale: Items 5-7 & 9-15 A vote F	e-election of Fr on boards of to ise his ability to	ank Dangear hree other p o commit suff	rd is conside ublicly listed ficient time t	red warrante companies, o his role at	ed: * In addition in particular, a NatWest Grou	on to his role as Board Cha p Plc.	e as		-	
		been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves two of those. This could potentially compromi	e-election of Fr on boards of t	ank Dangear hree other p	rd is conside ublicly listed	red warrante companies,	ed: * In addition in particular, a	on to his role as Board Cha				
6		Re-elect Alison Rose-Slade as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vibeen identified. Item 8 A vote AGAINST the NED of the Company, Frank Dangeard serves two of those. This could potentially compromi	e-election of Fr on boards of t	ank Dangear hree other p	rd is conside ublicly listed	red warrante companies,	ed: * In addition in particular, a	on to his role as Board Cha	e as			
		Blended Rationale: Items 5-7 & 9-15 A vote F been identified. Item 8 A vote AGAINST the N NED of the Company, Frank Dangeard serves two of those. This could potentially compromi	e-election of Fr on boards of t	ank Dangear hree other p	rd is conside ublicly listed	red warrante companies,	ed: * In addition in particular, a	on to his role as Board Cha				
7		Re-elect Katie Murray as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vibeen identified. Item 8 A vote AGAINST the NED of the Company, Frank Dangeard serves two of those. This could potentially compromi	e-election of Fr on boards of t	ank Dangear hree other p	rd is conside ublicly listed	red warrante companies,	ed: * In addition in particular, a	on to his role as Board Cha	e as		-	
		Blended Rationale: Items 5-7 & 9-15 A vote F been identified. Item 8 A vote AGAINST the N NED of the Company, Frank Dangeard serves two of those. This could potentially compromi	e-election of Fr on boards of t	ank Dangear hree other p	rd is conside ublicly listed	red warrante companies,	ed: * In addition in particular, a	on to his role as Board Cha				
8		Re-elect Frank Dangeard as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Items 5-7 & 9-15 A vibeen identified. Item 8 A vote AGAINST the NED of the Company, Frank Dangeard serves two of those. This could potentially compromi	e-election of Fr on boards of t	ank Dangear hree other p	rd is conside ublicly listed	red warrante companies,	ed: * In addition in particular, a	on to his role as Board Cha	e as			
		Blended Rationale: Items 5-7 & 9-15 A vote F been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves	e-election of Fr	ank Dangeai	rd is conside	red warrante	ed: * In addition	on to his role				

two of those. This could potentially compromise his ability to commit sufficient time to his role at NatWest Group Plc.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Re-elect Patrick Flynn as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vo been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warranted Companies, i	d: * In addition In particular, a	n to his role s Board Chai	as		-	
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted Companies, i	d: * In addition In particular, a	n to his role s Board Chai				
10		Re-elect Morten Friis as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vo been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra on boards of th	ank Dangear aree other pu	d is conside ublicly listea	red warranted Companies, i	d: * In addition In particular, a.	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted Companies, i	d: * In addition in particular, a	n to his role s Board Chai				
11		Re-elect Robert Gillespie as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vobeen identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra on boards of th	ank Dangear aree other pu	d is conside ublicly listea	red warranted Companies, i	d: * In addition In particular, a.	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warranted Companies, i	d: * In addition in particular, a	n to his role s Board Chai				
12		Re-elect Yasmin Jetha as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vo been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra on boards of th	ank Dangear aree other pu	d is conside ublicly listea	red warranted Companies, i	d: * In addition In particular, a.	n to his role s Board Chai	as		-	
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted Companies, i	d: * In addition In particular, a	n to his role s Board Chai				
13		Re-elect Mike Rogers as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vo been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warranted Companies, i	d: * In addition in particular, a.	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warranted Companies, i	d: * In addition in particular, a	n to his role s Board Chai				
14		Re-elect Mark Seligman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vo been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted Companies, i	d: * In addition In particular, a	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warranted Companies, i	d: * In addition in particular, a	n to his role s Board Chai				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
15		Re-elect Lena Wilson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A volume been identified. Item 8 A vote AGAINST the render of the Company, Frank Dangeard serves two of those. This could potentially compromise.	e-election of Fra on boards of th	ank Dangeard Bree other pu	d is conside Iblicly listed	ered warrante d companies, i	d: * In additio in particular, a	n to his role s Board Cha	as			
		Blended Rationale: Items 5-7 & 9-15 A vote Fi been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves two of those. This could potentially compromis	e-election of Fra on boards of th	ank Dangeard Aree other pu	d is conside Iblicly listed	ered warrante d companies, i	d: * In addition in particular, a	n to his role s Board Cha				
16		Reappoint Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise the Group Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
18		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	olutions is warr	anted becaus	se the prop	osed amounts	and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed	amounts and	durations are	within				
19		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	olutions is warra	anted becaus	se the prop	osed amounts	and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed	amounts and	durations are	within				
20		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	olutions is warr	anted becaus	se the prop	osed amounts	and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed	amounts and	durations are	within				
21		Authorise Issue of Equity in Connection with Equity Convertible Notes	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these rest the Company were to issue Equity Convertible shares, this would result in significant dilution authorities are common proposals at UK banks into ordinary shares would require the CET1 re the Company's current position and minimum	Notes and a tr to non-particip s and are intendation to fall to a	igger event v ating shareh ded to apply level which v	were to occ colders. The in extreme	cur, causing the main reasons circumstance	nem to convert s for support a es only; and *	t into ordina re: * Such The convers	ry sion			
		Blended Rationale: A vote FOR these resolution Company were to issue Equity Convertible Not shares, this would result in significant dilution authorities are common proposals at UK banks into ordinary shares would require the CET1 re the Company's current position and minimum	tes and a trigge to non-particip s and are intendatio to fall to a	er event were ating shareh ded to apply level which v	e to occur, olders. The in extreme	causing them main reasons circumstance	to convert into s for support a es only; and *	o ordinary re: * Such The convers	sion		-	

-	Significant /ote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
22		Authorise Issue of Equity witho Pre-emptive Rights in Connection Equity Convertible Notes		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote is the Company were to issue Equipments, this would result in signature authorities are common propose into ordinary shares would require Company's current position	ity Convertible ificant dilution t als at UK banks ire the CET1 ra	Notes and a tr to non-participo and are intend tio to fall to a l	igger event ating shareh ded to apply level which v	were to occu olders. The i	ır, causing th main reasons circumstance	em to convert for support a s only; and *	t into ordinar re: * Such The conversi	y ion			
		Company were to issue Equity C shares, this would result in sign authorities are common propose into ordinary shares would requ											
23		Authorise the Company to Call Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No	
24		Authorise UK Political Donations Expenditure	uthorise UK Political Donations and		Yes	For	For		For	For	No	No	No
25		Authorise Market Purchase of C Shares	ordinary	Mgmt	Yes	For	For		For	For	No	No	No
26		Authorise Off-Market Purchase Ordinary Shares	of	Mgmt	Yes	For	For		For	For	No	No	No
27		Authorise Off-Market Purchase Preference Shares	of	Mgmt	Yes	For	For		For	For	No	No	No
28		Approve Climate Strategy		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details	s												
Institutional Ac (IA Name, IA N		Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable S	hares	Shares \	Voted
RARF, RARF		392452	Confirmed	jbisset		jbisset				20,000,	000	20,000	0,000
				04/19/2022		04/19/202	22						

### **Meeting Results**

Outstanding Shares Voted: 42,278,361,232

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	36,256,226,628	100.0%	4,270,580	0.0%	400,147,260	N/A	Poll	F+A
2	Υ	Pass	No	For	7.3%	33,883,943,928	92.7%	2,649,384,392	7.3%	126,953,196	N/A	Poll	F+A
3	Y	Pass	No	For	1.1%	36,237,314,672	98.9%	414,528,384	1.1%	8,356,700	N/A	Poll	F+A
4	Y	Pass	No	For	0.1%	36,636,298,020	99.9%	21,477,236	0.1%	2,497,336	N/A	Poll	F+A
5	Y	Pass	No	For	2.2%	35,837,758,484	97.8%	817,139,400	2.2%	5,349,548	N/A	Poll	F+A
6	Υ	Pass	No	For	0.8%	36,349,901,100	99.2%	305,126,148	0.8%	5,145,304	N/A	Poll	F+A
7	Υ	Pass	No	For	0.1%	36,633,538,964	99.9%	18,950,896	0.1%	7,679,172	N/A	Poll	F+A
8	Y	Pass	No	For	19.6%	29,459,990,820	80.4%	7,191,766,308	19.6%	8,407,008	N/A	Poll	F+A

**Total Shares:** 

20,000,000

20,000,000

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
9	Υ	Pass	No	For	0.9%	36,333,533,172	99.1%	316,877,396	0.9%	9,748,576	N/A	Poll	F+A
10	Υ	Pass	No	For	1.7%	36,043,546,816	98.3%	605,984,960	1.7%	10,641,032	N/A	Poll	F+A
11	Υ	Pass	No	For	2.3%	35,818,344,152	97.7%	833,133,592	2.3%	8,695,264	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	36,641,708,504	100.0%	10,362,796	0.0%	8,097,336	N/A	Poll	F+A
13	Υ	Pass	No	For	1.0%	36,273,002,496	99.0%	378,076,752	1.0%	9,085,040	N/A	Poll	F+A
14	Υ	Pass	No	For	1.0%	36,274,797,056	99.0%	376,576,944	1.0%	8,784,408	N/A	Poll	F+A
15	Υ	Pass	No	For	0.2%	36,575,947,996	99.8%	75,845,056	0.2%	8,369,252	N/A	Poll	F+A
16	Υ	Pass	No	For	0.8%	36,349,451,420	99.2%	306,680,040	0.8%	4,153,096	N/A	Poll	F+A
17	Υ	Pass	No	For	0.0%	36,650,358,236	100.0%	5,779,880	0.0%	4,150,160	N/A	Poll	F+A
18	Υ	Pass	No	For	1.4%	36,146,651,372	98.6%	508,649,596	1.4%	4,648,036	N/A	Poll	F+A
19	Υ	Pass	No	For	0.2%	36,579,472,708	99.8%	68,342,980	0.2%	12,166,628	N/A	Poll	F+A
20	Υ	Pass	No	For	1.8%	36,008,025,720	98.2%	641,460,572	1.8%	10,496,888	N/A	Poll	F+A
21	Υ	Pass	No	For	0.8%	36,345,253,068	99.2%	304,289,184	0.8%	10,362,356	N/A	Poll	F+A
22	Υ	Pass	No	For	1.1%	36,239,519,588	98.9%	408,369,452	1.1%	12,013,976	N/A	Poll	F+A
23	Υ	Pass	No	For	1.9%	35,943,283,764	98.1%	711,583,004	1.9%	4,608,252	N/A	Poll	F+A
24	Υ	Pass	No	For	0.6%	36,449,960,888	99.4%	206,463,928	0.6%	3,391,748	N/A	Poll	F+A
25	Υ	Pass	No	For	0.9%	36,325,720,640	99.1%	330,224,316	0.9%	4,272,700	N/A	Poll	F+A
26	Υ	Pass	No	For	0.2%	16,225,472,008	99.8%	32,545,616	0.2%	846,227,124	N/A	Poll	F+A
27	Υ	Pass	No	For	0.1%	36,614,870,408	99.9%	40,199,816	0.1%	4,764,524	N/A	Poll	F+A
28	Υ	Pass	No	For	7.4%	33,666,256,300	92.6%	2,698,582,140	7.4%	295,378,568	N/A	Poll	F+A

## Pfizer Inc.

Meeting Date: 04/28/2022 Record Date: 03/02/2022

Primary Security ID: 717081103

Country: USA

Meeting Type: Annual

**Primary CUSIP:** 717081103

Earliest Cutoff Date: 04/27/2022 Votable Shares: 183,035 Total Ballots: 1

\*Shares on Loan: 0

Ticker: PFE

Meeting ID: 1622134

Shares Instructed: 183,035

**Primary ISIN:** US7170811035

Voting Policy: ISS

Additional Policy:

Proxy Level: 3

Shares Voted: 183,035

Primary SEDOL: 2684703

Additional Voting Vote Vote Vote Significant Votable Policy Against Against Proposal Mamt Policy Vote Against **Proposal Text** Proposal ISS Rec Instruction ISS Number Vote **Proponent** Rec Rec Rec Mgmt Policy 1.1 Elect Director Ronald E. Blaylock Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. Elect Director Albert Bourla For 1.2 Mgmt Yes For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted.

Blended Rationale: A vote FOR the director nominees is warranted.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Pfizer Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.3		Elect Director Susan Desmond-Hellmann	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director r	nominees is wan	ranted.								
1.4		Elect Director Joseph J. Echevarria	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director r	nominees is war	ranted.								
1.5		Elect Director Scott Gottlieb	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director r	nominees is wan	ranted.								
1.6		Elect Director Helen H. Hobbs	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direc	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director r	nominees is wari	ranted.								
1.7		Elect Director Susan Hockfield	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director r	nominees is war	ranted.								
1.8		Elect Director Dan R. Littman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director r	nominees is wari	ranted.								
1.9		Elect Director Shantanu Narayen	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director r	nominees is warı	ranted.								
1.10		Elect Director Suzanne Nora Johnson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	nominees is war	ranted.								
1.11		Elect Director James Quincey	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	nominees is war	ranted.								
1.12		Elect Director James C. Smith	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director r	nominees is war	ranted.								
2		Ratify KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
4		Amend Proxy Access Right	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this proplimit would improve the company's existing p				nation of the	20-shareholde	er aggregatio	on			
		Blended Rationale: A vote FOR this proposal would improve the company's existing proxy				n of the 20-s	hareholder agg	gregation lin	nit			

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Pfizer Inc.**

•	Significant /ote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5		Report on Congruency of Political Electioneering Expenditures with Company Values and Policies	SH	Yes	Against	Against		Against	Against	No	No	No
6		Report on Feasibility of Technology Transfer to Boost Covid-19 Vaccine Production	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propo COVID-19 vaccine inequity and additional info related risks.						_			-	
		Blended Rationale: A vote FOR this proposal is COVID-19 vaccine inequity and additional inforelated risks.	•		•			-	ng			
7		Report on Board Oversight of Risks Related to Anticompetitive Practices	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proportion of the company's processes and oversight meelight of Pfizer's involvement in related controversity.	chanisms for m									
		Blended Rationale: A vote FOR this proposal is the company's processes and oversight mecha of Pfizer's involvement in related controversies	anisms for man									
8		Report on Public Health Costs of Limited Sharing of Vaccine Technology	SH	Yes	Against	Against		Against	Against	No	No	No
Ballot Details	5											
Institutional Ac	count Detail	Custodian										

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	jbisset	jbisset		183,035	183,035
			04/25/2022	04/25/2022			
					= Total Shares:	183.035	183.035

### **Meeting Results**

Outstanding Shares Voted: 5,647,774,460

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	2.6%	3,701,357,646	97.4%	97,026,302	2.6%	10,925,480	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	5.4%	3,577,655,169	94.6%	204,869,446	5.4%	26,783,357	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.4%	3,744,546,772	98.6%	54,872,805	1.4%	9,887,325	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	12.7%	3,315,350,611	87.3%	483,108,900	12.7%	10,848,394	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	0.6%	3,775,202,986	99.4%	23,651,661	0.6%	10,414,283	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	2.5%	3,704,020,993	97.5%	95,742,430	2.5%	9,505,507	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	0.7%	3,773,537,829	99.3%	25,701,939	0.7%	10,029,162	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	1.5%	3,741,506,546	98.5%	57,146,873	1.5%	10,615,511	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	0.8%	3,766,994,058	99.2%	31,021,763	0.8%	11,292,326	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	5.1%	3,605,854,757	94.9%	193,599,113	5.1%	9,854,277	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.11	Y	Pass	No	For	1.8%	3,729,849,039	98.2%	68,041,486	1.8%	11,406,359	N/A	Proxy	F+A
1.12	Υ	Pass	No	For	2.7%	3,694,497,573	97.3%	103,133,820	2.7%	11,676,754	N/A	Proxy	F+A
2	Υ	Pass	No	For	4.4%	4,440,636,499	95.6%	204,291,133	4.4%	14,009,991	N/A	Proxy	F+A
3	Υ	Pass	No	For	7.3%	3,509,011,827	92.7%	275,230,428	7.3%	25,057,788	N/A	Proxy	F+A
4	Υ	Fail	No	Against	29.0%	1,096,172,490	29.0%	2,686,465,588	71.0%	26,655,147	N/A	Proxy	F+A
5	Υ	Fail	No	Against	10.4%	393,729,907	10.4%	3,387,577,521	89.6%	27,996,591	N/A	Proxy	F+A
6	Υ	Fail	No	Against	27.4%	1,023,141,083	27.4%	2,714,524,824	72.6%	71,640,637	N/A	Proxy	F+A
7	Υ	Fail	No	Against	30.4%	1,138,551,810	30.4%	2,600,688,619	69.6%	70,069,294	N/A	Proxy	F+A
8	Υ	Fail	No	Against	8.7%	325,762,383	8.7%	3,415,442,745	91.3%	68,096,240	N/A	Proxy	F+A

## **Serco Group Plc**

Meeting Date: 04/28/2022 Record Date: 04/26/2022

Earliest Cutoff Date: 04/25/2022

Votable Shares: 4,439,500

Primary Security ID: G80400107

identified.

**Country:** United Kingdom **Meeting Type:** Annual

Primary CUSIP: G80400107

Total Ballots: 1
\*Shares on Loan: 0

Ticker: SRP

**Meeting ID:** 1617843

Primary ISIN: GB0007973794

Proxy Level: N/A

Primary SEDOL: 0797379

 Voting Policy: ISS
 Additional Policy:

 Shares Instructed: 4,439,500
 Shares Voted: 4,439,500

votable 3	naics. 1,133,300	Silares on Loan.			Shares I	istructeu. 1, 1	33,300		Shares voted	1,133,300		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
4		Elect Nigel Crossley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the elidentified.	ection/re-election (	of the Direct	ors is warra	nted as no sig	gnificant conce	rns have be	een			
		Blended Rationale: A vote FOR the election identified.	n/re-election of the	e Directors is	warranted	as no signific	ant concerns f	nave been				
5		Elect Kru Desai as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the elidentified.	ection/re-election (	of the Directo	ors is warra	nted as no sig	gnificant conce	rns have be	een			
		Blended Rationale: A vote FOR the election identified.	n/re-election of the	e Directors is	warranted	as no signific	ant concerns l	nave been				
6		Re-elect John Rishton as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the elidentified.	ection/re-election (	of the Direct	ors is warra	nted as no sig	gnificant conce	rns have be	een			
		Blended Rationale: A vote FOR the election identified.	n/re-election of the	e Directors is	warranted	as no signific	ant concerns f	nave been				
7		Re-elect Rupert Soames as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the elidentified.	ection/re-election (	of the Directo	ors is warra	nted as no sig	gnificant conce	rns have be	een			
		Blended Rationale: A vote FOR the election	n/re-election of the	e Directors is	warranted	as no signific	ant concerns l	ave been				

# **Serco Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8		Re-elect Kirsty Bashforth as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election identified.	n/re-election o	f the Directo	ors is warran	ted as no sig	nificant conce	rns have bee	en			
		Blended Rationale: A vote FOR the election/re-identified.	election of the	Directors is	warranted a	as no significa	ant concerns h	ave been				
9		Re-elect Ian El-Mokadem as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election identified.	n/re-election o	f the Directo	ors is warran	ted as no sig	nificant conce	rns have bee	en			
		Blended Rationale: A vote FOR the election/re- identified.	election of the	Directors is	warranted a	as no significa	ant concerns h	ave been				
10		Re-elect Tim Lodge as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election identified.	n/re-election o	f the Directo	ors is warran	ted as no sig	nificant conce.	rns have bee	en			
		Blended Rationale: A vote FOR the election/re- identified.	election of the	Directors is	warranted a	as no significa	ant concerns h	ave been				
11		Re-elect Dame Sue Owen as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election identified.	n/re-election o	f the Directo	ors is warran	ted as no sig	nificant conce	rns have bee	en			
		Blended Rationale: A vote FOR the election/re- identified.	election of the	Directors is	warranted a	as no significa	ant concerns h	ave been				
12		Re-elect Lynne Peacock as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election identified.	n/re-election o	f the Directo	ors is warran	ted as no sig	nificant conce	rns have bee	en			
		Blended Rationale: A vote FOR the election/re- identified.	election of the	Directors is	warranted a	as no significa	ant concerns h	ave been				
13		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
14		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reso recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	l because the	e proposed a	amounts and	l durations are	within				
16		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reso recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits,	ns is warranted	because the	e proposed (	amounts and	durations are	within				
17		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reso recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	l because the	e proposed a	amounts and	l durations are	within				
18		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Serco Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
19		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		4,439,500	4,439,500
			04/12/2022	04/12/2022			
					: Total Shares:	4,439,500	4,439,500

## **Meeting Results**

Outstanding Shares Voted: 1,209,192,479

Results Available: Full

	-	7,203,1	, -										
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.3%	998,885,371	99.7%	2,896,486	0.3%	11,312,516	N/A	Poll	F+A
2	Υ	Pass	No	For	14.7%	856,094,916	85.3%	147,209,294	14.7%	9,790,163	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	1,012,710,968	100.0%	372,940	0.0%	10,465	N/A	Poll	F+A
4	Υ	Pass	No	For	0.7%	1,005,474,840	99.3%	7,580,584	0.7%	38,949	N/A	Poll	F+A
5	Υ	Pass	No	For	0.7%	1,006,435,468	99.3%	6,609,200	0.7%	49,705	N/A	Poll	F+A
6	Υ	Pass	No	For	10.1%	901,608,167	89.9%	101,676,252	10.1%	9,809,954	N/A	Poll	F+A
7	Υ	Pass	No	For	0.7%	1,006,110,770	99.3%	6,965,352	0.7%	18,251	N/A	Poll	F+A
8	Υ	Pass	No	For	1.0%	992,667,651	99.0%	9,843,346	1.0%	10,583,376	N/A	Poll	F+A
9	Υ	Pass	No	For	0.7%	1,006,088,132	99.3%	6,964,524	0.7%	41,717	N/A	Poll	F+A
10	Υ	Pass	No	For	0.7%	1,006,409,994	99.3%	6,637,824	0.7%	46,555	N/A	Poll	F+A
11	Υ	Pass	No	For	0.7%	1,006,423,057	99.3%	6,617,119	0.7%	54,197	N/A	Poll	F+A
12	Υ	Pass	No	For	7.9%	923,742,639	92.1%	79,543,620	7.9%	9,808,114	N/A	Poll	F+A
13	Υ	Pass	No	For	1.5%	997,710,040	98.5%	15,347,593	1.5%	36,740	N/A	Poll	F+A
14	Υ	Pass	No	For	0.2%	1,011,158,804	99.8%	1,902,647	0.2%	32,922	N/A	Poll	F+A
15	Υ	Pass	No	For	7.4%	937,829,147	92.6%	75,254,052	7.4%	11,174	N/A	Poll	F+A
16	Υ	Pass	No	For	6.7%	945,001,592	93.3%	68,062,756	6.7%	33,025	N/A	Poll	F+A
17	Υ	Pass	No	For	9.7%	914,887,132	90.3%	98,180,943	9.7%	29,298	N/A	Poll	F+A
18	Υ	Pass	No	For	1.3%	998,238,960	98.7%	13,488,868	1.3%	1,369,545	N/A	Poll	F+A
19	Υ	Pass	No	For	12.1%	888,124,480	87.9%	122,663,137	12.1%	2,309,756	N/A	Poll	F+A
20	Υ	Pass	No	For	4.0%	972,893,468	96.0%	40,192,618	4.0%	8,287	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Ambev SA**

Meeting Date: 04/29/2022

**Record Date:** 03/29/2022

Primary Security ID: P0273U106

Country: Brazil

Meeting Type: Annual/Special

Primary CUSIP: P0273U106

Ticker: ABEV3

**Meeting ID:** 1616811

Primary ISIN: BRABEVACNOR1

Proxy Level: N/A

Primary SEDOL: BG7ZWY7

**Additional Policy:** 

Earliest Cutoff Date: 04/25/2022 Votable Shares: 25,000,000

Total Ballots: 1

Voting Policy: ISS

\*Shares on Loan: 0 Shares Instructed: 25,000,000

Shares Voted: 25,000,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Meeting for ADR Holders	Mgmt	No								
		Ordinary General Meeting	Mgmt	No								
1		Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2021	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Allocation of Income and Dividends	Mgmt	Yes	For	For		For	For	No	No	No
3a		Elect Fiscal Council Members	Mgmt	Yes	For	Abstain		Abstain	Abstain	Yes	No	No
		Voting Policy Rationale: An ABSTAIN vote recommendation is warranted for the management's fiscal council nominees, to allow minority shareholders to concentrate their votes on the election of a minority fiscal council candidate, as further discussed under Item 3b of this meeting agenda.  Blended Rationale: An ABSTAIN vote recommendation is warranted for the management's fiscal council nominees, to allow										
		Blended Rationale: An ABSTAIN vote recomme minority shareholders to concentrate their vote under Item 3b of this meeting agenda.			_							
3b		Elect Vinicius Balbino Bouhid as Fiscal Council Member and Carlos Tersandro Fonseca Adeodato as Alternate Appointed by Minority Shareholder	SH	Yes	None	For		For	For	No	No	No
4		Approve Remuneration of Company's Management	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Remuneration of Fiscal Council Members	Mgmt	Yes	For	For		For	For	No	No	No
		Extraordinary General Meeting	Mgmt	No								
1a		Amend Article 3 Re: Corporate Purpose	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these non-	-contentious ac	dministrative	requests is	warranted.						
		Blended Rationale: A vote FOR these non-cont	entious admini	istrative requ	iests is warr	anted.						
1b		Amend Article 5 to Reflect Changes in Capital	Mgmt	Yes	For	For		For	For	No	No	No
2		Consolidate Bylaws	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these non-	-contentious ac	dministrative	requests is	warranted.						
Blended Rationale: A vote FOR these non-contentious administrative requests is warranted.												

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	jbisset	jbisset		25,000,000	25,000,000
			04/26/2022	04/26/2022			
					Total Shares:	25,000,000	25,000,000

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
	N												
1	Υ	Pass	No	For	2.5%	14,000,802,234	97.5%	110,140	0.0%	355,100,245	2.5%	Poll	F+A+AB
2	Υ	Pass	No	For	0.2%	14,327,772,354	99.8%	11,942,616	0.1%	16,297,649	0.1%	Poll	F+A+AB
3a	Υ	Pass	No	For	0.1%	11,648,557,003	99.9%	0	N/A	15,075,948	0.1%	Poll	F+A+AB
3b	Υ	Pass	No	None	N/A	2,544,672,733	94.5%	4,623,495	0.2%	143,083,440	5.3%	Poll	F+A+AB
4	Υ	Pass	No	For	2.6%	13,975,417,069	97.3%	360,807,232	2.5%	19,788,318	0.1%	Poll	F+A+AB
5	Υ	Pass	No	For	0.2%	14,332,525,166	99.8%	2,114,013	0.0%	21,373,440	0.1%	Poll	F+A+AB
	N												
1a	Υ	Pass	No	For	0.1%	14,350,731,738	99.9%	684,462	0.0%	16,391,415	0.1%	Poll	F+A+AB
1b	Υ	Pass	No	For	0.1%	14,350,737,483	99.9%	693,469	0.0%	16,376,663	0.1%	Poll	F+A+AB
2	Υ	Pass	No	For	0.1%	14,350,963,416	99.9%	568,125	0.0%	16,276,074	0.1%	Poll	F+A+AB

## **Bayer AG**

Meeting Date: 04/29/2022

**Record Date:** 

Primary Security ID: D0712D163

Country: Germany

Meeting Type: Annual

Primary CUSIP: D0712D163

Total Ballots: 1

Statutory Reports; Approve Allocation of Income and Dividends of EUR 2.00 per Share for Fiscal Year 2021

Earliest Cutoff Date: 04/19/2022 Votable Shares: 550,000

\*Shares on Loan: 0

Ticker: BAYN

Meeting ID: 1609938

Primary ISIN: DE000BAY0017

Voting Policy: ISS

Shares Instructed: 550,000

Proxy Level: N/A

Primary SEDOL: 5069211

**Additional Policy:** Shares Voted: 550,000

Voting Additional Vote Vote Vote Significant Proposal Votable Mgmt Policy Policy Vote Against Against Against Number Vote **Proposal Text** Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS Receive Financial Statements and 1 Mgmt Yes For For For For No No No

\*Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Bayer AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2		Approve Discharge of Management Board for Fiscal Year 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the dischalis no evidence that the boards did not fulfill the The management board introduced a five-politigation, which will allow our company, our confocus on our performance, innovations, and coleading to concerns that management (and by fallout head on and too focused on the litigations stakeholders worldwide. * The supervisory bout the year under review, leading to large bonus company, which may raise legitimate concerns	eir fiduciary du pint plan, which pustomers, and purtributions to extension the pon rather than and made discr payouts for ma	ties in the yeth allegedly "pour sharehold society." New supervisory allaying the sectionary adjuanagement of	ear under re provides a c ders to movertheless, v board) was legitimate c ustments to	eview, though lear path to c ve on, put the this was only too slow in a concerns of its the manager	h this is not wind the lead of	thout concer U.S. glyphos ehind us, ar May 2021, Monsanto and of annual bonus	ns: ate ad			
		Blended Rationale: Votes FOR the discharge of evidence that the boards did not fulfill their fict. The management board introduced a five-poin litigation, which will allow our company, our confocus on our performance, innovations, and confocus our performance, innovations, and confocu	duciary duties in the plan, which a sustomers, and ontributions to a extension the contract made discrepayouts for mayouts for mayouts for mayouts for mater than and mayouts for mayouts	n the year un allegedly "pro our sharehol society." Nev supervisory allaying the etionary adju anagement d	nder review, pvides a cle ders to mov vertheless, v board) was legitimate c ustments to	, though this ar path to clo ve on, put the this was only too slow in a concerns of its the manager	is not without isure of the U.se uncertainty be introduced in addressing the sessing the total t	concerns: * S. glyphosate S. glyphosate Sehind us, ar May 2021, Monsanto Sand of Sennual bonus	e ad			
3		Approve Discharge of Supervisory Board for Fiscal Year 2021	Mgmt	Yes	For	For		For	For	No	No	No
		voting Policy Rationale: Votes FOR the dischal is no evidence that the boards did not fulfill the *The management board introduced a five-politigation, which will allow our company, our confocus on our performance, innovations, and confocus on our performance, innovations of the performance of the performa	eir fiduciary du pint plan, which justomers, and protributions to vextension the on rather than ard made discr payouts for ma	tities in the yeth allegedly "the our sharehold our sharehold society." New supervisory allaying the detionary adjuanagement of	ear under re provides a c ders to movertheless, i board) was legitimate c ustments to	eview, though lear path to cove on, put the this was only too slow in a concerns of its the manager	In this is not wind the lead of the lead o	thout concer U.S. glyphos pehind us, ar May 2021, Monsanto and of pnnual bonus	ns: ate ad			
		Blended Rationale: Votes FOR the discharge of evidence that the boards did not fulfill their fid. The management board introduced a five-poin litigation, which will allow our company, our confocus on our performance, innovations, and colleading to concerns that management (and by fallout head on and too focused on the litigation stakeholders worldwide. * The supervisory boat the year under review, leading to large bonus company, which may raise legitimate concerns	duciary duties in the plan, which a sustomers, and on tributions to a extension the contract than ard made discrepayouts for mayouts for mayouts for mater than ard mayouts for mayouts fo	n the year un allegedly "pro our sharehol society." New supervisory allaying the etionary adju anagement d	nder review, pvides a cle ders to mov vertheless, v board) was legitimate c ustments to	, though this ar path to clove on, put the this was only too slow in a concerns of its the manage!	is not without isure of the U.se uncertainty be introduced in addressing the same to salarist board's a	concerns: * S. glyphosat ehind us, ar May 2021, Monsanto and of	e ad			
4.1		Reelect Paul Achleitner to the Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propos	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
4.2		Reelect Norbert Bischofberger to the Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propos	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed ne	ominees are wa	arranted.								
4.3		Reelect Colleen Goggins to the Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propos	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Bayer AG**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS			
5		Approve Remuneration Report		Mgmt	Yes	For	Against		Against	Against	Yes	No	No			
		Voting Policy Rationale: A vote short-term incentive componer contribution-based pension ent considered excessive and are r under the LTI may not be cons	nts, resulting in p titlements for ce not aligned with	payouts that do rtain executive the wider work	o not accurat es result in pe kforce or mai	tely reflect c ension contri rket practice	ompany perl ibutions/serv v. * Targets a	formance. * Le vice costs that a and performan	gacy can be ce metrics	9						
		Blended Rationale: A vote AGAINST this resolution is warranted because: * Discretionary adjustments were made to the short-term incentive components, resulting in payouts that do not accurately reflect company performance. * Legacy contribution-based pension entitlements for certain executives result in pension contributions/service costs that can be considered excessive and are not aligned with the wider workforce or market practice. * Targets and performance metrics under the LTI may not be considered sufficiently challenging due to the possibility of below peer median vesting.  Approve Affiliation Agreement with Momt Yes For For For For For No														
6		Approve Affiliation Agreement Bayer Chemicals GmbH	with	Mgmt	Yes	For	For		For	For	No	No	No			
7		Ratify Deloitte GmbH as Audito Fiscal Year 2022	ors for	Mgmt	Yes	For	For		For	For	No	No	No			
Ballot Deta	ils															
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted			
RARF, RARF		392452	Confirmed	jbisset		jbisset				550,00	00	550,	000			
				04/20/2022		04/20/202	22									
									Total Shares:	550,0	00	550,	000			

## **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.8%	576,020,745	99.2%	4,559,018	0.8%	11,228,823	N/A	Poll	F+A
2	Υ	Pass	No	For	17.9%	468,365,700	82.1%	102,379,239	17.9%	21,055,373	N/A	Poll	F+A
3	Υ	Pass	No	For	16.8%	474,772,479	83.2%	95,823,506	16.8%	21,190,335	N/A	Poll	F+A
4.1	Υ	Pass	No	For	9.0%	521,845,219	91.0%	51,391,094	9.0%	18,561,964	N/A	Poll	F+A
4.2	Υ	Pass	No	For	25.5%	439,254,156	74.5%	149,994,085	25.5%	2,558,456	N/A	Poll	F+A
4.3	Υ	Pass	No	For	2.4%	574,618,669	97.6%	14,093,883	2.4%	3,094,399	N/A	Poll	F+A
5	Υ	Fail	No	For	75.9%	141,792,849	24.1%	446,334,743	75.9%	3,669,116	N/A	Poll	F+A
6	Υ	Pass	No	For	0.2%	588,849,817	99.8%	1,200,443	0.2%	1,726,553	N/A	Poll	F+A
7	Υ	Pass	No	For	0.9%	584,393,209	99.1%	5,495,835	0.9%	1,915,931	N/A	Poll	F+A

## **Coterra Energy Inc.**

Meeting Date: 04/29/2022

Country: USA

Ticker: CTRA

Proxy Level: 3

**Record Date:** 03/08/2022

Meeting Type: Annual

**Meeting ID:** 1622650

Primary Security ID: 127097103

**Primary CUSIP:** 127097103

**Primary ISIN:** US1270971039

Primary SEDOL: 2162340

# **Coterra Energy Inc.**

Earliest Cutoff Date: 04/28/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 1,250,000

\*Shares on Loan: 0

Shares Instructed: 1,250,000

**Shares Voted:** 1,250,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Dorothy M. Ables	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1b		Elect Director Robert S. Boswell	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1c		Elect Director Amanda M. Brock	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1d		Elect Director Dan O. Dinges	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1e		Elect Director Paul N. Eckley	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
<b>1</b> f		Elect Director Hans Helmerich	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1g		Elect Director Thomas E. Jorden	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1h		Elect Director Lisa A. Stewart	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1i		Elect Director Frances M. Vallejo	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1j		Elect Director Marcus A. Watts	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
2		Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Coterra Energy Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes

Additional

Voting Policy Rationale: Annual incentives and granted equity for legacy Cabot Oil NEOs were predominantly based on pre-set objective metrics. Additionally, while the combined company granted the current CEO and certain other NEOs, each former Cimarex NEOs, entirely time-based equity post-merger, equity grants will revert to majority performance-based starting in 2022. However, the company materially amended the employment agreement and change-in-control agreement with its former CEO, who remains as executive chairman, without removing entitlements to excise tax gross-up payments. As such, a vote AGAINST this proposal is warranted.

Blended Rationale: Annual incentives and granted equity for legacy Cabot Oil NEOs were predominantly based on pre-set objective metrics. Additionally, while the combined company granted the current CEO and certain other NEOs, each former Cimarex NEOs, entirely time-based equity post-merger, equity grants will revert to majority performance-based starting in 2022. However, the company materially amended the employment agreement and change-in-control agreement with its former CEO, who remains as executive chairman, without removing entitlements to excise tax gross-up payments. As such, a vote AGAINST this proposal is warranted.

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	jbisset	jbisset		1,250,000	1,250,000
			04/25/2022	04/25/2022			
					: Total Shares:	1,250,000	1,250,000

### **Meeting Results**

Outstanding Shares Voted: 810,978,794 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	8.1%	646,916,324	91.9%	57,217,676	8.1%	763,957	N/A	Proxy	F+A
1b	Υ	Pass	No	For	15.2%	597,734,200	84.8%	106,787,476	15.2%	376,281	N/A	Proxy	F+A
1c	Υ	Pass	No	For	32.7%	474,075,160	67.3%	230,451,013	32.7%	371,784	N/A	Proxy	F+A
1d	Υ	Pass	No	For	1.9%	690,342,837	98.1%	13,225,306	1.9%	1,329,814	N/A	Proxy	F+A
1e	Υ	Pass	No	For	0.8%	698,822,960	99.2%	5,703,110	0.8%	371,887	N/A	Proxy	F+A
1f	Υ	Pass	No	For	0.6%	700,380,297	99.4%	4,235,995	0.6%	281,665	N/A	Proxy	F+A
1g	Υ	Pass	No	For	0.2%	702,878,946	99.8%	1,657,079	0.2%	361,932	N/A	Proxy	F+A
1h	Υ	Pass	No	For	2.5%	686,690,387	97.5%	17,844,827	2.5%	362,743	N/A	Proxy	F+A
1i	Υ	Pass	No	For	0.7%	698,866,130	99.3%	5,269,095	0.7%	762,732	N/A	Proxy	F+A
1j	Υ	Pass	No	For	5.9%	662,706,725	94.1%	41,816,855	5.9%	374,377	N/A	Proxy	F+A
2	Y	Pass	No	For	6.0%	694,990,560	94.0%	43,694,710	5.9%	571,677	0.1%	Proxy	F+A+AE
3	Y	Pass	No	For	26.5%	518,036,857	73.5%	185,954,510	26.4%	906,590	0.1%	Proxy	F+A+AB

## **American Express Company**

Meeting Date: 05/03/2022

Country: USA

Ticker: AXP

Proxy Level: 3

**Record Date:** 03/07/2022 Primary Security ID: 025816109 Meeting Type: Annual **Primary CUSIP:** 025816109

Meeting ID: 1622793 **Primary ISIN:** US0258161092

Primary SEDOL: 2026082

Earliest Cutoff Date: 05/02/2022 Votable Shares: 78,336

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS Shares Instructed: 78,336 **Additional Policy:** 

Shares Voted: 78,336

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Thomas J. Baltimore	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1b		Elect Director Charlene Barshefsky	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1c		Elect Director John J. Brennan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1d		Elect Director Peter Chernin	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1e		Elect Director Ralph de la Vega	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1f		Elect Director Michael O. Leavitt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1g		Elect Director Theodore J. Leonsis	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1h		Elect Director Karen L. Parkhill	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1i		Elect Director Charles E. Phillips	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1j		Elect Director Lynn A. Pike	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								

# **American Express Company**

Proposal Number	Significant Vote	Proposal T	ext		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1k		Elect Direc	tor Stephen J. Squeri		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Police	cy Rationale: A vote F	OR the director	nominees is v	warranted.								
		Blended Ra	ntionale: A vote FOR ti	he director nom	inees is warra	nted.								
11		Elect Direc	tor Daniel L. Vasella		Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Police	cy Rationale: A vote F	OR the director	nominees is v	warranted.								
		Blended Ra	ntionale: A vote FOR ti	he director nom	inees is warra	nted.								
1m		Elect Direc	tor Lisa W. Wardell		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Police	cy Rationale: A vote F	OR the director	nominees is v	warranted.								
		Blended Ra	ntionale: A vote FOR ti	he director nom	inees is warra	inted.								
1n		Elect Direc	tor Christopher D. Yo	ung	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Police	cy Rationale: A vote F	OR the director	nominees is v	warranted.								
		Blended Ra	ntionale: A vote FOR ti	he director nom	inees is warra	inted.								
2		Ratify Price Auditors	ewaterhouseCoopers I	LLP as	Mgmt	Yes	For	For		For	For	No	No	No
3			ote to Ratify Named Officers' Compensatio	n	Mgmt	Yes	For	For		For	For	No	No	No
4		Require In	dependent Board Cha	ir	SH	Yes	Against	Against		Against	For	Yes	Yes	Yes
Ballot Deta	ails													
Institutional (IA Name, I	Account Detail A Number)		ustodian ccount Number	Ballot Status	Instructed		Approved	I	Ballot Voting	Status	Votable Sh	ares	Shares \	/oted
RARF, RARF		0	00392452	Confirmed	jbisset		jbisset				78,336	i	78,3	36
					04/25/2022		04/25/202	2						

## **Meeting Results**

Outstanding Shares Voted: 757,288,906

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	20.4%	474,033,987	79.6%	121,378,514	20.4%	566,519	N/A	Proxy	F+A
1b	Υ	Pass	No	For	1.8%	584,659,884	98.2%	10,827,262	1.8%	491,874	N/A	Proxy	F+A
1c	Υ	Pass	No	For	0.7%	591,017,498	99.3%	4,391,048	0.7%	570,474	N/A	Proxy	F+A
1d	Υ	Pass	No	For	8.2%	546,498,637	91.8%	48,643,326	8.2%	837,057	N/A	Proxy	F+A
1e	Υ	Pass	No	For	0.5%	592,138,818	99.5%	3,214,526	0.5%	625,676	N/A	Proxy	F+A
1f	Υ	Pass	No	For	2.0%	583,105,402	98.0%	12,055,780	2.0%	817,838	N/A	Proxy	F+A
1g	Υ	Pass	No	For	4.1%	570,551,344	95.9%	24,618,491	4.1%	809,185	N/A	Proxy	F+A
1h	Y	Pass	No	For	0.2%	594,212,117	99.8%	1,290,461	0.2%	476,442	N/A	Proxy	F+A
1i	Υ	Pass	No	For	0.6%	592,048,919	99.4%	3,312,380	0.6%	617,721	N/A	Proxy	F+A

Total Shares:

78,336

78,336

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1j	Y	Pass	No	For	0.5%	592,792,907	99.5%	2,685,445	0.5%	500,668	N/A	Proxy	F+A
1k	Υ	Pass	No	For	5.0%	564,696,146	95.0%	29,681,357	5.0%	1,601,517	N/A	Proxy	F+A
11	Υ	Pass	No	For	3.1%	576,830,349	96.9%	18,317,147	3.1%	831,524	N/A	Proxy	F+A
1m	Υ	Pass	No	For	1.0%	589,607,030	99.0%	5,877,228	1.0%	494,762	N/A	Proxy	F+A
1n	Υ	Pass	No	For	2.0%	583,148,389	98.0%	11,982,251	2.0%	848,380	N/A	Proxy	F+A
2	Y	Pass	No	For	1.6%	638,940,663	98.4%	10,490,963	1.6%	381,012	N/A	Proxy	F+A
3	Y	Pass	No	For	4.4%	566,462,109	95.6%	25,952,699	4.4%	3,564,212	N/A	Proxy	F+A
4	Υ	Fail	No	Against	22.2%	132,054,164	22.2%	462,758,910	77.8%	1,165,946	N/A	Proxy	F+A

## **Bristol-Myers Squibb Company**

Meeting Date: 05/03/2022 Record Date: 03/14/2022

Earliest Cutoff Date: 05/02/2022

Votable Shares: 1,000,000

Primary Security ID: 110122108

Country: USA

Meeting Type: Annual Primary CUSIP: 110122108

Total Ballots: 1

\*Shares on Loan: 0

Ticker: BMY

Voting Policy: ISS

Primary ISIN: US1101221083

Meeting ID: 1625274

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Shares Instructed: 1,000,000

Proxy Level: 3

Primary SEDOL: 2126335

Additional Policy: Shares Voted: 1,000,000

Additional Voting Vote Vote Vote Proposal Significant Votable Mgmt Policy Policy Vote Against Against Against Number Vote **Proposal Text** Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt **Policy** ISS For 1A Elect Director Peter J. Arduini Mgmt Yes For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1B Elect Director Giovanni Caforio Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1C Elect Director Julia A. Haller Mamt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1D Elect Director Manuel Hidalgo Medina Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1E Elect Director Paula A. Price For For Mamt Yes For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1F Elect Director Derica W. Rice Mgmt For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. Elect Director Theodore R. Samuels 1G For Mgmt For For For Nο Yes Nο No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Bristol-Myers Squibb Company**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1H		Elect Director Gerald L. Storch	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the director	r nominees is I	warranted.								
		Blended Rationale: A vote FOR the director non	ninees is warra	anted.								
1I		Elect Director Karen H. Vousden	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is I	warranted.								
		Blended Rationale: A vote FOR the director non	ninees is warra	anted.								
1J		Elect Director Phyllis R. Yale	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is l	warranted.								
		Blended Rationale: A vote FOR the director non	ninees is warra	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify Deloitte & Touche LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propos percent would improve shareholders' ability to u unilaterally to call a special meeting at the prop	use the special	meeting rig		,	,					
		Blended Rationale: A vote FOR this proposal is would improve shareholders' ability to use the sto call a special meeting at the proposed thresh	special meeting	_			•		lly			
5		Require Independent Board Chair	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this non-bir robust form of independent board oversight, in			-			the most				
		Blended Rationale: A vote FOR this non-binding form of independent board oversight, in the for						nost robust				

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	jbisset	jbisset		1,000,000	1,000,000
			04/25/2022	04/25/2022			
					Total Shares:	1,000,000	1,000,000

### **Meeting Results**

Outstanding Shares Voted: 2,125,202,527

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1A	Y	Pass	No	For	2.5%	1,511,376,590	97.5%	38,188,121	2.5%	2,807,890	N/A	Proxy	F+A
1B	Υ	Pass	No	For	6.8%	1,438,738,311	93.2%	104,835,940	6.8%	8,798,350	N/A	Proxy	F+A
1C	Υ	Pass	No	For	0.7%	1,539,003,301	99.3%	10,417,583	0.7%	2,951,717	N/A	Proxy	F+A
1D	Υ	Pass	No	For	0.5%	1,542,361,836	99.5%	7,265,817	0.5%	2,744,948	N/A	Proxy	F+A
1E	Y	Pass	No	For	3.6%	1,494,413,964	96.4%	55,309,321	3.6%	2,649,316	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1F	Y	Pass	No	For	5.3%	1,468,034,295	94.7%	81,546,149	5.3%	2,792,157	N/A	Proxy	F+A
1G	Υ	Pass	No	For	3.9%	1,468,944,084	96.1%	59,607,951	3.9%	23,820,566	N/A	Proxy	F+A
1H	Υ	Pass	No	For	3.6%	1,493,429,987	96.4%	56,069,460	3.6%	2,873,154	N/A	Proxy	F+A
1I	Υ	Pass	No	For	2.5%	1,510,991,645	97.5%	38,870,433	2.5%	2,510,523	N/A	Proxy	F+A
13	Υ	Pass	No	For	1.4%	1,527,951,521	98.6%	21,828,703	1.4%	2,592,377	N/A	Proxy	F+A
2	Υ	Pass	No	For	8.6%	1,419,400,241	91.4%	125,669,113	8.1%	7,303,247	0.5%	Proxy	F+A+AB
3	Υ	Pass	No	For	3.1%	1,774,670,025	96.9%	53,395,901	2.9%	3,829,831	0.2%	Proxy	F+A+AB
4	Υ	Fail	No	Against	34.7%	532,905,781	34.3%	1,012,865,048	65.2%	6,601,772	0.4%	Proxy	F+A+AB
5	Υ	Fail	No	Against	45.1%	692,098,202	44.6%	852,696,749	54.9%	7,577,650	0.5%	Proxy	F+A+AB

## **Barclays Plc**

Meeting Date: 05/04/2022 Record Date: 04/29/2022

Primary Security ID: G08036124

Country: United Kingdom

Meeting Type: Annual

Primary CUSIP: G08036124

Ticker: BARC
Meeting ID: 1610879

Primary ISIN: GB0031348658

Proxy Level: N/A

Primary SEDOL: 3134865

Earliest Cutoff Date: 04/28/2022 Votable Shares: 1,546,100 Total Ballots: 1
\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 1,546,100

Additional Policy: Shares Voted: 1,546,100

Additional Voting Vote Vote Vote **Proposal** Significant Votable Mgmt Policy Policy Vote Against Against Against Proposal Text ISS Rec ISS Number Vote Proponent Proposal Rec Rec Rec Instruction Mgmt Policy 1 Accept Financial Statements and Mgmt Yes For For For For No No No Statutory Reports 2 Approve Remuneration Report Mgmt Yes For For For For No No No Elect Coimbatore Venkatakrishnan as Mgmt Yes For For For For Nο Nο Nο Director Voting Policy Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Blended Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Elect Robert Berry as Director Mgmt Yes For For For No No No Voting Policy Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Blended Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Elect Anna Cross as Director Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Blended Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Re-elect Mike Ashley as Director Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Blended Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified. Re-elect Tim Breedon as Director Mgmt Yes For For No No No Voting Policy Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified.

Blended Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Barclays Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8		Re-elect Mohamed A. El-Erian as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cal	ndidates is cons	idered warra	nted, as no	material cond	cerns have bee	en identified.			-	
		Blended Rationale: A vote FOR these candida	ntes is considere	d warranted,	as no mat	erial concerns	have been ide	entified.			-	
9		Re-elect Dawn Fitzpatrick as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these car	ndidates is cons	idered warra	nted, as no	material cond	cerns have bee	en identified.			-	
		Blended Rationale: A vote FOR these candida	ntes is considere	ed warranted,	as no mat	erial concerns	have been ide	entified.			-	
10		Re-elect Mary Francis as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these ca		idered warra	nted, as no	material cond	cerns have hee	en identified.			-	
		Blended Rationale: A vote FOR these candida									-	
11		Re-elect Crawford Gillies as Director	Mgmt	Yes	For	For		For	For	No	No	No
							corne have he			110	-	110
		Voting Policy Rationale: A vote FOR these can							•		-	
		Blended Rationale: A vote FOR these candida	ites is considere	u warranteu,	as 110 IIIau	eriai concerns	Tiave Deen iud	enunea.				
12		Re-elect Brian Gilvary as Director	Mgmt	Yes	For	For		For	For	No	No -	No
		Voting Policy Rationale: A vote FOR these can	ndidates is cons	idered warra	nted, as no	material cond	cerns have bee	en identified.	•			
		Blended Rationale: A vote FOR these candida	ites is considere	ed warranted,	as no mat	erial concerns	have been ide	entified.				
13		Re-elect Nigel Higgins as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	ndidates is cons	idered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candida	ntes is considere	d warranted,	as no mat	erial concerns	have been ide	entified.			-	
14		Re-elect Diane Schueneman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	ndidates is cons	idered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candida	ntes is considere	ed warranted,	as no mat	erial concerns	have been ide	entified.				
15		Re-elect Julia Wilson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	ndidates is cons	idered warra	nted, as no	material cond	cerns have bee	en identified.			-	
		Blended Rationale: A vote FOR these candida	ates is considere	ed warranted,	as no mat	erial concerns	have been ide	entified.			-	
16		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise the Board Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
18		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reserved	solutions is warr	anted becaus	se the prop	osed amounts	s and duration.	s are within			-	
		Blended Rationale: A vote FOR these resolutive recommended limits.	ions is warranted	d because th	e proposed	amounts and	durations are	within			-	
20		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No -	No
		Voting Policy Rationale: A vote FOR these re- recommended limits.	solutions is warr	anted becaus	se the prop	osed amounts	and duration.	s are within				
		Blended Rationale: A vote FOR these resoluti recommended limits.	ions is warranted	d because th	e proposed	amounts and	durations are	within				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Barclays Plc**

-	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
21		Authorise Issue of Equity with Pre-emptive Rights in Connect an Acquisition or Other Capital Investment	ion with	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these resol	lutions is warra	nted becaus	se the propos	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	s is warranted	because the	e proposed a	mounts and	durations are	within				
22		Authorise Issue of Equity in Rethe Issuance of Contingent Equation Conversion Notes.		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote the Company were to issue Equ ordinary shares, this would ress Such authorities are common p conversion into ordinary shares well beyond the Company's cur	uity Conversion I ult in significant proposals at UK L s would require t	Notes (ECNs) a dilution to non panks and are i the CET1 ratio	and a trigger a-participating intended to a to fall to a le	event were g shareholde apply in extra evel which w	to occur, cau ers. The mair eme circums	using them to on the reasons for stances only; and	convert into support are: nd * The	*			
		Blended Rationale: A vote FOR Company were to issue Equity ordinary shares, this would resi Such authorities are common p conversion into ordinary shares well beyond the Company's cur	Conversion Note ult in significant proposals at UK L would require t	es (ECNs) and a dilution to non panks and are i the CET1 ratio	a trigger even p-participating intended to a to fall to a le	ent were to o g shareholde apply in extra evel which w	ccur, causing ers. The mair eme circums	g them to conv n reasons for s tances only; a	vert into Support are: and * The	*			
23		Authorise Issue of Equity without Pre-emptive Rights in Relation Issuance of Contingent Equity Conversion Notes.		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote the Company were to issue Equ ordinary shares, this would resi Such authorities are common p conversion into ordinary shares well beyond the Company's cui	uity Conversion I ult in significant proposals at UK b would require t	Notes (ECNs) a dilution to non panks and are i the CET1 ratio	and a trigger A-participating Intended to a Ito fall to a le	event were g shareholde apply in extra evel which w	to occur, cau ers. The mair eme circums	using them to on the reasons for stances only; and	convert into support are: nd * The	*			
		Blended Rationale: A vote FOR Company were to issue Equity ordinary shares, this would res Such authorities are common p conversion into ordinary shares well beyond the Company's cut	Conversion Note ult in significant proposals at UK t would require t	es (ECNs) and a dilution to non panks and are i the CET1 ratio	a trigger even p-participating intended to a to fall to a le	ent were to o g shareholde apply in extra evel which w	ccur, causing ers. The mair eme circums	g them to conv n reasons for s tances only; a	vert into support are: nd * The	*			
24		Authorise Market Purchase of C Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
25		Authorise the Company to Call Meeting with Two Weeks' Noti		Mgmt	Yes	For	For		For	For	No	No	No
26		Approve Barclays' Climate Stra Targets and Progress 2022	tegy,	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detail	ls												
Institutional A (IA Name, IA I		Custodian Account Number	Ballot Status	Instructed	ı	Approved	I	Ballot Voting	Status	Votable S	hares	Shares \	/oted
RARF, RARF		392452	Confirmed	jbisset		jbisset				1,546,1	100	1,546,	.100
				04/27/2022		04/27/202	2						
									Total Shares:	1,546,	100	1,546,	100

#### **Meeting Results**

Outstanding Shares Voted: 16,769,307,716 Results Available: Partial

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.4%	11,370,192,975	99.6%	42,249,957	0.4%	51,159,767	N/A	Poll	F+A
2	Υ	Pass	No	For	11.0%	10,193,013,827	89.0%	1,255,388,727	11.0%	15,189,796	N/A	Poll	F+A
3	Υ	Pass	No	For	0.3%	11,408,432,166	99.7%	38,457,409	0.3%	16,705,032	N/A	Poll	F+A
4	Υ	Pass	No	For	0.3%	11,406,754,559	99.7%	39,277,758	0.3%	17,562,290	N/A	Poll	F+A
5	Υ	Pass	No	For	0.6%	11,377,885,907	99.4%	67,974,951	0.6%	17,733,745	N/A	Poll	F+A
6	Υ	Pass	No	For	2.6%	11,143,328,173	97.4%	302,829,395	2.6%	17,445,128	N/A	Poll	F+A
7	Υ	Pass	No	For	1.2%	11,305,790,590	98.8%	139,917,399	1.2%	17,886,614	N/A	Poll	F+A
8	Υ	Pass	No	For	1.1%	11,261,462,765	98.9%	126,380,073	1.1%	75,714,452	N/A	Poll	F+A
9	Υ	Pass	No	For	0.5%	11,383,933,847	99.5%	62,389,332	0.5%	17,271,424	N/A	Poll	F+A
10	Υ	Pass	No	For	0.7%	11,370,246,574	99.3%	76,129,648	0.7%	17,218,381	N/A	Poll	F+A
11	Y	Pass	No	For	1.4%	11,285,576,744	98.6%	160,377,664	1.4%	17,640,199	N/A	Poll	F+A
12	Υ	Pass	No	For	1.9%	11,234,103,167	98.1%	211,865,132	1.9%	17,626,308	N/A	Poll	F+A
13	Υ	Pass	No	For	6.2%	10,735,148,686	93.8%	712,179,320	6.2%	16,266,597	N/A	Poll	F+A
14	Υ	Pass	No	For	1.3%	11,300,180,952	98.7%	146,080,001	1.3%	17,333,654	N/A	Poll	F+A
15	Υ	Pass	No	For	0.3%	11,417,244,655	99.7%	29,089,359	0.3%	17,260,589	N/A	Poll	F+A
16	Υ	Pass	No	For	1.3%	11,300,158,021	98.7%	148,322,981	1.3%	15,113,601	N/A	Poll	F+A
17	Υ	Pass	No	For	0.1%	11,440,080,182	99.9%	9,013,748	0.1%	14,500,676	N/A	Poll	F+A
18	Υ	Pass	No	For	1.0%	11,198,591,631	99.0%	112,492,402	1.0%	152,510,570	N/A	Poll	F+A
19	Υ	Pass	No	For	5.5%	10,818,544,405	94.5%	630,264,985	5.5%	14,785,213	N/A	Poll	F+A
20	Υ	Pass	No	For	2.5%	11,160,246,912	97.5%	287,483,293	2.5%	15,864,402	N/A	Poll	F+A
21	Υ	Pass	No	For	3.9%	10,996,934,143	96.1%	450,700,722	3.9%	15,959,742	N/A	Poll	F+A
22	Υ	Pass	No	For	1.7%	11,252,640,901	98.3%	194,169,214	1.7%	16,784,487	N/A	Poll	F+A
23	Y	Pass	No	For	2.2%	11,194,105,433	97.8%	252,009,639	2.2%	17,479,530	N/A	Poll	F+A
24	Υ	Pass	No	For	1.4%	11,269,172,494	98.6%	157,112,355	1.4%	37,309,759	N/A	Poll	F+A
25	Υ	Pass	No	For	3.9%	11,004,205,590	96.1%	444,501,721	3.9%	14,887,295	N/A	Poll	F+A
26	Υ	Pass	No	For	19.2%	9,171,063,235	80.8%	2,177,434,005	19.2%	115,105,456	N/A	Poll	F+A

### **General Electric Company**

Meeting Date: 05/04/2022 Record Date: 03/08/2022

Primary Security ID: 369604301

Country: USA

Meeting Type: Annual

**Primary CUSIP:** 369604301

Ticker: GE

**Meeting ID:** 1622256

**Primary ISIN:** US3696043013

Proxy Level: 4

Primary SEDOL: BL59CR9

# **General Electric Company**

Earliest Cutoff Date: 05/03/2022 Total Ballots: 1 Voting Policy: ISS

Votable Shares: 178,282 \*Shares on Loan: 0 Shares Instructed: 178,282 Shares Voted: 178,282

**Additional Policy:** 

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
1a		Elect Director Stephen Angel	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.										
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.										
1b		Elect Director Sebastien Bazin	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.										
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.										
1c		Elect Director Ashton Carter	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.										
		Blended Rationale: A vote FOR the director nor	ninees is warra	anted.										
1d		Elect Director H. Lawrence Culp, Jr.	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.										
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.										
1e		Elect Director Francisco D'Souza	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR the director	Policy Rationale: A vote FOR the director nominees is warranted.											
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.										
1f		Elect Director Edward Garden	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.										
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.										
1g		Elect Director Isabella Goren	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.										
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.										
1h		Elect Director Thomas Horton	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.										
		Blended Rationale: A vote FOR the director nor	ninees is warra	anted.										
1i		Elect Director Risa Lavizzo-Mourey	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.										
		Blended Rationale: A vote FOR the director nor	ninees is warra	anted.										
1j		Elect Director Catherine Lesjak	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.										
		Blended Rationale: A vote FOR the director nor	ninees is warra	anted.										
1k		Elect Director Tomislav Mihaljevic	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.										
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.										

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **General Electric Company**

General El	ectric Co	ilipally						Additional	Voting		Vote	Vote	Vote
Proposal Signification Number Vote	nt Proposal Te	ext		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
11	Elect Direct	or Paula Rosput Rey	nolds	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Polic	y Rationale: A vote l	FOR the director	nominees is	warranted.								
	Blended Rat	tionale: A vote FOR t	the director nom	ninees is warra	anted.								
1m	Elect Direct	or Leslie Seidman		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Polic	y Rationale: A vote l	FOR the director	nominees is	warranted.								
	Blended Rat	tionale: A vote FOR t	the director nom	ninees is warra	anted.								
2	•	ote to Ratify Named Officers' Compensation	on	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
	similarly adj year in revie metrics for i	d Rationale: A vote AGAINST this proposal is warranted. The compensation committee was sufficiently responsive to last failed say-on-pay vote by lowering the CEO's FY22 equity grant by \$10 million and making a commitment not to similarly performance metrics for previously granted awards. However, pay-for-performance concerns are raised for the year in											
	year's failed adjust perfo review. The for both the	l say-on-pay vote by	lowering the CE previously grant sizable adjustme m incentive awa	EO's FY22 equ ed awards. He ent to free cas rds, and the a	ity grant by owever, pay sh flow for F adjustment i	\$10 million of Fror-perform FY21, which a Frost well-ex	and making a ance concerr appears to af aplained. In a	a commitment as are raised for fect the perfor ddition, long-t	not to simila or the year in mance metro erm incentiv	arly n ics			
3	Ratify Deloi Auditors	itte & Touche LLP as		Mgmt	Yes	For	For		For	For	No	No	No
4	Approve Or	mnibus Stock Plan		Mgmt	Yes	For	For		For	For	No	No	No
5		essation of All Execut on and Bonus Progra		SH	Yes	Against	Against		Against	Against	No	No	No
6		rerance Agreement -Control) to Shareho	lder	SH	Yes	Against	For		For	Against	No	Yes	Yes
	severance a	y Rationale: A vote I mounts that exceed unaffected, and the verance arrangemen	market norms, proposal offers	the proposal of flexibility as to	applies only to when the	to future se	verance arrai	ngements, leav	ving current	on			
	severance a agreements	tionale: A vote FOR t amounts that exceed a unaffected, and the verance arrangemen	market norms, proposal offers	the proposal of flexibility as a	applies only to when the	to future se	verance arrai	ngements, leav	ing current				
7		omination of Employe tive Director	ee	SH	Yes	Against	Against		Against	Against	No	No	No
<b>Ballot Details</b>													
Institutional Account De (IA Name, IA Number)		ustodian ccount Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
RARF, RARF	00	0392452	Confirmed	jbisset		jbisset				178,2	82	178,	282
				04/28/2022	2	04/28/202	22						

#### **Meeting Results**

Outstanding Shares Voted: 1,101,751,355 Results Available: Full

Total Shares:

178,282

178,282

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	0.7%	739,036,647	99.3%	5,271,341	0.7%	1,712,028	N/A	Proxy	F+A
1b	Υ	Pass	No	For	8.9%	677,985,798	91.1%	66,330,288	8.9%	1,703,930	N/A	Proxy	F+A
1c	Υ	Pass	No	For	2.2%	728,330,317	97.8%	16,011,340	2.2%	1,678,359	N/A	Proxy	F+A
1d	Υ	Pass	No	For	5.3%	705,008,080	94.7%	39,354,660	5.3%	1,657,276	N/A	Proxy	F+A
1e	Υ	Pass	No	For	8.4%	681,539,057	91.6%	62,795,701	8.4%	1,685,258	N/A	Proxy	F+A
1f	Υ	Pass	No	For	9.4%	674,143,368	90.6%	70,156,378	9.4%	1,720,270	N/A	Proxy	F+A
1g	Υ	Pass	No	For	0.7%	738,978,998	99.3%	5,453,438	0.7%	1,587,580	N/A	Proxy	F+A
1h	Υ	Pass	No	For	20.7%	590,378,372	79.3%	153,943,931	20.7%	1,697,713	N/A	Proxy	F+A
1i	Υ	Pass	No	For	5.2%	704,380,479	94.8%	38,827,578	5.2%	2,811,959	N/A	Proxy	F+A
1j	Υ	Pass	No	For	2.5%	725,485,303	97.5%	18,963,177	2.5%	1,571,536	N/A	Proxy	F+A
1k	Υ	Pass	No	For	0.8%	738,607,016	99.2%	5,691,690	0.8%	1,721,310	N/A	Proxy	F+A
11	Υ	Pass	No	For	8.4%	681,533,797	91.6%	62,889,572	8.4%	1,596,647	N/A	Proxy	F+A
1m	Υ	Pass	No	For	2.2%	727,790,426	97.8%	16,590,879	2.2%	1,638,711	N/A	Proxy	F+A
2	Υ	Pass	No	For	34.5%	486,608,605	65.5%	256,337,513	34.5%	3,073,898	N/A	Proxy	F+A
3	Υ	Pass	No	For	0.5%	876,945,753	99.5%	4,028,094	0.5%	2,089,815	N/A	Proxy	F+A
4	Υ	Pass	No	For	7.2%	689,244,390	92.8%	53,874,784	7.2%	2,900,842	N/A	Proxy	F+A
5	Υ	Fail	No	Against	1.9%	14,161,225	1.9%	727,850,067	98.1%	4,008,724	N/A	Proxy	F+A
6	Υ	Fail	No	Against	36.2%	269,056,908	36.2%	473,798,432	63.8%	3,164,676	N/A	Proxy	F+A
7	Υ	Fail	No	Against	4.7%	34,732,629	4.7%	707,970,853	95.3%	3,316,534	N/A	Proxy	F+A

#### **Unilever Plc**

Meeting Date: 05/04/2022 **Record Date:** 05/02/2022

Meeting Type: Annual

Primary Security ID: G92087165

Earliest Cutoff Date: 04/29/2022 Votable Shares: 750,000

Country: United Kingdom

Primary CUSIP: G92087165

Total Ballots: 1

\*Shares on Loan: 0

Ticker: ULVR

Meeting ID: 1623697

Shares Instructed: 750,000

Primary ISIN: GB00B10RZP78

Voting Policy: ISS

**Additional Policy:** 

Proxy Level: N/A

Shares Voted: 750,000

Primary SEDOL: B10RZP7

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No	
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No	
3		Re-elect Nils Andersen as Director	Mgmt	Yes	For	For		For	For	No	No	No	
	Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.												
		Blended Rationale: A vote FOR these Director	s is warranted a	as no signific	ant concern	s have been	identified.						
4		Re-elect Judith Hartmann as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Dir	ectors is warrar	nted as no sig	gnificant col	ncerns have L	been identified	1.			'		
		Blended Rationale: A vote FOR these Director	s is warranted a	as no signific	ant concern	s have been	identified.				,		

\*Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Unilever Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS			
5		Re-elect Alan Jope as Director	Mgmt	Yes	For	For		For	For	No	No	No			
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.								
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.								
6		Re-elect Andrea Jung as Director	Mgmt	Yes	For	For		For	For	No	No	No			
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.								
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.								
7		Re-elect Susan Kilsby as Director	Mgmt	Yes	For	For		For	For	No	No	No			
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.								
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.								
8		Re-elect Strive Masiyiwa as Director	Mgmt	Yes	For	For		For	For	No	No	No			
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.								
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.								
9		Re-elect Youngme Moon as Director	Mgmt	Yes	For	For		For	For	No	No	No			
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.								
		Blended Rationale: A vote FOR these Directors	d Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.												
10		Re-elect Graeme Pitkethly as Director	Mgmt	Yes	For	For		For	For	No	No	No			
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.								
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.								
11		Re-elect Feike Sijbesma as Director	Mgmt	Yes	For	For		For	For	No	No	No			
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.								
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.								
12		Elect Adrian Hennah as Director	Mgmt	Yes	For	For		For	For	No	No	No			
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.								
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.								
13		Elect Ruby Lu as Director	Mgmt	Yes	For	For		For	For	No	No	No			
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.								
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.								
14		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No			
15		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No			
16		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No			
17		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No			
		Voting Policy Rationale: A vote FOR these reson recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within							
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	because the	e proposed a	amounts and	durations are	within							

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Unilever Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS			
18		Authorise Issue of Equity with Pre-emptive Rights	nout	Mgmt	Yes	For	For		For	For	No	No	No			
		Voting Policy Rationale: A vote recommended limits.	e FOR these resol	lutions is warra	anted becaus	se the propo	osed amounts	and durations	s are within							
		Blended Rationale: A vote FOR recommended limits.	R these resolution	s is warranted	l because the	e proposed	amounts and	durations are	within							
19		Authorise Issue of Equity with Pre-emptive Rights in Connec an Acquisition or Other Capita Investment	tion with	Mgmt	Yes	For	For		For	For	No	No	No			
		Voting Policy Rationale: A vote recommended limits.	g Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within													
		Blended Rationale: A vote FOR recommended limits.	R these resolution	s is warranted	l because the	e proposed	amounts and	durations are	within							
20		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No			
21		Authorise the Company to Ca Meeting with Two Weeks' Not		Mgmt	Yes	For	For		For	For	No	No	No			
Ballot Deta	ils															
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number														
RARF, RARF		392452	Confirmed	jbisset		jbisset				750,00	00	750,	000			
				04/26/2022		04/26/20	)22									

Total Shares:

750,000

750,000

#### **Meeting Results**

Outstanding Shares Voted: 2,555,681,470

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	1,784,771,403	100.0%	845,727	0.0%	5,690,097	N/A	Poll	F+A
2	Υ	Pass	No	For	7.5%	1,653,105,110	92.5%	133,616,656	7.5%	4,585,321	N/A	Poll	F+A
3	Υ	Pass	No	For	7.8%	1,650,208,767	92.2%	138,827,869	7.8%	2,267,306	N/A	Poll	F+A
4	Υ	Pass	No	For	0.8%	1,774,485,487	99.2%	14,499,168	0.8%	2,321,354	N/A	Poll	F+A
5	Υ	Pass	No	For	3.6%	1,724,961,069	96.4%	64,568,113	3.6%	1,778,490	N/A	Poll	F+A
6	Υ	Pass	No	For	5.0%	1,698,883,917	95.0%	90,190,281	5.0%	2,231,810	N/A	Poll	F+A
7	Υ	Pass	No	For	0.8%	1,774,007,079	99.2%	15,061,832	0.8%	2,237,098	N/A	Poll	F+A
8	Υ	Pass	No	For	1.1%	1,769,253,409	98.9%	19,723,543	1.1%	2,329,056	N/A	Poll	F+A
9	Υ	Pass	No	For	1.0%	1,770,316,797	99.0%	18,660,776	1.0%	2,328,435	N/A	Poll	F+A
10	Υ	Pass	No	For	3.7%	1,723,474,854	96.3%	65,559,756	3.7%	2,252,435	N/A	Poll	F+A
11	Υ	Pass	No	For	1.1%	1,769,656,458	98.9%	19,339,706	1.1%	2,309,845	N/A	Poll	F+A
12	Υ	Pass	No	For	1.2%	1,767,022,039	98.8%	21,955,381	1.2%	2,328,588	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
13	Y	Pass	No	For	0.7%	1,777,112,750	99.3%	11,895,279	0.7%	2,297,929	N/A	Poll	F+A
14	Υ	Pass	No	For	1.0%	1,771,210,928	99.0%	16,997,442	1.0%	3,093,363	N/A	Poll	F+A
15	Υ	Pass	No	For	0.6%	1,778,622,006	99.4%	10,297,215	0.6%	2,384,951	N/A	Poll	F+A
16	Υ	Pass	No	For	2.0%	1,742,197,236	98.0%	35,890,771	2.0%	13,188,421	N/A	Poll	F+A
17	Υ	Pass	No	For	5.6%	1,689,544,033	94.4%	99,633,679	5.6%	2,130,961	N/A	Poll	F+A
18	Υ	Pass	No	For	1.7%	1,756,444,950	98.3%	30,741,777	1.7%	4,114,524	N/A	Poll	F+A
19	Υ	Pass	No	For	3.0%	1,734,740,008	97.0%	52,806,643	3.0%	3,762,073	N/A	Poll	F+A
20	Υ	Pass	No	For	1.1%	1,768,051,750	98.9%	19,745,781	1.1%	3,507,271	N/A	Poll	F+A
21	Υ	Pass	No	For	8.0%	1,617,343,217	92.0%	141,172,318	8.0%	31,733,892	N/A	Poll	F+A

### **BAE Systems Plc**

Meeting Date: 05/05/2022 **Record Date:** 05/03/2022

Earliest Cutoff Date: 05/02/2022

Primary Security ID: G06940103

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G06940103

**Total Ballots:** 1 \*Shares on Loan: 0 Ticker: BA

Meeting ID: 1566370

**Primary ISIN:** GB0002634946

Voting Policy: ISS

**Additional Policy:** 

Proxy Level: N/A

Primary SEDOL: 0263494

Votable Si	hares: 5,000,00	0 *Shares on Loan: 0			Shares Ir	nstructed: 5,0	00,000		Shares Voted	<b>1:</b> 5,000,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
4		Re-elect Nicholas Anderson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	irectors is warraı	nted as no si	ignificant co	ncerns have l	been identified				-	
		llended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.										
5		Re-elect Thomas Arseneault as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	irectors is warraı	nted as no si	ignificant co	ncerns have i	been identified					
		Blended Rationale: A vote FOR these Director	ors is warranted	as no signific	cant concerr	ns have been	identified.				-	
6		Re-elect Sir Roger Carr as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	irectors is warraı	nted as no si	ignificant co	ncerns have i	been identified					
		Blended Rationale: A vote FOR these Director	ors is warranted	as no signific	cant concerr	ns have been	identified.				-	
7		Re-elect Dame Elizabeth Corley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	irectors is warraı	nted as no si	ignificant co	ncerns have i	been identified					
		Blended Rationale: A vote FOR these Director	ors is warranted	as no signific	cant concerr	ns have been	identified.				-	
8		Re-elect Bradley Greve as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	irectors is warrar	nted as no si	ignificant co	ncerns have i	been identified				-	
		Blended Rationale: A vote FOR these Director	ors is warranted o	as no signific	cant concerr	ns have been	identified.					

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# **BAE Systems Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
9		Re-elect Jane Griffiths as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	nificant con	cerns have b	been identified						
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.						
10		Re-elect Christopher Grigg as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	been identified						
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.						
11		Re-elect Stephen Pearce as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	nificant con	cerns have b	been identified						
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.						
12		Re-elect Nicole Piasecki as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	been identified	•					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.						
13		Re-elect Charles Woodburn as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	been identified						
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.						
14		Elect Crystal E Ashby as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.											
		Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.											
15		Elect Ewan Kirk as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	ncerns have b	been identified	•					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concern	s have been	identified.						
16		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No	
17		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR this item is	warranted be	cause there	are no conc	erns regardir	ng this proposa	3/.					
		Blended Rationale: A vote FOR this item is war	ranted because	e there are r	no concerns	regarding th	is proposal.						
18		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No	
19		Approve Share Incentive Plan	Mgmt	Yes	For	For		For	For	No	No	No	
20		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.											
		Blended Rationale: A vote FOR these resolution recommended limits.	s is warranted	because the	e proposed a	amounts and	durations are	within					
21		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these resol recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	s and duration	s are within					
		Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.											

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# **BAE Systems Plc**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
22	Authorise Market Purchase of Shares	f Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
23	Authorise the Company to Ca Meeting with Two Weeks' No		Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
RARF, RARF	392452	Confirmed	jbisset		jbisset				5,000,0	000	5,000	0,000

04/27/2022 04/27/2022

Total Shares: 5,000,000 5,000,000

#### **Meeting Results**

Outstanding Shares Voted: 3,156,045,043

Results Available: Partial

tem#	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	2,389,014,557	100.0%	131,733	0.0%	9,437,666	N/A	Poll	F+A
2	Υ	Pass	No	For	4.0%	2,288,879,813	96.0%	95,175,707	4.0%	14,521,219	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	2,398,308,499	100.0%	54,608	0.0%	266,462	N/A	Poll	F+A
4	Υ	Pass	No	For	1.2%	2,368,949,581	98.8%	29,103,946	1.2%	532,636	N/A	Poll	F+A
5	Υ	Pass	No	For	0.1%	2,396,659,413	99.9%	1,285,246	0.1%	629,318	N/A	Poll	F+A
6	Υ	Pass	No	For	2.3%	2,343,086,512	97.7%	54,932,032	2.3%	579,190	N/A	Poll	F+A
7	Υ	Pass	No	For	5.5%	2,266,011,377	94.5%	131,965,558	5.5%	611,285	N/A	Poll	F+A
8	Υ	Pass	No	For	0.2%	2,394,139,732	99.8%	3,785,603	0.2%	658,858	N/A	Poll	F+A
9	Υ	Pass	No	For	5.5%	2,258,490,262	94.5%	131,918,502	5.5%	8,184,768	N/A	Poll	F+A
10	Υ	Pass	No	For	1.0%	2,373,982,675	99.0%	23,978,173	1.0%	630,218	N/A	Poll	F+A
11	Υ	Pass	No	For	2.0%	2,350,652,320	98.0%	47,287,585	2.0%	649,004	N/A	Poll	F+A
12	Υ	Pass	No	For	6.1%	2,252,301,398	93.9%	145,631,566	6.1%	654,986	N/A	Poll	F+A
13	Υ	Pass	No	For	0.0%	2,396,860,164	100.0%	1,160,589	0.0%	582,190	N/A	Poll	F+A
14	Υ	Pass	No	For	0.1%	2,396,617,105	99.9%	1,241,623	0.1%	725,179	N/A	Poll	F+A
15	Υ	Pass	No	For	0.0%	2,396,726,312	100.0%	1,137,854	0.0%	713,555	N/A	Poll	F+A
16	Υ	Pass	No	For	0.0%	2,397,592,052	100.0%	548,249	0.0%	463,232	N/A	Poll	F+A
17	Υ	Pass	No	For	0.0%	2,397,547,254	100.0%	606,096	0.0%	435,769	N/A	Poll	F+A
18	Υ	Pass	No	For	0.8%	2,366,930,327	99.2%	19,099,072	0.8%	12,557,056	N/A	Poll	F+A
19	Υ	Pass	No	For	0.3%	2,390,935,921	99.7%	7,307,939	0.3%	381,324	N/A	Poll	F+A
20	Υ	Pass	No	For	6.7%	2,236,461,834	93.3%	161,770,763	6.7%	365,889	N/A	Poll	F+A
21	Y	Pass	No	For	10.3%	2,151,037,852	89.7%	246,946,216	10.3%	601,207	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
22	Υ	Pass	No	For	0.5%	2,384,586,216	99.5%	11,516,409	0.5%	2,485,233	N/A	Poll	F+A
23	Υ	Pass	No	For	6.3%	2,247,120,282	93.7%	151,121,440	6.3%	318,810	N/A	Poll	F+A

### **Melrose Industries Plc**

Meeting Date: 05/05/2022 **Record Date:** 05/03/2022

Country: United Kingdom Meeting Type: Annual

Ticker: MRO **Meeting ID:** 1615140 Proxy Level: N/A

**Additional Policy:** 

Primary Security ID: G5973J202

Votable Shares: 15,000,000

Primary CUSIP: G5973J202

Primary ISIN: GB00BNR5MZ78

Primary SEDOL: BNR5MZ7

Earliest Cutoff Date: 05/02/2022

Total Ballots: 1

Voting Policy: ISS

\*Shares on Loan: 0 Shares Instructed: 15,000,000 Shares Voted: 15,000,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
4		Re-elect Christopher Miller as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is warra	anted as no	significant c	oncerns have	e been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warranted	d as no signii	ficant conce	rns have bee	n identified.				-	
5		Re-elect Simon Peckham as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is warra	anted as no .	significant c	oncerns have	e been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warranted	d as no signii	ficant conce	rns have bee	n identified.					
6		Re-elect Geoffrey Martin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is warra	anted as no .	significant c	oncerns have	e been identifie	ed.				
		Blended Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.										
7		Re-elect Peter Dilnot as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is warra	anted as no .	significant c	oncerns have	e been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warranted	d as no signii	ficant conce	rns have bee	n identified.					
8		Re-elect Justin Dowley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is warra	anted as no .	significant c	oncerns have	e been identifie	ed.			-	
		Blended Rationale: A vote FOR these candidate	es is warranted	d as no signii	ficant conce	rns have bee	n identified.					
9		Re-elect David Lis as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is warra	anted as no .	significant c	oncerns have	e been identifie	ed.				
		Blended Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.										
10		Re-elect Charlotte Twyning as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is warra	anted as no .	significant c	oncerns have	e been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warranted	d as no signii	ficant conce	rns have bee	n identified.					
11		Re-elect Funmi Adegoke as Director	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.											
		Blended Rationale: A vote FOR these candidate	es is warranted	d as no signii	ficant conce	rns have bee	n identified.					

### **Melrose Industries Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12		Elect Heather Lawrence as Dir	rector	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	FOR these can	didates is warra	anted as no .	significant co	oncerns have	been identifie	d.				
		Blended Rationale: A vote FOR	these candidat	es is warranted	d as no signii	ficant concer	ns have beer	identified.					
13		Elect Victoria Jarman as Direc	tor	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	FOR these can	didates is warra	anted as no .	significant co	oncerns have	been identifie	d.				
		Blended Rationale: A vote FOR	these candidat	es is warranted	d as no signii	ficant concer	rns have beer	identified.					
14		Reappoint Deloitte LLP as Auc	litors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise the Audit Committee Remuneration of Auditors	e to Fix	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	olutions is warra	anted becau	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	? these resolutio	ns is warranted	d because th	e proposed a	amounts and	durations are	within				
17		Authorise Issue of Equity with Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.											
		Blended Rationale: A vote FOR recommended limits.	these resolutio	ns is warranted	d because th	e proposed a	amounts and	durations are	within				
18		Authorise Issue of Equity with Pre-emptive Rights in Connect an Acquisition or Other Capita Investment	tion with	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	olutions is warra	anted becau	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	? these resolutio	ns is warranted	d because th	e proposed a	amounts and	durations are	within				
19		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorise the Company to Cal Meeting with Two Weeks' Not		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutional (IA Name, I	l Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares \	/oted
RARF, RARF		392452	Confirmed	jbisset		jbisset				15,000,	000	15,000	,000
				04/27/2022	2	04/27/202	22						
									Total Shares:	15,000,	.000	15,000	,000

#### **Meeting Results**

Outstanding Shares Voted: 4,372,429,473

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.1%	3,578,289,842	99.9%	2,508,605	0.1%	89,752,485	N/A	Poll	F+A

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2	Y	Pass	No	For	2.7%	3,549,339,509	97.3%	96,831,990	2.7%	24,379,433	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	3,668,726,493	100.0%	110,239	0.0%	1,714,200	N/A	Poll	F+A
4	Υ	Pass	No	For	0.2%	3,659,529,350	99.8%	9,138,409	0.2%	1,883,173	N/A	Poll	F+A
5	Υ	Pass	No	For	0.2%	3,659,940,343	99.8%	8,719,855	0.2%	1,890,734	N/A	Poll	F+A
6	Υ	Pass	No	For	0.8%	3,637,713,069	99.2%	30,946,066	0.8%	1,891,797	N/A	Poll	F+A
7	Υ	Pass	No	For	0.2%	3,659,700,657	99.8%	8,957,541	0.2%	1,892,734	N/A	Poll	F+A
8	Υ	Pass	No	For	6.6%	3,427,864,655	93.4%	240,789,260	6.6%	1,897,017	N/A	Poll	F+A
9	Υ	Pass	No	For	1.2%	3,624,470,089	98.8%	44,185,130	1.2%	1,895,713	N/A	Poll	F+A
10	Υ	Pass	No	For	1.0%	3,630,175,149	99.0%	38,466,525	1.0%	1,909,258	N/A	Poll	F+A
11	Υ	Pass	No	For	0.9%	3,634,518,731	99.1%	34,095,835	0.9%	1,936,366	N/A	Poll	F+A
12	Υ	Pass	No	For	0.2%	3,663,054,766	99.8%	5,576,479	0.2%	1,919,687	N/A	Poll	F+A
13	Υ	Pass	No	For	0.2%	3,663,098,826	99.8%	5,545,608	0.2%	1,906,498	N/A	Poll	F+A
14	Υ	Pass	No	For	3.6%	3,536,337,246	96.4%	132,248,019	3.6%	1,965,667	N/A	Poll	F+A
15	Υ	Pass	No	For	1.0%	3,633,734,492	99.0%	34,916,431	1.0%	1,899,832	N/A	Poll	F+A
16	Υ	Pass	No	For	1.6%	3,611,242,553	98.4%	57,520,604	1.6%	1,787,775	N/A	Poll	F+A
17	Υ	Pass	No	For	0.5%	3,650,766,843	99.5%	17,949,462	0.5%	1,834,627	N/A	Poll	F+A
18	Υ	Pass	No	For	3.8%	3,529,202,957	96.2%	139,430,478	3.8%	1,917,497	N/A	Poll	F+A
19	Υ	Pass	No	For	2.1%	3,590,006,908	97.9%	78,423,097	2.1%	2,120,927	N/A	Poll	F+A
20	Υ	Pass	No	For	2.6%	3,572,388,912	97.4%	96,375,145	2.6%	1,786,875	N/A	Poll	F+A

### **Conduit Holdings Ltd.**

Meeting Date: 05/11/2022 **Record Date:** 05/09/2022

Primary Security ID: G24385109

Earliest Cutoff Date: 05/05/2022 Votable Shares: 1,441,973

Country: Bermuda

Meeting Type: Annual Primary CUSIP: G24385109

Total Ballots: 1 \*Shares on Loan: 0 Ticker: CRE

Meeting ID: 1599694

Primary ISIN: BMG243851091

Voting Policy: ISS

Shares Instructed: 1,441,973

Primary SEDOL: BN133N2

Proxy Level: N/A

Additional Policy:

**Shares Voted:** 1,441,973

Proposal	Significant			Votable	Mgmt		Additional Policy	Voting Policy	Vote	Vote Against	Vote Against	Vote Against
Number	Vote	Proposal Text	Proponent	Proposal	Rec	ISS Rec	Rec	Rec	Instruction	Mgmt	Policy	ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Reduction of the Share Premium Account	Mgmt	Yes	For	For		For	For	No	No	No

# **Conduit Holdings Ltd.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5		Re-elect Neil Eckert as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sa significant concerns have been identified.	-				•		η,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard Sa significant concerns have been identified.			, ,		•					
6		Re-elect Trevor Carvey as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sa significant concerns have been identified.	,	•		,,	,		7,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard Sa significant concerns have been identified.		-	, .		-	-				
7		Re-elect Elaine Whelan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sa significant concerns have been identified.	-			**			7,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard Sa significant concerns have been identified.										
8		Re-elect Sir Brian Williamson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sa significant concerns have been identified.	-	-		* *	-		7,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard Sa significant concerns have been identified.		-	, .		-	-				
9		Re-elect Malcolm Furbert as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sa significant concerns have been identified.	-			, .	•		η,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard Sa significant concerns have been identified.		-	, .		-	-				
10		Re-elect Elizabeth Murphy as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sa significant concerns have been identified.	-				•		7,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard Sa significant concerns have been identified.		-	, .		-	-				
11		Re-elect Richard Sandor as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sa significant concerns have been identified.				, .			7,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard Sa significant concerns have been identified.										

# **Conduit Holdings Ltd.**

Institutional Account Detail Custodian (IA Name, IA Number) Account Number Ballot Status Instructed Approved Ballot Voting Status Votable Shares Shares Voted	Proposal Significa Number Vote	nnt Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
Halcolom Furbert, Bisabeth Murph, Richard Sandor, Ken Randall, and Michelle Seymour Smith is warranted because no significant concerns have been identified.  Blended Rationale: A vote FOR the re-election/election of Neil Eckert, Trevor Carvey, Elinne Whelan, Sr Brian Williamson, Malcolom Furbert, Elizabeth Murph, Richard Sandor, Ken Randall, and Michelle Seymour Smith is warranted because no significant concerns have been identified.  13	12	Re-elect Ken Randall as D	irector	Mgmt	Yes	For	For		For	For	No	No	No
Alcotor Furbert, Eisabeth Murphy, Richard Sandor, Ken Randall, and Michelle Seymour Smith is warranted because no significant concerns have been identified.  Bleed Michelle Smith as Director  Mgmt Yes For For For For For For No		Malcolm Furbert, Elizabeth	Murphy, Richard Sa	-			, .	•		on,		-	
Voting Policy Rationale: A vote FOR the re-election/election of Neil Eckert, Trevor Carvey, Elaine Whelan, Sir Briam Williamson, Makcolm Furbert, Elizabeth Murphy, Richard Sandor, Ken Randall, and Michelle Seymour Smith is warranted because no significant concerns have been identified.    Blended Rationale: A vote FOR the re-election/election of Neil Eckert, Trevor Carvey, Elaine Whelan, Sir Briam Williamson, Makcolm Furbert, Elizabeth Murphy, Richard Sandor, Ken Randall, and Michelle Seymour Smith is warranted because no significant concerns have been identified.    All Ratify RPMG Audit Limited as Auditors   Mgmt   Yes   For   For   For   For   No   No   No   No   No   No   No		Malcolm Furbert, Elizabeth	Murphy, Richard Sa		,		•	•	,			-	
Malcolm Furbert, Elizabeth Murphy, Richard Sandor, Ken Randall, and Michelle Seymour Smith is warranted because no significant concerns have been identified.  Richard Rationale: A vote FOR the re-election/election of Neil Eckert, Trevor Carvey, Elaine Whileans, Sir Brian Williamson, Malcolm Furbert, Elizabeth Murphy, Richard Sandor, Ken Randall, and Michelle Seymour Smith is warranted because no significant concerns have been identified.  14  Ratify KPMG Audit Limited as Auditors  Mgmt  Yes  For  For  For  For  For  No  No  No  No  No  No  No  No  No	13	Elect Michelle Smith as Dir	rector	Mgmt	Yes	For	For		For	For	No	No	No
Malcolm Furbert, Elizabeth Murphy, Richard Sandor, Ken Randall, and Michelle Seymour Smith is warranted because no significant concerns have been identified.  14 Ratify KPMG Audit Limited as Auditors Mgmt Yes For For For For No No No No No No No 15 Authorise Board to Fix Remuneration of Auditors  16 Authorise Issue of Equity Mgmt Yes For For For For For No No No No No No No No Auditors  16 Authorise Issue of Equity Mgmt Yes For For For For No		Malcolm Furbert, Elizabeth	Murphy, Richard Sa				**	•		on,		_	
Authorise Board to Fix Remuneration of Auditors  16 Authorise Issue of Equity Mgmt Yes For For For For For No		Malcolm Furbert, Elizabeth	Murphy, Richard Sa		•			•	,			_	
Authorise Issue of Equity	14	Ratify KPMG Audit Limited	as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Authorise Issue of Equity without Mgmt Yes For For For For No No No Pre-emptive Rights  Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Blended Rationale: A vote FOR these resolu	15		muneration	Mgmt	Yes	For	For		For	For	No	No	No
Precommended limits.   Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.	16	Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
Authorise Issue of Equity without Mgmt Yes For For For For No No Pre-emptive Rights    Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.   Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.   Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.   Shands   Sha		- '	vote FOR these reso	lutions is warr	anted becaus	se the pro	posed amounts	s and durations	s are within	1		-	
Pre-emptive Rights  Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  18 Authorise Market Purchase of Common Mgmt Yes For For For For No No No Shares  Institutional Account Detail (IA Name, IA Number)  Custodian Account Number Ballot Status Instructed Approved Ballot Voting Status Votable Shares  Shares Voted  RARF, RARF 392452 Confirmed jbisset jbisset 1,441,973 1,441,973			FOR these resolution	ns is warranted	d because th	e propose	d amounts and	d durations are	within				
Belinded Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  18 Authorise Market Purchase of Common Mgmt Yes For For For For No No No Shares  Ballot Details  Institutional Account Detail (IA Name, IA Number)  Custodian Account Number Ballot Status Instructed places  Ballot Status Joisset joisset 1,441,973 1,441,973	17		without	Mgmt	Yes	For	For		For	For	No	No	No
Authorise Market Purchase of Common Mgmt Yes For For For For No No No Shares  Ballot Details Institutional Account Detail (IA Name, IA Number)  Account Number Ballot Status Instructed Jbisset Jbisset Jbisset 1,441,973 1,441,973		- ,	vote FOR these reso	lutions is warra	anted becaus	se the pro	posed amounts	s and duration:	s are within	1			
Shares  Ballot Details  Institutional Account Detail (IA Name, IA Number)  Custodian Account Number Ballot Status Instructed Approved Ballot Voting Status Votable Shares Shares Voted  RARF, RARF  392452  Confirmed jbisset jbisset 1,441,973 1,441,973			FOR these resolution	ns is warranted	d because th	e propose	d amounts and	d durations are	within				
Institutional Account Detail (IA Name, IA Number)  Custodian Account Number  Ballot Status  Instructed  Approved  Ballot Voting Status  Votable Shares  Shares Voted  PARF, RARF  392452  Confirmed  jbisset  jbisset  1,441,973  1,441,973	18		e of Common	Mgmt	Yes	For	For		For	For	No	No	No
(IA Name, IA Number) Account Number Ballot Status Instructed Approved Ballot Voting Status Votable Shares Voted  RARF, RARF 392452 Confirmed jbisset jbisset jbisset 1,441,973 1,441,973	Ballot Details												
	Institutional Account De (IA Name, IA Number)		Ballot Status	Instructe	d	Appro	oved	Ballot Voting	Status	Votable S	hares	Shares	Voted
04/28/2022 04/28/2022	RARF, RARF	392452	Confirmed	jbisset		jbisset				1,441,	973	1,441	1,973
				04/28/2022	2	04/28	2022						

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		1,441,973	1,441,973
			04/28/2022	04/28/2022			_
					Total Shares:	1,441,973	1,441,973

#### **Meeting Results**

Outstanding Shares Voted: 164,717,174 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
2	Υ	Pass	No	For	2.4%	131,008,002	97.6%	3,222,914	2.4%	0	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	132,758,002	100.0%	22,914	0.0%	1,450,000	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
5	Υ	Pass	No	For	1.3%	132,440,890	98.7%	1,790,026	1.3%	0	N/A	Poll	F+A
6	Υ	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
7	Υ	Pass	No	For	0.1%	134,069,427	99.9%	161,489	0.1%	0	N/A	Poll	F+A
8	Y	Pass	No	For	5.8%	126,501,256	94.2%	7,729,660	5.8%	0	N/A	Poll	F+A
9	Y	Pass	No	For	0.0%	134,206,721	100.0%	24,195	0.0%	0	N/A	Poll	F+A
10	Y	Pass	No	For	0.0%	134,206,721	100.0%	24,195	0.0%	0	N/A	Poll	F+A
11	Y	Pass	No	For	0.0%	134,206,721	100.0%	24,195	0.0%	0	N/A	Poll	F+A
12	Y	Pass	No	For	0.0%	134,206,721	100.0%	24,195	0.0%	0	N/A	Poll	F+A
13	Υ	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
14	Y	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
15	Υ	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
16	Υ	Pass	No	For	0.4%	133,707,045	99.6%	523,871	0.4%	0	N/A	Poll	F+A
17	Υ	Pass	No	For	0.2%	133,980,916	99.8%	250,000	0.2%	0	N/A	Poll	F+A
18	Υ	Pass	No	For	1.1%	132,589,559	98.9%	1,450,000	1.1%	191,357	N/A	Poll	F+A

 Meeting Date: 05/11/2022
 Country: Norway
 Ticker: EQNR
 Proxy Level: N/A

 Record Date:
 Meeting Type: Annual
 Meeting ID: 1637182

 Primary Security ID: R2R90P103
 Primary CUSIP: R2R90P103
 Primary ISIN: N00010096985
 Primary SEDOL: 7133608

Earliest Cutoff Date: 05/05/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy:

 Votable Shares: 1,000,000
 \*Shares on Loan: 0
 Shares Instructed: 1,000,000
 Shares Voted: 1,000,000

							,					
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Open Meeting	Mgmt	No								
		Voting Policy Rationale: These are routine me	eeting formalitie	25.								
		Blended Rationale: These are routine meeting	g formalities.									
2		Registration of Attending Shareholders and Proxies	Mgmt	No								
		Voting Policy Rationale: These are routine me	eting formalitie	25.								
		Blended Rationale: These are routine meeting	g formalities.									
3		Elect Chairman of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine me	eeting formalitie	?S.								
		Blended Rationale: These are routine meeting	g formalities.									
4		Approve Notice of Meeting and Agenda	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine me	eting formalitie	es.								
		Blended Rationale: These are routine meeting	g formalities.									

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Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5		Designate Inspector(s) of Minutes of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine me	eting formalitie	5.								
		Blended Rationale: These are routine meeting	formalities.									
6		Accept Financial Statements and Statutory Reports; Approve Allocation of Income and Dividends of USD 0.20 Per Share, Approve Extraordinary Dividends of USD 0.20 Per Share	Mgmt	Yes	For	For		For	For	No	No	No
7		Authorize Board to Distribute Dividends	Mgmt	Yes	For	For		For	For	No	No	No
8		Approve NOK 205.5 Million Reduction in Share Capital via Share Cancellation	Mgmt	Yes	For	For		For	For	No	No	No
9		Amend Corporate Purpose	Mgmt	Yes	For	For		For	For	No	No	No
10		Approve Company's Energy Transition Plan (Advisory Vote)	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Vote AGAINST this ite business by 2050, its proposed transition plant (2) policy makers to enable reductions in scop Gas production between 2021 and 2026, which Blended Rationale: Vote AGAINST this item is by 2050, its proposed transition plan is highly	n is highly dependent 3 emissions. It is severely und warranted bed	ndent on (1) * The complermines the ause: * Whil	intensity ra any is plann credibility of le the compa	ther than absing to conting to conting for the plan as any aims to be	solute reduction ue the expans a whole. De a net-zero e	n targets ar ion of its Oil nergy busin	ess			
		makers to enable reductions in scope 3 emiss			_	-		Oil & Gas	•			
		production between 2021 and 2026, which se Shareholder Proposals	Mgmt	No	ibility of the	piair as a wi	ioie.					
11		Instruct Company to Set Short, Medium, and Long-Term Targets for Greenhouse Gas (GHG) Emissions of the Companys Operations and the Use of Energy Products	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this properties of the bring strengthening features in the under item 10.				_			ns to			
		Blended Rationale: A vote FOR this proposal is bring the bring strengthening features in the under item 10.		, ,	•	,	,	,				
12		Introduce a Climate Target Agenda and Emission Reduction Plan	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this proporting the bring strengthening features in the under item 10.				_		-	is to			
		Blended Rationale: A vote FOR this proposal is bring the bring strengthening features in the under item 10.			-		•	-				
13		Establish a State Restructuring Fund for Employees who Working in the Oil Sector	SH	Yes	Against	Against		Against	Against	No	No	No

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
14		Instruct Company to Stop all Oil and Gas Exploration in the Norwegian Sector ofthe Barents Sea	SH	Yes	Against	Against		Against	Against	No	No	No
		Voting Policy Rationale: A vote AGAINST these they entail significant implications for the curr make decisions on resolutions of this kind.			-							
		Blended Rationale: A vote AGAINST these pro they entail significant implications for the curr make decisions on resolutions of this kind.	•		•		•					
15		Instruct Company to Stop all Exploration Activity and Test Drilling for Fossil Energy Resources	SH	Yes	Against	Against		Against	Against	No	No	No
		Voting Policy Rationale: A vote AGAINST these they entail significant implications for the curr make decisions on resolutions of this kind.			-							
		Blended Rationale: A vote AGAINST these pro they entail significant implications for the curr make decisions on resolutions of this kind.			-		-					
16		Increase Investments in Renewable Energy, Stop New Exploration in Barents Sea, Discontinue International Activities and Develop a Plan for Gradual Closure of the Oil Industry	SH	Yes	Against	Against		Against	Against	No	No	No
		Voting Policy Rationale: A vote AGAINST these they entail significant implications for the curr make decisions on resolutions of this kind.			-							
		Blended Rationale: A vote AGAINST these pro they entail significant implications for the curr make decisions on resolutions of this kind.			-		-					
17		Instruct Board to Present a Strategy for Real Business Transformation to Sustainable Energy Production	SH	Yes	Against	Against		Against	Against	No	No	No
		Voting Policy Rationale: A vote AGAINST these they entail significant implications for the curr make decisions on resolutions of this kind.			-							
		Blended Rationale: A vote AGAINST these pro they entail significant implications for the curr make decisions on resolutions of this kind.										
18		Instruct Company to Divest all International Operations, First Within Renewable Energy, then Within Petroleum Production	SH	Yes	Against	Against		Against	Against	No	No	No
19		Action Plan For Quality Assurance and Anti-Corruption	SH	Yes	Against	Against		Against	Against	No	No	No
		Management Proposals	Mgmt	No								
20		Approve Company's Corporate Governance Statement	Mgmt	Yes	For	For		For	For	No	No	No
21		Approve Remuneration Statement (Advisory)	Mgmt	Yes	For	For		For	For	No	No	No
22		Approve Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
		Elect Either All Candidates to Corporate Assembly Bundled in item 23, or Vote on Each Candidate in items 23.1-23.16	Mgmt	No								

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Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
23		Elect Members and Deputy Members of Corporate Assembly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propositions of its committees.	osals is warrar	nted due to a	lack of con	cern regardir	ng the compos	ition of the				
		Blended Rationale: A vote FOR these proposals its committees.	is warranted o	due to a lack	of concern	regarding th	e composition	of the board	d or			
23.1		Reelect Jarle Roth (Chair) as Member of Corporate Assembly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these proposoard or its committees.	osals is warrar	nted due to a	lack of con	cern regardir	ng the compos	ition of the				
		Blended Rationale: A vote FOR these proposals its committees.	is warranted o	due to a lack	of concern	regarding th	e composition	of the board	d or			
23.2		Reelect Nils Bastiansen (Deputy Chair) as Member of Corporate Assembly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propositions of its committees.	osals is warrar	nted due to a	lack of con	cern regardir	ng the compos	ition of the				
		Blended Rationale: A vote FOR these proposals its committees.	is warranted o	due to a lack	of concern	regarding th	e composition	of the board	d or			
23.3		Reelect Finn Kinserdal as Member of Corporate Assembly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propositions or its committees.	osals is warrar	nted due to a	lack of con	cern regardir	ng the compos	ition of the				
		Blended Rationale: A vote FOR these proposals its committees.	is warranted o	due to a lack	of concern	regarding th	e composition	of the board	d or			
23.4		Reelect Kari Skeidsvoll Moe as Member of Corporate Assembly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propositions or its committees.	osals is warrar	nted due to a	lack of con	cern regardir	ng the compos	ition of the				
		Blended Rationale: A vote FOR these proposals its committees.	is warranted o	due to a lack	of concern	regarding th	e composition	of the board	d or			
23.5		Reelect Kjerstin Rasmussen Braathen as Member of Corporate Assembly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propositions or its committees.	osals is warrar	nted due to a	lack of con	cern regardir	ng the compos	ition of the				
		Blended Rationale: A vote FOR these proposals its committees.	is warranted o	due to a lack	of concern	regarding th	e composition	of the board	d or			
23.6		Reelect Kjerstin Fyllingen as Member of Corporate Assembly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propositions of its committees.	osals is warrar	nted due to a	lack of con	cern regardir	ng the compos	ition of the				
		Blended Rationale: A vote FOR these proposals its committees.	is warranted o	due to a lack	of concern	regarding th	e composition	of the board	d or			
23.7		Reelect Mari Rege as Member of Corporate Assembly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propositions of its committees.	osals is warrar	nted due to a	lack of con	cern regardir	ng the compos	ition of the				
		Blended Rationale: A vote FOR these proposals its committees.	is warranted o	due to a lack	of concern	regarding th	e composition	of the board	d or			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
23.8		Reelect Trond Straume as Member of Corporate Assembly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop board or its committees.	osals is warrar	nted due to a	a lack of cor	ncern regardii	ng the compos	sition of the				
		Blended Rationale: A vote FOR these proposals its committees.	s is warranted o	due to a lack	of concern	regarding th	ne composition	of the board	f or			
23.9		Elect Martin Wien Fjell as New Member of Corporate Assembly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop board or its committees.	osals is warrai	nted due to a	a lack of cor	ncern regardii	ng the compos	sition of the				
		Blended Rationale: A vote FOR these proposals its committees.	s is warranted o	due to a lack	of concern	regarding th	e composition	of the board	f or			
23.10		Elect Merete Hverven as New Member of Corporate Assembly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop board or its committees.	osals is warrar	nted due to a	a lack of cor	ncern regardi	ng the compos	sition of the				
		Blended Rationale: A vote FOR these proposals its committees.	s is warranted o	due to a lack	of concern	regarding th	e composition	of the board	f or			
23.11		Elect Helge Aasen as New Member of Corporate Assembly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop board or its committees.	osals is warrar	nted due to a	a lack of cor	ncern regardii	ng the compos	sition of the				
		Blended Rationale: A vote FOR these proposals its committees.	s is warranted o	due to a lack	of concern	regarding th	ne composition	of the board	f or			
23.12		Elect Liv B. Ulriksen as New Member of Corporate Assembly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop board or its committees.	osals is warrar	nted due to a	a lack of cor	ncern regardi	ng the compos	sition of the				
		Blended Rationale: A vote FOR these proposals its committees.	s is warranted o	due to a lack	of concern	regarding th	ne composition	of the board	f or			
23.13		Elect Per Axel Koch as New Deputy Member of Corporate Assembly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop board or its committees.	osals is warrai	nted due to a	a lack of cor	ncern regardii	ng the compos	sition of the				
		Blended Rationale: A vote FOR these proposals its committees.	is warranted	due to a lack	of concern	regarding th	ne composition	of the board	f or			
23.14		Elect Catrine Kristiseter Marti as New Deputy Member of Corporate Assembly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop board or its committees.	osals is warraı	nted due to a	a lack of cor	ncern regardii	ng the compos	sition of the				
		Blended Rationale: A vote FOR these proposals its committees.	s is warranted o	due to a lack	of concern	regarding th	ne composition	of the board	l or			
23.15		Elect Nils Morten Huseby as New Deputy Member of Corporate Assembly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop board or its committees.	osals is warrar	nted due to a	a lack of cor	ncern regardii	ng the compos	sition of the				
		Blended Rationale: A vote FOR these proposals its committees.	s is warranted o	due to a lack	of concern	regarding th	ne composition	of the board	f or			

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Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
23.16		Reelect Nina Kivijervi Jonassen as Deputy Member of Corporate Assembly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these proposard or its committees.	oosals is warrai	nted due to a	a lack of co	ncern regardi	ing the compos	sition of the				
		Blended Rationale: A vote FOR these proposal its committees.	s is warranted	due to a lack	k of concern	n regarding th	ne composition	of the boar	d or			
24		Approve Remuneration of Directors in the Amount of NOK 137,600 for Chairman, NOK 72,600 for Deputy Chairman and NOK 51,000 for Other Directors; Approve Remuneration for Deputy Directors	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the proposed concern regarding the proposed fees. A vote F as nominating committee fees are usually mode.	OR the propos	ed remunera	ation to non							
		Blended Rationale: A vote FOR the proposed of concern regarding the proposed fees. A vote F as nominating committee fees are usually mode.	OR the propos	ed remunera	ntion to non	-			ted			
		Elect Either All Candidates to Nominating Committee Bundled in item 25, or Vote on Each Candidate in items 25.1-25.4	Mgmt	No								
25		Elect Members of Nominating Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item committee in the past.	ns is warranted,	, because of	a lack of c	ontroversy reg	garding the no	minating				
		Blended Rationale: A vote FOR these items is in the past.	warranted, bed	ause of a lac	ck of contro	versy regardi	ing the nomina	ating commit	tee			
25.1		Reelect Jarle Roth as Member of Nominating Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these iten committee in the past.	ns is warranted,	, because of	a lack of c	ontroversy reg	garding the no	ominating				
		Blended Rationale: A vote FOR these items is in the past.	warranted, bed	ause of a lac	ck of contro	versy regardi	ing the nomina	nting commit	tee			
25.2		Reelect Berit L. Henriksen as Member of Nominating Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item committee in the past.	ns is warranted,	, because of	a lack of c	ontroversy reg	garding the no	minating				
		Blended Rationale: A vote FOR these items is in the past.	warranted, bed	ause of a lac	ck of contro	versy regardi	ing the nomina	nting commit	tee			
25.3		Elect Merete Hverven as New Member of Nominating Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item committee in the past.	ns is warranted,	, because of	a lack of c	ontroversy reg	garding the no	minating				
		Blended Rationale: A vote FOR these items is in the past.	warranted, bed	ause of a lac	ck of contro	versy regardi	ing the nomina	ating commit	tee			
25.4		Elect Jan Tore Fosund as New Member of Nominating Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item committee in the past.	ns is warranted,	, because of	a lack of c	ontroversy reg	garding the no	ominating				
		Blended Rationale: A vote FOR these items is in the past.	warranted, bec	ause of a lac	ck of contro	versy regardi	ing the nomina	ating commit	tee			

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
26		Approve Remuneration of Nominating Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the propose concern regarding the proposed fees. A vote For as nominating committee fees are usually model.	OR the propos	ed remunera	ation to nom	•						
		Blended Rationale: A vote FOR the proposed of concern regarding the proposed fees. A vote For as nominating committee fees are usually model.	OR the propos	ed remunera	ation to nom	,			ed			
27		Approve Equity Plan Financing	Mgmt	Yes	For	For		For	For	No	No	No
28		Authorize Share Repurchase Program and Cancellation of Repurchased Shares	Mgmt	Yes	For	For		For	For	No	No	No
29		Adjust Marketing Instructions for Equinor ASA	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails											
Institutional	l Account Detail A Number)	Custodian Account Number Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable S	hares	Shares '	Voted

jbisset

04/29/2022

1,000,000

1,000,000

Total Shares:

1,000,000

1,000,000

# Meeting Results

RARF, RARF

Outstanding Shares Voted: 3,220,843,601 Results Available: Full

Confirmed

jbisset

04/29/2022

392452

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	N												
2	N												
3	Y	Pass	No	For	0.0%	2,536,705,088	100.0%	6,027	0.0%	47,071	0.0%	Poll	F+A+AB
4	Y	Pass	No	For	0.0%	2,536,707,856	100.0%	8,875	0.0%	42,670	0.0%	Poll	F+A+AB
5	Υ	Pass	No	For	0.0%	2,536,705,926	100.0%	3,997	0.0%	48,965	0.0%	Poll	F+A+AB
6	Υ	Pass	No	For	0.2%	2,530,639,838	99.8%	5,341,370	0.2%	788,956	0.0%	Poll	F+A+AB
7	Υ	Pass	No	For	0.1%	2,533,776,724	99.9%	2,956,996	0.1%	36,444	0.0%	Poll	F+A+AB
8	Υ	Pass	No	For	0.0%	2,536,410,700	100.0%	301,382	0.0%	55,602	0.0%	Poll	F+A+AB
9	Υ	Pass	No	For	0.0%	2,536,674,863	100.0%	47,245	0.0%	48,056	0.0%	Poll	F+A+AB
10	Υ	Pass	No	For	3.4%	2,450,472,438	96.6%	62,161,369	2.5%	24,139,341	1.0%	Poll	F+A+AB
	N												
11	Υ	Fail	No	Against	3.7%	90,437,617	3.6%	2,443,590,800	96.3%	2,744,731	0.1%	Poll	F+A+AB
12	Υ	Fail	No	Against	2.0%	47,396,619	1.9%	2,486,934,699	98.0%	2,430,663	0.1%	Poll	F+A+AB
13	Υ	Fail	No	Against	0.4%	7,406,781	0.3%	2,527,006,005	99.6%	2,360,699	0.1%	Poll	F+A+AB
14	Y	Fail	No	Against	0.5%	10,319,854	0.4%	2,524,830,531	99.5%	1,623,100	0.1%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
15	Υ	Fail	No	Against	0.2%	4,069,882	0.2%	2,531,069,393	99.8%	1,634,210	0.1%	Poll	F+A+AB
16	Υ	Fail	No	Against	0.3%	7,161,796	0.3%	2,527,987,459	99.7%	1,624,230	0.1%	Poll	F+A+AB
17	Υ	Fail	No	Against	0.4%	9,250,131	0.4%	2,525,256,899	99.5%	2,264,724	0.1%	Poll	F+A+AB
18	Υ	Fail	No	Against	0.2%	4,870,803	0.2%	2,531,233,286	99.8%	667,665	0.0%	Poll	F+A+AB
19	Υ	Fail	No	Against	1.7%	39,596,952	1.6%	2,494,752,068	98.3%	2,422,295	0.1%	Poll	F+A+AB
	N												
20	Υ	Pass	No	For	0.2%	2,532,772,104	99.8%	3,933,202	0.2%	62,688	0.0%	Poll	F+A+AB
21	Υ	Pass	No	For	1.2%	2,505,342,255	98.8%	26,364,020	1.0%	5,060,741	0.2%	Poll	F+A+AB
22	Υ	Pass	No	For	0.1%	2,534,175,723	99.9%	2,510,642	0.1%	80,651	0.0%	Poll	F+A+AB
	N												
23	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
23.1	Υ	Pass	No	For	0.1%	2,533,669,489	99.9%	2,968,231	0.1%	111,773	0.0%	Poll	F+A+AB
23.2	Υ	Pass	No	For	0.0%	2,536,622,925	100.0%	15,607	0.0%	110,961	0.0%	Poll	F+A+AB
23.3	Υ	Pass	No	For	0.0%	2,536,622,307	100.0%	15,739	0.0%	111,447	0.0%	Poll	F+A+AB
23.4	Υ	Pass	No	For	0.0%	2,536,612,364	100.0%	34,257	0.0%	102,872	0.0%	Poll	F+A+AB
23.5	Υ	Pass	No	For	0.0%	2,536,620,795	100.0%	29,871	0.0%	98,827	0.0%	Poll	F+A+AB
23.6	Υ	Pass	No	For	0.0%	2,536,619,118	100.0%	31,743	0.0%	98,632	0.0%	Poll	F+A+AB
23.7	Υ	Pass	No	For	0.0%	2,536,613,171	100.0%	34,146	0.0%	102,176	0.0%	Poll	F+A+AB
23.8	Υ	Pass	No	For	0.0%	2,536,612,258	100.0%	25,456	0.0%	111,779	0.0%	Poll	F+A+AB
23.9	Υ	Pass	No	For	0.0%	2,536,621,921	100.0%	15,832	0.0%	111,740	0.0%	Poll	F+A+AB
23.10	Υ	Pass	No	For	0.0%	2,536,625,976	100.0%	21,390	0.0%	102,127	0.0%	Poll	F+A+AB
23.11	Υ	Pass	No	For	0.0%	2,536,626,186	100.0%	12,401	0.0%	110,906	0.0%	Poll	F+A+AB
23.12	Υ	Pass	No	For	0.0%	2,536,624,349	100.0%	23,463	0.0%	101,681	0.0%	Poll	F+A+AB
23.13	Υ	Pass	No	For	0.0%	2,536,128,163	100.0%	511,549	0.0%	109,781	0.0%	Poll	F+A+AB
23.14	Υ	Pass	No	For	0.0%	2,536,124,600	100.0%	523,216	0.0%	101,677	0.0%	Poll	F+A+AB
23.15	Y	Pass	No	For	0.0%	2,536,133,641	100.0%	504,550	0.0%	111,302	0.0%	Poll	F+A+AB
23.16	Y	Pass	No	For	0.0%	2,536,128,458	100.0%	519,291	0.0%	101,744	0.0%	Poll	F+A+AB
24	Y	Pass	No	For	0.0%	2,536,322,861	100.0%	326,026	0.0%	100,606	0.0%	Poll	F+A+AB
	N					_,,		5-1,1-1		,			
25	Y	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
25.1	Y	Pass	No	For	0.0%	2,536,364,192	100.0%	274,529	0.0%	110,772	0.0%	Poll	F+A+AB
25.2	Y	Pass	No	For	0.0%	2,536,363,488	100.0%	284,421	0.0%	101,584	0.0%	Poll	F+A+AB
25.3	Y	Pass	No	For	0.0%	2,536,358,109	100.0%	289,759	0.0%	101,625	0.0%	Poll	F+A+AB
25.4	Y	Pass	No	For	0.0%	2,536,366,985	100.0%	271,310	0.0%	111,198	0.0%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
26	Y	Pass	No	For	0.0%	2,536,630,967	100.0%	23,788	0.0%	94,738	0.0%	Poll	F+A+AB
27	Υ	Pass	No	For	0.7%	2,517,462,194	99.3%	17,164,585	0.7%	2,135	0.0%	Poll	F+A+AB
28	Υ	Pass	No	For	0.2%	2,532,764,776	99.8%	3,934,532	0.2%	62,474	0.0%	Poll	F+A+AB
29	Y	Pass	No	For	0.6%	2,522,660,842	99.4%	12,557,221	0.5%	1,543,719	0.1%	Poll	F+A+AB

### **Kinross Gold Corporation**

Meeting Date: 05/11/2022

Country: Canada

Ticker: K

Proxy Level: 3

**Record Date:** 03/16/2022

e: 03/16/2022 M

Meeting Type: Annual

**Meeting ID:** 1608213

Voting Policy: ISS

Primary SEDOL: B03Z841

**Primary Security ID:** 496902404

**Primary CUSIP:** 496902404

**Primary ISIN:** CA4969024047

Additional Policy:

**Earliest Cutoff Date:** 05/06/2022 **Votable Shares:** 20,000,000

Total Ballots: 1
\*Shares on Loan: 0

Shares Instructed: 20,000,000

Shares Voted: 20,000,000

votable Si	1ares: 20,000,0	"Snares on Loan: 0			Snares II	istructea: 20,	000,000		Shares voted	: 20,000,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Ian Atkinson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propo	sed nominees as	no significar	nt concerns	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all proposed i	nominees as no si	ignificant coi	ncerns have	been identifi	ed at this time				-	
1.2		Elect Director Kerry D. Dyte	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propo	sed nominees as	no significar	nt concerns i	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all proposed i	nominees as no si	ignificant coi	ncerns have	been identifi	ed at this time					
1.3		Elect Director Glenn A. Ives	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propo	sed nominees as	no significar	nt concerns i	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all proposed in	nominees as no si	ignificant coi	ncerns have	been identifi	ed at this time					
1.4		Elect Director Ave G. Lethbridge	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propo	sed nominees as	no significar	nt concerns i	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all proposed i	nominees as no si	ignificant coi	ncerns have	been identifi	ed at this time				-	
1.5		Elect Director Elizabeth D. McGregor	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propo	sed nominees as	no significar	nt concerns i	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all proposed i	nominees as no si	ignificant coi	ncerns have	been identifi	ed at this time				-	
1.6		Elect Director Catherine McLeod-Seltzer	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propo	sed nominees as	no significar	nt concerns i	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all proposed i	nominees as no si	ignificant coi	ncerns have	been identifi	ed at this time				-	
1.7		Elect Director Kelly J. Osborne	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propo	sed nominees as	no significar	nt concerns i	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all proposed i	nominees as no si	ignificant coi	ncerns have	been identifi	ed at this time				-	
1.8		Elect Director J. Paul Rollinson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propo	sed nominees as	no significar	nt concerns i	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all proposed in	nominees as no si	ignificant coi	ncerns have	been identifi	ed at this time				-	

### **Kinross Gold Corporation**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.9		Elect Director David A. Scott		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote F	OR all proposed	nominees as r	no significan	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR a	all proposed nom	inees as no sig	nificant con	cerns have	been identifie	ed at this time.					
2		Approve KPMG LLP as Auditor Authorize Board to Fix Their Remuneration	s and	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officer's Compensat		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutional (IA Name, I	Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
RARF, RARF		000392452	Confirmed	dnicoll		dnicoll				20,000,	000	20,000	0,000
				05/03/2022	!	05/03/20	22						

**Total Shares:** 

20,000,000

20,000,000

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	2.3%	818,552,633	97.7%	19,425,689	2.3%	N/A	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	1.6%	824,194,573	98.4%	13,783,748	1.6%	N/A	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.1%	828,957,429	98.9%	9,020,893	1.1%	N/A	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	1.9%	822,031,204	98.1%	15,947,117	1.9%	N/A	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	1.1%	828,610,027	98.9%	9,368,295	1.1%	N/A	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	11.1%	745,258,792	88.9%	92,719,530	11.1%	N/A	N/A	Proxy	F+A
1.7	Y	Pass	No	For	1.7%	823,834,258	98.3%	14,144,063	1.7%	N/A	N/A	Proxy	F+A
1.8	Y	Pass	No	For	1.1%	829,059,653	98.9%	8,918,668	1.1%	N/A	N/A	Proxy	F+A
1.9	Y	Pass	No	For	1.1%	829,143,995	98.9%	8,834,327	1.1%	N/A	N/A	Proxy	F+A
2	Y	Pass	No	For	2.9%	898,743,904	97.1%	26,884,653	2.9%	N/A	N/A	Proxy	F+A
3	Υ	Pass	No	For	9.4%	759,370,975	90.6%	78,737,593	9.4%	N/A	N/A	Proxy	F+A

### **Unibail-Rodamco-Westfield SE**

Meeting Date: 05/11/2022 Country: France Ticker: URW Proxy Level: N/A

Record Date: 05/09/2022 Meeting Type: Annual/Special Meeting ID: 1624834

 Primary Security ID: F95094581
 Primary CUSIP: F95094581
 Primary ISIN: FR0013326246
 Primary SEDOL: BFYM460

 Earliest Cutoff Date: 05/05/2022
 Total Ballots: 1
 Voting Policy: ISS
 Additional Policy:

 Votable Shares: 300,000
 \*Shares on Loan: 0
 Shares Instructed: 300,000
 Shares Voted: 300,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary Business	Mgmt	No								
1		Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approva and lack of concerns.	l of the annua	l accounts a	re warranted	due to the u	unqualified aud	ditors' opinio	n			
		Blended Rationale: Votes FOR the approval of t lack of concerns.	he annual acc	ounts are wa	arranted due	to the unqu	alified auditors	s' opinion and	d			
2		Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approva and lack of concerns.	l of the annua	l accounts a	re warranted	due to the l	unqualified aud	ditors' opinio	п			
		Blended Rationale: Votes FOR the approval of t lack of concerns.	he annual acco	ounts are wa	arranted due	to the unqu	alified auditors	s' opinion and	d			
3		Approve Allocation of Income and Absence of Dividends	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Auditors' Special Report on Related-Party Transactions	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Compensation Report of Jean-Marie Tritant, Chairman of the Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remui is difficult to ascertain the alignment between p closures of shopping centers on the company's that the CSR sub-criteria are challenging enoug weigh 10 percent of the LTI grant structure, wh concern.	nay and perform results. (Items h (Items 5-8).	mance regar ns 5-9). * Un The main re	rding the bor nder the 202. easons for su	nus payouts g 1 LTI grant, i upport are: *	given the impa it is difficult to The CSR subd	ct of the ascertain the criteria only				
		Blended Rationale: Votes FOR these remunerat difficult to ascertain the alignment between pay of shopping centers on the company's results. CSR sub-criteria are challenging enough (Items percent of the LTI grant structure, which limits	and performa (Items 5-9). * 5-8). The man	ance regardii Under the 2 in reasons fo	ing the bonus 2021 LTI gra or support ar	s payouts giv nt, it is diffica e: * The CSR	en the impact ult to ascertair R subcriteria or	of the closul of that that th only weigh 10	ne			
6		Approve Compensation of Olivier Bossard, Management Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remulis difficult to ascertain the alignment between pelosures of shopping centers on the company's that the CSR sub-criteria are challenging enougy weigh 10 percent of the LTT grant structure, who concern.  Blended Rationale: Votes FOR these remunerated difficult to ascertain the alignment between payof shopping centers on the company's results.  CSR sub-criteria are challenging enough (Items percent of the LTT grant structure, which limits percent of the LTT grant structure, which limits percent of the LTT grant structure, which limits	nay and perforn results. (Items th (Items 5-8), thich limits the sion reports are and performa (Items 5-9), * 5-8), The mai	mance regar ns 5-9). * Un The main re risk to rewar e warranted, ance regardin t Under the 2 in reasons fo	rding the bor oder the 202. easons for sur of failure. * although the although the 2021 LTI gra or support ar	nus payouts of the state of the	given the impa it is difficult to The CSR subc of any other s oncerns are ra en the impact ult to ascertain & subcriteria oi	ct of the ascertain the criteria only ignificant ised: * It is of the closue a that that the	at res e			

percent of the LTI grant structure, which limits the risk to reward failure. \* The absence of any other significant concern.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Approve Compensation of Fabrice Mouchel, Management Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remulis difficult to ascertain the alignment between puclosures of shopping centers on the company's that the CSR sub-criteria are challenging enoug weigh 10 percent of the LTI grant structure, who concern.	pay and perfor results. (Iten ah (Items 5-8).	mance regar ns 5-9). * Un . The main re	rding the boi nder the 202 neasons for st	nus payouts 1 LTI grant, upport are: 3	given the impa it is difficult to * The CSR sub	nct of the ascertain the criteria only				
		Blended Rationale: Votes FOR these remunerat difficult to ascertain the alignment between pay of shopping centers on the company's results. CSR sub-criteria are challenging enough (Items percent of the LTI grant structure, which limits	v and performa (Items 5-9). * 5 5-8). The ma	ance regardi Under the 2 in reasons fo	ng the bonu. 2021 LTI gra or support ar	s payouts giv ent, it is diffic re: * The CSi	ven the impact cult to ascertain R subcriteria on	of the closul on that that th only weigh 10	ne			
8		Approve Compensation of Astrid Panosyan, Management Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remui is difficult to ascertain the alignment between p closures of shopping centers on the company's that the CSR sub-criteria are challenging enoug weigh 10 percent of the LTI grant structure, wh concern.	pay and perfor results. (Iten nh (Items 5-8).	mance regal ns 5-9). * Un The main re	rding the boi nder the 202 easons for st	nus payouts 1 LTI grant, upport are: *	given the impa it is difficult to * The CSR subd	nct of the ascertain the criteria only				
		Blended Rationale: Votes FOR these remunerat difficult to ascertain the alignment between pay of shopping centers on the company's results. CSR sub-criteria are challenging enough (Items percent of the LTI grant structure, which limits	v and performa (Items 5-9). * 5 5-8). The ma	ance regardi Under the 2 in reasons fo	ng the bonu. 2021 LTI gra or support ar	s payouts giv ent, it is diffic re: * The CSi	ven the impact cult to ascertain R subcriteria on	of the closul on that that th only weigh 10	ne			
9		Approve Compensation of Caroline Puechoultres, Management Board Member Since 15 July 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remulis difficult to ascertain the alignment between particles of shopping centers on the company's that the CSR sub-criteria are challenging enougweigh 10 percent of the LTI grant structure, who concern.	pay and perfor results. (Iten nh (Items 5-8).	mance regar ns 5-9). * Un . The main re	rding the boi nder the 202 easons for si	nus payouts 1 LTI grant, upport are: 3	given the impa it is difficult to * The CSR subd	nct of the ascertain the criteria only				
		Blended Rationale: Votes FOR these remunerat difficult to ascertain the alignment between pay of shopping centers on the company's results. CSR sub-criteria are challenging enough (Items percent of the LTI grant structure, which limits	v and performa (Items 5-9). * 5 5-8). The ma	ance regardi Under the 2 in reasons fo	ng the bonu. 2021 LTI gra or support ar	s payouts giv ent, it is diffic re: * The CSi	ven the impact cult to ascertain R subcriteria on	of the closul on that that th only weigh 10	ne			
10		Approve Compensation of Leon Bressler, Chairman of the Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
11		Approve Compensation Report of Corporate Officers	Mgmt	Yes	For	For		For	For	No	No	No

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12		Approve Remuneration Policy of Chairman of the Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this remunthe proposed remuneration policy, the LTI targeould reduce the attractivity for the executives would allow full payment when reaching the lethe no-pay-for-failure principle. The main reascompared to last year's remuneration. * The all	et level would to overperform vel of 75 perce ons for support	increase wh m. * The per ent of achieve t are: * The	ile the max formance c ement. As s proposed re	r LTI grant wo riteria under t such, it does i emuneration j	ould decrease. the termination not seem fully	This change n agreement aligned with				
		Blended Rationale: A vote FOR this remuneration proposed remuneration policy, the LTI target le reduce the attractivity for the executives to over allow full payment when reaching the level of it no-pay-for-failure principle. The main reasons to compared to last year's remuneration. * The all	evel would incr erperform. * Ti 75 percent of a for support are	rease while to the performat achievement. e: * The prop	he max LTI nce criteria As such, it nosed remu	grant would under the ter does not see neration pack	decrease. This mination agree om fully aligned	change coul ement would with the	ld			
13		Approve Remuneration Policy of Management Board Members	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this remunthe proposed remuneration policy, the LTI targ could reduce the attractivity for the executives would allow full payment when reaching the lethe no-pay-for-failure principle. The main reascompared to last year's remuneration. * The all	et level would to overperform vel of 75 perce ons for support	increase wh m. * The per ent of achieve t are: * The	ile the max formance c ement. As s proposed re	LTI grant wo riteria under t such, it does i emuneration p	ould decrease. the termination not seem fully	This change n agreement aligned with				
		Blended Rationale: A vote FOR this remuneration proposed remuneration policy, the LTI target le reduce the attractivity for the executives to over allow full payment when reaching the level of it no-pay-for-failure principle. The main reasons to compared to last year's remuneration. * The all	evel would increrperform. * To 75 percent of a for support are	rease while to the performato tochievement. to: * The prop	he max LTI nce criteria As such, it posed remu	grant would under the ter does not see neration pack	decrease. This mination agree om fully aligned	change coul ement would with the	ld			
14		Approve Remuneration Policy of Supervisory Board Members	Mgmt	Yes	For	For		For	For	No	No	No
15		Reelect Julie Avrane as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the reelect concerns (Items 15, 17-18). * The number of c guidelines. Nevertheless, her overboarded posi- position in Danone. As such, her reelection was	outside manda tion will cease	tes held by C by end of Ju	Cecile Caba	nis is in exces	s of recommer	nded				
		Blended Rationale: * Votes FOR the reelections concerns (Items 15, 17-18). * The number of c guidelines. Nevertheless, her overboarded position in Danone. As such, her reelection was	outside manda tion will cease	tes held by C by end of Ju	Cecile Caba	nis is in exces	s of recommer	nded				
16		Reelect Cecile Cabanis as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the reelect concerns (Items 15, 17-18). * The number of c guidelines. Nevertheless, her overboarded posi- position in Danone. As such, her reelection was	outside manda tion will cease	tes held by C by end of Ju	Cecile Caba	nis is in exces	s of recommer	nded				
		Blended Rationale: * Votes FOR the reelections concerns (Items 15, 17-18). * The number of c guidelines. Nevertheless, her overboarded position in Danone. As such, her reelection wan	outside manda tion will cease	tes held by ( by end of Ju	Cecile Caba	nis is in exces	s of recommen	nded				

Proposal Significant Number Vote	: Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
17	Reelect Dagmar Kollmann as Supervisory Board Member	5	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: * Vo concerns (Items 15, 17-18). guidelines. Nevertheless, her position in Danone. As such,	* The number of a overboarded pos	outside manda ition will cease	tes held by o by end of Jo	Cecile Caba	nis is in exces	s of recommer	nded				
	Blended Rationale: * Votes F concerns (Items 15, 17-18). guidelines. Nevertheless, her position in Danone. As such,	* The number of a overboarded pos	outside manda ition will cease	tes held by o by end of Jo	Cecile Caba	nis is in exces	s of recommer	nded				
18	Appoint Michel Dessolain as Supervisory Board Member		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: * Vo. concerns (Items 15, 17-18). guidelines. Nevertheless, her position in Danone. As such,	* The number of a overboarded pos	outside manda ition will cease	tes held by o by end of Jo	Cecile Caba	nis is in exces	s of recommer	nded .				
	Blended Rationale: * Votes F concerns (Items 15, 17-18). guidelines. Nevertheless, her position in Danone. As such,	* The number of a overboarded pos	outside manda ition will cease	tes held by o by end of Jo	Cecile Caba	nis is in exces	s of recommer	nded				
19	Authorize Repurchase of Up Percent of Issued Share Cap		Mgmt	Yes	For	For		For	For	No	No	No
	Extraordinary Business		Mgmt	No								
20	Authorize Decrease in Share via Cancellation of Repurcha Shares		Mgmt	Yes	For	For		For	For	No	No	No
21	Authorize Capital Issuances Employee Stock Purchase Pla		Mgmt	Yes	For	For		For	For	No	No	No
22	Authorize up to 2 Percent of Capital for Use in Stock Option		Mgmt	Yes	For	For		For	For	No	No	No
23	Authorize up to 1.8 Percent of Capital for Use in Restricted Plans		Mgmt	Yes	For	For		For	For	No	No	No
	Ordinary Business		Mgmt	No								
24	Authorize Filing of Required Documents/Other Formalitie	s	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Deta (IA Name, IA Number)	il Custodian Account Number	Ballot Status	Instructed	i	Approv	red .	Ballot Voting	Status	Votable S	hares	Shares	Voted
RARF, RARF	392452	Confirmed	jbisset		jbisset				300,0	00	300,	000
			04/28/2022	2	04/28/2	1022						
								Total Shares:				_

#### **Meeting Results**

Outstanding Shares Voted: 138,759,659 Results Available: Full

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Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Type	Base
	-		-						-				

N

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	87,002,272	100.0%	5,289	0.0%	395,480	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	87,002,366	100.0%	5,298	0.0%	395,377	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	87,339,337	100.0%	38	0.0%	26	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	87,358,540	100.0%	21,788	0.0%	22,713	N/A	Poll	F+A
5	Υ	Pass	No	For	6.7%	81,530,210	93.3%	5,842,792	6.7%	30,039	N/A	Poll	F+A
6	Y	Pass	No	For	6.8%	81,416,884	93.2%	5,959,784	6.8%	26,373	N/A	Poll	F+A
7	Υ	Pass	No	For	6.4%	81,777,517	93.6%	5,598,806	6.4%	26,718	N/A	Poll	F+A
8	Υ	Pass	No	For	6.4%	81,765,256	93.6%	5,610,897	6.4%	26,888	N/A	Poll	F+A
9	Υ	Pass	No	For	5.5%	82,588,303	94.5%	4,789,248	5.5%	25,490	N/A	Poll	F+A
10	Υ	Pass	No	For	0.2%	87,150,886	99.8%	207,063	0.2%	45,092	N/A	Poll	F+A
11	Υ	Pass	No	For	5.9%	82,234,577	94.1%	5,120,923	5.9%	47,541	N/A	Poll	F+A
12	Υ	Pass	No	For	6.7%	81,316,503	93.3%	5,859,599	6.7%	226,939	N/A	Poll	F+A
13	Υ	Pass	No	For	6.7%	81,316,701	93.3%	5,859,272	6.7%	227,068	N/A	Poll	F+A
14	Υ	Pass	No	For	2.5%	85,219,583	97.5%	2,153,859	2.5%	29,599	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	87,305,220	99.9%	73,609	0.1%	24,212	N/A	Poll	F+A
16	Υ	Pass	No	For	12.4%	76,545,485	87.6%	10,833,518	12.4%	24,038	N/A	Poll	F+A
17	Y	Pass	No	For	6.3%	81,876,261	93.7%	5,502,570	6.3%	24,210	N/A	Poll	F+A
18	Υ	Pass	No	For	6.6%	81,635,478	93.4%	5,739,091	6.6%	28,472	N/A	Poll	F+A
19	Υ	Pass	No	For	1.5%	86,077,793	98.5%	1,273,435	1.5%	51,813	N/A	Poll	F+A
	N												
20	Υ	Pass	No	For	3.0%	84,759,705	97.0%	2,630,612	3.0%	16,792	N/A	Poll	F+A
21	Υ	Pass	No	For	3.7%	84,147,778	96.3%	3,235,978	3.7%	23,353	N/A	Poll	F+A
22	Υ	Pass	No	For	4.8%	83,142,953	95.2%	4,225,764	4.8%	38,392	N/A	Poll	F+A
23	Υ	Pass	No	For	5.3%	82,746,944	94.7%	4,622,070	5.3%	38,095	N/A	Poll	F+A
	N												
24	Y	Pass	No	For	0.0%	87,333,736	100.0%	26,923	0.0%	42,382	N/A	Poll	F+A

### **Balfour Beatty Plc**

Meeting Date: 05/12/2022 Record Date: 05/10/2022 Primary Security ID: G3224V108 Country: United Kingdom
Meeting Type: Annual
Primary CUSIP: G3224V108

Ticker: BBY

**Meeting ID:** 1630189

**Primary ISIN:** GB0000961622

Proxy Level: N/A

Primary SEDOL: 0096162

# **Balfour Beatty Plc**

Earliest Cutoff Date: 05/09/2022

Total Ballots: 1

Voting Policy: ISS

Additional Policy:

Votable Shares: 3,355,435

\*Shares on Loan: 0

Shares Instructed: 3,355,435

Shares Voted: 3,355,435

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
4		Elect Charles Allen, Lord of Kensington as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
5		Elect Louise Hardy as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
6		Re-elect Stephen Billingham as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant con	cerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
7		Re-elect Stuart Doughty as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant con	cerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
8		Re-elect Philip Harrison as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant con	cerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
9		Re-elect Michael Lucki as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant con	cerns have b	been identified	,				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
10		Re-elect Barbara Moorhouse as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant con	cerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
11		Re-elect Leo Quinn as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant con	cerns have b	peen identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
12		Re-elect Anne Drinkwater as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant con	cerns have b	peen identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
13		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
14		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Balfour Beatty Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
15		Authorise UK Political Donation	ons and	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	e FOR these resor	lutions is warra	anted becaus	se the prop	osed amounts	and durations	s are within				
		Blended Rationale: A vote FOI recommended limits.	R these resolution	ns is warranted	d because the	e proposed	l amounts and	durations are	within				
17		Authorise Issue of Equity with Pre-emptive Rights	nout	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	e FOR these resor	lutions is warra	anted becaus	se the prop	osed amounts	and durations	s are within				
		Blended Rationale: A vote FOI recommended limits.	R these resolution	ns is warranted	d because the	e proposed	amounts and	durations are	within				
18		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise the Company to Ca Meeting with Two Weeks' No		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	d	Approv	red	Ballot Voting	Status	Votable S	hares	Shares	Voted
RARF, RARF		392452	Confirmed	jcoster		jcoster				3,355,4	435	3,355	,435
				05/03/2022	2	05/03/2	022						

Total Shares:

3,355,435

3,355,435

#### **Meeting Results**

Outstanding Shares Voted: 631,036,860 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	491,440,184	100.0%	6,709	0.0%	4,942,482	N/A	Poll	F+A
2	Υ	Pass	No	For	6.4%	464,255,659	93.6%	31,798,975	6.4%	42,544	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	496,073,589	100.0%	1,585	0.0%	22,004	N/A	Poll	F+A
4	Υ	Pass	No	For	11.0%	427,209,135	89.0%	52,773,938	11.0%	16,114,105	N/A	Poll	F+A
5	Υ	Pass	No	For	0.4%	493,917,992	99.6%	2,135,772	0.4%	43,414	N/A	Poll	F+A
6	Υ	Pass	No	For	1.7%	487,499,559	98.3%	8,574,815	1.7%	22,804	N/A	Poll	F+A
7	Υ	Pass	No	For	2.6%	483,116,738	97.4%	12,952,926	2.6%	27,514	N/A	Poll	F+A
8	Υ	Pass	No	For	0.2%	495,178,324	99.8%	895,036	0.2%	23,818	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	495,976,613	100.0%	97,225	0.0%	23,340	N/A	Poll	F+A
10	Υ	Pass	No	For	1.7%	487,734,083	98.3%	8,630,958	1.7%	24,334	N/A	Poll	F+A
11	Υ	Pass	No	For	0.0%	496,263,191	100.0%	102,366	0.0%	23,818	N/A	Poll	F+A
12	Y	Pass	No	For	0.0%	496,254,814	100.0%	108,008	0.0%	26,553	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
13	Y	Pass	No	For	5.3%	469,925,333	94.7%	26,380,937	5.3%	83,105	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	496,321,316	100.0%	37,376	0.0%	30,683	N/A	Poll	F+A
15	Υ	Pass	No	For	2.1%	485,791,733	97.9%	10,573,191	2.1%	24,451	N/A	Poll	F+A
16	Υ	Pass	No	For	3.0%	481,424,843	97.0%	14,940,401	3.0%	24,131	N/A	Poll	F+A
17	Υ	Pass	No	For	1.0%	491,582,337	99.0%	4,779,975	1.0%	27,063	N/A	Poll	F+A
18	Υ	Pass	No	For	5.4%	468,543,841	94.6%	26,494,542	5.4%	1,350,992	N/A	Poll	F+A
19	Υ	Pass	No	For	4.1%	475,807,727	95.9%	20,264,440	4.1%	1,706,306	N/A	Poll	F+A

#### **BP Plc**

**Meeting Date:** 05/12/2022

Earliest Cutoff Date: 05/09/2022

Votable Shares: 43,563,500

**Record Date:** 05/10/2022 Primary Security ID: G12793108 Country: United Kingdom Meeting Type: Annual

\*Shares on Loan: 0

Primary CUSIP: G12793108

**Total Ballots:** 1

Ticker: BP

Meeting ID: 1622524

**Primary ISIN:** GB0007980591

Voting Policy: ISS Shares Instructed: 43,563,500 Proxy Level: N/A

Primary SEDOL: 0798059

**Additional Policy:** Shares Voted: 43,563,500

						,	,			,,		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Management Proposals	Mgmt	No								
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Net Zero - From Ambition to Action Report	Mgmt	Yes	For	For		For	For	No	No	No
4		Re-elect Helge Lund as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	ndidates is cons	idered warra	nted, as no	material con	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candida	ntes is considere	ed warranted,	, as no mate	erial concerns	s have been ide	entified.				
5		Re-elect Bernard Looney as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	ndidates is cons	idered warra	nted, as no	material con	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candida	ntes is considere	d warranted	, as no mate	erial concerns	s have been ide	entified.				
6		Re-elect Murray Auchincloss as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these call	ndidates is cons	idered warra	nted, as no	material con	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candida	ntes is considere	ed warranted	, as no mate	erial concerns	have been ide	entified.				
7		Re-elect Paula Reynolds as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	ndidates is cons	idered warra	nted, as no	material con	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified.										
8		Re-elect Pamela Daley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	ndidates is cons	idered warra	nted, as no	material con	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candida	ntes is considere	ed warranted	, as no mate	erial concerns	s have been ide	entified.				

### **BP Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Re-elect Melody Meyer as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	idered warra	nted, as no i	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate.	rial concerns	have been ide	entified.				
10		Re-elect Sir John Sawers as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	dered warra	nted, as no i	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	s have been ide	entified.				
11		Re-elect Tushar Morzaria as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	dered warra	nted, as no i	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	s have been ide	entified.				
12		Re-elect Karen Richardson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	dered warra	nted, as no i	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	s have been ide	entified.				
13		Re-elect Johannes Teyssen as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	dered warra	nted, as no i	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	s have been ide	entified.				
14		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve ShareMatch UK Plan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	considered w	arranted, as	no material	concerns ha	ve been identi	fied.				
		Blended Rationale: A vote FOR this item is cons	sidered warran	nted, as no n	naterial conc	erns have be	een identified.					
17		Approve Sharesave UK Plan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	considered w	arranted, as	no material	concerns ha	ve been identi	fied.				
		Blended Rationale: A vote FOR this item is cons	sidered warran	nted, as no n	naterial conc	erns have be	een identified.					
18		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.										
		Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.										
20		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reson recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within				

#### **BP Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
21		Authorise Issue of Equity wit Pre-emptive Rights in Conne an Acquisition or Other Capit Investment	ction with	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vot recommended limits.	e FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	s are within				
		Blended Rationale: A vote FO recommended limits.	R these resolution	ns is warranted	l because the	e proposed a	amounts and	durations are	within				
22		Authorise Market Purchase o Shares	f Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorise the Company to Ca Meeting with Two Weeks' No		Mgmt	Yes	For	For		For	For	No	No	No
		Shareholder Proposal		Mgmt	No								
24		Approve Shareholder Resolut Climate Change Targets	tion on	SH	Yes	Against	Against		Against	Against	No	No	No
Ballot Deta	ails												
Institutional (IA Name, I	l Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	ı	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
RARF, RARF		392452	Confirmed	dnicoll		dnicoll				43,563,	500	43,563	3,500
				05/04/2022		05/04/202	22						
									Total Shares:	43,563,	500	43,563	3,500

#### **Meeting Results**

Outstanding Shares Voted: 19,522,131,901 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.4%	11,888,811,888	99.6%	43,967,714	0.4%	53,028,472	N/A	Poll	F+A
2	Υ	Pass	No	For	5.6%	11,117,563,056	94.4%	665,022,090	5.6%	203,221,922	N/A	Poll	F+A
3	Υ	Pass	No	For	11.5%	10,243,411,197	88.5%	1,327,625,024	11.5%	414,773,596	N/A	Poll	F+A
4	Υ	Pass	No	For	3.4%	11,522,425,313	96.6%	410,700,046	3.4%	52,672,313	N/A	Poll	F+A
5	Υ	Pass	No	For	1.3%	11,782,025,805	98.7%	156,794,718	1.3%	46,983,844	N/A	Poll	F+A
6	Υ	Pass	No	For	1.2%	11,791,571,084	98.8%	145,700,050	1.2%	48,544,835	N/A	Poll	F+A
7	Υ	Pass	No	For	4.6%	11,388,720,684	95.4%	547,004,247	4.6%	50,091,676	N/A	Poll	F+A
8	Υ	Pass	No	For	1.3%	11,775,967,983	98.7%	159,440,873	1.3%	50,404,614	N/A	Poll	F+A
9	Υ	Pass	No	For	2.1%	11,571,670,836	97.9%	247,989,413	2.1%	166,155,721	N/A	Poll	F+A
10	Υ	Pass	No	For	3.0%	11,572,408,331	97.0%	362,567,294	3.0%	50,842,596	N/A	Poll	F+A
11	Υ	Pass	No	For	1.2%	11,795,665,999	98.8%	139,322,205	1.2%	50,827,765	N/A	Poll	F+A
12	Υ	Pass	No	For	9.6%	10,794,224,268	90.4%	1,141,764,905	9.6%	49,826,797	N/A	Poll	F+A
13	Υ	Pass	No	For	1.6%	11,748,126,515	98.4%	186,660,015	1.6%	51,029,439	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
14	Υ	Pass	No	For	0.3%	11,908,406,408	99.7%	37,233,981	0.3%	40,185,810	N/A	Poll	F+A
15	Υ	Pass	No	For	0.4%	11,890,464,940	99.6%	43,701,452	0.4%	51,657,005	N/A	Poll	F+A
16	Υ	Pass	No	For	0.8%	11,833,757,590	99.2%	91,540,475	0.8%	60,531,313	N/A	Poll	F+A
17	Υ	Pass	No	For	1.1%	11,798,066,613	98.9%	126,207,453	1.1%	61,543,949	N/A	Poll	F+A
18	Υ	Pass	No	For	2.4%	11,638,254,421	97.6%	292,192,818	2.4%	55,370,527	N/A	Poll	F+A
19	Υ	Pass	No	For	4.8%	11,365,262,872	95.2%	567,087,208	4.8%	53,479,307	N/A	Poll	F+A
20	Υ	Pass	No	For	1.3%	11,750,475,006	98.7%	153,527,693	1.3%	81,825,689	N/A	Poll	F+A
21	Υ	Pass	No	For	3.7%	11,463,506,845	96.3%	441,630,811	3.7%	80,690,782	N/A	Poll	F+A
22	Υ	Pass	No	For	1.6%	11,746,635,916	98.4%	188,156,921	1.6%	51,031,941	N/A	Poll	F+A
23	Υ	Pass	No	For	7.8%	11,014,542,918	92.2%	929,145,322	7.8%	42,142,449	N/A	Poll	F+A
	N												
24	Υ	Fail	Yes	Against	14.9%	1,765,833,694	14.9%	10,118,016,838	85.1%	101,979,681	N/A	Poll	F+A

## **Lloyds Banking Group Plc**

Meeting Date: 05/12/2022 **Record Date:** 05/10/2022

Country: United Kingdom

Ticker: LLOY

Proxy Level: N/A

Primary Security ID: G5533W248

Meeting Type: Annual Primary CUSIP: G5533W248

**Meeting ID:** 1611399 Primary ISIN: GB0008706128

Shares Instructed: 75,000,000

Primary SEDOL: 0870612

Earliest Cutoff Date: 05/09/2022

Votable Shares: 75,000,000

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS

**Additional Policy:** Shares Voted: 75,000,000

		***************************************					,			,,		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Elect Harmeen Mehta as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these dire	ectors is consid	ered warrant	ted, as no m	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these director	s is considered	warranted, a	as no materi	al concerns h	ave been iden	tified.				
3		Elect Charlie Nunn as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these dire	ectors is conside	ered warrant	ted, as no m	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these director	s is considered	warranted, a	as no materi	al concerns h	ave been iden	tified.				
4		Re-elect Robin Budenberg as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these dire	ectors is consid	ered warrant	ted, as no m	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these director	s is considered	warranted, a	as no materi	al concerns h	ave been iden	tified.				
5		Re-elect William Chalmers as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these dire	ectors is consid	ered warrant	ted, as no m	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these director	s is considered	warranted, a	as no materi	al concerns h	ave been iden	tified.				
6		Re-elect Alan Dickinson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these dire	ectors is conside	ered warrant	ted, as no m	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these director	s is considered	warranted, a	as no materi	al concerns h	ave been iden	tified.				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Lloyds Banking Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Re-elect Sarah Legg as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these dire	ectors is conside	ered warrant	ted, as no n	naterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	s is considered	warranted, a	as no mater	ial concerns h	nave been iden	tified.				
8		Re-elect Lord Lupton as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these dire	ectors is conside	ered warrant	ted, as no n	naterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	s is considered	warranted, a	as no mater	ial concerns h	ave been iden	tified.				
9		Re-elect Amanda Mackenzie as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these dire	ectors is conside	ered warrant	ted, as no n	naterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	s is considered	warranted, a	as no mater	ial concerns h	nave been iden	tified.				
10		Re-elect Catherine Woods as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these dire	ectors is conside	ered warrant	ted, as no n	naterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	s is considered	warranted, a	as no mater	ial concerns h	nave been iden	tified.				
11		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
12		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
13		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
14		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Approve Share Incentive Plan	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these rest recommended limits.	olutions is warr	anted becau	se the prop	osed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ons is warranted	d because th	e proposed	amounts and	durations are	within				
18		Authorise Issue of Equity in Relation to the Issue of Regulatory Capital Convertible Instruments	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these rest the Company were to issue Regulatory Capita convert into ordinary shares, this would result support are: * Such authorities are common p and * The conversion into ordinary shares wo weakness well beyond the Company's current	l Convertible In t in significant o proposals at UK puld require the	nstruments a dilution to no banks and a CET1 ratio t	nd a trigger n-participat are intendec to fall to a le	r event were t ting sharehold I to apply in e evel which wo	to occur, causia ders. The main extreme circum	ng them to reasons for astances only	V;			
		Blended Rationale: A vote FOR these resolution Company were to issue Regulatory Capital Company were to issue Regulatory Capital Company shares, this would result in significant are: * Such authorities are common proposals conversion into ordinary shares would require well beyond the Company's current position as	nvertible Instru ficant dilution to s at UK banks a o the CET1 ratio	nments and a to non-partice and are intend to fall to a l	a trigger eve ipating shar ded to appl level which	ent were to od reholders. The ly in extreme d	ccur, causing to main reasons circumstances	hem to conv for support only; and *	rert The		-	
19		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these rest recommended limits.	olutions is warr	anted becau	se the prop	osed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ons is warranted	d because th	e proposed	amounts and	durations are	within				
-1-01			5/									

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## Lloyds Banking Group Plc

Lioya	s Bank	ing Group Pic											
Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
20		Authorise Issue of Equity with Pre-emptive Rights in Connect an Acquisition or Other Capital Investment	ion with	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becau	se the prop	oosed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because th	e proposed	d amounts and	durations are	within				
21		Authorise Issue of Equity with Pre-Emptive Rights in Relation Issue of Regulatory Capital Co Instruments	to the	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote the Company were to issue Reconvert into ordinary shares, the support are: * Such authorities and * The conversion into ordinal weakness well beyond the Com	gulatory Capital nis would result are common p nary shares wou	Convertible In in significant of roposals at UK uld require the	struments a lilution to no banks and a CET1 ratio t	nd a trigge n-participa are intende to fall to a l	r event were t ting sharehold d to apply in e level which wo	to occur, causii lers. The main extreme circum	ng them to reasons for estances only	;			
		Blended Rationale: A vote FOR Company were to issue Regula into ordinary shares, this would are: * Such authorities are con conversion into ordinary shares well beyond the Company's cui	tory Capital Cor I result in signif Inmon proposals I would require	overtible Instru icant dilution to at UK banks a the CET1 ratio	ments and a non-partic nd are inten to fall to a l	n trigger ev ipating sha ded to app evel which	ent were to od reholders. The ly in extreme d	ccur, causing to main reasons circumstances	hem to conve for support only; and *	ert The			
22		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorise Market Purchase of Preference Shares		Mgmt	Yes	For	For		For	For	No	No	No
24		Authorise the Company to Call Meeting with Two Weeks' Noti		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	nils												
Institutional (IA Name, IA	Account Detail A Number)	Custodian Account Number	Ballot Status	Instructe	d	Approv	ved	Ballot Voting	Status	Votable S	hares	Shares	Voted
RARF, RARF		392452	Confirmed	dnicoll		dnicoll				75,000,	000	75,000	0,000
				05/03/2022	2	05/03/2	2022						
									Total Shares	75.000		75.000	

(IA Name, IA Number)	Account Number	<b>Ballot Status</b>	Instructed	Approved	<b>Ballot Voting Status</b>	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	dnicoll	dnicoll		75,000,000	75,000,000
			05/03/2022	05/03/2022	_		
					= Total Shares:	75,000,000	75,000,000

### **Meeting Results**

Outstanding Shares Voted: 69,445,479,613 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	44,489,826,013	100.0%	13,124,412	0.0%	N/A	N/A	Poll	F+A
2	Υ	Pass	No	For	3.5%	42,679,074,026	96.5%	1,526,118,513	3.5%	N/A	N/A	Poll	F+A
3	Υ	Pass	No	For	0.4%	44,446,739,453	99.6%	178,595,142	0.4%	N/A	N/A	Poll	F+A
4	Υ	Pass	No	For	4.2%	42,557,827,339	95.8%	1,887,280,081	4.2%	N/A	N/A	Poll	F+A
5	Υ	Pass	No	For	1.4%	44,021,312,867	98.6%	604,451,363	1.4%	N/A	N/A	Poll	F+A
6	Y	Pass	No	For	0.5%	44,396,388,893	99.5%	228,650,918	0.5%	N/A	N/A	Poll	F+A

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Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
7	Y	Pass	No	For	0.4%	44,440,992,370	99.6%	184,736,463	0.4%	N/A	N/A	Poll	F+A
8	Υ	Pass	No	For	0.4%	44,424,470,749	99.6%	200,139,304	0.4%	N/A	N/A	Poll	F+A
9	Υ	Pass	No	For	0.4%	44,441,576,917	99.6%	183,875,179	0.4%	N/A	N/A	Poll	F+A
10	Υ	Pass	No	For	0.4%	44,441,173,490	99.6%	183,961,935	0.4%	N/A	N/A	Poll	F+A
11	Υ	Pass	No	For	3.9%	42,141,827,602	96.1%	1,723,549,390	3.9%	N/A	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	44,626,273,712	100.0%	15,562,423	0.0%	N/A	N/A	Poll	F+A
13	Υ	Pass	No	For	0.2%	44,567,182,226	99.8%	67,473,360	0.2%	N/A	N/A	Poll	F+A
14	Υ	Pass	No	For	0.1%	44,566,553,055	99.9%	35,636,915	0.1%	N/A	N/A	Poll	F+A
15	Υ	Pass	No	For	1.5%	43,952,820,702	98.5%	667,668,792	1.5%	N/A	N/A	Poll	F+A
16	Υ	Pass	No	For	3.4%	43,118,721,808	96.6%	1,526,048,441	3.4%	N/A	N/A	Poll	F+A
17	Υ	Pass	No	For	6.4%	41,790,170,341	93.6%	2,841,926,082	6.4%	N/A	N/A	Poll	F+A
18	Υ	Pass	No	For	1.8%	43,801,188,711	98.2%	816,529,534	1.8%	N/A	N/A	Poll	F+A
19	Υ	Pass	No	For	1.5%	43,942,204,037	98.5%	669,308,541	1.5%	N/A	N/A	Poll	F+A
20	Υ	Pass	No	For	4.0%	42,822,618,963	96.0%	1,788,152,127	4.0%	N/A	N/A	Poll	F+A
21	Υ	Pass	No	For	2.9%	43,337,756,120	97.1%	1,271,845,082	2.9%	N/A	N/A	Poll	F+A
22	Υ	Pass	No	For	1.5%	43,934,510,487	98.5%	686,976,512	1.5%	N/A	N/A	Poll	F+A
23	Υ	Pass	No	For	0.9%	44,229,925,104	99.1%	386,470,267	0.9%	N/A	N/A	Poll	F+A
24	Y	Pass	No	For	6.0%	41,973,380,355	94.0%	2,655,902,499	6.0%	N/A	N/A	Poll	F+A

## **Wheaton Precious Metals Corp.**

Meeting Date: 05/13/2022 **Record Date:** 03/18/2022

**Primary Security ID:** 962879102

Earliest Cutoff Date: 05/10/2022 Votable Shares: 2,350,000

Country: Canada

Meeting Type: Annual/Special

**Primary CUSIP:** 962879102

Total Ballots: 1 \*Shares on Loan: 0 Ticker: WPM

Voting Policy: ISS

Meeting ID: 1607614

**Primary ISIN:** CA9628791027

Shares Instructed: 2,350,000

Proxy Level: 3

Primary SEDOL: BF13KN5

**Additional Policy:** Shares Voted: 2,350,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director George L. Brack	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significan	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have l	been identifie	ed at this time.					
1.2		Elect Director John A. Brough	Mgmt	Yes	For	For		For	Withhold	Yes	Yes	Yes
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significan	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have l	been identifie	ed at this time.					
1.3		Elect Director Jaimie Donovan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significan	t concerns h	ave been ide	entified at this	time.				

Blended Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.

## **Wheaton Precious Metals Corp.**

Proposal Number	Significant Vote	Proposal Text	•	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.4		Elect Director R. Peter Gillin		Mgmt	Yes	For	For		For	Withhold	Yes	Yes	Yes
		Voting Policy Rationale: Vote FO.	R all proposed	nominees as r	no significan	t concerns h	ave been ide	ntified at this t	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have L	been identifie	d at this time.					
1.5		Elect Director Chantal Gosselin		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FO.	R all proposed	nominees as r	no significan	t concerns h	ave been ide	ntified at this t	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have L	been identifie	d at this time.					
1.6		Elect Director Glenn Ives		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FO	R all proposed	nominees as r	no significan	t concerns h	ave been ide	ntified at this t	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have L	been identifie	d at this time.					
1.7		Elect Director Charles A. Jeanne	S	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FO	R all proposed	nominees as r	no significan	t concerns h	ave been ide	ntified at this t	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have L	been identifie	d at this time.					
1.8		Elect Director Eduardo Luna		Mgmt	Yes	For	For		For	Withhold	Yes	Yes	Yes
		Voting Policy Rationale: Vote FO											
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have L	been identifie	d at this time.					
1.9		Elect Director Marilyn Schonberr	ner	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FO	R all proposed	nominees as r	no significan	t concerns h	ave been ide	ntified at this t	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have L	been identifie	d at this time.					
1.10		Elect Director Randy V.J. Smallv	vood	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FO	R all proposed	nominees as r	no significan	t concerns h	ave been ide	ntified at this t	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have L	been identifie	d at this time.					
2		Approve Deloitte LLP as Auditor Authorize Board to Fix Their Remuneration	s and	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote on Executive Compensation Approach		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detai	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable Si	hares	Shares '	Voted
RARF, RARF		000392452	Confirmed	jbisset		jbisset				2,350,0	000	2,350	,000
				05/05/2022	2	05/05/20	22						
									Total Shares:	2,350,0	000	2,350	,000

### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Υ	Pass	No	For	13.3%	279,843,827	86.7%	42,799,191	13.3%	N/A	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	10.1%	289,923,537	89.9%	32,719,481	10.1%	N/A	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.3	Y	Pass	No	For	0.6%	320,848,121	99.4%	1,794,897	0.6%	N/A	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	16.6%	268,956,212	83.4%	53,686,806	16.6%	N/A	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	1.6%	317,437,144	98.4%	5,205,874	1.6%	N/A	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	0.4%	321,506,611	99.6%	1,136,407	0.4%	N/A	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	0.4%	321,420,318	99.6%	1,222,700	0.4%	N/A	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	10.6%	288,408,017	89.4%	34,235,001	10.6%	N/A	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	0.3%	321,639,355	99.7%	1,003,663	0.3%	N/A	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	0.6%	320,784,002	99.4%	1,859,016	0.6%	N/A	N/A	Proxy	F+A
2	Y	Pass	No	For	2.9%	343,416,137	97.1%	10,231,684	2.9%	N/A	N/A	Proxy	F+A
3	Υ	Pass	No	For	8.4%	295,423,075	91.6%	27,218,396	8.4%	N/A	N/A	Proxy	F+A

## **AngloGold Ashanti Ltd.**

Meeting Date: 05/16/2022 **Record Date:** 04/06/2022

Earliest Cutoff Date: 05/05/2022

**Primary Security ID:** S04255196

Country: South Africa Meeting Type: Annual

Primary CUSIP: S04255196

Total Ballots: 1

Ticker: ANG

Voting Policy: ISS

Meeting ID: 1630455

Primary ISIN: ZAE000043485

Proxy Level: N/A

Primary SEDOL: 6565655

**Additional Policy:** Shares Voted: 1,500,000

Votable Si	hares: 1,500,00	*Shares on Loan: 0			Shares In	structed: 1,5	00,000		Shares Voted	<b>I:</b> 1,500,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Meeting for ADR Holders	Mgmt	No								
1.1		Re-elect Maria Ramos as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these in re-election of these Directors.	tems is warranted	l: * No issue	s have been	identified in	relation to the	election or			-	
		Blended Rationale: A vote FOR these items re-election of these Directors.	is warranted: * N	lo issues hav	ve been ider	ntified in relat	tion to the elec	tion or			-	
1.2		Re-elect Maria Richter as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these in re-election of these Directors.	tems is warranted	l: * No issue	s have been	identified in	relation to the	election or				
		Blended Rationale: A vote FOR these items re-election of these Directors.	is warranted: * N	lo issues hav	ve been ider	ntified in relat	tion to the elec	tion or			-	
1.3		Re-elect Nelisiwe Magubane as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these in re-election of these Directors.	items is warranted	t: * No issue	s have been	identified in	relation to the	election or			_	
		Blended Rationale: A vote FOR these items re-election of these Directors.	is warranted: * N	lo issues hav	ve been iden	ntified in relat	tion to the elec	tion or			-	
2.1		Elect Alberto Calderon Zuleta as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these in re-election of these Directors.	tems is warranted	l: * No issue	s have been	identified in	relation to the	election or			-	
		Blended Rationale: A vote FOR these items	is warranted: * N	lo issues hav	e been iden	ntified in relat	tion to the elec	tion or			_	

re-election of these Directors.

# AngloGold Ashanti Ltd.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2.2		Elect Scott Lawson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item re-election of these Directors.	ns is warranted.	: * No issues	s have been	identified in	relation to the	e election or				
		Blended Rationale: A vote FOR these items is a re-election of these Directors.	warranted: * N	o issues hav	e been iden	tified in relati	ion to the elec	tion or				
3.1		Re-elect Alan Ferguson as Member of the Audit and Risk Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item independent.	ns is warranted.	: * All of the	members o	of the Audit a	nd Risk Comm	ittee are				
		Blended Rationale: A vote FOR these items is independent.	warranted: * Al	ll of the men	nbers of the	Audit and Ri	isk Committee	are				
3.2		Re-elect Rhidwaan Gasant as Member of the Audit and Risk Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item independent.	ns is warranted.	: * All of the	members o	of the Audit a	nd Risk Comm	ittee are				
		Blended Rationale: A vote FOR these items is independent.	warranted: * Al	ll of the men	nbers of the	Audit and Ri	isk Committee	are				
3.3		Re-elect Nelisiwe Magubane as Member of the Audit and Risk Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item independent.	ns is warranted.	: * All of the	members o	of the Audit a	nd Risk Comm	ittee are				
		Blended Rationale: A vote FOR these items is independent.	warranted: * Al	ll of the men	nbers of the	Audit and Ri	isk Committee	are				
3.4		Re-elect Maria Richter as Member of the Audit and Risk Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item independent.	ns is warranted.	: * All of the	members o	of the Audit a	nd Risk Comm	ittee are				
		Blended Rationale: A vote FOR these items is independent.	warranted: * Al	ll of the men	nbers of the	Audit and Ri	isk Committee	are				
3.5		Re-elect Jochen Tilk as Member of the Audit and Risk Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item independent.	ns is warranted.	: * All of the	members o	of the Audit a	nd Risk Comm	ittee are				
		Blended Rationale: A vote FOR these items is independent.	warranted: * Al	ll of the men	nbers of the	Audit and Ri	isk Committee	are				
4.1		Reappoint Ernst & Young Inc as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4.2		Appoint PricewaterhouseCoopers Inc as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
5		Place Authorised but Unissued Shares under Control of Directors	Mgmt	Yes	For	For		For	For	No	No	No
6.1		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No
6.2		Approve Implementation Report	Mgmt	Yes	For	For		For	For	No	No	No
7		Approve Remuneration of Non-Executive Directors	Mgmt	Yes	For	For		For	For	No	No	No
8		Authorise Repurchase of Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorise Board to Issue Shares for Cash	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## AngloGold Ashanti Ltd.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
10		Approve Financial Assistance in Terms of Sections 44 and 45 of the Companies Act	Mgmt	Yes	For	For		For	For	No	No	No
11		Amend Memorandum of Incorporation	Mgmt	Yes	For	For		For	For	No	No	No
12		Authorise Ratification of Approved Resolutions	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	jbisset	jbisset		1,500,000	1,500,000
			05/10/2022	05/10/2022			

Total Shares: 1,500,000 1,500,000

### **Meeting Results**

Outstanding Shares Voted: 418,339,927

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1.1	Υ	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
1.2	Υ	Pass	No	For	1.4%	N/A	98.6%	N/A	1.4%	N/A	N/A	Poll	F+A
1.3	Υ	Pass	No	For	1.2%	N/A	98.8%	N/A	1.2%	N/A	N/A	Poll	F+A
2.1	Υ	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
2.2	Υ	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
3.1	Υ	Pass	No	For	3.2%	N/A	96.8%	N/A	3.2%	N/A	N/A	Poll	F+A
3.2	Υ	Pass	No	For	4.5%	N/A	95.5%	N/A	4.5%	N/A	N/A	Poll	F+A
3.3	Υ	Pass	No	For	2.0%	N/A	98.0%	N/A	2.0%	N/A	N/A	Poll	F+A
3.4	Υ	Pass	No	For	1.4%	N/A	98.7%	N/A	1.4%	N/A	N/A	Poll	F+A
3.5	Υ	Pass	No	For	0.8%	N/A	99.2%	N/A	0.8%	N/A	N/A	Poll	F+A
4.1	Y	Pass	No	For	20.0%	N/A	80.0%	N/A	20.0%	N/A	N/A	Poll	F+A
4.2	Υ	Pass	No	For	2.4%	N/A	97.6%	N/A	2.4%	N/A	N/A	Poll	F+A
5	Υ	Pass	No	For	16.9%	N/A	83.1%	N/A	16.9%	N/A	N/A	Poll	F+A
6.1	Υ	Pass	No	For	8.5%	N/A	91.5%	N/A	8.5%	N/A	N/A	Poll	F+A
6.2	Υ	Pass	No	For	8.2%	N/A	91.8%	N/A	8.2%	N/A	N/A	Poll	F+A
7	Υ	Pass	No	For	1.0%	N/A	99.0%	N/A	1.0%	N/A	N/A	Poll	F+A
8	Υ	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
9	Υ	Pass	No	For	16.9%	N/A	83.1%	N/A	16.9%	N/A	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
10	Y	Pass	No	For	0.3%	N/A	99.7%	N/A	0.3%	N/A	N/A	Poll	F+A
11	Υ	Pass	No	For	0.1%	N/A	100.0%	N/A	0.1%	N/A	N/A	Poll	F+A
12	Y	Pass	No	For	0.1%	N/A	100.0%	N/A	0.1%	N/A	N/A	Poll	F+A

## **The Charles Schwab Corporation**

Meeting Date: 05/17/2022

Country: USA

Ticker: SCHW

Proxy Level: 3

**Record Date:** 03/18/2022

Meeting Type: Annual

Meeting ID: 1630522

**Primary Security ID:** 808513105

**Primary CUSIP:** 808513105

**Primary ISIN:** US8085131055

Primary SEDOL: 2779397

Earliest Cutoff Date: 05/16/2022

Total Ballots: 1

Voting Policy: ISS

Additional Policy:

Votable Shares: 165,412

\*Shares on Loan: 0

Shares Instructed: 165,412

Shares Voted: 165,412

votable 3	103,112	Shares on Loan.			Silares II	structeu. 103	0,112		Silares voted	105,412		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director John K. Adams, Jr.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warr	ranted.								
1b		Elect Director Stephen A. Ellis	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warr	ranted.							-	
1c		Elect Director Brian M. Levitt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warr	ranted.							-	
1d		Elect Director Arun Sarin	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is wari	ranted.							-	
1e		Elect Director Charles R. Schwab	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warr	ranted.								
1f		Elect Director Paula A. Sneed	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director nominees is warranted.										
2		Declassify the Board of Directors	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify Deloitte & Touche LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Omnibus Stock Plan	Mgmt	Yes	For	For		For	For	No	No	No
6		Provide Proxy Access Right	Mgmt	Yes	For	For		For	For	No	No	No

## **The Charles Schwab Corporation**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Adopt Proxy Access Right	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this advisor proponent would be more favorable to shareho				•		•				
	Blended Rationale: A vote FOR this advisory proposal is warranted as the proxy access provisions suggested by the proponent would be more favorable to shareholders than the comparable provisions of the board bylaw proposal in Item 6.											
8		Report on Lobbying Payments and Policy	SH	Yes	Against	For		For	For	Yes	No	No
	Voting Policy Rationale: A vote FOR this resolution is warranted, as additional information on the company's trade association memberships, payments, and oversight, along with direct lobbying expenditures, would enable shareholders to better assess the company's comprehensive lobbying-related activities and management of related risks and opportunities.											
Blended Rationale: A vote FOR this resolution is warranted, as additional information on the company's trade association memberships, payments, and oversight, along with direct lobbying expenditures, would enable shareholders to better assess the company's comprehensive lobbying-related activities and management of related risks and opportunities.												

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	jbisset	jbisset		165,412	165,412
			05/09/2022	05/09/2022			
					= Total Shares:	165,412	165,412

### **Meeting Results**

Outstanding Shares Voted: 1,816,003,557

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	1.1%	1,591,270,097	98.9%	17,076,753	1.1%	634,660	N/A	Proxy	F+A
1b	Υ	Pass	No	For	4.2%	1,541,356,577	95.8%	66,966,902	4.2%	658,031	N/A	Proxy	F+A
1c	Υ	Pass	No	For	2.1%	1,574,533,140	97.9%	33,688,768	2.1%	759,602	N/A	Proxy	F+A
1d	Υ	Pass	No	For	8.8%	1,466,490,268	91.2%	141,841,227	8.8%	650,015	N/A	Proxy	F+A
1e	Υ	Pass	No	For	3.6%	1,550,928,506	96.4%	57,549,303	3.6%	503,701	N/A	Proxy	F+A
1f	Υ	Pass	No	For	4.8%	1,523,676,597	95.2%	77,497,845	4.8%	7,807,068	N/A	Proxy	F+A
2	Υ	Fail	No	For	10.1%	1,425,958,661	78.5%	182,051,166	10.0%	971,683	0.1%	Proxy	Capital Represented
3	Υ	Pass	No	For	5.6%	1,551,491,318	94.4%	91,993,339	5.6%	572,843	0.0%	Proxy	F+A+AB
4	Υ	Pass	No	For	6.8%	1,499,041,479	93.2%	108,280,222	6.7%	1,659,809	0.1%	Proxy	F+A+AB
5	Υ	Pass	No	For	3.3%	1,556,189,076	96.7%	51,876,293	3.2%	916,141	0.1%	Proxy	F+A+AB
6	Υ	Pass	No	For	0.8%	1,595,101,275	87.8%	12,739,560	0.7%	1,140,675	0.1%	Proxy	Capital Represented
7	Υ	Fail	No	Against	27.3%	494,220,875	27.2%	1,112,327,357	61.3%	2,433,278	0.1%	Proxy	Capital Represented
8	Υ	Fail	No	Against	34.9%	557,517,246	34.7%	1,047,778,039	65.1%	3,686,225	0.2%	Proxy	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Dassault Aviation SA**

Meeting Date: 05/18/2022Country: FranceTicker: AMProxy Level: N/ARecord Date: 05/16/2022Meeting Type: Annual/SpecialMeeting ID: 1631859Primary Security ID: F24539169Primary CUSIP: F24539169Primary ISIN: FR0014004L86Primary SEDOL: BMT9L19

Earliest Cutoff Date: 05/12/2022Total Ballots: 1Voting Policy: ISSAdditional Policy:Votable Shares: 64,500\*Shares on Loan: 0Shares Instructed: 64,500Shares Voted: 64,500

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary Business	Mgmt	No								
1		Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approva and lack of concerns.	of the annua	l accounts a	re warranted	due to the	unqualified aud	ditors' opinio	on			
		Blended Rationale: Votes FOR the approval of to lack of concerns.	the annual acc	ounts are wa	arranted due	to the unqu	alified auditors	s' opinion and	d			
2		Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approva and lack of concerns.	of the annua	l accounts a	re warranted	due to the	unqualified aud	ditors' opinio	าก			
		Blended Rationale: Votes FOR the approval of the lack of concerns.	the annual acc	ounts are wa	arranted due	to the unqu	alified auditors	s' opinion an	d			
3		Approve Allocation of Income and Dividends of EUR 2.49 per Share	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Compensation of Directors	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Compensation of Eric Trappier, Chairman and CEO	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
	Voting Policy Rationale: A vote AGAINST this remuneration report is warranted because: * The company fails to disclose any rationale for the repeated base salary's increases. * The level of disclosure on performance conditions is critically low. * The performance period for LTI is not considered long-term oriented. * Disclosure on performance achievement underlying awards that vested during the period under review is below market standard. * The company has not set up any remuneration committee while the chairman and CEO sit on the board.											
		Blended Rationale: A vote AGAINST this remunrationale for the repeated base salary's increase performance period for LTI is not considered to that vested during the period under review is be committee while the chairman and CEO sit on the committee while the chairman and CEO sit on the committee while the chairman and CEO sit on the committee while the chairman and CEO sit on the committee while the chairman and CEO sit on the committee while the chairman and CEO sit on the committee while the chairman and CEO sit on the committee while the chairman and CEO sit on the committee while the chairman and CEO sit on the committee while the chairman and CEO sit on the committee while the chairman and CEO sit on the committee while the chairman and CEO sit on the committee while the chairman and CEO sit on the committee while the chairman and CEO sit on the committee while the chairman and CEO sit on the committee while the chairman and CEO sit on the committee while the chairman and CEO sit on the committee while the chairman and CEO sit on the chairman and cha	es. * The level ing-term orient elow market s	l of disclosur ted. * Disclo	e on perforn sure on perf	nance conditi ormance ach	ions is critically ievement unde	/ low. * The erlying award				
6		Approve Compensation of Loik Segalen, Vice-CEO	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
	Voting Policy Rationale: A vote AGAINST this remuneration report is warranted because: * The company fails to disclose any rationale for the repeated base salary's increases. * The level of disclosure on performance conditions is critically low. * The performance period for LTI is not considered long-term oriented. * Disclosure on performance achievement underlying awards that vested during the period under review is below market standard. * The company has not set up any remuneration committee while the chairman and CEO sit on the board.											
		Blended Rationale: A vote AGAINST this remunrationale for the repeated base salary's increase performance period for LTI is not considered to that vested during the period under review is be committee while the chairman and CEO sit on the	es. * The level ing-term orient elow market s	l of disclosur ted. * Disclo	re on perforn sure on perf	nance conditi ormance ach	ions is critically ievement unde	/ low. * The erlying award				
7		Approve Remuneration Policy of Directors	Mgmt	Yes	For	For		For	For	No	No	No

## **Dassault Aviation SA**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8		Approve Remuneration Policy of Chairman and CEO	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Votes AGAINST these base salaries for FY22; * The remuneration podisclose a rationale for the increases in the nu This repeated concerns highlight the excessive shareholders discontent received at 2021 AGN	olicies are dispi umber of shares e discretion pol	roportionatel s awarded; a wer of the bo	ly focused o and * There pard to set o	n short-term; is no informa executives rei	* * The compar ation on termin	ny does not ation packag				
		Blended Rationale: Votes AGAINST these remsalaries for FY22; * The remuneration policies a rationale for the increases in the number of repeated concerns highlight the excessive discontent received at 2021 AGM has not been	are disproport shares awarde cretion power d	tionately focu ed; and * The of the board	ised on sho ere is no inf	rt-term; * Th ormation on t	e company do termination pa	es not disclo ckages. This	se			
9		Approve Remuneration Policy of Vice-CEO	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Votes AGAINST these base salaries for FY22; * The remuneration polisclose a rationale for the increases in the nu This repeated concerns highlight the excessive shareholders discontent received at 2021 AGM	olicies are dispr Imber of shares e discretion pol	roportionatel s awarded; a wer of the bo	y focused o and * There pard to set o	n short-term; is no informa executives rei	* * The compar ation on termin	ny does not ation packag			-	
		Blended Rationale: Votes AGAINST these remsalaries for FY22; * The remuneration policies a rationale for the increases in the number of repeated concerns highlight the excessive discontent received at 2021 AGM has not been	are disproport shares awarde cretion power c	tionately focu ed; and * The of the board	ised on sho ere is no int	rt-term; * Th ormation on t	termination pa	es not disclo ckages. This	se			
10		Reelect Marie-Helene Habert as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: * Votes AGAINST the independence at the board level (25.0 percent non-independent Chairman of the Audit Complies below market practice and against recompauditors' special report on related-party transactions.	t vs 33.3 perce mittee is warrar mended guideli	nt recomme nted as the p	nded) (Iten practice of a	ns 10 and 11) non-indepen	. * A vote AGA dent audit con	INST the nmittee chail				
		Blended Rationale: * Votes AGAINST the (re)e independence at the board level (25.0 percent non-independent Chairman of the Audit Comm lies below market practice and against recommanditors' special report on related-party transa	t vs 33.3 perce mittee is warrar mended guideli	nt recomme nted as the p	nded) (Iten practice of a	ns 10 and 11) non-indepen	. * A vote AGA dent audit con	INST the nmittee chail	r			
11		Reelect Henri Proglio as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: * Votes AGAINST the independence at the board level (25.0 percent non-independent Chairman of the Audit Comm lies below market practice and against recommanditors' special report on related-party transactions.	t vs 33.3 perce mittee is warrar mended guideli	nt recomme nted as the p	nded) (Item practice of a	ns 10 and 11) non-indepen	. * A vote AGA dent audit con	INST the nmittee chail				
		Blended Rationale: * Votes AGAINST the (re)e independence at the board level (25.0 percent non-independent Chairman of the Audit Comm lies below market practice and against recomm auditors' special report on related-party transa	t vs 33.3 perce mittee is warrar mended guideli	nt recommented as the p	nded) (Iten practice of a	ns 10 and 11) non-indepen	. * A vote AGA dent audit con	INST the nmittee chail	r			
12		Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST is wa	arranted as the	share repur	chase progi	ram can be co	ontinued during	g a takeover	bid.			
		Blended Rationale: A vote AGAINST is warrant	ted as the shar	re repurchase	e program o	an be continu	ued during a ta	akeover bid.				
		Extraordinary Business	Mgmt	No								
13		Authorize Decrease in Share Capital via Cancellation of Repurchased Shares	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Dassault Aviation SA**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
14	Amend Article 15 of Bylaws Re Held by Directors	e: Shares	Mgmt	Yes	For	For		For	For	No	No	No
	Ordinary Business		Mgmt	No								
15	Authorize Filing of Required Documents/Other Formalities		Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
RARF, RARF	392452	Confirmed	jbisset		jbisset				64,50	0	64,5	500

05/09/2022 05/09/2022

Total Shares: 64,500 64,500

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.1%	117,734,725	99.9%	80,902	0.1%	127,395	N/A	Poll	F+A
2	Υ	Pass	No	For	0.1%	117,825,962	99.9%	80,902	0.1%	36,158	N/A	Poll	F+A
3	Υ	Pass	No	For	0.1%	117,861,611	99.9%	80,928	0.1%	483	N/A	Poll	F+A
4	Υ	Pass	No	For	1.4%	116,264,058	98.6%	1,659,727	1.4%	12,927	N/A	Poll	F+A
5	Υ	Pass	No	For	7.5%	109,010,949	92.5%	8,824,058	7.5%	1,575	N/A	Poll	F+A
6	Υ	Pass	No	For	7.5%	109,030,077	92.5%	8,821,120	7.5%	1,685	N/A	Poll	F+A
7	Υ	Pass	No	For	0.0%	117,899,173	100.0%	25,212	0.0%	12,847	N/A	Poll	F+A
8	Υ	Pass	No	For	10.7%	105,181,207	89.3%	12,653,695	10.7%	1,680	N/A	Poll	F+A
9	Υ	Pass	No	For	10.7%	105,197,548	89.3%	12,653,666	10.7%	1,668	N/A	Poll	F+A
10	Υ	Pass	No	For	4.9%	112,141,471	95.1%	5,799,718	4.9%	1,333	N/A	Poll	F+A
11	Υ	Pass	No	For	7.3%	109,374,690	92.7%	8,567,199	7.3%	593	N/A	Poll	F+A
12	Υ	Pass	No	For	5.5%	111,330,027	94.5%	6,521,102	5.5%	91,893	N/A	Poll	F+A
	N												
13	Y	Pass	No	For	0.0%	117,905,585	100.0%	36,737	0.0%	666	N/A	Poll	F+A
14	Y	Pass	No	For	0.0%	117,930,170	100.0%	11,952	0.0%	866	N/A	Poll	F+A
	N												
15	Υ	Pass	No	For	0.0%	117,942,046	100.0%	413	0.0%	563	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Northrop Grumman Corporation**

Meeting Date: 05/18/2022 **Record Date:** 03/22/2022

Country: USA

Meeting Type: Annual

Ticker: NOC **Meeting ID:** 1630588 Proxy Level: 3

Primary Security ID: 666807102

**Primary CUSIP:** 666807102

**Primary ISIN:** US6668071029

Primary SEDOL: 2648806

Earliest Cutoff Date: 05/17/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 100,000

\*Shares on Loan: 0

Shares Instructed: 100,000

Shares Voted: 100,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Kathy J. Warden	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.2		Elect Director David P. Abney	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.3		Elect Director Marianne C. Brown	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.4		Elect Director Donald E. Felsinger	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.5		Elect Director Ann M. Fudge	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.6		Elect Director William H. Hernandez	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.7		Elect Director Madeleine A. Kleiner	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.8		Elect Director Karl J. Krapek	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.							•	
		Blended Rationale: A vote FOR the director nor	ninees is warr	anted.								
1.9		Elect Director Graham N. Robinson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.10		Elect Director Gary Roughead	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nominees is warranted.										

## **Northrop Grumman Corporation**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1.11		Elect Director Thomas M. Schoewe	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.									
		Blended Rationale: A vote FOR the director no	ominees is warr	anted.									
1.12		Elect Director James S. Turley	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director nominees is warranted.											
		Blended Rationale: A vote FOR the director nominees is warranted.											
1.13		Elect Director Mark A. Welsh, III	Mgmt	Yes	For	For		For	For	No	No	No	
Voting Policy Rationale: A vote FOR the director nominees is warranted.													
		Blended Rationale: A vote FOR the director ne	ominees is warr	anted.									
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No	
3		Ratify Deloitte & Touche LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No	
4		Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Yes	Against	For		For	Against	No	Yes	Yes	
		Voting Policy Rationale: A vote FOR this prop special meeting from 25 percent to 10 percer			-	ship thresho	ld for sharehol	ders to call	а				
		Blended Rationale: A vote FOR this proposal	s warranted as	lowering the	ownership	threshold for	shareholders	to call a spe	ecial		•		

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	jbisset	jbisset		100,000	100,000
			04/20/2022	04/20/2022			
					Total Shares:	100,000	100,000

### **Meeting Results**

Outstanding Shares Voted: 155,703,868

Results Available: Full

meeting from 25 percent to 10 percent would enhance shareholders' rights.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	2.7%	123,611,868	97.3%	3,464,295	2.7%	384,278	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	1.2%	125,371,631	98.8%	1,481,768	1.2%	607,041	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.8%	124,584,674	98.2%	2,271,287	1.8%	604,480	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	4.0%	121,781,149	96.0%	5,074,906	4.0%	604,385	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	1.7%	124,794,210	98.3%	2,123,900	1.7%	542,331	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	1.3%	125,219,521	98.7%	1,648,243	1.3%	592,676	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	3.6%	120,113,280	96.4%	4,534,989	3.6%	2,812,172	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	3.0%	123,086,859	97.0%	3,793,132	3.0%	580,450	N/A	Proxy	F+A
1.9	Y	Pass	No	For	1.1%	125,539,914	98.9%	1,357,177	1.1%	536,350	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.10	Υ	Pass	No	For	1.6%	124,896,811	98.4%	2,053,703	1.6%	509,927	N/A	Proxy	F+A
1.11	Υ	Pass	No	For	4.9%	120,639,592	95.1%	6,239,088	4.9%	581,761	N/A	Proxy	F+A
1.12	Υ	Pass	No	For	6.7%	118,334,949	93.3%	8,536,751	6.7%	588,741	N/A	Proxy	F+A
1.13	Υ	Pass	No	For	1.1%	125,574,159	98.9%	1,366,178	1.1%	520,105	N/A	Proxy	F+A
2	Υ	Pass	No	For	3.4%	122,047,000	96.6%	4,296,524	3.4%	1,116,900	N/A	Proxy	F+A
3	Υ	Pass	No	For	3.9%	134,598,218	96.1%	5,491,213	3.9%	467,184	N/A	Proxy	F+A
4	Υ	Pass	No	Against	50.4%	63,526,028	50.4%	62,532,722	49.6%	1,396,747	N/A	Proxy	F+A

## **Science Group Plc**

Meeting Date: 05/18/2022

Record Date: 05/16/2022 Primary Security ID: G7863T100

**Country:** United Kingdom **Meeting Type:** Annual

Primary CUSIP: G7863T100

Ticker: SAG

Voting Policy: ISS

Meeting ID: 1635151

Primary ISIN: GB00B39GTJ17

Proxy Level: N/A

Primary SEDOL: B39GTJ1

**Earliest Cutoff Date:** 05/12/2022 **Votable Shares:** 1,401,348

Total Ballots: 1
\*Shares on Loan: 0

Shares Instructed: 1,401,348

**Shares Voted:** 1,401,348

**Additional Policy:** 

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this considered to hold the combined roles of Chand evaluate the performance of senior offit the year under review; and * The NEDs received:	nair and CEO, wh cers and the Com	ich calls into npany; * The	question w Executive	vhether the Bo Chair received	oard can adequ d a one-off pay	uately overse				
		Blended Rationale: A vote AGAINST this resconsidered to hold the combined roles of Chand evaluate the performance of senior offit the year under review; and * The NEDs reco	nair and CEO, wh cers and the Com	ich calls into npany; * The	question w Executive	whether the Bo Chair received	pard can adequ d a one-off pay	uately overse				
2		Reappoint Grant Thornton UK LLP as Auditors and Authorise Their Remuneration	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect Jon Brett as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election warranted because no significant concerns l	•	-	Daniel Edw	vards and Mich	hael Lacey-Sol	ymar is				
		Blended Rationale: A vote FOR the election/ because no significant concerns have been to		n Brett, Dani	iel Edwards	and Michael L	Lacey-Solymar	is warranted	d			
4		Re-elect Daniel Edwards as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the elect warranted because no significant concerns t	•		Daniel Edw	vards and Mich	hael Lacey-Sol	ymar is				
		Blended Rationale: A vote FOR the election/ because no significant concerns have been to		n Brett, Dani	iel Edwards	and Michael L	Lacey-Solymar	is warranted	d			
5		Re-elect Michael Lacey-Solymar as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the electronse varranted because no significant concerns to	•	-	Daniel Edw	ards and Mich	hael Lacey-Sol	ymar is				
		Blended Rationale: A vote FOR the election/ because no significant concerns have been to		n Brett, Dani	iel Edwards	and Michael L	Lacey-Solymar	is warranted	d			
6		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No

## **Science Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resrecommended limits.	solutions is warr	anted becau	se the prop	osed amount	s and duration	s are within				
		Blended Rationale: A vote FOR these resolutive recommended limits.	ions is warrante	d because th	e proposea	l amounts and	d durations are	e within			,	
8		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resrecommended limits.	solutions is warr	anted becau	se the prop	osed amount	s and duration	s are within				
Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.												
9		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
10		Approve Performance Share Plan	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST the overall dilution limit contained within the schoyears, which is in excess of best practice limit	eme rules allows	s the Compa			-		,			
		Blended Rationale: A vote AGAINST the prop dilution limit contained within the scheme rul										

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		1,401,348	1,401,348
			05/10/2022	05/10/2022			
					Total Shares:	1,401,348	1,401,348

### Meeting Results

Outstanding Shares Voted:

Results Available: Partial

is in excess of best practice limits of 10 percent in 10 years.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	3.9%	31,537,013	96.1%	1,269,494	3.9%	1,833,892	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	34,635,386	100.0%	4,795	0.0%	218	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	34,639,960	100.0%	221	0.0%	218	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	34,639,960	100.0%	221	0.0%	218	N/A	Poll	F+A
5	Υ	Pass	No	For	2.1%	33,903,683	97.9%	736,489	2.1%	218	N/A	Poll	F+A
6	Υ	Pass	No	For	0.0%	34,640,181	100.0%	0	0.0%	218	N/A	Poll	F+A
7	Υ	Pass	No	For	0.0%	34,630,257	100.0%	3,424	0.0%	6,718	N/A	Poll	F+A
8	Υ	Pass	No	For	0.0%	34,625,265	100.0%	13,916	0.0%	1,218	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	34,639,490	100.0%	691	0.0%	218	N/A	Poll	F+A
10	Υ	Pass	No	For	13.8%	29,861,239	86.2%	4,777,472	13.8%	1,688	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Southwest Airlines Co.**

Meeting Date: 05/18/2022

Primary Security ID: 844741108

**Record Date:** 03/22/2022

Elect Director Robert E. Jordan

Elect Director Gary C. Kelly

Elect Director Nancy B. Loeffler

Country: USA

Meeting Type: Annual

**Primary CUSIP:** 844741108

Ticker: LUV

Meeting ID: 1633573

**Primary ISIN:** US8447411088

Shares Instructed: 168,772

Additional

Voting

For

For

For

For

For

For

No

No

No

No

No

No

No

No

No

Proxy Level: 3

Primary SEDOL: 2831543

Vote

Vote

Vote

Earliest Cutoff Date: 05/17/2022 Votable Shares: 168,772

1h

1i

1j

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS

**Additional Policy:** 

Shares Voted: 168,772

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
1a		Elect Director David W. Biegler	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1b		Elect Director J. Veronica Biggins	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1c		Elect Director Douglas H. Brooks	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
Blended Rationale: A vote FOR the director nominees is warranted.												
1d		Elect Director William H. Cunningham	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1e		Elect Director John G. Denison	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1f		Elect Director Thomas W. Gilligan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1g		Elect Director David P. Hess	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nominees is warranted.										

Mgmt

Mgmt

Mgmt

Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted.

Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted.

Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted.

For

For

For

Yes

Yes

Yes

For

For

For

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Southwest Airlines Co.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1k		Elect Director John T. Montford	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is I	warranted.								
		Blended Rationale: A vote FOR the director non	ninees is warra	anted.								
11		Elect Director Christopher P. Reynolds	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is l	warranted.								
		Blended Rationale: A vote FOR the director non	ninees is warra	anted.								
1m		Elect Director Ron Ricks	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is I	warranted.								
		Blended Rationale: A vote FOR the director non	ninees is warra	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Amend Qualified Employee Stock Purchase Plan	Mgmt	Yes	For	For		For	For	No	No	No
4		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
5		Adopt Majority Vote Cast to Remove Directors With or Without Cause	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this proposenhance shareholder rights.	al is warranted	d as the abili	ity to remove	e directors w	ith or without	cause would				
		Blended Rationale: A vote FOR this proposal is enhance shareholder rights.	warranted as t	the ability to	remove dire	ectors with or	r without cause	e would				
6		Submit Severance Agreement (Change-in-Control) to Shareholder Vote	SH	Yes	Against	For		For	Against	No	Yes	Yes
Voting Policy Rationale: A vote FOR this proposal is warranted given that the request applies only to future severance arrangements, the current agreements will not be affected, the request offers flexibility as to when the board may seek shareholder approval of a new or renewed severance arrangement, such as at the next annual meeting, and it is positive for shareholders to have the ability to vote on severance amounts that exceed market norms.												
	Blended Rationale: A vote FOR this proposal is warranted given that the request applies only to future severance arrangements, the current agreements will not be affected, the request offers flexibility as to when the board may seek shareholder approval of a new or renewed severance arrangement, such as at the next annual meeting, and it is positive for shareholders to have the ability to vote on severance amounts that exceed market norms.											

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	jbisset	jbisset		168,772	168,772
			04/20/2022	04/20/2022			
					Total Shares:	168,772	168,772

#### **Meeting Results**

Outstanding Shares Voted: 592,844,796 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	9.8%	369,190,620	90.2%	39,964,420	9.8%	1,657,279	N/A	Proxy	F+A
1b	Υ	Pass	No	For	8.2%	375,541,610	91.8%	33,674,427	8.2%	1,596,282	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1c	Υ	Pass	No	For	4.3%	391,431,574	95.7%	17,782,588	4.3%	1,598,157	N/A	Proxy	F+A
1d	Υ	Pass	No	For	7.6%	364,966,416	92.4%	29,886,604	7.6%	15,959,299	N/A	Proxy	F+A
1e	Υ	Pass	No	For	3.7%	394,257,045	96.3%	15,057,368	3.7%	1,497,906	N/A	Proxy	F+A
1f	Υ	Pass	No	For	1.6%	402,542,283	98.4%	6,697,585	1.6%	1,572,451	N/A	Proxy	F+A
1g	Υ	Pass	No	For	1.3%	403,586,775	98.7%	5,519,954	1.3%	1,705,590	N/A	Proxy	F+A
1h	Υ	Pass	No	For	2.8%	398,267,981	97.2%	11,293,694	2.8%	1,250,644	N/A	Proxy	F+A
<b>1</b> i	Υ	Pass	No	For	4.5%	377,602,096	95.5%	17,655,405	4.5%	15,554,818	N/A	Proxy	F+A
1j	Υ	Pass	No	For	5.2%	387,930,466	94.8%	21,293,307	5.2%	1,588,546	N/A	Proxy	F+A
1k	Υ	Pass	No	For	6.1%	384,172,785	93.9%	25,070,609	6.1%	1,568,925	N/A	Proxy	F+A
11	Υ	Pass	No	For	1.4%	403,567,219	98.6%	5,553,399	1.4%	1,691,701	N/A	Proxy	F+A
1m	Υ	Pass	No	For	1.6%	402,662,716	98.4%	6,536,804	1.6%	1,612,799	N/A	Proxy	F+A
2	Υ	Pass	No	For	6.9%	380,200,105	93.1%	28,307,420	6.9%	2,304,794	N/A	Proxy	F+A
3	Υ	Pass	No	For	0.8%	406,010,830	99.2%	3,245,957	0.8%	1,555,532	N/A	Proxy	F+A
4	Υ	Pass	No	For	2.6%	490,994,238	97.4%	13,112,716	2.6%	2,166,618	N/A	Proxy	F+A
5	Υ	Fail	No	Against	47.5%	194,191,170	47.5%	214,655,884	52.5%	1,965,265	N/A	Proxy	F+A
6	Υ	Fail	No	Against	40.9%	167,243,513	40.9%	241,544,462	59.1%	2,024,344	N/A	Proxy	F+A

## Hertz Global Holdings, Inc.

Meeting Date: 05/19/2022 **Record Date:** 03/25/2022 Primary Security ID: 42806J700 Country: USA Meeting Type: Annual

**Primary CUSIP:** 42806J700

Ticker: HTZ

Meeting ID: 1633785

Primary ISIN: US42806J7000

Proxy Level: 3

Primary SEDOL: BNM5672

Earliest Cutoff Date: 05/18/2022 Total Ballots: 2 Voting Policy: ISS **Additional Policy:** 

Votable Sl	nares: 3,781,036	*Shares on Loan: 0			Shares In	structed: 3,78	31,036		Shares Voted	: 3,781,036		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Michael Gregory O'Hara	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes
		Voting Policy Rationale: WITHHOLD votes are upublic boards while serving as CEO of an outside		-	, , -,		-					
		Blended Rationale: WITHHOLD votes are warra boards while serving as CEO of an outside com		- , .	/	-		three public	c			
1b		Elect Director Thomas Wagner	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are public boards while serving as CEO of an outside		-	, , -,		-					
		Blended Rationale: WITHHOLD votes are warra boards while serving as CEO of an outside com				_		three public	c			
1c		Elect Director Vincent J. Intrieri	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are upublic boards while serving as CEO of an outside		-	, , -,		-					
		Blended Rationale: WITHHOLD votes are warra	anted for Micha	ael Gregory (	(Greg) O'Ha	ra for serving	on more than	three public				

Blended Rationale: WITHHOLD votes are warranted for Michael Gregory (Greg) O'Hara for serving on more than three public boards while serving as CEO of an outside company. A vote FOR the remaining director nominees is warranted.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## Hertz Global Holdings, Inc.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	jbisset	jbisset		3,499,403	3,499,403
			05/12/2022	05/12/2022			
RARF, RARF	000392452	Confirmed	jbisset	jbisset		281,633	281,633
			05/12/2022	05/12/2022			
					Total Shares:	3,781,036	3,781,036

### **Meeting Results**

Outstanding Shares Voted: 417,094,805

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	6.2%	301,160,469	93.8%	19,790,893	6.2%	0	N/A	Proxy	F+A
1b	Υ	Pass	No	For	0.1%	320,645,972	99.9%	305,390	0.1%	0	N/A	Proxy	F+A
1c	Υ	Pass	No	For	6.5%	300,149,374	93.5%	20,801,988	6.5%	0	N/A	Proxy	F+A
2	Υ	Pass	No	For	0.1%	341,401,050	99.9%	202,128	0.1%	36,057	N/A	Proxy	F+A
3	Y	Pass	No	For	6.9%	298,613,145	93.1%	22,217,840	6.9%	120,377	N/A	Proxy	F+A

## **Zynga Inc.**

Meeting Date: 05/19/2022	Country: USA	Ticker: ZNGA	Proxy Level: 5
<b>Record Date:</b> 04/04/2022	Meeting Type: Special	Meeting ID: 1633212	
Primary Security ID: 98986T108	Primary CUSIP: 98986T108	Primary ISIN: US98986T1088	Primary SEDOL: B79PX49
	-	-	
Earliest Cutoff Date: 05/18/2022	Total Ballots: 1	Voting Policy: ISS	Additional Policy:

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Merger Agreement	Mgmt	Yes	For	For		For	For	No	No	No
2		Advisory Vote on Golden Parachutes	Mgmt	Yes	For	For		For	For	No	No	No
3		Adjourn Meeting	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	jbisset	jbisset		994,200	994,200
			05/11/2022	05/11/2022			
					= Total Shares:	994,200	994,200

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Zynga Inc.**

### **Meeting Results**

Outstanding Shares Voted: 1,137,276,459 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.3%	773,916,852	68.1%	2,033,856	0.2%	1,352,539	0.1%	Proxy	Capital Represented
2	Y	Pass	No	For	4.4%	743,319,160	95.6%	29,337,217	3.8%	4,646,870	0.6%	Proxy	F+A+AB
3	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Proxy	F+A+AB

## **Royal Dutch Shell Plc**

Meeting Date: 05/24/2022

Country: United Kingdom

Ticker: SHEL

Proxy Level: N/A

**Record Date:** 05/20/2022

**Meeting ID:** 1566400

Primary Security ID: G80827101

Meeting Type: Annual Primary CUSIP: G80827101

Primary ISIN: GB00BP6MXD84

Primary SEDOL: BP6MXD8

Earliest Cutoff Date: 05/19/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 2,500,000

\*Shares on Loan: 0

Shares Instructed: 2,500,000

**Shares Voted:** 2,500,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
		Management Proposals	Mgmt	No									
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No	
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No	
3		Elect Sinead Gorman as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Di	rectors is warrar	nted as no si	ignificant co	ncerns have l	been identified	!					
		Blended Rationale: A vote FOR these Directo	rs is warranted a	as no signific	cant concerr	ns have been	identified.				-		
4		Re-elect Ben van Beurden as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Di	rectors is warrar	nted as no si	ignificant co	ncerns have l	been identified	!					
		Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.											
5		Re-elect Dick Boer as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Di	rectors is warrar	nted as no si	ignificant co	ncerns have l	been identified	!.					
		Blended Rationale: A vote FOR these Directo	rs is warranted a	as no signific	cant concerr	ns have been	identified.						
6		Re-elect Neil Carson as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Di	rectors is warrar	nted as no si	ignificant co	ncerns have l	been identified	!.			-		
		Blended Rationale: A vote FOR these Directo	rs is warranted a	as no signific	cant concerr	ns have been	identified.						
7		Re-elect Ann Godbehere as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Di	rectors is warrar	nted as no si	ignificant co	ncerns have l	been identified	!.			-		
		Blended Rationale: A vote FOR these Directo	rs is warranted a	as no signific	cant concerr	ns have been	identified.						
8		Re-elect Euleen Goh as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Di	rectors is warrar	nted as no si	ignificant co	ncerns have l	been identified	!					
		Blended Rationale: A vote FOR these Directo	rs is warranted a	as no signific	cant concern	ns have been	identified.						

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Royal Dutch Shell Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Re-elect Jane Lute as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these	Directors is warrar	nted as no si	gnificant cor	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Direct	tors is warranted a	as no signific	cant concern	s have been	identified.					
10		Re-elect Catherine Hughes as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these	Directors is warrar	nted as no si	ignificant cor	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Direct	tors is warranted a	as no signific	cant concern	s have been	identified.					
11		Re-elect Martina Hund-Mejean as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these	Directors is warrar	nted as no si	ignificant coi	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Direct	tors is warranted a	as no signific	cant concern	s have been	identified.					
12		Re-elect Sir Andrew Mackenzie as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these	Directors is warrar	nted as no si	ignificant coi	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Direct	tors is warranted a	as no signific	cant concern	s have been	identified.					
13		Re-elect Abraham Schot as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these	Directors is warrar	nted as no si	ignificant col	ncerns have L	peen identified					
		Blended Rationale: A vote FOR these Direct	tors is warranted a	as no signific	cant concern	s have been	identified.					
14		Auditors							No	No		
15		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these recommended limits.	resolutions is warr	anted becau	se the propo	osed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR these resol recommended limits.	lutions is warranted	d because th	e proposed	amounts and	durations are	within				
17		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these recommended limits.	resolutions is warr	anted becau	se the propo	osed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR these resol recommended limits.	lutions is warranted	d because th	e proposed	amounts and	l durations are	within				
18		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise Off-Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
20		Approve the Shell Energy Transition Progress Update	Mgmt	Yes	For	For		For	For	No	No	No
		Shareholder Proposal	Mgmt	No								
21		Request Shell to Set and Publish Targets for Greenhouse Gas (GHG) Emissions	SH	Yes	Against	Against		Against	Against	No	No	No
Ballot Deta	ails											
Institutional (IA Name, I	l Account Detail A Number)	Custodian Account Number Ballot Status Instructed Approved Ballot Voting Status Votable Shares S										

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Royal Dutch Shell Plc**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		2,500,000	2,500,000
			05/13/2022	05/13/2022			
					Total Shares:	2,500,000	2,500,000

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	1.6%	4,469,477,767	98.4%	71,091,532	1.6%	15,067,658	N/A	Poll	F+A
2	Υ	Pass	No	For	4.1%	4,346,283,705	95.9%	184,753,614	4.1%	24,598,418	N/A	Poll	F+A
3	Υ	Pass	No	For	0.5%	4,516,521,089	99.5%	23,417,006	0.5%	15,675,553	N/A	Poll	F+A
4	Υ	Pass	No	For	0.8%	4,496,569,558	99.2%	34,290,352	0.8%	24,761,284	N/A	Poll	F+A
5	Υ	Pass	No	For	1.5%	4,474,674,800	98.5%	67,820,033	1.5%	13,126,425	N/A	Poll	F+A
6	Υ	Pass	No	For	1.1%	4,491,217,829	98.9%	51,083,981	1.1%	13,317,337	N/A	Poll	F+A
7	Υ	Pass	No	For	1.8%	4,458,461,056	98.2%	83,907,506	1.8%	13,244,853	N/A	Poll	F+A
8	Υ	Pass	No	For	1.4%	4,479,743,495	98.6%	62,636,105	1.4%	13,240,198	N/A	Poll	F+A
9	Υ	Pass	No	For	0.6%	4,514,627,950	99.4%	27,643,508	0.6%	13,345,259	N/A	Poll	F+A
10	Υ	Pass	No	For	1.5%	4,472,378,257	98.5%	70,125,060	1.5%	13,117,075	N/A	Poll	F+A
11	Υ	Pass	No	For	0.2%	4,533,297,371	99.8%	9,092,680	0.2%	13,230,216	N/A	Poll	F+A
12	Υ	Pass	No	For	6.9%	4,229,127,499	93.1%	312,416,135	6.9%	14,070,548	N/A	Poll	F+A
13	Υ	Pass	No	For	0.7%	4,510,980,924	99.3%	30,852,332	0.7%	13,768,612	N/A	Poll	F+A
14	Υ	Pass	No	For	0.1%	4,498,735,944	99.9%	5,326,757	0.1%	51,567,695	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	4,536,967,618	99.9%	5,577,382	0.1%	13,084,173	N/A	Poll	F+A
16	Υ	Pass	No	For	2.0%	4,454,082,528	98.0%	88,725,174	2.0%	12,831,705	N/A	Poll	F+A
17	Υ	Pass	No	For	1.1%	4,490,698,350	98.9%	48,830,150	1.1%	16,095,313	N/A	Poll	F+A
18	Υ	Pass	No	For	1.2%	4,487,609,043	98.8%	53,240,550	1.2%	14,778,954	N/A	Poll	F+A
19	Υ	Pass	No	For	1.2%	4,485,657,888	98.8%	54,853,594	1.2%	15,114,434	N/A	Poll	F+A
20	Υ	Pass	No	For	20.1%	3,525,014,244	79.9%	886,272,022	20.1%	144,341,223	N/A	Poll	F+A
	N												
21	Υ	Fail	Yes	Against	20.3%	906,494,546	20.3%	3,560,626,618	79.7%	88,507,794	N/A	Poll	F+A

Meeting Date: 05/24/2022 **Record Date:** 05/20/2022

Country: France

Meeting Type: Annual/Special

Ticker: VK Meeting ID: 1638586 Proxy Level: N/A

**Primary Security ID:** F96708270

Primary CUSIP: F96708270

**Primary ISIN:** FR0013506730

Primary SEDOL: BLGWF90

Earliest Cutoff Date: 05/18/2022

Votable Shares: 834,956

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS

**Additional Policy:** 

Shares Instructed: 834,956 Shares Voted: 834,956

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary Business	Mgmt	No								
1		Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approva and lack of concerns.	al of the annua	l accounts a	re warranted	d due to the l	unqualified au	ditors' opinio	n			
		Blended Rationale: Votes FOR the approval of a lack of concerns.	the annual acc	ounts are we	arranted due	to the unqu	alified auditors	s' opinion an	d			
2		Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approva and lack of concerns.	al of the annua	l accounts a	re warranted	d due to the	unqualified au	ditors' opinio	n			
		Blended Rationale: Votes FOR the approval of a lack of concerns.	the annual acc	ounts are wa	arranted due	to the unqu	alified auditors	s' opinion and	d			
3		Approve Allocation of Income and Absence of Dividends	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Transaction with Edouard Guinotte Re: Execution and Termination of his Duties within Vallourec	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this p performance conditions as the company chose previously voted policy; * There is no auditors'	a transactiona	l agreement	instead of t	he terminatio	•	-	9			
		Blended Rationale: A vote AGAINST this propose performance conditions as the company chose previously voted policy; * There is no auditors'	a transactiona	l agreement	instead of t	he terminatio		-	9			
5		Reelect Angela Minas as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the (re)el concerns (Items 5 and 6). * A vote AGAINST ti (item7).						•	fic			
		Blended Rationale: * Votes FOR the (re)election concerns (Items 5 and 6). * A vote AGAINST to (item7).		•				•				
6		Reelect Hera Siu as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the (re)eleconcerns (Items 5 and 6). * A vote AGAINST to (item7).		-				-	fic			
		Blended Rationale: * Votes FOR the (re)election concerns (Items 5 and 6). * A vote AGAINST to (item7).		•								

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Ratify Appointment of Philippe Guillemot as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: * Votes FOR the (re)econcerns (Items 5 and 6). * A vote AGAINST (item7).										
		Blended Rationale: * Votes FOR the (re)electiconcerns (Items 5 and 6). * A vote AGAINST (item7).		-				-				
8		Approve Compensation Report of Corporate Officers	Mgmt	Yes	For	For		For	For	No	No	No
9		Approve Compensation of Edouard Guinotte, Chairman of the Management Board Until 30 June 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these rem granted LTIPs are not sufficiently long-term o reasons for support are: * Most of the granted granted prior to his mandate; and * There are	riented; * The l d LTIPs lapsed	level of disclo following the	osure surrol	unding the ve	ested LTIP is lo	w. The mail	7		-	
		Blended Rationale: Votes FOR these remuners granted LTIPs are not sufficiently long-term or reasons for support are: * Most of the granted granted prior to his mandate; and * There are	riented; * The l d LTIPs lapsed	level of disclo following the	osure surrol	unding the ve	ested LTIP is lo	w. The mail				
10		Approve Compensation of Edouard Guinotte, Chairman and CEO From 1 July 2021 to 31 December 2021 and From 1 January 2022 to 20 March 2022	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these rem granted LTIPs are not sufficiently long-term o reasons for support are: * Most of the granted granted prior to his mandate; and * There are	riented; * The l d LTIPs lapsed	level of disclo following the	osure surrol	unding the ve	ested LTIP is lo	w. The mail	7			
		Blended Rationale: Votes FOR these remuners granted LTIPs are not sufficiently long-term or reasons for support are: * Most of the granted granted prior to his mandate; and * There are	riented; * The l d LTIPs lapsed	level of disclo following the	osure surrol	unding the ve	ested LTIP is lo	w. The mail				
11		Approve Compensation of Olivier Mallet, Management Board Member Until 30 June 2021	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Votes AGAINST these sufficiently long-term oriented; and * The levels		-			e granted LTIF	P are not				
		Blended Rationale: Votes AGAINST these rem long-term oriented; and * The level of disclos	•			-	anted LTIP are	not sufficier	ntly			
12		Approve Compensation of Olivier Mallet, Vice-CEO From 1 July 2021 to 31 December 2021 and From 1 January 2022 to 20 March 2022	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Votes AGAINST these sufficiently long-term oriented; and * The levels					e granted LTIF	P are not			_	
		Blended Rationale: Votes AGAINST these rem long-term oriented; and * The level of disclos				_	anted LTIP are	not sufficier	ntly			
13		Approve Compensation of Vivienne Cox, Chairman of the Supervisory Board Until 30 June 2021	Mgmt	Yes	For	For		For	For	No	No	No

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
14		Approve Remuneration Policy of Chairman and CEO	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the remune concerns are raised: * The new CEO's remuner officers are guaranteed the payment of a part of guaranteed to be long-term oriented; * The pestringency; * The policy authorizes the post-mapackage is broadly in line with Mr. Guillemot's perperienced CEO; * The LTIP does not include Company's past practice is for unvested preferring this policy is rejected would not be considered (item 15) is warranted because: * The CFO is a corporate officer. * He still benefits from the pureaching EUR 8.09 (see item 12) * The policy and the still benefits from the pureaching EUR 8.09 (see item 12) * The policy and the still benefits from the pureaching EUR 8.09 (see item 12) * The policy and the still benefits from the pureaching EUR 8.09 (see item 12) * The policy and the still benefits from the pureaching EUR 8.09 (see item 12) * The policy and the still benefits from the pureaching EUR 8.09 (see item 12) * The policy and the still benefits from the pureaching EUR 8.09 (see item 12) * The policy and the still benefits from the pureaching EUR 8.09 (see item 12) * The policy and the still benefits from the pureaching EUR 8.09 (see item 12) * The policy and the still benefits from the pureaching EUR 8.09 (see item 12) * The policy and the still benefits from the pureaching EUR 8.09 (see item 12) * The policy and the still benefits from the pureaching EUR 8.09 (see item 12) * The policy and the still benefits from the pureaching EUR 8.09 (see item 12) * The policy and the still benefits from the pureaching EUR 8.09 (see item 12) * The policy and the still benefits from the pureaching EUR 8.09 (see item 12) * The policy and the still benefits from the pureaching EUR 8.09 (see item 12) * The policy and the still benefits from the pureaching EUR 8.09 (see item 12) * The policy and the still benefits from the pureaching EUR 8.09 (see item 12) * The policy and the still benefits from the pureaching EUR 8.09 (see item 12) * The policy and the still benefits from the pureaching EUR 8.09 (see	ration package of their bonust orformance cor andate vesting past position's the ordinary s ence shares to d more advan guaranteed the roblematic par	e shows a sig es; * The pe nditions attac n of awards. remuneratic shares that w n lapse; * Th tageous. A v e payment on t of the 202.	nificant inco orformance of ched to the The main re- over subject e application or AGAINS f 100% of h 1 LTIP with	rease with little conditions att. termination passons for suja a a higher pas a to a problem of the previous The remunation of the previous for dinary shall	de rationale; * ached to the L backage are de oport are: * Th ckage to attrac batic performan ious CEO remu eration policy of the period of to	Corporate TIP are not remed to lack reproposed rt an rec condition rection polition of Olivier Mai re year he is	; * ccy llet a				
		Blended Rationale: A vote FOR the remuneration policy of Philippe Guillemot (item 14) is warranted although the following concerns are raised: * The new CEO's remuneration package shows a significant increase with little rationale; * Corporate officers are guaranteed the payment of a part of their bonuses; * The performance conditions attached to the LTIP are not guaranteed to be long-term oriented; * The performance conditions attached to the termination package are deemed to lack stringency; * The policy authorizes the post-mandate vesting of awards. The main reasons for support are: * The proposed package is broadly in line with Mr. Guillemot's past position's remuneration, justifying a higher package to attract an experienced CEO; * The LTIP does not include the ordinary shares that were subject to a problematic performance condition; * Company's past practice is for unvested preference shares to lapse; * The application of the previous CEO remuneration policy if this policy is rejected would not be considered more advantageous. A vote AGAINST the remuneration policy of Olivier Mallet (item 15) is warranted because: * The CFO is guaranteed the payment of 100% of his bonus for the period of the year he is a corporate officer. * He still benefits from the problematic part of the 2021 LTIP with ordinary shared vesting each year just for reaching EUR 8.09 (see item 12) * The policy authorizes the post-mandate vesting of awards.  Approve Remuneration Policy of Mgmt Yes For Against Against For No Ye Vice-CEO											
15		• • • • • • • • • • • • • • • • • • • •	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes	
		Approve Remuneration Policy of Mgmt Yes For Against Against For No											
		Blended Rationale: A vote FOR the remuneration policy of Philippe Guillemot (item 14) is warranted although the following concerns are raised: * The new CEO's remuneration package shows a significant increase with little rationale; * Corporate officers are guaranteed the payment of a part of their bonuses; * The performance conditions attached to the LTIP are not guaranteed to be long-term oriented; * The performance conditions attached to the termination package are deemed to lack stringency; * The policy authorizes the post-mandate vesting of awards. The main reasons for support are: * The proposed package is broadly in line with Mr. Guillemot's past position's remuneration, justifying a higher package to attract an experienced CEO; * The LTIP does not include the ordinary shares that were subject to a problematic performance condition; * Company's past practice is for unvested preference shares to lapse; * The application of the previous CEO remuneration policy if this policy is rejected would not be considered more advantageous. A vote AGAINST the remuneration policy of Olivier Mallet (item 15) is warranted because: * The CFO is guaranteed the payment of 100% of his bonus for the period of the year he is a corporate officer. * He still benefits from the problematic part of the 2021 LTIP with ordinary shared vesting each year just for reaching EUR 8.09 (see item 12) * The policy authorizes the post-mandate vesting of awards.											
16		Approve Remuneration Policy of Directors	Mgmt	Yes	For	For		For	For	No	No	No	
17		Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No	
		Extraordinary Business	Mgmt	No									

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
18		Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 1,831,427	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with a				ed as their pro	pposed volume.	s respect the	2			
		Blended Rationale: * Votes FOR the authorize recommended guidelines for issuances with a				their propose	ed volumes res	pect the				
19		Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 457,857	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with a				ed as their pro	pposed volume.	s respect the	•			
		Blended Rationale: * Votes FOR the authorize recommended guidelines for issuances with a				their propose	ed volumes res	pect the				
20		Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 457,857	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with a				ed as their pro	pposed volume.	s respect the	2			
		Blended Rationale: * Votes FOR the authorize recommended guidelines for issuances with a				their propose	ed volumes res	pect the			-	
21		Authorize Board to Set Issue Price for 10 Percent Per Year of Issued Capital Pursuant to Issue Authority without Preemptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with a				ed as their pro	pposed volume.	s respect the	?		-	
		Blended Rationale: * Votes FOR the authorize recommended guidelines for issuances with a				their propose	ed volumes res	pect the				
22		Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with a				ed as their pro	pposed volume	s respect the	?		-	
		Blended Rationale: * Votes FOR the authorize recommended guidelines for issuances with a				their propose	ed volumes res	pect the			-	
23		Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with a				ed as their pro	pposed volume	s respect the	?		-	
		Blended Rationale: * Votes FOR the authorization recommended guidelines for issuances with a				their propose	ed volumes res	pect the				
24		Authorize Capital Increase of Up to EUR 457,857 for Future Exchange Offers	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with a				ed as their pro	pposed volume.	s respect the	?			
		Blended Rationale: * Votes FOR the authorize recommended guidelines for issuances with a				their propose	ed volumes res	pect the				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
25	Approve Issuance of Equity o Equity-Linked Securities Rese Specific Beneficiaries, up to A Nominal Amount of EUR 457,	rved for ggregate	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: * Vote recommended guidelines for is					d as their pro	posed volume.	s respect th	e			
	Blended Rationale: * Votes FC recommended guidelines for is					their propose	d volumes res <sub>i</sub>	pect the				
26	Authorize Capitalization of Re Up to EUR 1,373,570 for Bond or Increase in Par Value		Mgmt	Yes	For	For		For	For	No	No	No
27	Authorize Capital Issuances fo Employee Stock Purchase Pla		Mgmt	Yes	For	For		For	For	No	No	No
28	Authorize Decrease in Share (via Cancellation of Repurchas Shares	•	Mgmt	Yes	For	For		For	For	No	No	No
29	Amend Article 10 and 13 of B Chairman and CEO	ylaws Re:	Mgmt	Yes	For	For		For	For	No	No	No
30	Authorize Filing of Required Documents/Other Formalities		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
RARF, RARF	392452	Confirmed	jbisset		jbisset				834,9	56	834,	956
			05/17/2022	2	05/17/20	)22						
								Total Shares	834,9	56	834,	956

### **Meeting Results**

Outstanding Shares Voted: 228,740,563

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.0%	159,113,166	100.0%	23,346	0.0%	116,189	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	159,112,941	100.0%	23,604	0.0%	116,156	N/A	Poll	F+A
3	Y	Pass	No	For	0.0%	159,153,321	100.0%	73,908	0.0%	25,472	N/A	Poll	F+A
4	Y	Pass	No	For	14.9%	135,505,103	85.1%	23,642,734	14.9%	39,143	N/A	Poll	F+A
5	Y	Pass	No	For	0.1%	158,678,415	99.9%	235,719	0.1%	338,567	N/A	Poll	F+A
6	Y	Pass	No	For	0.2%	158,601,879	99.8%	306,461	0.2%	344,361	N/A	Poll	F+A
7	Y	Pass	No	For	13.1%	138,417,622	86.9%	20,805,318	13.1%	29,761	N/A	Poll	F+A
8	Υ	Pass	No	For	0.3%	158,778,620	99.7%	438,989	0.3%	35,092	N/A	Poll	F+A
9	Y	Pass	No	For	4.1%	152,685,503	95.9%	6,476,373	4.1%	90,825	N/A	Poll	F+A
10	Υ	Pass	No	For	5.2%	150,895,377	94.8%	8,269,280	5.2%	88,044	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
11	Υ	Pass	No	For	14.2%	136,543,294	85.8%	22,662,486	14.2%	46,921	N/A	Poll	F+A
12	Υ	Pass	No	For	14.2%	136,541,805	85.8%	22,664,052	14.2%	46,844	N/A	Poll	F+A
13	Υ	Pass	No	For	0.4%	158,506,094	99.6%	701,018	0.4%	45,589	N/A	Poll	F+A
14	Υ	Pass	No	For	8.6%	145,458,319	91.4%	13,678,260	8.6%	116,122	N/A	Poll	F+A
15	Υ	Pass	No	For	19.1%	128,840,171	80.9%	30,362,086	19.1%	50,444	N/A	Poll	F+A
16	Υ	Pass	No	For	0.6%	158,166,040	99.4%	1,033,139	0.6%	53,522	N/A	Poll	F+A
17	Υ	Pass	No	For	0.1%	159,128,785	99.9%	82,766	0.1%	41,150	N/A	Poll	F+A
	N												
18	Υ	Pass	No	For	0.9%	157,842,199	99.1%	1,383,641	0.9%	26,861	N/A	Poll	F+A
19	Υ	Pass	No	For	0.7%	158,161,521	99.3%	1,052,734	0.7%	38,446	N/A	Poll	F+A
20	Υ	Pass	No	For	0.7%	158,146,476	99.3%	1,077,923	0.7%	28,302	N/A	Poll	F+A
21	Υ	Pass	No	For	0.4%	158,666,111	99.6%	558,515	0.4%	28,075	N/A	Poll	F+A
22	Υ	Pass	No	For	1.2%	157,256,177	98.8%	1,968,823	1.2%	27,701	N/A	Poll	F+A
23	Υ	Pass	No	For	0.4%	158,569,573	99.6%	656,855	0.4%	26,273	N/A	Poll	F+A
24	Υ	Pass	No	For	0.3%	158,721,631	99.7%	504,593	0.3%	26,477	N/A	Poll	F+A
25	Υ	Pass	No	For	0.7%	158,177,217	99.3%	1,048,813	0.7%	26,671	N/A	Poll	F+A
26	Υ	Pass	No	For	0.2%	158,889,631	99.8%	339,792	0.2%	23,278	N/A	Poll	F+A
27	Υ	Pass	No	For	0.3%	158,698,818	99.7%	521,864	0.3%	32,019	N/A	Poll	F+A
28	Υ	Pass	No	For	0.4%	158,646,417	99.6%	581,898	0.4%	24,386	N/A	Poll	F+A
29	Υ	Pass	No	For	0.3%	158,709,970	99.7%	503,138	0.3%	39,593	N/A	Poll	F+A
30	Υ	Pass	No	For	0.0%	159,173,822	100.0%	49,659	0.0%	29,220	N/A	Poll	F+A

Meeting Date: 05/25/2022 Country: USA

Record Date: 04/01/2022 Meeting Type: Annual

 Primary Security ID: 30231G102
 Primary CUSIP: 30231G102
 Primary ISIN: US30231G1022
 Primary SEDOL: 2326618

Earliest Cutoff Date: 05/24/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy:

Votable Shares: 172,300 \*Shares on Loan: 0 Shares Instructed: 172,300 Shares Voted: 172,300

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1	-	Elect Director Michael J. Angelakis	Mgmt	Yes	For	For	-	For	For	No	No	No

Ticker: XOM

Meeting ID: 1632904

Proxy Level: 3

Voting Policy Rationale: Votes FOR Darren Woods and Joseph Hooley are warranted, with caution, given that the company has set targets to improve the efficiency of its operations but does not appear to be taking steps that would be needed to be aligned with Paris Agreement targets. A vote FOR the other director nominees is warranted.

Blended Rationale: Votes FOR Darren Woods and Joseph Hooley are warranted, with caution, given that the company has set targets to improve the efficiency of its operations but does not appear to be taking steps that would be needed to be aligned with Paris Agreement targets. A vote FOR the other director nominees is warranted.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.2		Elect Director Susan K. Avery	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	ations but doe	s not appear	r to be takii	ng steps that	-		has			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ns but does no	ot appear to	be taking s			-				
1.3		Elect Director Angela F. Braly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	ations but doe	s not appear	r to be takii	ng steps that	-		has			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ns but does no	ot appear to	be taking s			-				
1.4		Elect Director Ursula M. Burns	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	ations but doe	s not appear	r to be takii	ng steps that	-		has			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ns but does no	ot appear to	be taking s							
1.5		Elect Director Gregory J. Goff	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	ations but doe	s not appear	r to be takii	ng steps that	-		has		•	
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ns but does no	ot appear to	be taking s			-				
1.6		Elect Director Kaisa H. Hietala	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	ations but doe	s not appea	r to be takii	ng steps that	-		has			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ns but does no	ot appear to	be taking s							
1.7		Elect Director Joseph L. Hooley	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	ations but doe	s not appea	r to be takii	ng steps that	-		has			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ns but does no	ot appear to	be taking s							
1.8		Elect Director Steven A. Kandarian	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	ations but doe	s not appear	r to be takii	ng steps that	-		has			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ns but does no	ot appear to	be taking s			-				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.9		Elect Director Alexander A. Karsner	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woc set targets to improve the efficiency of its oper- aligned with Paris Agreement targets. A vote Fo	ations but does OR the other d	s not appear lirector nomi	to be taking nees is warr	g steps that v anted.	would be need	led to be				
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ns but does no	ot appear to	be taking ste							
1.10		Elect Director Jeffrey W. Ubben	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woc set targets to improve the efficiency of its oper- aligned with Paris Agreement targets. A vote Fo	ations but does	s not appear	to be taking	g steps that i	-		as			
		Blended Rationale: Votes FOR Darren Woods at targets to improve the efficiency of its operation with Paris Agreement targets. A vote FOR the o	ns but does no	ot appear to	be taking ste							
1.11		Elect Director Darren W. Woods	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woc set targets to improve the efficiency of its oper- aligned with Paris Agreement targets. A vote Fo	ations but does	s not appear	to be taking	g steps that v	-		as			
		Blended Rationale: Votes FOR Darren Woods an targets to improve the efficiency of its operation with Paris Agreement targets. A vote FOR the o	ns but does no	nt appear to	be taking sto							
2		Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
4		Remove Executive Perquisites	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propos excessive, they represent a non-performance-b Such perquisites are not considered to be a bes improvement.	ased benefit tl	hat is not ge	nerally availa	able to the b	roader employ	ee populatio	n.			
		Blended Rationale: A vote FOR this proposal is they represent a non-performance-based benen perquisites are not considered to be a best prac-	fit that is not g	enerally ava	ilable to the	broader emp	oloyee populat	ion. Such				
5		Amend Bylaws to Limit Shareholder Rights for Proposal Submission	SH	Yes	Against	Against		Against	Against	No	No	No
6		Set GHG Emissions Reduction targets Consistent With Paris Agreement Goal	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propos carbon footprint and align its operations with P company is managing its transition to a low car	aris Agreemen	t goals would	d allow inves	stors to bette	, ,		its			
		Blended Rationale: A vote FOR this proposal is carbon footprint and align its operations with P company is managing its transition to a low car	aris Agreemen	t goals would	d allow inves	stors to bette	-					
7		Report on Low Carbon Business Planning	SH	Yes	Against	Against		Against	Against	No	No	No
8		Report on Scenario Analysis Consistent with International Energy Agency's Net Zero by 2050	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this proposabout the company's risk of stranded assets, gi					-	disclosure				
		Blended Rationale: A vote FOR this proposal is the company's risk of stranded assets, given its					m greater discl	osure about				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Report on Reducing Plastic Pollution	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this proportion of the company to transition from virgin polynomanagement of associated financial, environment	ner production	would allow	shareholder		-		ility			
		Blended Rationale: A vote FOR this proposal is the company to transition from virgin polymen management of associated financial, environm	production wo	ould allow sh	areholders to		-	•	of			
10		Report on Political Contributions and Expenditures	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this resolution memberships in trade associations and other comprehensive political contribution activities	political organiz	zations would	d help share	holders asses	ss the compan					
		Blended Rationale: A vote FOR this resolution memberships in trade associations and other comprehensive political contribution activities	political organiz	zations would	d help share	holders asses	ss the compan					

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	jcoster	jcoster		172,300	172,300
			05/23/2022	05/23/2022			
					= Total Shares:	172,300	172,300

#### **Meeting Results**

Outstanding Shares Voted: 4,213,205,009

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Υ	Pass	No	For	2.1%	2,547,924,545	97.9%	53,455,402	2.1%	20,049,727	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	4.6%	2,477,831,962	95.4%	118,721,113	4.6%	24,891,192	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	3.4%	2,513,024,444	96.6%	89,314,156	3.4%	19,104,634	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	10.9%	2,327,781,231	89.1%	284,073,145	10.9%	9,565,391	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	1.9%	2,561,365,873	98.1%	49,525,294	1.9%	10,553,052	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	1.7%	2,566,139,786	98.3%	45,285,191	1.7%	10,016,967	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	5.1%	2,476,828,928	94.9%	134,272,350	5.1%	10,334,699	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	1.5%	2,572,658,270	98.5%	38,414,203	1.5%	10,371,746	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	3.0%	2,532,029,094	97.0%	78,926,756	3.0%	10,486,437	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	1.6%	2,559,547,876	98.4%	41,716,300	1.6%	20,173,519	N/A	Proxy	F+A
1.11	Υ	Pass	No	For	8.4%	2,393,735,033	91.6%	218,457,411	8.4%	9,250,645	N/A	Proxy	F+A
2	Υ	Pass	No	For	3.2%	3,266,256,474	96.8%	107,018,692	3.2%	11,850,049	N/A	Proxy	F+A
3	Υ	Pass	No	For	9.0%	2,359,659,298	91.0%	232,188,848	9.0%	29,593,623	N/A	Proxy	F+A
4	Υ	Fail	No	Against	21.8%	555,991,008	21.8%	1,998,938,503	78.2%	66,505,804	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
5	Y	Fail	No	Against	1.5%	37,988,180	1.5%	2,566,577,886	98.5%	16,861,496	N/A	Proxy	F+A
6	Υ	Fail	No	Against	27.1%	634,538,294	27.1%	1,711,232,081	72.9%	275,657,276	N/A	Proxy	F+A
7	Υ	Fail	No	Against	10.5%	269,080,730	10.5%	2,297,592,462	89.5%	54,749,145	N/A	Proxy	F+A
8	Υ	Pass	No	Against	51.0%	1,212,862,208	51.0%	1,167,183,242	49.0%	241,366,778	N/A	Proxy	F+A
9	Υ	Fail	No	Against	36.5%	940,949,583	36.5%	1,639,139,896	63.5%	41,311,728	N/A	Proxy	F+A
10	Υ	Fail	No	Against	26.7%	695,653,665	26.7%	1,908,852,962	73.3%	16,911,258	N/A	Proxy	F+A

## Meta Platforms, Inc.

Meeting Date: 05/25/2022

Country: USA

Ticker: FB

Proxy Level: 3

**Record Date:** 04/01/2022 Primary Security ID: 30303M102 Meeting Type: Annual Primary CUSIP: 30303M102

**Meeting ID:** 1634027

Primary ISIN: US30303M1027

Primary SEDOL: B7TL820

Earliest Cutoff Date: 05/24/2022

Total Ballots: 1 Voting Policy: ISS **Additional Policy:** Votable Shares: 23,100 \*Shares on Loan: 0 Shares Instructed: 23,100 Shares Voted: 23,100

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Peggy Alford	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	or nominees are	warranted.								
		Blended Rationale: Votes FOR all director no	minees are warr	ranted.								
1.2		Elect Director Marc L. Andreessen	Mgmt	Yes	For	For		For	Withhold	Yes	Yes	Yes
		Voting Policy Rationale: Votes FOR all director	or nominees are	warranted.								
		Blended Rationale: Votes FOR all director no	minees are warr	ranted.								
1.3		Elect Director Andrew W. Houston	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	or nominees are	warranted.								
		Blended Rationale: Votes FOR all director no	minees are warr	ranted.								
1.4		Elect Director Nancy Killefer	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	or nominees are	warranted.								
		Blended Rationale: Votes FOR all director no	minees are warr	ranted.								
1.5		Elect Director Robert M. Kimmitt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	or nominees are	warranted.								
		Blended Rationale: Votes FOR all director no	minees are warr	ranted.								
1.6		Elect Director Sheryl K. Sandberg	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	or nominees are	warranted.								
		Blended Rationale: Votes FOR all director no	minees are warr	ranted.								
1.7		Elect Director Tracey T. Travis	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	or nominees are	warranted.								
		Blended Rationale: Votes FOR all director no	minees are warr	ranted.								

## **Meta Platforms, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.8		Elect Director Tony Xu	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nomi	inees are warr	anted.								
1.9		Elect Director Mark Zuckerberg	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nomi	inees are warr	anted.								
2		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: There are several ongoremain discretionary, and incentive programs lindividual performance assessments is also posimpact on annual bonuses. Executives again rethe CEO's and COO's security costs are exceed warranted.	lack disclosed por, and the descrived very landingly large. In	performance sign allows for rge equity av light of thes	metrics and or such cons wards that la e concerns,	quantified g iderations to ack performa a vote AGAII	noals. Disclosur have a potent nce vesting cr NST this propo	e around tially large iteria. Moreo sal is				
		Blended Rationale: There are several ongoing remain discretionary, and incentive programs la individual performance assessments is also poi impact on annual bonuses. Executives again rethe CEO's and COO's security costs are exceed warranted.	lack disclosed por, and the des eceived very la	performance sign allows fo rge equity av	metrics and or such cons wards that la	quantified g iderations to ack performa	oals. Disclosur have a potent nce vesting cr	re around tially large iteria. Moreo	ver,			
4		Approve Recapitalization Plan for all Stock to Have One-vote per Share	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propos preference for a capital structure in which the			-			reholders'				
		Blended Rationale: A vote FOR this proposal is preference for a capital structure in which the						ders'				
5		Require Independent Board Chair	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propose appointment of a non-independent director (pethe most robust form of independent board over the most robust for the most robust form of independent board over the most robust for the most robust form of independent board over the most robust for the most robust form of independent board over the most robust for the most robust for the most robust form of independent board over the most robust for the most robu	er ISS classifica	ations) to ser	rve as lead d	director, shar	-		m			
		Blended Rationale: A vote FOR this proposal is appointment of a non-independent director (pe the most robust form of independent board ov	er ISS classifica	ations) to ser	rve as lead d	director, shar			т			
6		Report on Risks Associated with Use of Concealment Clauses	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propose of concealment clauses has on its employees recruitment, development and retention.					•		use			
		Blended Rationale: A vote FOR this proposal is concealment clauses has on its employees may development and retention.				-						
7		Report on External Costs of Misinformation and Impact on Diversified Shareholders	SH	Yes	Against	Against		Against	For	Yes	Yes	Yes
8		Report on Community Standards Enforcement	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propodisclosure on how the company is managing m						parency and	1			
		Blended Rationale: A vote FOR this proposal is disclosure on how the company is managing m					•	ncy and			-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Meta Platforms, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Report on User Risk and Advisory Vote on Metaverse Project	SH	Yes	Against	Against		Against	Against	No	No	No
10		Publish Third Party Human Rights Impact Assessment	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this resolu- help shareholders better assess Meta's manag BACKGROUND INFORMATION Policies: Human	ement of risks	related to its		-	•		d			
		Blended Rationale: A vote FOR this resolution shareholders better assess Meta's managemen INFORMATION Policies: Human Rights Propos	nt of risks relate									
11		Report on Child Sexual Exploitation Online	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propo exploitation of children through the company's is managing related risks.		•			•		ny			
		Blended Rationale: A vote FOR this proposal is exploitation of children through the company's is managing related risks.							ny			
12		Commission a Workplace Non-Discrimination Audit	SH	Yes	Against	Against		Against	Against	No	No	No
13		Report on Lobbying Payments and Policy	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this resolution practices, policies, and expenditures		-		-			•			
		Blended Rationale: A vote FOR this resolution lobbying practices, policies, and expenditures	•				•					
14		Commission Assessment of Audit and Risk Oversight Committee	SH	Yes	Against	Against		Against	Against	No	No	No
15		Report on Charitable Contributions	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propo- policies nor the recipients of its charitable con-		d because th	he company	provides no	information re	garding its				
		Blended Rationale: A vote FOR this proposal is nor the recipients of its charitable contribution		cause the co	mpany prov	ides no infor	mation regard	ing its policie	25			

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	jcoster	jcoster		23,100	23,100
			05/20/2022	05/20/2022			
					Total Shares:	23,100	23,100

### **Meeting Results**

Outstanding Shares Voted: 6,430,287,310 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	7.3%	4,904,494,626	92.7%	383,611,794	7.3%	0	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	4.4%	5,056,331,589	95.6%	231,774,831	4.4%	0	N/A	Proxy	F+A
1.3	Y	Pass	No	For	3.1%	5,124,400,680	96.9%	163,705,740	3.1%	0	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.4	Υ	Pass	No	For	0.9%	5,238,099,605	99.1%	50,006,815	0.9%	0	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	2.7%	5,144,345,108	97.3%	143,761,312	2.7%	0	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	1.1%	5,232,184,350	98.9%	55,922,070	1.1%	0	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	1.4%	5,212,941,405	98.6%	75,165,015	1.4%	0	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	2.0%	5,180,691,337	98.0%	107,415,083	2.0%	0	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	3.2%	5,116,809,278	96.8%	171,297,142	3.2%	0	N/A	Proxy	F+A
2	Υ	Pass	No	For	0.9%	5,480,892,394	99.1%	47,413,306	0.9%	3,834,808	N/A	Proxy	F+A
3	Υ	Pass	No	For	14.4%	4,521,652,771	85.6%	758,249,438	14.4%	8,204,211	N/A	Proxy	F+A
4	Υ	Fail	No	Against	28.1%	1,484,954,936	28.1%	3,797,308,327	71.9%	5,843,157	N/A	Proxy	F+A
5	Υ	Fail	No	Against	16.7%	882,731,624	16.7%	4,400,304,144	83.3%	5,070,652	N/A	Proxy	F+A
6	Υ	Fail	No	Against	19.0%	1,000,344,420	19.0%	4,271,695,462	81.0%	16,066,538	N/A	Proxy	F+A
7	Υ	Fail	No	Against	2.7%	143,673,070	2.7%	5,118,039,014	97.3%	26,394,336	N/A	Proxy	F+A
8	Υ	Fail	No	Against	19.2%	1,014,551,386	19.2%	4,257,534,514	80.8%	16,020,520	N/A	Proxy	F+A
9	Υ	Fail	No	Against	3.0%	153,778,067	3.0%	5,044,992,196	97.0%	89,336,157	N/A	Proxy	F+A
10	Υ	Fail	No	Against	23.8%	1,252,277,259	23.8%	4,019,162,296	76.2%	16,666,865	N/A	Proxy	F+A
11	Υ	Fail	No	Against	17.3%	910,386,444	17.3%	4,358,740,887	82.7%	18,979,089	N/A	Proxy	F+A
12	Υ	Fail	No	Against	0.3%	16,649,419	0.3%	5,258,669,739	99.7%	12,787,262	N/A	Proxy	F+A
13	Υ	Fail	No	Against	20.6%	1,086,537,695	20.6%	4,189,164,211	79.4%	12,404,514	N/A	Proxy	F+A
14	Υ	Fail	No	Against	10.5%	552,168,187	10.5%	4,723,297,839	89.5%	12,640,394	N/A	Proxy	F+A
15	Υ	Fail	No	Against	9.3%	488,979,142	9.3%	4,785,865,773	90.7%	13,261,505	N/A	Proxy	F+A

Meeting Date: 05/26/2022 **Record Date:** 05/22/2022

Earliest Cutoff Date: 05/20/2022

Votable Shares: 2,201,841

Primary Security ID: G0756R109

Country: Ireland Meeting Type: Annual

Primary CUSIP: G0756R109

Total Ballots: 1 \*Shares on Loan: 0 Ticker: BIRG

Meeting ID: 1622531

Primary ISIN: IE00BD1RP616

Shares Instructed: 2,201,841

Voting Policy: ISS

Proxy Level: N/A

Primary SEDOL: BD1RP61

**Additional Policy: Shares Voted:** 2,201,841

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3a		Elect Mark Spain as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	y, the Board C	hair, is warra	anted, altho	ugh this is no	ot without cond	ern because	e: *		-	
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. continuing tenure has been provided.	y, the Board C	hair, is warra	anted, altho	ugh this is no	ot without cond	ern because				
3b		Re-elect Giles Andrews as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	y, the Board C	hair, is warra	anted, altho	ugh this is no	ot without cond	ern because	e: *		_	
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. continuing tenure has been provided.	y, the Board C	hair, is warra	anted, altho	ugh this is no	ot without cond	ern because				
3c		Re-elect Evelyn Bourke as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	y, the Board C	hair, is warra	anted, altho	ugh this is no	ot without cond	ern because	e: *			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned. He has served for eleven years on the Board. continuing tenure has been provided.	y, the Board C	hair, is warra	anted, altho	ugh this is no	ot without cond	ern because				
3d		Re-elect Ian Buchanan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	y, the Board C	hair, is warra	anted, altho	ugh this is no	ot without cond	ern because	e: *		_	
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned. He has served for eleven years on the Board. continuing tenure has been provided.	y, the Board C	hair, is warra	anted, altho	ugh this is no	ot without cond	ern because			-	
3e		Re-elect Eileen Fitzpatrick as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	y, the Board C	hair, is warra	anted, altho	ugh this is no	ot without cond	ern because	e: *			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	y, the Board C	hair, is warra	anted, altho	ugh this is no	ot without cond	ern because			-	
3f		Re-elect Richard Goulding as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	y, the Board C	hair, is warra	anted, altho	ugh this is no	ot without cond	ern because	e: *		-	
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. continuing tenure has been provided.	y, the Board C	hair, is warra	anted, altho	ugh this is no	ot without cond	ern because			-	

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
3g		Re-elect Michele Greene as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board C	hair, is warra	anted, altho	ough this is no	t without cond	ern because	· *		-			
		Blended Rationale: Items 3a-3g and 3i-3k A videntified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board C	hair, is warra	anted, altho	ough this is no	t without cond	ern because						
3h		Re-elect Patrick Kennedy as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board C	hair, is warra	anted, altho	ough this is no	t without cond	ern because	· *					
		Blended Rationale: Items 3a-3g and 3i-3k A videntified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board C	hair, is warra	anted, altho	ough this is no	t without cond	ern because						
3i		Re-elect Francesca McDonagh as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: Items 3a-3g and 3i-3i identified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board C	hair, is warra	anted, altho	ough this is no	t without cond	ern because	· *					
		Blended Rationale: Items 3a-3g and 3i-3k A videntified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board C	hair, is warra	anted, altho	ough this is no	t without cond	ern because						
3j		Re-elect Fiona Muldoon as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: Items 3a-3g and 3i-3k A vote FOR these candidates is warranted as no significant concerns have been identified. Item 3h A vote FOR Patrick Kennedy, the Board Chair, is warranted, although this is not without concern because: * He has served for eleven years on the Board. The main reason for support is that: * A detailed and convincing rationale for his continuing tenure has been provided.												
		Blended Rationale: Items 3a-3g and 3i-3k A videntified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board C	hair, is warra	anted, altho	ough this is no	t without cond	ern because						
3k		Re-elect Steve Pateman as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board C	hair, is warra	anted, altho	ough this is no	t without cond	ern because	· *					
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board C	hair, is warra	anted, altho	ough this is no	t without cond	ern because						
4		Ratify KPMG as Auditors	Mgmt	Yes	For	For		For	For	No	No	No		
5		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No		
6		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No		
7		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No		

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolute recommended limits.	utions is warra	nted becaus	se the propos	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	s is warranted	because the	e proposed a	mounts and	durations are	within				
10		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolute recommended limits.	utions is warra	anted becaus	se the propos	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	s is warranted	because the	e proposed a	mounts and	durations are	within				
11		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolution recommended limits.	utions is warra	nted becaus	se the propos	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	s is warranted	because the	e proposed a	mounts and	durations are	within				
12		Authorise Issue of Equity in Relation to Additional Tier 1 Contingent Equity Conversion Notes	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: vote FOR these resoluti Company were to issue Additional Tier 1 Conting to convert into ordinary shares, this would results support are: * Such authorities are common pro * The conversion into ordinary shares would rec weakness well beyond the Company s current p	gent Equity Co It in significant oposals at ban quire the CET	onversion No t dilution to i ks and are ii t ratio to fall	ntes and a tri non-participa ntended to a nto a level wi	igger event v nting shareho oply in extre hich would d	vere to occur, olders. The ma me circumstan	causing then in reasons fo nces only; an	n or			
		Blended Rationale: vote FOR these resolutions of Company were to issue Additional Tier 1 Continuous to convert into ordinary shares, this would result support are: * Such authorities are common professional to the conversion into ordinary shares would rewakness well beyond the Company's current professional to the company of the compa	gent Equity Co It in significant oposals at ban quire the CET	onversion No t dilution to I ks and are II t ratio to fall	ntes and a tri non-participa ntended to a nto a level wi	igger event v ating shareho oply in extre hich would d	vere to occur, olders. The ma me circumstan	causing then in reasons fo nces only; an	or			
13		Authorise Issue of Equity without Pre-emptive Rights in Relation to Additional Tier 1 Contingent Equity Conversion Notes	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: vote FOR these resoluti Company were to issue Additional Tier 1 Contin to convert into ordinary shares, this would resu- support are: * Such authorities are common pro * The conversion into ordinary shares would rec weakness well beyond the Company s current p	gent Equity Co It in significant oposals at ban quire the CET	onversion No t dilution to i ks and are ii t ratio to fall	ntes and a tri non-participa ntended to a nto a level w	igger event v ating shareho oply in extre hich would d	vere to occur, olders. The ma me circumstan	causing then in reasons fo nces only; an	n or			
		Blended Rationale: vote FOR these resolutions of Company were to issue Additional Tier 1 Continuous to convert into ordinary shares, this would result support are: * Such authorities are common procession into ordinary shares would recurrence weakness well beyond the Company's current procession.	gent Equity Co It in significant oposals at ban quire the CET	onversion No t dilution to I ks and are II t ratio to fall	ntes and a tri non-participa ntended to a nto a level w	igger event v nting shareho pply in extre hich would d	vere to occur, olders. The ma me circumstan	causing then in reasons fo nces only; an	or			
Ballot Deta	ails											

#### Ballot Details

Institutional Account Detail Custodian
(IA Name, IA Number) Account Number Ballot Status Instructed Approved Ballot Voting Status Votable Shares Shares Voted

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		2,201,841	2,201,841
			05/18/2022	05/18/2022			
					Total Shares:	2,201,841	2,201,841

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	741,540,596	100.0%	12,276	0.0%	656,292	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	742,157,796	100.0%	11,663	0.0%	40,272	N/A	Poll	F+A
3a	Y	Pass	No	For	1.7%	729,493,678	98.3%	12,660,618	1.7%	54,721	N/A	Poll	F+A
3b	Υ	Pass	No	For	0.6%	737,777,644	99.4%	4,363,830	0.6%	67,543	N/A	Poll	F+A
3c	Y	Pass	No	For	6.2%	696,409,662	93.8%	45,735,674	6.2%	63,681	N/A	Poll	F+A
3d	Υ	Pass	No	For	0.6%	737,773,818	99.4%	4,363,097	0.6%	72,676	N/A	Poll	F+A
3e	Υ	Pass	No	For	1.4%	731,825,371	98.6%	10,321,904	1.4%	62,316	N/A	Poll	F+A
3f	Υ	Pass	No	For	1.4%	731,803,328	98.6%	10,341,997	1.4%	64,266	N/A	Poll	F+A
3g	Υ	Pass	No	For	0.7%	736,670,813	99.3%	5,467,695	0.7%	70,936	N/A	Poll	F+A
3h	Υ	Pass	No	For	4.2%	710,615,350	95.8%	31,034,165	4.2%	559,068	N/A	Poll	F+A
3i	Υ	Pass	No	For	0.7%	736,640,687	99.3%	5,465,658	0.7%	103,078	N/A	Poll	F+A
3j	Υ	Pass	No	For	1.4%	731,805,440	98.6%	10,341,553	1.4%	62,513	N/A	Poll	F+A
3k	Υ	Pass	No	For	0.6%	737,781,704	99.4%	4,355,350	0.6%	72,537	N/A	Poll	F+A
4	Υ	Pass	No	For	0.1%	735,618,860	99.9%	506,203	0.1%	6,094,085	N/A	Poll	F+A
5	Υ	Pass	No	For	0.0%	741,762,019	100.0%	366,680	0.0%	91,290	N/A	Poll	F+A
6	Υ	Pass	No	For	6.1%	696,417,990	93.9%	45,307,922	6.1%	493,917	N/A	Poll	F+A
7	Υ	Pass	No	For	0.2%	740,924,738	99.8%	1,239,564	0.2%	55,687	N/A	Poll	F+A
8	Υ	Pass	No	For	0.8%	735,676,986	99.2%	6,089,039	0.8%	453,751	N/A	Poll	F+A
9	Y	Pass	No	For	5.1%	704,425,965	94.9%	37,787,904	5.1%	6,120	N/A	Poll	F+A
10	Y	Pass	No	For	0.0%	742,068,401	100.0%	127,678	0.0%	23,910	N/A	Poll	F+A
11	Υ	Pass	No	For	7.3%	687,637,313	92.7%	54,548,887	7.3%	33,789	N/A	Poll	F+A
12	Υ	Pass	No	For	0.1%	741,465,782	99.9%	706,204	0.1%	48,003	N/A	Poll	F+A
13	Υ	Pass	No	For	0.8%	736,024,084	99.2%	6,149,746	0.8%	46,159	N/A	Poll	F+A

### Victoria's Secret & Co.

Meeting Date: 05/27/2022 **Record Date:** 03/31/2022

Country: USA

Meeting Type: Annual

**Primary CUSIP:** 926400102

Ticker: VSCO

**Meeting ID:** 1637575

**Primary ISIN:** US9264001028

Proxy Level: 3

Primary SEDOL: BNNTGH3

164,243

164,243

Earliest Cutoff Date: 05/26/2022

Votable Shares: 164,243

RARF, RARF

Primary Security ID: 926400102

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS

**Additional Policy:** 

Shares Instructed: 164,243 Shares Voted: 164,243

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1.1		Elect Director Irene Chang Britt	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.									
		Blended Rationale: A vote FOR the director n	ominees is warı	anted.									
1.2		Elect Director Sarah Davis	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.									
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.									
1.3		Elect Director Jacqueline Hernandez	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.									
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.									
1.4		Elect Director Donna James	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.									
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.									
1.5		Elect Director Mariam Naficy	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director nominees is warranted.											
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.									
1.6		Elect Director Lauren Peters	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.									
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.									
1.7		Elect Director Anne Sheehan	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.									
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.									
1.8		Elect Director Martin Waters	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director nominees is warranted.											
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.									
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No	
3		Advisory Vote on Say on Pay Frequency	Yes	One Year	One Year		One Year	One Year	No	No	No		
4		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No	
Ballot Det	ails												
Institutiona (IA Name, I	l Account Detail A Number)											Shares Voted	

jbisset

05/18/2022

Confirmed

000392452

jbisset

05/18/2022

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Total Shares:	164,243	164,243

#### **Meeting Results**

Outstanding Shares Voted: 82,827,486

Results Available: Full

Item	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Υ	Pass	No	For	0.3%	71,265,358	99.7%	232,248	0.3%	60,019	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	1.0%	70,817,512	99.0%	680,141	1.0%	59,972	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.0%	70,800,310	99.0%	697,045	1.0%	60,270	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	1.1%	70,720,515	98.9%	789,499	1.1%	47,611	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	0.1%	71,452,047	99.9%	56,550	0.1%	49,028	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	0.1%	71,400,931	99.9%	94,318	0.1%	62,376	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	1.2%	68,263,846	98.8%	862,591	1.2%	2,431,188	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	0.2%	71,395,670	99.8%	112,062	0.2%	49,893	N/A	Proxy	F+A
2	Υ	Pass	No	For	0.9%	70,854,622	99.1%	626,224	0.9%	76,779	N/A	Proxy	F+A
4	Υ	Pass	No	For	0.1%	75,475,689	99.9%	56,631	0.1%	27,421	N/A	Proxy	F+A
Item #	VTG Prop Summa	Dissiden ary Prop	t Mgmt Rec	Dissent Level	1 YR	% 1 YR	2 YR	% 2 YR	% 3 YR 3 YR	Abstain	% Abstain	Result Type	Base
3	Y Pass	No	One Year	N/A	71055117	99.3%	24769	0.0%	115019 0.6%	62721	0.1%	Proxy	F+A

### **Alphabet Inc.**

Meeting Date: 06/01/2022 Record Date: 04/05/2022

Primary Security ID: 02079K305

**Earliest Cutoff Date:** 05/31/2022 **Votable Shares:** 1,833

Country: USA

Total Ballots: 1

Meeting Type: Annual

Primary CUSIP: 02079K305

\*Shares on Loan: 0

Ticker: GOOGL

Voting Policy: ISS

**Meeting ID:** 1641383

Shares Instructed: 1,833

Primary ISIN: US02079K3059

•

Proxy Level: 3

Primary SEDOL: BYVY8G0

Additional Policy: Shares Voted: 1,833

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Larry Page	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1b		Elect Director Sergey Brin	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1c		Elect Director Sundar Pichai	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nominees is warranted.										

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# Alphabet Inc.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1d		Elect Director John L. Hennessy	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1e		Elect Director Frances H. Arnold	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1f		Elect Director L. John Doerr	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1g		Elect Director Roger W. Ferguson, Jr.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1h		Elect Director Ann Mather	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
<b>1</b> i		Elect Director K. Ram Shriram	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1j		Elect Director Robin L. Washington	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
2		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Amend Omnibus Stock Plan	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: Based on evaluation of Scorecard (EPSC), a vote AGAINST this proposerate is excessive * The disclosure of change-indiscretionary) * The plan permits liberal recycles.	sal is warranted n-control ("CIC"	d due to the ") vesting tre	following ke Patment is in	y factor(s): ? complete (or	* The three-ye r is otherwise o	ar average i considered	burn			
		Blended Rationale: Based on evaluation of the Scorecard (EPSC), a vote AGAINST this propo- rate is excessive * The disclosure of change-in discretionary) * The plan permits liberal recycle	sal is warranted n-control ("CIC"	d due to the ") vesting tre	following ke Patment is in	y factor(s): ? complete (or	* The three-ye is otherwise o	ar average i considered	burn		•	
4		Increase Authorized Common Stock	Mgmt	Yes	For	For		For	For	No	No	No
5		Report on Lobbying Payments and Policy	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this resolution practices and policies, such as its trade associal management of related risks.										
		Blended Rationale: A vote FOR this resolution and policies, such as its trade association men management of related risks.			-	-		-	ices			

# **Alphabet Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6		Report on Climate Lobbying	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proportion review of how the company's and its trade assocompany caused by climate change and the company caused by climate change and caused by climate change change and caused by caus	sociations' lobby	ying position	•		,					
		Blended Rationale: A vote FOR this proposal is of how the company's and its trade associatio caused by climate change and the company's	ns' lobbying po	sitions align			-					
7		Report on Physical Risks of Climate Change	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proportion regarding how the company is assessing and the company is assessing a company is a company in the company in the company is a company in the company in the company is a company in the company in the company is a company in the company in the company in the company is a company in the co				benefit from i	increased disci	losure				
		Blended Rationale: A vote FOR this proposal is how the company is assessing and managing			would benei	fit from increa	ased disclosur	e regarding				
8		Report on Metrics and Efforts to Reduce Water Related Risk	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proporting from the company is managing climaters.			ders would l	benefit from i	increased disci	losure				
		Blended Rationale: A vote FOR this proposal is how the company is managing climate-related		hareholders	would benei	fit from increa	ased disclosur	e regarding				
9		Oversee and Report a Third-Party Racial Equity Audit	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this resolute better assess the effectiveness of Alphabet's ender the related risks.			-			-				
		Blended Rationale: A vote FOR this resolution better assess the effectiveness of Alphabet's e of related risks.		-			-		ent			
10		Report on Risks Associated with Use of Concealment Clauses	SH	Yes	Against	Against		Against	Against	No	No	No
11		Approve Recapitalization Plan for all Stock to Have One-vote per Share	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proportion preference for a capital structure in which the			-			reholders'				
		Blended Rationale: A vote FOR this proposal is preference for a capital structure in which the			•			lders'				
12		Report on Government Takedown Requests	SH	Yes	Against	Against		Against	Against	No	No	No
13		Report on Risks of Doing Business in Countries with Significant Human Rights Concerns	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proportion regarding how the company is managing hum					increased disci	losure				
		Blended Rationale: A vote FOR this proposal is how the company is managing human rights-				fit from increa	ased disclosur	e regarding				
14		Report on Managing Risks Related to Data Collection, Privacy and Security	SH	Yes	Against	Against		Against	Against	No	No	No

# **Alphabet Inc.**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
15		Disclose More Quantitative and Qualitative Information on Algo Systems	rithmic	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote F systems and increased reporting			•				-	nic			
		Blended Rationale: A vote FOR t systems and increased reporting		-		•	•		-				
16		Commission Third Party Assessr Company's Management of Misinformation and Disinformati Across Platforms		SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote F shareholders better evaluate the misinformation.				•	_			,			
		Blended Rationale: A vote FOR t shareholders better evaluate the misinformation.	, ,			,			,				
17		Report on External Costs of Misinformation and Impact on Diversified Shareholders		SH	Yes	Against	Against		Against	Against	No	No	No
18		Report on Steps to Improve Rad Gender Board Diversity	cial and	SH	Yes	Against	Against		Against	Against	No	No	No
19		Establish an Environmental Sustainability Board Committee		SH	Yes	Against	Against		Against	Against	No	No	No
20		Adopt a Policy to Include Non-Management Employees as Prospective Director Candidates		SH	Yes	Against	Against		Against	Against	No	No	No
21		Report on Policies Regarding Mi and Militarized Policing Agencies	•	SH	Yes	Against	Against		Against	Against	No	No	No
Ballot Deta	ils												
Institutional (IA Name, IA	Account Detail Number)	etail Custodian Account Number Ballot Status 1			I	Approve	d	Ballot Voting	Status	Votable Si	nares	Shares \	Voted
RARF, RARF		000392452	Confirmed	Auto-Instru	cted	Auto-Appr	roved			1,833	3	1,83	33
				05/18/2022		05/18/202	2						

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	Auto-Instructed	Auto-Approved		1,833	1,833
			05/18/2022	05/18/2022			
					Total Shares:	1,833	1,833

#### **Meeting Results**

Outstanding Shares Voted: 744,803,196 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	1.8%	592,852,727	98.2%	10,483,152	1.7%	444,070	0.1%	Proxy	F+A+AB
1b	Υ	Pass	No	For	1.8%	592,848,088	98.2%	10,485,256	1.7%	446,605	0.1%	Proxy	F+A+AB
1c	Υ	Pass	No	For	1.3%	595,666,963	98.7%	7,658,201	1.3%	454,785	0.1%	Proxy	F+A+AB
1d	Υ	Pass	No	For	8.3%	553,426,687	91.7%	49,316,543	8.2%	1,036,719	0.2%	Proxy	F+A+AB
1e	Υ	Pass	No	For	2.3%	589,868,660	97.7%	12,865,280	2.1%	1,046,009	0.2%	Proxy	F+A+AB
1f	Υ	Pass	No	For	5.5%	570,481,269	94.5%	32,801,993	5.4%	496,687	0.1%	Proxy	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

			-										
1g	Υ	Pass	No	For	0.9%	598,326,295	99.1%	4,942,318	0.8%	511,336	0.1%	Proxy	F+A+AB
1h	Υ	Pass	No	For	10.9%	537,742,671	89.1%	65,444,274	10.8%	593,004	0.1%	Proxy	F+A+AB
1i	Υ	Pass	No	For	3.4%	583,326,313	96.6%	19,954,131	3.3%	499,505	0.1%	Proxy	F+A+AB
1j	Υ	Pass	No	For	2.2%	590,506,893	97.8%	12,772,617	2.1%	500,439	0.1%	Proxy	F+A+AB
2	Υ	Pass	No	For	2.6%	617,716,269	97.4%	15,840,842	2.5%	573,282	0.1%	Proxy	F+A+AB
3	Υ	Pass	No	For	16.1%	506,345,892	83.9%	96,866,593	16.0%	567,464	0.1%	Proxy	F+A+AB
4	Y	Pass	No	For	3.3%	609,336,661	81.8%	24,202,969	3.2%	590,763	0.1%	Proxy	Capital Represented
5	Υ	Fail	No	Against	19.1%	114,337,748	18.9%	488,159,890	80.9%	1,282,311	0.2%	Proxy	F+A+AB
6	Υ	Fail	No	Against	20.1%	113,506,023	18.8%	482,661,112	79.9%	7,612,814	1.3%	Proxy	F+A+AB
7	Υ	Fail	No	Against	18.1%	107,101,112	17.7%	494,683,281	81.9%	1,995,556	0.3%	Proxy	F+A+AB
8	Υ	Fail	No	Against	22.9%	136,092,909	22.5%	465,662,037	77.1%	2,025,003	0.3%	Proxy	F+A+AB
9	Υ	Fail	No	Against	22.6%	134,699,006	22.3%	466,991,436	77.3%	2,089,507	0.3%	Proxy	F+A+AB
10	Υ	Fail	No	Against	12.4%	72,130,367	11.9%	528,736,242	87.6%	2,913,340	0.5%	Proxy	F+A+AB
11	Υ	Fail	No	Against	33.3%	200,212,885	33.2%	402,897,885	66.7%	669,179	0.1%	Proxy	F+A+AB
12	Υ	Fail	No	Against	1.0%	2,422,967	0.4%	597,589,694	99.0%	3,767,288	0.6%	Proxy	F+A+AB
13	Υ	Fail	No	Against	17.3%	102,603,593	17.0%	498,995,355	82.6%	2,181,001	0.4%	Proxy	F+A+AB
14	Υ	Fail	No	Against	12.5%	73,742,058	12.2%	528,275,894	87.5%	1,761,997	0.3%	Proxy	F+A+AB
15	Υ	Fail	No	Against	19.9%	117,998,457	19.5%	483,701,300	80.1%	2,080,192	0.3%	Proxy	F+A+AB
16	Y	Fail	No	Against	23.4%	138,860,290	23.0%	462,429,154	76.6%	2,490,505	0.4%	Proxy	F+A+AB
17	Υ	Fail	No	Against	4.2%	21,171,063	3.5%	578,562,198	95.8%	4,046,688	0.7%	Proxy	F+A+AB
18	Y	Fail	No	Against	5.6%	31,672,686	5.2%	569,647,268	94.3%	2,459,995	0.4%	Proxy	F+A+AB
19	Y	Fail	No	Against	5.2%	28,643,318	4.7%	572,059,570	94.7%	3,077,061	0.5%	Proxy	F+A+AB
20	Y	Fail	No	Against	2.7%	15,377,634	2.5%	587,364,843	97.3%	1,037,472	0.2%	Proxy	F+A+AB
21	Υ	Fail	No	Against	9.8%	55,301,799	9.2%	544,653,039	90.2%	3,825,111	0.6%	Proxy	F+A+AB
GoDa	addy I	nc.											
Maatis -	Date: 06 //	01/2022		Country UC			Ti da	CDDV		Durana			

Item

Voting

Proposal

Summary

Dissident

Proposal

Mgmt

Rec

Dissent

Level

For

For

Against

Against

Abstain

Abstain

Type

Base

Meeting Date: 06/01/2022 Country: USA Ticker: GDDY **Proxy Level:** 5 **Record Date:** 04/06/2022 Meeting Type: Annual **Meeting ID:** 1641401

**Primary CUSIP:** 380237107 Primary Security ID: 380237107 **Primary ISIN:** US3802371076 Primary SEDOL: BWFRFC6

Earliest Cutoff Date: 05/31/2022 Total Ballots: 1 Voting Policy: ISS **Additional Policy:** 

Votable Shares: 179,947 \*Shares on Loan: 0Shares Instructed: 179,947 Shares Voted: 179,947

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Amanpal (Aman) Bhutani	Mgmt	Yes	For	For		For	For	No	No	No

# **GoDaddy Inc.**

Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
	Voting Policy Rationale: A vote	FOR the directo	r nominees is	warranted.								
	Blended Rationale: A vote FOR	the director nor	minees is warra	anted.								
	Elect Director Caroline Donahue	е	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	r nominees is	warranted.								
	Blended Rationale: A vote FOR	the director noi	minees is warra	anted.								
	Elect Director Charles Robel		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	r nominees is	warranted.								
	Blended Rationale: A vote FOR	the director nor	minees is warra	anted.								
	Advisory Vote to Ratify Named Executive Officers' Compensation	on	Mgmt	Yes	For	For		For	For	No	No	No
	Advisory Vote on Say on Pay Frequency	visory Vote on Say on Pay equency			One Year	One Year		One Year	One Year	No	No	No
	Ratify Ernst & Young LLP as Au	iditors	Mgmt	Yes	For	For		For	For	No	No	No
	Declassify the Board of Director	rs	Mgmt	Yes	For	For		For	For	No	No	No
	Eliminate Certain Supermajority Requirements	y Voting	Mgmt	Yes	For	For		For	For	No	No	No
	Amend Certificate of Incorporate Eliminate Certain Business Combination Restrictions	tion to	Mgmt	Yes	For	For		For	For	No	No	No
	· ·		Mgmt	Yes	For	For		For	For	No	No	No
ils												
Account Detail Number)	Custodian Account Number	i	Approved	I	Ballot Voting	Status	Votable Si	nares	Shares '	Voted		
	000392452 Confirmed		jbisset		jbisset				179,94	17	179,	947
			05/19/2022	2	05/19/202	2						
i	Vote	Voting Policy Rationale: A vote Blended Rationale: A vote FOR  Elect Director Caroline Donahue  Voting Policy Rationale: A vote FOR  Elect Director Charles Robel  Voting Policy Rationale: A vote FOR  Elect Director Charles Robel  Voting Policy Rationale: A vote FOR  Advisory Vote to Ratify Named Executive Officers' Compensation Advisory Vote on Say on Pay Frequency  Ratify Ernst & Young LLP as Audentify Ernst & Young Eliminate Certain Supermajority Requirements  Amend Certificate of Incorporate Eliminate Certain Business Combination Restrictions  Amend Certificate of Incorporate Eliminate Inoperative Provision Implement Certain Other Miscellaneous Amendments  Is Cocount Detail Number)  Custodian Account Number	Voting Policy Rationale: A vote FOR the director Blended Rationale: A vote FOR the director nor Elect Director Caroline Donahue  Voting Policy Rationale: A vote FOR the director Blended Rationale: A vote FOR the director nor Elect Director Charles Robel  Voting Policy Rationale: A vote FOR the director Blended Rationale: A vote FOR the director Blended Rationale: A vote FOR the director nor Advisory Vote to Ratify Named Executive Officers' Compensation Advisory Vote on Say on Pay Frequency Ratify Ernst & Young LLP as Auditors Declassify the Board of Directors Eliminate Certain Supermajority Voting Requirements Amend Certificate of Incorporation to Eliminate Certain Business Combination Restrictions Amend Certificate of Incorporation to Eliminate Inoperative Provisions and Implement Certain Other Miscellaneous Amendments	Voting Policy Rationale: A vote FOR the director nominees is Blended Rationale: A vote FOR the director nominees is warra Elect Director Caroline Donahue Mgmt  Voting Policy Rationale: A vote FOR the director nominees is Blended Rationale: A vote FOR the director nominees is Blended Rationale: A vote FOR the director nominees is warra  Elect Director Charles Robel Mgmt  Voting Policy Rationale: A vote FOR the director nominees is warra  Elect Director Charles Robel Mgmt  Voting Policy Rationale: A vote FOR the director nominees is warra  Advisory Vote to Ratify Named Mgmt  Executive Officers' Compensation  Advisory Vote on Say on Pay Mgmt  Frequency  Ratify Ernst & Young LLP as Auditors Mgmt  Declassify the Board of Directors Mgmt  Eliminate Certain Supermajority Voting Mgmt  Requirements  Amend Certificate of Incorporation to Mgmt  Eliminate Certain Business  Combination Restrictions  Amend Certificate of Incorporation to Mgmt  Eliminate Inoperative Provisions and Implement Certain Other Miscellaneous Amendments  Custodian Account Number Ballot Status Instructed  000392452 Confirmed jbisset	Vote Proposal Text Proposent Proposal  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.  Elect Director Caroline Donahue Mgmt Yes  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.  Elect Director Charles Robel Mgmt Yes  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.  Advisory Vote to Ratify Named Mgmt Yes  Executive Officers' Compensation  Advisory Vote on Say on Pay Mgmt Yes  Frequency  Ratify Ernst & Young LLP as Auditors Mgmt Yes  Declassify the Board of Directors Mgmt Yes  Eliminate Certain Supermajority Voting Mgmt Yes  Requirements  Amend Certificate of Incorporation to Mgmt Yes  Eliminate Certain Business  Combination Restrictions  Amend Certificate of Incorporation to Eliminate Inoperative Provisions and Implement Certain Other Miscellaneous Amendments  Secount Petail Number Ballot Status Instructed	Vote Proposal Text Proposal Rec  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.  Elect Director Caroline Donahue Mgmt Yes For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.  Elect Director Charles Robel Mgmt Yes For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.  Advisory Vote to Ratify Named Mgmt Yes For  Executive Officers' Compensation  Advisory Vote on Say on Pay Mgmt Yes For  Peclassify the Board of Directors Mgmt Yes For  Eliminate Certain Supermajority Voting Mgmt Yes For  Eliminate Certain Business  Combination Restrictions  Amend Certificate of Incorporation to Mgmt Yes For  Eliminate Inoperative Provisions and Implement Certain Other Miscellaneous Amendments  Instructed Approved  Custodian Account Number Ballot Status Instructed Approved  O00392452 Confirmed jbisset jbisset	Voting Policy Rationale: A vote FOR the director nominees is warranted.  Elect Director Caroline Donahue Mgmt Yes For For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Elect Director Caroline Donahue Mgmt Yes For For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Elect Director Charles Robel Mgmt Yes For For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Elect Director Charles Robel Mgmt Yes For For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.  Advisory Vote to Ratify Named Mgmt Yes For For Executive Officers' Compensation  Advisory Vote on Say on Pay Mgmt Yes For For For For Frequency  Ratify Ernst & Young LLP as Auditors Mgmt Yes For For Endinance Certain Supermajority Voting Mgmt Yes For For Endinance Certain Supermajority Voting Mgmt Yes For For Endinante Certain Supermajority Voting Mgmt Yes For For Eliminate Certain Business Combination Restrictions  Amend Certificate of Incorporation to Eliminate Inoperative Provisions and Implement Certain Other Miscellaneous Amendments  Socoum Detail Number Ballot Status Instructed Approved Plaisest Joisset Joisset	Votation	Vote   Proposal Text   Proponent   Votable   Rec   ISS Rec   Policy   Rec   Rec	Votable   Proposal Text   Proposent   Pr	Votable   Proposal Text	Variable   Name   Proposed   Name   Proposed   Name   Name   Proposed   Name   Name

#### **Meeting Results**

Outstanding Shares Voted: 162,053,285

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	0.6%	140,888,793	99.4%	831,042	0.6%	17,690	N/A	Proxy	F+A
1b	Υ	Pass	No	For	1.7%	139,226,683	98.3%	2,479,754	1.7%	31,088	N/A	Proxy	F+A
1c	Υ	Pass	No	For	1.7%	134,746,644	98.3%	2,292,579	1.7%	4,698,302	N/A	Proxy	F+A
2	Υ	Pass	No	For	16.9%	117,784,337	83.1%	23,920,585	16.9%	32,603	0.0%	Proxy	F+A+AB
4	Υ	Pass	No	For	0.8%	147,472,602	99.2%	1,148,738	0.8%	10,260	0.0%	Proxy	F+A+AB
5	Υ	Pass	No	For	0.1%	141,585,231	99.9%	138,949	0.1%	13,345	0.0%	Proxy	F+A+AB
6	Υ	Pass	No	For	0.1%	141,549,650	99.9%	166,805	0.1%	21,070	0.0%	Proxy	F+A+AB

Total Shares:

179,947

179,947

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Ą	gainst	% Against	Abstain	% Abstain	Result Type	Base
7	Y	Pass	No	For	12.2%	124,399,755	87.8%	17,	317,537	12.2%	20,233	0.0%	Proxy	F+A+AB
8	Υ	Pass	No	For	0.0%	141,689,185	100.0%	2	5,041	0.0%	23,299	0.0%	Proxy	F+A+AB
	VTG Prop Summa	Dissident ary Prop	Mgmt Rec	Dissent Level	1 YR	% 1 YR	2 YR	% 2 YR	3 YR	% 3 YR	Abstain	% Abstain	Result Type	Base
3	Y Pass	No	One Year	N/A	140799074	99.3%	10583	0.0%	911330	0.6%	16538	0.0%	Proxy	F+A

Meeting Date: 06/01/2022

Earliest Cutoff Date: 05/24/2022

Votable Shares: 3,500,000

Country: South Africa

Ticker: GFI

Proxy Level: N/A

**Record Date:** 04/28/2022

Primary Security ID: S31755101

Meeting Type: Annual
Primary CUSIP: S31755101

**Meeting ID:** 1638498

Primary ISIN: ZAE000018123

Shares Instructed: 3,500,000

Primary SEDOL: 6280215

Filliary Security 1D. 551755101

\*Shares on Loan: 0

Voting Policy: ISS

Additional Policy:
Shares Voted: 3,500,000

Additional Voting Vote Vote Policy Significant Votable Policy Vote Against Against Against Proposal Mgmt ISS Rec Number Vote **Proposal Text** Proponent Proposal Rec Rec Rec Instruction Mgmt Policy ISS Meeting for ADR Holders Mgmt No **Ordinary Resolutions** Mgmt No Reappoint PricewaterhouseCoopers Inc 1 Mgmt Yes For For For For No No No as Auditors of the Company 2.1 Elect Cristina Bitar as Director For For No No Mgmt Yes No Voting Policy Rationale: A vote FOR these items is warranted: \* No issues have been identified in relation to the election or re-election of these Directors. Blended Rationale: A vote FOR these items is warranted: \* No issues have been identified in relation to the election or re-election of these Directors. 2.2 Elect Jacqueline McGill as Director Mgmt Yes For For For No No No Voting Policy Rationale: A vote FOR these items is warranted: \* No issues have been identified in relation to the election or re-election of these Directors. Blended Rationale: A vote FOR these items is warranted: \* No issues have been identified in relation to the election or re-election of these Directors. Re-elect Paul Schmidt as Director 2.3 Mgmt Yes For No No No Voting Policy Rationale: A vote FOR these items is warranted: \* No issues have been identified in relation to the election or re-election of these Directors. Blended Rationale: A vote FOR these items is warranted: \* No issues have been identified in relation to the election or re-election of these Directors. 2.4 Re-elect Alhassan Andani as Director For For No No Mamt No Voting Policy Rationale: A vote FOR these items is warranted: \* No issues have been identified in relation to the election or re-election of these Directors. Blended Rationale: A vote FOR these items is warranted: \* No issues have been identified in relation to the election or re-election of these Directors. 2.5 Re-elect Peter Bacchus as Director Mamt Yes For For For No No No Voting Policy Rationale: A vote FOR these items is warranted: \* No issues have been identified in relation to the election or re-election of these Directors.

Blended Rationale: A vote FOR these items is warranted: \* No issues have been identified in relation to the election or re-election of these Directors.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3.1		Elect Philisiwe Sibiya as Chairperson of the Audit Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item	ns is warranted	: * All of the	members o	of the Audit C	Committee are	independent				
		Blended Rationale: A vote FOR these items is	warranted: * A	ll of the men	nbers of the	Audit Comm	nittee are indep	pendent.				
3.2		Re-elect Alhassan Andani as Member of the Audit Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item	ns is warranted	: * All of the	members o	of the Audit C	Committee are	independent				
		Blended Rationale: A vote FOR these items is	warranted: * A	ll of the men	mbers of the	Audit Comm	nittee are indep	pendent.				
3.3		Re-elect Peter Bacchus as Member of the Audit Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item	ns is warranted	: * All of the	members o	of the Audit C	Committee are	independent				
		Blended Rationale: A vote FOR these items is	warranted: * A	ll of the men	nbers of the	Audit Comm	nittee are indep	pendent.				
4		Place Authorised but Unissued Shares under Control of Directors	Mgmt	Yes	For	For		For	For	No	No	No
5.1		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No
5.2		Approve Remuneration Implementation Report	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this is up to his retirement in September 2021, despiretirement agreement, there are concerns with based on the former Director's previous two-y-Blended Rationale: A vote AGAINST this item his retirement in September 2021, despite lear etirement agreement, there are concerns with based on the former Director's previous two-y-	ite leaving the I th the appropria year average bo is warranted: * ving the role ar th the appropria	role and Con teness of the nus, instead The former nd Company teness of the	npany in Ma e basis of th of his FY20 CEO receive in March 20 e basis of th	rch 2021. Fur ne award as a 121 performan ned a sizable b 121. Further, ne award as a	rther, notwiths a portion of the nce. conus award, p notwithstandin a portion of the	standing his e bonus was pro-rated up ng his	to		-	
		Special Resolutions	Mgmt	No	0111131120	zi perrorma	11001					
1		Authorise Board to Issue Shares for Cash	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Approve Remuneration of Chairperson of the Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item south African companies are offering to their				ear to be in li	ine with what o	comparable				
		Blended Rationale: A vote FOR this item is wa African companies are offering to their NEDs.				o be in line w	ith what comp	arable Soutl	ל			
2.2		Approve Remuneration of Lead Independent Director of the Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item a South African companies are offering to their				ear to be in li	ine with what o	comparable				
		Blended Rationale: A vote FOR this item is wa African companies are offering to their NEDs.				o be in line w	ith what comp	arable Soutl	'n			
2.3		Approve Remuneration of Chairperson of the Audit Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item south African companies are offering to their				ear to be in li	ine with what o	comparable				
		Blended Rationale: A vote FOR this item is wa African companies are offering to their NEDs.				o be in line w	ith what comp	arable Soutl	ל			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2.4		Approve Remuneration of Chairpersons of the Capital Projects, Control and Review Committee, Nominating and Governance Committee, Remuneration Committee, Risk Committee, SET Committee and SHSD Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is South African companies are offering to their N				ar to be in lii	ne with what c	omparable				
		Blended Rationale: A vote FOR this item is war. African companies are offering to their NEDs. N		•		be in line wi	ith what compa	arable South				
2.5		Approve Remuneration of Members of the Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is South African companies are offering to their N				ar to be in lii	ne with what c	omparable				
		Blended Rationale: A vote FOR this item is war. African companies are offering to their NEDs. N				be in line wi	ith what compa	arable South				
2.6		Approve Remuneration of Members of the Audit Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is South African companies are offering to their N				ar to be in lii	ne with what c	omparable				
		Blended Rationale: A vote FOR this item is war. African companies are offering to their NEDs. N				be in line wi	ith what compa	arable South				
2.7		Approve Remuneration of Members of the Capital Projects, Control and Review Committee, Nominating and Governance Committee, Remuneration Committee, Risk Committee, SET Committee and SHSD Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is South African companies are offering to their N				ar to be in lii	ne with what c	omparable				
		Blended Rationale: A vote FOR this item is war. African companies are offering to their NEDs. N		•		be in line wi	ith what compa	arable South				
2.8		Approve Remuneration of Chairperson of the Ad-hoc Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is South African companies are offering to their N				ar to be in lii	ne with what c	omparable				
		Blended Rationale: A vote FOR this item is war. African companies are offering to their NEDs. N		•		be in line wi	ith what compa	arable South				
2.9		Approve Remuneration of Member of the Ad-hoc Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is South African companies are offering to their N				ar to be in lii	ne with what c	omparable				
		Blended Rationale: A vote FOR this item is war. African companies are offering to their NEDs. N		•		be in line wi	ith what compa	arable South				
3		Approve Financial Assistance in Terms of Sections 44 and 45 of the Companies Act	Mgmt	Yes	For	For		For	For	No	No	No
4		Authorise Repurchase of Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails											
Institutional	Account Detail	Custodian	Instructor		Annrous	d	Rallot Voting	Statue	Votable Si	nares	Shares	/oted

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Instructed

Approved

**Ballot Voting Status** 

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	Auto-Instructed	Auto-Approved		3,500,000	3,500,000
			05/19/2022	05/19/2022			
					Total Shares:	3,500,000	3,500,000

#### **Meeting Results**

Outstanding Shares Voted: 891,377,583

Results Available: Partial

utstan	ding Shares	Voted: 891,377	,583		Result	ts Available: Pa	rtial						
item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
	N												
1	Υ	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
2.1	Y	Pass	No	For	0.0%	N/A	100.0%	N/A	0.0%	N/A	N/A	Poll	F+A
2.2	Y	Pass	No	For	0.0%	N/A	100.0%	N/A	0.0%	N/A	N/A	Poll	F+A
2.3	Y	Pass	No	For	0.2%	N/A	99.8%	N/A	0.2%	N/A	N/A	Poll	F+A
2.4	Y	Pass	No	For	1.1%	N/A	98.9%	N/A	1.1%	N/A	N/A	Poll	F+A
.5	Y	Pass	No	For	1.1%	N/A	98.9%	N/A	1.1%	N/A	N/A	Poll	F+A
.1	Y	Pass	No	For	1.0%	N/A	99.0%	N/A	1.0%	N/A	N/A	Poll	F+A
3.2	Y	Pass	No	For	0.0%	N/A	100.0%	N/A	0.0%	N/A	N/A	Poll	F+A
.3	Υ	Pass	No	For	0.0%	N/A	100.0%	N/A	0.0%	N/A	N/A	Poll	F+A
4	Y	Pass	No	For	7.1%	N/A	93.0%	N/A	7.1%	N/A	N/A	Poll	F+A
.1	Υ	Pass	No	For	4.8%	N/A	95.2%	N/A	4.8%	N/A	N/A	Poll	F+A
.2	Υ	Pass	No	For	33.1%	N/A	66.9%	N/A	33.1%	N/A	N/A	Poll	F+A
	N												
1	Υ	Pass	No	For	7.6%	N/A	92.5%	N/A	7.6%	N/A	N/A	Poll	F+A
.1	Υ	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
.2	Υ	Pass	No	For	0.6%	N/A	99.4%	N/A	0.6%	N/A	N/A	Poll	F+A
3	Υ	Pass	No	For	0.1%	N/A	100.0%	N/A	0.1%	N/A	N/A	Poll	F+A
.4	Υ	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
.5	Υ	Pass	No	For	0.5%	N/A	99.5%	N/A	0.5%	N/A	N/A	Poll	F+A
.6	Υ	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
.7	Υ	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
.8	Υ	Pass	No	For	0.9%	N/A	99.2%	N/A	0.9%	N/A	N/A	Poll	F+A
.9	Υ	Pass	No	For	0.9%	N/A	99.2%	N/A	0.9%	N/A	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
3	Y	Pass	No	For	1.0%	N/A	99.0%	N/A	1.0%	N/A	N/A	Poll	F+A
4	Υ	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A

## **Prosegur Cash SA**

Meeting Date: 06/01/2022

Country: Spain Meeting Type: Annual Ticker: CASH **Meeting ID:** 1610500 Proxy Level: N/A

**Record Date:** 05/27/2022

**Primary Security ID:** E8S56X108 Primary CUSIP: E8S56X108

**Primary ISIN:** ES0105229001

Primary SEDOL: BDH3Q71

Earliest Cutoff Date: 05/27/2022

Votable Shares: 5,803,827

Total Ballots: 1\*Shares on Loan: 0 Voting Policy: ISS

**Additional Policy:** 

Shares Instructed: 5,803,827 **Shares Voted:** 5,803,827

	, , , , , , ,					, .				.,,.		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Consolidated and Standalone Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Non-Financial Information Statement	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Allocation of Income and Dividends	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Discharge of Board	Mgmt	Yes	For	For		For	For	No	No	No
5		Reelect Christian Gut Revoredo as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the reelective Ties T is warranted, as the company complied controlled companies. A vote FOR Item 6 is well as the controlled companies.	s with the one-	third indepe	ndence guid	deline applicat	ble to Spain-ind				-	
		Blended Rationale: A vote FOR the reelection warranted, as the company complies with the companies. A vote FOR Item 6 is warranted of	one-third inde	pendence gu	uideline app	licable to Spa					-	
6		Reelect Jose Antonio Lasanta Luri as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the reelective Ties T is warranted, as the company complied controlled companies. A vote FOR Item 6 is well as the controlled companies.	s with the one-	third indepe	ndence guid	deline applicat	ble to Spain-ind					
		Blended Rationale: A vote FOR the reelection warranted, as the company complies with the companies. A vote FOR Item 6 is warranted of	one-third inde	pendence gu	uideline app	licable to Spa					-	
7		Reelect Antonio Rubio Merino as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the reelective Ties T is warranted, as the company complied controlled companies. A vote FOR Item 6 is well as the controlled companies.	s with the one-	third indepe	ndence guia	deline applicat	ble to Spain-ind				-	
		Blended Rationale: A vote FOR the reelection warranted, as the company complies with the companies. A vote FOR Item 6 is warranted of	one-third inde	pendence gu	uideline app	licable to Spa					-	
8		Advisory Vote on Remuneration Report	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes

# **Prosegur Cash SA**

Proposal Significant Number Vote	: Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9	Approve Remuneration Police	у	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
	Voting Policy Rationale: A vol does not set clear guidelines joined the company. * Inform Optimum LTI awards vest aft	on potential sala nation on the STI	ry increase and	the board h	nas significa	ntly increased	d the CEO's sai	lary since he	bal			
	Blended Rationale: A vote AC set clear guidelines on poten company. * Information on t LTI awards vest after three y	tial salary increas he STI metrics re	e and the board	d has signifi	cantly incre	ased the CEC	s salary since	he joined the	ę			
10	Approve Reduction in Share Amortization of Treasury Sha	•	Mgmt	Yes	For	For		For	For	No	No	No
11	Approve Merger by Absorption Prosegur Global CIT SLU	on of	Mgmt	Yes	For	For		For	For	No	No	No
12	Authorize Board to Ratify an Approved Resolutions	d Execute	Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account Deta (IA Name, IA Number)	il Custodian Account Number	Ballot Status	Instructed	d	Approv	ved	Ballot Voting	Status	Votable S	ihares	Shares	Voted
RARF, RARF	392452	Confirmed	jbisset		jbisset				5,803,	827	5,803	3,827
			05/26/2022	2	05/26/2	1022						
								Total Shares:	5,803,	827	5,803	,827

#### **Meeting Results**

Outstanding Shares Voted: 1,522,946,683

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	1,344,171,116	100.0%	0	0.0%	253,938	0.0%	Poll	F+A+AB
2	Υ	Pass	No	For	0.0%	1,344,425,054	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
3	Υ	Pass	No	For	0.0%	1,344,425,054	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
4	Υ	Pass	No	For	0.4%	1,339,144,329	99.6%	4,988,523	0.4%	292,202	0.0%	Poll	F+A+AB
5	Υ	Pass	No	For	2.0%	1,317,619,258	98.0%	26,805,796	2.0%	0	0.0%	Poll	F+A+AB
6	Υ	Pass	No	For	1.8%	1,320,632,169	98.2%	23,792,885	1.8%	0	0.0%	Poll	F+A+AB
7	Υ	Pass	No	For	2.0%	1,317,446,549	98.0%	26,978,505	2.0%	0	0.0%	Poll	F+A+AB
8	Υ	Pass	No	For	5.7%	1,268,342,779	94.3%	76,082,275	5.7%	0	0.0%	Poll	F+A+AB
9	Υ	Pass	No	For	6.7%	1,254,002,033	93.3%	90,423,021	6.7%	0	0.0%	Poll	F+A+AB
10	Υ	Pass	No	For	0.0%	1,344,425,054	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
11	Υ	Pass	No	For	0.0%	1,344,366,454	100.0%	0	0.0%	58,600	0.0%	Poll	F+A+AB
12	Y	Pass	No	For	0.0%	1,344,425,054	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB

## **Chesapeake Energy Corporation**

Meeting Date: 06/09/2022 **Record Date:** 04/11/2022

Country: USA

Meeting Type: Annual

Ticker: CHK

Proxy Level: 3

**Meeting ID:** 1646883

**Primary Security ID:** 165167735

**Primary CUSIP:** 165167735

**Primary ISIN:** US1651677353

Primary SEDOL: BMZ5LZ5

Earliest Cutoff Date: 06/08/2022 Votable Shares: 600,000

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS

**Additional Policy:** 

Shares Instructed: 600,000 Shares Voted: 600,000

Total Shares:

600,000

600,000

Proposal Significa Number Vote	nt Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1a	Elect Director Domenic J. Dell'Osso,	Jr. Mgmt	Yes	For	For		For	For	No	No	No	
	Voting Policy Rationale: A vote FOR t	he director nominees i	s warranted.									
	Blended Rationale: A vote FOR the di	irector nominees is wai	rranted.									
1b	Elect Director Timothy S. Duncan	Mgmt	Yes	For	For		For	For	No	No	No	
	Voting Policy Rationale: A vote FOR t	he director nominees is	s warranted.									
	Blended Rationale: A vote FOR the di	irector nominees is wai	rranted.									
1c	Elect Director Benjamin C. Duster, IV	/ Mgmt	Yes	For	For		For	For	No	No	No	
	Voting Policy Rationale: A vote FOR t	he director nominees is	s warranted.									
	Blended Rationale: A vote FOR the di	irector nominees is wai	rranted.									
1d	Elect Director Sarah A. Emerson	Mgmt	Yes	For	For		For	For	No	No	No	
	Voting Policy Rationale: A vote FOR t	he director nominees is	s warranted.									
	Blended Rationale: A vote FOR the di	ad Rationale: A vote FOR the director nominees is warranted.										
1e	Elect Director Matthew M. Gallagher	Mgmt	Yes	For	For		For	For	No	No	No	
	Voting Policy Rationale: A vote FOR t	he director nominees is	s warranted.									
	Blended Rationale: A vote FOR the di	irector nominees is wai	rranted.									
1f	Elect Director Brian Steck	Mgmt	Yes	For	For		For	For	No	No	No	
	Voting Policy Rationale: A vote FOR t	he director nominees is	s warranted.									
	Blended Rationale: A vote FOR the di	irector nominees is wai	rranted.									
1g	Elect Director Michael A. Wichterich	Mgmt	Yes	For	For		For	For	No	No	No	
	Voting Policy Rationale: A vote FOR t	he director nominees is	s warranted.									
	Blended Rationale: A vote FOR the di	irector nominees is wai	rranted.									
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No	
3	Ratify PricewaterhouseCoopers LLP a Auditor	as Mgmt	Yes	For	For		For	For	No	No	No	
Ballot Details												
Institutional Account De (IA Name, IA Number)											Voted	
RARF, RARF	000392452 Conf	irmed jbisset		jbisset				600,0	00	600,	.000	
		05/31/20	122	05/31/20	)22							

**Meeting Results** 

Outstanding Shares Voted: 127,185,697 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	0.2%	103,135,437	99.8%	207,525	0.2%	1,969,395	N/A	Proxy	F+A
1b	Υ	Pass	No	For	0.2%	103,089,039	99.8%	254,107	0.2%	1,969,211	N/A	Proxy	F+A
1c	Υ	Pass	No	For	0.3%	103,023,987	99.7%	319,452	0.3%	1,968,918	N/A	Proxy	F+A
1d	Υ	Pass	No	For	2.8%	100,426,396	97.2%	2,916,772	2.8%	1,969,189	N/A	Proxy	F+A
1e	Υ	Pass	No	For	4.5%	98,164,971	95.5%	4,598,518	4.5%	2,548,868	N/A	Proxy	F+A
1f	Υ	Pass	No	For	0.1%	103,218,663	99.9%	124,375	0.1%	1,969,319	N/A	Proxy	F+A
1g	Υ	Pass	No	For	0.3%	103,041,006	99.7%	301,314	0.3%	1,970,037	N/A	Proxy	F+A
2	Υ	Pass	No	For	0.7%	101,508,570	99.3%	704,537	0.7%	3,099,250	N/A	Proxy	F+A
3	Υ	Pass	No	For	1.7%	105,419,382	98.3%	1,864,357	1.7%	2,253,205	N/A	Proxy	F+A

### **Jackson Financial Inc.**

Meeting Date: 06/09/2022 **Record Date:** 04/14/2022

Earliest Cutoff Date: 06/08/2022

Votable Shares: 227,573

**Primary Security ID:** 46817M107

Country: USA Meeting Type: Annual

Total Ballots: 1

Primary CUSIP: 46817M107

\*Shares on Loan: 0

Ticker: JXN **Meeting ID:** 1641939

Voting Policy: ISS

Primary ISIN: US46817M1071

Shares Instructed: 227,573

Proxy Level: 3

Primary SEDOL: BMFX6P4

**Additional Policy:** Shares Voted: 227,573

				5 55 2.		,,5,,5		J 05 1 0 1 0 1 0 1	22/,5/5		
Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
	Elect Director Lily Fu Claffee	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR the di	irector nominees is	s warranted.								
	Blended Rationale: A vote FOR the director	or nominees is wai	rranted.								
	Elect Director Gregory T. Durant	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR the di	irector nominees is	s warranted.								
	Blended Rationale: A vote FOR the director	or nominees is wai	rranted.								
	Elect Director Steven A. Kandarian	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR the di	irector nominees is	s warranted.							-	
	Blended Rationale: A vote FOR the director	or nominees is wai	rranted.								
	Elect Director Derek G. Kirkland	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR the di	irector nominees is	s warranted.								
	Blended Rationale: A vote FOR the director	or nominees is wai	rranted.								
	Elect Director Drew E. Lawton	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR the di	irector nominees is	s warranted.								
	Blended Rationale: A vote FOR the director	or nominees is wai	rranted.								
	Elect Director Martin J. Lippert	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR the di	irector nominees is	s warranted.								
	Blended Rationale: A vote FOR the director	or nominees is wai	rranted.								
	-	Elect Director Lily Fu Claffee  Voting Policy Rationale: A vote FOR the director  Blended Rationale: A vote FOR the director  Elect Director Gregory T. Durant  Voting Policy Rationale: A vote FOR the director  Elect Director Steven A. Kandarian  Voting Policy Rationale: A vote FOR the director  Elect Director Steven A. Kandarian  Voting Policy Rationale: A vote FOR the director  Elect Director Derek G. Kirkland  Voting Policy Rationale: A vote FOR the director  Elect Director Drew E. Lawton  Voting Policy Rationale: A vote FOR the director  Elect Director Drew E. Lawton  Voting Policy Rationale: A vote FOR the director  Elect Director Martin J. Lippert  Voting Policy Rationale: A vote FOR the director	Elect Director Lily Fu Claffee Mgmt  Voting Policy Rationale: A vote FOR the director nominees is Blended Rationale: A vote FOR the director nominees is Wall Elect Director Gregory T. Durant Mgmt  Voting Policy Rationale: A vote FOR the director nominees is Wall Blended Rationale: A vote FOR the director nominees is Wall Elect Director Steven A. Kandarian Mgmt  Voting Policy Rationale: A vote FOR the director nominees is Blended Rationale: A vote FOR the director nominees is Wall Elect Director Derek G. Kirkland Mgmt  Voting Policy Rationale: A vote FOR the director nominees is Blended Rationale: A vote FOR the director nominees is Wall Elect Director Drew E. Lawton Mgmt  Voting Policy Rationale: A vote FOR the director nominees is Wall Elect Director Drew E. Lawton Mgmt  Voting Policy Rationale: A vote FOR the director nominees is Wall Elect Director Martin J. Lippert Mgmt  Voting Policy Rationale: A vote FOR the director nominees is Wall Elect Director Martin J. Lippert Mgmt	Elect Director Lily Fu Claffee Mgmt Yes  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.  Elect Director Gregory T. Durant Mgmt Yes  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.  Elect Director Steven A. Kandarian Mgmt Yes  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.  Elect Director Derek G. Kirkland Mgmt Yes  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.  Elect Director Drew E. Lawton Mgmt Yes  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.	Elect Director Lily Fu Claffee Mgmt Yes For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Elect Director Gregory T. Durant Mgmt Yes For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Elect Director Gregory T. Durant Mgmt Yes For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Elect Director Steven A. Kandarian Mgmt Yes For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Elect Director Steven A. Kandarian Mgmt Yes For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Elect Director Derek G. Kirkland Mgmt Yes For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Elect Director Drew E. Lawton Mgmt Yes For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Elect Director Drew E. Lawton Mgmt Yes For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Elect Director Martin J. Lippert Mgmt Yes For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Elect Director Martin J. Lippert Mgmt Yes For  Voting Policy Rationale: A vote FOR the director nominees is warranted.	Elect Director Lily Fu Claffee Mgmt Yes For For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Elect Director Gregory T. Durant Mgmt Yes For For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Elect Director Gregory T. Durant Mgmt Yes For For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.  Elect Director Steven A. Kandarian Mgmt Yes For For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.  Elect Director Derek G. Kirkland Mgmt Yes For For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.  Elect Director Drew E. Lawton Mgmt Yes For For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Elect Director Drew E. Lawton Mgmt Yes For For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Elect Director Martin J. Lippert Mgmt Yes For For  Voting Policy Rationale: A vote FOR the director nominees is warranted.  Elect Director Martin J. Lippert Mgmt Yes For For	Elect Director Lily Fu Claffee   Mgmt   Yes   For   For   For	Significant Vote Proposal Text Proposal Rec ISS Rec	Significant Vote Proposal Text Proposed Proposal Rec ISS Rec Rec Rec Instruction    Proposal Text   Proposal Text   Proposal Rec   Proposal Rec   Rec   Rec   Instruction	Significant Vote Proposal Text Proposal Text Proposal Rec ISS Rec Rec Instruction Mgmt  From Proposal Text Proposal Text Proposal Rec ISS Rec Rec Rec Instruction Mgmt  From Mgmt Yes For For For For For No    Voting Policy Rationale: A vote FOR the director nominees is warranted.	Proposal Text   Proposal Text   Proposal Rec   Proposal Rec   Rec   Rec   Rec   Rec   Instruction   Mgmt   Policy   Policy   Policy   Policy   Mgmt   Policy   Poli

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Jackson Financial Inc.**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.7	Elect Director Russell G. Noles		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.								
	Blended Rationale: A vote FOR	the director no.	minees is warra	anted.								
1.8	Elect Director Laura L. Prieskor	'n	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.								
	Blended Rationale: A vote FOR	the director no.	minees is warra	anted.								
1.9	Elect Director Esta E. Stecher		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.								
	Blended Rationale: A vote FOR	the director no.	minees is warra	anted.								
2	Ratify KPMG LLP as Auditors		Mgmt	Yes	For	For		For	For	No	No	No
3	Advisory Vote on Say on Pay Frequency		Mgmt	Yes	One Year	One Year		One Year	One Year	No	No	No
4	Advisory Vote to Ratify Named Executive Officers' Compensati		Mgmt	Yes	For	For		For	For	No	No	No
5	Eliminate Class B Common Sto	ck	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	d	Approve	1	Ballot Voting	Status	Votable S	hares	Shares	Voted
RARF, RARF	000392452	Confirmed	jbisset		jbisset				227,5	73	227,	573
			06/01/2022	2	06/01/202	22						
								Total Shares:	227,5	73	227,	573

#### **Meeting Results**

Outstanding Shares Voted: 86,672,714

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	1.1%	57,760,088	98.9%	623,225	1.1%	0	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	0.5%	58,071,626	99.5%	311,687	0.5%	0	N/A	Proxy	F+A
1.3	Y	Pass	No	For	1.2%	57,666,462	98.8%	716,851	1.2%	0	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	0.4%	58,151,442	99.6%	231,871	0.4%	0	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	0.5%	58,102,402	99.5%	280,911	0.5%	0	N/A	Proxy	F+A
1.6	Y	Pass	No	For	1.1%	57,756,714	98.9%	626,599	1.1%	0	N/A	Proxy	F+A
1.7	Y	Pass	No	For	0.4%	58,156,889	99.6%	226,424	0.4%	0	N/A	Proxy	F+A
1.8	Y	Pass	No	For	0.3%	58,184,462	99.7%	198,851	0.3%	0	N/A	Proxy	F+A
1.9	Y	Pass	No	For	1.1%	57,760,512	98.9%	622,801	1.1%	0	N/A	Proxy	F+A
2	Y	Pass	No	For	1.6%	65,504,943	98.4%	708,021	1.1%	363,997	0.5%	Proxy	F+A+AB
4	Υ	Pass	No	For	3.6%	56,249,701	96.3%	1,947,710	3.3%	185,902	0.3%	Proxy	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #		ting posal S	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Aga	ainst	% Against	Abstain	% Abstain	Result Type	Base
5	,	Υ	Pass	No	For	0.2%	58,189,305	67.1%	16	,731	0.0%	177,277	0.2%	Proxy	Capital Represented
Item #		Summary	Dissident Prop	Mgmt Rec	Dissent Level	1 YR	% 1 YR	2 YR	% 2 YR	3 YR	% 3 YR	Abstain	% Abstain	Result Type	Base
3	Υ	Pass	No	One Year	N/A	57793886	99.0%	324029	0.6%	79335	0.1%	186063	0.3%	Proxy	F+A

### **General Motors Company**

Meeting Date: 06/13/2022Country: USATicker: GMProxy Level: 3

Record Date: 04/19/2022 Meeting Type: Annual Meeting ID: 1647489

 Primary Security ID: 37045V100
 Primary CUSIP: 37045V100
 Primary ISIN: US37045V1008
 Primary SEDOL: B665KZ5

Earliest Cutoff Date: 06/10/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy:

Votable Shares: 224,649 \*Shares on Loan: 0 Shares Instructed: 224,649 Shares Voted: 224,649

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Mary T. Barra	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1b		Elect Director Aneel Bhusri	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1c		Elect Director Wesley G. Bush	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1d		Elect Director Linda R. Gooden	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1e		Elect Director Joseph Jimenez	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1f		Elect Director Judith A. Miscik	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1g		Elect Director Patricia F. Russo	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1h		Elect Director Thomas M. Schoewe	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.							-	

# **General Motors Company**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1i		Elect Director Carol M. Stephenson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	ranted.								
1j		Elect Director Mark A. Tatum	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	ranted.								
1k		Elect Director Devin N. Wenig	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	ranted.								
11		Elect Director Margaret C. Whitman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	ranted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propo shareholders to call a special meeting would en of the right is considered small given the comp	nhance shareh	olders' abilit	y to make u	se of the righ	nt, and the like		use			
		Blended Rationale: A vote FOR this proposal is call a special meeting would enhance sharehol considered small given the company's size and	ders' ability to	make use or	f the right, a	nd the likelih						
5		Require Independent Board Chair	SH	Yes	Against	Against		Against	Against	No	No	No
6		Report on the Use of Child Labor in Connection with Electric Vehicles	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propochild labor from its supply chain would allow in rights-related risks in its supply chain.		•					te			
		Blended Rationale: A vote FOR this proposal is labor from its supply chain would allow investorisks in its supply chain.	-				•		nild			
Ballot Det	ails											

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	jbisset	jbisset		224,649	224,649
			06/01/2022	06/01/2022			
					Total Shares:	224,649	224,649

#### **Meeting Results**

Outstanding Shares Voted: 1,458,240,927 Results Available: Full

Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Type	Base
	. roposui	Juliliary	Пороза		ECVC.			Aguilloc	7.94	Abstani	7.000	.,,,,	

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	4.0%	1,039,068,895	96.0%	43,334,388	4.0%	6,619,561	N/A	Proxy	F+A
1b	Y	Pass	No	For	0.4%	1,082,407,112	99.6%	4,069,890	0.4%	2,545,842	N/A	Proxy	F+A
1c	Υ	Pass	No	For	1.0%	1,074,924,277	99.0%	11,281,829	1.0%	2,816,738	N/A	Proxy	F+A
1d	Υ	Pass	No	For	0.3%	1,083,001,248	99.7%	3,254,292	0.3%	2,767,304	N/A	Proxy	F+A
1e	Υ	Pass	No	For	5.6%	1,025,762,525	94.4%	60,736,923	5.6%	2,523,396	N/A	Proxy	F+A
1f	Υ	Pass	No	For	1.0%	1,075,382,022	99.0%	10,838,350	1.0%	2,802,472	N/A	Proxy	F+A
1g	Υ	Pass	No	For	7.7%	993,051,809	92.3%	82,346,660	7.7%	13,624,375	N/A	Proxy	F+A
1h	Υ	Pass	No	For	1.0%	1,075,772,231	99.0%	10,399,974	1.0%	2,850,639	N/A	Proxy	F+A
1i	Υ	Pass	No	For	2.9%	1,054,305,094	97.1%	31,921,439	2.9%	2,796,311	N/A	Proxy	F+A
1j	Υ	Pass	No	For	0.6%	1,079,690,772	99.4%	6,783,968	0.6%	2,548,104	N/A	Proxy	F+A
1k	Υ	Pass	No	For	0.3%	1,083,369,503	99.7%	3,092,859	0.3%	2,560,482	N/A	Proxy	F+A
11	Υ	Pass	No	For	0.9%	1,078,208,931	99.1%	9,319,798	0.9%	1,494,115	N/A	Proxy	F+A
2	Υ	Pass	No	For	7.7%	1,005,382,990	92.3%	80,003,171	7.3%	3,636,683	0.3%	Proxy	F+A+AB
3	Υ	Pass	No	For	0.6%	1,212,233,262	99.4%	4,594,488	0.4%	2,539,189	0.2%	Proxy	F+A+AB
4	Υ	Fail	No	Against	39.1%	422,415,213	38.8%	663,278,506	60.9%	3,329,125	0.3%	Proxy	F+A+AB
5	Υ	Fail	No	Against	20.7%	222,140,892	20.4%	863,656,900	79.3%	3,225,052	0.3%	Proxy	F+A+AB
6	Υ	Fail	No	Against	23.5%	240,069,374	22.0%	833,595,989	76.5%	15,357,481	1.4%	Proxy	F+A+AB

### eHealth, Inc.

Meeting Date: 06/15/2022 **Record Date:** 04/19/2022

Primary Security ID: 28238P109

Earliest Cutoff Date: 06/14/2022 Votable Shares: 600,000

Country: USA

Meeting Type: Annual

Primary CUSIP: 28238P109

Total Ballots: 1 \*Shares on Loan: 0 Ticker: EHTH

**Meeting ID:** 1648184

Primary ISIN: US28238P1093

Voting Policy: ISS

Proxy Level: 3

Primary SEDOL: B1G0F83

Additional Policy: Shares Voted: 600,000

Votable Sh	nares: 600,000	*Shares on Loan: 0			Shares In	structed: 600	,000		Shares Voted	: 600,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director A. John Hass	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1.2		Elect Director Francis S. Soistman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1.3		Elect Director Aaron C. Tolson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
2		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

#### eHealth, Inc.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Against ISS
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes

Voting Policy Rationale: A vote AGAINST the proposal is warranted. The annual bonus was based entirely on financial metrics and did not pay out when goals were unmet. The annual equity grant largely utilizes a multi-year performance period with forward-looking goals disclosed. A concern is noted regarding the new CEO's one-time equity grant, which was relatively large with a significant portion based on time-vested equity. However, the performance component appears rigorous and the CEO's FY22 target annual grant value appears modest. Therefore, the pay-for-performance misalignment is mitigated for the year in review. However, the former CEO received cash severance upon what appears to be a voluntary retirement. Cash severance is designed to protect from involuntary job loss, and payment of severance outside a termination without cause is considered a problematic pay practice.

Blended Rationale: A vote AGAINST the proposal is warranted. The annual bonus was based entirely on financial metrics and did not pay out when goals were unmet. The annual equity grant largely utilizes a multi-year performance period with forward-looking goals disclosed. A concern is noted regarding the new CEO's one-time equity grant, which was relatively large with a significant portion based on time-vested equity. However, the performance component appears rigorous and the CEO's FY22 target annual grant value appears modest. Therefore, the pay-for-performance misalignment is mitigated for the year in review. However, the former CEO received cash severance upon what appears to be a voluntary retirement. Cash severance is designed to protect from involuntary job loss, and payment of severance outside a termination without cause is considered a problematic pay practice.

Amend Omnibus Stock Plan Mgmt Yes For Against Against For No Yes Yes

Voting Policy Rationale: Based on evaluation of the estimated cost, plan features, and grant practices using the Equity Plan Scorecard (EPSC), a vote AGAINST this proposal is warranted due to the following key factors: \* The equity program is estimated to be excessively dilutive (overriding factor); \* The plan provides for option reloads (overriding factor); \* The plan cost is excessive; \* The three-year average burn rate is excessive; \* The disclosure of change-in-control ("CIC") vesting treatment is incomplete (or is otherwise considered discretionary); and \* The plan allows broad discretion to accelerate vesting.

Blended Rationale: Based on evaluation of the estimated cost, plan features, and grant practices using the Equity Plan Scorecard (EPSC), a vote AGAINST this proposal is warranted due to the following key factors: \* The equity program is estimated to be excessively dilutive (overriding factor); \* The plan provides for option reloads (overriding factor); \* The plan cost is excessive; \* The three-year average burn rate is excessive; \* The disclosure of change-in-control ("CIC") vesting treatment is incomplete (or is otherwise considered discretionary); and \* The plan allows broad discretion to accelerate vesting.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	jbisset	jbisset		600,000	600,000
			06/14/2022	06/14/2022			
					= Total Shares:	600,000	600,000

#### **Meeting Results**

Outstanding Shares Voted: 30,239,706 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	12.9%	19,720,558	87.1%	2,916,373	12.9%	0	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	1.4%	22,318,114	98.6%	318,817	1.4%	0	N/A	Proxy	F+A
1.3	Y	Pass	No	For	1.9%	22,199,616	98.1%	437,315	1.9%	0	N/A	Proxy	F+A
2	Y	Pass	No	For	1.2%	25,200,561	98.8%	295,213	1.2%	103,142	N/A	Proxy	F+A
3	Υ	Pass	No	For	21.6%	17,104,867	78.4%	4,713,929	21.6%	818,135	N/A	Proxy	F+A
4	Υ	Pass	No	For	28.2%	16,178,333	71.8%	6,355,191	28.2%	103,407	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

#### **Activision Blizzard, Inc.**

Meeting Date: 06/21/2022 Country: USA Ticker: ATVI Proxy Level: 3 Record Date: 04/22/2022 Meeting ID: 1647569 Meeting Type: Annual Primary CUSIP: 00507V109 Primary Security ID: 00507V109 Primary ISIN: US00507V1098 Primary SEDOL: 2575818 Earliest Cutoff Date: 06/17/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy: Votable Shares: 109,760 \*Shares on Loan: 0 Shares Instructed: 109,760 Shares Voted: 109,760 Additional Voting Vote Vote Significant Votable **Proposal** Mamt Policy Policy Vote Against Against Against Number Vote Proposal Text Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS Elect Director Reveta Bowers 1a Mamt Yes For For For For No No No Voting Policy Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted. Blended Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted. 1b Elect Director Kerry Carr Mgmt No No No Voting Policy Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted. Blended Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted. 1c Elect Director Robert Corti Mgmt For For For For No No No Voting Policy Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted. Blended Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted. Elect Director Brian Kelly No No 1d Mamt Yes For For For For No Voting Policy Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted. Blended Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted. Elect Director Robert Kotick Mgmt For No No No Voting Policy Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better

understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted.

Blended Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nola.

Blended Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted.

# **Activision Blizzard, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1f		Elect Director Lulu Meservey	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Ro Nolan are warranted, with caution, as the com- understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage o	nal informat and mitigate	ion that woul	ld allow invest	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	ould provide a recent efforts	dditional info to manage a	ormation tha and mitigate	at would allov	v investors to	better				
1g		Elect Director Barry Meyer	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Ro Nolan are warranted, with caution, as the comp understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage o	nal informat and mitigate	ion that woul	ld allow invest	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	ould provide a recent efforts	dditional info to manage o	ormation tha and mitigate	at would allov	v investors to	better				
1h		Elect Director Robert Morgado	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Ro Nolan are warranted, with caution, as the compunderstand the effectiveness of the company's discrimination. A vote FOR the remaining direct Blended Rationale: Votes FOR directors Robert	pany could pro recent efforts tor nominees i	ovide addition to manage of s warranted.	nal informat and mitigate	ion that would risks related	ld allow investo I to sexual han	ors to better assment and				
		are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	recent efforts tor nominees i	to manage a	and mitigate	risks related		assment and				
1i		Elect Director Peter Nolan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Ro Nolan are warranted, with caution, as the compunderstand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage o	nal informat and mitigate	ion that woul	ld allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	ould provide a recent efforts	dditional info to manage a	ormation tha and mitigate	at would allov	v investors to	better				
1j		Elect Director Dawn Ostroff	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Ro Nolan are warranted, with caution, as the comp understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage o	nal informat and mitigate	ion that woul	ld allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	ould provide a recent efforts	dditional info to manage o	ormation tha and mitigate	at would allov	v investors to	better				
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Adopt a Policy to Include Non-Management Employees as Prospective Director Candidates	SH	Yes	Against	Against		Against	Against	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Activision Blizzard, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
5		Report on Efforts Prevent Abuse, Harassment, and Discrimination	SH	Yes	Against	For		For	For	Yes	No	No

Additional

Voting Policy Rationale: A vote FOR this proposal is warranted. Shareholders would benefit from increased disclosure due to the ongoing scrutiny over the company's sexual harassment and discrimination issues involving protected classes of employees. Increased transparency would help shareholders to fully assess how the company is managing associated risks.

Blended Rationale: A vote FOR this proposal is warranted. Shareholders would benefit from increased disclosure due to the ongoing scrutiny over the company's sexual harassment and discrimination issues involving protected classes of employees. Increased transparency would help shareholders to fully assess how the company is managing associated risks.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	jbisset	jbisset		109,760	109,760
			06/16/2022	06/16/2022			
					Total Shares:	109,760	109,760

#### **Meeting Results**

Outstanding Shares Voted: 781,848,813

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	3.4%	570,069,460	96.6%	20,069,854	3.4%	8,323,643	N/A	Proxy	F+A
1b	Υ	Pass	No	For	0.4%	594,269,935	99.6%	2,253,529	0.4%	1,939,493	N/A	Proxy	F+A
1c	Υ	Pass	No	For	15.9%	501,431,883	84.1%	95,076,047	15.9%	1,955,027	N/A	Proxy	F+A
1d	Υ	Pass	No	For	11.5%	527,602,184	88.5%	68,415,423	11.5%	2,445,350	N/A	Proxy	F+A
1e	Υ	Pass	No	For	10.5%	533,703,580	89.5%	62,597,199	10.5%	2,162,178	N/A	Proxy	F+A
1f	Υ	Pass	No	For	0.4%	594,225,355	99.6%	2,302,158	0.4%	1,935,444	N/A	Proxy	F+A
1g	Y	Pass	No	For	12.5%	521,987,017	87.5%	74,530,701	12.5%	1,945,239	N/A	Proxy	F+A
1h	Υ	Pass	No	For	27.7%	431,416,165	72.3%	164,932,068	27.7%	2,114,724	N/A	Proxy	F+A
1i	Υ	Pass	No	For	8.5%	546,056,263	91.5%	50,459,532	8.5%	1,947,162	N/A	Proxy	F+A
1j	Y	Pass	No	For	4.3%	570,649,200	95.7%	25,909,272	4.3%	1,904,485	N/A	Proxy	F+A
2	Y	Pass	No	For	12.4%	524,115,176	87.6%	69,072,128	11.5%	5,275,653	0.9%	Proxy	F+A+AB
3	Y	Pass	No	For	3.5%	624,981,205	96.5%	21,247,950	3.3%	1,658,608	0.3%	Proxy	F+A+AB
4	Y	Fail	No	Against	7.2%	30,425,554	5.1%	555,091,091	92.8%	12,946,312	2.2%	Proxy	F+A+AB
5	Υ	Pass	No	Against	69.3%	379,308,934	63.4%	183,876,515	30.7%	35,277,508	5.9%	Proxy	F+A+AB

#### **NEC Corp.**

Meeting Date: 06/22/2022Country: JapanTicker: 6701Proxy Level: N/ARecord Date: 03/31/2022Meeting Type: AnnualMeeting ID: 1656270

 Primary Security ID: J48818207
 Primary CUSIP: J48818207
 Primary ISIN: JP3733000008
 Primary SEDOL: 6640400

### **NEC Corp.**

Votable Shares: 700,000

Earliest Cutoff Date: 06/20/2022

Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 700,000

**Additional Policy:** 

Total Shares:

700,000

700,000

Shares Voted: 700,000

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1	Amend Articles to Disclose Shareholder Meeting Materia Internet	ls on	Mgmt	Yes	For	For		For	For	No	No	No
2.1	Elect Director Niino, Takashi		Mgmt	Yes	For	For		For	For	No	No	No
2.2	Elect Director Morita, Takayu	ki	Mgmt	Yes	For	For		For	For	No	No	No
2.3	Elect Director Matsukura, Haj	jime	Mgmt	Yes	For	For		For	For	No	No	No
2.4	Elect Director Nishihara, Moto	0	Mgmt	Yes	For	For		For	For	No	No	No
2.5	Elect Director Fujikawa, Osar	mu	Mgmt	Yes	For	For		For	For	No	No	No
2.6	Elect Director Iki, Noriko	Director Iki, Noriko Mg		Yes	For	For		For	For	No	No	No
2.7	Elect Director Ito, Masatoshi	,		Yes	For	For		For	For	No	No	No
2.8	Elect Director Nakamura, Kur	niharu	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
2.9	Elect Director Christina Ahma	idjian	Mgmt	Yes	For	For		For	For	No	No	No
2.10	Elect Director Oka, Masashi		Mgmt	Yes	For	For		For	For	No	No	No
3.1	Appoint Statutory Auditor Ob Shinobu	ata,	Mgmt	Yes	For	For		For	For	No	No	No
3.2	Appoint Statutory Auditor Ok Kyoko	ada,	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
RARF, RARF	392452	Confirmed	jbisset		jbisset				700,0	00	700,	000
			06/14/2022	2	06/14/20	22						

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	2,155,091	99.8%	2,856	N/A	549	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	2,013,637	93.2%	120,985	N/A	23,878	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	2,064,014	95.6%	70,721	N/A	23,769	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	2,059,206	95.4%	98,751	N/A	549	N/A	Poll	F+A+AB
2.4	Y	Pass	No	For	N/A	2,059,541	95.4%	98,416	N/A	549	N/A	Poll	F+A+AB
2.5	Y	Pass	No	For	N/A	2,087,858	96.7%	70,097	N/A	549	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	2,107,425	97.6%	50,535	N/A	549	N/A	Poll	F+A+AB
2.7	Y	Pass	No	For	N/A	2,114,700	97.9%	43,260	N/A	549	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2.8	Y	Pass	No	For	N/A	1,946,921	90.2%	211,033	N/A	549	N/A	Poll	F+A+AB
2.9	Υ	Pass	No	For	N/A	2,107,868	97.6%	50,089	N/A	549	N/A	Poll	F+A+AB
2.10	Υ	Pass	No	For	N/A	2,041,910	94.6%	116,044	N/A	549	N/A	Poll	F+A+AB
3.1	Y	Pass	No	For	N/A	2,127,172	98.5%	27,920	N/A	3,336	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	2,153,012	99.7%	4,867	N/A	549	N/A	Poll	F+A+AB

### **Unibail-Rodamco-Westfield NV**

Meeting Date: 06/22/2022

Country: Netherlands

Ticker: N/A

Proxy Level: N/A

**Record Date:** 05/25/2022

Meeting Type: Annual Primary CUSIP: N96244103

**Meeting ID:** 1646413

Primary SEDOL: N/A

Primary Security ID: N96244103 Earliest Cutoff Date: 06/16/2022

**Total Ballots:** 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 300,000

\*Shares on Loan: 0

Shares Instructed: 300,000

**Primary ISIN:** NL0012846356

Shares Voted: 300,000

							-,			,		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Annual Meeting Agenda	Mgmt	No								
i		Discuss Annual Report	Mgmt	No								
1		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No
3		Adopt Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
ii		Receive Explanation on Dividend Policy	Mgmt	No								
4		Approve Discharge of Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR is warra controversies that the management board a					-	nd compellir	ng			
		Blended Rationale: A vote FOR is warranted controversies that the management board a			•	-		mpelling			-	
5		Approve Discharge of Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR is warra controversies that the management board a					-	nd compellir	ng		-	
		Blended Rationale: A vote FOR is warranted controversies that the management board a			•	-		mpelling				
6		Reelect Gerard Sieben to Management Board	Mgmt	Yes	For	For		For	For	No	No	No
7		Reelect Jean-Louis Laurens to Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the electron ont exceeding four years; * The cand There is no known controversy concerning to	lidate appears to	possess the	necessary	qualifications	for board men	mbership; ai				

Blended Rationale: A vote FOR the election of Jean-Louis Laurens is warranted because: \* The nominee is elected for a period not exceeding four years; \* The candidate appears to possess the necessary qualifications for board membership; and \* There is no known controversy concerning the candidate A vote AGAINST the non-independent nominees (Aline Taireh) is warranted as the future board composition lacks sufficient independent among its members.

warranted as the future board composition lacks sufficient independent among its members.

### **Unibail-Rodamco-Westfield NV**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8		Reelect Aline Taireh to Superv Board	visory	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote period not exceeding four year There is no known controversy warranted as the future board	rs; * The candida v concerning the	ate appears to candidate A vo	possess the ote AGAINST	necessary of the non-in	qualifications dependent no	for board men	nbership; and				
		Blended Rationale: A vote FOR not exceeding four years; * TI is no known controversy conce as the future board composition	he candidate app erning the candid	ears to posses date A vote AG	s the necess AINST the ne	ary qualifica on-independ	ations for boa	ard membershi	p; and * The	ere			
9		Ratify Deloitte Accountants B. Auditors	V. as	Mgmt	Yes	For	For		For	For	No	No	No
10		Authorize Repurchase of Shar	res	Mgmt	Yes	For	For		For	For	No	No	No
11		Approve Cancellation of Share	es	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	ı	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
RARF, RARF		392452	Confirmed	Auto-Instru	cted	Auto-App	proved			300,0	00	300,	000
				05/26/2022		05/26/20	022						
									Total Shares:	300,0	00	300,	000

#### **Meeting Results**

Outstanding Shares Voted:

	g						Discrosed						
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
i	N												
1	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
2	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
3	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AE
ii	N												
4	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AE
5	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
7	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AE
8	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AE
9	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+A
10	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+Al
11	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+A

Results Available: Not Disclosed

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### Nissan Shatai Co., Ltd.

Meeting Date: 06/23/2022 **Record Date:** 03/31/2022

Country: Japan

Ticker: 7222 Meeting ID: 1658220 Proxy Level: N/A

Primary Security ID: J57289100

Meeting Type: Annual Primary CUSIP: J57289100

**Primary ISIN:** JP3672000001

Primary SEDOL: 6642901

Earliest Cutoff Date: 06/21/2022

Votable Shares: 4,100

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS Shares Instructed: 4,100 **Additional Policy:** Shares Voted: 4,100

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 6.5	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect Director Ohira, Yasuyuki	Mgmt	Yes	For	For		For	For	No	No	No
4		Appoint Statutory Auditor Aoji, Kiyoshi	Mgmt	Yes	For	For		For	For	No	No	No
5		Appoint Alternate Statutory Auditor Okada, Kazuhiro	Mgmt	Yes	For	For		For	For	No	No	No
6		Amend Articles to Prohibit Appointments of Officials from Nissan Motor Co., Ltd	SH	Yes	Against	Against		Against	For	Yes	Yes	Yes
7		Amend Articles to Prohibit the Company from Depositing Funds to Parent Company	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this shared amount of cash with its parent company but ex						significant				
		Blended Rationale: A vote FOR this shareholde of cash with its parent company but earns only					eposits a signii	ficant amour	nt			
8		Amend Articles to Require Individual Compensation Disclosure for Representative Directors	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this sharel promote accountability and help shareholders				se: * The pro	oposed disclos	ure would				
		Blended Rationale: A vote FOR this shareholde accountability and help shareholders make bet			d because: *	The propose	ed disclosure v	vould promo	te			
9		Amend Articles to Disclose Cost of Equity Capital in Corporate Governance Report	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this sharel more attention to inefficient capital policy, with					-	anagement µ	pay		_	
		Blended Rationale: A vote FOR this shareholde more attention to inefficient capital policy, with					, -	ement pay				
10		Initiate Share Repurchase Program	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this sharel cash reserves (i.e., deposits to parent Nissan Naddress concerns over the balance sheet mana	Motor), share r				,					
		Blended Rationale: A vote FOR this shareholder reserves (i.e., deposits to parent Nissan Motor, address concerns over the balance sheet management of the shareholder	), share repurc						sh			

Institutional Account Detail	Custodian						
(IA Name, IA Number)	Account Number	<b>Ballot Status</b>	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted

### Nissan Shatai Co., Ltd.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		4,100	4,100
			06/09/2022	06/09/2022			
					Total Shares:	4,100	4,100

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	1,297,737	99.9%	1,838	N/A	0	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	1,297,752	99.9%	1,823	N/A	0	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	884,929	68.1%	414,646	N/A	0	N/A	Poll	F+A+AB
4	Y	Pass	No	For	N/A	1,296,892	99.8%	2,683	N/A	0	N/A	Poll	F+A+AB
5	Υ	Pass	No	For	N/A	1,297,554	99.8%	2,021	N/A	0	N/A	Poll	F+A+AB
6	Υ	Fail	No	Against	N/A	407,886	31.4%	891,652	N/A	17	N/A	Poll	F+A+AB
7	Υ	Fail	No	Against	N/A	447,659	34.4%	851,895	N/A	0	N/A	Poll	F+A+AB
8	Υ	Fail	No	Against	N/A	477,120	36.7%	822,425	N/A	10	N/A	Poll	F+A+AB
9	Y	Fail	No	Against	N/A	453,478	34.9%	846,067	N/A	10	N/A	Poll	F+A+AB
10	Y	Fail	No	Against	N/A	468,018	36.0%	831,526	N/A	10	N/A	Poll	F+A+AB

### **ORIX Corp.**

Meeting Date: 06/24/2022 **Record Date:** 03/31/2022

Primary Security ID: J61933123

Country: Japan

Meeting Type: Annual

**Primary CUSIP:** J61933123

Total Ballots: 1 Earliest Cutoff Date: 06/22/2022 Votable Shares: 1,000,000 \*Shares on Loan: 0 Ticker: 8591

Voting Policy: ISS

Meeting ID: 1656283

Shares Instructed: 1,000,000

Primary ISIN: JP3200450009

**Additional Policy:** 

Primary SEDOL: 6661144

Proxy Level: N/A

**Shares Voted:** 1,000,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Elect Director Inoue, Makoto	Mgmt	Yes	For	For		For	For	No	No	No
2.2		Elect Director Irie, Shuji	Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Matsuzaki, Satoru	Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Suzuki, Yoshiteru	Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Stan Koyanagi	Mgmt	Yes	For	For		For	For	No	No	No
2.6		Elect Director Michael Cusumano	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **ORIX Corp.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2.7		Elect Director Akiyama, Sakie	Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Watanabe, Hiroshi	Mgmt	Yes	For	For		For	For	No	No	No
2.9		Elect Director Sekine, Aiko	Mgmt	Yes	For	For		For	For	No	No	No
2.10		Elect Director Hodo, Chikatomo	Mgmt	Yes	For	For		For	For	No	No	No
2.11		Elect Director Yanagawa, Noriyuki	Mgmt	Yes	For	For		For	For	No	No	No

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number) Custodian **Account Number Ballot Status** Instructed Approved **Ballot Voting Status Votable Shares Shares Voted** RARF, RARF 392452 Confirmed jbisset jbisset 1,000,000 1,000,000 06/15/2022 06/15/2022

Total Shares: 1,000,000 1,000,000

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	9,822,505	99.8%	11,220	N/A	0	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	9,537,590	96.9%	298,185	N/A	0	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	9,734,663	98.9%	77,498	N/A	23,617	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	9,746,775	99.0%	65,386	N/A	23,617	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	9,747,019	99.0%	65,142	N/A	23,617	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	9,747,074	99.0%	65,087	N/A	23,617	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	9,797,725	99.6%	38,055	N/A	0	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	9,577,094	97.3%	199,387	N/A	59,295	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	9,668,746	98.3%	167,032	N/A	0	N/A	Poll	F+A+AB
2.9	Υ	Pass	No	For	N/A	9,714,140	98.7%	68,008	N/A	53,632	N/A	Poll	F+A+AB
2.10	Υ	Pass	No	For	N/A	9,797,674	99.6%	38,106	N/A	0	N/A	Poll	F+A+AB
2.11	Υ	Pass	No	For	N/A	9.819.221	99.8%	16.558	N/A	0	N/A	Poll	F+A+AB

### **ROHM Co., Ltd.**

Meeting Date: 06/24/2022 Record Date: 03/31/2022 Country: Japan
Meeting Type: Annual

Ticker: 6963

Proxy Level: N/A

Primary Security ID: J65328122

Primary CUSIP: J65328122

**Meeting ID:** 1655644

Primary ISIN: JP3982800009

Primary SEDOL: 6747204

## **ROHM Co., Ltd.**

Votable Shares: 350,000

Earliest Cutoff Date: 06/22/2022

Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 350,000

**Additional Policy:** 

Shares Voted: 350,000

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income	, with a	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Clarify Direct Authority on Shareholder Meet Disclose Shareholder Meeting on Internet - Allow Virtual On Shareholder Meetings - Amen Provisions on Director Titles - Director Authority on Board M	Mgmt	Yes	For	For		For	For	No	No	No	
3.1		Elect Director Matsumoto, Isa	0	Mgmt	Yes	For	For		For	For	No	No	No
3.2		Elect Director Azuma, Katsum	i	Mgmt	Yes	For	For		For	For	No	No	No
3.3		Elect Director Ino, Kazuhide	Mgmt	Yes	For	For		For	For	No	No	No	
3.4		Elect Director Tateishi, Tetsuc	1	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Yamamoto, Koji		Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Nagumo, Tadar	obu	Mgmt	Yes	For	For		For	For	No	No	No
3.7		Elect Director Peter Kenevan		Mgmt	Yes	For	For		For	For	No	No	No
3.8		Elect Director Muramatsu, Kui	niko	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Restricted Stock Plan		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutional (IA Name, I <i>I</i>	l Account Detail A Number)	Elect Director Tateishi, Tetsuo Elect Director Yamamoto, Koji Elect Director Nagumo, Tadanobu Elect Director Peter Kenevan Elect Director Muramatsu, Kuniko Approve Restricted Stock Plan		Instructed	i	Approved		Ballot Voting	Status	tus Votable Shares		Shares Voted	
RARF, RARF		392452	Confirmed	jbisset	jbisset		jbisset			350,00	00	350,000	

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

06/20/2022

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	805,525	97.2%	23,235	N/A	11	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	802,454	96.8%	26,295	N/A	11	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	805,945	97.2%	22,803	N/A	13	N/A	Poll	F+A+AB
3.2	Y	Pass	No	For	N/A	822,471	99.2%	5,880	N/A	416	N/A	Poll	F+A+AB
3.3	Y	Pass	No	For	N/A	822,484	99.2%	5,867	N/A	416	N/A	Poll	F+A+AB
3.4	Y	Pass	No	For	N/A	820,412	99.0%	7,937	N/A	416	N/A	Poll	F+A+AB
3.5	Υ	Pass	No	For	N/A	822,485	99.2%	5,866	N/A	416	N/A	Poll	F+A+AB
3.6	Y	Pass	No	For	N/A	788,622	95.1%	39,722	N/A	416	N/A	Poll	F+A+AB

06/20/2022

Total Shares:

350,000

350,000

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
3.7	Y	Pass	No	For	N/A	828,000	99.9%	755	N/A	13	N/A	Poll	F+A+AB
3.8	Υ	Pass	No	For	N/A	823,685	99.4%	5,069	N/A	13	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	824,362	99.5%	4.408	N/A	0	N/A	Poll	F+A+AB

### **SoftBank Group Corp.**

Meeting Date: 06/24/2022 Country: Japan

Record Date: 03/31/2022 Meeting Type: Annual

Primary Security ID: J7596P109 Primary CUSIP: J7596P109

 $\textbf{Earliest Cutoff Date: } 06/22/2022 \\ \textbf{Total Ballots: } 1$ 

Votable Shares: 237,900 \*Shares on Loan: 0

Ticker: 9984

Voting Policy: ISS

Meeting ID: 1657533

**Primary ISIN:** JP3436100006

Shares Instructed: 237,900

Additional Policy:

Shares Voted: 237,900

Proxy Level: N/A

Primary SEDOL: 6770620

votable Si	iales: 237,900	"Shares on Loan: 0	Silares II	isti ucteu: 237	,900		Shares voted: 237,900					
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 22	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Son, Masayoshi	Mgmt	Yes	For	For		For	For	No	No	No
3.2		Elect Director Goto, Yoshimitsu	Mgmt	Yes	For	For		For	For	No	No	No
3.3		Elect Director Miyauchi, Ken	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Kawabe, Kentaro	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Iijima, Masami	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Matsuo, Yutaka	Mgmt	Yes	For	For		For	For	No	No	No
3.7		Elect Director Erikawa, Keiko	Mgmt	Yes	For	For		For	For	No	No	No
3.8		Elect Director Kenneth A. Siegel	Mgmt	Yes	For	For		For	For	No	No	No
3.9		Elect Director David Chao	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Det	ails											

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		237,900	237,900
			06/20/2022	06/20/2022			
					Total Shares:	237,900	237,900

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	14,644,920	99.8%	21,903	N/A	684	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	13,375,463	99.9%	8,489	N/A	1,156	N/A	Poll	F+A+AB
3.1	Y	Pass	No	For	N/A	13,261,586	97.7%	304,724	N/A	11,901	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
3.2	Υ	Pass	No	For	N/A	13,496,191	99.4%	67,438	N/A	14,587	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	13,451,344	99.1%	112,282	N/A	14,587	N/A	Poll	F+A+AB
3.4	Υ	Pass	No	For	N/A	13,431,517	98.9%	132,109	N/A	14,587	N/A	Poll	F+A+AB
3.5	Υ	Pass	No	For	N/A	13,471,771	99.2%	105,230	N/A	1,213	N/A	Poll	F+A+AB
3.6	Y	Pass	No	For	N/A	13,540,962	99.7%	36,044	N/A	1,213	N/A	Poll	F+A+AB
3.7	Υ	Pass	No	For	N/A	13,539,288	99.7%	37,718	N/A	1,213	N/A	Poll	F+A+AB
3.8	Υ	Pass	No	For	N/A	10,164,871	74.9%	3,412,123	N/A	1,213	N/A	Poll	F+A+AB
3.9	Υ	Pass	No	For	N/A	13,276,997	97.8%	300,001	N/A	1,213	N/A	Poll	F+A+AB

# **Tokio Marine Holdings, Inc.**

Meeting Date: 06/27/2022 **Record Date:** 03/31/2022

Earliest Cutoff Date: 06/23/2022

Votable Shares: 600,000

Primary Security ID: J86298106

Country: Japan Meeting Type: Annual

Primary CUSIP: J86298106

Total Ballots: 1 \*Shares on Loan: 0 Ticker: 8766 **Meeting ID:** 1655188

**Primary ISIN:** JP3910660004

Voting Policy: ISS Shares Instructed: 600,000 Proxy Level: N/A

Primary SEDOL: 6513126

**Additional Policy:** Shares Voted: 600,000

Votable 3	iaies. 000,000	Silates on Loan.			Silaies	instructed.	,,000		Silaies votet	1. 000,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 135	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Amend Business Lines - Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Nagano, Tsuyoshi	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST to company's capital misallocation.	his director nomina	ee is warrant	ted because	e: * Top mana	agement is resp	oonsible for	the		_	
		Blended Rationale: A vote AGAINST this di company's capital misallocation.	irector nominee is	warranted b	ecause: *	Top managem	ent is responsi	ble for the				
3.2		Elect Director Komiya, Satoru	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST to company's capital misallocation.	his director nomina	ee is warrant	ted because	e: * Top mana	agement is resp	oonsible for	the		_	
		Blended Rationale: A vote AGAINST this di company's capital misallocation.	irector nominee is	warranted b	ecause: *	Top managem	ent is responsi	ble for the				
3.3		Elect Director Harashima, Akira	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Okada, Kenji	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Moriwaki, Yoichi	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Hirose, Shinichi	Mgmt	Yes	For	For		For	For	No	No	No
3.7		Elect Director Mimura, Akio	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
3.8		Elect Director Egawa, Masako	Mgmt	Yes	For	For		For	For	No	No	No
3.9		Elect Director Mitachi, Takashi	Mgmt	Yes	For	For		For	For	No	No	No
3.10		Elect Director Endo, Nobuhiro	Mgmt	Yes	For	For		For	For	No	No	No
3.11		Elect Director Katanozaka, Shinya	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Tokio Marine Holdings, Inc.**

3.12 Elect Director Osono, Emi Mgmt Yes For For For For No No No 3.13 Elect Director Ishii, Yoshinori Mgmt Yes For For For For For No No No 3.14 Elect Director Wada, Kiyoshi Mgmt Yes For For For For No No No 4.1 Appoint Statutory Auditor Wani, Akihiro For No No No Akihiro Mgmt Yes For For For For For No No No No Akihiro Mgmt Yes For For For For For No No No No No Akihiro For No No No No Takayuki	Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
3.14 Elect Director Wada, Kiyoshi Mgmt Yes For For For For No No 4.1 Appoint Statutory Auditor Wani, Akihiro  4.2 Appoint Statutory Auditor Otsuki, Nana Mgmt Yes For For For For For No No 4.3 Appoint Statutory Auditor Yuasa, Mgmt Yes For For For For For No No No	3.12		Elect Director Osono, Emi	Mgmt	Yes	For	For		For	For	No	No	No
4.1 Appoint Statutory Auditor Wani, Akihiro  4.2 Appoint Statutory Auditor Otsuki, Nana Mgmt Yes For For For For No No No Appoint Statutory Auditor Yuasa, Mgmt Yes For For For For For No No No	3.13		Elect Director Ishii, Yoshinori	Mgmt	Yes	For	For		For	For	No	No	No
Akihiro  4.2 Appoint Statutory Auditor Otsuki, Nana Mgmt Yes For For For For No No  4.3 Appoint Statutory Auditor Yuasa, Mgmt Yes For For For For No No	3.14		Elect Director Wada, Kiyoshi	Mgmt	Yes	For	For		For	For	No	No	No
4.3 Appoint Statutory Auditor Yuasa, Mgmt Yes For For For For No No	4.1		,	Mgmt	Yes	For	For		For	For	No	No	No
	4.2		Appoint Statutory Auditor Otsuki, Nana	Mgmt	Yes	For	For		For	For	No	No	No
	4.3		, , , , , , , , , , , , , , , , , , , ,	Mgmt	Yes	For	For		For	For	No	No	No

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		600,000	600,000
			06/13/2022	06/13/2022			
					Total Shares:	600,000	600,000

### **Meeting Results**

Outstanding Shares Voted: 6,776,819 Results Available: Full

Jucacui	iding Shares	0,770,0	15		Resu	nto Avanabier ran							
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	5,636,887	99.9%	1,195	N/A	261	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	5,635,791	99.8%	1,557	N/A	995	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	4,790,897	84.9%	846,063	N/A	1,173	N/A	Poll	F+A+AB
3.2	Y	Pass	No	For	N/A	4,713,852	83.5%	923,287	N/A	995	N/A	Poll	F+A+AB
3.3	Y	Pass	No	For	N/A	5,360,272	95.0%	263,395	N/A	14,483	N/A	Poll	F+A+AB
3.4	Y	Pass	No	For	N/A	5,412,493	95.9%	211,174	N/A	14,483	N/A	Poll	F+A+AB
3.5	Y	Pass	No	For	N/A	5,433,478	96.3%	190,192	N/A	14,483	N/A	Poll	F+A+AB
3.6	Y	Pass	No	For	N/A	5,433,526	96.3%	190,144	N/A	14,483	N/A	Poll	F+A+AB
3.7	Y	Pass	No	For	N/A	4,411,950	78.2%	1,225,204	N/A	995	N/A	Poll	F+A+AB
3.8	Υ	Pass	No	For	N/A	5,614,668	99.5%	22,495	N/A	995	N/A	Poll	F+A+AB
3.9	Y	Pass	No	For	N/A	5,595,285	99.1%	40,430	N/A	2,440	N/A	Poll	F+A+AB
3.10	Y	Pass	No	For	N/A	5,592,652	99.1%	43,063	N/A	2,440	N/A	Poll	F+A+AB
3.11	Y	Pass	No	For	N/A	5,147,755	91.2%	489,220	N/A	1,173	N/A	Poll	F+A+AB
3.12	Y	Pass	No	For	N/A	5,614,512	99.5%	22,651	N/A	995	N/A	Poll	F+A+AB
3.13	Y	Pass	No	For	N/A	5,431,684	96.2%	191,986	N/A	14,483	N/A	Poll	F+A+AB
3.14	Υ	Pass	No	For	N/A	5,431,726	96.2%	191,944	N/A	14,483	N/A	Poll	F+A+AB
4.1	Υ	Pass	No	For	N/A	5,631,811	99.8%	5,377	N/A	995	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
4.2	Υ	Pass	No	For	N/A	5,631,286	99.8%	5,902	N/A	995	N/A	Poll	F+A+AB
4.3	Υ	Pass	No	For	N/A	5,489,666	97.3%	124,929	N/A	23,586	N/A	Poll	F+A+AB

# Fuji Electric Co., Ltd.

Meeting Date: 06/28/2022 **Record Date:** 03/31/2022

Country: Japan Meeting Type: Annual

Primary Security ID: J14112106 Earliest Cutoff Date: 06/26/2022

Primary CUSIP: J14112106 Total Ballots: 1

Ticker: 6504

**Meeting ID:** 1660785

**Primary ISIN:** JP3820000002

Voting Policy: ISS

Primary SEDOL: 6356365

Proxy Level: N/A

**Additional Policy:** 

Shares Voted: 600,000

Total Shares:

600,000

600,000

Votable Sh	ares: 600,000	*Shai	res on Loan: 0			Shares In	structed: 600	,000		Shares Voted	: 600,000		
Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Articles to Clarify Di Authority on Shareholder M Disclose Shareholder Meetir on Internet	eetings -	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Elect Director Kitazawa, Mic	hihiro	Mgmt	Yes	For	For		For	For	No	No	No
2.2		Elect Director Kondo, Shiro		Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Abe, Michio		Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Arai, Junichi		Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Hosen, Toru		Mgmt	Yes	For	For		For	For	No	No	No
2.6		Elect Director Tetsutani, Hir	roshi	Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Tamba, Toshi	hito	Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Tachikawa, N	aomi	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
2.9		Elect Director Hayashi, Yosh	nitsugu	Mgmt	Yes	For	For		For	For	No	No	No
2.10		Elect Director Tominaga, Yu	ıkari	Mgmt	Yes	For	For		For	For	No	No	No
3		Appoint Statutory Auditor O Yoshio	kuno,	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Compensation Ceil Directors	ing for	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	nils												
Institutional (IA Name, IA	Account Detail A Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
RARF, RARF		392452	Confirmed	jbisset		jbisset				600,00	00	600,	000
				06/23/2022	2	06/23/20	22						

### **Meeting Results**

Outstanding Shares Voted:

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	1,258,173	99.8%	374	N/A	0	N/A	Poll	F+A+AB

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2.1	Υ	Pass	No	For	N/A	1,125,524	89.3%	132,422	N/A	603	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	1,107,497	87.9%	150,507	N/A	544	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	1,240,182	98.4%	18,229	N/A	141	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	1,240,232	98.4%	18,179	N/A	141	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	1,241,612	98.5%	16,799	N/A	141	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	1,241,613	98.5%	16,798	N/A	141	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	1,220,750	96.9%	37,660	N/A	141	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	1,198,366	95.1%	60,042	N/A	141	N/A	Poll	F+A+AB
2.9	Υ	Pass	No	For	N/A	1,254,360	99.5%	4,193	N/A	0	N/A	Poll	F+A+AB
2.10	Υ	Pass	No	For	N/A	1,246,514	98.9%	12,040	N/A	0	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	1,192,706	94.7%	62,895	N/A	2,948	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	1,256,169	99.7%	2,254	N/A	131	N/A	Poll	F+A+AB

### **IMV Inc.**

Meeting Date: 06/29/2022Country: CanadaTicker: IMVRecord Date: 05/05/2022Meeting Type: Annual/SpecialMeeting ID: 1637304

Primary Security ID: 44974L103 Primary CUSIP: 44974L103 Primary ISIN: CA44974L1031 Primary SEDOL: BGOKDD5

Proxy Level: 3

Earliest Cutoff Date: 06/23/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy:

Votable Shares: 2,967,501\*Shares on Loan: 0Shares Instructed: 2,967,501Shares Voted: 2,967,501

votable Si	nares: 2,907,501	"Snares on Loar	I <b>:</b> U		Snares .	instructea: 2,9	07,501		Snares voted	1: 2,967,501		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Michael Bailey	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all p	roposed nominees as	no significar	nt concerns	have been ide	entified at this	time.				
		Blended Rationale: Vote FOR all propo	sed nominees as no s	ignificant cor	ncerns hav	e been identifi	ed at this time				-	
1.2		Elect Director Michael Kalos	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all p	roposed nominees as	no significar	nt concerns	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all propos	sed nominees as no s	ignificant cor	ncerns hav	e been identifi	ed at this time				-	
1.3		Elect Director Julia P. Gregory	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all p	roposed nominees as	no significar	nt concerns	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all propo	sed nominees as no s	ignificant cor	ncerns have	e been identifi	ied at this time	•			-	
1.4		Elect Director Andrew Hall	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all p	roposed nominees as	no significar	nt concerns	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all propos	sed nominees as no s	ignificant cor	ncerns hav	e been identifi	ed at this time					
1.5		Elect Director Kyle Kuvalanka	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all p	roposed nominees as	no significan	nt concerns	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all propo	sed nominees as no s	ignificant cor	ncerns hav	e been identifi	ed at this time				-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **IMV Inc.**

Proposal Significati Number Vote	nt Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.6	Elect Director Shermaine T	lley	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Vot	e FOR all propose	d nominees as	no significan	t concerns	have been ide	entified at this	time.				
	Blended Rationale: Vote FO	R all proposed nor	minees as no si	gnificant con	cerns have	been identifie	ed at this time.					
1.7	Elect Director Markus Warn	nuth	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Vot	e FOR all proposed	d nominees as	no significan	t concerns	have been ide	entified at this	time.				
	Blended Rationale: Vote FO	R all proposed nor	minees as no si	gnificant con	cerns have	been identifie	ed at this time.					
1.8	Elect Director Brittany Davi	son	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Vot	e FOR all proposed	d nominees as	no significan	t concerns	have been ide	entified at this	time.				
	Blended Rationale: Vote FO	R all proposed nor	minees as no si	gnificant con	cerns have	been identifie	ed at this time.					
2	Approve PricewaterhouseCo as Auditors and Authorize E Their Remuneration	•	Mgmt	Yes	For	For		For	For	No	No	No
3	Amend Deferred Share Unit	t Plan	Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account De (IA Name, IA Number)	tail Custodian Account Number	Ballot Status	Instructe	d	Approv	red	Ballot Voting	Status	Votable S	hares	Shares	Voted
RARF, RARF	392452	Confirmed	jbisset		jbisset				2,967,5	501	2,967	7,501
			06/21/202	2	06/21/2	022						
								Total Shares:	2,967,	501	2,967	,501

### **Meeting Results**

Outstanding Shares Voted:

_													
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
								-	-				
1.1	Υ	Pass	No	For	0.9%	27,525,895	99.1%	246,171	0.9%	N/A	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	0.7%	27,573,095	99.3%	198,970	0.7%	N/A	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	2.3%	27,144,284	97.7%	627,782	2.3%	N/A	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	0.7%	27,568,568	99.3%	203,498	0.7%	N/A	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	0.7%	27,569,209	99.3%	202,856	0.7%	N/A	N/A	Proxy	F+A
1.6	Y	Door	No	For	1.60/	27 222 424	00.40/	420 641	1.60/	NI/A	N/A	Duesa	F+A
1.6	Y	Pass	NO	For	1.6%	27,333,424	98.4%	438,641	1.6%	N/A	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	1.5%	27,354,231	98.5%	417,835	1.5%	N/A	N/A	Proxy	F+A
						,,		,		.,		,	
1.8	Υ	Pass	No	For	0.7%	27,582,627	99.3%	189,439	0.7%	N/A	N/A	Proxy	F+A
2	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Show of	F+A
												hands	
3	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Show of	F+A
												hands	

# Mitsubishi Electric Corp.

Meeting Date: 06/29/2022 **Record Date:** 03/31/2022

Country: Japan

Meeting Type: Annual

Primary CUSIP: J43873116

Ticker: 6503

Meeting ID: 1658206

**Primary ISIN:** JP3902400005

Proxy Level: N/A

Primary SEDOL: 6597045

Shares Voted: 3,000,000

Earliest Cutoff Date: 06/27/2022

Votable Shares: 3,000,000

Primary Security ID: J43873116

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS

Shares Instructed: 3,000,000

**Additional Policy:** 

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1	Amend Articles to D Shareholder Meeting Internet		Mgmt	Yes	For	For		For	For	No	No	No	
2.1	Elect Director Yabuı	naka, Mitoji	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes	
2.2	Elect Director Obay	ashi, Hiroshi	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes	
2.3	Elect Director Wata	nabe, Kazunori	Mgmt	Yes	For	For		For	For	No	No	No	
2.4	Elect Director Koide	, Hiroko	Mgmt	Yes	For	For		For	For	No	No	No	
2.5	Elect Director Oyam	ada, Takashi	Mgmt	Yes	For	Against		Against	Against	Yes	No	No	
	- ,	ale: A vote AGAINST nt and this outside di				* The board	l after this mee	eting will no	t be				
		Blended Rationale: A vote AGAINST this director nominee is warranted because: * The board after this meeting will not be majority independent and this outside director nominee lacks independence.											
2.6	Elect Director Kosak	ka, Tatsuro	Mgmt	Yes	For	For		For	For	No	No	No	
2.7	Elect Director Yanaç	gi, Hiroyuki	Mgmt	Yes	For	For		For	For	No	No	No	
2.8	Elect Director Urum	a, Kei	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes	
		ale: A vote AGAINST est data falsification		ranted becau	use: * Presid	dent Uruma s	should be ultim	ately held			-		
		A vote AGAINST this est data falsification		ed because:	* President	Uruma should	d be ultimately	held			-		
2.9	Elect Director Kawa	goishi, Tadashi	Mgmt	Yes	For	For		For	For	No	No	No	
2.10	Elect Director Masu	da, Kuniaki	Mgmt	Yes	For	For		For	For	No	No	No	
2.11	Elect Director Nagas	sawa, Jun	Mgmt	Yes	For	For		For	For	No	No	No	
2.12	Elect Director Kaga,	Elect Director Kaga, Kunihiko			For	For		For	For	No	No	No	
<b>Ballot Details</b>													
Institutional Account Deta (IA Name, IA Number)	il Custodian Account Nur	mber Ballot St	atus Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted	
RARF, RARF	392452	Confirme	d jbisset		jbisset				3,000,0	000	3,000	0,000	

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

06/21/2022

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	18,265,182	99.8%	11,407	N/A	475	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	14,904,190	81.4%	3,015,483	N/A	357,378	N/A	Poll	F+A+AB

06/21/2022

**Total Shares:** 

3,000,000

3,000,000

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2.2	Y	Pass	No	For	N/A	14,491,707	79.2%	3,427,969	N/A	357,378	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	15,020,860	82.1%	2,911,295	N/A	344,907	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	15,688,428	85.7%	2,190,954	N/A	397,675	N/A	Poll	F+A+AB
2.5	Y	Pass	No	For	N/A	11,747,787	64.2%	6,184,356	N/A	344,907	N/A	Poll	F+A+AB
2.6	Y	Pass	No	For	N/A	18,059,917	98.7%	215,709	N/A	1,436	N/A	Poll	F+A+AB
2.7	Y	Pass	No	For	N/A	17,445,833	95.3%	829,788	N/A	1,436	N/A	Poll	F+A+AB
2.8	Y	Pass	No	For	N/A	10,702,265	58.5%	7,059,954	N/A	514,828	N/A	Poll	F+A+AB
2.9	Y	Pass	No	For	N/A	14,723,193	80.4%	3,208,956	N/A	344,907	N/A	Poll	F+A+AB
2.10	Y	Pass	No	For	N/A	15,765,404	86.1%	2,166,743	N/A	344,907	N/A	Poll	F+A+AB
2.11	Υ	Pass	No	For	N/A	17,357,417	94.8%	918,204	N/A	1,436	N/A	Poll	F+A+AB
2.12	Y	Pass	No	For	N/A	18,108,785	98.9%	166,841	N/A	1,436	N/A	Poll	F+A+AB

## Mitsubishi Estate Co., Ltd.

Meeting Date: 06/29/2022 **Record Date:** 03/31/2022

Earliest Cutoff Date: 06/27/2022

Votable Shares: 1,250,000

3.11

Primary Security ID: J43916113

Country: Japan Meeting Type: Annual

**Primary CUSIP:** J43916113

Total Ballots: 1

\*Shares on Loan: 0

Ticker: 8802

Voting Policy: ISS

**Meeting ID:** 1658208

**Primary ISIN:** JP3899600005

Shares Instructed: 1,250,000

Proxy Level: N/A

Primary SEDOL: 6596729

**Additional Policy:** 

**Shares Voted:** 1,250,000

							Additional	Voting		Vote	Vote	Vote
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Agaii ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 20	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Sugiyama, Hirotaka	Mgmt	Yes	For	For		For	For	No	No	No
3.2		Elect Director Yoshida, Junichi	Mgmt	Yes	For	For		For	For	No	No	No
3.3		Elect Director Tanisawa, Junichi	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Nakajima, Atsushi	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Umeda, Naoki	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Kubo, Hitoshi	Mgmt	Yes	For	For		For	For	No	No	No
3.7		Elect Director Nishigai, Noboru	Mgmt	Yes	For	For		For	For	No	No	No
3.8		Elect Director Katayama, Hiroshi	Mgmt	Yes	For	For		For	For	No	No	No
3.9		Elect Director Okamoto, Tsuyoshi	Mgmt	Yes	For	For		For	For	No	No	No
3.10		Elect Director Narukawa, Tetsuo	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes

majority independent and this outside director nominee lacks independence.

Yes

Blended Rationale: A vote AGAINST this director nominee is warranted because: \* The board after this meeting will not be majority independent and this outside director nominee lacks independence.

\*Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Mgmt

Elect Director Shirakawa, Masaaki

For

For

For

For

No

No

No

# Mitsubishi Estate Co., Ltd.

-	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3.12		Elect Director Nagase, Shin		Mgmt	Yes	For	For		For	For	No	No	No
3.13		Elect Director Egami, Setsuko		Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote majority independent and this of					* The board	l after this mee	eting will not	be			
		Blended Rationale: A vote AGAI majority independent and this of					ne board afte	r this meeting	will not be				
3.14		Elect Director Taka, Iwao		Mgmt	Yes	For	For		For	For	No	No	No
3.15		Elect Director Melanie Brock		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detai	ls												
Institutional A		Custodian Account Number	Ballot Status	Instructed	i	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
DADE DADE		202452	Confirmed	ibiccot		ibiccot				1 250 (	000	1 250	000

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		1,250,000	1,250,000
			06/22/2022	06/22/2022			

Total Shares: 1,250,000 1,250,000

### **Meeting Results**

Outstanding Shares Voted:

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	11,374,360	99.1%	105,954	N/A	1	N/A	Poll	F+A+AB
2	Y	Pass	No	For	N/A	11,471,700	99.9%	8,561	N/A	1	N/A	Poll	F+A+AB
3.1	Y	Pass	No	For	N/A	10,997,285	95.8%	464,832	N/A	18,195	N/A	Poll	F+A+AB
3.2	Y	Pass	No	For	N/A	10,970,843	95.6%	491,759	N/A	17,710	N/A	Poll	F+A+AB
3.3	Y	Pass	No	For	N/A	11,076,061	96.5%	386,481	N/A	17,771	N/A	Poll	F+A+AB
3.4	Y	Pass	No	For	N/A	11,080,344	96.5%	382,259	N/A	17,710	N/A	Poll	F+A+AB
3.5	Y	Pass	No	For	N/A	11,223,892	97.8%	238,712	N/A	17,710	N/A	Poll	F+A+AB
3.6	Y	Pass	No	For	N/A	11,225,003	97.8%	237,540	N/A	17,771	N/A	Poll	F+A+AB
3.7	Y	Pass	No	For	N/A	10,788,101	94.0%	674,438	N/A	17,771	N/A	Poll	F+A+AB
3.8	Y	Pass	No	For	N/A	10,788,815	94.0%	673,724	N/A	17,771	N/A	Poll	F+A+AB
3.9	Υ	Pass	No	For	N/A	11,007,233	95.9%	472,590	N/A	486	N/A	Poll	F+A+AB
3.10	Υ	Pass	No	For	N/A	9,707,251	84.5%	1,755,338	N/A	17,710	N/A	Poll	F+A+AB
3.11	Υ	Pass	No	For	N/A	11,067,955	96.4%	412,357	N/A	1	N/A	Poll	F+A+AB
3.12	Υ	Pass	No	For	N/A	11,354,375	98.9%	125,941	N/A	1	N/A	Poll	F+A+AB
3.13	Υ	Pass	No	For	N/A	10,098,920	88.0%	1,381,384	N/A	1	N/A	Poll	F+A+AB
3.14	Υ	Pass	No	For	N/A	11,354,692	98.9%	125,624	N/A	1	N/A	Poll	F+A+AB
3.15	Υ	Pass	No	For	N/A	11,349,679	98.9%	130,637	N/A	1	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# Mitsubishi UFJ Financial Group, Inc.

Meeting Date: 06/29/2022 **Record Date:** 03/31/2022

Country: Japan

Meeting Type: Annual

Primary CUSIP: J44497105

Ticker: 8306

**Meeting ID:** 1658426

**Primary ISIN:** JP3902900004

Proxy Level: N/A

Primary SEDOL: 6335171

Earliest Cutoff Date: 06/27/2022

Votable Shares: 5,000,000

Primary Security ID: J44497105

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS

**Additional Policy:** 

Shares Instructed: 5,000,000

**Shares Voted:** 5,000,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 14.5	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Fujii, Mariko	Mgmt	Yes	For	For		For	For	No	No	No
3.2		Elect Director Honda, Keiko	Mgmt	Yes	For	For		For	For	No	No	No
3.3		Elect Director Kato, Kaoru	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Kuwabara, Satoko	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Toby S. Myerson	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Nomoto, Hirofumi	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this majority independent and this outside directo				* The board	l after this mee	eting will no	t be			
		Blended Rationale: A vote AGAINST this direct majority independent and this outside directo				he board afte	er this meeting	will not be			-	
3.7		Elect Director Shingai, Yasushi	Mgmt	Yes	For	For		For	For	No	No	No
3.8		Elect Director Tsuji, Koichi	Mgmt	Yes	For	For		For	For	No	No	No
3.9		Elect Director Tarisa Watanagase	Mgmt	Yes	For	For		For	For	No	No	No
3.10		Elect Director Ogura, Ritsuo	Mgmt	Yes	For	For		For	For	No	No	No
3.11		Elect Director Miyanaga, Kenichi	Mgmt	Yes	For	For		For	For	No	No	No
3.12		Elect Director Mike, Kanetsugu	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this company's capital misallocation.	director nomine	ee is warrant	ted because:	* Top mana	ngement is resp	oonsible for	the			
		Blended Rationale: A vote AGAINST this direct company's capital misallocation.	tor nominee is	warranted b	ecause: * To	op managem	ent is responsi	ble for the			-	
3.13		Elect Director Kamezawa, Hironori	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this company's capital misallocation.	director nomine	ee is warrant	ted because:	* Top mana	ngement is resp	oonsible for	the		_	
		Blended Rationale: A vote AGAINST this direct company's capital misallocation.	tor nominee is	warranted b	ecause: * To	op managem	ent is responsi	ble for the				
3.14		Elect Director Nagashima, Iwao	Mgmt	Yes	For	For		For	For	No	No	No
3.15		Elect Director Hanzawa, Junichi	Mgmt	Yes	For	For		For	For	No	No	No
3.16		Elect Director Kobayashi, Makoto	Mgmt	Yes	For	For		For	For	No	No	No
4		Amend Articles to Prohibit Loans to Companies Which Show Disregard for Personal Information	SH	Yes	Against	Against		Against	Against	No	No	No
5		Amend Articles to Prohibit Loans to Companies Involved in Defamation	SH	Yes	Against	Against		Against	Against	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# Mitsubishi UFJ Financial Group, Inc.

Failures at Mizuho Financial Group

Proposal Number	Significant Vote	_		Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6		Amend Articles to Introduce Provision Concerning Learning from System	SH	Yes	Against	Against		Against	Against	No	No	No

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		5,000,000	5,000,000
			06/21/2022	06/21/2022			
					=		

Total Shares: 5,000,000 5,000,000

### **Meeting Results**

Outstanding Shares Voted: 126,414,701

Results Available: Full

Outstan	oustailding Shares voted: 120,414,701			Rest	iits Available: Full								
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	100,886,971	99.6%	99,564	N/A	7,910	N/A	Poll	F+A+AB
2	Y	Pass	No	For	N/A	100,803,105	99.6%	111,867	N/A	6,323	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	100,027,572	98.7%	959,596	N/A	7,105	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	100,120,773	98.8%	866,397	N/A	7,105	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	99,790,867	98.5%	1,195,252	N/A	7,105	N/A	Poll	F+A+AB
3.4	Υ	Pass	No	For	N/A	98,563,589	97.3%	2,423,558	N/A	7,105	N/A	Poll	F+A+AB
3.5	Υ	Pass	No	For	N/A	100,089,148	98.8%	898,029	N/A	7,105	N/A	Poll	F+A+AB
3.6	Υ	Pass	No	For	N/A	83,997,266	82.9%	16,989,875	N/A	7,105	N/A	Poll	F+A+AB
3.7	Υ	Pass	No	For	N/A	98,563,041	97.3%	2,423,963	N/A	7,105	N/A	Poll	F+A+AB
3.8	Υ	Pass	No	For	N/A	100,108,682	98.8%	878,440	N/A	7,105	N/A	Poll	F+A+AB
3.9	Υ	Pass	No	For	N/A	100,106,112	98.8%	881,067	N/A	7,105	N/A	Poll	F+A+AB
3.10	Υ	Pass	No	For	N/A	97,259,476	96.0%	3,712,956	N/A	21,801	N/A	Poll	F+A+AB
3.11	Υ	Pass	No	For	N/A	97,255,271	96.0%	3,717,166	N/A	21,801	N/A	Poll	F+A+AB
3.12	Υ	Pass	No	For	N/A	86,020,970	84.9%	13,934,747	N/A	1,038,513	N/A	Poll	F+A+AB
3.13	Υ	Pass	No	For	N/A	82,931,165	81.9%	18,055,959	N/A	7,105	N/A	Poll	F+A+AB
3.14	Υ	Pass	No	For	N/A	100,044,595	98.8%	927,846	N/A	21,801	N/A	Poll	F+A+AB
3.15	Υ	Pass	No	For	N/A	100,019,779	98.7%	952,551	N/A	21,801	N/A	Poll	F+A+AB
3.16	Υ	Pass	No	For	N/A	100,012,821	98.7%	959,264	N/A	21,901	N/A	Poll	F+A+AB
4	Υ	Fail	No	Against	N/A	2,242,761	2.2%	98,698,737	N/A	53,014	N/A	Poll	F+A+AB
5	Υ	Fail	No	Against	N/A	2,219,635	2.2%	98,725,793	N/A	49,072	N/A	Poll	F+A+AB
6	Υ	Fail	No	Against	N/A	2,210,314	2.2%	98,750,472	N/A	33,622	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Sumitomo Mitsui Financial Group, Inc.**

Meeting Date: 06/29/2022

Country: Japan

Ticker: 8316

Proxy Level: N/A

**Record Date:** 03/31/2022

Primary Security ID: J7771X109

Meeting Type: Annual

**Meeting ID:** 1659015

Primary CUSIP: J7771X109

**Primary ISIN:** JP3890350006

Primary SEDOL: 6563024

Earliest Cutoff Date: 06/27/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 1,000,000

\*Shares on Loan: 0

Shares Instructed: 1,000,000

**Shares Voted:** 1,000,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 105	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Kunibe, Takeshi	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this di company's capital misallocation.	irector nomine	e is warrant	ed because:	* Top mana	gement is resp	onsible for t	the			
		Blended Rationale: A vote AGAINST this director company's capital misallocation.	or nominee is v	varranted be	ecause: * To	p manageme	ent is responsi	ble for the				
3.2		Elect Director Ota, Jun	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this di company's capital misallocation.	irector nomine	e is warrant	ed because:	* Top mana	gement is resp	onsible for t	the			
		Blended Rationale: A vote AGAINST this director company's capital misallocation.	or nominee is v	varranted be	ecause: * To	p manageme	ent is responsi	ble for the				
3.3		Elect Director Takashima, Makoto	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Nakashima, Toru	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Kudo, Teiko	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Inoue, Atsuhiko	Mgmt	Yes	For	For		For	For	No	No	No
3.7		Elect Director Isshiki, Toshihiro	Mgmt	Yes	For	For		For	For	No	No	No
3.8		Elect Director Kawasaki, Yasuyuki	Mgmt	Yes	For	For		For	For	No	No	No
3.9		Elect Director Matsumoto, Masayuki	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this di majority independent and this outside director				* The board	after this mee	eting will not	be			
		Blended Rationale: A vote AGAINST this director majority independent and this outside director				e board afte	r this meeting	will not be				
3.10		Elect Director Arthur M. Mitchell	Mgmt	Yes	For	For		For	For	No	No	No
3.11		Elect Director Yamazaki, Shozo	Mgmt	Yes	For	For		For	For	No	No	No
3.12		Elect Director Kono, Masaharu	Mgmt	Yes	For	For		For	For	No	No	No
3.13		Elect Director Tsutsui, Yoshinobu	Mgmt	Yes	For	For		For	For	No	No	No
3.14		Elect Director Shimbo, Katsuyoshi	Mgmt	Yes	For	For		For	For	No	No	No
3.15		Elect Director Sakurai, Eriko	Mgmt	Yes	For	For		For	For	No	No	No
4		Amend Articles to Disclose Plan Outlining Company's Business Strategy to Align Investments with Goals of Paris Agreement	SH	Yes	Against	Against		Against	Against	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Sumitomo Mitsui Financial Group, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
5		Amend Articles to Disclose Measures to be Taken to Make Sure that the	SH	Yes	Against	Against		Against	Against	No	No	No

Company's Lending and Underwriting are not Used for Expansion of Fossil Fuel Supply or Associated Infrastructure

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		1,000,000	1,000,000
			06/21/2022	06/21/2022			
					= Total Shares:	1,000,000	1,000,000

1,000,000

1,000,000

### **Meeting Results**

Outstanding Shares Voted:

Outstan	iding Silares	voteu.			Resu	nto Avanable. Tare	iai						
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	10,289,759	99.4%	38,507	N/A	3,529	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	10,306,550	99.6%	21,737	N/A	3,542	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	6,727,978	65.0%	3,600,189	N/A	3,523	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	6,374,789	61.6%	3,953,365	N/A	3,525	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	9,839,523	95.0%	483,303	N/A	8,880	N/A	Poll	F+A+AB
3.4	Υ	Pass	No	For	N/A	9,797,734	94.6%	525,089	N/A	8,880	N/A	Poll	F+A+AB
3.5	Υ	Pass	No	For	N/A	9,815,557	94.8%	507,271	N/A	8,876	N/A	Poll	F+A+AB
3.6	Υ	Pass	No	For	N/A	9,398,020	90.8%	924,788	N/A	8,879	N/A	Poll	F+A+AB
3.7	Υ	Pass	No	For	N/A	9,396,185	90.8%	926,624	N/A	8,879	N/A	Poll	F+A+AB
3.8	Υ	Pass	No	For	N/A	7,086,584	68.5%	3,210,183	N/A	34,917	N/A	Poll	F+A+AB
3.9	Υ	Pass	No	For	N/A	6,719,858	64.9%	3,581,657	N/A	30,166	N/A	Poll	F+A+AB
3.10	Υ	Pass	No	For	N/A	9,325,228	90.1%	1,002,952	N/A	3,524	N/A	Poll	F+A+AB
3.11	Υ	Pass	No	For	N/A	9,962,653	96.2%	365,527	N/A	3,526	N/A	Poll	F+A+AB
3.12	Υ	Pass	No	For	N/A	9,297,402	89.8%	1,030,768	N/A	3,524	N/A	Poll	F+A+AB
3.13	Υ	Pass	No	For	N/A	9,141,507	88.3%	1,160,012	N/A	30,169	N/A	Poll	F+A+AB
3.14	Υ	Pass	No	For	N/A	9,953,355	96.1%	374,826	N/A	3,525	N/A	Poll	F+A+AB
3.15	Υ	Pass	No	For	N/A	9,335,263	90.2%	952,946	N/A	43,485	N/A	Poll	F+A+AB
4	Υ	Fail	No	Against	N/A	2,800,946	27.1%	7,325,280	N/A	205,502	N/A	Poll	F+A+AB
5	Υ	Fail	No	Against	N/A	989,505	9.6%	9,112,283	N/A	229,968	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Marks & Spencer Group Plc**

Meeting Date: 07/05/2022 **Record Date:** 07/01/2022

Country: United Kingdom Meeting Type: Annual

Ticker: MKS **Meeting ID:** 1618773 Proxy Level: N/A

**Primary Security ID:** G5824M107

Primary CUSIP: G5824M107

**Primary ISIN:** GB0031274896

Primary SEDOL: 3127489

Earliest Cutoff Date: 06/30/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

			•				
Votable Shares: 8,500,000	*Shares on Loan: 0	Shares Instructed: 8,500,000		<b>Shares Voted:</b> 8,500,000			
		AddistI					

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No	
2		Approve Remuneration Report	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes	
		Voting Policy Rationale: A vote AGAINST the rel Rowe's notice is set to be served on his last day practice, his formal notice should have commen market. This has given rise to a "notice of notice contributory factors towards the adverse vote na granting Steve Rowe a bonus in FY2021/22, de- positioning for CEO Stuart Machin and Co-CEO addirectors effectively share the leadership role, a in the Company's market capitalisation in recent	of employme oced on 10 Mai e" scenario. Co ecommendatio spite being un Katie Bickersta nd ii) the salai	nt with the C rch 2022, who concerns have on — that the der notice be offe, has bee	Company on then his depa e also been i Remunerati efore the boi n highlighted	5 July 2022. Inture was for noted – but a ion Committe nus payment d as a matte	However, in I rmally announ are not being I be applied disco the date. Also, the rof concern, g	ine with best ced to the nighlighted a retion in e salary viven that i) t	t s the				
		Blended Rationale: A vote AGAINST the remuneration report is considered warranted on account of: * Former CEO Steve Rowe's notice is set to be served on his last day of employment with the Company on 5 July 2022. However, in line with best practice, his formal notice should have commenced on 10 March 2022, when his departure was formally announced to the market. This has given rise to a "notice of notice" scenario. Concerns have also been noted – but are not being highlighted as contributory factors towards the adverse vote recommendation – that the Remuneration Committee applied discretion in granting Steve Rowe a bonus in FY2021/22, despite being under notice before the bonus payment date. Also, the salary positioning for CEO Stuart Machin and Co-CEO Katie Bickerstaffe, has been highlighted as a matter of concern, given that i) the directors effectively share the leadership role, and ii) the salary levels do not appear to appropriately acknowledge the decline in the Company's market capitalisation in recent years.  Re-elect Archie Norman as Director Mgmt Yes For For For For For No											
3		Re-elect Archie Norman as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	tors is warrant	ted as no sig	nificant cond	cerns have b	een identified.						
		Blended Rationale: A vote FOR these Directors	is warranted a	s no significa	ant concerns	have been i	identified.						
4		Re-elect Eoin Tonge as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	tors is warrant	ted as no sig	ınificant cond	cerns have b	een identified.						
		Blended Rationale: A vote FOR these Directors	is warranted a	s no significa	ant concerns	have been i	identified.						
5		Re-elect Evelyn Bourke as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	tors is warrant	ted as no sig	nificant cond	cerns have b	een identified.						
		Blended Rationale: A vote FOR these Directors	is warranted a	s no significa	ant concerns	have been i	identified.						
6		Re-elect Fiona Dawson as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	tors is warrant	ted as no sig	nificant cond	cerns have b	een identified.						
		Blended Rationale: A vote FOR these Directors	is warranted a	s no significa	ant concerns	have been i	identified.						
7		Re-elect Andrew Fisher as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	tors is warrant	ted as no sig	nificant cond	cerns have b	een identified.						
		Blended Rationale: A vote FOR these Directors	is warranted a	s no significa	ant concerns	have been i	identified.						
8		Re-elect Andy Halford as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	tors is warrant	ted as no sig	nificant cond	cerns have b	een identified.						
		Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.											

\*Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Marks & Spencer Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Re-elect Tamara Ingram as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	ncerns have b	been identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
10		Re-elect Justin King as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	ncerns have b	been identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
11		Re-elect Sapna Sood as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	ncerns have b	been identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
12		Elect Stuart Machin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	ncerns have b	been identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
13		Elect Katie Bickerstaffe as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	ncerns have b	been identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
14		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	s and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	l durations are	within				
18		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resormended limits.	lutions is warra	anted becaus	se the propo	sed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed (	amounts and	durations are	within				
19		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonance of the series of the	lutions is warra	anted becaus	se the propo	sed amounts	s and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	l durations are	within				
20		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
21		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No
22		Approve Renewal of Share Incentive Plan	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Marks & Spencer Group Pic**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
23		Authorise Purchase by Marks and Spencer plc of Ordinary Shares in The	Mgmt	Yes	For	For		For	For	No	No	No

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		8,500,000	8,500,000
			06/27/2022	06/27/2022			
					Total Shares:	8,500,000	8,500,000

### **Meeting Results**

Outstanding Shares Voted: 1,964,019,744 Results Available: Full

Sports Edit Limited from Justin King

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	1,339,451,971	100.0%	157,191	0.0%	1,411,785	N/A	Poll	F+A
2	Υ	Pass	No	For	29.1%	930,901,466	70.9%	382,304,226	29.1%	27,809,219	N/A	Poll	F+A
3	Υ	Pass	No	For	3.9%	1,288,556,149	96.1%	52,271,066	3.9%	204,180	N/A	Poll	F+A
4	Υ	Pass	No	For	0.4%	1,335,843,323	99.6%	4,958,347	0.4%	251,353	N/A	Poll	F+A
5	Υ	Pass	No	For	12.2%	1,176,809,541	87.8%	163,959,382	12.2%	283,901	N/A	Poll	F+A
6	Υ	Pass	No	For	1.1%	1,326,480,850	98.9%	14,279,272	1.1%	290,202	N/A	Poll	F+A
7	Υ	Pass	No	For	1.8%	1,316,596,126	98.2%	24,159,878	1.8%	272,212	N/A	Poll	F+A
8	Υ	Pass	No	For	2.6%	1,305,732,873	97.4%	35,035,074	2.6%	282,857	N/A	Poll	F+A
9	Υ	Pass	No	For	1.7%	1,318,085,555	98.3%	22,646,240	1.7%	292,311	N/A	Poll	F+A
10	Υ	Pass	No	For	1.1%	1,326,501,796	98.9%	14,310,651	1.1%	240,759	N/A	Poll	F+A
11	Υ	Pass	No	For	1.1%	1,326,415,831	98.9%	14,330,957	1.1%	277,318	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	1,340,304,101	100.0%	475,033	0.0%	267,080	N/A	Poll	F+A
13	Υ	Pass	No	For	0.3%	1,337,195,657	99.7%	3,583,671	0.3%	269,386	N/A	Poll	F+A
14	Υ	Pass	No	For	0.1%	1,330,455,419	99.9%	1,384,747	0.1%	9,206,048	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	1,339,612,877	99.9%	1,217,059	0.1%	214,229	N/A	Poll	F+A
16	Υ	Pass	No	For	5.3%	1,268,497,930	94.7%	70,333,790	5.3%	2,214,494	N/A	Poll	F+A
17	Υ	Pass	No	For	2.0%	1,313,858,056	98.0%	26,945,573	2.0%	242,585	N/A	Poll	F+A
18	Υ	Pass	No	For	0.3%	1,336,141,058	99.7%	4,508,799	0.3%	394,992	N/A	Poll	F+A
19	Υ	Pass	No	For	4.6%	1,279,181,425	95.4%	61,473,173	4.6%	390,251	N/A	Poll	F+A
20	Υ	Pass	No	For	0.8%	1,328,435,544	99.2%	10,854,595	0.8%	1,755,985	N/A	Poll	F+A
21	Υ	Pass	No	For	5.6%	1,256,405,720	94.4%	74,404,099	5.6%	226,395	N/A	Poll	F+A
22	Υ	Pass	No	For	0.0%	1,340,136,466	100.0%	543,524	0.0%	366,224	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For		% For	Against	% Against	Abs	stain /	% Abstain	Result Type	Base
23	Y	Pass	No	For	0.0%	1,340,023	,164 1	100.0%	414,688	0.0%	606	,997	N/A	Poll	F+A
Voda	fone (	Group I	Plc												
_	<b>Date:</b> 07/2	-		Country: U	_	m		Ticker: V				Proxy Leve	el: N/A		
	Date: 06/13, Security II	/2022 <b>):</b> G9388219	2	Meeting Ty Primary CU	-	2192		-	ID: 165949 <sup>,</sup> ISIN: GB00			Primary SE	EDOL: BH4	HKS3	
Earliest C	utoff Date: 0	7/20/2022		Total Ballots	:: 1			Voting Po	licy: ISS			Additional P	olicy:		
Votable S	hares: 2,500,	000		*Shares on L	- <b>oan:</b> 0			Shares In	structed: 2,5	00,000		Shares Vote	<b>d:</b> 2,500,00	0	
Proposal Number	Significan Vote	t Proposa	ıl Text			Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Agains Mgmt	Vote t Against Policy	Vote Against ISS
		Meeting	for ADR Hol	ders		Mgmt	No								
1			Financial Stat y Reports	tements and		Mgmt	Yes	For	For		For	For	No	No	No
2		Re-elect Director		ois van Boxme	er as	Mgmt	Yes	For	For		For	For	No	No	No
		Director Company be kept Blended concern sufficient the Boar has prov	without concern because: * As Nomination Committee Chair, Jean-Francois van Boxmeer is considered responsible for incorporating sufficient diversity on the Board. It is highlighted that the Board does not comprise at least one ethnically diverse Director on the Board. The main reason for support is: * The non-compliance is a result of a NED resignation in July 2021. The Company has provided a commitment to achieve ethnic diversity, following the recommendation of the Parker Review. This will be kept under review. Items 3-14 A vote FOR these Directors is warranted as no significant concerns have been identified.  Selended Rationale: Item 2 A vote FOR the re-election of Jean-Francois van Boxmeer is warranted, although it is not without concern because: * As Nomination Committee Chair, Jean-Francois van Boxmeer is considered responsible for incorporating sufficient diversity on the Board. It is highlighted that the Board does not comprise at least one ethnically diverse Director on the Board. The main reason for support is: * The non-compliance is a result of a NED resignation in July 2021. The Company has provided a commitment to achieve ethnic diversity, following the recommendation of the Parker Review. This will be kept under review. Items 3-14 A vote FOR these Directors is warranted as no significant concerns have been identified.											_	
3						Mgmt	Yes	For	For		For	For	No	No	No
		without of incorporal Director Compan	Re-elect Nick Read as Director Mgmt Yes For For For For No  Voting Policy Rationale: Item 2 A vote FOR the re-election of Jean-Francois van Boxmeer is warranted, although it is not without concern because: * As Nomination Committee Chair, Jean-Francois van Boxmeer is considered responsible for incorporating sufficient diversity on the Board. It is highlighted that the Board does not comprise at least one ethnically diverse  Director on the Board. The main reason for support is: * The non-compliance is a result of a NED resignation in July 2021. The Company has provided a commitment to achieve ethnic diversity, following the recommendation of the Parker Review. This will be kept under review. Items 3-14 A vote FOR these Directors is warranted as no significant concerns have been identified.												
		concern sufficien the Boar has prov	because: * A t diversity on d. The main vided a comm	As Nomination In the Board. It I reason for sup Initment to ach	Committee ( is highlighte oport is: * Th ieve ethnic a	Chair, Jean-Fra Id that the Boa The non-compli Diversity, follov	ancois van ard does no ance is a re ving the re	Boxmeer is control comprise at a NEC commendation	onsidered re t least one e resignation n of the Pari	l, although it is is sponsible for in thnically diverse in July 2021. T ker Review. This e been identifie	corporating e Director o The Compan s will be kep	n Y			
4		Re-elect Director	_	Della Valle as		Mgmt	Yes	For	For		For	For	No	No	No
		without of incorporal Director Compan	concern beca ating sufficie on the Board y has provide	ause: * As Nor nt diversity on d. The main re ed a commitme	mination Com the Board. I pason for sup ent to achiev	nmittee Chair, It is highlighte port is: * The ve ethnic diver	Jean-France of that the non-comp esity, follow	cois van Boxn Board does n liance is a res ving the recon	neer is consi ot comprise ult of a NED nmendation (	anted, although dered responsit at least one eth resignation in . of the Parker Re erns have been	ole for Inically dive July 2021. T Eview. This	The			
		concern sufficien the Boar has prov	because: * A t diversity on d. The main vided a comm	As Nomination In the Board. It I reason for sup Initment to ach	Committee ( is highlighte oport is: * Th ieve ethnic a	Chair, Jean-Fra Ind that the Boa Ine non-compli Iversity, follow	ancois van ard does no ance is a re ving the re	Boxmeer is control comprise at a NEC commendation	onsidered re least one e resignation n of the Pari	, although it is a sponsible for in thnically diverse in July 2021. T ker Review. Thi e been identifie	corporating e Director o The Compan s will be kep	n Y			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
5		Elect Stephen Carter as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Comincorporating sufficient diversity on the Board. I Director on the Board. The main reason for sup, Company has provided a commitment to achiev be kept under review. Items 3-14 A vote FOR the	nmittee Chair, It is highlighte port is: * The re ethnic diver	Jean-Franco of that the Bo non-complia sity, followin	is van Boxmo pard does no nce is a resu g the recom	eer is consid It comprise a Ilt of a NED I mendation o	ered responsib nt least one eth resignation in . f the Parker Re	ole for nnically diver July 2021. Th eview. This v	he				
		Blended Rationale: Item 2 A vote FOR the re-electoncern because: * As Nomination Committee Cosufficient diversity on the Board. It is highlighted the Board. The main reason for support is: * The has provided a commitment to achieve ethnic dunder review. Items 3-14 A vote FOR these Directoncerns.	Chair, Jean-Fra d that the Boa ne non-complia liversity, follow	ancois van Bard does not ance is a res ving the reco	oxmeer is co comprise at ult of a NED mmendation	nsidered responsible responsible to the second resignation of the Parke	ponsible for in hnically diverse in July 2021. T er Review. This	corporating e Director on The Company s will be kep	/				
6		Re-elect Sir Crispin Davis as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: Item 2 A vote FOR the re-election of Jean-Francois van Boxmeer is warranted, although it is not without concern because: * As Nomination Committee Chair, Jean-Francois van Boxmeer is considered responsible for incorporating sufficient diversity on the Board. It is highlighted that the Board does not comprise at least one ethnically diverse Director on the Board. The main reason for support is: * The non-compliance is a result of a NED resignation in July 2021. The Company has provided a commitment to achieve ethnic diversity, following the recommendation of the Parker Review. This will be kept under review. Items 3-14 A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Rationale: Item 2 A vote FOR the re-election of Jean-Francois van Boxmeer is warranted, although it is not without concern because: * As Nomination Committee Chair, Jean-Francois van Boxmeer is considered responsible for incorporating sufficient diversity on the Board. It is highlighted that the Board does not comprise at least one ethnically diverse Director on											
		concern because: * As Nomination Committee C	Chair, Jean-Fra d that the Boa ne non-complia liversity, follow	ancois van Bard does not ance is a res ving the reco	oxmeer is co comprise at ult of a NED mmendation	nsidered res least one eti resignation i of the Parke	ponsible for in hnically diverse in July 2021. T er Review. This	corporating e Director on The Company s will be kep	/				
7		Re-elect Michel Demare as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: Item 2 A vote FOR the re-election of Jean-Francois van Boxmeer is warranted, although it is not without concern because: * As Nomination Committee Chair, Jean-Francois van Boxmeer is considered responsible for incorporating sufficient diversity on the Board. It is highlighted that the Board does not comprise at least one ethnically diverse Director on the Board. The main reason for support is: * The non-compliance is a result of a NED resignation in July 2021. The Company has provided a commitment to achieve ethnic diversity, following the recommendation of the Parker Review. This will											
		be kept under review. Items 3-14 A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Rationale: Item 2 A vote FOR the re-election of Jean-Francois van Boxmeer is warranted, although it is not without concern because: * As Nomination Committee Chair, Jean-Francois van Boxmeer is considered responsible for incorporating sufficient diversity on the Board. It is highlighted that the Board does not comprise at least one ethnically diverse Director on the Board. The main reason for support is: * The non-compliance is a result of a NED resignation in July 2021. The Company has provided a commitment to achieve ethnic diversity, following the recommendation of the Parker Review. This will be kept under review. Items 3-14 A vote FOR these Directors is warranted as no significant concerns have been identified.											
8		Elect Delphine Ernotte Cunci as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: Item 2 A vote FOR the re-election of Jean-Francois van Boxmeer is warranted, although it is not without concern because: * As Nomination Committee Chair, Jean-Francois van Boxmeer is considered responsible for incorporating sufficient diversity on the Board. It is highlighted that the Board does not comprise at least one ethnically diverse Director on the Board. The main reason for support is: * The non-compliance is a result of a NED resignation in July 2021. The Company has provided a commitment to achieve ethnic diversity, following the recommendation of the Parker Review. This will be kept under review. Items 3-14 A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Rationale: Item 2 A vote FOR the re-election of Jean-Francois van Boxmeer is warranted, although it is not without											
		Blended Rationale: Item 2 A vote FOR the re-electoncern because: * As Nomination Committee Conficient diversity on the Board. It is highlightet the Board. The main reason for support is: * The has provided a commitment to achieve ethnic dunder review. Items 3-14 A vote FOR these Directors.	Chair, Jean-Fra d that the Boa ne non-complia liversity, follow	ancois van Bard does not ance is a res ving the reco	oxmeer is co comprise at ult of a NED mmendation	nsidered responsible responsible to the second resignation of the Parke	ponsible for in hnically diverse in July 2021. T er Review. This	corporating e Director on The Company s will be kep	/				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS	
9		Re-elect Dame Clara Furse as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Conincorporating sufficient diversity on the Board. Director on the Board. The main reason for sufficient has provided a commitment to achie be kept under review. Items 3-14 A vote FOR	mmittee Chair, It is highlighte oport is: * The ove ethnic diver	Jean-Franco ed that the Bo non-complia rsity, followin	ois van Boxi oard does r ance is a re og the recor	meer is consia not comprise a sult of a NED mmendation o	dered responsion at least one etco resignation in af the Parker R	ble for hnically dive July 2021. T eview. This	The				
		Blended Rationale: Item 2 A vote FOR the re-econcern because: * As Nomination Committee sufficient diversity on the Board. It is highlight the Board. The main reason for support is: * Thas provided a commitment to achieve ethnic under review. Items 3-14 A vote FOR these Di	Chair, Jean-Fro ed that the Boo The non-compli diversity, follov	ancois van B ard does not ance is a res ving the reco	oxmeer is of comprise a fult of a NEI commendation	considered res at least one et D resignation on of the Parki	sponsible for in thnically divers in July 2021. The ter Review. Thi	ncorporating e Director of The Compan is will be kep	y				
10		Re-elect Valerie Gooding as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Conincorporating sufficient diversity on the Board. Director on the Board. The main reason for sufficient has provided a commitment to achie be kept under review. Items 3-14 A vote FOR	mmittee Chair, It is highlighte oport is: * The ove ethnic diver	Jean-Franco ed that the Bo non-complia rsity, followin	ois van Boxi oard does r ance is a re og the recor	meer is consia not comprise a sult of a NED mmendation o	dered responsion at least one etco resignation in af the Parker R	ble for hnically dive July 2021. T eview. This	The				
		Blended Rationale: Item 2 A vote FOR the re-econcern because: * As Nomination Committee sufficient diversity on the Board. It is highlight the Board. The main reason for support is: * 1 has provided a commitment to achieve ethnic under review. Items 3-14 A vote FOR these Di	Chair, Jean-Fro ed that the Boo The non-compli diversity, follov	ancois van B ard does not ance is a res wing the reco	oxmeer is of comprise a fult of a NE commendation	considered res at least one et D resignation on on of the Park	sponsible for in thnically divers in July 2021. The ter Review. The	ncorporating e Director of The Compan is will be kep	y				
11		Elect Deborah Kerr as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: Item 2 A vote FOR the re-election of Jean-Francois van Boxmeer is warranted, although it is not without concern because: * As Nomination Committee Chair, Jean-Francois van Boxmeer is considered responsible for incorporating sufficient diversity on the Board. It is highlighted that the Board does not comprise at least one ethnically diverse Director on the Board. The main reason for support is: * The non-compliance is a result of a NED resignation in July 2021. The Company has provided a commitment to achieve ethnic diversity, following the recommendation of the Parker Review. This will be kept under review. Items 3-14 A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Rationale: Item 2 A vote FOR the re-election of Jean-Francois van Boxmeer is warranted, although it is not without											
		concern because: * As Nomination Committee sufficient diversity on the Board. It is highlight the Board. The main reason for support is: * T has provided a commitment to achieve ethnic under review. Items 3-14 A vote FOR these Di	Chair, Jean-Fro ed that the Boo The non-compli diversity, follov	ancois van B ard does not ance is a res wing the reco	oxmeer is of comprise a fult of a NEI commendation	considered res at least one et D resignation on on of the Park	sponsible for in thnically divers in July 2021. The er Review. The	ncorporating e Director of The Compan is will be kep	y				
12		Re-elect Maria Amparo Moraleda Martinez as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Coincorporating sufficient diversity on the Board. Director on the Board. The main reason for sufficient provided a commitment to achie be kept under review. Items 3-14 A vote FOR	mmittee Chair, It is highlighte oport is: * The eve ethnic diver	Jean-Franco ed that the Bo non-complia rsity, followin	ois van Boxi oard does r ance is a re og the recor	meer is consia not comprise a sult of a NED mmendation o	dered responsion at least one etco resignation in and the Parker R	ble for hnically dive July 2021. T eview. This	The				
		Blended Rationale: Item 2 A vote FOR the re-econcern because: * As Nomination Committee sufficient diversity on the Board. It is highlight the Board. The main reason for support is: * Thas provided a commitment to achieve ethnic under review. Items 3-14 A vote FOR these Diversity of the second support is: * The second s	Chair, Jean-Fro ed that the Boo The non-compli diversity, follov	ancois van B ard does not ance is a res ving the reco	oxmeer is of comprise a fult of a NEI commendation	considered res at least one et D resignation on of the Parki	sponsible for in thnically divers in July 2021. The er Review. Thi	ncorporating e Director of The Compan is will be kep	y				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
13		Re-elect David Nish as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Concorporating sufficient diversity on the Board Director on the Board. The main reason for standard to the company has provided a commitment to aching the kept under review. Items 3-14 A vote FOR	ommittee Chair, I. It is highlighte upport is: * The eve ethnic dive	. Jean-France ed that the E e non-compli rsity, followin	ois van Boxi Board does i iance is a re ng the recoi	meer is consion not comprise of sult of a NED nomendation of	dered responsi at least one et resignation in of the Parker R	ble for hnically dive July 2021. T Peview. This	The		-	
		Blended Rationale: Item 2 A vote FOR the re- concern because: * As Nomination Committee sufficient diversity on the Board. It is highligh the Board. The main reason for support is: * has provided a commitment to achieve ethnic under review. Items 3-14 A vote FOR these D	e Chair, Jean-Fi ted that the Bo The non-compl diversity, follo	rancois van E ard does not liance is a res wing the rec	Boxmeer is of the comprise a sulthof a NE commendation	considered res at least one et D resignation on of the Park	sponsible for in thnically divers in July 2021. eer Review. Th	ncorporating e Director o The Compan is will be ke <sub>l</sub>	n ny		-	
14		Elect Simon Segars as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Concern incorporating sufficient diversity on the Board Director on the Board. The main reason for sufficient has provided a commitment to achibe kept under review. Items 3-14 A vote FOR	ommittee Chair, I. It is highlighte upport is: * The eve ethnic dive	. Jean-France ed that the E e non-compli rsity, followin	ois van Boxi Board does i iance is a re ng the recoi	meer is consion not comprise of sult of a NED nomendation of	dered responsi at least one et resignation in of the Parker R	ble for hnically dive July 2021. I Peview. This	The			
		Blended Rationale: Item 2 A vote FOR the re- concern because: * As Nomination Committee sufficient diversity on the Board. It is highligh the Board. The main reason for support is: * has provided a commitment to achieve ethnic under review. Items 3-14 A vote FOR these D	e Chair, Jean-Fi ted that the Bo The non-compl diversity, follo	rancois van E ard does not liance is a res wing the rec	Boxmeer is of the comprise a sulthof a NE commendation	considered res at least one et D resignation on of the Park	sponsible for in thnically divers in July 2021. eer Review. Th	ncorporating e Director o The Compan is will be ke <sub>l</sub>	n ny		-	
15		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
17		Reappoint Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
18		Authorise the Audit and Risk Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these res recommended limits.	olutions is warr	ranted becau	se the prop	osed amounts	s and duration	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ons is warranted	d because th	ne proposed	amounts and	durations are	within				
20		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these res recommended limits.	olutions is warr	ranted becau	se the prop	osed amounts	s and duration	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ons is warrante	d because th	ne proposed	amounts and	durations are	within				
21		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these res recommended limits.	olutions is warr	ranted becau	se the prop	osed amounts	s and duration	s are within			-	
		Blended Rationale: A vote FOR these resolution recommended limits.	ons is warrante	d because th	ne proposed	amounts and	durations are	within				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
22		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
24		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	000392452	Confirmed	jbisset	jbisset		2,500,000	2,500,000
			07/18/2022	07/18/2022			

Total Shares: 2,500,000 2,500,000

### **Meeting Results**

Outstanding Shares Voted: 27,975,521,625

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.0%	19,485,490,846	100.0%	8,455,671	0.0%	51,088,718	N/A	Poll	F+A
2	Υ	Pass	No	For	10.9%	17,210,770,653	89.1%	2,095,993,263	10.9%	238,192,481	N/A	Poll	F+A
3	Υ	Pass	No	For	0.3%	19,447,795,495	99.7%	54,912,616	0.3%	42,224,951	N/A	Poll	F+A
4	Υ	Pass	No	For	0.4%	19,431,003,165	99.6%	72,013,091	0.4%	41,924,736	N/A	Poll	F+A
5	Υ	Pass	No	For	0.3%	19,452,464,590	99.7%	48,781,664	0.3%	43,710,312	N/A	Poll	F+A
6	Υ	Pass	No	For	3.1%	18,894,436,667	96.9%	607,069,943	3.1%	43,460,905	N/A	Poll	F+A
7	Υ	Pass	No	For	3.0%	18,917,342,070	97.0%	584,807,446	3.0%	42,866,619	N/A	Poll	F+A
8	Υ	Pass	No	For	0.3%	19,438,367,304	99.7%	64,008,647	0.3%	42,634,408	N/A	Poll	F+A
9	Υ	Pass	No	For	0.4%	19,415,658,222	99.6%	86,175,644	0.4%	43,132,354	N/A	Poll	F+A
10	Υ	Pass	No	For	3.0%	18,914,896,189	97.0%	586,834,669	3.0%	43,254,507	N/A	Poll	F+A
11	Υ	Pass	No	For	0.2%	19,452,796,768	99.8%	48,291,211	0.2%	43,891,939	N/A	Poll	F+A
12	Υ	Pass	No	For	3.3%	18,848,895,461	96.7%	652,928,314	3.3%	43,184,656	N/A	Poll	F+A
13	Υ	Pass	No	For	0.3%	19,450,797,473	99.7%	50,469,496	0.3%	43,709,200	N/A	Poll	F+A
14	Υ	Pass	No	For	0.2%	19,453,708,826	99.8%	45,139,651	0.2%	46,112,414	N/A	Poll	F+A
15	Υ	Pass	No	For	0.3%	19,457,795,003	99.7%	51,447,712	0.3%	35,760,312	N/A	Poll	F+A
16	Υ	Pass	No	For	2.1%	19,086,924,682	97.9%	409,978,557	2.1%	47,875,529	N/A	Poll	F+A
17	Υ	Pass	No	For	0.7%	19,379,132,140	99.3%	128,384,121	0.7%	37,394,082	N/A	Poll	F+A
18	Υ	Pass	No	For	0.1%	19,490,192,112	99.9%	13,950,137	0.1%	40,760,118	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
19	Y	Pass	No	For	5.3%	18,474,217,163	94.7%	1,031,644,171	5.3%	39,092,919	N/A	Poll	F+A
20	Υ	Pass	No	For	2.2%	19,007,099,920	97.8%	426,191,195	2.2%	111,673,329	N/A	Poll	F+A
21	Υ	Pass	No	For	3.6%	18,739,915,910	96.4%	693,669,490	3.6%	111,360,006	N/A	Poll	F+A
22	Υ	Pass	No	For	1.1%	19,272,281,803	98.9%	213,963,920	1.1%	58,753,588	N/A	Poll	F+A
23	Υ	Pass	No	For	1.8%	18,917,554,866	98.2%	344,758,424	1.8%	282,628,828	N/A	Poll	F+A
24	Y	Pass	No	For	5.2%	18,483,731,105	94.8%	1,004,855,614	5.2%	56,331,018	N/A	Poll	F+A

### **Jet2 Plc**

Meeting Date: 09/01/2022 **Record Date:** 08/30/2022

Country: United Kingdom Meeting Type: Annual

Ticker: JET2

Proxy Level: N/A

**Primary Security ID:** G5112P101

Primary CUSIP: G5112P101

**Meeting ID:** 1669297 Primary ISIN: GB00B1722W11

Primary SEDOL: B1722W1

Earliest Cutoff Date: 08/29/2022 Votable Shares: 1,051,578

\*Shares on Loan: 0

Total Ballots: 1

Voting Policy: ISS Shares Instructed: 1,051,578 Additional Policy: **Shares Voted:** 1,051,578

Votable Si	nares: 1,051,578	*Shares on Loan: 0			Shares I	nstructed: 1,0	51,578		Shares Voted	<b>I:</b> 1,051,578		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this independent representation on the Board; * under the Share Reward Plan vest subject to	The Executive C	Chair sits on t	the Remune	-					-	
		Blended Rationale: A vote AGAINST this resi independent representation on the Board; * under the Share Reward Plan vest subject to	The Executive (	Chair sits on t	the Remune	-			ed			
2		Re-elect Gary Brown as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR to been identified. Item 3 A vote AGAINST the issue has been identified and he sits on the does not adhere to UK best practice recomm. Blended Rationale: Item 2 A vote FOR the reidentified. Item 3 A vote AGAINST the re-elebeen identified and he sits on the Audit and adhere to UK best practice recommendation.	re-election of M. Audit and Remu nendations for a e-election of Gar ection of Mark La Remuneration C	ark Laurence neration Con company of y Brown is waurence is wa Committees, a	e is warranto nmittees, ar this size. varranted be arranted be	ed because: * and the compo ecause no signature: * A po	* A potential in sition of these nificant concer stential indeper	dependence Committees Ins have bee Indence issue	n P has		-	
3		Re-elect Mark Laurence as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Item 2 A vote FOR the been identified. Item 3 A vote AGAINST the issue has been identified and he sits on the does not adhere to UK best practice recomm	re-election of Ma Audit and Remu nendations for a	ark Laurence neration Con company of	e is warrante nmittees, ar this size.	ed because: * nd the compo	* A potential in esition of these	dependence Committees	7		-	
		Blended Rationale: Item 2 A vote FOR the reidentified. Item 3 A vote AGAINST the re-elebeen identified and he sits on the Audit and adhere to UK best practice recommendation	ection of Mark La Remuneration C	aurence is wa Committees, a	arranted bed	cause: * A po	tential indeper	ndence issue	has			
4		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
5		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No

### **Jet2 Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resrecommended limits.	solutions is warr	anted becaus	se the pro	posed amount	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolutive recommended limits.	ions is warrante	d because th	e proposed	d amounts and	d durations are	within				
7		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resrecommended limits.	solutions is warr	anted becaus	se the pro <sub>l</sub>	posed amount	s and duration.	s are within				
		Blended Rationale: A vote FOR these resoluti recommended limits.	ions is warrante	d because th	e proposed	d amounts and	d durations are	within				
8		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resrecommended limits.	solutions is warr	anted becaus	se the pro	posed amount	s and duration.	s are within				
		Blended Rationale: A vote FOR these resoluti recommended limits.	ions is warrante	d because th	e propose	d amounts and	d durations are	within				

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		1,051,578	1,051,578
			08/22/2022	08/22/2022			
					Total Shares:	1,051,578	1,051,578

### **Meeting Results**

Outstanding Shares Voted:

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	7.1%	153,733,922	92.9%	11,744,978	7.1%	1,858,387	N/A	Poll	F+A
2	Υ	Pass	No	For	5.1%	158,836,534	94.9%	8,493,736	5.1%	7,017	N/A	Poll	F+A
3	Υ	Pass	No	For	16.7%	138,160,216	83.3%	27,788,327	16.7%	1,388,744	N/A	Poll	F+A
4	Υ	Pass	No	For	0.7%	162,378,931	99.3%	1,218,243	0.7%	3,740,113	N/A	Poll	F+A
5	Υ	Pass	No	For	0.1%	167,218,038	99.9%	108,086	0.1%	11,163	N/A	Poll	F+A
6	Υ	Pass	No	For	0.6%	165,275,627	99.4%	948,062	0.6%	1,113,598	N/A	Poll	F+A
7	Υ	Pass	No	For	0.8%	165,917,627	99.2%	1,406,053	0.8%	13,207	N/A	Poll	F+A
8	Υ	Pass	No	For	1.8%	164,327,696	98.2%	2,995,984	1.8%	13,207	N/A	Poll	F+A

## **Ashmore Group Plc**

Meeting Date: 10/14/2022

Country: United Kingdom

Meeting Type: Annual

Meeting ID: 1684288

Proxy Level: N/A

**Record Date:** 10/12/2022

Primary Security ID: G0609C101

Primary CUSIP: G0609C101

Primary ISIN: GB00B132NW22

Primary SEDOL: B132NW2

**Earliest Cutoff Date:** 10/11/2022 **Votable Shares:** 2,784,253 Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 2,784,253

Ticker: ASHM

Additional Policy:
Shares Voted: 2,784,253

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
3		Re-elect Mark Coombs as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
4		Re-elect Tom Shippey as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no si	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
5		Re-elect Clive Adamson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
6		Re-elect Helen Beck as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no si	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
7		Re-elect Jennifer Bingham as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	ted as no si	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
8		Elect Shirley Garrood as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ctors is warran	nted as no si	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
9		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
10		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
11		Authorise the Audit and Risk Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
12		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
13		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	lutions is warra	anted becau	se the propo	sed amounts	and durations	s are within				

recommended limits.

Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Ashmore Group Plc**

Proposal Sig Number Vot	nificant te	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
14		Authorise Issue of Equity with Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	olutions is warr	anted becau	se the prop	posed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ons is warranted	d because th	e proposed	d amounts and	durations are	within				
15		Authorise Issue of Equity with Pre-emptive Rights in Connect an Acquisition or Other Capita Investment	ion with	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	olutions is warr	anted becau	se the prop	posed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ons is warranted	d because th	e proposed	d amounts and	durations are	within				
16		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
17		Approve Waiver on Rule 9 of t Takeover Code	he	Mgmt	Yes	For	For		For	For	No	No	No
18		Authorise the Company to Cal Meeting with Two Weeks' Noti		Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>													
Institutional Acco		Custodian Account Number	Ballot Status	Instructe	d	Appro	ved	Ballot Voting	Status	Votable S	hares	Shares	Voted
RARF, RARF		392452	Confirmed	jbisset		jbisset				2,784,7	253	2,784	,253
				10/04/202	2	10/04/	2022						

Total Shares:

2,784,253

2,784,253

### **Meeting Results**

Outstanding Shares Voted:

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.1%	591,870,242	99.9%	818,275	0.1%	1,437,438	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	594,121,795	100.0%	280	0.0%	3,880	N/A	Poll	F+A
3	Υ	Pass	No	For	0.7%	589,837,002	99.3%	4,279,260	0.7%	9,693	N/A	Poll	F+A
4	Υ	Pass	No	For	0.7%	589,689,895	99.3%	4,426,367	0.7%	9,693	N/A	Poll	F+A
5	Υ	Pass	No	For	6.2%	554,974,977	93.8%	36,759,902	6.2%	2,391,076	N/A	Poll	F+A
6	Υ	Pass	No	For	9.5%	537,747,843	90.5%	56,368,418	9.5%	9,694	N/A	Poll	F+A
7	Y	Pass	No	For	5.3%	562,538,281	94.7%	31,577,981	5.3%	9,693	N/A	Poll	F+A
8	Y	Pass	No	For	0.0%	593,970,078	100.0%	146,184	0.0%	9,693	N/A	Poll	F+A
9	Y	Pass	No	For	22.0%	433,517,825	78.0%	122,140,125	22.0%	38,468,005	N/A	Poll	F+A
10	Y	Pass	No	For	13.2%	515,433,165	86.8%	78,688,909	13.2%	3,881	N/A	Poll	F+A
11	Υ	Pass	No	For	0.0%	593,939,149	100.0%	179,349	0.0%	7,457	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
12	Y	Pass	No	For	0.2%	586,709,880	99.8%	922,456	0.2%	6,493,619	N/A	Poll	F+A
13	Υ	Pass	No	For	0.4%	591,975,037	99.6%	2,143,461	0.4%	7,457	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	594,079,265	100.0%	40,410	0.0%	6,280	N/A	Poll	F+A
15	Υ	Pass	No	For	2.8%	577,443,567	97.2%	16,676,108	2.8%	6,280	N/A	Poll	F+A
16	Y	Pass	No	For	1.8%	583,438,652	98.2%	10,616,931	1.8%	70,372	N/A	Poll	F+A
17	Υ	Pass	No	For	4.9%	337,066,726	95.1%	17,526,341	4.9%	17,469,274	N/A	Poll	F+A
18	Y	Pass	No	For	2.0%	582,071,421	98.0%	12,045,137	2.0%	9,397	N/A	Poll	F+A

### Coty Inc.

**Proposal** 

1.3

Meeting Date: 11/03/2022

Country: USA

Ticker: COTY

Proxy Level: 3

**Record Date:** 09/09/2022

Meeting Type: Annual

Primary CUSIP: 222070203

Meeting ID: 1686579

Primary SEDOL: BBBSMJ2

Vote

Primary Security ID: 222070203

Voting Policy: ISS

Additional Policy: Shares Voted: 1,597,542

Earliest Cutoff Date: 11/02/2022 Votable Shares: 1,597,542

Significant

Total Ballots: 1 \*Shares on Loan: 0

Shares Instructed: 1,597,542

Additional

Primary ISIN: US2220702037

Voting Vote Vote Policy Policy Vote Against Against Against ISS Rec Rec Rec Instruction Mgmt **Policy** ISS

Number Vote **Proposal Text** Proponent Proposal Rec 1.1 Flect Director Beatrice Ballini Mamt Yes For Withhold Withhold Nο Yes Yes

Mgmt

Voting Policy Rationale: WITHHOLD votes are warranted for Johannes Huth for failing to attend at least 75 percent of his total board and committee meetings held during the fiscal year under review without an acceptable reason for the absences. WITHHOLD votes are warranted for incumbent compensation committee members Maria Aramburuzabala Larregui, Beatrice Ballini, Anna Makanju, and Erhard Schoewel, due to persistent compensation-related concerns demonstrating poor stewardship of the pay program. A vote FOR the remaining director nominees is warranted.

Votable

Blended Rationale: WITHHOLD votes are warranted for Johannes Huth for failing to attend at least 75 percent of his total board and committee meetings held during the fiscal year under review without an acceptable reason for the absences. WITHHOLD votes are warranted for incumbent compensation committee members Maria Aramburuzabala Larregui, Beatrice Ballini, Anna Makanju, and Erhard Schoewel, due to persistent compensation-related concerns demonstrating poor stewardship of the pay program. A vote FOR the remaining director nominees is warranted.

1.2 Elect Director Joachim Creus

Mamt

No

No

Nο

No

Voting Policy Rationale: WITHHOLD votes are warranted for Johannes Huth for failing to attend at least 75 percent of his total board and committee meetings held during the fiscal year under review without an acceptable reason for the absences. WITHHOLD votes are warranted for incumbent compensation committee members Maria Aramburuzabala Larregui, Beatrice Ballini, Anna Makanju, and Erhard Schoewel, due to persistent compensation-related concerns demonstrating poor stewardship of the pay program. A vote FOR the remaining director nominees is warranted.

Blended Rationale: WITHHOLD votes are warranted for Johannes Huth for failing to attend at least 75 percent of his total board and committee meetings held during the fiscal year under review without an acceptable reason for the absences, WITHHOLD votes are warranted for incumbent compensation committee members Maria Aramburuzabala Larregui, Beatrice Ballini, Anna Makanju, and Erhard Schoewel, due to persistent compensation-related concerns demonstrating poor stewardship of the pay program. A vote FOR the remaining director nominees is warranted.

Elect Director Olivier Goudet

Mgmt

For

For

Nο

Nο

Voting Policy Rationale: WITHHOLD votes are warranted for Johannes Huth for failing to attend at least 75 percent of his total board and committee meetings held during the fiscal year under review without an acceptable reason for the absences. WITHHOLD votes are warranted for incumbent compensation committee members Maria Aramburuzabala Larregui, Beatrice Ballini, Anna Makanju, and Erhard Schoewel, due to persistent compensation-related concerns demonstrating poor stewardship of the pay program. A vote FOR the remaining director nominees is warranted.

Blended Rationale: WITHHOLD votes are warranted for Johannes Huth for failing to attend at least 75 percent of his total board and committee meetings held during the fiscal year under review without an acceptable reason for the absences. WITHHOLD votes are warranted for incumbent compensation committee members Maria Aramburuzabala Larregui, Beatrice Ballini, Anna Makanju, and Erhard Schoewel, due to persistent compensation-related concerns demonstrating poor stewardship of the pay program. A vote FOR the remaining director nominees is warranted.

# **Coty Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
1.4		Elect Director Peter Harf	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during th WITHHOLD votes are warranted for incumber Ballini, Anna Makanju, and Erhard Schoewel, of the pay program. A vote FOR the remaining Blended Rationale: WITHHOLD votes are warr	e fiscal year un et compensation due to persisten g director nomi	nder review v n committee nt compensa nees is warra	without an a members M tion-related anted.	cceptable rea faria Arambui concerns der	nson for the ab ruzabala Larre monstrating po	osences. gui, Beatrice por stewardsi	hip					
		votes are warranted for incumbent compensations and Erhard Schoewel, due to persist program. A vote FOR the remaining director n	l year under re tion committee ent compensat	view without members Ma tion-related c	t an accepta aria Arambu	nble reason fo. uruzabala Lari	r the absences regui, Beatrice	s. WITHHOLL Ballini, Anna	) 1					
1.5		Elect Director Johannes P. Huth	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes		
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during th WITHHOLD votes are warranted for incumber Ballini, Anna Makanju, and Erhard Schoewel, of of the pay program. A vote FOR the remaining	e fiscal year un It compensation due to persister	nder review v n committee nt compensa	vithout an a members M tion-related	cceptable rea Naria Arambui	son for the ab ruzabala Larre	osences. Igui, Beatrice						
		Blended Rationale: WITHHOLD votes are warn and committee meetings held during the fiscal votes are warranted for incumbent compensal Makanju, and Erhard Schoewel, due to persist program. A vote FOR the remaining director n	l year under re tion committee ent compensat	view without members Ma ion-related c	t an accepta aria Arambu	ible reason fo. Iruzabala Lari	r the absences regui, Beatrice	s. WITHHOLL Ballini, Anna	) 1					
1.6		Elect Director Maria Ausuncion Aramburuzabala Larregui	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes		
		Voting Policy Rationale: WITHHOLD votes are warranted for Johannes Huth for failing to attend at least 75 percent of his total board and committee meetings held during the fiscal year under review without an acceptable reason for the absences.  WITHHOLD votes are warranted for incumbent compensation committee members Maria Aramburuzabala Larregui, Beatrice Ballini, Anna Makanju, and Erhard Schoewel, due to persistent compensation-related concerns demonstrating poor stewardship of the pay program. A vote FOR the remaining director nominees is warranted.  Blended Rationale: WITHHOLD votes are warranted for Johannes Huth for failing to attend at least 75 percent of his total board												
		Blended Rationale: WITHHOLD votes are warn and committee meetings held during the fisca votes are warranted for incumbent compensal Makanju, and Erhard Schoewel, due to persist program. A vote FOR the remaining director n	l year under re tion committee ent compensat	view without members Ma ion-related c	t an accepta aria Arambu	nble reason fo. uruzabala Lari	r the absences regui, Beatrice	s. WITHHOLL Ballini, Anna	) 1					
1.7		Elect Director Anna Adeola Makanju	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes		
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during th WITHHOLD votes are warranted for incumber Ballini, Anna Makanju, and Erhard Schoewel, of of the pay program. A vote FOR the remaining	e fiscal year un It compensation due to persister	nder review v n committee nt compensa	vithout an a members M tion-related	occeptable rea Maria Arambui	nson for the ab ruzabala Larre	osences. gui, Beatrice						
		Blended Rationale: WITHHOLD votes are warn and committee meetings held during the fiscal votes are warranted for incumbent compensal Makanju, and Erhard Schoewel, due to persist program. A vote FOR the remaining director n	l year under re tion committee ent compensat	view without members Ma ion-related c	t an accepta aria Arambu	ible reason fo. Iruzabala Lari	r the absences regui, Beatrice	s. WITHHOLL Ballini, Anna	) 1					
1.8		Elect Director Sue Y. Nabi	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during th WITHHOLD votes are warranted for incumber Ballini, Anna Makanju, and Erhard Schoewel, of of the pay program. A vote FOR the remaining	e fiscal year un et compensation due to persister	nder review v n committee nt compensa	vithout an a members M tion-related	occeptable rea Maria Arambui	son for the ab ruzabala Larre	osences. Igui, Beatrice						
		Blended Rationale: WITHHOLD votes are warn and committee meetings held during the fisca votes are warranted for incumbent compensat Makanju, and Erhard Schoewel, due to persist program. A vote FOR the remaining director n	l year under re tion committee ent compensat	view without members Ma ion-related c	t an accepta aria Arambu	nble reason fo. uruzabala Lari	r the absences regui, Beatrice	s. WITHHOLL Ballini, Anna	) 1		-			

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Coty Inc.**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.9		Elect Director Isabelle Parize		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD board and committee meetings he WITHHOLD votes are warranted to Ballini, Anna Makanju, and Erhard of the pay program. A vote FOR to	eld during the for incumbent I Schoewel, du	fiscal year und compensation ne to persisten	der review w n committee nt compensat	vithout an ac members Ma tion-related	cceptable rea aria Arambur	son for the ab. ruzabala Larreg	sences. qui, Beatrice				
		Blended Rationale: WITHHOLD vo and committee meetings held dur votes are warranted for incumber Makanju, and Erhard Schoewel, d program. A vote FOR the remainin	ing the fiscal y ot compensation oue to persister	vear under rev on committee : nt compensati	view without members Ma ion-related c	an acceptal Aria Arambul	ole reason fo ruzabala Larr	r the absences regui, Beatrice	. WITHHOLI Ballini, Anna	D 3			
1.10		Elect Director Erhard Schoewel		Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes
		Voting Policy Rationale: WITHHOLD board and committee meetings he WITHHOLD votes are warranted to Ballini, Anna Makanju, and Erhard of the pay program. A vote FOR to Blended Rationale: WITHHOLD votes are warranted for incumber Makanju, and Erhard Schoewel, description of the pay program.	eld during the for incumbent of Schoewel, du he remaining of the sare warral ting the fiscal y	fiscal year und compensation we to persisten director nomin nted for Johan wear under rev on committee	der review want committee out compensations of the compensation of	without an acceptall	cceptable rea aria Arambur concerns der ttend at leas ole reason for ruzabala Larr	nson for the abstruzabala Larregenonstrating poets 75 percent of the absences regui, Beatrice	sences. gui, Beatrice or stewards f his total bo c. WITHHOLL Ballini, Anna	hip pard D			
		program. A vote FOR the remaining	ng director noi	minees is wari	ranted.								
1.11		Elect Director Robert Singer		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD board and committee meetings he WITHHOLD votes are warranted to Ballini, Anna Makanju, and Erhard of the pay program. A vote FOR to Blended Rationale: WITHHOLD votes are warranted for incumbers.	eld during the for incumbent of Schoewel, du he remaining outes are warranting the fiscal yet compensation	fiscal year und compensation we to persisten director nominated for Johan wear under revon committee	der review want committee of committee of compensations of the compensation of the committee of the committe	without an ac members Ma tion-related anted. or failing to a an acceptal aria Arambul	cceptable rea aria Arambur concerns der ttend at leas ole reason for ruzabala Larr	ruson for the abstruction of the abstruction of the absences regui, Beatrice	sences. gui, Beatrice or stewards f his total bo c. WITHHOLL Ballini, Anna	hip pard D			
		Makanju, and Erhard Schoewel, d program. A vote FOR the remaining	-	-		oncerns den	nonstrating p	oor stewardsh	ip of the pay	/			
2		Advisory Vote to Ratify Named Executive Officers' Compensation		Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AC only of base salary, following her reveals persistent concerning feat performance vesting conditions. In performance goals. Further, these significant concerns for the	\$280 million st ures. Specifica nvestors increa are renewed	ign-on RSU av ally, NEO pay c asingly expect concerns rega	ward in the p consisted pro t a meaningl arding high b	orior year. H imarily of ba ful portion of pase salaries	owever, a re se salary and fincentives to without a co	view of the pa d equity award o be tied to pro ompelling ration	y program 's that lack e-set nale. Notabl	-			
		Blended Rationale: A vote AGAINS of base salary, following her \$280 persistent concerning features. Spenformance vesting conditions. In performance goals. Further, there these significant concerns for the	million sign-o pecifically, NEC investors increa are renewed	n RSU award D pay consiste asingly expect concerns rega	in the prior ped primarily of ta meaningth arding high b	year. Howev of base salar ful portion of pase salaries	rer, a review y and equity fincentives to without a co	of the pay pro awards that la o be tied to pro ompelling ration	gram reveal ock e-set nale. Notabl	is			
3		Ratify Deloitte & Touche LLP as Auditors		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutional (IA Name, I	l Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	1	Approve	d	Ballot Voting	Status	Votable S	nares	Shares	Voted
RARF, RARF		000392452	Confirmed	dnicoll		dnicoll				1,597,5	42	1,597	,542
				10/27/2022	2	10/27/202	22						

Total Shares: 1,597,542 1,597,542

### **Meeting Results**

Outstanding Shares Voted: 872,925,305

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	20.0%	607,696,010	80.0%	151,763,817	20.0%	0	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	1.0%	751,758,889	99.0%	7,700,938	1.0%	0	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	3.7%	731,251,012	96.3%	28,208,815	3.7%	0	N/A	Proxy	F+A
1.4	Y	Pass	No	For	1.2%	750,248,263	98.8%	9,211,564	1.2%	0	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	20.6%	603,200,386	79.4%	156,259,441	20.6%	0	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	15.8%	639,698,694	84.2%	119,761,133	15.8%	0	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	15.6%	640,769,964	84.4%	118,689,863	15.6%	0	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	1.0%	752,063,388	99.0%	7,396,439	1.0%	0	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	0.6%	754,639,679	99.4%	4,820,148	0.6%	0	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	19.5%	611,637,066	80.5%	147,822,761	19.5%	0	N/A	Proxy	F+A
1.11	Υ	Pass	No	For	1.4%	748,963,487	98.6%	10,496,340	1.4%	0	N/A	Proxy	F+A
2	Υ	Pass	No	For	22.2%	590,354,580	77.8%	168,839,178	22.2%	266,069	N/A	Proxy	F+A
3	Υ	Pass	No	For	1.6%	790,316,084	98.4%	12,815,276	1.6%	184,796	N/A	Proxy	F+A

## **Coherent Corp.**

Meeting Date: 11/16/2022 **Record Date:** 09/15/2022

Earliest Cutoff Date: 11/15/2022

**Primary Security ID:** 19247G107

Country: USA

Meeting Type: Annual

Primary CUSIP: 19247G107

Total Ballots: 1 \*Shares on Loan: 0 Ticker: COHR

**Meeting ID:** 1688648

Primary ISIN: US19247G1076

Voting Policy: ISS

Proxy Level: 3

Primary SEDOL: BNG8Z81

Additional Policy: Shares Voted: 130,351

Votable SI	nares: 130,351	*Shares on Loan: 0			Shares In	structed: 130	,351		Shares Voted	: 130,351		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Enrico Digirolamo	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1b		Elect Director David L. Motley	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1c		Elect Director Shaker Sadasivam	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Coherent Corp.**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1d	Elect Director Lisa Neal-Grave	S	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	r nominees is	warranted.								
	Blended Rationale: A vote FOR	the director noi	minees is warr	anted.								
2	Advisory Vote to Ratify Name Executive Officers' Compensa	cutive Officers' Compensation			For	For		For	For	No	No	No
3	Ratify Ernst & Young LLP as A	ecutive Officers' Compensation tify Ernst & Young LLP as Auditors			For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account Detai (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
RARF, RARF	000392452	Confirmed	jbisset		jbisset				130,3	51	130,	351
			11/09/2022	2	11/09/20	22						
								Total Shares	: 130,3	51	130,	351

### **Meeting Results**

**Outstanding Shares Voted:** 164,500,790

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	8.2%	120,372,972	91.8%	10,747,099	8.2%	384,485	N/A	Proxy	F+A
1b	Υ	Pass	No	For	2.5%	128,110,968	97.5%	3,311,630	2.5%	81,958	N/A	Proxy	F+A
1c	Υ	Pass	No	For	1.6%	129,346,741	98.4%	2,071,735	1.6%	86,080	N/A	Proxy	F+A
1d	Υ	Pass	No	For	0.9%	130,202,844	99.1%	1,233,657	0.9%	68,055	N/A	Proxy	F+A
2	Υ	Pass	No	For	4.6%	125,467,665	95.4%	5,874,006	4.5%	162,885	0.1%	Proxy	F+A+AB
3	Υ	Pass	No	For	1.2%	142,761,468	98.8%	1,652,091	1.1%	106,354	0.1%	Proxy	F+A+AB

### **IMV Inc.**

Meeting Date: 12/07/2022	Country: Canada		Ticker: IN	1V			Proxy Level					
<b>Record Date:</b> 10/24/2022	Meeting Type: Special		Meeting :	<b>ID:</b> 1690942	!							
Primary Security ID: 44974L301	Primary CUSIP: 44974L301		Primary 1	ISIN: CA449	74L3011		Primary SEC	Primary SEDOL: BNTBQH3				
Earliest Cutoff Date: 12/01/2022	Total Ballots: 1		Voting Policy: ISS Additional Policy:									
Votable Shares: 2,967,501	*Shares on Loan: 0		Shares Ins	structed: 2,96	57,501		<b>Shares Voted:</b> 2,967,501					
Proposal Significant Number Vote Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		

1	Approve Share Consolidation		Mgmt	Yes	For	For	For	For	No	No	No
Ballot Details											
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	ed	Approv	ed	Ballot Voting Status	Votal	ble Shares	Shar	es Voted
RARF, RARF	392452	Confirmed	jbisset		jbisset			2,	967,501	2,9	967,501
			11/28/202	2	11/28/2	022					

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Total Shares:	2,967,501	2,967,501

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	12.1%	26,168,584	87.9%	3,613,774	12.1%	N/A	N/A	Proxy	F+A

### **Prosegur Cash SA**

Meeting Date: 12/07/2022

Country: Spain

Ticker: CASH

Proxy Level: N/A

**Record Date: 12/02/2022** 

Meeting Type: Extraordinary

**Meeting ID:** 1694090

Primary Security ID: E8S56X108

Shareholders Primary CUSIP: E8S56X108

Primary ISIN: ES0105229001

Primary SEDOL: BDH3Q71

Earliest Cutoff Date: 12/02/2022

**Total Ballots: 1** 

Voting Policy: ISS

Shares Voted: 4,572,168

Additional Policy:

Votable Shares: 4,572,168

\*Shares on Loan: 0

Shares Instructed: 4,572,168

Additional Voting Vote Vote Vote Significant Votable Policy Policy Vote Against Against Against Proposal Mgmt **Proposal Text** ISS Rec Instruction ISS Number Vote Proponent Proposal Rec Rec Mamt Policy Rec Approve Dividends Charged Against 1 Mgmt Yes For For For For No No No Reserves Amend the Maximum Amount of the 2 Mamt Yes For For For For Nο Nο Nο Reduction in Share Capital Approved at the June 1, 2022 AGM 3 Amend Long-Term Global Optimum Mgmt Yes For Against Against For No Yes Yes

> Voting Policy Rationale: A vote AGAINST this resolution is warranted because: \* The board proposes to make the delivery of awards under this Global Optimum LTIP time-based only. \* The proposed amendment therefore weakens the pay-for-performance link and decorrelates executive long-term compensation from shareholder experience. \* The associated requirement to return share awards (or the cash equivalent) to the company in case a beneficiary leaves the company prior to Dec. 31, 2023, under undisclosed conditions does not offset the foregoing.

> Blended Rationale: A vote AGAINST this resolution is warranted because: \* The board proposes to make the delivery of awards under this Global Optimum LTIP time-based only. \* The proposed amendment therefore weakens the pay-for-performance link and decorrelates executive long-term compensation from shareholder experience. \* The associated requirement to return share awards (or the cash equivalent) to the company in case a beneficiary leaves the company prior to Dec. 31, 2023, under undisclosed conditions does not offset the foregoing.

Amend Remuneration Policy for FY

2023-2025

Mamt

Yes

Against

For

Against

For

No

Yes

Yes

Voting Policy Rationale: A vote AGAINST this resolution is warranted because: \* The board proposes to make the delivery of awards under this Global Optimum LTIP time-based only. \* The proposed amendment therefore weakens the pay-for-performance link and decorrelates executive long-term compensation from shareholder experience. \* The associated requirement to return share awards (or the cash equivalent) to the company in case a beneficiary leaves the company prior to Dec. 31, 2023, under undisclosed conditions does not offset the foregoing.

Blended Rationale: A vote AGAINST this resolution is warranted because: \* The board proposes to make the delivery of awards under this Global Optimum LTIP time-based only. \* The proposed amendment therefore weakens the pay-for-performance link and decorrelates executive long-term compensation from shareholder experience. \* The associated requirement to return share awards (or the cash equivalent) to the company in case a beneficiary leaves the company prior to Dec. 31, 2023, under undisclosed conditions does not offset the foregoing.

Authorize Board to Ratify and Execute Approved Resolutions

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)

Custodian

**Account Number** 

**Ballot Status** Instructed Approved

**Ballot Voting Status** 

**Votable Shares** 

Shares Voted

### **Prosegur Cash SA**

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RARF, RARF	392452	Confirmed	jbisset	jbisset		4,572,168	4,572,168
			11/30/2022	11/30/2022			
					= Total Shares:	4,572,168	4,572,168

### **Meeting Results**

Outstanding Shares Voted: 1,522,946,683 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	1.0%	1,324,049,550	99.0%	13,846,514	1.0%	0	0.0%	Poll	F+A+AB
2	Υ	Pass	No	For	0.0%	1,337,843,049	100.0%	53,015	0.0%	0	0.0%	Poll	F+A+AB
3	Υ	Pass	No	For	3.9%	1,285,112,007	96.1%	52,784,057	3.9%	0	0.0%	Poll	F+A+AB
4	Υ	Pass	No	For	4.0%	1,284,715,795	96.0%	53,180,269	4.0%	0	0.0%	Poll	F+A+AB
5	Υ	Pass	No	For	0.0%	1,337,843,049	100.0%	53,015	0.0%	0	0.0%	Poll	F+A+AB

### **PARAMETERS**

Location(s): All Locations

Account Group(s): All Account Groups

Institution Account(s): RARF

Custodian Account(s): All Custodian Accounts

Additional Policy: None ADR Meetings: All Meetings Ballot Statuses: All Statuses Contrary Votes: All Votes Date Format: MM/DD/YYYY ESG Pillar: All Pillars

Header Display: Repeat Headers for Any Meeting Split by Multiple Pages

Markets: All Markets
Meeting ID's: All Meeting ID's
Meeting Types: All Meeting Types
PoA Markets: All Markets
Proposal Category: All Categories
Proposal Proponents: All Proponents
Proposal Subcategory: All Subcategories

Rationale: All Rationale

Recommendations: All Recommendations Record Date Markets: All Markets

Reregistration Meetings: Include Reregistration Meetings

Shareblocking Markets: All Markets

Significant Vote: None

Sort Order: Meeting Date, Company Name

Vote Instructions: All Instructions Voting Policies: All Policies

Zero (0) Share Ballots: Exclude 0 Share Ballots

Account Watchlist: None Country Watchlist: None Issuer Watchlist: None Proposal Code Watchlist: None

Proposal Code Watchlist - Agenda Output: Include Exact Matches Only

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.



# Voting summary 2022

# LF RUFFER TOTAL RETURN FUND



REPORTING PERIOD: 01/01/2022 to 12/31/2022

### LOCATION(S): ALL LOCATIONS

INSTITUTION ACCOUNT(S): RTRF

### **Voting Statistics**

	Total	Percent
Votable Meetings	96	
Meetings Voted	96	100.00%
Meetings with One or More Votes Against Management	29	30.21%
Votable Ballots	97	
Ballots Voted	97	100.00%

Note: A meeting is votable when one or more ballots are eligible to vote at the meeting, and differences in votable meetings and ballots occurs when multiple ballots are available to vote for the same meeting.

	Manageme	ent Proposals	Shareholder	Proposals	All Proposals			
	Total Percent		Total	Percent	Total	Percent		
Votable Proposals	1491		86		1577			
Proposals Voted	1491	100.00%	86	100.00%	1577	100.00%		
FOR Votes	1444	96.85%	40	46.51%	1484	94.10%		
AGAINST Votes	38	2.55%	46	53.49%	84	5.33%		
ABSTAIN Votes	2	0.13%	0	0.00%	2	0.13%		
WITHHOLD Votes	4	0.27%	0	0.00%	4	0.25%		
Votes WITH Management	1448	97.12%	50	58.14%	1498	94.99%		
Votes AGAINST Management	43	2.88%	36	41.86%	79	5.01%		
Significant Votes	0	0.00%	0	0.00%	0	0.00%		

Note: Instructions of Do Not Vote are not considered voted; Frequency on Pay votes of 1, 2 or 3 Years are only reflected statistically, where applicable, but present in the underlying detail; and in cases of different votes submitted across ballots for a given meeting, votes cast are distinctly counted by type per proposal where total votes submitted may be higher than unique proposals voted.

### **Proposal Statistics**

	Votable Proposals			Proposals Voted		Management Proposals		older osals	Votes Against Management		Significant Votes	
	Total	Percent	Total	Percent	Total	Percent	Total	Percent	Total	Percent	Total	Percent
Audit Related	101	6.40%	101	6.40%	98	6.21%	3	0.19%	0	0.00%	0	0.00%
Capitalization	167	10.59%	167	10.59%	167	10.59%	0	0.00%	0	0.00%	0	0.00%
Company Articles	38	2.41%	38	2.41%	37	2.35%	1	0.06%	0	0.00%	0	0.00%
Compensation	184	11.67%	184	11.67%	179	11.35%	5	0.32%	6	0.38%	0	0.00%
Corporate Governance	3	0.19%	3	0.19%	0	0.00%	3	0.19%	1	0.06%	0	0.00%
Director Election	724	45.91%	724	45.91%	724	45.91%	0	0.00%	32	2.03%	0	0.00%
Director Related	98	6.21%	98	6.21%	86	5.45%	12	0.76%	7	0.44%	0	0.00%
E&S Blended	7	0.44%	7	0.44%	3	0.19%	4	0.25%	0	0.00%	0	0.00%
Environmental	20	1.27%	20	1.27%	6	0.38%	14	0.89%	4	0.25%	0	0.00%
Miscellaneous	3	0.19%	3	0.19%	3	0.19%	0	0.00%	0	0.00%	0	0.00%
Mutual Funds	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%
No Research	1	0.06%	1	0.06%	1	0.06%	0	0.00%	0	0.00%	0	0.00%
Non-Routine Business	10	0.63%	10	0.63%	5	0.32%	5	0.32%	5	0.32%	0	0.00%
Routine Business	142	9.00%	142	9.00%	133	8.43%	9	0.57%	8	0.51%	0	0.00%
Social	48	3.04%	48	3.04%	18	1.14%	30	1.90%	16	1.01%	0	0.00%
Strategic Transactions	6	0.38%	6	0.38%	6	0.38%	0	0.00%	0	0.00%	0	0.00%
Takeover Related	25	1.59%	25	1.59%	25	1.59%	0	0.00%	0	0.00%	0	0.00%
Total	1577	100.00%	1577	100.00%	1491	94.55%	86	5.45%	79	5.01%	0	0.00%

# **Ruffer UK Mid and Smaller Companies Fund**

Meeting Date: 01/14/2022

Country: Luxembourg

Ticker: N/A

Proxy Level: N/A

**Record Date:** 01/09/2022

**Primary Security ID:** L7984E226

Meeting Type: Annual

Primary CUSIP: L7984E226

Meeting ID: 1600784 Primary ISIN: LU0788206679

Primary SEDOL: B8F4LY3

Earliest Cutoff Date: 01/07/2022

Votable Shares: 177,523

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS

**Additional Policy:** 

Shares Instructed: 177,523 Shares Voted: 177,523

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1		Receive Board's and Auditor's Reports	Mgmt	No									
2		Approve Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No	
3.a		Approve Allocation of Income as of 15 September 2021	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR this item is	warranted du	e to the lack	k of concerns	regarding ti	his proposal.						
		Blended Rationale: A vote FOR this item is war	ranted due to t	the lack of c	concerns rega	arding this pi	roposal.						
3.b		Ratification of Interim Dividends Paid in November 2021	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR this item is	warranted du	e to the lack	k of concerns	regarding ti	his proposal.						
		Blended Rationale: A vote FOR this item is wan	ranted due to t	the lack of c	concerns rega	arding this pi	roposal.						
4.1		Approve Remuneration of Director Alain Guerard for 2021	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR this item is warranted because there is no sign of excessiveness about the board remuneration.											
		Blended Rationale: A vote FOR this item is warranted because there is no sign of excessiveness about the board remuneration.											
4.2		Approve Remuneration of Director Aude Lemogne for 2021	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR this item is remuneration.	warranted bed	cause there	is no sign of	excessivene	ess about the b	ooard					
		Blended Rationale: A vote FOR this item is wan	ranted because	e there is no	sign of exce	essiveness al	bout the board	remuneration	on.				
5		Approve Discharge of Directors	Mgmt	Yes	For	For		For	For	No	No	No	
6.1		Ratification of the Appointment of Gower Michael as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR this item is warranted in the absence of any concern about the board and its composition.											
		Blended Rationale: A vote FOR this item is warranted in the absence of any concern about the board and its composition.											
6.2		Re-elect Benjamin Boucher-Ferte as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR this item is	warranted in	the absence	of any conc	ern about th	e board and it	s compositio	n.				
		Blended Rationale: A vote FOR this item is warn	ranted in the a	bsence of a	ny concern a	bout the boa	ard and its con	nposition.					
6.3		Approve Non-Renewal of the Mandate of Myles Marmion as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR this item is	warranted in	the absence	of any conc	ern about th	e board and it	s compositio	n.				
		Blended Rationale: A vote FOR this item is war	ranted in the a	bsence of a	ny concern a	bout the boa	ard and its con	nposition.					
6.4		Approve Non-Renewal of the Mandate of Mary McBain as Director	Mgmt	Yes	For	For		For	For	No	No	No	
Voting Policy Rationale: A vote FOR this item is warranted in the absence of any concern about the board and its composition.													
		Blended Rationale: A vote FOR this item is war	ranted in the a	bsence of a	ny concern a	about the boa	ard and its con	nposition.					

# **Ruffer UK Mid and Smaller Companies Fund**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6.5		Re-elect Alain Guerard as Dire	ctor	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is warranted in the absence of any concern about the board and its composition.											
		Blended Rationale: A vote FOR this item is warranted in the absence of any concern about the board and its composition.											
6.6		Re-elect Aude Lemogne as Dir	ector	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is warranted in the absence of any concern about the board and its composition.											
		Blended Rationale: A vote FOR	this item is warra	anted in the a	bsence of ar	ny concern a	about the boa	ard and its con	nposition.				
7		Renew Appointment of Ernst a Young as Auditor	and	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutional (IA Name, I	l Account Detail A Number)	il Custodian Account Number Ballot Status		Instructed		Approve	Approved		Ballot Voting Status		Votable Shares		Voted
RTRF, RTRF		392078	Confirmed	jbisset		jbisset				177,52	23	177,	523

01/07/2022

Total Shares:

177,523

177,523

# Meeting Results

**Outstanding Shares Voted:** 

Results Available: Partial

01/07/2022

Jutstan	uning Silares	voccu.			Result								
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	N												
2	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
3.a	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
3.b	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
4.1	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
4.2	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
5	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.2	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.3	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.4	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.5	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.6	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
7	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB

# **Countryside Properties Plc**

Meeting Date: 01/20/2022 **Record Date:** 01/18/2022

Country: United Kingdom

Primary Security ID: G24556170

Meeting Type: Annual Primary CUSIP: G24556170

Meeting ID: 1565662 Primary ISIN: GB00BYPHNG03

Primary SEDOL: BYPHNG0

Earliest Cutoff Date: 01/17/2022

Votable Shares: 4,686,702

Total Ballots: 1

Voting Policy: ISS

Ticker: CSP

**Additional Policy:** 

Proxy Level: N/A

\*Shares on Loan: 0

Shares Instructed: 4,686,702

**Shares Voted:** 4,686,702

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect John Martin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	irectors is warraı	nted as no si	gnificant co	ncerns have i	been identified	<i>t.</i>				
		Blended Rationale: A vote FOR these Director	ors is warranted	as no signific	cant concer	ns have been	identified.					
4		Re-elect Iain McPherson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.										
		Blended Rationale: A vote FOR these Director	ors is warranted a	as no signific	ant concer	ns have been	identified.					
5		Re-elect Douglas Hurt as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	irectors is warraı	nted as no si	gnificant co	ncerns have i	been identified	f.				
		Blended Rationale: A vote FOR these Director	ors is warranted o	as no signific	cant concer	ns have been	identified.					
6		Re-elect Amanda Burton as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	irectors is warraı	nted as no si	gnificant co	ncerns have i	been identified	f.				
		Blended Rationale: A vote FOR these Director	ors is warranted o	as no signific	cant concer	ns have been	identified.					
7		Re-elect Baroness Sally Morgan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.										
		Blended Rationale: A vote FOR these Director	ors is warranted a	as no signific	ant concer	ns have been	identified.					
8		Re-elect Simon Townsend as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	irectors is warraı	nted as no si	gnificant co	ncerns have i	been identified	<i>1.</i>				
		Blended Rationale: A vote FOR these Director	ors is warranted a	as no signific	ant concer	ns have been	identified.					
9		Appoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
10		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
11		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these re recommended limits.	esolutions is warr	ranted becau	se the prop	osed amount	s and duration	s are within	,			
		Blended Rationale: A vote FOR these resolut recommended limits.	tions is warranted	d because th	e proposed	l amounts and	d durations are	e within				
12		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these re recommended limits.	esolutions is warr	ranted becau	se the prop	osed amount	s and duration	s are within			_	
		Blended Rationale: A vote FOR these resolute recommended limits.	tions is warranted	d because th	e proposed	amounts and	d durations are	within				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Countryside Properties Plc**

Proposal Signifi Number Vote		sal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
13	Pre-er	rise Issue of Equity with nptive Rights in Connect quisition or Other Capita ment	ion with	Mgmt	Yes	For	For		For	For	No	No	No
	-	Policy Rationale: A vote mended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	s are within				
		ed Rationale: A vote FOR mended limits.	these resolution	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
14	Autho Share	rise Market Purchase of s	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
15	Autho Expen	rise UK Political Donation diture	ns and	Mgmt	Yes	For	For		For	For	No	No	No
16		ve Change of Company ryside Partnership Plc	Name to	Mgmt	Yes	For	For		For	For	No	No	No
17		rise the Company to Cal ng with Two Weeks' Noti		Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>													
Institutional Account (IA Name, IA Number		Custodian Account Number	Ballot Status	Instructed	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
RTRF, RTRF		392078	Confirmed	jbisset		jbisset				4,686,7	702	4,686	,702
				01/07/2022	2	01/07/20	22						

Total Shares:

4,686,702

4,686,702

#### **Meeting Results**

Outstanding Shares Voted: 511,761,463 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	450,275,445	100.0%	2,987	0.0%	310,125	N/A	Poll	F+A
2	Υ	Pass	No	For	8.3%	413,117,193	91.7%	37,464,913	8.3%	6,451	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	450,571,689	100.0%	4,404	0.0%	13,564	N/A	Poll	F+A
4	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
5	Υ	Pass	No	For	12.6%	381,528,864	87.4%	55,004,977	12.6%	14,054,716	N/A	Poll	F+A
6	Υ	Pass	No	For	12.3%	383,001,756	87.7%	53,533,274	12.3%	14,053,527	N/A	Poll	F+A
7	Υ	Pass	No	For	12.0%	383,001,502	88.0%	52,353,264	12.0%	15,233,791	N/A	Poll	F+A
8	Υ	Pass	No	For	8.3%	399,268,457	91.7%	36,085,120	8.3%	15,234,980	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	450,548,084	100.0%	36,234	0.0%	4,239	N/A	Poll	F+A
10	Υ	Pass	No	For	0.0%	450,540,225	100.0%	40,189	0.0%	8,143	N/A	Poll	F+A
11	Υ	Pass	No	For	8.2%	413,435,709	91.8%	36,895,535	8.2%	7,313	N/A	Poll	F+A
12	Υ	Pass	No	For	8.5%	403,401,896	91.5%	37,636,010	8.5%	9,300,651	N/A	Poll	F+A
13	Υ	Pass	No	For	15.1%	374,655,137	84.9%	66,395,682	15.1%	9,287,738	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
14	Υ	Pass	No	For	0.9%	446,160,978	99.1%	4,120,705	0.9%	56,874	N/A	Poll	F+A
15	Υ	Pass	No	For	8.8%	409,440,615	91.2%	39,705,735	8.8%	1,192,207	N/A	Poll	F+A
16	Υ	Pass	No	For	0.2%	449,483,585	99.8%	852,533	0.2%	2,439	N/A	Poll	F+A
17	Y	Pass	No	For	1.2%	445,001,044	98.8%	5,332,488	1.2%	5,025	N/A	Poll	F+A

# Ruffer Illiquid Multi Strategies Fund 2015 Ltd.

Meeting Date: 02/14/2022 Country: Guernsey Ticker: N/A Proxy Level: N/A

Record Date: Meeting Type: Written Consent Meeting ID: 1605477

Primary Security ID: G9402A105 Primary CUSIP: G9402A105 Primary ISIN: GG00BSPCBR02 Primary SEDOL: BSPCBR0

Earliest Cutoff Date: 02/08/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy:

**Votable Shares:** 174,814,676 **\*Shares on Loan:** 0 **Shares Instructed:** 174,814,676 **Shares Voted:** 174,814,676

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Written Consent	Mgmt	No								
1		Approve Matters Relating to the Re-designation of Ordinary Shares and Tender Offer	Mgmt	Yes	For	Refer		Refer	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		174,814,676	174,814,676
			02/10/2022	02/10/2022			

Total Shares: 174,814,676 174,814,676

### **Meeting Results**

Outstanding Shares Voted: Results Available: Not Disclosed

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A

### **Novartis AG**

Meeting Date: 03/04/2022 Country: Switzerland Ticker: NOVN Proxy Level: N/A

 Record Date:
 Meeting Type: Annual
 Meeting ID: 1589873

 Primary Security ID: H5820Q150
 Primary CUSIP: H5820Q150
 Primary ISIN: CH0012005267
 Primary SEDOL: 7103065

Earliest Cutoff Date: 02/25/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy:

Votable Shares: 64,240 \*Shares on Loan: 0 Shares Instructed: 64,240 Shares Voted: 64,240

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Novartis AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2		Approve Discharge of Board and Senior Management	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Allocation of Income and Dividends of CHF 3.10 per Share	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve CHF 15.3 Million Reduction in Share Capital via Cancellation of Repurchased Shares	Mgmt	Yes	For	For		For	For	No	No	No
5		Authorize Repurchase of up to CHF 10 Billion in Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No
6.1		Approve Remuneration of Directors in the Amount of CHF 8.6 Million	Mgmt	Yes	For	For		For	For	No	No	No
6.2		Approve Maximum Remuneration of Executive Committee in the Amount of CHF 91 Million	Mgmt	Yes	For	For		For	For	No	No	No
6.3		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
7.1		Reelect Joerg Reinhardt as Director and Board Chairman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	1.						-	
		Blended Rationale: Votes FOR the proposed no.	minees are wa	arranted.								
7.2		Reelect Nancy Andrews as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no.	minees are wa	arranted.								
7.3		Reelect Ton Buechner as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	<i>1.</i>							
		Blended Rationale: Votes FOR the proposed no.	minees are wa	arranted.								
7.4		Reelect Patrice Bula as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	t.							
		Blended Rationale: Votes FOR the proposed no.	minees are wa	arranted.							-	
7.5		Reelect Elizabeth Doherty as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	<i>1.</i>							
		Blended Rationale: Votes FOR the proposed no.	minees are wa	arranted.								
7.6		Reelect Bridgette Heller as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	t.							
		Blended Rationale: Votes FOR the proposed no.	minees are wa	arranted.							-	
7.7		Reelect Frans van Houten as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no.	minees are wa	arranted.								
7.8		Reelect Simon Moroney as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	f.							
		Blended Rationale: Votes FOR the proposed no.	minees are wa	arranted.								

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Novartis AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7.9		Reelect Andreas von Planta as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	<i>1.</i>							
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.								
7.10		Reelect Charles Sawyers as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	e warranted	t.							
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.								
7.11		Reelect William Winters as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	e warranted	l.							
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.								
7.12		Elect Ana de Pro Gonzalo as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	e warranted	<i>1.</i>							
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.								
7.13		Elect Daniel Hochstrasser as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the proposed nominees are warranted.										
		Blended Rationale: Votes FOR the proposed nominees are warranted.										
8.1		Reappoint Patrice Bula as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	e warranted	ł.							
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.								
8.2		Reappoint Bridgette Heller as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	e warranted	t.							
		Blended Rationale: Votes FOR the proposed not	minees are wa	rranted.								
8.3		Reappoint Simon Moroney as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	e warranted	ł.							
		Blended Rationale: Votes FOR the proposed not	minees are wa	rranted.								
8.4		Reappoint William Winters as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	<i>l.</i>							
		Blended Rationale: Votes FOR the proposed no.	minees are wa	rranted.								
9		Ratify KPMG AG as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
10		Designate Peter Zahn as Independent Proxy	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Novartis AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11		Transact Other Business (Voting)	Mamt	Yes	For	Δαainst		Δαainst	Δαainst	Yes	No	No

Voting Policy Rationale: A vote AGAINST is warranted because:\* This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and\* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.

Blended Rationale: A vote AGAINST is warranted because:\* This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and\* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		64,240	64,240
			02/21/2022	02/21/2022			
					Total Shares:	64,240	64,240

#### **Meeting Results**

Outstanding Shares Voted:

Results	Availa	ble: ⊦	'artıa
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Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.6%	1,431,157,166	99.4%	3,397,635	0.2%	5,945,878	0.4%	Poll	F+A+AB
2	Y	Pass	No	For	2.5%	1,403,419,759	97.5%	22,769,861	1.6%	12,794,631	0.9%	Poll	F+A+AB
3	Υ	Pass	No	For	0.6%	1,432,034,011	99.4%	7,092,006	0.5%	1,374,662	0.1%	Poll	F+A+AB
4	Υ	Pass	No	For	0.4%	1,434,332,740	99.6%	3,702,323	0.3%	2,465,616	0.2%	Poll	F+A+AB
5	Υ	Pass	No	For	2.4%	1,405,657,241	97.6%	30,990,702	2.2%	3,852,736	0.3%	Poll	F+A+AB
6.1	Υ	Pass	No	For	3.4%	1,390,678,639	96.5%	44,221,391	3.1%	5,600,649	0.4%	Poll	F+A+AB
6.2	Υ	Pass	No	For	8.3%	1,320,877,978	91.7%	113,067,615	7.8%	6,555,085	0.5%	Poll	F+A+AB
6.3	Υ	Pass	No	For	9.4%	1,305,601,853	90.6%	122,750,787	8.5%	12,148,038	0.8%	Poll	F+A+AB
7.1	Υ	Pass	No	For	4.5%	1,376,102,077	95.5%	62,067,323	4.3%	2,331,279	0.2%	Poll	F+A+AB
7.2	Υ	Pass	No	For	0.8%	1,428,413,320	99.2%	9,789,667	0.7%	2,297,692	0.2%	Poll	F+A+AB
7.3	Υ	Pass	No	For	13.0%	1,253,754,493	87.0%	184,140,756	12.8%	2,605,429	0.2%	Poll	F+A+AB
7.4	Υ	Pass	No	For	2.1%	1,409,650,181	97.9%	28,366,927	2.0%	2,483,571	0.2%	Poll	F+A+AB
7.5	Υ	Pass	No	For	2.3%	1,407,479,326	97.7%	30,281,462	2.1%	2,739,891	0.2%	Poll	F+A+AB
7.6	Υ	Pass	No	For	2.7%	1,401,495,288	97.3%	36,280,654	2.5%	2,724,737	0.2%	Poll	F+A+AB
7.7	Υ	Pass	No	For	1.4%	1,419,795,377	98.6%	18,207,940	1.3%	2,497,362	0.2%	Poll	F+A+AB
7.8	Υ	Pass	No	For	1.0%	1,425,713,419	99.0%	12,215,398	0.8%	2,571,862	0.2%	Poll	F+A+AB
7.9	Υ	Pass	No	For	3.9%	1,383,685,864	96.1%	54,614,355	3.8%	2,200,460	0.2%	Poll	F+A+AB
7.10	Y	Pass	No	For	2.2%	1,409,385,086	97.8%	28,627,356	2.0%	2,488,237	0.2%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
7.11	Y	Pass	No	For	1.9%	1,413,638,004	98.1%	24,344,941	1.7%	2,517,734	0.2%	Poll	F+A+AB
7.12	Υ	Pass	No	For	2.0%	1,411,592,783	98.0%	25,942,715	1.8%	2,965,181	0.2%	Poll	F+A+AB
7.13	Υ	Pass	No	For	1.9%	1,413,251,342	98.1%	24,502,776	1.7%	2,746,561	0.2%	Poll	F+A+AB
8.1	Υ	Pass	No	For	3.0%	1,397,939,177	97.0%	39,068,907	2.7%	3,492,595	0.2%	Poll	F+A+AB
8.2	Υ	Pass	No	For	3.6%	1,388,400,725	96.4%	48,284,549	3.4%	3,815,405	0.3%	Poll	F+A+AB
8.3	Υ	Pass	No	For	3.1%	1,396,230,784	96.9%	40,714,504	2.8%	3,555,391	0.2%	Poll	F+A+AB
8.4	Υ	Pass	No	For	3.2%	1,394,650,042	96.8%	42,304,536	2.9%	3,546,101	0.2%	Poll	F+A+AB
9	Υ	Pass	No	For	1.0%	1,425,359,803	98.9%	13,353,178	0.9%	1,787,698	0.1%	Poll	F+A+AB
10	Y	Pass	No	For	0.2%	1,437,847,678	99.8%	1,255,893	0.1%	1,397,108	0.1%	Poll	F+A+AB
11	Y	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A

### **Beazley Pic**

Meeting Date: 03/25/2022 Record Date: 03/23/2022

Earliest Cutoff Date: 03/22/2022

Votable Shares: 1.583.889

Primary Security ID: G0936K107

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G0936K107

Total Ballots: 1 \*Shares on Loan: 0 Ticker: BEZ

Voting Policy: ISS

Meeting ID: 1611020

Shares Instructed: 1,583,889

Primary ISIN: GB00BYQ0JC66

Proxy Level: N/A

Primary SEDOL: BYQ0JC6

Additional Policy: Shares Voted: 1,583,889

Additional Voting Vote Vote Vote Proposal Significant Votable Mgmt Policy Policy Vote Against Against Against Number Vote **Proposal Text** Proponent Proposal Rec **ISS Rec** Rec Instruction Mgmt Policy ISS 1 Accept Financial Statements and Mgmt Yes For For For For No No No Statutory Reports Approve Remuneration Report Mgmt Yes For For No No For For No Approve Interim Dividends Mgmt Yes For No No No Re-elect Adrian Cox as Director Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified. Blended Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified. Re-elect Pierre-Olivier Desaulle as No Mamt Yes For For For For No No Director Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified. Blended Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified. Re-elect Nicola Hodson as Director Mgmt Yes For For For No No No Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified. Blended Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified. Re-elect Sally Lake as Director Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified. Blended Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified. 8 Re-elect Christine LaSala as Director Mgmt Yes For For For No No No Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified. Blended Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Beazley Plc**

Proposal Signific	ant Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9	Re-elect John Reizenstein as D	Director	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR these direc	tors is conside	ered warrant	ed as no sigi	nificant conc	erns have bee	n identified.				
	Blended Rationale: A vote FOR	these directors	is considered i	warranted as	s no significa	nt concerns	have been ide	ntified.				
10	Re-elect David Roberts as Dire	ector	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR these direc	tors is conside	ered warrant	ed as no sigi	nificant conc	erns have bee	n identified.				
	Blended Rationale: A vote FOR	these directors	is considered i	warranted as	s no significa	nt concerns	have been ide	ntified.				
11	Re-elect Robert Stuchbery as [	Director	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR these direc	tors is conside	ered warrant	ed as no sigi	nificant conc	erns have beer	n identified.				
	Blended Rationale: A vote FOR	these directors	is considered v	warranted as	s no significa	nt concerns	have been ide	ntified.				
12	Elect Rajesh Agrawal as Direct	or	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR these direc	tors is conside	ered warrant	ed as no sigi	nificant conc	erns have beer	n identified.				
	Blended Rationale: A vote FOR	these directors	is considered v	warranted as	s no significa	nt concerns	have been ide	ntified.				
13	Reappoint EY as Auditors		Mgmt	Yes	For	For		For	For	No	No	No
14	Authorise the Audit and Risk Committee to Fix Remuneratio Auditors	on of	Mgmt	Yes	For	For		For	For	No	No	No
15	Approve Save As You Earn Sha Option Plan	are	Mgmt	Yes	For	For		For	For	No	No	No
16	Approve Long-Term Incentive	Plan	Mgmt	Yes	For	For		For	For	No	No	No
17	Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote recommended limits.	FOR these resor	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
	Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because th	e proposed a	amounts and	durations are	within				
18	Authorise Issue of Equity without Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote recommended limits.	FOR these resor	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
	Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	l because th	e proposed a	amounts and	durations are	within				
19	Authorise Market Purchase of G Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
20	Authorise the Company to Call Meeting with Two Weeks' Noti		Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account (IA Name, IA Number)	letail Custodian Account Number Ballot Status Instructed Approved Ballot Voting Status				Status	Votable S	hares	Shares '	Voted			
RTRF, RTRF	392078	Confirmed	jbisset		jbisset				1,583,8	189	1,583	,889
			03/16/2022	!	03/16/202	22						
								Total Shares:	1,583,	389	1,583	,889

#### **Meeting Results**

Outstanding Shares Voted: 609,896,418 Results Available: Partial

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	484,215,490	100.0%	14,573	0.0%	808,199	N/A	Poll	F+A
2	Υ	Pass	No	For	10.5%	434,012,961	89.5%	50,960,274	10.5%	65,027	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	484,985,841	100.0%	13,400	0.0%	39,021	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	485,008,137	100.0%	14,678	0.0%	15,447	N/A	Poll	F+A
5	Υ	Pass	No	For	0.0%	484,977,900	100.0%	14,573	0.0%	45,789	N/A	Poll	F+A
6	Υ	Pass	No	For	5.2%	459,560,283	94.8%	25,403,070	5.2%	74,909	N/A	Poll	F+A
7	Υ	Pass	No	For	0.3%	483,612,806	99.7%	1,350,547	0.3%	74,909	N/A	Poll	F+A
8	Υ	Pass	No	For	5.6%	457,743,533	94.4%	27,219,820	5.6%	74,909	N/A	Poll	F+A
9	Υ	Pass	No	For	0.8%	481,232,710	99.2%	3,759,763	0.8%	45,789	N/A	Poll	F+A
10	Υ	Pass	No	For	0.8%	481,228,498	99.2%	3,763,975	0.8%	45,789	N/A	Poll	F+A
11	Υ	Pass	No	For	4.8%	461,504,744	95.2%	23,487,729	4.8%	45,789	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	484,977,195	100.0%	15,078	0.0%	45,989	N/A	Poll	F+A
13	Υ	Pass	No	For	0.3%	483,514,755	99.7%	1,483,945	0.3%	39,562	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	484,778,927	100.0%	223,428	0.0%	35,907	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	484,652,133	99.9%	349,340	0.1%	36,789	N/A	Poll	F+A
16	Υ	Pass	No	For	0.7%	481,826,808	99.3%	3,174,797	0.7%	36,657	N/A	Poll	F+A
17	Υ	Pass	No	For	2.4%	460,110,764	97.6%	11,215,375	2.4%	13,712,123	N/A	Poll	F+A
18	Υ	Pass	No	For	0.1%	484,306,473	99.9%	686,000	0.1%	45,789	N/A	Poll	F+A
19	Υ	Pass	No	For	0.3%	483,528,023	99.7%	1,280,550	0.3%	229,689	N/A	Poll	F+A
20	Υ	Pass	No	For	11.4%	428,810,332	88.6%	54,936,977	11.4%	1,290,953	N/A	Poll	F+A

# **Torii Pharmaceutical Co., Ltd.**

Meeting Date: 03/29/2022 **Record Date:** 12/31/2021

Primary Security ID: J8959J102

Country: Japan Meeting Type: Annual

Primary CUSIP: J8959J102

Total Ballots: 1

Ticker: 4551

**Meeting ID:** 1614494

**Primary ISIN:** JP3635800000

Proxy Level: N/A

Primary SEDOL: 6896894

Earliest Cutoff Date: 03/27/2022 **Additional Policy:** Voting Policy: ISS Votable Shares: 58,700 \*Shares on Loan: 0 Shares Instructed: 58,700 Shares Voted: 58,700

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 24	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect Director Fukuoka, Toshio	Mgmt	Yes	For	For		For	For	No	No	No
4		Appoint Statutory Auditor Matsumura, Takaharu	Mgmt	Yes	For	For		For	For	No	No	No
5		Elect Alternate Director Kondo, Nobumasa	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Torii Pharmaceutical Co., Ltd.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6		Appoint Alternate Statutory Auditor Kumano, Hisashi	Mgmt	Yes	For	For		For	For	No	No	No
7		Amend Articles to Prohibit Appointments of Officials from Japan Tobacco Inc	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this shareful board where no Torii Pharmaceutical executive directors casts doubt over the effectiveness of unfavorable relative stock performance.	s are present,	and the lack	of related b	ousiness expe	erience among	the outside				
		Blended Rationale: A vote FOR this shareholder where no Torii Pharmaceutical executives are parasts doubt over the effectiveness of the board stock performance.	present, and th	ne lack of rela	ated busines	s experience	among the or	utside directo	ors			
8		Amend Articles to Ban Funding to Japan Tobacco via Cash Management System	SH	Yes	Against	Against		Against	For	Yes	Yes	Yes
9		Amend Articles to Add Required Experience for Directors	SH	Yes	Against	Against		Against	Against	No	No	No
10		Amend Articles to Disclose Cost of Equity Capital in Corporate Governance Report	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this shareh more attention to an inefficient capital policy, v					•		а <i>у</i>			
		Blended Rationale: A vote FOR this shareholde attention to an inefficient capital policy, with a						ement pay m	ore			
11		Approve Additional Allocation of Income so that Final Dividend per Share Equals to JPY 114	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this sharest equivalents, the additional payment should be							nd			
		Blended Rationale: A vote FOR this shareholde equivalents, the additional payment should be					•					
12		Initiate Share Repurchase Program	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this shareh bind the company to actually repurchase any st reasonable in light of its rich cash position, and	hares and imp	oses no infle	exible manda	nte.* A share	repurchase ap					
		Blended Rationale: A vote FOR this shareholde the company to actually repurchase any shares light of its rich cash position, and concerns ove	and imposes	no inflexible	mandate.*	A share repu						
Ballot Det	ails											

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jcoster	jcoster		58,700	58,700
			03/23/2022	03/23/2022			
					Total Shares:	58,700	58,700

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Type	Base

Item	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	231,806	92.9%	17,611	N/A	0	N/A	Poll	F+A+AB
2	Y	Pass	No	For	N/A	248,555	99.6%	862	N/A	0	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	239,844	96.1%	9,563	N/A	0	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	247,852	99.3%	1,565	N/A	0	N/A	Poll	F+A+AB
5	Y	Pass	No	For	N/A	239,967	96.2%	9,450	N/A	0	N/A	Poll	F+A+AB
6	Υ	Pass	No	For	N/A	247,760	99.3%	1,657	N/A	0	N/A	Poll	F+A+AB
7	Υ	Fail	No	Against	N/A	23,650	9.5%	225,766	N/A	0	N/A	Poll	F+A+AB
8	Υ	Fail	No	Against	N/A	17,621	7.1%	231,784	N/A	0	N/A	Poll	F+A+AB
9	Υ	Fail	No	Against	N/A	5,960	2.4%	243,445	N/A	0	N/A	Poll	F+A+AB
10	Υ	Fail	No	Against	N/A	27,822	11.2%	221,582	N/A	0	N/A	Poll	F+A+AB
11	Υ	Fail	No	Against	N/A	51,810	20.8%	197,594	N/A	0	N/A	Poll	F+A+AB
12	Υ	Fail	No	Against	N/A	34,779	13.9%	214,534	N/A	91	N/A	Poll	F+A+AB

### Rakuten Group, Inc.

Meeting Date: 03/30/2022

Earliest Cutoff Date: 03/28/2022

**Record Date: 12/31/2021** 

Primary Security ID: J64264104

Country: Japan Meeting Type: Annual

Primary CUSIP: J64264104

**Total Ballots:** 1 \*Shares on Loan: 0 Ticker: 4755

Meeting ID: 1616325

Primary ISIN: JP3967200001

Voting Policy: ISS

Proxy Level: N/A

Primary SEDOL: 6229597

Additional Policy:

Votable Shares: 2.843.900 Shares Instructed: 2,843,900 Shares Voted: 2.843.900 Additional Voting Vote Vote Vote Proposal Significant Votable Mgmt **Policy** Policy Vote Against Against Against Number **Proposal Text** Proponent Proposal ISS Rec Instruction Mgmt Policy ISS Amend Articles to Disclose For Against For No Yes 1 Mgmt Yes Against Yes Shareholder Meeting Materials on Internet - Allow Virtual Only Shareholder Meetings - Reflect Changes in Law Voting Policy Rationale: A vote AGAINST this proposal is warranted because: \* The passage of this proposal will authorize the company to hold virtual only meetings permanently, without further need to consult shareholders, even after the current health crisis is resolved, and the proposed language fails to specify situations under which virtual meetings will be held. Blended Rationale: A vote AGAINST this proposal is warranted because:\* The passage of this proposal will authorize the company to hold virtual only meetings permanently, without further need to consult shareholders, even after the current health crisis is resolved, and the proposed language fails to specify situations under which virtual meetings will be held. Elect Director Mikitani, Hiroshi 2.1 Mgmt Yes For For For For No No No Elect Director Hosaka, Masayuki Mamt Yes For For No 2.2 For For No No Elect Director Charles B. Baxter 2.3 Mgmt Yes For For For For No No No Elect Director Hyakuno, Kentaro Mgmt No 2.5 Elect Director Kutaragi, Ken Mgmt Yes For For For For No No No Elect Director Sarah J. M. Whitley 2.6 Mgmt Yes For For For For No No No Elect Director Mitachi, Takashi Mgmt For No No 2.7 Yes For For For No 2.8 Elect Director Murai, Jun Yes For No Mgmt For For For No No 2.9 Elect Director John V. Roos Mgmt For For For For No No No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# Rakuten Group, Inc.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Appoint Statutory Auditor Fujita, Satoshi	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Deep Discount Stock Option Plan	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this and the stock options could become exercisa recipients.			,	,		,	d,			
		Blended Rationale: A vote AGAINST this prop	osal is warrante	ed because:*	* No specific	performance	e hurdles are s	pecified, and	1			

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		2,843,900	2,843,900
			03/21/2022	03/21/2022			
					Total Shares:	2,843,900	2,843,900

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

the stock options could become exercisable in less than three years after this shareholder meeting by non-retiring recipients.

Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Туре	Base
1	Y	Pass	No	For	N/A	11,941,437	90.8%	1,206,351	N/A	50	N/A	Poll	F+A+AB
2.1	Y	Pass	No	For	N/A	11,963,328	90.9%	1,184,428	N/A	93	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	12,551,609	95.4%	596,156	N/A	93	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	12,589,280	95.7%	558,481	N/A	93	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	12,863,519	97.8%	284,245	N/A	93	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	11,119,115	84.5%	2,028,645	N/A	93	N/A	Poll	F+A+AB
2.6	Y	Pass	No	For	N/A	12,379,707	94.1%	768,060	N/A	93	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	12,624,876	96.0%	522,890	N/A	93	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	12,450,316	94.6%	697,448	N/A	93	N/A	Poll	F+A+AB
2.9	Υ	Pass	No	For	N/A	12,908,071	98.1%	239,693	N/A	93	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	13,141,290	99.9%	6,570	N/A	0	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	10,079,482	76.6%	3,064,759	N/A	3,613	N/A	Poll	F+A+AB

# Teikoku Sen-I Co., Ltd.

 Meeting Date: 03/30/2022
 Country: Japan
 Ticker: 3302
 Proxy Level: N/A

 Record Date: 12/31/2021
 Meeting Type: Annual
 Meeting ID: 1617673

 Primary Security ID: 382657107
 Primary CUSIP: 382657107
 Primary ISIN: 3P3540800004
 Primary SEDOL: 6880983

# Teikoku Sen-I Co., Ltd.

 Earliest Cutoff Date: 03/28/2022
 Total Ballots: 1
 Voting Policy: ISS
 Additional Policy:

 Votable Shares: 52,400
 \*Shares on Loan: 0
 Shares Instructed: 52,400
 Shares Voted: 52,400

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 45	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Shiraiwa, Tsuyoshi	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this di company's capital misallocation.	irector nomine	e is warranti	ed because:	* Top manag	nement is respo	onsible for th	пе			
		Blended Rationale: A vote AGAINST this director company's capital misallocation.	or nominee is v	warranted be	ecause:* Top	n manageme.	nt is responsib	le for the				
3.2		Elect Director Masutani, Toru	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this di company's capital misallocation.	irector nomine	e is warrant	ed because:	* Top manag	nement is respo	onsible for th	е			
		Blended Rationale: A vote AGAINST this director company's capital misallocation.	or nominee is v	warranted be	ecause:* Top	n manageme.	nt is responsib	le for the				
3.3		Elect Director Okamura, Tatsuru	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Nakao, Toru	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Takagi, Hiroyasu	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Fukazawa, Masahiro	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
4		Appoint Alternate Statutory Auditor Aramaki, Mikito	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this na affiliation with the company could compromise			ıse:* The ou	tside statuto	ry auditor non	ninee's				
		Blended Rationale: A vote AGAINST this nomin with the company could compromise independe		d because:*	The outside	statutory au	uditor nominee	's affiliation				
5		Approve Compensation Ceiling for Directors	Mgmt	Yes	For	For		For	For	No	No	No
6		Approve Trust-Type Equity Compensation Plan	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this parties of the equity compensation plans reaches 6.7 p	•			lilution from	this plan and t	he company	<i>'s</i>			
		Blended Rationale: A vote AGAINST this proposequity compensation plans reaches 6.7 percent				n from this p	plan and the co	ompany's oth	ner			

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jcoster	jcoster		52,400	52,400
			03/23/2022	03/23/2022			
					Total Shares:	52,400	52,400

### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	226,600	94.5%	13,239	N/A	0	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	239,564	99.9%	275	N/A	0	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	212,063	88.4%	27,767	N/A	0	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	218,000	90.9%	21,831	N/A	0	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	230,679	96.2%	9,154	N/A	0	N/A	Poll	F+A+AB
3.4	Y	Pass	No	For	N/A	230,716	96.2%	9,117	N/A	0	N/A	Poll	F+A+AB
3.5	Y	Pass	No	For	N/A	230,146	96.0%	9,687	N/A	0	N/A	Poll	F+A+AB
3.6	Y	Pass	No	For	N/A	224,451	93.6%	15,382	N/A	0	N/A	Poll	F+A+AB
4	Y	Pass	No	For	N/A	189,809	79.1%	50,017	N/A	5	N/A	Poll	F+A+AB
5	Y	Pass	No	For	N/A	239,343	99.8%	486	N/A	5	N/A	Poll	F+A+AB
6	Y	Pass	No	For	N/A	216,781	90.4%	23,057	N/A	0	N/A	Poll	F+A+AB

# Toagosei Co., Ltd.

Meeting Date: 03/30/2022 **Record Date:** 12/31/2021

Earliest Cutoff Date: 03/28/2022

Primary Security ID: J8381L105

Country: Japan Meeting Type: Annual

**Total Ballots:** 1

Primary CUSIP: J8381L105

Votable Shares: 167,600 \*Shares on Loan: 0 Ticker: 4045

Meeting ID: 1612830

Primary ISIN: JP3556400004

Voting Policy: ISS

Shares Instructed: 167,600

Proxy Level: N/A

Primary SEDOL: 6894467

**Additional Policy:** Shares Voted: 167,600

Additional Voting Vote Vote Proposal Significant Votable Mgmt Policy Policy Vote Against Against Against ISS Rec **Proposal Text** Proponent Number Vote Proposal Rec Rec Rec Instruction Mgmt Policy ISS Approve Allocation of Income, with a Mgmt Yes For For For For No No No Final Dividend of JPY 19 2 For Amend Articles to Disclose Mgmt Yes For For For No No No Shareholder Meeting Materials on Internet - Allow Virtual Only Shareholder Meetings Elect Director Takamura, Mikishi 3.1 Mgmt Yes For For For For No No No 3.2 Elect Director Ishikawa, Nobuhiro Mgmt Yes For For For No No For No Elect Director Miho, Susumu 3.3 Mgmt Yes For For For For No No No 3.4 Elect Director Kimura, Masahiro Mgmt Yes For For For No No No 3.5 Elect Director Koike, Yasuhiro Mgmt Yes For For For For No No No Elect Director Mori, Yuichiro 3.6 Mgmt Yes For For For For No No No Elect Director Serita, Taizo Mgmt 3.7 Yes For For For For No No No 3.8 Elect Director Furukawa, Hidetoshi Mgmt For No No Yes For For For No 4.1 Elect Director and Audit Committee Mgmt Yes No No No Member Takano, Nobuhiko

# Toagosei Co., Ltd.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4.2		Elect Director and Audit Committee Member Danno, Koichi	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this be an audit committee member lacks indepe		ee is warrant	ed because.	** This outsi	ide director can	didate who	will			
		Blended Rationale: A vote AGAINST this dire an audit committee member lacks independe		warranted be	ecause:* Th	is outside di	irector candidat	e who will b	ne			
4.3		Elect Director and Audit Committee Member Suzuki, Yoshitaka	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails											
Institutiona	l Account Detail	Custodian Account Number Railot Status	Instructo	d	Annrove	ad	Ballot Votino	Statue	Votable 9	hares	Shares	Voted

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		167,600	167,600
			03/28/2022	03/28/2022			

Total Shares: 167,600 167,600

Proxy Level: N/A

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	1,056,648	96.4%	16,889	N/A	6	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	1,067,193	97.4%	6,342	N/A	6	N/A	Poll	F+A+AB
3.1	Y	Pass	No	For	N/A	1,042,266	95.1%	31,270	N/A	6	N/A	Poll	F+A+AB
3.2	Y	Pass	No	For	N/A	1,068,693	97.5%	4,843	N/A	6	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	1,068,777	97.5%	4,765	N/A	0	N/A	Poll	F+A+AB
3.4	Υ	Pass	No	For	N/A	1,068,676	97.5%	4,860	N/A	6	N/A	Poll	F+A+AB
3.5	Υ	Pass	No	For	N/A	1,071,071	97.7%	2,465	N/A	6	N/A	Poll	F+A+AB
3.6	Υ	Pass	No	For	N/A	1,071,077	97.7%	2,459	N/A	6	N/A	Poll	F+A+AB
3.7	Υ	Pass	No	For	N/A	1,060,391	96.7%	13,145	N/A	6	N/A	Poll	F+A+AB
3.8	Υ	Pass	No	For	N/A	1,035,399	94.5%	38,137	N/A	6	N/A	Poll	F+A+AB
4.1	Υ	Pass	No	For	N/A	1,071,011	97.7%	2,525	N/A	6	N/A	Poll	F+A+AB
4.2	Y	Pass	No	For	N/A	959,178	87.5%	114,357	N/A	6	N/A	Poll	F+A+AB
4.3	Y	Pass	No	For	N/A	1,031,071	94.1%	42,465	N/A	6	N/A	Poll	F+A+AB

# **Banco Santander SA**

Meeting Date: 03/31/2022 Ticker: SAN Country: Spain

**Primary Security ID:** E19790109 Primary CUSIP: E19790109 Primary ISIN: ES0113900J37 Primary SEDOL: 5705946

**Record Date:** 03/25/2022 Meeting Type: Annual **Meeting ID:** 1611611

**Banco Santander SA** Earliest Cutoff Date: 03/25/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy: Votable Shares: 17.000.000 \*Shares on Loan: 0 Shares Instructed: 17.000.000 Shares Voted: 17.000.000 Additional Voting **Proposal** Significant Votable Mamt Policy Policy Vote Against Number Vote Proposal Text Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt Approve Consolidated and Standalone Yes For For For No 1.A Mgmt For Financial Statements Approve Non-Financial Information 1.B Mamt Yes For For For No Statement Approve Discharge of Board 1.C Mgmt Yes For For For For No Approve Allocation of Income and Mamt 2 Yes For For For For No Dividends Fix Number of Directors at 15 3.A Mgmt Yes For For For No 3.B Elect German de la Fuente as Director Mgmt For No Yes For For For Voting Policy Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees. A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee. A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees. Blended Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees.A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee.A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees, 3.C Reelect Henrique de Castro as Director Mamt Yes For No Voting Policy Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees. A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee. A vote FOR the

Vote

Against

Policy

No

Vote

ISS

No

No

No

No

No

No

No

No

No

Against

reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence quideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.

Blended Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees.A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee.A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.

Yes

For

For

For

For

For

Voting Policy Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees.A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee.A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.

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Mamt

Blended Rationale: A vote FOR Items 3.B. 3.C. and 3.F. is warranted due to a lack of concerns about the independent director nominees.A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee.A vote FOR the reelection of

NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.

Yes

Voting Policy Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees. A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee. A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.

Blended Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees.A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee.A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence quideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.

3.D

3.E

Reelect Jose Antonio Alvarez as

Reelect Belen Romana as Director

Director

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3.F		Reelect Luis Isasi as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 3.B, director nominees.A vote FOR Item 3.D is warn reelection of NI-NEDs Isasi and Rial under Item guideline applicable to Spain-incorporated, non	ranted due to ans 3.F and 3.G	a lack of cond is warranted	cerns about d, as the boo	the CEO non ard meets the	ninee.A vote Fo e 50 percent in	OR the odependence				
		Blended Rationale: A vote FOR Items 3.B, 3.C, nominees.A vote FOR Item 3.D is warranted do NI-NEDs Isasi and Rial under Items 3.F and 3.0 applicable to Spain-incorporated, non-controlle	ue to a lack of G is warranted,	concerns ab , as the boar	out the CEC rd meets the	nominee.A v 50 percent i	ote FOR the reindependence	eelection of	r			
3.G		Reelect Sergio Rial as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 3.B, director nominees.A vote FOR Item 3.D is warr reelection of NI-NEDs Isasi and Rial under Iten guideline applicable to Spain-incorporated, nor	ranted due to ans 3.F and 3.G	a lack of cond is warranted	cerns about d, as the boo	the CEO non ard meets the	ninee.A vote Fo e 50 percent in	OR the odependence				
		Blended Rationale: A vote FOR Items 3.B, 3.C, nominees.A vote FOR Item 3.D is warranted di NI-NEDs Isasi and Rial under Items 3.F and 3. applicable to Spain-incorporated, non-controlle	ue to a lack of G is warranted,	concerns ab , as the boar	out the CEC rd meets the	nominee.A v 50 percent i	ote FOR the reindependence	eelection of	r			
4		Ratify Appointment of PricewaterhouseCoopers as Auditor	Mgmt	Yes	For	For		For	For	No	No	No
5.A		Amend Articles Re: Form of Shares and Transfer of Shares	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item company bylaws with updated legal provisions,			-	amendments	s, most of whic	th align the				
		Blended Rationale: A vote FOR these items is v company bylaws with updated legal provisions,	-		•	ndments, mo	st of which alig	gn the				
5.B		Amend Article 16 Re: Capital Reduction	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item company bylaws with updated legal provisions,	-		•	amendments	s, most of whic	th align the				
		Blended Rationale: A vote FOR these items is v company bylaws with updated legal provisions,	,		,	ndments, mo	st of which alig	gn the				
5.C		Amend Article 19 Re: Issuance of Other Securities	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item company bylaws with updated legal provisions,				amendments	s, most of whic	th align the				
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,	,		,	ndments, mo	st of which alig	gn the				
5.D		Amend Article 26 Re: Allow Shareholder Meetings to be Held in Virtual-Only Format	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item company bylaws with updated legal provisions,			-	amendments	s, most of whic	h align the				
		Blended Rationale: A vote FOR these items is v company bylaws with updated legal provisions,			-	ndments, mo	st of which alig	gn the				
5.E		Amend Articles Re: Board Secretary and Presiding Committee of the General Shareholders' Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item company bylaws with updated legal provisions,			-	amendments	s, most of whic	th align the				
		Blended Rationale: A vote FOR these items is v company bylaws with updated legal provisions,			-	ndments, mo	st of which alig	gn the				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5.F		Amend Article 48 Re: Executive Chair	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			-	amendments	, most of whic	h align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,			-	ndments, mo	st of which alig	gn the				
5.G		Amend Article 52 Re: Audit Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,	-		•	amendments	, most of whic	h align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,			,	ndments, mo	st of which alig	gn the				
5.H		Amend Articles Re: Director Remuneration	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item company bylaws with updated legal provisions,			-	amendments	, most of whic	h align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	-		,	ndments, mo	st of which alig	gn the				
5.I		Add Article 64 bis Re: Prior Authorization for the Payment of Dividends	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,	-		•	amendments	, most of whic	h align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	-		,	ndments, mo	st of which alig	gn the				
6.A		Amend Article 6 of General Meeting Regulations Re: Information Available as of the Date of the Call to Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,	-		•	amendments	, most of whic	h align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	•		•	ndments, mo	st of which alig	gn the				
6.B		Amend Article 13 of General Meeting Regulations Re: Presiding Committee of the General Shareholders' Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			-	amendments	, most of whic	h align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	-		•	ndments, mo	st of which alig	gn the				
6.C		Add New Article 15 bis and Amend Article 19 of General Meeting Regulations Re: Allow Shareholder Meetings to be Held in Virtual-Only Format	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,	-		•	amendments	, most of whic	h align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,				ndments, mo	st of which alig	gn the				
6.D		Amend Article 17 of General Meeting Regulations Re: Presentations	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item company bylaws with updated legal provisions,			-	amendments	, most of whic	h align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	-		,	ndments, mo	st of which alig	gn the				

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7.A Authorize Increase in Capital up to 50 Mgmt Yes For For Percent via Issuance of Equity or Equity-Linked Securities, Excluding Preemptive Rights of up to 10 Percent  7.B Approve Reduction in Share Capital via Mgmt Yes For For	ital to shareholders an	For mod may	For	No	No	No
7,B Approve Reduction in Share Capital via Mamt Yes For For	pital to shareholders and erm.		For			
Amortization of Treasury Shares	erm.	nd may		No	No	No
Voting Policy Rationale: A vote FOR these items is warranted as it facilitates the return of cap improve the efficiency of the balance sheet, which may also enhance returns over the long to	tlll-l					
Blended Rationale: A vote FOR these items is warranted as it facilitates the return of capital the efficiency of the balance sheet, which may also enhance returns over the long term.	to snarenoiders and m	nay improve				
7.C Approve Reduction in Share Capital via Mgmt Yes For For Amortization of Treasury Shares	F	For	For	No	No	No
Voting Policy Rationale: A vote FOR these items is warranted as it facilitates the return of cap improve the efficiency of the balance sheet, which may also enhance returns over the long to		nd may				
Blended Rationale: A vote FOR these items is warranted as it facilitates the return of capital the efficiency of the balance sheet, which may also enhance returns over the long term.	to shareholders and m	nay improve				
7.D Approve Reduction in Share Capital via Mgmt Yes For For Amortization of Treasury Shares	F	For	For	No	No	No
Voting Policy Rationale: A vote FOR these items is warranted as it facilitates the return of cap improve the efficiency of the balance sheet, which may also enhance returns over the long to		nd may				
Blended Rationale: A vote FOR these items is warranted as it facilitates the return of capital the efficiency of the balance sheet, which may also enhance returns over the long term.	to shareholders and m	nay improve				
8.A Approve Remuneration Policy Mgmt Yes For For	Ī	For	For	No	No	No
Voting Policy Rationale: A vote FOR these items is warranted because:* The use of stock opt schemes may mitigate some pay for performance concerns, as any capital gains from the exclower value than the vesting of share awards.* The vesting requirements under the relative stricter and clearer with 50 percent vesting for 40th percentile ranking vs 33 percent vesting currently.* The board has introduced ESG-related metrics under the long-term incentive sche industry standards. However, this is not without the following concerns:* Long-term incentive total variable pay, which makes the pay mix insufficiently long-term oriented.* Albeit stricter, allows pay below median.* The proposed policy, which maintains the same structure and qua address recurrent pay for performance concerns.	ercise of options shoul TSR component have to for 33rd percentile rar eme, aligning with its p es only account for 36 the relative TSR vest	ld result in a been made nking, practices wi percent of ting schedul	a th			
Blended Rationale: A vote FOR these items is warranted because:* The use of stock options schemes may mitigate some pay for performance concerns, as any capital gains from the exclower value than the vesting of share awards.* The vesting requirements under the relative stricter and clearer with 50 percent vesting for 40th percentile ranking vs 33 percent vesting currently.* The board has introduced ESG-related metrics under the long-term incentive sche industry standards. However, this is not without the following concerns:* Long-term incentive total variable pay, which makes the pay mix insufficiently long-term oriented.* Albeit stricter, allows pay below median.* The proposed policy, which maintains the same structure and quaddress recurrent pay for performance concerns.	ercise of options shoul TSR component have to for 33rd percentile rate eme, aligning with its p es only account for 36 the relative TSR vest	ld result in a been made nking, practices wi percent of ting schedul	th			
8.B Approve Remuneration of Directors Mgmt Yes For For	F	For	For	No	No	No
8.C Fix Maximum Variable Compensation Mgmt Yes For For Ratio	ŀ	For	For	No	No	No

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
8.D		Approve Deferred Multiyear Objectives Variable Remuneration Plan	Mgmt	Yes	For	For		For	For	No	No	No

Additional

Voting Policy Rationale: A vote FOR these items is warranted because:\* The use of stock options under variable remuneration schemes may mitigate some pay for performance concerns, as any capital gains from the exercise of options should result in a lower value than the vesting of share awards.\* The vesting requirements under the relative TSR component have been made stricter and clearer with 50 percent vesting for 40th percentile ranking vs 33 percent vesting for 33rd percentile ranking, currently.\* The board has introduced ESG-related metrics under the long-term incentive scheme, aligning with its practices with industry standards. However, this is not without the following concerns: \* Long-term incentives only account for 36 percent of total variable pay, which makes the pay mix insufficiently long-term oriented.\* Albeit stricter, the relative TSR vesting schedule allows pay below median.\* The proposed policy, which maintains the same structure and quantum overall, may not fully address recurrent pay for performance concerns.

Blended Rationale: A vote FOR these items is warranted because:\* The use of stock options under variable remuneration schemes may mitigate some pay for performance concerns, as any capital gains from the exercise of options should result in a lower value than the vesting of share awards.\* The vesting requirements under the relative TSR component have been made stricter and clearer with 50 percent vesting for 40th percentile ranking vs 33 percent vesting for 33rd percentile ranking, currently.\* The board has introduced ESG-related metrics under the long-term incentive scheme, aligning with its practices with industry standards. However, this is not without the following concerns: \* Long-term incentives only account for 36 percent of total variable pay, which makes the pay mix insufficiently long-term oriented.\* Albeit stricter, the relative TSR vesting schedule allows pay below median.\* The proposed policy, which maintains the same structure and quantum overall, may not fully address recurrent pay for performance concerns.

8.E	Approve Buy-out Policy	Mgmt	Yes	For	For	For	For	No	No	No
8.F	Advisory Vote on Remuneration Report	Mgmt	Yes	For	For	For	For	No	No	No
9	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	Yes	For	For	For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		17,000,000	17,000,000
			03/17/2022	03/17/2022			
					Total Shares:	17.000.000	17.000.000

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.A	Y	Pass	No	For	3.0%	11,558,043,339	97.0%	31,109,277	0.3%	330,656,831	2.8%	Poll	F+A+AB
1.B	Y	Pass	No	For	3.0%	11,557,402,304	97.0%	33,945,091	0.3%	328,049,620	2.8%	Poll	F+A+AB
1.C	Υ	Pass	No	For	3.2%	11,530,333,893	96.7%	33,363,907	0.3%	354,800,552	3.0%	Poll	F+A+AB
2	Υ	Pass	No	For	3.1%	11,551,575,503	96.9%	38,878,626	0.3%	328,862,125	2.8%	Poll	F+A+AB
3.A	Υ	Pass	No	For	3.1%	11,542,845,514	96.9%	42,552,426	0.4%	331,986,147	2.8%	Poll	F+A+AB
3.B	Y	Pass	No	For	3.2%	11,536,662,228	96.8%	45,816,716	0.4%	334,902,401	2.8%	Poll	F+A+AB
3.C	Υ	Pass	No	For	3.3%	11,526,418,503	96.7%	56,487,546	0.5%	334,287,941	2.8%	Poll	F+A+AB
3.D	Υ	Pass	No	For	3.2%	11,533,285,921	96.8%	48,259,358	0.4%	336,312,106	2.8%	Poll	F+A+AB
3.E	Y	Pass	No	For	3.3%	11,528,699,038	96.7%	55,441,146	0.5%	333,656,153	2.8%	Poll	F+A+AB
3.F	Υ	Pass	No	For	5.6%	11,243,887,306	94.3%	337,170,045	2.8%	336,593,959	2.8%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
3.G	Y	Pass	No	For	4.4%	11,388,044,627	95.6%	194,966,417	1.6%	334,644,131	2.8%	Poll	F+A+AB
4	Υ	Pass	No	For	3.1%	11,546,391,486	96.9%	37,978,853	0.3%	334,980,575	2.8%	Poll	F+A+AB
5.A	Υ	Pass	No	For	3.2%	11,541,545,503	96.8%	42,875,978	0.4%	334,321,805	2.8%	Poll	F+A+AB
5.B	Υ	Pass	No	For	3.1%	11,548,704,255	96.9%	42,104,992	0.4%	328,621,270	2.8%	Poll	F+A+AB
5.C	Υ	Pass	No	For	3.2%	11,535,912,064	96.8%	45,064,698	0.4%	337,518,005	2.8%	Poll	F+A+AB
5.D	Y	Pass	No	For	6.1%	11,192,832,422	93.9%	392,664,653	3.3%	333,022,256	2.8%	Poll	F+A+AB
5.E	Y	Pass	No	For	3.1%	11,542,534,890	96.9%	39,176,752	0.3%	335,842,605	2.8%	Poll	F+A+AB
5.F	Υ	Pass	No	For	3.1%	11,541,842,214	96.8%	41,431,687	0.3%	334,534,130	2.8%	Poll	F+A+AB
5.G	Y	Pass	No	For	3.1%	11,548,438,315	96.9%	36,036,370	0.3%	333,941,103	2.8%	Poll	F+A+AB
5.H	Y	Pass	No	For	3.3%	11,527,964,756	96.7%	55,144,100	0.5%	334,858,069	2.8%	Poll	F+A+AB
5.I	Y	Pass	No	For	3.1%	11,546,316,666	96.9%	43,158,104	0.4%	329,730,452	2.8%	Poll	F+A+AB
6.A	Y	Pass	No	For	3.1%	11,551,571,515	96.9%	33,221,237	0.3%	334,020,110	2.8%	Poll	F+A+AB
6.B	Y	Pass	No	For	3.1%	11,547,788,840	96.9%	35,166,816	0.3%	335,284,227	2.8%	Poll	F+A+AB
6.C	Y	Pass	No	For	12.1%	10,469,563,436	87.8%	1,117,684,526	9.4%	331,481,834	2.8%	Poll	F+A+AB
6.D	Y	Pass	No	For	4.2%	11,418,147,368	95.8%	164,143,627	1.4%	335,194,357	2.8%	Poll	F+A+AB
7.A	Y	Pass	No	For	7.0%	11,081,155,863	93.0%	508,059,915	4.3%	331,416,718	2.8%	Poll	F+A+AB
7.B	Y	Pass	No	For	3.1%	11,550,955,000	96.9%	43,228,415	0.4%	326,435,149	2.7%	Poll	F+A+AB
7.C	Y	Pass	No	For	3.2%	11,543,604,192	96.8%	52,788,979	0.4%	324,044,566	2.7%	Poll	F+A+AB
7.D	Y	Pass	No	For	3.1%	11,549,516,885	96.9%	47,043,364	0.4%	323,897,127	2.7%	Poll	F+A+AB
8.A	Y	Pass	No	For	8.8%	10,867,348,146	91.2%	714,514,785	6.0%	337,312,738	2.8%	Poll	F+A+AB
8.B	Υ	Pass	No	For	4.6%	11,375,996,996	95.4%	212,126,194	1.8%	331,447,223	2.8%	Poll	F+A+AB
8.C	Y	Pass	No	For	4.0%	11,373,506,994	96.0%	144,949,251	1.2%	331,070,577	2.8%	Poll	F+A+AB
8.D	Y	Pass	No	For	6.6%	11,133,341,098	93.4%	327,412,381	2.7%	458,317,435	3.8%	Poll	F+A+AB
8.E	Υ	Pass	No	For	4.2%	11,416,431,962	95.8%	156,400,219	1.3%	344,485,231	2.9%	Poll	F+A+AB
8.F	Y	Pass	No	For	14.5%	10,193,385,775	85.5%	1,389,271,674	11.7%	336,389,901	2.8%	Poll	F+A+AB
9	Υ	Pass	No	For	3.1%	11,554,161,776	96.9%	36,944,457	0.3%	328,619,423	2.8%	Poll	F+A+AB

Meeting Date: 04/07/2022Country: SpainTicker: TEFProxy Level: N/ARecord Date: 04/01/2022Meeting Type: AnnualMeeting ID: 1616104

 Record Date: 04/01/2022
 Meeting Type: Annual
 Meeting ID: 1616104

 Primary Security ID: 879382109
 Primary CUSIP: 879382109
 Primary ISIN: ES0178430E18
 Primary SEDOL: 5732524

4.2

4.3

Earliest Cutoff Date: 04/01/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy: Votable Shares: 3,500,000 Shares Instructed: 3,500,000 Shares Voted: 3.500.000 \*Shares on Loan: 0 Additional Voting Vote Vote **Proposal** Significant Votable Mgmt Policy Policy Vote Against Against Against Number Vote Proposal Text Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS Approve Consolidated and Standalone Yes For No No 1.1 Mgmt For For For No Financial Statements Approve Non-Financial Information Mgmt Yes For For For No No No Statement Approve Discharge of Board 1.3 Mgmt Yes For For For For No No No Approve Allocation of Income 2 Mamt Yes For For For For No No No Voting Policy Rationale: A vote FOR these items is warranted due to a lack of concerns about the proposed allocation of income and proposed dividend. Blended Rationale: A vote FOR these items is warranted due to a lack of concerns about the proposed allocation of income and proposed dividend. Renew Appointment of For For For Mamt Yes For Nο Nο Nο PricewaterhouseCoopers as Auditor Reelect Jose Maria Abril Perez as 4.1 Mgmt Yes For For No No No Director Voting Policy Rationale: A vote FOR Items 4.3 and 4.5 is warranted due to a lack of concerns about the independent director nominees. A vote FOR the reelection of executive director Vila Boix under Item 4.2 and NI-NEDs Abril-Perez and De Paz Mancho under Items 4.1 and 4.4 is warranted, as (i) the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies; (ii) the composition of the audit, and remuneration and nomination committees also meet the independence guidelines; and (iii) women representation is above 30 percent. Blended Rationale: A vote FOR Items 4.3 and 4.5 is warranted due to a lack of concerns about the independent director nominees. A vote FOR the reelection of executive director Vila Boix under Item 4.2 and NI-NEDs Abril-Perez and De Paz Mancho under Items 4.1 and 4.4 is warranted, as (i) the board meets the 50 percent independence quideline applicable to Spain-incorporated, non-controlled companies; (ii) the composition of the audit, and remuneration and nomination committees also meet the independence guidelines; and (iii) women representation is above 30 percent.

Voting Policy Rationale: A vote FOR Items 4.3 and 4.5 is warranted due to a lack of concerns about the independent director nominees. A vote FOR the reelection of executive director Vila Boix under Item 4.2 and NI-NEDs Abril-Perez and De Paz Mancho under Items 4.1 and 4.4 is warranted, as (i) the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies; (ii) the composition of the audit, and remuneration and nomination committees also meet the independence guidelines; and (iii) women representation is above 30 percent.

Yes

Mamt

Mamt

For

For

For

For

For

For

For

For

Nο

No

Nο

No

Nο

No

Blended Rationale: A vote FOR Items 4.3 and 4.5 is warranted due to a lack of concerns about the independent director nominees. A vote FOR the reelection of executive director Vila Boix under Item 4.2 and NI-NEDs Abril-Perez and De Paz Mancho under Items 4.1 and 4.4 is warranted, as (i) the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies; (ii) the composition of the audit, and remuneration and nomination committees also meet the independence guidelines; and (iii) women representation is above 30 percent.

Yes

Voting Policy Rationale: A vote FOR Items 4.3 and 4.5 is warranted due to a lack of concerns about the independent director nominees. A vote FOR the reelection of executive director Vila Boix under Item 4.2 and NI-NEDs Abril-Perez and De Paz Mancho under Items 4.1 and 4.4 is warranted, as (i) the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies; (ii) the composition of the audit, and remuneration and nomination committees also meet the independence guidelines; and (iii) women representation is above 30 percent.

Blended Rationale: A vote FOR Items 4.3 and 4.5 is warranted due to a lack of concerns about the independent director nominees. A vote FOR the reelection of executive director Vila Boix under Item 4.2 and NI-NEDs Abril-Perez and De Paz Mancho under Items 4.1 and 4.4 is warranted, as (i) the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies; (ii) the composition of the audit, and remuneration and nomination committees also meet the independence guidelines; and (iii) women representation is above 30 percent.

Reelect Angel Vila Boix as Director

Reelect Maria Luisa Garcia Blanco as

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4.4		Reelect Francisco Javier de Paz Mancho as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 4.3 a nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii	ive director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 and 50 percent audit, and r	d NI-NEDs A independenc emuneration	bril-Perez and e guideline ap	De Paz plicable to	25			
		Blended Rationale: A vote FOR Items 4.3 and 4 nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii.	ive director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 and 50 percent audit, and r	d NI-NEDs A independenc emuneration	bril-Perez and e guideline ap	De Paz plicable to	<i>es</i>			
4.5		Ratify Appointment of and Elect Maria Rotondo Urcola as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 4.3 a nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii	ive director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 and 50 percent audit, and r	d NI-NEDs A independenc emuneration	bril-Perez and e guideline ap	De Paz plicable to				
		Blended Rationale: A vote FOR Items 4.3 and 4 nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii	ive director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 and 50 percent audit, and r	d NI-NEDs A independenc emuneration	bril-Perez and e guideline ap	De Paz plicable to	es			
5		Fix Number of Directors at 15	Mgmt	Yes	For	For		For	For	No	No	No
6		Approve Reduction in Share Capital via Amortization of Treasury Shares	Mgmt	Yes	For	For		For	For	No	No	No
7.1		Approve Scrip Dividends	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items and proposed dividend.	s is warranted	due to a lac	k of concern	s about the p	proposed alloc	ation of incol	me			
		Blended Rationale: A vote FOR these items is w proposed dividend.	varranted due	to a lack of o	concerns abo	out the propo	osed allocation	of income a	nd			
7.2		Approve Dividends Charged Against Unrestricted Reserves	Mgmt	Yes	For	For		For	For	No	No	No
8		Approve Share Matching Plan	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	Yes	For	For		For	For	No	No	No

	Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
_	10		Advisory Vote on Remuneration Report	Mgmt	Yes	For	Against		Against	Against	Yes	No	No

Voting Policy Rationale: A vote AGAINST this item is warranted because: \* Telefonica completed two significant transactions in 2021: the O2/Virgin joint-venture and Telxius' telecommunications towers division sale (see Material Company Updates section) for which the board rewarded both executive directors with one-off awards at maximum opportunity of 100 percent of salary. The board also considered Telefonica's outperforming the local index and sector peers in 2021. \* Telefonica is the sole European telco to have a remuneration policy provision allowing for the granting of one-off awards — Deutsche Telekom's supervisory board proposes to eliminate a similar clause at the company's 2022 AGM. \* Excluding this one-off award opportunity, the remuneration arrangements with management already are one of the most competitive among European peers. \* Without downplaying the significance of these two transactions, these one-off awards have further misaligned executive pay with company performance in comparison with peers, including 2021's 3.69x multiple of median, while management's pay package is one of the most competitive among European peers, and Telefonica has been an underperforming stock since incumbent chair/CEO took on his position in April 2016.

Blended Rationale: A vote AGAINST this item is warranted because: \* Telefonica completed two significant transactions in 2021: the O2/Virgin joint-venture and Telxius' telecommunications towers division sale (see Material Company Updates section) for which the board rewarded both executive directors with one-off awards at maximum opportunity of 100 percent of salary. The board also considered Telefonica's outperforming the local index and sector peers in 2021. \* Telefonica is the sole European telco to have a remuneration policy provision allowing for the granting of one-off awards — Deutsche Telekom's supervisory board proposes to eliminate a similar clause at the company's 2022 AGM. \* Excluding this one-off award opportunity, the remuneration arrangements with management already are one of the most competitive among European peers. \* Without downplaying the significance of these two transactions, these one-off awards have further misaligned executive pay with company performance in comparison with peers, including 2021's 3.69x multiple of median, while management's pay package is one of the most competitive among European peers, and Telefonica has been an underperforming stock since incumbent chair/CEO took on his position in April 2016.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		3,500,000	3,500,000
			03/28/2022	03/28/2022	_		
					Total Shares:	3,500,000	3,500,000

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	0.7%	3,213,114,539	99.3%	3,129,690	0.1%	18,167,899	0.6%	Poll	F+A+AB
1.2	Υ	Pass	No	For	0.6%	3,215,465,017	99.4%	2,308,311	0.1%	16,638,800	0.5%	Poll	F+A+AB
1.3	Υ	Pass	No	For	1.6%	3,183,708,495	98.4%	30,773,382	1.0%	19,930,251	0.6%	Poll	F+A+AB
2	Υ	Pass	No	For	1.0%	3,202,319,417	99.0%	15,540,639	0.5%	16,552,072	0.5%	Poll	F+A+AB
3	Υ	Pass	No	For	0.8%	3,207,048,660	99.2%	3,549,251	0.1%	23,814,217	0.7%	Poll	F+A+AB
4.1	Υ	Pass	No	For	3.9%	3,108,722,304	96.1%	80,259,670	2.5%	45,430,154	1.4%	Poll	F+A+AB
4.2	Υ	Pass	No	For	2.9%	3,141,120,768	97.1%	47,831,574	1.5%	45,459,786	1.4%	Poll	F+A+AB
4.3	Υ	Pass	No	For	11.2%	2,872,990,677	88.8%	339,287,842	10.5%	22,133,609	0.7%	Poll	F+A+AB
4.4	Υ	Pass	No	For	16.5%	2,699,492,991	83.5%	489,079,559	15.1%	45,839,578	1.4%	Poll	F+A+AB
4.5	Υ	Pass	No	For	2.2%	3,164,379,796	97.8%	24,672,481	0.8%	45,359,851	1.4%	Poll	F+A+AB
5	Y	Pass	No	For	0.9%	3,205,540,003	99.1%	10,119,834	0.3%	18,752,291	0.6%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
6	Υ	Pass	No	For	0.6%	3,214,300,166	99.4%	3,872,764	0.1%	16,239,198	0.5%	Poll	F+A+AB
7.1	Υ	Pass	No	For	1.0%	3,203,106,528	99.0%	20,503,619	0.6%	10,801,981	0.3%	Poll	F+A+AB
7.2	Υ	Pass	No	For	0.4%	3,220,618,644	99.6%	3,286,117	0.1%	10,507,367	0.3%	Poll	F+A+AB
8	Υ	Pass	No	For	1.1%	3,199,417,436	98.9%	16,529,836	0.5%	18,464,856	0.6%	Poll	F+A+AB
9	Υ	Pass	No	For	0.6%	3,214,302,257	99.4%	3,392,461	0.1%	16,717,410	0.5%	Poll	F+A+AB
10	Y	Pass	No	For	46.7%	1,723,151,319	53.3%	1,387,366,467	42.9%	123,894,342	3.8%	Poll	F+A+AB

# **UniCredit SpA**

Meeting Date: 04/08/2022 Country: Italy Ticker: UCG Proxy Level: N/A

Record Date: 03/30/2022 Meeting Type: Annual/Special Meeting ID: 1595712

Primary Security ID: T9T23L642 Primary CUSIP: T9T23L642 Primary ISIN: IT0005239360 Primary SEDOL: BYMXPS7

 Earliest Cutoff Date: 04/01/2022
 Total Ballots: 1
 Voting Policy: ISS
 Additional Policy:

 Votable Shares: 1,000,000
 \*Shares on Loan: 0
 Shares Instructed: 1,000,000
 Shares Voted: 1,000,000

	,,					,,	,			, ,		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary Business	Mgmt	No								
		Management Proposals	Mgmt	No								
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These items warrant account. * The proposed payout ratio is accept										
		Blended Rationale: These items warrant a vol The proposed payout ratio is acceptable. * The					•	y's account.	*			
2		Approve Allocation of Income	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These items warrant account. * The proposed payout ratio is accept										
		Blended Rationale: These items warrant a vot The proposed payout ratio is acceptable. * Th					•	y's account.	*		-	
3		Approve Elimination of Negative Reserves	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These items warrant account. * The proposed payout ratio is accept									-	
		Blended Rationale: These items warrant a vot The proposed payout ratio is acceptable. * Th					•	y's account.	*			
4		Authorize Share Repurchase Program	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These items warrant issued share capital is respected. * The holding proposed cancellation of treasury shares could	ng limit of up to	o 10 percent	of share ca	pital in treasu	ıry is respected	d. * The	9			
		Blended Rationale: These items warrant a vol share capital is respected. * The holding limit cancellation of treasury shares could potential	of up to 10 pe	rcent of sha	re capital in	treasury is re	espected. * The	-	ied		-	
		Appoint Internal Statutory Auditors (Slate Election) - Choose One of the Following Slates	Mgmt	No								
5.1		Slate 1 Submitted by Allianz Finance II Luxembourg Sarl	SH	Yes	None	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **UniCredit SpA**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5.2		Slate 2 Submitted by Institutional Investors (Assogestioni)	SH	Yes	None	Against		Against	Against	No	No	No
		Shareholder Proposals Submitted by Allianz Finance II Luxembourg Sarl	Mgmt	No								
6		Approve Internal Auditors' Remuneration	SH	Yes	None	For		For	For	No	No	No
		Management Proposals	Mgmt	No								
7		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A qualified FOR Item of package of the CEO, the excessive terms of the votes in 2021 on the severance payment policy is an improvement for the CEO in 2022 (where CEO remuneration was not performance based because: * Dilution is reasonable relative to machallenging; * The performance period is consisterm; * The board's remuneration committee Committee Central Policy Cen	e severance ag v. Furthermore, c CEO remuner, l). A vote FOR arket practice; idered sufficier comprises non-	rreement, and a support is to a support is a suppor	nd insufficient warranted by also perform rove 2022 G rmance cond eneficiaries a pard membe	nt responsive ecause the re mance based Group Incenti ditions are de and sharehold ars only, with	ness to high di emuneration p () compared to ive System) is l eemed sufficiel ders' interests a majority of l	issent to the olicy framew 2021 (when warranted on the long independents	vork			
		Blended Rationale: A qualified FOR Item 7 (App package of the CEO, the excessive terms of the votes in 2021 on the severance payment policy is an improvement for the CEO in 2022 (where CEO remuneration was not performance based because: * Dilution is reasonable relative to machallenging; * The performance period is consi term; * The board's remuneration committee of	e severance ag v. Furthermore, c CEO remunera l). A vote FOR arket practice; idered sufficier	reement, and, support is a sation will be Item 9 (Apport The perfort to align be	nd insufficien warranted b also perforn rove 2022 G rmance cond eneficiaries a	nt responsive ecause the ra mance based Group Incenti ditions are de and sharehold	ness to high di emuneration p () compared to ive System) is eemed sufficien ders' interests	issent to the olicy framew 2021 (when warranted on the long	vork			
8		Approve Second Section of the Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
9		Approve 2022 Group Incentive System	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A qualified FOR Item of package of the CEO, the excessive terms of the votes in 2021 on the severance payment policy is an improvement for the CEO in 2022 (where CEO remuneration was not performance based because: * Dilution is reasonable relative to me challenging; * The performance period is consisterm; * The board's remuneration committee of	e severance ag v. Furthermore, c CEO remunera l). A vote FOR arket practice; idered sufficier	rreement, and, support is a sation will be Item 9 (Apport The perfoot to align be	nd insufficient warranted b also perform rove 2022 G rmance cond eneficiaries a	nt responsive ecause the ra mance based Group Incenti ditions are de and sharehold	ness to high di emuneration p () compared to ive System) is eemed sufficien ders' interests	issent to the olicy framew 2021 (when warranted on the long	vork			
		Blended Rationale: A qualified FOR Item 7 (App package of the CEO, the excessive terms of the votes in 2021 on the severance payment policy is an improvement for the CEO in 2022 (where CEO remuneration was not performance based because: * Dilution is reasonable relative to me challenging; * The performance period is consi term; * The board's remuneration committee of	e severance ag	reement, and, support is a support is a stream of the support is a support is a support in the support is a support in the support is a support in the suppo	nd insufficient warranted by also perform rove 2022 G rmance cond eneficiaries a	nt responsive ecause the ra mance based Group Incenti ditions are de and sharehold	ness to high di emuneration p ) compared to ive System) is eemed sufficien ders' interests	issent to the olicy framew 2021 (when warranted on the long	vork			
10		Amend Group Incentive Systems	Mgmt	Yes	For	For		For	For	No	No	No
		Extraordinary Business	Mgmt	No								
1		Amend Company Bylaws Re: Clause 6	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Company Bylaws Re: Clauses 20, 29 and 30	Mgmt	Yes	For	For		For	For	No	No	No

# **UniCredit SpA**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Authorize Cancellation of Treasury Shares without Reduction of Share Capital	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These items warrant issued share capital is respected. * The hold proposed cancellation of treasury shares cou	ing limit of up to	10 percent	of share ca	pital in treasu	ıry is respected	d. * The	g			
		Blended Rationale: These items warrant a vo share capital is respected. * The holding limit cancellation of treasury shares could potentia	t of up to 10 pe	rcent of shar	e capital in	treasury is re	espected. * The	-	ued			
Α		Deliberations on Possible Legal Action Against Directors if Presented by Shareholders	Mgmt	Yes	None	Against		Against	Against	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		1,000,000	1,000,000
			03/29/2022	03/29/2022			
					Total Shares:	1,000,000	1,000,000

### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
	N												
1	Υ	Pass	No	For	1.1%	1,357,848,577	98.9%	831,961	0.1%	14,250,747	1.0%	Poll	F+A+AB
2	Y	Pass	No	For	0.3%	1,368,767,440	99.7%	2,326,037	0.2%	1,837,808	0.1%	Poll	F+A+AB
3	Υ	Pass	No	For	0.1%	1,370,356,806	99.9%	2	0.0%	1,859,277	0.1%	Poll	F+A+AB
4	Υ	Pass	No	For	0.7%	1,362,965,292	99.3%	7,473,328	0.5%	1,777,465	0.1%	Poll	F+A+AB
	N												
5.1	Υ	Pass	No	None	N/A	1,119,677,247	81.1%	N/A	N/A	N/A	N/A	Poll	F+A+AB
5.2	Υ	Pass	No	None	N/A	205,992,957	14.9%	N/A	N/A	N/A	N/A	Poll	F+A+AB
	N												
6	Υ	Pass	No	None	N/A	1,369,184,655	99.9%	20,656	0.0%	1,893,695	0.1%	Poll	F+A+AB
	N												
7	Υ	Pass	No	For	24.8%	1,030,953,203	75.2%	333,521,688	24.3%	6,456,613	0.5%	Poll	F+A+AB
8	Υ	Pass	No	For	N/A	1,178,796,253	N/A	158,936,649	N/A	33,198,602	N/A	Poll	F+A+AB
9	Υ	Pass	No	For	21.1%	1,081,434,337	78.9%	283,249,656	20.7%	5,532,311	0.4%	Poll	F+A+AB
10	Y	Pass	No	For	0.1%	1,368,324,954	99.9%	73	0.0%	1,891,277	0.1%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.1%	1,368,324,954	99.9%	73	0.0%	1,891,277	0.1%	Poll	F+A+AB
2	Υ	Pass	No	For	0.1%	1,368,264,364	99.9%	60,163	0.0%	1,891,277	0.1%	Poll	F+A+AB
3	Y	Pass	No	For	0.7%	1,359,829,323	99.2%	8,607,201	0.6%	1,779,780	0.1%	Poll	F+A+AB
Α	Y	Withdrawn	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB

# **Adecco Group AG**

Meeting Date: 04/13/2022

Country: Switzerland

Ticker: ADEN

Proxy Level: N/A

**Record Date:** 

Meeting Type: Annual

**Meeting ID:** 1601495

**Primary Security ID:** H00392318

Primary CUSIP: H00392318

**Primary ISIN:** CH0012138605

Primary SEDOL: 7110720

Earliest Cutoff Date: 04/05/2022

Total Ballots: 1

Voting Policy: ISS

Additional Policy:

Votable Shares: 300,000

\*Shares on Loan: 0

Shares Instructed: 300,000

Shares Voted: 300,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
1.2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Approve Allocation of Income and Dividends of CHF 1.25 per Share	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the alloca	tion of income r	esolutions a	re warrante	d.						
		Blended Rationale: Votes FOR the allocation	of income resolu	ıtions are wa	arranted.							
2.2		Approve Dividends of CHF 1.25 per Share from Capital Contribution Reserves	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the alloca	tion of income r	esolutions a	re warrante	d.						
		Blended Rationale: Votes FOR the allocation	of income resolu	ıtions are wa	arranted.							
3		Approve Discharge of Board and Senior Management	Mgmt	Yes	For	For		For	For	No	No	No
4.1		Approve Remuneration of Directors in the Amount of CHF 5.1 Million	Mgmt	Yes	For	For		For	For	No	No	No
4.2		Approve Remuneration of Executive Committee in the Amount of CHF 32 Million	Mgmt	Yes	For	For		For	For	No	No	No
5.1.1		Reelect Jean-Christophe Deslarzes as Director and Board Chair	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propo	sed board and o	committee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed	board and comn	nittee nomin	ees are war	ranted.						
5.1.2		Reelect Rachel Duan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propo	sed board and c	committee ne	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed	board and comn	nittee nomine	ees are war	ranted.						
5.1.3		Reelect Ariane Gorin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propo	sed board and o	committee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed	board and comn	nittee nomine	ees are war	ranted.						

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Adecco Group AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5.1.4		Reelect Alexander Gut as Director	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed bo	ard and comm	ittee nomine	ees are warra	anted.						
5.1.5		Reelect Didier Lamouche as Director	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed bo	ard and comm	ittee nomine	ees are warra	anted.						
5.1.6		Reelect David Prince as Director	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed bo	ard and comm	ittee nomine	ees are warra	anted.						
5.1.7		Reelect Kathleen Taylor as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed bo	ard and comm	ittee nomine	ees are warra	anted.						
5.1.8		Reelect Regula Wallimann as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed box	ard and comm	ittee nomine	ees are warra	anted.						
5.2.1		Reappoint Rachel Duan as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed box	ard and comm	ittee nomine	ees are warra	anted.						
5.2.2		Reappoint Didier Lamouche as Member of the Compensation Committee	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed box	ard and comm	ittee nomine	ees are warra	anted.						
5.2.3		Reappoint Kathleen Taylor as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed box	ard and comm	ittee nomine	ees are warra	anted.						
5.3		Designate Keller KLG as Independent Proxy	Mgmt	Yes	For	For		For	For	No	No	No
5.4		Ratify Ernst & Young AG as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
6		Approve CHF 142,438.80 Reduction in Share Capital as Part of the Share Buyback Program via Cancellation of Repurchased Shares	Mgmt	Yes	For	For		For	For	No	No	No
7		Approve Renewal of CHF 840,000 Pool of Authorized Capital with or without Exclusion of Preemptive Rights	Mgmt	Yes	For	For		For	For	No	No	No

# **Adecco Group AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS	
8		Transact Other Business (Voting)	Mamt	Yes	For	Against		Against	Against	Yes	Nο	Nο	_

Voting Policy Rationale: A vote AGAINST is warranted because: \* This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and \* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.

Blended Rationale: A vote AGAINST is warranted because: \* This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and \* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		300,000	300,000
			03/30/2022	03/30/2022			
					Total Shares:	300,000	300,000

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	0.2%	115,507,616	99.8%	56,189	0.0%	209,789	0.2%	Poll	F+A+AB
1.2	Υ	Pass	No	For	16.3%	96,864,395	83.7%	18,810,590	16.2%	98,609	0.1%	Poll	F+A+AB
2.1	Υ	Pass	No	For	0.2%	115,589,821	99.8%	163,398	0.1%	20,375	0.0%	Poll	F+A+AB
2.2	Υ	Pass	No	For	0.2%	115,591,992	99.8%	158,336	0.1%	23,266	0.0%	Poll	F+A+AB
3	Υ	Pass	No	For	5.4%	109,201,429	94.6%	198,685	0.2%	6,066,356	5.3%	Poll	F+A+AB
4.1	Υ	Pass	No	For	6.3%	108,262,579	93.7%	7,288,380	6.3%	222,635	N/A	Poll	F+A
4.2	Υ	Pass	No	For	13.4%	100,031,004	86.6%	15,517,686	13.4%	224,904	N/A	Poll	F+A
5.1.1	Υ	Pass	No	For	1.0%	114,583,457	99.0%	1,078,893	0.9%	111,244	0.1%	Poll	F+A+AB
5.1.2	Υ	Pass	No	For	14.1%	99,473,237	85.9%	16,176,821	14.0%	123,536	0.1%	Poll	F+A+AB
5.1.3	Υ	Pass	No	For	0.9%	114,733,344	99.1%	920,048	0.8%	120,202	0.1%	Poll	F+A+AB
5.1.4	Υ	Pass	No	For	17.8%	95,144,777	82.2%	20,517,255	17.7%	111,562	0.1%	Poll	F+A+AB
5.1.5	Υ	Pass	No	For	36.8%	73,189,094	63.2%	42,468,353	36.7%	116,147	0.1%	Poll	F+A+AB
5.1.6	Υ	Pass	No	For	22.1%	90,163,901	77.9%	25,472,989	22.0%	136,704	0.1%	Poll	F+A+AB
5.1.7	Υ	Pass	No	For	15.2%	98,162,709	84.8%	17,486,152	15.1%	124,733	0.1%	Poll	F+A+AB
5.1.8	Υ	Pass	No	For	1.1%	114,533,904	98.9%	1,119,506	1.0%	120,184	0.1%	Poll	F+A+AB
5.2.1	Υ	Pass	No	For	14.2%	99,337,034	85.8%	16,314,061	14.1%	122,499	0.1%	Poll	F+A+AB
5.2.2	Υ	Pass	No	For	36.9%	73,054,516	63.1%	42,595,973	36.8%	123,105	0.1%	Poll	F+A+AB
5.2.3	Υ	Pass	No	For	15.4%	97,952,976	84.6%	17,649,298	15.2%	171,320	0.1%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
5.3	Υ	Pass	No	For	0.0%	115,737,602	100.0%	12,287	0.0%	23,705	0.0%	Poll	F+A+AB
5.4	Υ	Pass	No	For	8.7%	105,654,532	91.3%	10,077,821	8.7%	41,241	0.0%	Poll	F+A+AB
6	Υ	Pass	No	For	0.1%	115,684,069	99.9%	49,116	0.0%	40,409	0.0%	Poll	F+A+AB
7	Υ	Pass	No	For	15.1%	98,296,220	84.9%	17,429,288	15.1%	48,086	0.0%	Poll	F+A+AB
8	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A

# **Royal KPN NV**

Meeting Date: 04/13/2022

Country: Netherlands

Ticker: KPN

Proxy Level: N/A

Record Date: 03/16/2022

Meeting Type: Annual

**Meeting ID:** 1596065

Primary SEDOL: 5956078

**Primary Security ID:** N4297B146

Primary CUSIP: N4297B146

Voting Policy: ISS

Additional Policy:

**Earliest Cutoff Date:** 04/05/2022 **Votable Shares:** 9,000,000

Total Ballots: 1
\*Shares on Loan: 0

Shares Instructed: 9,000,000

**Primary ISIN:** NL0000009082

**Shares Voted:** 9,000,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Annual Meeting Agenda	Mgmt	No								
1		Open Meeting and Announcements	Mgmt	No								
2		Receive Report of Management Board (Non-Voting)	Mgmt	No								
3		Adopt Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
5		Receive Explanation on Company's Reserves and Dividend Policy	Mgmt	No								
6		Approve Dividends	Mgmt	Yes	For	For		For	For	No	No	No
7		Approve Discharge of Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR is warrant controversies that the management board and					-	nd compelling	7			
		Blended Rationale: A vote FOR is warranted be controversies that the management board and			•	_		mpelling				
8		Approve Discharge of Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR is warrant controversies that the management board and					-	nd compelling	7			
		Blended Rationale: A vote FOR is warranted be controversies that the management board and			,			mpelling				
9		Ratify Ernst & Young Accountants LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
10		Opportunity to Make Recommendations	Mgmt	No								

# **Royal KPN NV**

-	ignificant ote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11		Elect Kitty Koelemeijer to Sup Board	ervisory	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vot exceeding four years;* The ca known controversy concerning	andidates appear							is no		-	
		Blended Rationale: A vote FOR four years;* The candidates a controversy concerning the care	ppear to possess					•		-			
12		Elect Chantal Vergouw to Sup Board	ervisory	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vot exceeding four years;* The ca known controversy concerning	andidates appear					,		s no		-	
		Blended Rationale: A vote FOR four years;* The candidates a controversy concerning the care	ppear to possess					,		_			
13		Announce Vacancies on the E	Soard	Mgmt	No								
14		Discussion of Supervisory Boo	ard Profile	Mgmt	No								
15		Authorize Repurchase of Up t Percent of Issued Share Capit		Mgmt	Yes	For	For		For	For	No	No	No
16		Approve Reduction in Share O through Cancellation of Share		Mgmt	Yes	For	For		For	For	No	No	No
17		Grant Board Authority to Issu Up to 10 Percent of Issued Co		Mgmt	Yes	For	For		For	For	No	No	No
18		Authorize Board to Exclude P Rights from Share Issuances	reemptive	Mgmt	Yes	For	For		For	For	No	No	No
19		Other Business (Non-Voting)		Mgmt	No								
20		Close Meeting		Mgmt	No								
<b>Ballot Details</b>	;												
Institutional Acc (IA Name, IA No		Custodian Account Number	Ballot Status	Instructe	d	Approv	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
RTRF, RTRF		392078	Confirmed	jbisset		jbisset		Issuer Confirm	ed	9,000,	000	9,000	),000

(IA Name, IA Number)	Account Number	Ballot Status	Instructed	Approved	<b>Ballot Voting Status</b>	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset	Issuer Confirmed	9,000,000	9,000,000
			03/28/2022	03/28/2022	_		
					Total Shares:	9,000,000	9,000,000

### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	N												
2	N												
3	Υ	Pass	No	For	0.0%	3,141,663,372	100.0%	19,393	0.0%	966,797	N/A	Poll	F+A
4	Υ	Pass	No	For	2.6%	3,021,301,717	97.4%	79,191,044	2.6%	42,156,553	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Туре	Base
5	N												
6	Υ	Pass	No	For	0.2%	3,134,952,121	99.8%	7,573,628	0.2%	123,565	N/A	Poll	F+A
7	Υ	Pass	No	For	3.2%	3,040,033,156	96.8%	100,329,588	3.2%	2,286,570	N/A	Poll	F+A
8	Υ	Pass	No	For	3.2%	3,037,780,994	96.8%	100,329,705	3.2%	4,538,615	N/A	Poll	F+A
9	Υ	Pass	No	For	0.2%	3,130,484,760	99.8%	5,576,787	0.2%	6,587,767	N/A	Poll	F+A
10	N												
11	Υ	Pass	No	For	2.3%	3,057,187,500	97.7%	72,759,704	2.3%	12,702,110	N/A	Poll	F+A
12	Υ	Pass	No	For	0.4%	3,118,547,820	99.6%	11,398,702	0.4%	12,702,792	N/A	Poll	F+A
13	N												
14	N												
15	Υ	Pass	No	For	0.2%	3,136,869,169	99.8%	4,975,847	0.2%	804,298	N/A	Poll	F+A
16	Υ	Pass	No	For	0.0%	3,142,013,113	100.0%	513,104	0.0%	123,097	N/A	Poll	F+A
17	Υ	Pass	No	For	1.2%	3,105,622,068	98.8%	36,890,723	1.2%	136,523	N/A	Poll	F+A
18	Υ	Pass	No	For	1.5%	3,094,749,763	98.5%	47,759,154	1.5%	140,397	N/A	Poll	F+A
19	N												
20	N												

(Non-Voting)

Approve Remuneration Report

Roya	Royal Vopak NV														
Meeting Date: 04/20/2022			Country: Netherlands				PK			Proxy Level	Proxy Level: N/A				
<b>Record Date:</b> 03/23/2022			Meeting Type: Annual	Meeting	<b>ID:</b> 1603410	)									
Primary Security ID: N5075T159			Primary CUSIP: N5075T159				ISIN: NL000	09432491		Primary SEI	<b>&gt;OL:</b> 58094	28			
Earliest Cutoff Date: 04/12/2022			Total Ballots: 1	Voting Po	licy: ISS			Additional Policy:							
Votable Shares: 400,000			*Shares on Loan: 0	Shares In	structed: 400	,000		Shares Voted: 400,000							
Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
		Annual Meeting Age	nda	Mgmt	No										
1		Open Meeting		Mgmt	No										
2		Receive Report of Ma	anagement Board	Mgmt	No										

For

Against

Against

For

Nο

Yes

Yes

Voting Policy Rationale: A vote AGAINST is warranted because: \* There is a lack of concrete disclosure on 'board effectiveness' and 'cost' as a KPI; and \* There is no ex-post disclosure on performance targets or further information on the assessment of performance and according payouts. \* The company did not provide any feedback or how it addressed last year's vote dissent, whereas this is required under the SRD II reporting framework.

Yes

Mgmt

Blended Rationale: A vote AGAINST is warranted because: \* There is a lack of concrete disclosure on 'board effectiveness' and 'cost' as a KPI; and \* There is no ex-post disclosure on performance targets or further information on the assessment of performance and according payouts. \* The company did not provide any feedback or how it addressed last year's vote dissent, whereas this is required under the SRD II reporting framework.

Adopt Financial Statements and Mgmt Yes For For For For No No No Statutory Reports

# **Royal Vopak NV**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
5.a		Receive Explanation on Company's Reserves and Dividend Policy	Mgmt	No									
5.b		Approve Dividends	Mgmt	Yes	For	For		For	For	No	No	No	
6		Approve Discharge of Management Board	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.											
		Blended Rationale: A vote FOR is warranted be controversies that the management board and,			•	-		mpelling					
7		Approve Discharge of Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR is warrante controversies that the management board and,			-		-	d compelling	7				
		Blended Rationale: A vote FOR is warranted be controversies that the management board and,			-	_		mpelling					
8		Reelect F. Eulderink to Executive Board	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR this election years; * The candidate appears to possess the controversy concerning the candidate.						-	our				
		Blended Rationale: A vote FOR this election is a years; * The candidate appears to possess the controversy concerning the candidate.											
9		Elect M.E.G. Gilsing to Executive Board	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR this election years; * The candidate appears to possess the controversy concerning the candidate.						_	our				
		Blended Rationale: A vote FOR this election is a years; * The candidate appears to possess the controversy concerning the candidate.						-					
10		Reelect L.J.I. Foufopoulos - De Ridder to Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these elect exceeding four years; * The candidates appear no known controversy concerning the candidat	to possess the						s				
		Blended Rationale: A vote FOR these elections four years; * The candidates appear to possess controversy concerning the candidates							-				
11		Reelect B. van der Veer to Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these elect exceeding four years; * The candidates appear no known controversy concerning the candidate	to possess the				-		s				
		Blended Rationale: A vote FOR these elections four years; * The candidates appear to possess controversy concerning the candidates							-				
12		Reelect M.F. Groot to Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these elect exceeding four years; * The candidates appear no known controversy concerning the candidat	to possess the						S				
		Blended Rationale: A vote FOR these elections four years; * The candidates appear to possess controversy concerning the candidates					-		-				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Royal Vopak NV**

Proposal Number	Significant Vote	Proposa	l Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
13	13		Approve Remuneration Policy of Supervisory Board		Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST is warranted because concerns exist around the new governance of setting supervisory board fees. Although the proposed change would give the supervisory board a certain level of flexibility to set supervisory board fees and not necessarily lead to excessive pay, given that this proposal would mean shareholders no longer set the supervisory board fees and the absence of a compelling justification, support cannot be warranted.												
	Blended Rationale: A vote AGAINST is warranted because concerns exist around the new governance of setting supervisory board fees. Although the proposed change would give the supervisory board a certain level of flexibility to set supervisory board fees and not necessarily lead to excessive pay, given that this proposal would mean shareholders no longer set the supervisory board fees and the absence of a compelling justification, support cannot be warranted.													
14			e Repurchase of Up to of Issued Share Capital		Mgmt	Yes	For	For		For	For	No	No	No
15		Ratify D Auditors	eloitte Accountants B.V	. as	Mgmt	Yes	For	For		For	For	No	No	No
16		Other B	usiness (Non-Voting)		Mgmt	No								
17		Close Me	eeting		Mgmt	No								
Ballot Deta	ils													
	stitutional Account Detail Custodian A Name, IA Number) Account Number			Ballot Status	Instructed	I	Approve	d	Ballot Voting	Status	Votable S	hares	Shares '	Voted
RTRF, RTRF			392078	Confirmed	jbisset		jbisset				400,00	00	400,	000
					04/11/2022		04/11/20	22						

**Total Shares:** 

400,000

400,000

### **Meeting Results**

Outstanding Shares Voted: 125,348,570

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	N												
2	N												
3	Υ	Pass	No	For	28.8%	69,238,514	71.2%	28,047,540	28.8%	296,897	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	96,259,222	100.0%	1,561	0.0%	1,323,168	N/A	Poll	F+A
5.a	N												
5.b	Υ	Pass	No	For	0.2%	96,767,016	99.8%	201,044	0.2%	614,891	N/A	Poll	F+A
6	Υ	Pass	No	For	0.2%	96,044,744	99.8%	170,480	0.2%	1,368,727	N/A	Poll	F+A
7	Υ	Pass	No	For	0.2%	96,014,051	99.8%	200,173	0.2%	1,368,727	N/A	Poll	F+A
8	Υ	Pass	No	For	0.0%	97,466,366	100.0%	9,286	0.0%	107,299	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	97,466,366	100.0%	9,286	0.0%	107,299	N/A	Poll	F+A
10	Υ	Pass	No	For	3.5%	94,049,084	96.5%	3,425,988	3.5%	107,879	N/A	Poll	F+A
11	Υ	Pass	No	For	0.0%	97,459,238	100.0%	16,384	0.0%	107,329	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
12	Υ	Pass	No	For	7.0%	90,768,864	93.0%	6,802,029	7.0%	12,058	N/A	Poll	F+A
13	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
14	Υ	Pass	No	For	0.0%	97,503,306	100.0%	43,032	0.0%	36,613	N/A	Poll	F+A
15	Υ	Pass	No	For	0.0%	97,557,294	100.0%	13,394	0.0%	12,263	N/A	Poll	F+A
16	N												
17	N												

### **Vivendi SE**

Votable Shares: 489,181

Meeting Date: 04/25/2022

Country: France

Ticker: VIV

Proxy Level: N/A

**Record Date:** 04/21/2022 **Primary Security ID:** F97982106

Meeting Type: Annual/Special Primary CUSIP: F97982106 Meeting ID: 1621360
Primary ISIN: FR0000127771

Primary SEDOL: 4834777

Earliest Cutoff Date: 04/19/2022

Total Ballots: 1
\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 489,181

Additional Policy: Shares Voted: 489,181

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
		Ordinary Business	Mgmt	No									
1		Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.											
		Blended Rationale: Votes FOR the approval lack of concerns.	of the annual acc	counts are w	arranted du	e to the unqu	ualified auditor.	s' opinion ar	nd				
2		Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: Votes FOR the app and lack of concerns.	roval of the annua	al accounts a	re warrante	d due to the	unqualified au	ditors' opinio	on				
		Blended Rationale: Votes FOR the approval lack of concerns.	of the annual acc	counts are w	arranted du	e to the unqu	ualified auditor.	s' opinion ar	nd				
3		Approve Auditors' Special Report on Related-Party Transactions	Mgmt	Yes	For	For		For	For	No	No	No	
4		Approve Allocation of Income and Dividends of EUR 0.25 per Share	Mgmt	Yes	For	For		For	For	No	No	No	
5		Approve Compensation Report of Corporate Officers	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes	

Voting Policy Rationale: A vote AGAINST the global remuneration report is warranted because: \* Vincent Bollore remains a censor maintaining an employment contract as advisor of the management board chairman, including a remuneration package equivalent to management board members; and \* The board's reactivity to shareholders' dissent is deemed too limited on that matter.

Blended Rationale: A vote AGAINST the global remuneration report is warranted because: \* Vincent Bollore remains a censor maintaining an employment contract as advisor of the management board chairman, including a remuneration package equivalent to management board members; and \* The board's reactivity to shareholders' dissent is deemed too limited on that matter.

#### **Vivendi SE**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6		Approve Compensation of Yannick Bollore, Chairman of the Supervisory Board	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this remuneration report is warranted. Yannick Bollore is considered as an executive of the group by ISS due to the level and structure of his remuneration, which are comparable to those of Vivendi's management board members. His remuneration report falls short of good market practice because notably as: * Information on his short-term variable remuneration as chairman and CEO of Havas is very limited; * One of the one-off awards lacks rationale; and * Despite not being granted performance shares for the year under review, Mr. Bollore received an exceptional payment considered a replacement for the LTIP, the conditions of which appear advantageous for the corporate officers.										
		Blended Rationale: A vote AGAINST this remuneration report is warranted. Yannick Bollore is considered as an executive of the group by ISS due to the level and structure of his remuneration, which are comparable to those of Vivendi's management board members. His remuneration report falls short of good market practice because notably as: * Information on his short-term variable remuneration as chairman and CEO of Havas is very limited; * One of the one-off awards lacks rationale; and * Despite not being granted performance shares for the year under review, Mr. Bollore received an exceptional payment considered a replacement for the LTIP, the conditions of which appear advantageous for the corporate officers.										
7		Approve Compensation of Arnaud de Puyfontaine, Chairman of the Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: *										

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Management Board Member

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	:
8		Approve Compensation of Gilles Alix.	Mamt	Yes	For	For		For	For	No	No	No	_

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Approve Compensation of Cedric de Mgmt Yes For Against Against For No Yes Yes Bailliencourt, Management Board
Member

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

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Crepin, Management Board Member

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
10		Approve Compensation of Frederic	Mamt	Yes	For	For		For	For	No	No	No	

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi:

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Approve Compensation of Simon Mgmt Yes For For For For No No No Gillham, Management Board Member

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

\*Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
12		Approve Compensation of Herve Philippe, Management Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remusions of the level of details regarding the achievement of the financial performance targets raises quesadvantageous for the corporate officers. The machievement improved, * The one-off awards in Bailliencourt) is warranted because: * The shareholder of Vivendi, creates an important corpocedures nor likely to be balanced out by the in another listed group, the company failed to the remuneration paid by Vivendi;	are warranted on some of the stions; and * 1 nain reasons for remain well being remunerations on flict of interest remunerations on flict of interest remunerations.	(Items 7-8, e non-finance The one-off re or support are flow the cap ve to that he rece	10-13) altho ial bonus cri emuneration e: * The tran voted in the eives as exe e neither pro- ceives at Viv	ough the follo iteria is limite in awarded in insparency on policy. A voti cutives of the operly tackled rendi; and * (	wing concerns d; * The level place of the L the bonus pe e AGAINST Ite Bollore Group by the compa	s are raised: of stringenc TIP appears rformance om 9 (Cedric o, main any's utive function	y de ns			
		Blended Rationale: Votes FOR these remunerate Gillham, Herve Philippe and Stephane Roussel of the level of details regarding the achievement of the financial performance targets raises quest advantageous for the corporate officers. The machievement improved, * The one-off awards in Bailliencourt) is warranted because: * The shareholder of Vivendi, creates an important corporate out by the in another listed group, the company failed to the remuneration paid by Vivendi;	are warranted on some of the stions; and * 1 and reasons for remain well being remunerations on flict of interestions on the seremuneration.	(Items 7-8, e non-finance The one-off re or support are flow the cap ve to that he rece	10-13) altho ial bonus cri emuneration e: * The tran voted in the eives as exe e neither pro reives at Viv	ough the follo iteria is limite in awarded in insparency on policy. A voti cutives of the operly tackled rendi; and * (	wing concerns d; * The level place of the L the bonus pel e AGAINST Ite Bollore Group by the compa	are raised: of stringenc TIP appears rformance om 9 (Cedric o, main any's utive function	y de ns			
13		Approve Compensation of Stephane Roussel, Management Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remures Gillham, Herve Philippe and Stephane Roussel of the level of details regarding the achievement of the financial performance targets raises questive advantageous for the corporate officers. The machievement improved, * The one-off awards in Bailliencourt) is warranted because: * The shareholder of Vivendi, creates an important corporate out by the in another listed group, the company failed to the remuneration paid by Vivendi;	are warranted on some of the stions; and * 1 and reasons for remain well being remunerations on flict of interestions on flict of interestions.	(Items 7-8, e non-finance The one-off re or support are flow the cap ve to that he rece to that he rece to that he rece	10-13) altho ial bonus cri emuneration e: * The tran voted in the eives as exe e neither pro reives at Viv	ough the follo iteria is limite in awarded in insparency on policy. A voti cutives of the operly tackled rendi; and * (	wing concerns d; * The level place of the L the bonus pel e AGAINST Ite Bollore Group by the compa	are raised: of stringenc TIP appears rformance om 9 (Cedric o, main any's utive function	y de ns			
		Blended Rationale: Votes FOR these remuneral Gillham, Herve Philippe and Stephane Roussel The level of details regarding the achievement of the financial performance targets raises que advantageous for the corporate officers. The machievement improved, * The one-off awards is Bailliencourt) is warranted because: * The shareholder of Vivendi, creates an important corpocedures nor likely to be balanced out by the in another listed group, the company failed to the remuneration paid by Vivendi;	are warranted on some of the stions; and * 1 and reasons for the semain well being remunerations on flict of interestions are remunerations.	(Items 7-8, e non-finance The one-off r or support are flow the cap v or that he rece est. These are or that he rece	10-13) altho ial bonus cri emuneration e: * The tran voted in the eives as exe e neither pro reives at Viv	ough the follo iteria is limite in awarded in insparency on policy. A voti cutives of the operly tackled rendi; and * (	wing concerns d; * The level place of the L the bonus pe e AGAINST Ite Bollore Group thy the compa	are raised: of stringenc TIP appears rformance om 9 (Cedric o, main any's utive function	y de ns			
14		Approve Remuneration Policy of Supervisory Board Members and Chairman	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this re the remuneration of the chairman of the super increase of the Chairman's remuneration with I non-executive members of the supervisory boa	visory board, v imited rational	vho is also al	n executive	of a subsidiai	The lack of trai ry; * The prop performance sh	osed 15%	7			
		Blended Rationale: A vote AGAINST this remun remuneration of the chairman of the supervisor of the Chairman's remuneration with limited ra- members of the supervisory board.	ry board, who	is also an ex	ecutive of a	subsidiary; 3	ack of transpal * The proposed ace shares to r	d 15% increa	ase			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
15		Approve Remuneration Policy of Chairman of the Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the remun as it does not raise significant concerns. A vote warranted although the following concern is ra Bollore Group, main shareholder of Vivendi, con company's procedures The main reasons for su compared to last year; and * The new LTIP str	e FOR the rem nised: * The rem eates an impol upport are: * T	uneration po munerations rtant conflict The level of t	licy of mana that Mr. de of interest, transparency	gement boar Bailliencourt which is not on variable	rd members (it receives as ex properly tackle remuneration	tem 16) is xecutives of ed by the	the		-	
		Blended Rationale: A vote FOR the remuneration does not raise significant concerns. A vote FOR although the following concern is raised: * The Group, main shareholder of Vivendi, creates an procedures The main reasons for support are: to last year; and * The new LTIP structure excepts.	R the remunera e remuneration n important col * The level of	ation policy on that Mr. de nflict of inter transparency	of managem e Bailliencou rest, which is y on variable	ent board me ort receives a ort properl ore remuneration	embers (item 1 s executives of y tackled by th	16) is warran f the Bollore ne company's	nted S		-	
16		Approve Remuneration Policy of Management Board Members	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the remun as it does not raise significant concerns. A vote warranted although the following concern is ra Bollore Group, main shareholder of Vivendi, con company's procedures The main reasons for su compared to last year; and * The new LTIP str	e FOR the rem nised: * The rem eates an impon upport are: * T	uneration po munerations rtant conflict The level of t	licy of mana that Mr. de of interest, ransparency	gement boai Bailliencourt which is not on variable	rd members (in treceives as ex properly tackle remuneration :	tem 16) is xecutives of ed by the	the		_	
		Blended Rationale: A vote FOR the remuneration does not raise significant concerns. A vote FOR although the following concern is raised: * The Group, main shareholder of Vivendi, creates an procedures The main reasons for support are: to last year; and * The new LTIP structure excepts.	R the remunera e remuneration in important col * The level of	ation policy on that Mr. de nflict of inter transparency	of managem e Bailliencou rest, which is y on variable	ent board me ert receives a s not properl e remuneration	embers (item i s executives of y tackled by th	16) is warran f the Bollore ne company's	nted S		-	
17		Reelect Philippe Benacin as Supervisory Board Member	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST the re concerns regarding the prevention of conflicts independent nominees are warranted in the ab	of interests in	the company	v (item 17).	Votes FOR ti		-				
		Blended Rationale: A vote AGAINST the reelect regarding the prevention of conflicts of interest nominees are warranted in the absence of spe	ts in the comp	any (item 17	7). Votes FO		-				-	
18		Reelect Cathia Lawson-Hall as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote AGAINST the re concerns regarding the prevention of conflicts independent nominees are warranted in the ab	of interests in	the company	v (item 17).	Votes FOR ti		-				
		Blended Rationale: A vote AGAINST the reelect regarding the prevention of conflicts of interest nominees are warranted in the absence of spe	ts in the comp	any (item 17	7). Votes FO		•					
19		Reelect Michele Reiser as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote AGAINST the re concerns regarding the prevention of conflicts independent nominees are warranted in the ab	of interests in	the company	v (item 17).	Votes FOR to		-				
		Blended Rationale: A vote AGAINST the reelect regarding the prevention of conflicts of interest nominees are warranted in the absence of spe	ts in the comp	any (item 17	7). Votes FO						-	

Proposal Number	Significant Vote	Proposal Text	Proponen	Votable t Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
20		Reelect Katie Stanton as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote AGAIN concerns regarding the prevention of independent nominees are warranted	conflicts of interests	in the compan	y (item 17).	Votes FOR th		-				
		Blended Rationale: A vote AGAINST the regarding the prevention of conflicts of nominees are warranted in the absence.	of interests in the con	npany (item 1)	7). Votes FOR							
21		Reelect Maud Fontenoy as Supervisor Board Member	y Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote AGAIN concerns regarding the prevention of independent nominees are warranted	conflicts of interests	in the compan	y (item 17).	Votes FOR th		-				
		Blended Rationale: A vote AGAINST the regarding the prevention of conflicts of nominees are warranted in the absence	of interests in the con	npany (item 1)	7). Votes FOR							
22		Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No
		Extraordinary Business	Mgmt	No								
23		Authorize Decrease in Share Capital via Cancellation of Repurchased Shares	Mgmt	Yes	For	For		For	For	No	No	No
24		Authorize Specific Buyback Program and Cancellation of Repurchased Shar	Mgmt re	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAIN. buyback program is in shareholders' in		arranted beca	use the comp	pany failed to	o demonstrate	that this				
		Blended Rationale: A vote AGAINST the program is in shareholders' interests.	nis proposal is warrar	nted because t	he company i	failed to den	monstrate that	this buyback	<b>.</b>			
25		Authorize Capital Issuances for Use in Employee Stock Purchase Plans	n Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the	ese items are warran	ted as the pro	posed author	rizations do r	not raise conce	rns.				
		Blended Rationale: Votes FOR these it	ems are warranted a	s the proposed	d authorizatio	ons do not ra	aise concerns.					
26		Authorize Capital Issuances for Use in Employee Stock Purchase Plans for Employees of International Subsidiaries	n Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the	ese items are warran	ted as the pro	posed author	rizations do r	not raise conce	rns.				
		Blended Rationale: Votes FOR these it	ems are warranted a	s the proposed	d authorizatio	ons do not ra	aise concerns.					
27		Authorize Filing of Required Documents/Other Formalities	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails											
Institutional (IA Name, I	l Account Detail A Number)	Custodian Account Number Ballo	t Status Instruc	ted	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
RTRF, RTRF		392078 Confir	med jbisset		jbisset				489,1	31	489,	181
			04/11/2	022	04/11/202	22						
								Total Shares:	489,1	81	489,1	181

### **Meeting Results**

Outstanding Shares Voted:

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.0%	767,205,447	100.0%	210,677	0.0%	42,896	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	767,176,965	100.0%	211,396	0.0%	44,721	N/A	Poll	F+A
3	Υ	Pass	No	For	1.4%	416,224,039	98.6%	5,869,930	1.4%	4,436,890	N/A	Poll	F+A
4	Υ	Pass	No	For	0.1%	766,483,196	99.9%	913,984	0.1%	23,841	N/A	Poll	F+A
5	Υ	Pass	No	For	41.0%	453,085,228	59.0%	314,260,047	41.0%	83,435	N/A	Poll	F+A
6	Υ	Pass	No	For	37.3%	481,010,383	62.7%	286,104,084	37.3%	312,355	N/A	Poll	F+A
7	Υ	Pass	No	For	22.0%	595,863,135	78.0%	167,888,789	22.0%	3,705,761	N/A	Poll	F+A
8	Υ	Pass	No	For	11.3%	677,337,642	88.7%	86,409,464	11.3%	3,712,316	N/A	Poll	F+A
9	Υ	Pass	No	For	33.3%	511,771,505	66.7%	255,361,197	33.3%	326,981	N/A	Poll	F+A
10	Υ	Pass	No	For	11.3%	680,757,951	88.7%	86,370,316	11.3%	327,196	N/A	Poll	F+A
11	Υ	Pass	No	For	11.3%	680,757,133	88.7%	86,375,303	11.3%	323,846	N/A	Poll	F+A
12	Υ	Pass	No	For	11.3%	680,723,138	88.7%	86,373,721	11.3%	359,482	N/A	Poll	F+A
13	Υ	Pass	No	For	11.3%	680,723,548	88.7%	86,372,561	11.3%	358,612	N/A	Poll	F+A
14	Υ	Pass	No	For	34.8%	499,785,352	65.2%	267,263,945	34.8%	406,259	N/A	Poll	F+A
15	Υ	Pass	No	For	2.8%	745,887,120	97.2%	21,213,504	2.8%	354,232	N/A	Poll	F+A
16	Υ	Pass	No	For	3.7%	738,543,819	96.3%	28,549,176	3.7%	361,348	N/A	Poll	F+A
17	Υ	Pass	No	For	33.9%	504,641,801	66.1%	258,316,902	33.9%	4,491,848	N/A	Poll	F+A
18	Υ	Pass	No	For	0.3%	758,747,346	99.7%	2,157,758	0.3%	6,547,865	N/A	Poll	F+A
19	Υ	Pass	No	For	0.3%	758,516,036	99.7%	2,382,668	0.3%	6,553,180	N/A	Poll	F+A
20	Υ	Pass	No	For	0.3%	758,764,554	99.7%	2,137,025	0.3%	6,549,354	N/A	Poll	F+A
21	Υ	Pass	No	For	1.3%	750,804,386	98.7%	10,106,762	1.3%	6,541,449	N/A	Poll	F+A
22	Υ	Pass	No	For	0.2%	765,493,338	99.8%	1,910,064	0.2%	46,361	N/A	Poll	F+A
	N												
23	Υ	Pass	No	For	0.1%	766,962,982	99.9%	424,344	0.1%	36,662	N/A	Poll	F+A
24	Υ	Pass	No	For	28.8%	542,885,120	71.2%	219,356,176	28.8%	5,181,621	N/A	Poll	F+A
25	Υ	Pass	No	For	0.4%	764,080,743	99.6%	3,277,035	0.4%	66,575	N/A	Poll	F+A
26	Υ	Pass	No	For	0.6%	763,128,297	99.4%	4,241,879	0.6%	50,421	N/A	Poll	F+A
27	Υ	Pass	No	For	0.0%	767,335,754	100.0%	51,088	0.0%	29,681	N/A	Poll	F+A

Meeting Date: 04/26/2022 Country: France Ticker: BN Proxy Level: N/A

 Record Date: 04/22/2022
 Meeting Type: Annual/Special
 Meeting ID: 1613099

 Primary Security ID: F12033134
 Primary CUSIP: F12033134
 Primary ISIN: FR0000120644
 Primary SEDOL: B1Y9TB3

Earliest Cutoff Date: 04/20/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 65,800

\*Shares on Loan: 0

Shares Instructed: 65,800

Shares Voted: 65,800

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary Business	Mgmt	No								
1		Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approva and lack of concerns.	al of the annua	ol accounts a	re warranted	d due to the	unqualified au	ditors' opinio	on			
		Blended Rationale: Votes FOR the approval of lack of concerns.	the annual acc	ounts are w	arranted due	e to the unqu	alified auditor:	s' opinion an	d			
2		Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approva and lack of concerns.	al of the annua	nl accounts a	re warranted	d due to the	unqualified au	ditors' opinio	n			
		Blended Rationale: Votes FOR the approval of black of concerns.	the annual acc	ounts are w	arranted due	e to the unqu	alified auditors	s' opinion an	d			
3		Approve Allocation of Income and Dividends of EUR 1.94 per Share	Mgmt	Yes	For	For		For	For	No	No	No
4		Ratify Appointment of Valerie Chapoulaud-Floquet as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns ( warranted in the absence of concerns. (Item 5,	Items 4 and 6			-						
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).				•			in			
5		Elect Antoine de Saint-Afrique as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns ( warranted in the absence of concerns. (Item 5,	Items 4 and 6			•						
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).				-			in			
6		Elect Patrice Louvet as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns ( warranted in the absence of concerns. (Item 5,	Items 4 and 6			•						
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).				•			in			
7		Elect Geraldine Picaud as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns ( warranted in the absence of concerns. (Item 5,	Items 4 and 6			-						
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).				•			in			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8		Elect Susan Roberts as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns warranted in the absence of concerns. (Item 5)	(Items 4 and 6									
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).	, ,			,			l in			
9		Renew Appointment of Ernst & Young Audit as Auditor	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR are warrant	ted because th	ere are no c	oncerns reg	arding these	proposals.					
		Blended Rationale: Votes FOR are warranted b	ecause there a	nre no conce	rns regardin	g these prop	osals.					
10		Appoint Mazars & Associes as Auditor	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR are warrant	ted because th	ere are no c	oncerns reg	arding these	proposals.					
		Blended Rationale: Votes FOR are warranted b	ecause there a	re no conce	rns regardin	g these prop	osals.					
11		Approve Transaction with Veronique Penchienati-Bosetta	Mgmt	Yes	For	For		For	For	No	No	No
12		Approve Compensation Report of Corporate Officers	Mgmt	Yes	For	For		For	For	No	No	No
13		Approve Compensation of Veronique Penchienati-Bosseta, CEO From March 14 to September 14, 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Compensations of Vero	•		•		,	,	ns			

Voting Policy Rationale: Compensations of Veronique Penchienati-Bosseta, Interim CEO from March 14 until Sep. 14, 2021 (Item 13) A vote FOR this remuneration report is warranted because it does not raise any significant concern. Compensations of Shane Grant, Interim vice-CEO from March 14 until Sep. 14, 2021 (Item 14) A vote FOR this remuneration report is warranted, although the following concerns are raised: \* The base salary, the annual variable compensation and the LTI are allocated under the vice-CEO's employment so the company considers them as not submitted to shareholders' approval. \* The LTI grant in units does not provide the weighting of the attached performance criteria. The main reasons for support are: \* The good disclosure on the elements of remuneration granted under their mandate. \* The interim nature of the function, and \* The absence of any other significant concern.

Blended Rationale: Compensations of Veronique Penchienati-Bosseta, Interim CEO from March 14 until Sep. 14, 2021 (Item 13) A vote FOR this remuneration report is warranted because it does not raise any significant concern. Compensations of Shane Grant, Interim vice-CEO from March 14 until Sep. 14, 2021 (Item 14) A vote FOR this remuneration report is warranted, although the following concerns are raised: \* The base salary, the annual variable compensation and the LTI are allocated under the vice-CEO's employment so the company considers them as not submitted to shareholders' approval. \* The LTI grant in units does not provide the weighting of the attached performance criteria. The main reasons for support are: \* The good disclosure on the elements of remuneration granted under their mandate. \* The interim nature of the function, and \* The absence of any other significant concern.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
14		Approve Compensation of Shane Grant, Vice-CEO From March 14 to September 14, 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Compensations of Vi (Item 13) A vote FOR this remuneration repo of Shane Grant, Interim vice-CEO from Marci warranted, although the following concerns a allocated under the vice-CEO's employment s LTI grant in units does not provide the weigh good disclosure on the elements of remunera absence of any other significant concern.	ort is warranted of 14 until Sep. 1 are raised: * The so the company oting of the attac	because it do 4, 2021 (Itel base salary considers the ched perform	nes not rais m 14) A vol i, the annua em as not s nance criter	e any significa te FOR this re Il variable con submitted to s ia. The main I	ant concern. C muneration re npensation and hareholders' a reasons for su	Compensation port is of the LTI are opproval. * Topport are: *	e the The			
		Blended Rationale: Compensations of Veronia A vote FOR this remuneration report is warra Grant, Interim vice-CEO from March 14 until although the following concerns are raised: under the vice-CEO's employment so the conin units does not provide the weighting of the disclosure on the elements of remuneration absence of any other significant concern.	nted because it Sep. 14, 2021 (. * The base salar, npany considers e attached perfo	does not rail Item 14) A v y, the annua them as not ormance crite	se any sign ote FOR thi I variable co submitted Pria. The ma	ificant concert is remuneration ompensation o to shareholde ain reasons fo	n. Compensation report is wa and the LTI arers' approval. Tr support are:	ions of Shand arranted, re allocated * The LTI gra * The good	ė <sup>*</sup>			
15		Approve Compensation of Antoine de Saint-Afrique, CEO Since 15 September 2021	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve Compensation of Gilles Schnepp, Chairman of the Board Since 14 March 2021	Mgmt	Yes	For	For		For	For	No	No	No
17		Approve Remuneration Policy of Executive Corporate Officers	Mgmt	Yes	For	For		For	For	No	No	No
18		Approve Remuneration Policy of Chairman of the Board	Mgmt	Yes	For	For		For	For	No	No	No
19		Approve Remuneration Policy of Directors	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No
		Extraordinary Business	Mgmt	No								
21		Authorize Capital Issuances for Use in Employee Stock Purchase Plans Reserved for Employees of International Subsidiaries	Mgmt	Yes	For	For		For	For	No	No	No
22		Authorize up to 0.5 Percent of Issued Capital for Use in Restricted Stock Plans with Performance Conditions Attached	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorize up to 0.2 Percent of Issued Capital for Use in Restricted Stock Plans	Mgmt	Yes	For	For		For	For	No	No	No
24		Amend Article 19.2 of Bylaws Re: Age Limit of CEO and Vice-CEO	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Items 24 CEO, deputy CEO and the chairman (Items 2 time, the proposal is in line with legal require	4-25). * Even th	nough directo	ors' shareho	olding would b	ne reduced and				-	

Blended Rationale: Votes FOR Items 24 to 26 are warranted as \* the proposal would relax the statutory age limit for the CEO, deputy CEO and the chairman (Items 24-25). \* Even though directors' shareholding would be reduced and adjusted over time, the proposal is in line with legal requirements and does not negatively impact shareholders (Item 26).

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
25		Amend Article 18.1 of Bylaws Re: Age Limit of Chairman of the Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Items 24 to CEO, deputy CEO and the chairman (Items 24 time, the proposal is in line with legal requirem	-25). * Even th	hough directo	ors' shareho	lding would i	be reduced and					
		Blended Rationale: Votes FOR Items 24 to 26 deputy CEO and the chairman (Items 24-25). the proposal is in line with legal requirements	* Even though	directors' sh	areholding	would be rea	duced and adju					
26		Amend Article 17 of Bylaws Re: Shares Held by Directors	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Items 24 to CEO, deputy CEO and the chairman (Items 24 time, the proposal is in line with legal requirem	-25). * Even th	hough directo	ors' shareho	lding would i	be reduced and					
		Blended Rationale: Votes FOR Items 24 to 26 deputy CEO and the chairman (Items 24-25). the proposal is in line with legal requirements	* Even though	directors' sh	nareholding	would be rea	duced and adju		•			
27		Authorize Filing of Required Documents/Other Formalities	Mgmt	Yes	For	For		For	For	No	No	No
		Shareholder Proposals Submitted by Phitrust	Mgmt	No								
Α		Amend Article 18 of Bylaws: Role of Honorary Chairman	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR Item A is the role and the powers of the honorary chain specific duties towards the company and the s	man. They hav						5⁄			
		Blended Rationale: A vote FOR Item A is warra role and the powers of the honorary chairman duties towards the company and the sharehold	. They have to		-							

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		65,800	65,800
			04/12/2022	04/12/2022			
					Total Shares:	65,800	65,800

#### **Meeting Results**

Outstanding Shares Voted: 663,177,179

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.0%	447,122,559	100.0%	27,866	0.0%	1,851,467	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	447,106,496	100.0%	28,206	0.0%	1,867,190	N/A	Poll	F+A
3	Υ	Pass	No	For	0.5%	446,539,129	99.5%	2,372,609	0.5%	90,154	N/A	Poll	F+A
4	Υ	Pass	No	For	0.2%	447,930,419	99.8%	984,203	0.2%	87,270	N/A	Poll	F+A
5	Υ	Pass	No	For	6.0%	421,867,296	94.0%	26,852,733	6.0%	281,863	N/A	Poll	F+A
6	Υ	Pass	No	For	0.2%	447,835,749	99.8%	1,064,201	0.2%	101,942	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

10	Υ	Pass	No	For	0.1%	448,379,756	99.9%	531,048	0.1%	91,088	N/A	Poll	F+A
11	Υ	Pass	No	For	0.0%	448,121,266	100.0%	116,993	0.0%	746,740	N/A	Poll	F+A
12	Υ	Pass	No	For	3.4%	415,120,237	96.6%	14,743,884	3.4%	19,137,771	N/A	Poll	F+A
13	Υ	Pass	No	For	3.8%	431,501,726	96.2%	17,174,279	3.8%	325,887	N/A	Poll	F+A
14	Υ	Pass	No	For	2.9%	435,800,825	97.1%	12,822,354	2.9%	378,713	N/A	Poll	F+A
15	Υ	Pass	No	For	5.4%	424,266,734	94.6%	24,281,413	5.4%	453,745	N/A	Poll	F+A
16	Υ	Pass	No	For	0.1%	429,988,418	99.9%	404,795	0.1%	18,608,679	N/A	Poll	F+A
17	Υ	Pass	No	For	13.6%	368,249,791	86.4%	58,055,061	13.6%	22,697,040	N/A	Poll	F+A
18	Υ	Pass	No	For	0.7%	427,365,769	99.3%	3,006,130	0.7%	18,629,993	N/A	Poll	F+A
19	Υ	Pass	No	For	0.1%	446,786,781	99.9%	571,882	0.1%	1,643,229	N/A	Poll	F+A
20	Υ	Pass	No	For	5.4%	424,392,954	94.6%	24,126,255	5.4%	482,683	N/A	Poll	F+A
	N												
21	Υ	Pass	No	For	1.0%	444,484,394	99.0%	4,371,804	1.0%	94,313	N/A	Poll	F+A
22	Υ	Pass	No	For	6.9%	417,778,915	93.1%	31,041,868	6.9%	129,728	N/A	Poll	F+A
23	Υ	Pass	No	For	5.5%	423,658,540	94.5%	24,602,288	5.5%	689,683	N/A	Poll	F+A
24	Υ	Pass	No	For	2.1%	439,368,018	97.9%	9,453,182	2.1%	129,311	N/A	Poll	F+A
25	Υ	Pass	No	For	0.7%	445,704,442	99.3%	3,129,187	0.7%	116,882	N/A	Poll	F+A
26	Υ	Pass	No	For	1.0%	444,100,190	99.0%	4,383,987	1.0%	466,334	N/A	Poll	F+A
27	Υ	Pass	No	For	0.0%	448,871,302	100.0%	40,013	0.0%	90,577	N/A	Poll	F+A
	N												
Α	Υ	Fail	No	Against	59.3%	258,799,793	59.3%	177,370,368	40.7%	12,064,145	N/A	Poll	F+A
Cign	a Corp	oration											
_	<b>Date:</b> 04/2			Country: USA				er: CI		Proxy L	evel: 3		
	Date: 03/08 Security I	/2022 <b>D:</b> 125523100		Meeting Typ Primary CUS		3100		ting ID: 1622897 ary ISIN: US12552	231003	Primary	SEDOL: BI	1J0775	
	utoff Date:			Total Ballots:				g Policy: ISS			al Policy:		
Votable S	<b>Shares:</b> 102,0	20		*Shares on Lo	<b>an:</b> 0		Share	es Instructed: 102,02	20	Shares V	oted: 102,02	)	

Result

Type

Poll

Base

F+A

Abstain

N/A

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director David M. Cordani	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: A vote FOR the director nominees is warranted.

Blended Rationale: A vote FOR the director nominees is warranted.

Item

Voting

Proposal

Summary

Pass

Dissident

Proposal

No

Mgmt

Rec

For

Dissent

Level

24.9%

For

336,571,630

For

75.1%

Against

111,811,191

Against

24.9%

Abstain

619,071

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Cigna Corporation**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1b		Elect Director William J. DeLaney	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1c		Elect Director Eric J. Foss	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1d		Elect Director Elder Granger	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1e		Elect Director Neesha Hathi	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1f		Elect Director George Kurian	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
	Voting Policy Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.											
1g		Elect Director Kathleen M. Mazzarella	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1h		Elect Director Mark B. McClellan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1i		Elect Director Kimberly A. Ross	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1j		Elect Director Eric C. Wiseman	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1k		Elect Director Donna F. Zarcone	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nominees is warranted.										
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proposal is warranted, as the proposed 10 percent ownership threshold would make the special meeting right more easily exercisable by institutional investors, while still providing protection against abuse.										
*Chause -	on loan data :-	Blended Rationale: A vote FOR this proposal is special meeting right more easily exercisable by	y institutional	investors, w	hile still prov	viding protect						

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Cigna Corporation**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
5		Report on Gender Pay Gap	SH	Yes	Against	Against		Against	Against	No	No	No
6		Report on Congruency of Political Spending with Company Values and Priorities	SH	Yes	Against	For		For	For	Yes	No	No

Voting Policy Rationale: A vote FOR this proposal is warranted, as more comprehensive information comparing Cigna's public policy statements and its direct and indirect political contributions and nonprofit organization participation would enable shareholders to have a more comprehensive understanding of how the company oversees and manages risks from political activities conducted by its partners.

Blended Rationale: A vote FOR this proposal is warranted, as more comprehensive information comparing Cigna's public policy statements and its direct and indirect political contributions and nonprofit organization participation would enable shareholders to have a more comprehensive understanding of how the company oversees and manages risks from political activities conducted by its partners.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	000392078	Confirmed	jbisset	jbisset		102,020	102,020
			04/20/2022	04/20/2022			
					Total Shares:	102,020	102,020

#### **Meeting Results**

Outstanding Shares Voted: 318,376,760

Results Available: Full

Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Туре	Base
1a	Υ	Pass	No	For	7.4%	248,171,620	92.6%	19,859,521	7.4%	807,860	N/A	Proxy	F+A
1b	Υ	Pass	No	For	1.5%	264,174,478	98.5%	4,145,567	1.5%	518,956	N/A	Proxy	F+A
1c	Υ	Pass	No	For	3.0%	260,449,983	97.0%	8,084,668	3.0%	304,350	N/A	Proxy	F+A
1d	Υ	Pass	No	For	1.6%	264,089,539	98.4%	4,238,395	1.6%	511,067	N/A	Proxy	F+A
1e	Υ	Pass	No	For	0.5%	267,347,527	99.5%	1,227,622	0.5%	263,852	N/A	Proxy	F+A
1f	Υ	Pass	No	For	1.0%	265,808,708	99.0%	2,741,012	1.0%	289,281	N/A	Proxy	F+A
1g	Υ	Pass	No	For	3.8%	258,281,858	96.2%	10,306,788	3.8%	250,355	N/A	Proxy	F+A
1h	Υ	Pass	No	For	1.3%	264,855,469	98.7%	3,475,947	1.3%	507,585	N/A	Proxy	F+A
1i	Υ	Pass	No	For	0.6%	266,851,942	99.4%	1,739,461	0.6%	247,598	N/A	Proxy	F+A
1j	Υ	Pass	No	For	3.1%	260,098,879	96.9%	8,447,585	3.1%	292,537	N/A	Proxy	F+A
1k	Υ	Pass	No	For	4.6%	254,393,280	95.4%	12,154,207	4.6%	2,291,514	N/A	Proxy	F+A
2	Υ	Pass	No	For	17.3%	222,333,867	82.7%	45,870,501	17.1%	634,633	0.2%	Proxy	F+A+AB
3	Υ	Pass	No	For	5.5%	271,083,580	94.5%	15,415,347	5.4%	271,255	0.1%	Proxy	F+A+AB
4	Υ	Fail	No	Against	47.3%	126,313,901	47.0%	141,739,935	52.7%	785,165	0.3%	Proxy	F+A+AB
5	Υ	Fail	No	Against	33.2%	87,891,026	32.7%	179,547,902	66.8%	1,400,073	0.5%	Proxy	F+A+AB
6	Υ	Fail	No	Against	46.5%	123,785,139	46.0%	143,721,740	53.5%	1,332,122	0.5%	Proxy	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Meeting Date: 04/27/2022

Country: Sweden

Meeting Type: Annual

Meeting ID: 1623173

Proxy Level: N/A

**Record Date:** 04/19/2022 Primary Security ID: W9376L154

Primary CUSIP: W9376L154

**Primary ISIN:** SE0015812219

Primary SEDOL: BKS8Y81

Earliest Cutoff Date: 04/19/2022

Total Ballots: 1

Voting Policy: ISS

Ticker: SWMA

**Additional Policy:** 

Votable Shares: 1,112,972

\*Shares on Loan: 0

Shares Instructed: 1,112,972

**Shares Voted:** 1,112,972

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Open Meeting; Elect Chairman of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	eting formalitie.	5.								
		Blended Rationale: These are routine meeting	formalities.									
2		Prepare and Approve List of Shareholders	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	eting formalitie.	s.								
		Blended Rationale: These are routine meeting	formalities.									
3		Designate Peter Lundkvist and Filippa Gerstadt Inspectors of Minutes of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	eting formalitie.	s.								
		Blended Rationale: These are routine meeting	formalities.									
4		Acknowledge Proper Convening of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine med	eting formalitie.	s.								
		Blended Rationale: These are routine meeting	formalities.									
5		Approve Agenda of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	eting formalitie.	s.								
		Blended Rationale: These are routine meeting	formalities.									
6		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
7		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
8		Approve Allocation of Income and Dividends of SEK 1.86 Per Share	Mgmt	Yes	For	For		For	For	No	No	No
9.a		Approve Discharge of Charles A. Blixt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propulation of the propulati	oosals is warrai	nted as ther	e is no evide	nce that the	board director	s have not				
		Blended Rationale: A vote FOR these proposal their fiduciary duties.	s is warranted	as there is r	o evidence t	that the boar	d directors ha	ve not fulfille	pd .			
9.b		Approve Discharge of Andrew Cripps	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propulation of the propulati	oosals is warrai	nted as then	e is no evide	nce that the	board director	s have not				
		Blended Rationale: A vote FOR these proposal their fiduciary duties.	s is warranted	as there is r	o evidence t	that the boar	d directors hav	ve not fulfille	od .			

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Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9.c		Approve Discharge of Jacqueline Hoogerbrugge	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these profulfilled their fiduciary duties.	posals is warra	nted as there	e is no evid	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposa their fiduciary duties.	ls is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed		-	
9.d		Approve Discharge of Conny Carlsson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these profulfilled their fiduciary duties.	posals is warra	nted as there	e is no evid	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposa their fiduciary duties.	ls is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed		-	
9.e		Approve Discharge of Alexander Lacik	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these profulfilled their fiduciary duties.	posals is warra	nted as there	e is no evid	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposa their fiduciary duties.	ls is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			
9.f		Approve Discharge of Pauline Lindwall	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these profulfilled their fiduciary duties.	posals is warra	nted as there	e is no evid	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposa their fiduciary duties.	ls is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			
9.g		Approve Discharge of Wenche Rolfsen	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these profulfilled their fiduciary duties.	posals is warra	nted as there	e is no evid	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposa their fiduciary duties.	ls is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			
9.h		Approve Discharge of Joakim Westh	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these profulfilled their fiduciary duties.	posals is warra	nted as there	e is no evid	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposa their fiduciary duties.	ls is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed		-	
9.i		Approve Discharge of Patrik Engelbrektsson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these profulfilled their fiduciary duties.	posals is warra	nted as there	e is no evid	ence that the	board director	rs have not			-	
		Blended Rationale: A vote FOR these proposa their fiduciary duties.	ls is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			
9.j		Approve Discharge of Par-Ola Olausson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these profulfilled their fiduciary duties.	posals is warra	nted as there	e is no evid	ence that the	board director	rs have not			-	
		Blended Rationale: A vote FOR these proposa their fiduciary duties.	ls is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed		-	
9.k		Approve Discharge of Dragan Popovic	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these profulfilled their fiduciary duties.	posals is warra	nted as there	e is no evid	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposa their fiduciary duties.	ls is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			

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Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9.1		Approve Discharge of CEO Lars Dahlgren	Mgmt	Yes	For	For		For	For	No	No	No
10		Determine Number of Members (7) and Deputy Members (0) of Board	Mgmt	Yes	For	For		For	For	No	No	No
11		Approve Remuneration of Directors in the Amount of SEK 2.36 million to Chair and SEK 945,000 to Other Directors; Approve Remuneration for Committee Work	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this its relation to comparable domestic peers.	em is warrante	ed, as the pro	oposed dired	ctor fees can	be considerea	excessive in	1			
		Blended Rationale: A vote AGAINST this item is relation to comparable domestic peers.	warranted, as	the propose	ed director fo	ees can be c	onsidered exce	essive in				
12.a		Reelect Charles A. Blixt as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidates, Alexander Lacik (12.d), Pauline Lindwall (12.e) suitability of these individuals in particular. A vo is overboarded.	and Joakim W	esth (12.f) is	s warranted	due to a laci	k of concern re	garding the				
		Blended Rationale: A vote FOR candidates, Cha Alexander Lacik (12.d), Pauline Lindwall (12.e) suitability of these individuals in particular. A vo is overboarded.	and Joakim W	esth (12.f) is	s warranted	due to a laci	k of concern re	garding the	te			
12.b		Reelect Jacqueline Hoogerbrugge as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidates, Alexander Lacik (12.d), Pauline Lindwall (12.e) suitability of these individuals in particular. A vo is overboarded.	and Joakim W	esth (12.f) is	s warranted	due to a laci	k of concern re	garding the				
		Blended Rationale: A vote FOR candidates, Cha Alexander Lacik (12.d), Pauline Lindwall (12.e) suitability of these individuals in particular. A vo is overboarded.	and Joakim W	esth (12.f) is	s warranted	due to a laci	k of concern re	garding the	te			
12.c		Reelect Conny Carlsson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidates, Alexander Lacik (12.d), Pauline Lindwall (12.e) suitability of these individuals in particular. A vo is overboarded.	and Joakim W	esth (12.f) is	s warranted	due to a laci	k of concern re	garding the				
		Blended Rationale: A vote FOR candidates, Cha Alexander Lacik (12.d), Pauline Lindwall (12.e) suitability of these individuals in particular. A vo is overboarded.	and Joakim W	esth (12.f) is	s warranted	due to a laci	k of concern re	garding the	te			
12.d		Reelect Alexander Lacik as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidates, Alexander Lacik (12.d), Pauline Lindwall (12.e) suitability of these individuals in particular. A vo is overboarded.	and Joakim W	esth (12.f) is	s warranted	due to a laci	k of concern re	garding the	,			
		Blended Rationale: A vote FOR candidates, Cha Alexander Lacik (12.d), Pauline Lindwall (12.e) suitability of these individuals in particular. A vo is overboarded.	and Joakim W	esth (12.f) is	s warranted	due to a laci	k of concern re	garding the	te			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12.e		Reelect Pauline Lindwall as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidate Alexander Lacik (12.d), Pauline Lindwall (12.d suitability of these individuals in particular. A is overboarded.	e) and Joakim V	Vesth (12.f) i	is warranted	d due to a lac	k of concern re	egarding the	,			
		Blended Rationale: A vote FOR candidates, C Alexander Lacik (12.d), Pauline Lindwall (12. suitability of these individuals in particular. A is overboarded.	e) and Joakim V	Vesth (12.f) i	is warranted	d due to a lac	k of concern re	egarding the				
12.f		Reelect Joakim Westh as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidate Alexander Lacik (12.d), Pauline Lindwall (12.d suitability of these individuals in particular. A is overboarded.	e) and Joakim V	Vesth (12.f) i	is warranted	d due to a lac	k of concern re	egarding the	,			
		Blended Rationale: A vote FOR candidates, C Alexander Lacik (12.d), Pauline Lindwall (12.d suitability of these individuals in particular. A is overboarded.	e) and Joakim V	Vesth (12.f) i	is warranted	d due to a lac	k of concern re	egarding the				
12.g		Elect Sanna Suvanto-Harsaae as New Director	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote FOR candidate Alexander Lacik (12.d), Pauline Lindwall (12.d suitability of these individuals in particular. A is overboarded.	e) and Joakim V	Vesth (12.f) i	is warranted	d due to a lac	k of concern re	egarding the	,			
		Blended Rationale: A vote FOR candidates, C Alexander Lacik (12.d), Pauline Lindwall (12. suitability of these individuals in particular. A is overboarded.	e) and Joakim V	Vesth (12.f) i	is warranted	d due to a lac	k of concern re	egarding the				
12.h		Reelect Conny Karlsson as Board Chair	Mgmt	Yes	For	For		For	For	No	No	No
13		Determine Number of Auditors (1) and Deputy Auditors (0)	Mgmt	Yes	For	For		For	For	No	No	No
14		Approve Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Ratify Deloitte as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
16.a		Approve SEK 13.5 Million Reduction in Share Capital via Share Cancellation for Transfer to Unrestricted Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item balance sheet, which may also enhance retur			ation of sha	res may impr	ove the efficier	ncy of the				
		Blended Rationale: A vote FOR this item is we sheet, which may also enhance returns over		cancellation	of shares n	nay improve t	he efficiency o	f the balance	e			
16.b		Approve Capitalization of Reserves of SEK 13.5 Million for a Bonus Issue	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item balance sheet, which may also enhance retur			ation of sha	res may impr	ove the efficier	ncy of the				
		Blended Rationale: A vote FOR this item is we sheet, which may also enhance returns over		cancellation	of shares n	nay improve t	he efficiency o	f the balance	e			

•	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
17		Authorize Share Repurchase Program	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop volume limit, because: * There are acceptable repurchase authorities.			•		•		osed			
		Blended Rationale: A vote FOR these proposals volume limit, because: * There are acceptable repurchase authorities.	,	, ,								
18		Authorize Reissuance of Repurchased Shares	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop volume limit, because: * There are acceptable repurchase authorities.			•		•		osed			
		Blended Rationale: A vote FOR these proposals volume limit, because: * There are acceptable repurchase authorities.										
19		Approve Issuance of Shares up to 10 Per cent of Share Capital without Preemptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detai	ls											
Institutional A		Custodian Account Number Ballot Status	Instructed	ı	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares '	Voted

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jcoster	jcoster		1,112,972	1,112,972
			04/11/2022	04/11/2022			
					Total Shares:	1,112,972	1,112,972

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	398,763,292	100.0%	15	0.0%	306	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	398,763,613	100.0%	0	N/A	0	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	398,763,292	100.0%	15	0.0%	306	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	398,763,238	100.0%	375	0.0%	0	N/A	Poll	F+A
5	Υ	Pass	No	For	0.0%	398,763,613	100.0%	0	N/A	0	N/A	Poll	F+A
6	Υ	Pass	No	For	1.3%	385,600,106	98.7%	4,967,200	1.3%	8,196,307	N/A	Poll	F+A
7	Υ	Pass	No	For	0.1%	397,851,295	99.9%	397,165	0.1%	515,153	N/A	Poll	F+A
8	Υ	Pass	No	For	0.0%	398,214,825	100.0%	33,875	0.0%	514,913	N/A	Poll	F+A
9.a	Υ	Pass	No	For	0.5%	393,243,379	99.5%	1,945,804	0.5%	3,574,430	N/A	Poll	F+A
9.b	Υ	Pass	No	For	0.5%	393,216,784	99.5%	1,972,414	0.5%	3,574,415	N/A	Poll	F+A
9.c	Υ	Pass	No	For	0.5%	393,243,769	99.5%	1,945,429	0.5%	3,574,415	N/A	Poll	F+A
9.d	Υ	Pass	No	For	0.5%	392,916,784	99.5%	1,972,414	0.5%	3,874,415	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
9.e	Υ	Pass	No	For	0.5%	393,242,894	99.5%	1,945,804	0.5%	3,574,915	N/A	Poll	F+A
9.f	Υ	Pass	No	For	N/A	393,243,269	N/A	1,945,429	N/A	3,574,915	N/A	Poll	F+A
9.g	Υ	Pass	No	For	0.5%	393,243,269	99.5%	1,945,429	0.5%	3,574,915	N/A	Poll	F+A
9.h	Υ	Pass	No	For	0.5%	393,216,784	99.5%	1,972,414	0.5%	3,574,415	N/A	Poll	F+A
9.i	Υ	Pass	No	For	0.5%	393,242,894	99.5%	1,945,804	0.5%	3,574,915	N/A	Poll	F+A
9.j	Υ	Pass	No	For	0.5%	392,877,894	99.5%	1,945,804	0.5%	3,939,915	N/A	Poll	F+A
9.k	Υ	Pass	No	For	0.5%	393,243,769	99.5%	1,945,429	0.5%	3,574,415	N/A	Poll	F+A
9.1	Υ	Pass	No	For	0.5%	392,878,394	99.5%	1,945,804	0.5%	3,939,415	N/A	Poll	F+A
10	Υ	Pass	No	For	0.0%	398,248,460	100.0%	0	N/A	515,153	N/A	Poll	F+A
11	Υ	Pass	No	For	5.1%	248,315,290	94.9%	13,478,395	5.1%	15,869,928	N/A	Poll	F+A
12.a	Υ	Pass	No	For	0.3%	396,321,397	99.7%	1,161,757	0.3%	1,280,459	N/A	Poll	F+A
12.b	Υ	Pass	No	For	0.3%	396,321,772	99.7%	1,161,382	0.3%	1,280,459	N/A	Poll	F+A
12.c	Υ	Pass	No	For	9.8%	349,218,098	90.2%	38,070,174	9.8%	11,475,314	N/A	Poll	F+A
12.d	Υ	Pass	No	For	0.3%	396,321,397	99.7%	1,161,757	0.3%	1,280,459	N/A	Poll	F+A
12.e	Υ	Pass	No	For	0.3%	396,321,772	99.7%	1,161,382	0.3%	1,280,459	N/A	Poll	F+A
12.f	Υ	Pass	No	For	3.4%	375,460,344	96.6%	13,370,030	3.4%	9,933,239	N/A	Poll	F+A
12.g	Υ	Pass	No	For	67.4%	129,570,714	32.6%	267,534,795	67.4%	1,658,104	N/A	Poll	F+A
12.h	Υ	Pass	No	For	11.3%	344,983,195	88.7%	44,072,353	11.3%	9,708,065	N/A	Poll	F+A
13	Υ	Pass	No	For	0.0%	398,248,460	100.0%	0	N/A	515,153	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	398,221,715	100.0%	26,985	0.0%	514,913	N/A	Poll	F+A
15	Υ	Pass	No	For	0.0%	398,221,850	100.0%	26,610	0.0%	515,153	N/A	Poll	F+A
16.a	Υ	Pass	No	For	0.0%	398,762,998	100.0%	615	0.0%	0	N/A	Poll	F+A
16.b	Υ	Pass	No	For	0.0%	398,755,315	100.0%	8,298	0.0%	0	N/A	Poll	F+A
17	Υ	Pass	No	For	0.0%	398,642,197	100.0%	121,416	0.0%	0	N/A	Poll	F+A
18	Υ	Pass	No	For	0.2%	391,518,412	99.8%	939,005	0.2%	6,306,196	N/A	Poll	F+A
19	Υ	Pass	No	For	0.1%	378,749,016	99.9%	366,615	0.1%	19,647,982	N/A	Poll	F+A

### **Activision Blizzard, Inc.**

Meeting Date: 04/28/2022 Record Date: 03/14/2022 Primary Security ID: 00507V109 Country: USA
Meeting Type: Special
Primary CUSIP: 00507V109

Ticker: ATVI

Meeting ID: 1623585

Primary ISIN: US00507V1098

**Proxy Level:** 5

Primary SEDOL: 2575818

## **Activision Blizzard, Inc.**

Earliest Cutoff Date: 04/2	7/2022 <b>Total Ballots:</b> 1			Voting Policy: ISS				Additional Policy:				
Votable Shares: 72,700	*Shares on Loan: 0			Shares Ins	structed: 72,7	700		Shares Voted:	72,700			
Proposal Significant Number Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1	Mgmt	Yes	For	For		For	For	No	No	No		
2	Advisory Vote on Golden Parachutes	Mgmt	Yes	For	For		For	For	No	No	No	
3	Adjourn Meeting	Mgmt	Yes	For	For		For	For	No	No	No	
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable SI	nares	Shares	Voted	

jbisset

04/25/2022

72,700

72,700

**Additional Policy:** 

**Total Shares:** 

72,700

72,700

Meeting	Results

RTRF, RTRF

Outstanding Shares Voted: 780,922,900 Results Available: Full

000392078

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	1.2%	539,332,512	69.1%	8,847,849	1.1%	786,522	0.1%	Proxy	Capital Represented
2	Υ	Pass	No	For	34.9%	357,220,104	65.1%	189,741,964	34.6%	2,004,815	0.4%	Proxy	F+A+AB
3	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Proxv	F+A+AB

### **Glencore Plc**

Earliest Cutoff Date: 04/25/2022

Meeting Date: 04/28/2022 Country: Jersey Ticker: GLEN Proxy Level: N/A

Record Date: 04/26/2022 Meeting Type: Annual Meeting ID: 1602529

Total Ballots: 1

Confirmed

jbisset

04/25/2022

Primary Security ID: G39420107 Primary CUSIP: G39420107 Primary ISIN: JE00B4T3BW64 Primary SEDOL: B4T3BW6

 Votable Shares: 3,700,000
 \*Shares on Loan: 0
 Shares Instructed: 3,700,000
 Shares Voted: 3,700,000

votable bi	141 451 5,7 00,000	Shares on Loans	•		Silai es .	instructeur 3,7	00,000		Shares votes	3,700,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Reduction of Capital Contribution Reserves	Mgmt	Yes	For	For		For	For	No	No	No
3		Re-elect Kalidas Madhavpeddi as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.										
	Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.									-		
4		Re-elect Peter Coates as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these	e Directors is warra	nted as no si	ignificant c	oncerns have	been identified	<i>1.</i>			-	
		Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.									_	

Voting Policy: ISS

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### **Glencore Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
5		Re-elect Martin Gilbert as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	een identified.						
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.						
6		Re-elect Gill Marcus as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	een identified.						
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.						
7		Re-elect Patrice Merrin as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	een identified.						
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.						
8		Re-elect Cynthia Carroll as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	een identified.						
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been .	identified.						
9		Elect Gary Nagle as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	een identified.						
		Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.											
10		Elect David Wormsley as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	een identified.						
		Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.											
11		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No	
12		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No	
13		Approve Climate Progress Report	Mgmt	Yes	For	Against		Against	Abstain	Yes	Yes	Yes	
		Voting Policy Rationale: A vote AGAINST the Co Company's activities around thermal coal, which lobbying would appear to run counter to the Pa most obstructive companies in terms of global	h accounts for aris goals, as h	the majority nighlighted by	of its Scope	e 3 emissions	s. * Further, th	e Company's					
		Blended Rationale: A vote AGAINST the Climate Progress Report is warranted because: * There are concerns over the Company's activities around thermal coal, which accounts for the majority of its Scope 3 emissions. * Further, the Company's lobbying would appear to run counter to the Paris goals, as highlighted by Glencore having been identified as one of the ten most obstructive companies in terms of global climate policy action.											
14		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No	
15		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within					
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	f because the	e proposed a	amounts and	durations are	within					
16		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these reson recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within					
		Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.											

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Glencore Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
17		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.										
		Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.										
18		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		3,700,000	3,700,000
			04/19/2022	04/19/2022			

Total Shares: 3,700,000 3,700,000

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.7%	10,146,134,663	99.3%	73,987,106	0.7%	15,138,778	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	10,243,624,761	100.0%	822,027	0.0%	1,885,126	N/A	Poll	F+A
3	Υ	Pass	No	For	10.7%	9,143,353,406	89.3%	1,095,785,455	10.7%	7,193,053	N/A	Poll	F+A
4	Υ	Pass	No	For	3.8%	9,789,530,013	96.2%	388,631,549	3.8%	68,157,349	N/A	Poll	F+A
5	Υ	Pass	No	For	6.6%	9,563,934,786	93.4%	677,892,412	6.6%	4,504,713	N/A	Poll	F+A
6	Υ	Pass	No	For	3.0%	9,936,943,810	97.0%	307,498,751	3.0%	1,889,350	N/A	Poll	F+A
7	Υ	Pass	No	For	2.9%	9,946,311,300	97.1%	298,130,561	2.9%	1,890,050	N/A	Poll	F+A
8	Υ	Pass	No	For	7.5%	9,477,816,424	92.5%	766,628,122	7.5%	1,888,085	N/A	Poll	F+A
9	Υ	Pass	No	For	1.2%	10,122,080,650	98.8%	122,357,564	1.2%	1,893,300	N/A	Poll	F+A
10	Υ	Pass	No	For	1.3%	10,110,616,447	98.7%	133,837,346	1.3%	1,878,121	N/A	Poll	F+A
11	Υ	Pass	No	For	3.4%	9,898,006,158	96.6%	346,350,904	3.4%	1,974,762	N/A	Poll	F+A
12	Υ	Pass	No	For	0.5%	10,188,283,859	99.5%	56,143,866	0.5%	1,904,190	N/A	Poll	F+A
13	Υ	Pass	No	For	23.7%	7,744,347,077	76.3%	2,408,000,826	23.7%	93,984,011	N/A	Poll	F+A
14	Υ	Pass	No	For	6.5%	9,375,360,448	93.5%	648,848,101	6.5%	222,123,365	N/A	Poll	F+A
15	Υ	Pass	No	For	7.8%	9,447,365,741	92.2%	797,059,535	7.8%	1,906,818	N/A	Poll	F+A
16	Υ	Pass	No	For	6.9%	9,534,086,256	93.1%	710,351,736	6.9%	1,893,921	N/A	Poll	F+A
17	Υ	Pass	No	For	6.0%	9,628,310,866	94.0%	616,129,538	6.0%	1,891,510	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
18	Υ	Pass	No	For	3.0%	9,932,221,639	97.0%	308,917,111	3.0%	5,193,164	N/A	Poll	F+A

### **ITV Plc**

Meeting Date: 04/28/2022

Country: United Kingdom Meeting Type: Annual

Ticker: ITV

Proxy Level: N/A

**Record Date:** 04/26/2022 Primary Security ID: G4984A110

Primary CUSIP: G4984A110

**Meeting ID:** 1615139 **Primary ISIN:** GB0033986497

Primary SEDOL: 3398649

Earliest Cutoff Date: 04/25/2022

Total Ballots: 1

Voting Policy: ISS

Additional Policy:

Votable Shares: 7,000,000 \*Shares on Loan: 0 Shares Instructed: 7,000,000 **Shares Voted:** 7,000,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No	
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No	
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No	
4		Re-elect Salman Amin as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR this candid	late is warrant	ed as no sigi	nificant conc	erns have be	een identified.						
		Blended Rationale: A vote FOR this candidate is	s warranted as	s no significa	nt concerns	have been id	dentified.						
5		Re-elect Peter Bazalgette as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR this candid	late is warrant	ed as no sigi	nificant conc	erns have be	een identified.						
		Blended Rationale: A vote FOR this candidate is	s warranted as	s no significa	nt concerns	have been id	dentified.						
6		Re-elect Edward Bonham Carter as Director	Mgmt	Yes	For	For		For	For	No	No	No	
	Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.												
		Blended Rationale: A vote FOR this candidate is	s warranted as	s no significa	nt concerns	have been id	dentified.						
7		Re-elect Graham Cooke as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR this candid	late is warrant	ed as no sigi	nificant conc	erns have be	een identified.						
		Blended Rationale: A vote FOR this candidate is	s warranted as	s no significa	nt concerns	have been id	dentified.						
8		Re-elect Margaret Ewing as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR this candid	late is warrant	ed as no sigi	nificant conc	erns have be	een identified.						
		Blended Rationale: A vote FOR this candidate is	s warranted as	s no significa	nt concerns	have been id	dentified.						
9		Re-elect Mary Harris as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.											
		Blended Rationale: A vote FOR this candidate is	s warranted as	no significa	nt concerns	have been id	dentified.						
10		Re-elect Chris Kennedy as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR this candid	late is warrant	ed as no sigi	nificant conc	erns have be	een identified.						
		Blended Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.											
11		Re-elect Anna Manz as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR this candid	late is warrant	ed as no sigi	nificant conc	erns have be	een identified.						
	Blended Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.												

### **ITV Plc**

-	ignificant ote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
12		Re-elect Carolyn McCall as D	irector	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vot	e FOR this candid	late is warrant	ed as no sig	nificant conc	erns have be	een identified.							
		Blended Rationale: A vote FO	R this candidate is	s warranted as	s no significa	ant concerns	have been id	dentified.							
13		Re-elect Sharmila Nebhrajani Director	i as	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vot	e FOR this candid	late is warrant	ed as no sig	nificant conc	erns have be	een identified.							
		Blended Rationale: A vote FO	R this candidate is	s warranted as	s no significa	ant concerns	have been id	dentified.							
14		Re-elect Duncan Painter as D	Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vot	e FOR this candid	late is warrant	ed as no sig	nificant cond	erns have be	een identified.							
		Blended Rationale: A vote FO	R this candidate is	s warranted as	s no significa	ant concerns	have been id	dentified.							
15		Reappoint PricewaterhouseCo	oopers	Mgmt	Yes	For	For		For	For	No	No	No		
16		Authorise Board to Fix Remu of Auditors	neration	Mgmt	Yes	For	For		For	For	No	No	No		
17		Authorise UK Political Donation	ons and	Mgmt	Yes	For	For		For	For	No	No	No		
18		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within													
		Blended Rationale: A vote FO recommended limits.	R these resolution	ns is warranted	d because th	ne proposed a	amounts and	durations are	within						
19		Authorise Issue of Equity wit Pre-emptive Rights	hout	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vot recommended limits.	e FOR these resol	lutions is warra	anted becau	se the propo	sed amounts	s and duration.	s are within						
		Blended Rationale: A vote FO recommended limits.	R these resolution	ns is warranted	d because th	ne proposed a	amounts and	l durations are	within						
20		Authorise Issue of Equity wit Pre-emptive Rights in Connec an Acquisition or Other Capit Investment	ction with	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vot recommended limits.	e FOR these resol	lutions is warra	anted becau	se the propo	sed amounts	s and duration.	s are within			•			
		Blended Rationale: A vote FO recommended limits.	R these resolution	ns is warranted	d because th	ne proposed a	amounts and	durations are	within						
21		Authorise Market Purchase of Shares	f Ordinary	Mgmt	Yes	For	For		For	For	No	No	No		
22		Authorise the Company to Ca Meeting with Two Weeks' No		Mgmt	Yes	For	For		For	For	No	No	No		
Ballot Details															
Institutional Acc (IA Name, IA Nu		Custodian Account Number	Ballot Status	Instructed	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted		
RTRF, RTRF		392078	Confirmed	jbisset		jbisset				7,000,	000	7,000	,000		
				04/20/2022	2	04/20/20	22								
									Total Shares:	7,000,	000	7,000	,000		

#### **Meeting Results**

Outstanding Shares Voted: 4,025,409,194

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	3,268,503,915	100.0%	208,954	0.0%	6,257,811	N/A	Poll	F+A
2	Y	Pass	No	For	18.7%	2,659,738,737	81.3%	611,109,771	18.7%	4,122,172	N/A	Poll	F+A
3	Y	Pass	No	For	0.1%	3,269,383,466	99.9%	1,664,264	0.1%	3,922,950	N/A	Poll	F+A
4	Y	Pass	No	For	1.3%	3,229,222,612	98.7%	41,667,527	1.3%	4,076,566	N/A	Poll	F+A
5	Υ	Pass	No	For	1.3%	3,227,967,679	98.7%	42,958,754	1.3%	4,040,812	N/A	Poll	F+A
6	Y	Pass	No	For	7.2%	3,033,850,309	92.8%	237,057,091	7.2%	4,059,845	N/A	Poll	F+A
7	Υ	Pass	No	For	0.0%	3,269,518,019	100.0%	1,367,740	0.0%	4,081,486	N/A	Poll	F+A
8	Υ	Pass	No	For	8.8%	2,981,865,335	91.2%	289,037,379	8.8%	4,064,531	N/A	Poll	F+A
9	Y	Pass	No	For	1.5%	3,221,375,090	98.5%	49,519,458	1.5%	4,072,697	N/A	Poll	F+A
10	Υ	Pass	No	For	0.2%	3,262,895,191	99.8%	7,987,360	0.2%	4,084,694	N/A	Poll	F+A
11	Y	Pass	No	For	0.2%	3,263,015,365	99.8%	7,896,648	0.2%	4,055,232	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	3,269,430,964	100.0%	1,511,192	0.0%	4,028,524	N/A	Poll	F+A
13	Υ	Pass	No	For	8.7%	2,987,498,484	91.3%	283,401,957	8.7%	4,066,804	N/A	Poll	F+A
14	Y	Pass	No	For	0.2%	3,262,943,252	99.8%	7,933,238	0.2%	4,090,755	N/A	Poll	F+A
15	Υ	Pass	No	For	2.7%	3,181,870,317	97.3%	88,998,208	2.7%	4,102,155	N/A	Poll	F+A
16	Υ	Pass	No	For	0.0%	3,270,234,320	100.0%	582,635	0.0%	4,153,725	N/A	Poll	F+A
17	Υ	Pass	No	For	1.1%	3,230,918,056	98.9%	37,580,386	1.1%	6,472,238	N/A	Poll	F+A
18	Υ	Pass	No	For	3.3%	3,164,545,060	96.7%	107,118,398	3.3%	3,307,222	N/A	Poll	F+A
19	Υ	Pass	No	For	2.3%	3,190,036,727	97.7%	73,634,698	2.3%	11,299,255	N/A	Poll	F+A
20	Υ	Pass	No	For	7.3%	3,024,971,594	92.7%	238,705,487	7.3%	11,293,599	N/A	Poll	F+A
21	Υ	Pass	No	For	3.0%	3,173,066,096	97.0%	98,221,872	3.0%	3,682,272	N/A	Poll	F+A
22	Υ	Pass	No	For	2.2%	3,198,560,911	97.8%	72,348,144	2.2%	4,061,625	N/A	Poll	F+A

### **NatWest Group Plc**

Meeting Date: 04/28/2022 Record Date: 04/26/2022 Primary Security ID: G6422B105

**Votable Shares:** 10,000,000

Country: United Kingdom Meeting Type: Annual Primary CUSIP: G6422B105

\*Shares on Loan: 0

Ticker: NWG

Meeting ID: 1608486

Primary ISIN: GB00B7T77214

Proxy Level: N/A

Primary SEDOL: B7T7721

Earliest Cutoff Date: 04/25/2022 Total Ballots: 1 Voting Policy: ISS

Shares Instructed: 10,000,000

Shares Voted: 10,000,000

**Additional Policy:** 

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
5		Re-elect Howard Davies as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vot been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromise	election of Fra on boards of th	ank Dangeard Bree other pu	d is conside Iblicly listed	red warrante companies, i	d: * In additio in particular, a	n to his role s Board Cha	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromise	election of Fra on boards of th	ank Dangeard Aree other pu	d is conside Iblicly listed	red warrante companies, i	d: * In additio in particular, a	n to his role s Board Cha				
6		Re-elect Alison Rose-Slade as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vot been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromise Blended Rationale: Items 5-7 & 9-15 A vote FO	election of Fra on boards of the e his ability to OR these candio	ank Dangeard aree other pu commit suff dates is cons	d is conside oblicly listed icient time t sidered warr	red warranted companies, i o his role at i ranted as no s	d: * In additio in particular, a NatWest Group significant con	n to his role s Board Cha o Plc. cerns have	as ir in			
		been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromise	on boards of th	nree other pu	ıblicly listed	companies, i	in particular, a	s Board Cha				
7		Re-elect Katie Murray as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vot been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromise	election of Fra on boards of th	ank Dangeard Bree other pu	d is conside Iblicly listed	red warranted companies, i	d: * In additio in particular, a	n to his role s Board Cha	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FO been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromise	election of Fra on boards of th	ank Dangeard Bree other pu	d is conside Iblicly listed	red warranted companies, i	d: * In additio in particular, a	n to his role s Board Cha				
8		Re-elect Frank Dangeard as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Items 5-7 & 9-15 A vot been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromise	election of Fra on boards of th	ank Dangeard Diree other pu	d is conside Iblicly listed	red warranted companies, i	d: * In additio in particular, a	n to his role s Board Cha	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FO been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromise	election of Fra on boards of th	ank Dangeard Bree other pu	d is conside Iblicly listed	red warrante companies, i	d: * In additio in particular, a	n to his role s Board Cha				
9		Re-elect Patrick Flynn as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vol been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromise	election of Fra on boards of th	ank Dangeard Diree other pu	d is conside Iblicly listed	red warranted companies, i	d: * In additio in particular, a	n to his role s Board Cha	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromise	election of Fra on boards of th	ank Dangeard Aree other pu	d is conside Iblicly listed	red warranted companies, i	d: * In additio in particular, a	n to his role s Board Cha				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
10		Re-elect Morten Friis as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A volume been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted companies, i	d: * In addition in particular, a	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted companies, i	d: * In addition in particular, a	n to his role s Board Chai				
11		Re-elect Robert Gillespie as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A volume been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromise	election of Fra On boards of th	ank Dangear aree other pu	d is conside ublicly listea	red warranted Companies, i	d: * In addition in particular, a.	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted companies, i	d: * In addition in particular, a	n to his role s Board Chai				
12		Re-elect Yasmin Jetha as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vole been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromise	election of Fra on boards of th	ank Dangear aree other pu	d is conside ublicly listea	red warranted companies, i	d: * In addition in particular, a	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warranted companies, i	d: * In addition in particular, a	n to his role s Board Chai				
13		Re-elect Mike Rogers as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A volume been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromise.	election of Fra on boards of th	ank Dangear aree other pu	d is conside ublicly listea	red warranted companies, i	d: * In addition in particular, a.	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted companies, i	d: * In addition in particular, a	n to his role s Board Chai				
14		Re-elect Mark Seligman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A volume been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromise	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted companies, i	d: * In addition in particular, a	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted companies, i	d: * In addition in particular, a	n to his role s Board Chai				
15		Re-elect Lena Wilson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A volume been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromise.	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted companies, i	d: * In addition in particular, a	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warranted companies, i	d: * In addition in particular, a	n to his role s Board Chai				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
16		Reappoint Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise the Group Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
18		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorecommended limits.	lutions is warra	anted becaus	se the propo	osed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed	amounts and	durations are	within				
19		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reso recommended limits.	lutions is warra	anted becaus	se the propo	osed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed	amounts and	durations are	within				
20		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	lutions is warra	anted becaus	se the propo	osed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed	amounts and	durations are	within				
21		Authorise Issue of Equity in Connection with Equity Convertible Notes	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reso the Company were to issue Equity Convertible shares, this would result in significant dilution authorities are common proposals at UK banks into ordinary shares would require the CET1 ra the Company's current position and minimum	Notes and a tr to non-particip and are intend atio to fall to a	igger event ating shareh ded to apply level which v	were to occ olders. The in extreme	ur, causing th main reasons circumstance	hem to convert s for support a es only; and *	t into ordinal nre: * Such The convers	y ion			
		Blended Rationale: A vote FOR these resolution Company were to issue Equity Convertible Not shares, this would result in significant dilution authorities are common proposals at UK banks into ordinary shares would require the CET1 ra the Company's current position and minimum	es and a trigge to non-particip and are intend tio to fall to a	er event were ating shareh ded to apply level which v	e to occur, o olders. The in extreme	causing them main reasons circumstance	to convert into s for support a es only; and *	o ordinary are: * Such The convers	ion			
22		Authorise Issue of Equity without Pre-emptive Rights in Connection with Equity Convertible Notes	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reso the Company were to issue Equity Convertible shares, this would result in significant dilution authorities are common proposals at UK banks into ordinary shares would require the CET1 ra the Company's current position and minimum	Notes and a tr to non-particip and are intend atio to fall to a	igger event ating shareh ded to apply level which v	were to occ olders. The in extreme	ur, causing th main reasons circumstance	hem to convert s for support a es only; and *	t into ordinal nre: * Such The convers	y ion			
		Blended Rationale: A vote FOR these resolution Company were to issue Equity Convertible Not shares, this would result in significant dilution authorities are common proposals at UK banks into ordinary shares would require the CET1 ra the Company's current position and minimum	es and a trigge to non-particip and are intend atio to fall to a	er event were ating shareh ded to apply level which v	e to occur, o colders. The in extreme	causing them main reasons circumstance	to convert into s for support a es only; and *	o ordinary are: * Such The convers	ion			
23		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No

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Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
24		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
25		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
26		Authorise Off-Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
27		Authorise Off-Market Purchase of Preference Shares	Mgmt	Yes	For	For		For	For	No	No	No
28		Approve Climate Strategy	Mgmt	Yes	For	For		For	For	No	No	No

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		10,000,000	10,000,000
			04/19/2022	04/19/2022			

Total Shares: 10,000,000 10,000,000

#### **Meeting Results**

Outstanding Shares Voted: 42,278,361,232

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	36,256,226,628	100.0%	4,270,580	0.0%	400,147,260	N/A	Poll	F+A
2	Υ	Pass	No	For	7.3%	33,883,943,928	92.7%	2,649,384,392	7.3%	126,953,196	N/A	Poll	F+A
3	Y	Pass	No	For	1.1%	36,237,314,672	98.9%	414,528,384	1.1%	8,356,700	N/A	Poll	F+A
4	Υ	Pass	No	For	0.1%	36,636,298,020	99.9%	21,477,236	0.1%	2,497,336	N/A	Poll	F+A
5	Υ	Pass	No	For	2.2%	35,837,758,484	97.8%	817,139,400	2.2%	5,349,548	N/A	Poll	F+A
6	Υ	Pass	No	For	0.8%	36,349,901,100	99.2%	305,126,148	0.8%	5,145,304	N/A	Poll	F+A
7	Υ	Pass	No	For	0.1%	36,633,538,964	99.9%	18,950,896	0.1%	7,679,172	N/A	Poll	F+A
8	Υ	Pass	No	For	19.6%	29,459,990,820	80.4%	7,191,766,308	19.6%	8,407,008	N/A	Poll	F+A
9	Υ	Pass	No	For	0.9%	36,333,533,172	99.1%	316,877,396	0.9%	9,748,576	N/A	Poll	F+A
10	Y	Pass	No	For	1.7%	36,043,546,816	98.3%	605,984,960	1.7%	10,641,032	N/A	Poll	F+A
11	Y	Pass	No	For	2.3%	35,818,344,152	97.7%	833,133,592	2.3%	8,695,264	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	36,641,708,504	100.0%	10,362,796	0.0%	8,097,336	N/A	Poll	F+A
13	Y	Pass	No	For	1.0%	36,273,002,496	99.0%	378,076,752	1.0%	9,085,040	N/A	Poll	F+A
14	Υ	Pass	No	For	1.0%	36,274,797,056	99.0%	376,576,944	1.0%	8,784,408	N/A	Poll	F+A
15	Y	Pass	No	For	0.2%	36,575,947,996	99.8%	75,845,056	0.2%	8,369,252	N/A	Poll	F+A
16	Y	Pass	No	For	0.8%	36,349,451,420	99.2%	306,680,040	0.8%	4,153,096	N/A	Poll	F+A
17	Υ	Pass	No	For	0.0%	36,650,358,236	100.0%	5,779,880	0.0%	4,150,160	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
18	Y	Pass	No	For	1.4%	36,146,651,372	98.6%	508,649,596	1.4%	4,648,036	N/A	Poll	F+A
19	Υ	Pass	No	For	0.2%	36,579,472,708	99.8%	68,342,980	0.2%	12,166,628	N/A	Poll	F+A
20	Υ	Pass	No	For	1.8%	36,008,025,720	98.2%	641,460,572	1.8%	10,496,888	N/A	Poll	F+A
21	Υ	Pass	No	For	0.8%	36,345,253,068	99.2%	304,289,184	0.8%	10,362,356	N/A	Poll	F+A
22	Υ	Pass	No	For	1.1%	36,239,519,588	98.9%	408,369,452	1.1%	12,013,976	N/A	Poll	F+A
23	Υ	Pass	No	For	1.9%	35,943,283,764	98.1%	711,583,004	1.9%	4,608,252	N/A	Poll	F+A
24	Υ	Pass	No	For	0.6%	36,449,960,888	99.4%	206,463,928	0.6%	3,391,748	N/A	Poll	F+A
25	Y	Pass	No	For	0.9%	36,325,720,640	99.1%	330,224,316	0.9%	4,272,700	N/A	Poll	F+A
26	Y	Pass	No	For	0.2%	16,225,472,008	99.8%	32,545,616	0.2%	846,227,124	N/A	Poll	F+A
27	Y	Pass	No	For	0.1%	36,614,870,408	99.9%	40,199,816	0.1%	4,764,524	N/A	Poll	F+A
28	Y	Pass	No	For	7.4%	33,666,256,300	92.6%	2,698,582,140	7.4%	295,378,568	N/A	Poll	F+A

### Pfizer Inc.

Meeting Date: 04/28/2022

**Record Date:** 03/02/2022

Earliest Cutoff Date: 04/27/2022

Votable Shares: 121,719

**Primary Security ID:** 717081103

Country: USA

Total Ballots: 1

\*Shares on Loan: 0

Meeting Type: Annual **Primary CUSIP:** 717081103 Ticker: PFE Meeting ID: 1622134

**Primary ISIN:** US7170811035

Voting Policy: ISS

Shares Instructed: 121,719

Proxy Level: 3

**Additional Policy:** 

Primary SEDOL: 2684703

Shares Voted: 121,719

	,						-,			,		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Ronald E. Blaylock	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	rector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	r nominees is warr	ranted.							-	
1.2		Elect Director Albert Bourla	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	rector nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director	r nominees is warr	ranted.							-	
1.3		Elect Director Susan Desmond-Hellmann	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	rector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	r nominees is warr	ranted.								
1.4		Elect Director Joseph J. Echevarria	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	rector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	r nominees is warr	ranted.								
1.5		Elect Director Scott Gottlieb	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	rector nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director	r nominees is warr	ranted.							-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Pfizer Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.6		Elect Director Helen H. Hobbs	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.7		Elect Director Susan Hockfield	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.8		Elect Director Dan R. Littman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.9		Elect Director Shantanu Narayen	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.10		Elect Director Suzanne Nora Johnson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.11		Elect Director James Quincey	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.12		Elect Director James C. Smith	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
2		Ratify KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
4		Amend Proxy Access Right	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this proposilimit would improve the company's existing pro-				ation of the	20-shareholde.	r aggregatio	n			
		Blended Rationale: A vote FOR this proposal is would improve the company's existing proxy at				of the 20-sl	hareholder agg	regation lim	nit			
5		Report on Congruency of Political Electioneering Expenditures with Company Values and Policies	SH	Yes	Against	Against		Against	Against	No	No	No
6		Report on Feasibility of Technology Transfer to Boost Covid-19 Vaccine Production	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propose COVID-19 vaccine inequity and additional information related risks.						_				
		Blended Rationale: A vote FOR this proposal is COVID-19 vaccine inequity and additional inforrelated risks.		-	-			-	ng			

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

#### Pfizer Inc.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Report on Board Oversight of Risks Related to Anticompetitive Practices	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proper of the company's processes and oversight me light of Pfizer's involvement in related controv	chanisms for m									
		Blended Rationale: A vote FOR this proposal is the company's processes and oversight mech- of Pfizer's involvement in related controversies	anisms for man									
8		Report on Public Health Costs of	SH	Yes	Against	Against		Against	Against	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	000392078	Confirmed	jbisset	jbisset		121,719	121,719
			04/25/2022	04/25/2022			
					Total Shares:	121,719	121,719

#### **Meeting Results**

Outstanding Shares Voted: 5,647,774,460

Limited Sharing of Vaccine Technology

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Υ	Pass	No	For	2.6%	3,701,357,646	97.4%	97,026,302	2.6%	10,925,480	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	5.4%	3,577,655,169	94.6%	204,869,446	5.4%	26,783,357	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.4%	3,744,546,772	98.6%	54,872,805	1.4%	9,887,325	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	12.7%	3,315,350,611	87.3%	483,108,900	12.7%	10,848,394	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	0.6%	3,775,202,986	99.4%	23,651,661	0.6%	10,414,283	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	2.5%	3,704,020,993	97.5%	95,742,430	2.5%	9,505,507	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	0.7%	3,773,537,829	99.3%	25,701,939	0.7%	10,029,162	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	1.5%	3,741,506,546	98.5%	57,146,873	1.5%	10,615,511	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	0.8%	3,766,994,058	99.2%	31,021,763	0.8%	11,292,326	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	5.1%	3,605,854,757	94.9%	193,599,113	5.1%	9,854,277	N/A	Proxy	F+A
1.11	Υ	Pass	No	For	1.8%	3,729,849,039	98.2%	68,041,486	1.8%	11,406,359	N/A	Proxy	F+A
1.12	Υ	Pass	No	For	2.7%	3,694,497,573	97.3%	103,133,820	2.7%	11,676,754	N/A	Proxy	F+A
2	Υ	Pass	No	For	4.4%	4,440,636,499	95.6%	204,291,133	4.4%	14,009,991	N/A	Proxy	F+A
3	Υ	Pass	No	For	7.3%	3,509,011,827	92.7%	275,230,428	7.3%	25,057,788	N/A	Proxy	F+A
4	Υ	Fail	No	Against	29.0%	1,096,172,490	29.0%	2,686,465,588	71.0%	26,655,147	N/A	Proxy	F+A
5	Υ	Fail	No	Against	10.4%	393,729,907	10.4%	3,387,577,521	89.6%	27,996,591	N/A	Proxy	F+A
6	Υ	Fail	No	Against	27.4%	1,023,141,083	27.4%	2,714,524,824	72.6%	71,640,637	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
7	Υ	Fail	No	Against	30.4%	1,138,551,810	30.4%	2,600,688,619	69.6%	70,069,294	N/A	Proxy	F+A
8	Υ	Fail	No	Against	8.7%	325,762,383	8.7%	3,415,442,745	91.3%	68,096,240	N/A	Proxy	F+A

# **Serco Group Plc**

Meeting Date: 04/28/2022

Country: United Kingdom Meeting Type: Annual

Ticker: SRP

Proxy Level: N/A

**Record Date:** 04/26/2022

Votable Shares: 2,943,001

Primary Security ID: G80400107 Primary CUSIP: G80400107 **Meeting ID:** 1617843

Primary SEDOL: 0797379

Earliest Cutoff Date: 04/25/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

\*Shares on Loan: 0

Shares Instructed: 2,943,001

**Primary ISIN:** GB0007973794

**Shares Voted:** 2,943,001

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
4		Elect Nigel Crossley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the eleidentified.	ection/re-election o	of the Directo	ors is warra	nted as no sig	gnificant conce	erns have be	een			
		Blended Rationale: A vote FOR the election identified.	n/re-election of the	e Directors is	warranted	as no signific	cant concerns l	have been				
5		Elect Kru Desai as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the eleidentified.	ection/re-election o	of the Directo	ors is warra	nted as no sig	gnificant conce	erns have be	een			
		Blended Rationale: A vote FOR the election identified.	n/re-election of the	e Directors is	warranted	as no signific	cant concerns i	have been				
6		Re-elect John Rishton as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the eleidentified.	ection/re-election o	of the Directo	ors is warra	nted as no sig	gnificant conce	erns have be	een			
		Blended Rationale: A vote FOR the election identified.	n/re-election of the	e Directors is	warranted	as no signific	cant concerns l	have been				
7		Re-elect Rupert Soames as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the eleidentified.	ection/re-election o	of the Directo	ors is warra	nted as no sig	gnificant conce	erns have be	een			
		Blended Rationale: A vote FOR the election identified.	n/re-election of the	e Directors is	warranted	as no signific	cant concerns l	have been				
8		Re-elect Kirsty Bashforth as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the eleidentified.	ection/re-election o	of the Directo	ors is warra	nted as no sig	gnificant conce	erns have be	een			
		Blended Rationale: A vote FOR the election identified.	n/re-election of the	e Directors is	warranted	as no signific	cant concerns i	have been				
9		Re-elect Ian El-Mokadem as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the eleidentified.	ection/re-election o	of the Directo	ors is warra	nted as no sig	gnificant conce	erns have be	een			
		Blended Rationale: A vote FOR the election identified.	n/re-election of the	e Directors is	warranted	as no signific	cant concerns l	have been				

# **Serco Group Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
10		Re-elect Tim Lodge as Director		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FO identified.	R the election	n/re-election o	f the Directo	rs is warran	ted as no sig	nificant conce	rns have bee	en			
		Blended Rationale: A vote FOR the identified.	e election/re-e	election of the	Directors is	warranted a	s no significa	ant concerns h	ave been				
11		Re-elect Dame Sue Owen as Dire	ctor	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FC identified.	R the election	n/re-election o	f the Directo	rs is warran	ted as no sig	nificant conce	rns have bee	en			
		Blended Rationale: A vote FOR the identified.	e election/re-e	election of the	Directors is	warranted a	s no significa	ant concerns h	ave been				
12		Re-elect Lynne Peacock as Directo	or	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FO identified.	R the election	n/re-election o	f the Directo	rs is warran	ted as no sig	nificant conce	rns have bee	en			
		Blended Rationale: A vote FOR the identified.	e election/re-e	election of the	Directors is	warranted a	s no significa	ant concerns h	ave been				
13		Reappoint KPMG LLP as Auditors		Mgmt	Yes	For	For		For	For	No	No	No
14		Authorise the Audit Committee to Remuneration of Auditors	Fix	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FO recommended limits.	R these resol	lutions is warra	anted becaus	se the propo	sed amounts	and durations	s are within				
		Blended Rationale: A vote FOR the recommended limits.	ese resolution	s is warranted	because the	e proposed a	amounts and	durations are	within				
16		Authorise Issue of Equity without Pre-emptive Rights		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FC recommended limits.	R these resol	lutions is warra	anted becaus	se the propo	sed amounts	and durations	s are within				
		Blended Rationale: A vote FOR the recommended limits.	ese resolution	s is warranted	because the	e proposed a	amounts and	durations are	within				
17		Authorise Issue of Equity without Pre-emptive Rights in Connection an Acquisition or Other Capital Investment		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FO recommended limits.	R these resol	lutions is warra	anted becaus	se the propo	sed amounts	and durations	s are within				
		Blended Rationale: A vote FOR the recommended limits.	ese resolution	s is warranted	because the	e proposed a	amounts and	durations are	within				
18		Authorise Market Purchase of Ord Shares	linary	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise UK Political Donations a Expenditure	and	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorise the Company to Call Ge Meeting with Two Weeks' Notice	eneral	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutiona (IA Name, I	l Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	I	Approve	d	Ballot Voting	Status	Votable S	hares	Shares '	Voted
RTRF, RTRF		392078	Confirmed	jbisset		jbisset				2,943,0	01	2,943	,001
				04/12/2022		04/12/202	22						

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Total Shares: 2,943,001 2,943,001

#### **Meeting Results**

Outstanding Shares Voted: 1,209,192,479

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.3%	998,885,371	99.7%	2,896,486	0.3%	11,312,516	N/A	Poll	F+A
2	Υ	Pass	No	For	14.7%	856,094,916	85.3%	147,209,294	14.7%	9,790,163	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	1,012,710,968	100.0%	372,940	0.0%	10,465	N/A	Poll	F+A
4	Υ	Pass	No	For	0.7%	1,005,474,840	99.3%	7,580,584	0.7%	38,949	N/A	Poll	F+A
5	Υ	Pass	No	For	0.7%	1,006,435,468	99.3%	6,609,200	0.7%	49,705	N/A	Poll	F+A
6	Υ	Pass	No	For	10.1%	901,608,167	89.9%	101,676,252	10.1%	9,809,954	N/A	Poll	F+A
7	Υ	Pass	No	For	0.7%	1,006,110,770	99.3%	6,965,352	0.7%	18,251	N/A	Poll	F+A
8	Υ	Pass	No	For	1.0%	992,667,651	99.0%	9,843,346	1.0%	10,583,376	N/A	Poll	F+A
9	Υ	Pass	No	For	0.7%	1,006,088,132	99.3%	6,964,524	0.7%	41,717	N/A	Poll	F+A
10	Υ	Pass	No	For	0.7%	1,006,409,994	99.3%	6,637,824	0.7%	46,555	N/A	Poll	F+A
11	Υ	Pass	No	For	0.7%	1,006,423,057	99.3%	6,617,119	0.7%	54,197	N/A	Poll	F+A
12	Υ	Pass	No	For	7.9%	923,742,639	92.1%	79,543,620	7.9%	9,808,114	N/A	Poll	F+A
13	Υ	Pass	No	For	1.5%	997,710,040	98.5%	15,347,593	1.5%	36,740	N/A	Poll	F+A
14	Υ	Pass	No	For	0.2%	1,011,158,804	99.8%	1,902,647	0.2%	32,922	N/A	Poll	F+A
15	Υ	Pass	No	For	7.4%	937,829,147	92.6%	75,254,052	7.4%	11,174	N/A	Poll	F+A
16	Υ	Pass	No	For	6.7%	945,001,592	93.3%	68,062,756	6.7%	33,025	N/A	Poll	F+A
17	Υ	Pass	No	For	9.7%	914,887,132	90.3%	98,180,943	9.7%	29,298	N/A	Poll	F+A
18	Y	Pass	No	For	1.3%	998,238,960	98.7%	13,488,868	1.3%	1,369,545	N/A	Poll	F+A
19	Υ	Pass	No	For	12.1%	888,124,480	87.9%	122,663,137	12.1%	2,309,756	N/A	Poll	F+A
20	Υ	Pass	No	For	4.0%	972,893,468	96.0%	40,192,618	4.0%	8,287	N/A	Poll	F+A

### **Ambev SA**

Meeting Date: 04/29/2022	Country: Brazil	Ticker: ABEV3	Proxy Level: N/A
<b>Record Date:</b> 03/29/2022	Meeting Type: Annual/Special	<b>Meeting ID:</b> 1616811	
Primary Security ID: P0273U106	Primary CUSIP: P0273U106	Primary ISIN: BRABEVACNOR1	Primary SEDOL: BG7ZWY7
Earliest Cutoff Date: 04/25/2022	Total Ballots: 1	Voting Policy: ISS	Additional Policy:
Votable Shares: 13,000,000	*Shares on Loan: 0	Shares Instructed: 13,000,000	<b>Shares Voted:</b> 13,000,000
Proposal Significant Number Vote Proposal Text		Additional Votir table Mgmt Policy Polic posal Rec ISS Rec Rec Rec	_

Meeting for ADR Holders

Mgmt

No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Ambev SA**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary General Meeting	Mgmt	No								
1		Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2021	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Allocation of Income and Dividends	Mgmt	Yes	For	For		For	For	No	No	No
3a		Elect Fiscal Council Members	Mgmt	Yes	For	Abstain		Abstain	Abstain	Yes	No	No
		Voting Policy Rationale: An ABSTAIN vote recordallow minority shareholders to concentrate their discussed under Item 3b of this meeting agend	ir votes on the			-		-				
		Blended Rationale: An ABSTAIN vote recommendation involves their vote under Item 3b of this meeting agenda.			_							
3b		Elect Vinicius Balbino Bouhid as Fiscal Council Member and Carlos Tersandro Fonseca Adeodato as Alternate Appointed by Minority Shareholder	SH	Yes	None	For		For	For	No	No	No
4		Approve Remuneration of Company's Management	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Remuneration of Fiscal Council Members	Mgmt	Yes	For	For		For	For	No	No	No
		Extraordinary General Meeting	Mgmt	No								
1a		Amend Article 3 Re: Corporate Purpose	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these non-	contentious ac	<i>lministrative</i>	requests is	warranted.						
		Blended Rationale: A vote FOR these non-conte	entious admini	strative requ	iests is warra	anted.						
1b		Amend Article 5 to Reflect Changes in Capital	Mgmt	Yes	For	For		For	For	No	No	No
2		Consolidate Bylaws	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these non-	contentious ac	dministrative	requests is	warranted.						
		Blended Rationale: A vote FOR these non-conte	entious admini.	strative requ	iests is warra	anted.						

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	000392078	Confirmed	jbisset	jbisset		13,000,000	13,000,000
			04/26/2022	04/26/2022			
					Total Shares:	13,000,000	13,000,000

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

74	M-41		Diseldent	M	Discount		0/		0/		0/	D lt	
Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Type	Base

IN

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<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	2.5%	14,000,802,234	97.5%	110,140	0.0%	355,100,245	2.5%	Poll	F+A+AB
2	Υ	Pass	No	For	0.2%	14,327,772,354	99.8%	11,942,616	0.1%	16,297,649	0.1%	Poll	F+A+AB
3a	Y	Pass	No	For	0.1%	11,648,557,003	99.9%	0	N/A	15,075,948	0.1%	Poll	F+A+AB
3b	Y	Pass	No	None	N/A	2,544,672,733	94.5%	4,623,495	0.2%	143,083,440	5.3%	Poll	F+A+AB
4	Y	Pass	No	For	2.6%	13,975,417,069	97.3%	360,807,232	2.5%	19,788,318	0.1%	Poll	F+A+AB
5	Υ	Pass	No	For	0.2%	14,332,525,166	99.8%	2,114,013	0.0%	21,373,440	0.1%	Poll	F+A+AB
	N												
1a	Y	Pass	No	For	0.1%	14,350,731,738	99.9%	684,462	0.0%	16,391,415	0.1%	Poll	F+A+AB
1b	Υ	Pass	No	For	0.1%	14,350,737,483	99.9%	693,469	0.0%	16,376,663	0.1%	Poll	F+A+AB
2	Υ	Pass	No	For	0.1%	14,350,963,416	99.9%	568,125	0.0%	16,276,074	0.1%	Poll	F+A+AB

#### **Bayer AG**

Meeting Date: 04/29/2022

Votable Shares: 1.200.000

Earliest Cutoff Date: 04/19/2022

**Record Date:** 

Primary Security ID: D0712D163

Country: Germany Meeting Type: Annual

Primary CUSIP: D0712D163

Total Ballots: 1 \*Shares on Loan: 0 Ticker: BAYN

Meeting ID: 1609938

Primary ISIN: DE000BAY0017

Voting Policy: ISS Shares Instructed: 1,200,000 Proxy Level: N/A

Primary SEDOL: 5069211

Additional Policy:

Shares Voted: 1,200,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Receive Financial Statements and Statutory Reports; Approve Allocation of Income and Dividends of EUR 2.00 per Share for Fiscal Year 2021	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Discharge of Management Board for Fiscal Year 2021	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: Votes FOR the discharge of the management board and the supervisory board are warranted as there is no evidence that the boards did not fulfill their fiduciary duties in the year under review, though this is not without concerns: \* The management board introduced a five-point plan, which allegedly "provides a clear path to closure of the U.S. glyphosate litigation, which will allow our company, our customers, and our shareholders to move on, put the uncertainty behind us, and focus on our performance, innovations, and contributions to society." Nevertheless, this was only introduced in May 2021, leading to concerns that management (and by extension the supervisory board) was too slow in addressing the Monsanto fallout head on and too focused on the litigation rather than allaying the legitimate concerns of its shareholders and of stakeholders worldwide. \* The supervisory board made discretionary adjustments to the management board's annual bonus in the year under review, leading to large bonus payouts for management despite ongoing and significant litigation against the company, which may raise legitimate concerns for shareholders.

Blended Rationale: Votes FOR the discharge of the management board and the supervisory board are warranted as there is no evidence that the boards did not fulfill their fiduciary duties in the year under review, though this is not without concerns: \* The management board introduced a five-point plan, which allegedly "provides a clear path to closure of the U.S. glyphosate litigation, which will allow our company, our customers, and our shareholders to move on, put the uncertainty behind us, and focus on our performance, innovations, and contributions to society." Nevertheless, this was only introduced in May 2021, leading to concerns that management (and by extension the supervisory board) was too slow in addressing the Monsanto fallout head on and too focused on the litigation rather than allaying the legitimate concerns of its shareholders and of stakeholders worldwide. \* The supervisory board made discretionary adjustments to the management board's annual bonus in the year under review, leading to large bonus payouts for management despite ongoing and significant litigation against the company, which may raise legitimate concerns for shareholders.

# **Bayer AG**

Proposal Significant Number Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3	Approve Discharge of Supervisory Board for Fiscal Year 2021	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Votes FOR the dischal is no evidence that the boards did not fulfill the The management board introduced a five-pulitigation, which will allow our company, our confocus on our performance, innovations, and confocus on our performance, innovations, and confocused on the litigation of	eir fiduciary du pint plan, which ustomers, and partributions to vextension the partributions than ard made discr payouts for ma s for sharehold	tites in the yith allegedly "Ith our sharehold society." New supervisory allaying the etionary adjuanagement of the supervisory actionary adjuanagement of the supervisory allaying the etionary adjuanagement of the supervisory adjua	ear under ro provides a c ders to mot vertheless, t board) was degitimate c ustments to despite ongo	eview, though lear path to ove on, put the this was only too slow in a oncerns of its the managel ping and sign	h this is not wit closure of the L e uncertainty b introduced in I addressing the s shareholders ment board's a ificant litigation	thout concer J.S. glyphosa ehind us, an May 2021, Monsanto and of nnual bonus n against the	ns: ate d i in			
	Blended Rationale: Votes FOR the discharge of evidence that the boards did not fulfill their fic. The management board introduced a five-poin litigation, which will allow our company, our confocus on our performance, innovations, and confocus on our performance, innovations, and confocus our performance, innovations, and conf	duciary duties in the plan, which a sustomers, and ontributions to a extension the contract than ard made discrepayouts for manulary mayouts for manulary discrepayouts for manulary mayouts for manulary payouts for manulary mayouts for manulary payouts for manul	in the year un allegedly "pro our sharehon society." New supervisory allaying the retionary adju anagement o	nder review, ovides a cle ders to mov vertheless, i board) was legitimate c ustments to	though this ar path to clove on, put the this was only too slow in a concerns of its the manager	is not without issure of the U.See uncertainty be introduced in addressing the sea shareholders ment board's a	concerns: * 5. glyphosato ehind us, an May 2021, Monsanto and of nnual bonus	e d			
4.1	Reelect Paul Achleitner to the Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Votes FOR the propos	ed nominees a	re warranted	d.							
	Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
4.2	Reelect Norbert Bischofberger to the Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Votes FOR the propos	ed nominees a	re warranted	1.							
	Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
4.3	Reelect Colleen Goggins to the Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Votes FOR the propos	sed nominees a	re warranted	1.							
	Blended Rationale: Votes FOR the proposed no	ominees are w	arranted.								
5	Approve Remuneration Report	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
	Voting Policy Rationale: A vote AGAINST this is short-term incentive components, resulting in contribution-based pension entitlements for co- considered excessive and are not aligned with under the LTI may not be considered sufficient	payouts that d ertain executive the wider wor	lo not accura es result in p kforce or ma	tely reflect ension cont rket practic	company per ributions/serv e. * Targets v	formance. * Le vice costs that and performan	egacy can be ce metrics	е			
	Blended Rationale: A vote AGAINST this resolute short-term incentive components, resulting in contribution-based pension entitlements for considered excessive and are not aligned with under the LTI may not be considered sufficient	payouts that d ertain executive the wider wor	lo not accura es result in p kforce or ma	tely reflect ension cont rket practic	company per ributions/serv e. * Targets v	formance. * Le vice costs that and performan	egacy can be ce metrics				
6	Approve Affiliation Agreement with Bayer Chemicals GmbH	Mgmt	Yes	For	For		For	For	No	No	No
7	Ratify Deloitte GmbH as Auditors for Fiscal Year 2022	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details											
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number Ballot Status	Instructe	d	Approv	ed	Ballot Voting	Status	Votable S	Shares	Shares	Voted

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Bayer AG**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		1,200,000	1,200,000
			04/20/2022	04/20/2022			
					Total Shares:	1,200,000	1,200,000

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.8%	576,020,745	99.2%	4,559,018	0.8%	11,228,823	N/A	Poll	F+A
2	Υ	Pass	No	For	17.9%	468,365,700	82.1%	102,379,239	17.9%	21,055,373	N/A	Poll	F+A
3	Υ	Pass	No	For	16.8%	474,772,479	83.2%	95,823,506	16.8%	21,190,335	N/A	Poll	F+A
4.1	Y	Pass	No	For	9.0%	521,845,219	91.0%	51,391,094	9.0%	18,561,964	N/A	Poll	F+A
4.2	Y	Pass	No	For	25.5%	439,254,156	74.5%	149,994,085	25.5%	2,558,456	N/A	Poll	F+A
4.3	Y	Pass	No	For	2.4%	574,618,669	97.6%	14,093,883	2.4%	3,094,399	N/A	Poll	F+A
5	Y	Fail	No	For	75.9%	141,792,849	24.1%	446,334,743	75.9%	3,669,116	N/A	Poll	F+A
6	Υ	Pass	No	For	0.2%	588,849,817	99.8%	1,200,443	0.2%	1,726,553	N/A	Poll	F+A
7	Υ	Pass	No	For	0.9%	584,393,209	99.1%	5,495,835	0.9%	1,915,931	N/A	Poll	F+A

## **Coterra Energy Inc.**

Meeting Date: 04/29/2022

Earliest Cutoff Date: 04/28/2022

**Record Date:** 03/08/2022 Primary Security ID: 127097103 Country: USA

Meeting Type: Annual

**Primary CUSIP:** 127097103

**Total Ballots:** 1

Ticker: CTRA

Voting Policy: ISS

Meeting ID: 1622650

**Primary ISIN:** US1270971039

Proxy Level: 3

Primary SEDOL: 2162340

**Additional Policy:** 

Shares Instructed: 800,000 Shares Voted: 800,000

Votable Si	nares: 800,000	*Shares on Loan: 0			Shares In	structed: 800	,000		Shares Voted:	800,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Dorothy M. Ables	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1b		Elect Director Robert S. Boswell	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1c		Elect Director Amanda M. Brock	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								

\*Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Coterra Energy Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1d		Elect Director Dan O. Dinges	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1e		Elect Director Paul N. Eckley	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warr	anted.								
1f		Elect Director Hans Helmerich	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	ninees is warr	anted.								
1g		Elect Director Thomas E. Jorden	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	ninees is warr	anted.								
1h		Elect Director Lisa A. Stewart	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warr	anted.								
1i		Elect Director Frances M. Vallejo	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warr	anted.								
1j		Elect Director Marcus A. Watts	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warr	anted.								
2		Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Annual incentives and objective metrics. Additionally, while the combination of the combination of the combination of the company materially amends as executive chairman, with AGAINST this proposal is warranted.  Blended Rationale: Annual incentives and grant objective metrics. Additionally, while the combination of the combinat	ined company, ined company, ined company, out removing ted equity for ined company inerger, equit	granted the y grants will yment agree entitlements legacy Caboo granted the y grants will yment agree	current CEC revert to ma ment and ch to excise ta t Oil NEOs wa current CEC revert to ma ment and ch	and certain ajority perfor ange-in-con x gross-up p  ere predomi and certain ajority perfor ange-in-con	other NEOs, e mance-based . trol agreement ayments. As so mantly based o other NEOs, e mance-based . trol agreement	ach former starting in twith its for uch, a vote n pre-set ach former starting in twith its for	mer			

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	000392078	Confirmed	jbisset	jbisset		800,000	800,000
			04/25/2022	04/25/2022			
					= Total Shares:	800,000	800,000

### **Coterra Energy Inc.**

#### **Meeting Results**

Outstanding Shares Voted: 810,978,794

Results Available: Full

Item	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	8.1%	646,916,324	91.9%	57,217,676	8.1%	763,957	N/A	Proxy	F+A
1b	Υ	Pass	No	For	15.2%	597,734,200	84.8%	106,787,476	15.2%	376,281	N/A	Proxy	F+A
1c	Υ	Pass	No	For	32.7%	474,075,160	67.3%	230,451,013	32.7%	371,784	N/A	Proxy	F+A
1d	Υ	Pass	No	For	1.9%	690,342,837	98.1%	13,225,306	1.9%	1,329,814	N/A	Proxy	F+A
1e	Υ	Pass	No	For	0.8%	698,822,960	99.2%	5,703,110	0.8%	371,887	N/A	Proxy	F+A
1f	Υ	Pass	No	For	0.6%	700,380,297	99.4%	4,235,995	0.6%	281,665	N/A	Proxy	F+A
1g	Υ	Pass	No	For	0.2%	702,878,946	99.8%	1,657,079	0.2%	361,932	N/A	Proxy	F+A
1h	Υ	Pass	No	For	2.5%	686,690,387	97.5%	17,844,827	2.5%	362,743	N/A	Proxy	F+A
1i	Υ	Pass	No	For	0.7%	698,866,130	99.3%	5,269,095	0.7%	762,732	N/A	Proxy	F+A
1j	Υ	Pass	No	For	5.9%	662,706,725	94.1%	41,816,855	5.9%	374,377	N/A	Proxy	F+A
2	Υ	Pass	No	For	6.0%	694,990,560	94.0%	43,694,710	5.9%	571,677	0.1%	Proxy	F+A+AB
3	Υ	Pass	No	For	26.5%	518,036,857	73.5%	185,954,510	26.4%	906,590	0.1%	Proxy	F+A+AB

### **American Express Company**

Meeting Date: 05/03/2022

Earliest Cutoff Date: 05/02/2022

1c

Record Date: 03/07/2022

Primary Security ID: 025816109

Country: USA

Total Ballots: 1

Meeting Type: Annual

**Primary CUSIP:** 025816109

Votable Shares: 50.347 \*Shares on Loan: 0 Ticker: AXP

Voting Policy: ISS

Meeting ID: 1622793

For

For

For

For

For

Against

No

Yes

No

Yes

No

Yes

**Primary ISIN:** US0258161092

Primary SEDOL: 2026082

**Additional Policy:** 

Proxy Level: 3

Shares Instructed: 50,347 Shares Voted: 50.347 Additional Voting Vote Vote Vote Proposal Significant Votable Mgmt Policy Policy Vote Against Against Against Number **Proposal Text** Proponent Rec ISS Rec Instruction Policy ISS Vote Proposal Rec Rec Mgmt Elect Director Thomas J. Baltimore For No 1a Mgmt Yes For For For No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1b Elect Director Charlene Barshefsky Mgmt Yes For For For Against Yes Yes Yes Voting Policy Rationale: A vote FOR the director nominees is warranted.

> Elect Director John J. Brennan Mamt Yes Voting Policy Rationale: A vote FOR the director nominees is warranted.

Blended Rationale: A vote FOR the director nominees is warranted.

Blended Rationale: A vote FOR the director nominees is warranted.

Elect Director Peter Chernin 1d Mgmt Yes Voting Policy Rationale: A vote FOR the director nominees is warranted.

Blended Rationale: A vote FOR the director nominees is warranted.

For

For

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **American Express Company**

Proposal	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1e		Elect Director Ralph de la Vega	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warr	ranted.								
1f		Elect Director Michael O. Leavitt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warr	ranted.								
1g		Elect Director Theodore J. Leonsis	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is wari	ranted.								
1h		Elect Director Karen L. Parkhill	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warr	ranted.								
1i		Elect Director Charles E. Phillips	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warr	ranted.								
1j		Elect Director Lynn A. Pike Mgmt Yes For For For For No N							No	No		
	Voting Policy Rationale: A vote FOR the director nominees is warranted.											
		Blended Rationale: A vote FOR the director	nominees is warr	ranted.								
1k		Elect Director Stephen J. Squeri	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warr	ranted.								
11		Elect Director Daniel L. Vasella	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warr	ranted.								
1m		Elect Director Lisa W. Wardell	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warr	ranted.								
1n		Elect Director Christopher D. Young	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warr	ranted.								
2		Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
4		Require Independent Board Chair	SH	Yes	Against	Against		Against	For	Yes	Yes	Yes
Ballot Detai	ils											
Institutional A (IA Name, IA	Account Detail Number)	Custodian Account Number Ballot State	us Instructe	ed	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **American Express Company**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	000392078	Confirmed	jbisset	jbisset		50,347	50,347
			04/25/2022	04/25/2022			
					Total Shares:	50,347	50,347

#### **Meeting Results**

Outstanding Shares Voted: 757,288,906

Results Available: Full

, acocan	ding Shares	757,200	,,500		i i i	ncs Available. I all							
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	20.4%	474,033,987	79.6%	121,378,514	20.4%	566,519	N/A	Proxy	F+A
1b	Υ	Pass	No	For	1.8%	584,659,884	98.2%	10,827,262	1.8%	491,874	N/A	Proxy	F+A
1c	Υ	Pass	No	For	0.7%	591,017,498	99.3%	4,391,048	0.7%	570,474	N/A	Proxy	F+A
1d	Y	Pass	No	For	8.2%	546,498,637	91.8%	48,643,326	8.2%	837,057	N/A	Proxy	F+A
1e	Y	Pass	No	For	0.5%	592,138,818	99.5%	3,214,526	0.5%	625,676	N/A	Proxy	F+A
1f	Y	Pass	No	For	2.0%	583,105,402	98.0%	12,055,780	2.0%	817,838	N/A	Proxy	F+A
1g	Y	Pass	No	For	4.1%	570,551,344	95.9%	24,618,491	4.1%	809,185	N/A	Proxy	F+A
1h	Y	Pass	No	For	0.2%	594,212,117	99.8%	1,290,461	0.2%	476,442	N/A	Proxy	F+A
1i	Y	Pass	No	For	0.6%	592,048,919	99.4%	3,312,380	0.6%	617,721	N/A	Proxy	F+A
1j	Y	Pass	No	For	0.5%	592,792,907	99.5%	2,685,445	0.5%	500,668	N/A	Proxy	F+A
1k	Υ	Pass	No	For	5.0%	564,696,146	95.0%	29,681,357	5.0%	1,601,517	N/A	Proxy	F+A
11	Υ	Pass	No	For	3.1%	576,830,349	96.9%	18,317,147	3.1%	831,524	N/A	Proxy	F+A
1m	Υ	Pass	No	For	1.0%	589,607,030	99.0%	5,877,228	1.0%	494,762	N/A	Proxy	F+A
1n	Υ	Pass	No	For	2.0%	583,148,389	98.0%	11,982,251	2.0%	848,380	N/A	Proxy	F+A
2	Υ	Pass	No	For	1.6%	638,940,663	98.4%	10,490,963	1.6%	381,012	N/A	Proxy	F+A
3	Υ	Pass	No	For	4.4%	566,462,109	95.6%	25,952,699	4.4%	3,564,212	N/A	Proxy	F+A
4	Υ	Fail	No	Against	22.2%	132,054,164	22.2%	462,758,910	77.8%	1,165,946	N/A	Proxy	F+A

## **Barrick Gold Corporation**

Elect Director Mark Bristow

1.1

Meeting Date: 05/03/2022	Country: Canada		Ticker: A				Proxy Level	: 3		
Record Date: 03/04/2022 Primary Security ID: 067901108	Meeting Type: Annual Primary CUSIP: 067901108		-	ID: 1605626 ISIN: CA067			Primary SEI	<b>OOL:</b> 20246	544	
Earliest Cutoff Date: 04/28/2022 Votable Shares: 2,900,000	Total Ballots: 1 *Shares on Loan: 0		Voting Po	licy: ISS structed: 2,90	00,000		Additional Po Shares Voted	•		
Proposal Significant Number Vote Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Mgmt

Yes

For

For

For

No

No

No

# **Barrick Gold Corporation**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
1.2		Elect Director Helen Cai	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
1.3		Elect Director Gustavo A. Cisneros	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this i	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
1.4		Elect Director Christopher L. Coleman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
1.5		Elect Director J. Michael Evans	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
1.6		Elect Director Brian L. Greenspun	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
1.7		Elect Director J. Brett Harvey	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
1.8		Elect Director Anne Kabagambe	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
1.9		Elect Director Andrew J. Quinn	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
1.10		Elect Director Loreto Silva	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
1.11		Elect Director John L. Thornton	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
2		Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote on Executive Compensation Approach	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Barrick Gold Corporation**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	000392078	Confirmed	jbisset	jbisset		2,900,000	2,900,000
			04/21/2022	04/21/2022			
					Total Shares:	2,900,000	2,900,000

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Υ	Pass	No	For	0.8%	1,140,613,452	99.2%	9,265,531	0.8%	N/A	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	0.8%	1,140,640,796	99.2%	9,238,187	0.8%	N/A	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	12.8%	1,002,985,227	87.2%	146,893,756	12.8%	N/A	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	6.5%	1,074,654,343	93.5%	75,224,640	6.5%	N/A	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	7.0%	1,068,880,403	93.0%	80,998,580	7.0%	N/A	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	5.1%	1,091,664,049	94.9%	58,214,934	5.1%	N/A	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	6.2%	1,079,124,936	93.8%	70,754,047	6.2%	N/A	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	0.8%	1,140,105,168	99.2%	9,773,815	0.8%	N/A	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	0.8%	1,140,362,907	99.2%	9,516,076	0.8%	N/A	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	2.9%	1,116,053,879	97.1%	33,825,104	2.9%	N/A	N/A	Proxy	F+A
1.11	Y	Pass	No	For	12.6%	1,004,668,858	87.4%	145,210,125	12.6%	N/A	N/A	Proxy	F+A
2	Υ	Pass	No	For	11.6%	1,116,834,090	88.4%	146,909,395	11.6%	N/A	N/A	Proxy	F+A
3	Υ	Pass	No	For	6.9%	1,070,634,153	93.1%	79,315,934	6.9%	N/A	N/A	Proxy	F+A

## **Barclays Plc**

Meeting Date: 05/04/2022 Country: United Kingdom Ticker: BARC Proxy Level: N/A **Record Date:** 04/29/2022 Meeting Type: Annual **Meeting ID:** 1610879 Primary Security ID: G08036124 Primary CUSIP: G08036124 **Primary ISIN:** GB0031348658 Primary SEDOL: 3134865 Earliest Cutoff Date: 04/28/2022 Total Ballots: 1 Voting Policy: ISS **Additional Policy:** Votable Shares: 6,050,900 \*Shares on Loan: 0 Shares Instructed: 6,050,900 **Shares Voted:** 6,050,900

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No

# **Barclays Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Elect Coimbatore Venkatakrishnan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
4		Elect Robert Berry as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
5		Elect Anna Cross as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	s have been ide	entified.				
6		Re-elect Mike Ashley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	s have been ide	entified.				
7		Re-elect Tim Breedon as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	idates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	s have been ide	entified.				
8		Re-elect Mohamed A. El-Erian as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	idates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
9		Re-elect Dawn Fitzpatrick as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
10		Re-elect Mary Francis as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	idates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
11		Re-elect Crawford Gillies as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	s have been ide	entified.				
12		Re-elect Brian Gilvary as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	idates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
13		Re-elect Nigel Higgins as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	idates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
14		Re-elect Diane Schueneman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	idates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	erial concerns	have been ide	entified.				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Barclays Plc**

Daici	uys i ic											
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
15		Re-elect Julia Wilson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is cons	idered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considere	d warranted,	. as no mate	rial concerns	have been ide	entified.				
16		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise the Board Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
18		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	olutions is warr	anted becau	se the propo	sed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because th	e proposed a	amounts and	durations are	within				
20		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	olutions is warr	anted becau	se the propo	sed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because th	e proposed (	amounts and	durations are	within				
21		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	olutions is warr	anted becau	se the propo	sed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because th	e proposed a	amounts and	durations are	within				
22		Authorise Issue of Equity in Relation to the Issuance of Contingent Equity Conversion Notes.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorthe Company were to issue Equity Conversion ordinary shares, this would result in significant Such authorities are common proposals at UK conversion into ordinary shares would require well beyond the Company's current position and	Notes (ECNs) of the dilution to not banks and are the CET1 ratio	and a trigge n-participatir intended to to fall to a l	r event were ng sharehold apply in ext evel which w	to occur, cal lers. The mail reme circums	using them to in reasons for s stances only; a	convert into support are: and * The	*			
		Blended Rationale: A vote FOR these resolution Company were to issue Equity Conversion Note ordinary shares, this would result in significant. Such authorities are common proposals at UK conversion into ordinary shares would require well beyond the Company's current position and	tes (ECNs) and t dilution to no banks and are the CET1 ratio	a trigger even n-participatir intended to to fall to a l	ent were to o ng shareholo apply in exti evel which w	occur, causing lers. The mail reme circums	g them to con in reasons for s stances only; a	vert into support are: and * The	*		-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Barclays Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
23		Authorise Issue of Equit Pre-emptive Rights in R Issuance of Contingent Conversion Notes.	elation to the	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: the Company were to iss ordinary shares, this won Such authorities are conconversion into ordinary well beyond the Company	sue Equity Conversion uld result in significant amon proposals at UK shares would require	Notes (ECNs) a dilution to nor banks and are the CET1 ratio	and a trigger n-participatin intended to to fall to a l	event were ng sharehold apply in ext evel which v	e to occur, ca ders. The mai treme circums	using them to in reasons for s stances only; a	convert into support are: and * The	*			
		Blended Rationale: A voi Company were to issue a ordinary shares, this won Such authorities are con conversion into ordinary well beyond the Compan	Equity Conversion Note auld result in significant amon proposals at UK a shares would require	es (ECNs) and a dilution to nor banks and are the CET1 ratio	a trigger even n-participatin intended to to fall to a l	ent were to ng sharehold apply in ext evel which v	occur, causin ders. The mai reme circums	ng them to cont in reasons for s stances only; a	vert into support are: and * The	*			
24		Authorise Market Purcha Shares	ase of Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
25		Authorise the Company Meeting with Two Week		Mgmt	Yes	For	For		For	For	No	No	No
26		Approve Barclays' Clima Targets and Progress 20	•	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detai	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Instructed	i	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares '	Voted	
RTRF, RTRF		392078	Confirmed	jbisset		jbisset				6,050,9	900	6,050	,900
			04/27/2022	2	04/27/20	22							

Total Shares:

6,050,900

6,050,900

#### **Meeting Results**

Outstanding Shares Voted: 16,769,307,716 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.4%	11,370,192,975	99.6%	42,249,957	0.4%	51,159,767	N/A	Poll	F+A
2	Υ	Pass	No	For	11.0%	10,193,013,827	89.0%	1,255,388,727	11.0%	15,189,796	N/A	Poll	F+A
3	Υ	Pass	No	For	0.3%	11,408,432,166	99.7%	38,457,409	0.3%	16,705,032	N/A	Poll	F+A
4	Υ	Pass	No	For	0.3%	11,406,754,559	99.7%	39,277,758	0.3%	17,562,290	N/A	Poll	F+A
5	Υ	Pass	No	For	0.6%	11,377,885,907	99.4%	67,974,951	0.6%	17,733,745	N/A	Poll	F+A
6	Υ	Pass	No	For	2.6%	11,143,328,173	97.4%	302,829,395	2.6%	17,445,128	N/A	Poll	F+A
7	Υ	Pass	No	For	1.2%	11,305,790,590	98.8%	139,917,399	1.2%	17,886,614	N/A	Poll	F+A
8	Υ	Pass	No	For	1.1%	11,261,462,765	98.9%	126,380,073	1.1%	75,714,452	N/A	Poll	F+A
9	Y	Pass	No	For	0.5%	11,383,933,847	99.5%	62,389,332	0.5%	17,271,424	N/A	Poll	F+A
10	Υ	Pass	No	For	0.7%	11,370,246,574	99.3%	76,129,648	0.7%	17,218,381	N/A	Poll	F+A
11	Υ	Pass	No	For	1.4%	11,285,576,744	98.6%	160,377,664	1.4%	17,640,199	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
12	Υ	Pass	No	For	1.9%	11,234,103,167	98.1%	211,865,132	1.9%	17,626,308	N/A	Poll	F+A
13	Υ	Pass	No	For	6.2%	10,735,148,686	93.8%	712,179,320	6.2%	16,266,597	N/A	Poll	F+A
14	Υ	Pass	No	For	1.3%	11,300,180,952	98.7%	146,080,001	1.3%	17,333,654	N/A	Poll	F+A
15	Υ	Pass	No	For	0.3%	11,417,244,655	99.7%	29,089,359	0.3%	17,260,589	N/A	Poll	F+A
16	Υ	Pass	No	For	1.3%	11,300,158,021	98.7%	148,322,981	1.3%	15,113,601	N/A	Poll	F+A
17	Υ	Pass	No	For	0.1%	11,440,080,182	99.9%	9,013,748	0.1%	14,500,676	N/A	Poll	F+A
18	Υ	Pass	No	For	1.0%	11,198,591,631	99.0%	112,492,402	1.0%	152,510,570	N/A	Poll	F+A
19	Υ	Pass	No	For	5.5%	10,818,544,405	94.5%	630,264,985	5.5%	14,785,213	N/A	Poll	F+A
20	Υ	Pass	No	For	2.5%	11,160,246,912	97.5%	287,483,293	2.5%	15,864,402	N/A	Poll	F+A
21	Υ	Pass	No	For	3.9%	10,996,934,143	96.1%	450,700,722	3.9%	15,959,742	N/A	Poll	F+A
22	Υ	Pass	No	For	1.7%	11,252,640,901	98.3%	194,169,214	1.7%	16,784,487	N/A	Poll	F+A
23	Υ	Pass	No	For	2.2%	11,194,105,433	97.8%	252,009,639	2.2%	17,479,530	N/A	Poll	F+A
24	Υ	Pass	No	For	1.4%	11,269,172,494	98.6%	157,112,355	1.4%	37,309,759	N/A	Poll	F+A
25	Υ	Pass	No	For	3.9%	11,004,205,590	96.1%	444,501,721	3.9%	14,887,295	N/A	Poll	F+A
26	Υ	Pass	No	For	19.2%	9,171,063,235	80.8%	2,177,434,005	19.2%	115,105,456	N/A	Poll	F+A

## **General Electric Company**

Meeting Date: 05/04/2022

**Record Date:** 03/08/2022

Primary Security ID: 369604301

Country: USA

Meeting Type: Annual

**Primary CUSIP:** 369604301

Ticker: GE

**Meeting ID:** 1622256

**Primary ISIN:** US3696043013

Proxy Level: 4

Primary SEDOL: BL59CR9

Earliest Cutoff Date: 05/03/2022 Votable Shares: 117,376

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS

Shares Instructed: 117,376

**Additional Policy:** Shares Voted: 117,376

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Stephen Angel	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	ector nominees	is warranted.								
		Blended Rationale: A vote FOR the director	nominees is wa	rranted.								
1b		Elect Director Sebastien Bazin	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	ector nominees i	is warranted.								
		Blended Rationale: A vote FOR the director	nominees is wa	rranted.							-	
1c		Elect Director Ashton Carter	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	ector nominees i	is warranted.								
		Blended Rationale: A vote FOR the director	nominees is wa	rranted.							-	
1d		Elect Director H. Lawrence Culp, Jr.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	ector nominees i	is warranted.								
		Blended Rationale: A vote FOR the director	r nominees is wa	rranted.							-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **General Electric Company**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1e		Elect Director Francisco D'Souza	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
1f		Elect Director Edward Garden	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
1g		Elect Director Isabella Goren	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
1h		Elect Director Thomas Horton	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
1i		Elect Director Risa Lavizzo-Mourey	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
1j		Elect Director Catherine Lesjak	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
1k		Elect Director Tomislav Mihaljevic	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
11		Elect Director Paula Rosput Reynolds	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
1m		Elect Director Leslie Seidman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this part to last year's failed say-on-pay vote by lowering similarly adjust performance metrics for previous. The committee made a sizable metrics for both the short- and long-term incentive awards shifted from a three-year permetrics.  Blended Rationale: A vote AGAINST this proposed year's failed say-on-pay vote by lowering the cadjust performance metrics for previously grant review. The committee made a sizable adjust awards thifted from a three year parts are supported thifted from a three year parts are formance.	ng the CEO's Finally granted a adjustment to ntive awards, a formance periodes all is warrante CEO's FY22 equated awards. In ment to free cawards, and the causily granted awards, and the causely granted awards.	Y22 equity g wards. How free cash fla and the adju od to a single ed. The com, uity grant by lowever, pay ish flow for h adjustment	rant by \$10 ever, pay-fo ow for FY21, stment is no ee, annual pe opensation co \$10 million erfor-perform erfy21, which is not well-ee	million and n r-performanc which appea t well-explain enformance po mmittee was and making nance concer appears to a explained. In a	making a comme te concerns are ars to affect th ned. In additio eriod for the to a sufficiently re a commitment as are raised fo ffect the perfor addition, long-	nitment not to a raised for to be performand in long-term wo primary asponsive to a rot to similar the year in the year in the year in the year in the term incentiin.	to the oce last arly in			

awards shifted from a three-year performance period to a single, annual performance period for the two primary metrics.

### **General Electric Company**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Ratify Deloitte & Touche LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Omnibus Stock Plan	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Cessation of All Executive Stock Option and Bonus Programs	SH	Yes	Against	Against		Against	Against	No	No	No
6		Submit Severance Agreement (Change-in-Control) to Shareholder Vote	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this ite	m is warranted di	ven that it is	nositive for	shareholder	s to have the a	ahility to yot	e on		-	

Voting Policy Rationale: A vote FOR this item is warranted given that it is positive for shareholders to have the ability to vote on severance amounts that exceed market norms, the proposal applies only to future severance arrangements, leaving current agreements unaffected, and the proposal offers flexibility as to when the board may seek shareholder approval of a new or renewed severance arrangement, such as at the next annual meeting.

Blended Rationale: A vote FOR this item is warranted given that it is positive for shareholders to have the ability to vote on severance amounts that exceed market norms, the proposal applies only to future severance arrangements, leaving current agreements unaffected, and the proposal offers flexibility as to when the board may seek shareholder approval of a new or renewed severance arrangement, such as at the next annual meeting.

Approve Nomination of Employee Representative Director Yes

SH

Against

Against

Against

Against

No

N

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	000392078	Confirmed	jbisset	jbisset		117,376	117,376
			04/28/2022	04/28/2022			
					Total Shares:	117,376	117,376

#### **Meeting Results**

Outstanding Shares Voted: 1,101,751,355

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	0.7%	739,036,647	99.3%	5,271,341	0.7%	1,712,028	N/A	Proxy	F+A
1b	Υ	Pass	No	For	8.9%	677,985,798	91.1%	66,330,288	8.9%	1,703,930	N/A	Proxy	F+A
1c	Υ	Pass	No	For	2.2%	728,330,317	97.8%	16,011,340	2.2%	1,678,359	N/A	Proxy	F+A
1d	Υ	Pass	No	For	5.3%	705,008,080	94.7%	39,354,660	5.3%	1,657,276	N/A	Proxy	F+A
1e	Υ	Pass	No	For	8.4%	681,539,057	91.6%	62,795,701	8.4%	1,685,258	N/A	Proxy	F+A
1f	Υ	Pass	No	For	9.4%	674,143,368	90.6%	70,156,378	9.4%	1,720,270	N/A	Proxy	F+A
1g	Υ	Pass	No	For	0.7%	738,978,998	99.3%	5,453,438	0.7%	1,587,580	N/A	Proxy	F+A
1h	Υ	Pass	No	For	20.7%	590,378,372	79.3%	153,943,931	20.7%	1,697,713	N/A	Proxy	F+A
1i	Υ	Pass	No	For	5.2%	704,380,479	94.8%	38,827,578	5.2%	2,811,959	N/A	Proxy	F+A
1j	Υ	Pass	No	For	2.5%	725,485,303	97.5%	18,963,177	2.5%	1,571,536	N/A	Proxy	F+A
1k	Υ	Pass	No	For	0.8%	738,607,016	99.2%	5,691,690	0.8%	1,721,310	N/A	Proxy	F+A
11	Υ	Pass	No	For	8.4%	681,533,797	91.6%	62,889,572	8.4%	1,596,647	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1m	Υ	Pass	No	For	2.2%	727,790,426	97.8%	16,590,879	2.2%	1,638,711	N/A	Proxy	F+A
2	Υ	Pass	No	For	34.5%	486,608,605	65.5%	256,337,513	34.5%	3,073,898	N/A	Proxy	F+A
3	Υ	Pass	No	For	0.5%	876,945,753	99.5%	4,028,094	0.5%	2,089,815	N/A	Proxy	F+A
4	Υ	Pass	No	For	7.2%	689,244,390	92.8%	53,874,784	7.2%	2,900,842	N/A	Proxy	F+A
5	Υ	Fail	No	Against	1.9%	14,161,225	1.9%	727,850,067	98.1%	4,008,724	N/A	Proxy	F+A
6	Υ	Fail	No	Against	36.2%	269,056,908	36.2%	473,798,432	63.8%	3,164,676	N/A	Proxy	F+A
7	Υ	Fail	No	Against	4.7%	34,732,629	4.7%	707,970,853	95.3%	3,316,534	N/A	Proxy	F+A

#### **GlaxoSmithKline Plc**

**Meeting Date:** 05/04/2022 **Record Date:** 04/29/2022

Record Date: 04/29/2022 Primary Security ID: G3910J112

Earliest Cutoff Date: 04/28/2022 Votable Shares: 1,000,000 **Country:** United Kingdom **Meeting Type:** Annual

Primary CUSIP: G3910J112

\*Shares on Loan: 0

Total Ballots: 1

Elect Anne Beal as Director

Ticker: GSK

**Meeting ID:** 1616361

Primary ISIN: GB0009252882

Voting Policy: ISS

Shares Instructed: 1,000,000

Proxy Level: N/A

Primary SEDOL: 0925288

Shares Voted: 1,000,000

Additional Policy:

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Remuneration Policy	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes

Voting Policy Rationale: A vote AGAINST the remuneration policy is warranted because: \* The Company is seeking to increase bonus opportunity from 2x to 3x. salary. The amplified bonus opportunity would create the highest bonus potential, as a multiple of salary, for any company in the FTSE 10. It is acknowledged that the higher limit requires higher performance, only materializing on outperformance of the Company's new strategic targets. It is also noted that there would be lower pay for below target performance. In addition, the targets are firmly rooted in the new strategy and there is a clear link between the profit and sales objectives, as announced in June 2021, and new pay arrangements. However, these mitigating factors do not offset concerns with the quantum; the increased emphasis on short -term performance; or the precedent that this level of bonus opportunity would create. Further, the demerger will decrease the Company's market cap and the complexity of its operations. The proposed increase does not appear consistent with this background.

Blended Rationale: A vote AGAINST the remuneration policy is warranted because: \* The Company is seeking to increase bonus opportunity from 2x to 3x. salary. The amplified bonus opportunity would create the highest bonus potential, as a multiple of salary, for any company in the FTSE 10. It is acknowledged that the higher limit requires higher performance, only materializing on outperformance of the Company's new strategic targets. It is also noted that there would be lower pay for below target performance. In addition, the targets are firmly rooted in the new strategy and there is a clear link between the profit and sales objectives, as announced in June 2021, and new pay arrangements. However, these mitigating factors do not offset concerns with the quantum; the increased emphasis on short -term performance; or the precedent that this level of bonus opportunity would create. Further, the demerger will decrease the Company's market cap and the complexity of its operations. The proposed increase does not appear consistent with this background.

Voting Policy Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.

Yes

Blended Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.

Mgmt

Elect Harry Dietz as Director Mgmt Yes For For For For No

For

For

For

For

Nο

Nο

No

No

No

Voting Policy Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.

Blended Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.

## **GlaxoSmithKline Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
6		Re-elect Sir Jonathan Symonds as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these ca	ndidates is consi	idered warra	nted as no	material conc	erns have bee	n identified.					
		Blended Rationale: A vote FOR these candida	ates is considere	d warranted	as no mate	rial concerns	have been ide	ntified.					
7		Re-elect Dame Emma Walmsley as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these ca	ndidates is consi	idered warra	nted as no	material conc	erns have bee	n identified.					
		Blended Rationale: A vote FOR these candida	ates is considere	d warranted	as no mate	rial concerns	have been ide	ntified.					
8		Re-elect Charles Bancroft as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these ca	ndidates is consi	idered warra	nted as no	material conc	erns have bee	n identified.					
		Blended Rationale: A vote FOR these candida	ates is considere	d warranted	as no mate	rial concerns	have been ide	ntified.			•		
9		Re-elect Vindi Banga as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these ca	ndidates is consi	idered warra	nted as no l	material conc	erns have bee	n identified.					
		Blended Rationale: A vote FOR these candida	ates is considere	d warranted	as no mate	rial concerns	have been ide	ntified.					
10		Re-elect Hal Barron as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these ca	ndidates is consi	idered warra	nted as no l	material conc	erns have bee	n identified.					
		Blended Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.											
11		Re-elect Dame Vivienne Cox as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these ca	ndidates is consi	idered warra	nted as no l	material conc	erns have bee	n identified.					
		Blended Rationale: A vote FOR these candida	ates is considere	d warranted	as no mate	rial concerns	have been ide	ntified.					
12		Re-elect Lynn Elsenhans as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these ca	ndidates is consi	idered warra	nted as no	material conc	erns have bee	n identified.					
		Blended Rationale: A vote FOR these candida	ates is considere	d warranted	as no mate	rial concerns	have been ide	ntified.					
13		Re-elect Laurie Glimcher as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these ca	ndidates is consi	idered warra	nted as no	material conc	erns have bee	n identified.					
		Blended Rationale: A vote FOR these candida	ates is considere	d warranted	as no mate	rial concerns	have been ide	ntified.					
14		Re-elect Jesse Goodman as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these ca	ndidates is consi	idered warra	nted as no	material conc	erns have bee	n identified.					
		Blended Rationale: A vote FOR these candida	ates is considere	d warranted	as no mate	rial concerns	have been ide	ntified.					
15		Re-elect Iain Mackay as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these ca	ndidates is consi	idered warra	nted as no l	material conc	erns have bee	n identified.					
		Blended Rationale: A vote FOR these candida	ates is considere	d warranted	as no mate	rial concerns	have been ide	ntified.					
16		Re-elect Urs Rohner as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these ca	ndidates is consi	idered warra	nted as no l	material conc	erns have bee	n identified.					
		,											
		Blended Rationale: A vote FOR these candida	ates is considere	d warranted	as no mate	rial concerns	have been ide	ntified.					
17			ates is considere  Mgmt	d warranted Yes	as no mate	rial concerns	have been ide	ntified. For	For	No	No	No	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **GlaxoSmithKline Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
19		Authorise UK Political Donation: Expenditure	s and	Mgmt	Yes	For	For		For	For	No	No	No	
20		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote in recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within					
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	because the	e proposed a	amounts and	durations are	within					
21		Authorise Issue of Equity witho Pre-emptive Rights	ut	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within					
		Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Authorise Issue of Equity without Momt Yes For For For For No												
22		Authorise Issue of Equity witho Pre-emptive Rights in Connection an Acquisition or Other Capital Investment		Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.												
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	because the	e proposed a	amounts and	durations are	within					
23		Authorise Market Purchase of C Shares	ordinary	Mgmt	Yes	For	For		For	For	No	No	No	
24		Approve the Exemption from Statement of the Name of the S Statutory Auditor in Published O of the Auditors' Reports		Mgmt	Yes	For	For		For	For	No	No	No	
25		Authorise the Company to Call Meeting with Two Weeks' Notice		Mgmt	Yes	For	For		For	For	No	No	No	
26		Approve Share Save Plan		Mgmt	Yes	For	For		For	For	No	No	No	
27		Approve Share Reward Plan		Mgmt	Yes	For	For		For	For	No	No	No	
28		Adopt New Articles of Association	on	Mgmt	Yes	For	For		For	For	No	No	No	
Ballot Deta	ails													
Institutional (IA Name, I <i>I</i>	Account Detail A Number)	Custodian Account Number Ballot Status Instructed			I	Approve	d	Ballot Voting	Status	Votable Si	nares	Shares	Voted	
RTRF, RTRF		392078	Confirmed	jbisset		jbisset				1,000,0	00	1,000	,000	
				04/25/2022		04/25/202	22							

#### **Meeting Results**

Outstanding Shares Voted: 5,083,974,547 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	1.0%	3,524,582,633	99.0%	35,137,552	1.0%	11,843,164	N/A	Poll	F+A
2	Υ	Pass	No	For	8.9%	3,240,737,115	91.1%	318,510,405	8.9%	12,317,062	N/A	Poll	F+A
3	Υ	Pass	No	For	38.2%	2,197,755,545	61.8%	1,360,515,540	38.2%	13,294,793	N/A	Poll	F+A

Total Shares:

1,000,000

1,000,000

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
4	Υ	Pass	No	For	0.5%	3,546,443,179	99.5%	16,055,842	0.5%	9,042,781	N/A	Poll	F+A
5	Y	Pass	No	For	0.5%	3,545,845,271	99.5%	16,469,238	0.5%	9,224,475	N/A	Poll	F+A
6	Υ	Pass	No	For	2.2%	3,482,374,837	97.8%	79,898,313	2.2%	9,274,730	N/A	Poll	F+A
7	Υ	Pass	No	For	0.2%	3,555,195,651	99.8%	7,564,971	0.2%	8,806,465	N/A	Poll	F+A
8	Υ	Pass	No	For	1.6%	3,503,934,446	98.4%	58,393,472	1.6%	9,214,474	N/A	Poll	F+A
9	Υ	Pass	No	For	2.2%	3,483,288,426	97.8%	78,881,291	2.2%	9,373,841	N/A	Poll	F+A
10	Υ	Pass	No	For	0.5%	3,546,018,185	99.5%	16,347,031	0.5%	9,173,855	N/A	Poll	F+A
11	Υ	Pass	No	For	0.9%	3,531,865,048	99.1%	30,474,324	0.9%	9,204,548	N/A	Poll	F+A
12	Υ	Pass	No	For	2.4%	3,476,281,291	97.6%	86,142,909	2.4%	9,118,541	N/A	Poll	F+A
13	Υ	Pass	No	For	0.2%	3,556,622,114	99.8%	5,547,225	0.2%	9,375,696	N/A	Poll	F+A
14	Y	Pass	No	For	0.2%	3,555,655,121	99.8%	6,515,409	0.2%	9,367,820	N/A	Poll	F+A
15	Υ	Pass	No	For	0.3%	3,551,784,186	99.7%	10,432,989	0.3%	9,333,434	N/A	Poll	F+A
16	Υ	Pass	No	For	4.3%	3,410,175,068	95.7%	151,806,419	4.3%	9,561,680	N/A	Poll	F+A
17	Υ	Pass	No	For	0.2%	3,557,280,686	99.8%	5,797,033	0.2%	8,481,780	N/A	Poll	F+A
18	Υ	Pass	No	For	0.1%	3,555,233,064	99.9%	5,000,395	0.1%	11,338,880	N/A	Poll	F+A
19	Υ	Pass	No	For	1.4%	3,493,409,287	98.6%	48,161,797	1.4%	30,003,446	N/A	Poll	F+A
20	Υ	Pass	No	For	3.7%	3,430,993,149	96.3%	130,127,471	3.7%	10,593,875	N/A	Poll	F+A
21	Υ	Pass	No	For	2.8%	3,460,375,320	97.2%	98,220,644	2.8%	12,963,416	N/A	Poll	F+A
22	Y	Pass	No	For	4.4%	3,404,168,390	95.6%	154,942,194	4.4%	12,448,092	N/A	Poll	F+A
23	Υ	Pass	No	For	1.2%	3,517,497,794	98.8%	43,085,674	1.2%	10,990,502	N/A	Poll	F+A
24	Y	Pass	No	For	0.4%	3,523,554,816	99.6%	14,359,955	0.4%	33,655,391	N/A	Poll	F+A
25	Y	Pass	No	For	6.4%	3,331,432,180	93.6%	227,176,994	6.4%	12,950,914	N/A	Poll	F+A
26	Y	Pass	No	For	1.4%	3,508,449,940	98.6%	49,235,709	1.4%	13,896,262	N/A	Poll	F+A
27	Y	Pass	No	For	1.1%	3,516,666,377	98.9%	40,690,090	1.1%	14,160,284	N/A	Poll	F+A
28	Υ	Pass	No	For	0.2%	3,549,030,121	99.8%	6,964,097	0.2%	15,501,889	N/A	Poll	F+A

Meeting Date: 05/04/2022	Country: Sweden		Ticker: Hi	M.B			Proxy Level	: N/A		
<b>Record Date:</b> 04/26/2022	Meeting Type: Annual		Meeting 1	<b>ID:</b> 1629192	2					
Primary Security ID: W41422101	Primary CUSIP: W41422101		Primary I	ISIN: SE000	0106270		Primary SED	<b>OOL:</b> 56874	31	
Earliest Cutoff Date: 04/26/2022	Total Ballots: 1		Voting Poli	icy: ISS			Additional Po	licy:		
Votable Shares: 1,200,000	*Shares on Loan: 0		Shares Ins	structed: 1,20	00,000		Shares Voted	: 1,200,000		
Proposal Significant Number Vote Proposal Text		otable roposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS

1 Open Meeting Mgmt No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Voting Policy Rationale: These are routine mee	eting formalitie.	s.								
		Blended Rationale: These are routine meeting	formalities.									
2		Elect Chairman of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	eting formalitie.	s.								
		Blended Rationale: These are routine meeting	formalities.									
3.1		Designate Jan Andersson as Inspector of Minutes of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	eting formalitie.	S.								
		Blended Rationale: These are routine meeting	formalities.									
3.2		Designate Erik Durhan as Inspector of Minutes of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	eting formalitie.	s.								
		Blended Rationale: These are routine meeting	formalities.									
4		Prepare and Approve List of Shareholders	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	eting formalitie.	s.								
		Blended Rationale: These are routine meeting	formalities.									
5		Approve Agenda of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	eting formalitie.	s.								
		Blended Rationale: These are routine meeting	formalities.									
6		Acknowledge Proper Convening of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	eting formalitie.	s.								
		Blended Rationale: These are routine meeting	formalities.									
7		Receive Financial Statements and Statutory Reports	Mgmt	No								
		Voting Policy Rationale: These are routine, nor	n-voting items.									
		Blended Rationale: These are routine, non-vot	ing items.									
8		Comments by Auditor, Chair of The Board and CEO; Questions from Shareholders to The Board and Management	Mgmt	No								
		Voting Policy Rationale: These are routine, nor	n-voting items.									
		Blended Rationale: These are routine, non-vot	ing items.									
9.a		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
9.b		Approve Allocation of Income and Dividends of SEK 6.50 Per Share	Mgmt	Yes	For	For		For	For	No	No	No
9.c1		Approve Discharge of Board Chair Karl-Johan Persson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop- fulfilled their fiduciary duties.	oosals is warrai	nted as there	e is no evide	nce that the	board director	s have not				
		Blended Rationale: A vote FOR these proposal their fiduciary duties.	s is warranted	as there is n	o evidence t	hat the boar	rd directors hav	ve not fulfille	ed			

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9.c2		Approve Discharge of Board Member Stina Bergfors	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propulational their fiduciary duties.	oosals is warrai	nted as there	e is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			
9.c3		Approve Discharge of Board Member Anders Dahlvig	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop- fulfilled their fiduciary duties.	oosals is warrai	nted as there	e is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted i	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			
9.c4		Approve Discharge of Board Member Danica Kragic Jensfelt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propulational their fiduciary duties.	oosals is warrai	nted as there	e is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			
9.c5		Approve Discharge of Board Member Lena Patriksson Keller	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propulational their fiduciary duties.	oosals is warrai	nted as there	e is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			
9.c6		Approve Discharge of Board Member Christian Sievert	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propulation of the propulati	oosals is warrai	nted as there	e is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			
9.c7		Approve Discharge of Board Member Erica Wiking Hager	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propulational their fiduciary duties.	oosals is warrai	nted as there	e is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			
9.c8		Approve Discharge of Board Member Niklas Zennstrom	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propulation of the propulati	oosals is warrai	nted as there	e is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			
9.c9		Approve Discharge of Board Member Ingrid Godin	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propulational their fiduciary duties.	oosals is warrai	nted as there	e is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9.c10		Approve Discharge of Board Member Tim Gahnstrom	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propfulfilled their fiduciary duties.	osals is warraı	nted as there	e is no evide	nce that the	board director	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted	as there is n	o evidence t	that the boar	d directors hav	ve not fulfille	d			
9.c11		Approve Discharge of Board Member Helena Isberg	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop fulfilled their fiduciary duties.	osals is warrai	nted as there	is no evide	nce that the	board director	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted	as there is n	o evidence t	that the boar	d directors ha	ve not fulfille	d			
9.c12		Approve Discharge of Board Member Louise Wikholm	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propfulfilled their fiduciary duties.	osals is warraı	nted as there	e is no evide	nce that the	board director	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted	as there is n	o evidence t	that the boar	d directors hav	ve not fulfille	d			
9.c13		Approve Discharge of Deputy Board Member Margareta Welinder	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propfulfilled their fiduciary duties.	osals is warrai	nted as there	is no evide	nce that the	board director	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	is warranted	as there is n	o evidence t	that the boar	d directors ha	ve not fulfille	d			
9.c14		Approve Discharge of Deputy Board Member Hampus Glanzelius	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propfulfilled their fiduciary duties.	osals is warrai	nted as there	e is no evide	nce that the	board director	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted	as there is n	o evidence เ	that the boar	d directors ha	ve not fulfille	d			
9.c15		Approve Discharge of CEO Helena Helmersson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propfulfilled their fiduciary duties.	osals is warrai	nted as there	is no evide	nce that the	board director	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted	as there is n	o evidence t	that the boar	d directors ha	ve not fulfille	d			
10.1		Determine Number of Members (8) and Deputy Members (0) of Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop board or the number of auditors.	osals is warrai	nted because	e of a lack of	f controversy	concerning th	e size of the			•	
		Blended Rationale: A vote FOR these proposals or the number of auditors.	s is warranted	because of a	lack of con	troversy cond	cerning the siz	e of the boa	rd			
10.2		Determine Number of Auditors (1) and Deputy Auditors (0)	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop board or the number of auditors.	osals is warrai	nted because	e of a lack of	f controversy	concerning th	e size of the				
		Blended Rationale: A vote FOR these proposals or the number of auditors.	s is warranted	because of a	lack of con	troversy cond	cerning the siz	e of the boa	rd			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11.1		Approve Remuneration of Directors in the Amount of SEK 1.8 Million for Chairman and SEK 775,000 for Other Directors; Approve Remuneration for Committee Work	Mgmt	Yes	For	For		For	For	No	No	No
11.2		Approve Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
12.1		Reelect Stina Bergfors as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidates Keller (item 12.4), Karl-Johan Persson (item 12 warranted due to a lack of concern regarding t 12.2) and Christian Sievert (item 12.6) is warr insufficiently independent.	2.5), Erica Wiki These individua	ing Hager (it Is in particul	rem 12.7) a. lar. A vote A	nd Niklas Zeni AGAINST cand	nstrom (item 1 didates Anders	2.8) is Dahlvig (itel				
		Blended Rationale: A vote FOR candidates Stin (item 12.4), Karl-Johan Persson (item 12.5), Edue to a lack of concern regarding these indivi- Christian Sievert (item 12.6) is warranted due independent.	rica Wiking Hag duals in particu	ger (item 12 ular. A vote i	1.7) and Nik AGAINST ca	las Zennstrom andidates And	n (item 12.8) is lers Dahlvig (ite	warranted em 12.2) and	d			
12.2		Reelect Anders Dahlvig as Director	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote FOR candidates Keller (item 12.4), Karl-Johan Persson (item 12 warranted due to a lack of concern regarding to 12.2) and Christian Sievert (item 12.6) is warr insufficiently independent.  Blended Rationale: A vote FOR candidates Stin (item 12.4), Karl-Johan Persson (item 12.5), El	2.5), Erica Wiki These individua Tanted due to t Ta Bergfors (ite	ing Hager (it Is in particul Their non-ind Im 12.1), Dat	em 12.7) a lar. A vote A lependent s nica Kragic	nd Niklas Zeni AGAINST cand tatus on the a Jensfelt (item	nstrom (item 1 didates Anders audit committed n 12.3), Lena P	2.8) is Dahlvig (itel e which is atriksson Ke	n			
		due to a lack of concern regarding these indivi- Christian Sievert (item 12.6) is warranted due independent.	duals in partici	ular. A vote	AGAINST ca	andidates And	lers Dahlvig (ite	em 12.2) and				
12.3		Reelect Danica Kragic Jensfelt as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidates Keller (item 12.4), Karl-Johan Persson (item 12 warranted due to a lack of concern regarding t 12.2) and Christian Sievert (item 12.6) is warr insufficiently independent.	2.5), Erica Wiki These individua	ing Hager (it Is in particul	em 12.7) a. ar. A vote A	nd Niklas Zeni AGAINST cand	nstrom (item 1 didates Anders	2.8) is Dahlvig (iter				
		Blended Rationale: A vote FOR candidates Stin (item 12.4), Karl-Johan Persson (item 12.5), Edue to a lack of concern regarding these indivi- Christian Sievert (item 12.6) is warranted due independent.	rica Wiking Hag duals in particu	ger (item 12 ular. A vote i	.7) and Nik 4GAINST ca	las Zennstrom andidates And	n (item 12.8) is Iers Dahlvig (ite	: warranted em 12.2) and	d			
12.4		Reelect Lena Patriksson Keller as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidates Keller (item 12.4), Karl-Johan Persson (item 12 warranted due to a lack of concern regarding t 12.2) and Christian Sievert (item 12.6) is warn insufficiently independent.	2.5), Erica Wiki These individua	ing Hager (it Is in particul	em 12.7) a. lar. A vote A	nd Niklas Zeni AGAINST cand	nstrom (item 1 didates Anders	2.8) is Dahlvig (iter				
		Blended Rationale: A vote FOR candidates Stin (item 12.4), Karl-Johan Persson (item 12.5), Ed due to a lack of concern regarding these indivi- Christian Sievert (item 12.6) is warranted due independent.	rica Wiking Hag duals in particu	ger (item 12 ular. A vote i	1.7) and Nik AGAINST ca	las Zennstrom andidates And	n (item 12.8) is lers Dahlvig (ite	warranted em 12.2) and	d			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12.5		Reelect Karl-Johan Persson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidates Keller (item 12.4), Karl-Johan Persson (item 12 warranted due to a lack of concern regarding t 12.2) and Christian Sievert (item 12.6) is warr insufficiently independent.	2.5), Erica Wiki these individua	ing Hager (it Is in particul	em 12.7) ar ar. A vote A	nd Niklas Zen GAINST cand	nstrom (item : didates Anders	12.8) is Dahlvig (itel				
		Blended Rationale: A vote FOR candidates Stin (item 12.4), Karl-Johan Persson (item 12.5), Ed due to a lack of concern regarding these indivi- Christian Sievert (item 12.6) is warranted due independent.	rica Wiking Hag duals in particu	ger (item 12. ular. A vote A	.7) and Nikl AGAINST ca	las Zennstron Indidates And	n (item 12.8) is ders Dahlvig (it	s warranted em 12.2) and	d			
12.6		Reelect Christian Sievert as Director	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote FOR candidates Keller (item 12.4), Karl-Johan Persson (item 12 warranted due to a lack of concern regarding t 12.2) and Christian Sievert (item 12.6) is warn insufficiently independent.	2.5), Erica Wiki these individua	ing Hager (it Is in particul	em 12.7) ar ar. A vote A	nd Niklas Zen GAINST cand	nstrom (item : didates Anders	12.8) is Dahlvig (itel				
		Blended Rationale: A vote FOR candidates Stin (item 12.4), Karl-Johan Persson (item 12.5), Edue to a lack of concern regarding these indivi- Christian Sievert (item 12.6) is warranted due independent.	rica Wiking Hag duals in particu	ger (item 12. ular. A vote A	.7) and Nikl AGAINST ca	las Zennstron Indidates And	n (item 12.8) is ders Dahlvig (it	s warranted em 12.2) and	d			
12.7		Reelect Erica Wiking Hager as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidates Keller (item 12.4), Karl-Johan Persson (item 12 warranted due to a lack of concern regarding to 12.2) and Christian Sievert (item 12.6) is warn insufficiently independent.	2.5), Erica Wiki these individua	ing Hager (it Is in particul	em 12.7) ar ar. A vote A	nd Niklas Zen GAINST cand	nstrom (item : didates Anders	12.8) is Dahlvig (itel				
		Blended Rationale: A vote FOR candidates Stin (item 12.4), Karl-Johan Persson (item 12.5), Edue to a lack of concern regarding these indivi- Christian Sievert (item 12.6) is warranted due independent.	rica Wiking Ha duals in particu	ger (item 12. ular. A vote A	.7) and Nikl AGAINST ca	las Zennstron Indidates And	n (item 12.8) is ders Dahlvig (it	s warranted em 12.2) and	d			
12.8		Reelect Niklas Zennstrom as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidates Keller (item 12.4), Karl-Johan Persson (item 12 warranted due to a lack of concern regarding t 12.2) and Christian Sievert (item 12.6) is warr insufficiently independent.	2.5), Erica Wiki these individua	ing Hager (it Is in particul	em 12.7) ar ar. A vote A	nd Niklas Zen GAINST cand	nstrom (item : didates Anders	12.8) is Dahlvig (itel				
		Blended Rationale: A vote FOR candidates Stin (item 12.4), Karl-Johan Persson (item 12.5), Edue to a lack of concern regarding these indivi- Christian Sievert (item 12.6) is warranted due independent.	rica Wiking Hag duals in particu	ger (item 12. ular. A vote A	.7) and Nikl AGAINST ca	las Zennstron Indidates And	n (item 12.8) is ders Dahlvig (it	s warranted em 12.2) and	d			
12.9		Reelect Karl-Johan Persson as Board Chair	Mgmt	Yes	For	For		For	For	No	No	No
13		Ratify Deloitte as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
14		Approve Nominating Committee Instructions	Mgmt	Yes	For	For		For	For	No	No	No
15		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorize Share Repurchase Program	Mgmt	Yes	For	For		For	For	No	No	No
17		Amend Articles Re: Participation at General Meeting	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Significant Number Vote	Proposal Text			Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
	Shareholder Pro Per Hagglund	posals Submitt	ed by	Mgmt	No								
18	Replace Fossil M Renewable Fore			SH	Yes	None	Against		Against	Against	No	No	No
	Shareholder Pro Fair Action	posals Submitt	ed by	Mgmt	No								
19	Action by The B Workers in H&M	•	t of	SH	Yes	None	Against		Against	Against	No	No	No
	Shareholder Pro Fondazione Fina	•	ed by	Mgmt	No								
20	Report on Susta Organically Proc	•	l and	SH	Yes	None	For		For	For	No	No	No
21	Report on Preve Purchasing of G Forced Labour			SH	Yes	None	Against		Against	Against	No	No	No
22	Close Meeting			Mgmt	No								
Ballot Details													
Institutional Account Deta (IA Name, IA Number)		an : Number	Ballot Status	Instructe	d	Approve	d	Ballot Voting	Status	Votable S	nares	Shares	Voted
RTRF, RTRF	392078		Confirmed	jbisset		jbisset				1,200,0	00	1,200	,000
				04/26/2022	2	04/26/20	22						
									Total Shares:	1,200,0	000	1,200	,000

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	N												
2	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
3.1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
3.2	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
4	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
5	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
6	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
7	N												
8	N												
9.a	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.b	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c2	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A

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Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
9.c3	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c4	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c5	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c6	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c7	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c8	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c9	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c10	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c11	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c12	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c13	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c14	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c15	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
10.1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
10.2	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
11.1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
11.2	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
12.1	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
12.2	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
12.3	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
12.4	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
12.5	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
12.6	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
12.7	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
12.8	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
12.9	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
13	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
14	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
15	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
16	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
17	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
	N												
18	Y	Fail	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
	N												

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Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
19	Υ	Fail	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
	N												
20	Υ	Fail	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
21	Υ	Fail	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
22	N												

### **Ovintiv Inc.**

Meeting Date: 05/04/2022

Country: USA

Ticker: OVV

Proxy Level: 3

**Record Date:** 03/10/2022

Earliest Cutoff Date: 05/03/2022

Meeting Type: Annual

**Meeting ID:** 1605542

Primary SEDOL: BJ01KB6

**Primary Security ID:** 69047Q102

Primary CUSIP: 69047Q102

Total Ballots: 1

Voting Policy: ISS

Additional Policy:

Votable Shares: 200,000

\*Shares on Loan: 0

Shares Instructed: 200,000

Primary ISIN: US69047Q1022

Shares Voted: 200,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Peter A. Dea	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director r	nominees is wari	ranted.								
1b		Elect Director Meg A. Gentle	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director r	nominees is warı	ranted.								
1c		Elect Director Howard J. Mayson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director nominees is warranted.										
1d		Elect Director Brendan M. McCracken	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director nominees is warranted.										
		Blended Rationale: A vote FOR the director r	nominees is warr	ranted.								
1e		Elect Director Lee A. McIntire	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director r	nominees is warı	ranted.								
1f		Elect Director Katherine L. Minyard	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director r	nominees is warr	ranted.								
1g		Elect Director Steven W. Nance	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director nominees is warranted.										
1h		Elect Director Suzanne P. Nimocks	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director r	nominees is warr	ranted.								

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Ovintiv Inc.**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
1i		Elect Director George L. Pita		Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.										
		Blended Rationale: A vote FOR	the director no	minees is warra	anted.										
1j		Elect Director Thomas G. Ricks	;	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.										
		Blended Rationale: A vote FOR	the director no	minees is warra	anted.										
1k		Elect Director Brian G. Shaw		Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.										
		Blended Rationale: A vote FOR	ed Rationale: A vote FOR the director nominees is warranted.												
11		Elect Director Bruce G. Watern	nan	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.										
		Blended Rationale: A vote FOR	the director no	minees is warra	anted.										
2		Advisory Vote to Ratify Named Executive Officers' Compensat		Mgmt	Yes	For	For		For	For	No	No	No		
3		Amend Omnibus Stock Plan		Mgmt	Yes	For	For		For	For	No	No	No		
4		Ratify PricewaterhouseCoopers Auditors	s LLP as	Mgmt	Yes	For	For		For	For	No	No	No		
Ballot Deta	ails														
Institutional (IA Name, I	l Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	ı	Approve	d	Ballot Voting	Status	Votable Si	nares	Shares '	Voted		
RTRF, RTRF		000392078	Confirmed	jbisset		jbisset				200,00	0	200,	000		
				04/26/2022	!	04/26/20	22								
									Total Shares:	200,00	00	200,0	000		

#### **Meeting Results**

Outstanding Shares Voted: 258,691,896 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	2.3%	181,052,519	97.7%	4,308,495	2.3%	0	N/A	Proxy	F+A
1b	Υ	Pass	No	For	0.7%	185,064,734	99.3%	1,257,914	0.7%	0	N/A	Proxy	F+A
1c	Υ	Pass	No	For	0.4%	185,666,639	99.6%	659,123	0.4%	0	N/A	Proxy	F+A
1d	Y	Pass	No	For	0.3%	185,712,436	99.7%	614,527	0.3%	0	N/A	Proxy	F+A
1e	Y	Pass	No	For	1.6%	183,360,724	98.4%	2,964,280	1.6%	0	N/A	Proxy	F+A
1f	Y	Pass	No	For	0.5%	185,471,660	99.5%	856,324	0.5%	0	N/A	Proxy	F+A
1g	Y	Pass	No	For	0.4%	185,628,545	99.6%	693,631	0.4%	0	N/A	Proxy	F+A
1h	Υ	Pass	No	For	2.4%	181,941,295	97.6%	4,386,577	2.4%	0	N/A	Proxy	F+A
1i	Y	Pass	No	For	0.7%	184,924,251	99.3%	1,396,304	0.7%	0	N/A	Proxy	F+A

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Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1j	Y	Pass	No	For	1.7%	183,234,525	98.3%	3,085,321	1.7%	0	N/A	Proxy	F+A
1k	Υ	Pass	No	For	0.7%	185,057,328	99.3%	1,268,537	0.7%	0	N/A	Proxy	F+A
11	Υ	Pass	No	For	1.5%	183,560,190	98.5%	2,766,228	1.5%	0	N/A	Proxy	F+A
2	Υ	Pass	No	For	2.2%	182,065,279	97.8%	4,123,657	2.2%	0	N/A	Proxy	F+A+AB
3	Υ	Pass	No	For	2.6%	181,330,791	97.4%	4,922,022	2.6%	0	N/A	Proxy	F+A+AB
4	Y	Pass	No	For	2.4%	202,015,794	97.6%	4,965,334	2.4%	0	N/A	Proxy	F+A+AB

### **Unilever Plc**

Meeting Date: 05/04/2022 **Record Date:** 05/02/2022

Earliest Cutoff Date: 04/29/2022

Country: United Kingdom

Ticker: ULVR

Proxy Level: N/A

Primary Security ID: G92087165

Meeting Type: Annual Primary CUSIP: G92087165

**Meeting ID:** 1623697 Primary ISIN: GB00B10RZP78

Primary SEDOL: B10RZP7

Total Ballots: 1

Voting Policy: ISS Shares Instructed: 212,696 **Additional Policy:** Shares Voted: 212,696

Votable Si	hares: 212,696	*Shares on Loan: 0			Shares Ir	structed: 212	2,696		Shares Voted	<b>l:</b> 212,696		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Re-elect Nils Andersen as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	rectors is warra	nted as no si	ignificant co	ncerns have l	been identified	1.			-	
		Blended Rationale: A vote FOR these Directo	rs is warranted	as no signific	cant concerr	ns have been	identified.				-	
4		Re-elect Judith Hartmann as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dia	rectors is warra	nted as no si	ignificant co	ncerns have l	been identified	1.			-	
		Blended Rationale: A vote FOR these Directo	rs is warranted	as no signific	cant concerr	ns have been	identified.				-	
5		Re-elect Alan Jope as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dia	nted as no si	ignificant co	ncerns have l	been identified	1.			-		
		Blended Rationale: A vote FOR these Directo	rs is warranted	as no signific	cant concerr	ns have been	identified.				-	
6		Re-elect Andrea Jung as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	rectors is warra	nted as no si	ignificant co	ncerns have l	been identified	1.				
		Blended Rationale: A vote FOR these Directo	rs is warranted	as no signific	cant concerr	ns have been	identified.				-	
7		Re-elect Susan Kilsby as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dia	rectors is warra	nted as no si	ignificant co	ncerns have l	been identified	1.			-	
		Blended Rationale: A vote FOR these Directo	rs is warranted	as no signific	cant conceri	ns have been	identified.				-	
8		Re-elect Strive Masiyiwa as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	rectors is warra	nted as no si	ignificant co	ncerns have l	been identified	1.			-	
		Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.						-				
9		Re-elect Youngme Moon as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dia	rectors is warra	nted as no si	ignificant co	ncerns have l	been identified	1.			-	
		Blended Rationale: A vote FOR these Directo	rs is warranted	as no signific	cant concerr	ns have been	identified.				-	

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## **Unilever Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
10		Re-elect Graeme Pitkethly as D	irector	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	FOR these Direc	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR	these Directors	is warranted a	s no signific	ant concerns	s have been l	identified.					
11		Re-elect Feike Sijbesma as Dire	ector	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	FOR these Direc	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR	these Directors	is warranted a	s no signific	ant concerns	s have been l	identified.					
12		Elect Adrian Hennah as Directo	r	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	FOR these Direc	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR	these Directors	is warranted a	s no signific	ant concerns	s have been l	identified.					
13		Elect Ruby Lu as Director		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	FOR these Direc	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR	these Directors	is warranted a	s no signific	ant concerns	s have been l	identified.					
14		Reappoint KPMG LLP as Audito	rs	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise Board to Fix Remune of Auditors	ration	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise UK Political Donations Expenditure	s and	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
18		Authorise Issue of Equity witho Pre-emptive Rights	ut	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote in recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	l because the	e proposed a	amounts and	durations are	within				
19		Authorise Issue of Equity witho Pre-emptive Rights in Connection an Acquisition or Other Capital Investment		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	l because the	e proposed a	amounts and	durations are	within				
20		Authorise Market Purchase of C Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
21		Authorise the Company to Call Meeting with Two Weeks' Notice		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutional (IA Name, I	l Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	ı	Approve	d	Ballot Voting	Status	Votable Si	nares	Shares	Voted
RTRF, RTRF		392078	Confirmed	jbisset		jbisset				212,69	16	212,0	596
				04/26/2022	!	04/26/202	22						

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Unilever Plc**

Total Shares: 212,696 212,696

#### **Meeting Results**

Outstanding Shares Voted: 2,555,681,470

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	1,784,771,403	100.0%	845,727	0.0%	5,690,097	N/A	Poll	F+A
2	Y	Pass	No	For	7.5%	1,653,105,110	92.5%	133,616,656	7.5%	4,585,321	N/A	Poll	F+A
3	Y	Pass	No	For	7.8%	1,650,208,767	92.2%	138,827,869	7.8%	2,267,306	N/A	Poll	F+A
4	Y	Pass	No	For	0.8%	1,774,485,487	99.2%	14,499,168	0.8%	2,321,354	N/A	Poll	F+A
5	Υ	Pass	No	For	3.6%	1,724,961,069	96.4%	64,568,113	3.6%	1,778,490	N/A	Poll	F+A
6	Y	Pass	No	For	5.0%	1,698,883,917	95.0%	90,190,281	5.0%	2,231,810	N/A	Poll	F+A
7	Y	Pass	No	For	0.8%	1,774,007,079	99.2%	15,061,832	0.8%	2,237,098	N/A	Poll	F+A
8	Υ	Pass	No	For	1.1%	1,769,253,409	98.9%	19,723,543	1.1%	2,329,056	N/A	Poll	F+A
9	Υ	Pass	No	For	1.0%	1,770,316,797	99.0%	18,660,776	1.0%	2,328,435	N/A	Poll	F+A
10	Υ	Pass	No	For	3.7%	1,723,474,854	96.3%	65,559,756	3.7%	2,252,435	N/A	Poll	F+A
11	Υ	Pass	No	For	1.1%	1,769,656,458	98.9%	19,339,706	1.1%	2,309,845	N/A	Poll	F+A
12	Υ	Pass	No	For	1.2%	1,767,022,039	98.8%	21,955,381	1.2%	2,328,588	N/A	Poll	F+A
13	Υ	Pass	No	For	0.7%	1,777,112,750	99.3%	11,895,279	0.7%	2,297,929	N/A	Poll	F+A
14	Υ	Pass	No	For	1.0%	1,771,210,928	99.0%	16,997,442	1.0%	3,093,363	N/A	Poll	F+A
15	Υ	Pass	No	For	0.6%	1,778,622,006	99.4%	10,297,215	0.6%	2,384,951	N/A	Poll	F+A
16	Υ	Pass	No	For	2.0%	1,742,197,236	98.0%	35,890,771	2.0%	13,188,421	N/A	Poll	F+A
17	Υ	Pass	No	For	5.6%	1,689,544,033	94.4%	99,633,679	5.6%	2,130,961	N/A	Poll	F+A
18	Y	Pass	No	For	1.7%	1,756,444,950	98.3%	30,741,777	1.7%	4,114,524	N/A	Poll	F+A
19	Υ	Pass	No	For	3.0%	1,734,740,008	97.0%	52,806,643	3.0%	3,762,073	N/A	Poll	F+A
20	Y	Pass	No	For	1.1%	1,768,051,750	98.9%	19,745,781	1.1%	3,507,271	N/A	Poll	F+A
21	Y	Pass	No	For	8.0%	1,617,343,217	92.0%	141,172,318	8.0%	31,733,892	N/A	Poll	F+A

## **BAE Systems Plc**

Meeting Date: 05/05/2022 Record Date: 05/03/2022 Primary Security ID: G06940103 Country: United Kingdom Meeting Type: Annual Primary CUSIP: G06940103

**Meeting ID:** 1566370

Ticker: BA

Primary ISIN: GB0002634946

Proxy Level: N/A

Primary SEDOL: 0263494

# **BAE Systems Plc**

Earliest Cutoff Date: 05/02/2022

Votable Shares: 2,400,000

Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 2,400,000

**Additional Policy:** 

**Shares Voted:** 2,400,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
4		Re-elect Nicholas Anderson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been	identified.					
5		Re-elect Thomas Arseneault as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been	identified.					
6		Re-elect Sir Roger Carr as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been	identified.					
7		Re-elect Dame Elizabeth Corley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been	identified.					
8		Re-elect Bradley Greve as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been	identified.					
9		Re-elect Jane Griffiths as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been	identified.					
10		Re-elect Christopher Grigg as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been	identified.					
11		Re-elect Stephen Pearce as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been	identified.					
12		Re-elect Nicole Piasecki as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been	identified.					
13		Re-elect Charles Woodburn as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been	identified.					

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **BAE Systems Plc**

Proposal Significan Number Vote	t Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Again ISS
14	Elect Crystal E Ashby as Direct	tor	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR these Dire	ectors is warrar	nted as no si	ignificant co	ncerns have b	neen identified					
	Blended Rationale: A vote FOR	these Directors	is warranted a	as no signific	cant concerr	ns have been	identified.					
15	Elect Ewan Kirk as Director		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR these Dire	ectors is warrar	nted as no si	ignificant co	ncerns have b	neen identified.					
	Blended Rationale: A vote FOR	these Directors	is warranted a	as no signific	cant concerr	ns have been	identified.					
16	Reappoint Deloitte LLP as Aud	itors	Mgmt	Yes	For	For		For	For	No	No	No
17	Authorise the Audit Committee Remuneration of Auditors	e to Fix	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR this item i	is warranted be	ecause there	are no con	cerns regardir	ng this proposa	3/.				
	Blended Rationale: A vote FOR	this item is wa	rranted becaus	e there are	no concerns	regarding th	is proposal.					
18	Authorise UK Political Donation Expenditure	ns and	Mgmt	Yes	For	For		For	For	No	No	No
19	Approve Share Incentive Plan		Mgmt	Yes	For	For		For	For	No	No	No
20	Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote recommended limits.	FOR these reso	olutions is warr	anted becau	ise the prop	osed amounts	and durations	s are within				
	Blended Rationale: A vote FOR recommended limits.	these resolutio	ns is warranted	d because th	ne proposed	amounts and	durations are	within				
21	Authorise Issue of Equity with Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote recommended limits.	FOR these reso	olutions is warr	anted becau	ise the prop	osed amounts	and durations	s are within				
	Blended Rationale: A vote FOR recommended limits.	these resolutio	ns is warranted	d because th	ne proposed	amounts and	durations are	within				
22	Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
23	Authorise the Company to Cal Meeting with Two Weeks' Noti		Mgmt	Yes	For	For		For	For	No	No	No
Sallot Details												
nstitutional Account Deta IA Name, IA Number)	ail Custodian Account Number	Ballot Status	Instructe	d	Approv	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
TRF, RTRF	392078	Confirmed	jbisset		jbisset				2,400,0	000	2,400	),000
			04/27/202	2	04/27/2	)22						
								Total Shares:	2,400,	000	2,400	,000

#### **Meeting Results**

Outstanding Shares Voted: 3,156,045,043 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	2,389,014,557	100.0%	131,733	0.0%	9,437,666	N/A	Poll	F+A
2	Y	Pass	No	For	4.0%	2,288,879,813	96.0%	95,175,707	4.0%	14,521,219	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
3	Υ	Pass	No	For	0.0%	2,398,308,499	100.0%	54,608	0.0%	266,462	N/A	Poll	F+A
4	Υ	Pass	No	For	1.2%	2,368,949,581	98.8%	29,103,946	1.2%	532,636	N/A	Poll	F+A
5	Υ	Pass	No	For	0.1%	2,396,659,413	99.9%	1,285,246	0.1%	629,318	N/A	Poll	F+A
6	Υ	Pass	No	For	2.3%	2,343,086,512	97.7%	54,932,032	2.3%	579,190	N/A	Poll	F+A
7	Υ	Pass	No	For	5.5%	2,266,011,377	94.5%	131,965,558	5.5%	611,285	N/A	Poll	F+A
8	Υ	Pass	No	For	0.2%	2,394,139,732	99.8%	3,785,603	0.2%	658,858	N/A	Poll	F+A
9	Υ	Pass	No	For	5.5%	2,258,490,262	94.5%	131,918,502	5.5%	8,184,768	N/A	Poll	F+A
10	Υ	Pass	No	For	1.0%	2,373,982,675	99.0%	23,978,173	1.0%	630,218	N/A	Poll	F+A
11	Υ	Pass	No	For	2.0%	2,350,652,320	98.0%	47,287,585	2.0%	649,004	N/A	Poll	F+A
12	Υ	Pass	No	For	6.1%	2,252,301,398	93.9%	145,631,566	6.1%	654,986	N/A	Poll	F+A
13	Υ	Pass	No	For	0.0%	2,396,860,164	100.0%	1,160,589	0.0%	582,190	N/A	Poll	F+A
14	Υ	Pass	No	For	0.1%	2,396,617,105	99.9%	1,241,623	0.1%	725,179	N/A	Poll	F+A
15	Υ	Pass	No	For	0.0%	2,396,726,312	100.0%	1,137,854	0.0%	713,555	N/A	Poll	F+A
16	Υ	Pass	No	For	0.0%	2,397,592,052	100.0%	548,249	0.0%	463,232	N/A	Poll	F+A
17	Υ	Pass	No	For	0.0%	2,397,547,254	100.0%	606,096	0.0%	435,769	N/A	Poll	F+A
18	Υ	Pass	No	For	0.8%	2,366,930,327	99.2%	19,099,072	0.8%	12,557,056	N/A	Poll	F+A
19	Υ	Pass	No	For	0.3%	2,390,935,921	99.7%	7,307,939	0.3%	381,324	N/A	Poll	F+A
20	Υ	Pass	No	For	6.7%	2,236,461,834	93.3%	161,770,763	6.7%	365,889	N/A	Poll	F+A
21	Υ	Pass	No	For	10.3%	2,151,037,852	89.7%	246,946,216	10.3%	601,207	N/A	Poll	F+A
22	Υ	Pass	No	For	0.5%	2,384,586,216	99.5%	11,516,409	0.5%	2,485,233	N/A	Poll	F+A
23	Υ	Pass	No	For	6.3%	2,247,120,282	93.7%	151,121,440	6.3%	318,810	N/A	Poll	F+A

#### **Melrose Industries Plc**

Votable Shares: 9,475,000

**Meeting Date:** 05/05/2022 Country: United Kingdom **Record Date:** 05/03/2022 Meeting Type: Annual

Primary CUSIP: G5973J202

**Primary Security ID:** G5973J202

Earliest Cutoff Date: 05/02/2022 Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS **Additional Policy:** 

Shares Instructed: 9,475,000 **Shares Voted:** 9,475,000

Proxy Level: N/A

Primary SEDOL: BNR5MZ7

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No

Ticker: MRO

**Meeting ID:** 1615140

Primary ISIN: GB00BNR5MZ78

## **Melrose Industries Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4		Re-elect Christopher Miller as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	anted as no s	significant co	ncerns have	been identifie	d.				
		Blended Rationale: A vote FOR these candidate	es is warranted	as no signif	icant concer	ns have beer	n identified.					
5		Re-elect Simon Peckham as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	anted as no s	significant co	ncerns have	been identifie	d.				
		Blended Rationale: A vote FOR these candidate	es is warranted	as no signif	ficant concer	ns have beer	n identified.					
6		Re-elect Geoffrey Martin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	anted as no s	significant co	ncerns have	been identifie	d.				
		Blended Rationale: A vote FOR these candidate	es is warranted	as no signif	ficant concer	ns have beer	n identified.					
7		Re-elect Peter Dilnot as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	anted as no s	significant co	ncerns have	been identifie	d.				
		Blended Rationale: A vote FOR these candidate	es is warranted	as no signif	icant concer	ns have beer	n identified.					
8		Re-elect Justin Dowley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	anted as no s	significant co	ncerns have	been identifie	d.				
		Blended Rationale: A vote FOR these candidate	s is warranted	as no signif	ficant concer	ns have beer	n identified.					
9		Re-elect David Lis as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	anted as no s	significant co	ncerns have	been identifie	d.				
		Blended Rationale: A vote FOR these candidate	es is warranted	as no signif	ficant concer	ns have beer	n identified.					
10		Re-elect Charlotte Twyning as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	nted as no s	significant co	ncerns have	been identifie	d.				
		Blended Rationale: A vote FOR these candidate	es is warranted	as no signif	ficant concer	ns have beer	n identified.					
11		Re-elect Funmi Adegoke as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	ented as no s	significant co	ncerns have	been identifie	d.				
		Blended Rationale: A vote FOR these candidate	s is warranted	as no signif	ficant concer	ns have beer	n identified.					
12		Elect Heather Lawrence as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	ented as no s	significant co	ncerns have	been identifie	d.				
		Blended Rationale: A vote FOR these candidate	es is warranted	as no signif	ficant concer	ns have beer	n identified.					
13		Elect Victoria Jarman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	anted as no s	significant co	ncerns have	been identifie	d.				
		Blended Rationale: A vote FOR these candidate	es is warranted	as no signif	ficant concer	ns have beer	n identified.					
14		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resol recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	because the	e proposed a	amounts and	durations are	within				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Melrose Industries Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
17		Authorise Issue of Equity without Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the prop	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because the	e proposea	amounts and	l durations are	within				
18		Authorise Issue of Equity withor Pre-emptive Rights in Connecti an Acquisition or Other Capital Investment		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warr	anted becaus	se the prop	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because the	e proposea	amounts and	l durations are	within				
19		Authorise Market Purchase of C Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorise the Company to Call Meeting with Two Weeks' Notice		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Ballot Status	Instructe	d	Approv	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
RTRF, RTRF		392078	Confirmed	jbisset		jbisset				9,475,0	000	9,475	,000
				04/27/2022	2	04/27/2	022						

Total Shares:

9,475,000

9,475,000

#### **Meeting Results**

Outstanding Shares Voted: 4,372,429,473

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.1%	3,578,289,842	99.9%	2,508,605	0.1%	89,752,485	N/A	Poll	F+A
2	Υ	Pass	No	For	2.7%	3,549,339,509	97.3%	96,831,990	2.7%	24,379,433	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	3,668,726,493	100.0%	110,239	0.0%	1,714,200	N/A	Poll	F+A
4	Υ	Pass	No	For	0.2%	3,659,529,350	99.8%	9,138,409	0.2%	1,883,173	N/A	Poll	F+A
5	Υ	Pass	No	For	0.2%	3,659,940,343	99.8%	8,719,855	0.2%	1,890,734	N/A	Poll	F+A
6	Υ	Pass	No	For	0.8%	3,637,713,069	99.2%	30,946,066	0.8%	1,891,797	N/A	Poll	F+A
7	Υ	Pass	No	For	0.2%	3,659,700,657	99.8%	8,957,541	0.2%	1,892,734	N/A	Poll	F+A
8	Υ	Pass	No	For	6.6%	3,427,864,655	93.4%	240,789,260	6.6%	1,897,017	N/A	Poll	F+A
9	Υ	Pass	No	For	1.2%	3,624,470,089	98.8%	44,185,130	1.2%	1,895,713	N/A	Poll	F+A
10	Υ	Pass	No	For	1.0%	3,630,175,149	99.0%	38,466,525	1.0%	1,909,258	N/A	Poll	F+A
11	Υ	Pass	No	For	0.9%	3,634,518,731	99.1%	34,095,835	0.9%	1,936,366	N/A	Poll	F+A
12	Υ	Pass	No	For	0.2%	3,663,054,766	99.8%	5,576,479	0.2%	1,919,687	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
13	Υ	Pass	No	For	0.2%	3,663,098,826	99.8%	5,545,608	0.2%	1,906,498	N/A	Poll	F+A
14	Υ	Pass	No	For	3.6%	3,536,337,246	96.4%	132,248,019	3.6%	1,965,667	N/A	Poll	F+A
15	Υ	Pass	No	For	1.0%	3,633,734,492	99.0%	34,916,431	1.0%	1,899,832	N/A	Poll	F+A
16	Y	Pass	No	For	1.6%	3,611,242,553	98.4%	57,520,604	1.6%	1,787,775	N/A	Poll	F+A
17	Υ	Pass	No	For	0.5%	3,650,766,843	99.5%	17,949,462	0.5%	1,834,627	N/A	Poll	F+A
18	Y	Pass	No	For	3.8%	3,529,202,957	96.2%	139,430,478	3.8%	1,917,497	N/A	Poll	F+A
19	Υ	Pass	No	For	2.1%	3,590,006,908	97.9%	78,423,097	2.1%	2,120,927	N/A	Poll	F+A
20	Υ	Pass	No	For	2.6%	3,572,388,912	97.4%	96,375,145	2.6%	1,786,875	N/A	Poll	F+A

## Man Group Plc (Jersey)

Meeting Date: 05/06/2022 Record Date: 05/04/2022

Earliest Cutoff Date: 05/03/2022

Votable Shares: 4.700.000

Primary Security ID: G57991104

Country: Jersey Meeting Type: Annual

Primary CUSIP: G57991104

Total Ballots: 1 \*Shares on Loan: 0 Ticker: EMG

Voting Policy: ISS

Primary ISIN: JE00BJ1DLW90

Shares Instructed: 4,700,000

Meeting ID: 1613999

Proxy Level: N/A

Primary SEDOL: BJ1DLW9

Additional Policy:

Shares Voted: 4,700,000

Additional Voting Vote Vote Vote Significant Votable Mgmt Policy Policy Vote Against Against Against Proposal Number Vote **Proposal Text** Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt **Policy** ISS Accept Financial Statements and 1 Mgmt Yes For For For For No No No Statutory Reports Approve Remuneration Policy Mamt Yes For For For No No For No Approve Remuneration Report Mgmt Yes For For For For No No No Approve Final Dividend Mgmt Yes No No For For No Re-elect Dame Katharine Barker as Mgmt Yes For For For For No No No Director Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified. Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified. 6 Re-elect Lucinda Bell as Director Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified. Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified. Re-elect Richard Berliand as Director Mamt Yes For For For No No No Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified. Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified. Re-elect John Cryan as Director Yes For For For For No No No Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified. Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified. Re-elect Luke Ellis as Director Mamt Yes For For For For No No No Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified. Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Man Group Plc (Jersey)**

Proposal Number	Significant Vote	Proposal Text	Prop	onent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
10		Elect Antoine Forterre as Director	Mgm	nt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR th	ese Directors is	warrant	ed as no sig	nificant con	ncerns have b	een identified.					
		Blended Rationale: A vote FOR these L	Directors is war	ranted as	s no significa	ant concern	s have been i	identified.					
11		Elect Jacqueline Hunt as Director	Mgn	nt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR th	ese Directors is	warrant	ed as no sig	nificant con	ncerns have b	een identified.					
		Blended Rationale: A vote FOR these L	Directors is war	ranted as	s no significa	ant concern	s have been i	identified.					
12		Re-elect Cecelia Kurzman as Director	Mgn	nt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR th	ese Directors is	warrant	ed as no sig	nificant con	ncerns have b	een identified.					
		Blended Rationale: A vote FOR these L	Directors is war	ranted as	s no significa	ant concern	s have been i	identified.					
13		Re-elect Anne Wade as Director	Mgm	nt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR th	ese Directors is	warrant	ed as no sig	nificant con	ncerns have b	een identified.					
		Blended Rationale: A vote FOR these L	Directors is war	ranted as	s no significa	ant concern	s have been i	identified.					
14		Reappoint Deloitte LLP as Auditors	Mgm	nt	Yes	For	For		For	For	No	No	No
15		Authorise the Audit and Risk Committee to Fix Remuneration of Auditors	Mgn	nt	Yes	For	For		For	For	No	No	No
16		Authorise Political Donations and Expenditure	Mgn	nt	Yes	For	For		For	For	No	No	No
17		Approve Sharesave Scheme	Mgm	nt	Yes	For	For		For	For	No	No	No
18		Authorise Issue of Equity	Mgm	nt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the recommended limits.	ese resolutions	is warra	nted becaus	e the propo	osed amounts	and durations	are within				
		Blended Rationale: A vote FOR these recommended limits.	esolutions is w	arranted	because the	e proposed	amounts and	durations are	within				
19		Authorise Issue of Equity without Pre-emptive Rights	Mgn	nt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the recommended limits.	ese resolutions	is warra	nted becaus	e the propo	osed amounts	and durations	are within				
		Blended Rationale: A vote FOR these r recommended limits.	esolutions is w	arranted	because the	e proposed	amounts and	durations are	within				
20		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgn	nt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the recommended limits.	ese resolutions	is warra	nted becaus	e the propo	osed amounts	and durations	are within				
		Blended Rationale: A vote FOR these recommended limits.	esolutions is w	arranted	because the	e proposed	amounts and	durations are	within				
21		Authorise Market Purchase of Ordinary Mgmt Yes For For For For No Shares						No	No				
22		Authorise the Company to Call General Meeting with Two Weeks' Notice	ıl Mgn	nt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutional (IA Name, I	l Account Detail A Number)	Custodian Account Number Ballot Status Instructed Approved Ballot Voting Status Votable Shares St										Shares '	Voted

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Man Group Plc (Jersey)**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		4,700,000	4,700,000
			04/29/2022	04/29/2022			
					Total Shares:	4,700,000	4,700,000

### **Meeting Results**

Outstanding Shares Voted: 1,341,729,073

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	981,970,457	100.0%	21,620	0.0%	47,205,947	N/A	Poll	F+A
2	Y	Pass	No	For	8.6%	939,700,962	91.4%	88,798,755	8.6%	698,307	N/A	Poll	F+A
3	Y	Pass	No	For	7.1%	956,291,633	92.9%	72,716,731	7.1%	189,660	N/A	Poll	F+A
4	Y	Pass	No	For	0.0%	1,029,112,546	100.0%	35,586	0.0%	49,892	N/A	Poll	F+A
5	Y	Pass	No	For	0.2%	1,027,134,539	99.8%	1,913,880	0.2%	149,605	N/A	Poll	F+A
6	Y	Pass	No	For	1.4%	1,014,301,716	98.6%	14,737,985	1.4%	158,323	N/A	Poll	F+A
7	Υ	Pass	No	For	1.3%	1,015,503,563	98.7%	13,547,404	1.3%	147,057	N/A	Poll	F+A
8	Υ	Pass	No	For	2.5%	959,591,539	97.5%	24,367,039	2.5%	45,239,446	N/A	Poll	F+A
9	Υ	Pass	No	For	0.1%	1,027,777,417	99.9%	1,273,005	0.1%	147,602	N/A	Poll	F+A
10	Υ	Pass	No	For	0.5%	1,024,112,794	99.5%	4,950,812	0.5%	134,418	N/A	Poll	F+A
11	Υ	Pass	No	For	0.1%	1,027,793,275	99.9%	1,241,977	0.1%	162,772	N/A	Poll	F+A
12	Υ	Pass	No	For	0.1%	1,027,562,802	99.9%	1,472,360	0.1%	162,862	N/A	Poll	F+A
13	Υ	Pass	No	For	1.4%	1,014,733,788	98.6%	14,301,649	1.4%	162,587	N/A	Poll	F+A
14	Υ	Pass	No	For	0.1%	982,725,247	99.9%	1,276,145	0.1%	45,196,632	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	1,028,255,668	99.9%	806,725	0.1%	135,631	N/A	Poll	F+A
16	Y	Pass	No	For	0.4%	1,025,285,807	99.6%	3,692,096	0.4%	220,121	N/A	Poll	F+A
17	Y	Pass	No	For	0.4%	1,024,882,675	99.6%	4,144,480	0.4%	170,869	N/A	Poll	F+A
18	Y	Pass	No	For	10.4%	921,570,242	89.6%	107,488,384	10.4%	139,398	N/A	Poll	F+A
19	Y	Pass	No	For	9.6%	930,494,722	90.4%	98,623,069	9.6%	80,233	N/A	Poll	F+A
20	Y	Pass	No	For	17.4%	849,978,008	82.6%	179,074,966	17.4%	140,050	N/A	Poll	F+A
21	Υ	Pass	No	For	4.5%	979,990,029	95.5%	45,860,675	4.5%	3,347,320	N/A	Poll	F+A
22	Y	Pass	No	For	2.6%	1,002,603,645	97.4%	26,494,747	2.6%	94,632	N/A	Poll	F+A

# **Conduit Holdings Ltd.**

Meeting Date: 05/11/2022 Record Date: 05/09/2022 Country: Bermuda
Meeting Type: Annual

Ticker: CRE
Meeting ID: 1599694

Proxy Level: N/A

Primary Security ID: G24385109

Meeting Type: Annual Primary CUSIP: G24385109

Primary ISIN: BMG243851091

Primary SEDOL: BN133N2

**Earliest Cutoff Date:** 05/05/2022 **Votable Shares:** 984,321 Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 984,321

Additional Policy: Shares Voted: 984,321

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Reduction of the Share Premium Account	Mgmt	Yes	For	For		For	For	No	No	No
5		Re-elect Neil Eckert as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-ele Malcolm Furbert, Elizabeth Murphy, Richard Sc significant concerns have been identified.				-			on,			
		Blended Rationale: A vote FOR the re-election Malcolm Furbert, Elizabeth Murphy, Richard Sa significant concerns have been identified.			-							
6		Re-elect Trevor Carvey as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-ele Malcolm Furbert, Elizabeth Murphy, Richard Sa significant concerns have been identified.	•		•	,,	,		on,			
		Blended Rationale: A vote FOR the re-election Malcolm Furbert, Elizabeth Murphy, Richard Sc significant concerns have been identified.		-			-	-				
7		Re-elect Elaine Whelan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-ele Malcolm Furbert, Elizabeth Murphy, Richard Sa significant concerns have been identified.	-		-		•		on,			
		Blended Rationale: A vote FOR the re-election Malcolm Furbert, Elizabeth Murphy, Richard St significant concerns have been identified.										
8		Re-elect Sir Brian Williamson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-ele Malcolm Furbert, Elizabeth Murphy, Richard Sa significant concerns have been identified.	-		-	, .	•		on,			
		Blended Rationale: A vote FOR the re-election Malcolm Furbert, Elizabeth Murphy, Richard St significant concerns have been identified.										
9		Re-elect Malcolm Furbert as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-ele Malcolm Furbert, Elizabeth Murphy, Richard St significant concerns have been identified.	-		-	, .	-		on,			
		Blended Rationale: A vote FOR the re-election Malcolm Furbert, Elizabeth Murphy, Richard Sc									-	

significant concerns have been identified.

# **Conduit Holdings Ltd.**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
10		Re-elect Elizabeth Murphy as Di	rector	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote F Malcolm Furbert, Elizabeth Murp significant concerns have been id	hy, Richard Sar	-	-		**	•		nn,			
		Blended Rationale: A vote FOR t Malcolm Furbert, Elizabeth Murp significant concerns have been in	hy, Richard Sar		-			-	-				
11		Re-elect Richard Sandor as Dire	ctor	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote F Malcolm Furbert, Elizabeth Murp significant concerns have been in	hy, Richard Sar							nn,			
		Blended Rationale: A vote FOR t Malcolm Furbert, Elizabeth Murp significant concerns have been in	hy, Richard Sar		-	, .		-	-				
12		Re-elect Ken Randall as Director	r	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote F Malcolm Furbert, Elizabeth Murp significant concerns have been in	hy, Richard Sar							nn,			
		Blended Rationale: A vote FOR t Malcolm Furbert, Elizabeth Murp significant concerns have been in	hy, Richard Sar		-	, .		-	-				
13		Elect Michelle Smith as Director		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote F Malcolm Furbert, Elizabeth Murp significant concerns have been id	hy, Richard Sar	-	-		**	•		on,			
		Blended Rationale: A vote FOR t Malcolm Furbert, Elizabeth Murp significant concerns have been id	hy, Richard Sar					•					
14		Ratify KPMG Audit Limited as Au	uditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise Board to Fix Remuner of Auditors	ation	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote F recommended limits.	FOR these resolu	utions is warra	nted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR t recommended limits.	hese resolution.	s is warranted	because the	e proposed a	amounts and	durations are	within				
17		Authorise Issue of Equity withou Pre-emptive Rights	ut	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote F recommended limits.	FOR these resolu	utions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR t recommended limits.	hese resolution.	s is warranted	because the	e proposed a	amounts and	durations are	within				
18		Authorise Market Purchase of Co Shares	ommon	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutional (IA Name, I	l Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	I	Approve	1	Ballot Voting	Status	Votable S	nares	Shares \	/oted
RTRF, RTRF		392078	Confirmed	jbisset		jbisset				984,32	21	984,3	321
				04/28/2022		04/28/202	22						

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Conduit Holdings Ltd.**

Total Shares: 984,321 984,321

#### **Meeting Results**

Outstanding Shares Voted: 164,717,174

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
2	Υ	Pass	No	For	2.4%	131,008,002	97.6%	3,222,914	2.4%	0	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	132,758,002	100.0%	22,914	0.0%	1,450,000	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
5	Υ	Pass	No	For	1.3%	132,440,890	98.7%	1,790,026	1.3%	0	N/A	Poll	F+A
6	Υ	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
7	Υ	Pass	No	For	0.1%	134,069,427	99.9%	161,489	0.1%	0	N/A	Poll	F+A
8	Υ	Pass	No	For	5.8%	126,501,256	94.2%	7,729,660	5.8%	0	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	134,206,721	100.0%	24,195	0.0%	0	N/A	Poll	F+A
10	Υ	Pass	No	For	0.0%	134,206,721	100.0%	24,195	0.0%	0	N/A	Poll	F+A
11	Υ	Pass	No	For	0.0%	134,206,721	100.0%	24,195	0.0%	0	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	134,206,721	100.0%	24,195	0.0%	0	N/A	Poll	F+A
13	Υ	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
15	Υ	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
16	Υ	Pass	No	For	0.4%	133,707,045	99.6%	523,871	0.4%	0	N/A	Poll	F+A
17	Υ	Pass	No	For	0.2%	133,980,916	99.8%	250,000	0.2%	0	N/A	Poll	F+A
18	Υ	Pass	No	For	1.1%	132,589,559	98.9%	1,450,000	1.1%	191,357	N/A	Poll	F+A

## **Kinross Gold Corporation**

Meeting Date: 05/11/2022

Country: Canada

Ticker: K

Proxy Level: 3

**Record Date:** 03/16/2022 Primary Security ID: 496902404 Meeting Type: Annual

**Meeting ID:** 1608213

**Primary CUSIP:** 496902404

**Primary ISIN:** CA4969024047

Primary SEDOL: B03Z841

Earliest Cutoff Date: 05/06/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 11,100,000

\*Shares on Loan: 0

Shares Instructed: 11,100,000

**Shares Voted:** 11,100,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Ian Atkinson	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.

Blended Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.

# **Kinross Gold Corporation**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.2		Elect Director Kerry D. Dyte		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FO.	R all proposed	nominees as r	no significant	t concerns h	ave been ide	ntified at this	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have b	een identifie	ed at this time.					
1.3		Elect Director Glenn A. Ives		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FO	R all proposed	nominees as r	no significant	t concerns h	ave been ide	ntified at this	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have b	peen identifie	ed at this time.					
1.4		Elect Director Ave G. Lethbridge	:	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FO.	R all proposed	nominees as r	no significant	t concerns h	ave been ide	ntified at this	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have b	neen identifie	ed at this time.					
1.5		Elect Director Elizabeth D. McGr	egor	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FO	R all proposed	nominees as r	no significant	t concerns h	ave been ide	ntified at this	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have b	een identifie	ed at this time.					
1.6		Elect Director Catherine McLeod-Seltzer		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FO.	R all proposed	nominees as r	no significant	t concerns h	ave been ide	ntified at this	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have b	peen identifie	ed at this time.					
1.7		Elect Director Kelly J. Osborne		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FO.	R all proposed	nominees as r	no significant	t concerns h	ave been ide	ntified at this	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have b	peen identifie	ed at this time.					
1.8		Elect Director J. Paul Rollinson		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FO	R all proposed	nominees as r	no significant	t concerns h	ave been ide	ntified at this	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have b	peen identifie	ed at this time.					
1.9		Elect Director David A. Scott		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FO	R all proposed	nominees as r	no significant	t concerns h	ave been ide	ntified at this	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have b	een identifie	ed at this time.					
2		Approve KPMG LLP as Auditors a Authorize Board to Fix Their Remuneration	and	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officer's Compensation	n	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutional (IA Name, IA	l Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable S	hares	Shares \	Voted
RTRF, RTRF		000392078	Confirmed	dnicoll		dnicoll				11,100,	000	11,100	0,000
				05/03/2022	2	05/03/202	22						
									Total Shares:	11,100,	.000	11,100	0,000

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	2.3%	818,552,633	97.7%	19,425,689	2.3%	N/A	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	1.6%	824,194,573	98.4%	13,783,748	1.6%	N/A	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.1%	828,957,429	98.9%	9,020,893	1.1%	N/A	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	1.9%	822,031,204	98.1%	15,947,117	1.9%	N/A	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	1.1%	828,610,027	98.9%	9,368,295	1.1%	N/A	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	11.1%	745,258,792	88.9%	92,719,530	11.1%	N/A	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	1.7%	823,834,258	98.3%	14,144,063	1.7%	N/A	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	1.1%	829,059,653	98.9%	8,918,668	1.1%	N/A	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	1.1%	829,143,995	98.9%	8,834,327	1.1%	N/A	N/A	Proxy	F+A
2	Υ	Pass	No	For	2.9%	898,743,904	97.1%	26,884,653	2.9%	N/A	N/A	Proxy	F+A
3	Υ	Pass	No	For	9.4%	759,370,975	90.6%	78,737,593	9.4%	N/A	N/A	Proxy	F+A

Meeting Date: 05/11/2022

Earliest Cutoff Date: 05/05/2022

3

Record Date: 05/09/2022

Primary Security ID: F95094581

Country: France

Meeting Type: Annual/Special

Primary CUSIP: F95094581

Total Ballots: 1

Approve Allocation of Income and

Approve Auditors' Special Report on

Absence of Dividends

Related-Party Transactions

Ticker: URW

Meeting ID: 1624834

**Primary ISIN:** FR0013326246

Voting Policy: ISS

Proxy Level: N/A

**Primary SEDOL: BFYM460** 

**Additional Policy:** 

Votable Shares: 300,000 \*Shares on Loan: 0 Shares Instructed: 300,000 Shares Voted: 300,000 Additional Voting Vote Vote Proposal Significant Votable Mamt Policy Policy Vote Against Against Against **Proposal Text** ISS Rec Mgmt Number Vote Proponent Proposal Rec Rec Rec Instruction Policy ISS Ordinary Business Mgmt No Approve Financial Statements and Mgmt Yes For For For For No No No Statutory Reports Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns. Blended Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns. 2 Approve Consolidated Financial Mgmt Yes For For For For No No No Statements and Statutory Reports Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns. Blended Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.

For

For

For

For

For

No

No

No

No

No

Mgmt

Mgmt

Yes

Yes

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5		Approve Compensation Report of Jean-Marie Tritant, Chairman of the Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remuis difficult to ascertain the alignment between closures of shopping centers on the company's that the CSR sub-criteria are challenging enougheigh 10 percent of the LTI grant structure, we concern.	pay and perfor results. (Iten gh (Items 5-8)	rmance regal ms 5-9). * Ui . The main r	rding the bo nder the 202 easons for s	onus payouts 21 LTI grant, support are:	given the imp it is difficult to * The CSR sub	act of the o ascertain ti ocriteria only				
		Blended Rationale: Votes FOR these remuneral difficult to ascertain the alignment between particles of shopping centers on the company's results. CSR sub-criteria are challenging enough (Itemspercent of the LTI grant structure, which limits	y and perform (Items 5-9). s 5-8). The ma	ance regardi * Under the . ain reasons f	ing the bond 2021 LTI gr for support a	us payouts gi ant, it is diffic are: * The CS	iven the impac cult to ascerta SR subcriteria d	t of the closu in that that t only weigh 10	ıres he			
6		Approve Compensation of Olivier Bossard, Management Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remuis difficult to ascertain the alignment between closures of shopping centers on the company's that the CSR sub-criteria are challenging enougheigh 10 percent of the LTI grant structure, we concern.	pay and perfor results. (Iten gh (Items 5-8)	rmance regal ms 5-9). * Ui . The main r	rding the bo nder the 202 easons for s	onus payouts 21 LTI grant, support are:	given the imp it is difficult to * The CSR sub	act of the o ascertain ti ocriteria only				
_		Blended Rationale: Votes FOR these remunera difficult to ascertain the alignment between pa of shopping centers on the company's results. CSR sub-criteria are challenging enough (Item: percent of the LTI grant structure, which limits	y and perform (Items 5-9). s s 5-8). The ma s the risk to rev	ance regardi * Under the . ain reasons fa ward failure.	ing the bond 2021 LTI gr for support a * The abse	us payouts gi ant, it is diffic are: * The CS ance of any of	iven the impac cult to ascerta SR subcriteria d	t of the closu in that that t only weigh 10 t concern.	ires he O			
7		Approve Compensation of Fabrice  Mouchel, Management Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remuis difficult to ascertain the alignment between closures of shopping centers on the company's that the CSR sub-criteria are challenging enougheigh 10 percent of the LTI grant structure, we concern.	pay and perfor results. (Iten gh (Items 5-8)	rmance regal ms 5-9). * Ui . The main r	rding the bo nder the 202 reasons for s	onus payouts 21 LTI grant, support are:	given the imp it is difficult to * The CSR sub	act of the o ascertain ti ocriteria only				
		Blended Rationale: Votes FOR these remuneral difficult to ascertain the alignment between pa of shopping centers on the company's results. CSR sub-criteria are challenging enough (Items percent of the LTI grant structure, which limits	y and perform (Items 5-9). s 5-8). The ma	ance regardi * Under the . ain reasons f	ing the bond 2021 LTI gr for support a	us payouts gi ant, it is diffic are: * The CS	iven the impac cult to ascerta SR subcriteria d	t of the closu in that that t only weigh 10	ıres he			
8		Approve Compensation of Astrid Panosyan, Management Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remu is difficult to ascertain the alignment between closures of shopping centers on the company's that the CSR sub-criteria are challenging enough 10 percent of the LTI grant structure, w concern.	pay and perfor results. (Iten gh (Items 5-8)	rmance regal ms 5-9). * Ui . The main r	rding the bo nder the 202 easons for s	onus payouts 21 LTI grant, support are:	given the imp it is difficult to * The CSR sub	act of the o ascertain ti ocriteria only				
		Blended Rationale: Votes FOR these remuneral difficult to ascertain the alignment between particular of shopping centers on the company's results. CSR sub-criteria are challenging enough (Items percent of the LTI grant structure, which limits	y and perform (Items 5-9). * s 5-8). The ma	ance regardi * Under the . ain reasons f	ing the bond 2021 LTI gr for support a	us payouts gi ant, it is diffic are: * The CS	iven the impac cult to ascerta SR subcriteria d	t of the closu in that that t only weigh 10	ıres he			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Approve Compensation of Caroline Puechoultres, Management Board Member Since 15 July 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remules difficult to ascertain the alignment between purchases of shopping centers on the company's that the CSR sub-criteria are challenging enough weigh 10 percent of the LTI grant structure, we concern.	pay and perfor results. (Item gh (Items 5-8)	rmance regal ns 5-9). * Ur . The main r	rding the bo nder the 202 easons for s	nus payouts 21 LTI grant, support are:	given the impa it is difficult to * The CSR sub	act of the ascertain th criteria only				
		Blended Rationale: Votes FOR these remuneral difficult to ascertain the alignment between pay of shopping centers on the company's results. CSR sub-criteria are challenging enough (Items percent of the LTI grant structure, which limits	y and perform (Items 5-9). s 5-8). The ma	ance regardi * Under the a in reasons fo	ing the bonu 2021 LTI gr for support a	is payouts gi ant, it is diffic ere: * The CS	ven the impact cult to ascertai R subcriteria o	t of the closu in that that th nly weigh 10	he			
10		Approve Compensation of Leon Bressler, Chairman of the Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
11		Approve Compensation Report of Corporate Officers	Mgmt	Yes	For	For		For	For	No	No	No
12		Approve Remuneration Policy of Chairman of the Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this remun the proposed remuneration policy, the LTI targ could reduce the attractivity for the executives would allow full payment when reaching the le the no-pay-for-failure principle. The main reasc compared to last year's remuneration. * The al	net level would to overperform vel of 75 perce ons for suppor	increase whan. * The per ent of achiev t are: * The	nile the max formance co rement. As s proposed re	LTI grant wo riteria under i such, it does i emuneration j	ould decrease. the termination not seem fully	This change n agreement aligned with				
		Blended Rationale: A vote FOR this remuneration proposed remuneration policy, the LTI target le reduce the attractivity for the executives to over allow full payment when reaching the level of it no-pay-for-failure principle. The main reasons to compared to last year's remuneration. * The all	evel would inco erperform. * T 75 percent of a for support are	rease while t the performa achievement. e: * The prop	he max LTI nce criteria . As such, it posed remu	grant would under the ten does not see neration pack	decrease. This rmination agre em fully aligned	s change cou ement would d with the	ld			
13		Approve Remuneration Policy of Management Board Members	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this remun the proposed remuneration policy, the LTI targ could reduce the attractivity for the executives would allow full payment when reaching the le the no-pay-for-failure principle. The main reasc compared to last year's remuneration. * The al	net level would to overperform vel of 75 perce ons for suppor	increase when. * The per ent of achievent are: * The	nile the max formance co rement. As s proposed re	LTI grant wo riteria under i such, it does i emuneration j	ould decrease. the termination not seem fully	This change n agreement aligned with				
		Blended Rationale: A vote FOR this remuneration proposed remuneration policy, the LTI target le reduce the attractivity for the executives to over allow full payment when reaching the level of in no-pay-for-failure principle. The main reasons is compared to last year's remuneration. * The allows the principle is the principle in the second payment when reaching the level of its payment.	evel would inco erperform. * T 75 percent of a for support are	rease while t the performa achievement e: * The prop	he max LTI nce criteria . As such, it posed remu	grant would under the ten does not see neration pack	decrease. This rmination agre em fully aligned	s change cou ement would d with the	ld			
14		Approve Remuneration Policy of Supervisory Board Members	Mgmt	Yes	For	For		For	For	No	No	No

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
15		Reelect Julie Avrane as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the reelect concerns (Items 15, 17-18). * The number of of guidelines. Nevertheless, her overboarded posi- position in Danone. As such, her reelection was	outside manda ition will cease	tes held by C by end of Ju	Cecile Cabai	nis is in exces	s of recommen	nded				
		Blended Rationale: * Votes FOR the reelections concerns (Items 15, 17-18). * The number of a guidelines. Nevertheless, her overboarded position in Danone. As such, her reelection was	outside manda ition will cease	tes held by C by end of Ju	Cecile Cabai	nis is in exces	s of recommer	nded				
16		Reelect Cecile Cabanis as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the reelect concerns (Items 15, 17-18). * The number of a guidelines. Nevertheless, her overboarded posi- position in Danone. As such, her reelection was	outside manda ition will cease	tes held by C by end of Ju	Cecile Cabai	nis is in exces	s of recommen	nded				
		Blended Rationale: * Votes FOR the reelections concerns (Items 15, 17-18). * The number of a guidelines. Nevertheless, her overboarded position in Danone. As such, her reelection was	outside manda ition will cease	tes held by ( by end of Ju	Cecile Cabai	nis is in exces	s of recommer	nded				
17		Reelect Dagmar Kollmann as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the reelect concerns (Items 15, 17-18). * The number of a guidelines. Nevertheless, her overboarded post position in Danone. As such, her reelection was	outside manda ition will cease	tes held by C by end of Ju	Cecile Cabai	nis is in exces	s of recommer	nded				
		Blended Rationale: * Votes FOR the reelections concerns (Items 15, 17-18). * The number of a guidelines. Nevertheless, her overboarded position in Danone. As such, her reelection was	outside manda ition will cease	tes held by C by end of Ju	Cecile Cabai	nis is in exces	s of recommer	nded				
18		Appoint Michel Dessolain as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the reelect concerns (Items 15, 17-18). * The number of a guidelines. Nevertheless, her overboarded post position in Danone. As such, her reelection was	outside manda ition will cease	tes held by C by end of Ju	Cecile Cabai	nis is in exces	s of recommer	nded				
		Blended Rationale: * Votes FOR the reelections concerns (Items 15, 17-18). * The number of a guidelines. Nevertheless, her overboarded postposition in Danone. As such, her reelection was	outside manda ition will cease	tes held by C by end of Ju	Cecile Cabai	nis is in exces	s of recommer	nded				
19		Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No
		Extraordinary Business	Mgmt	No								
20		Authorize Decrease in Share Capital via Cancellation of Repurchased Shares	Mgmt	Yes	For	For		For	For	No	No	No
21		Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	Yes	For	For		For	For	No	No	No
22		Authorize up to 2 Percent of Issued Capital for Use in Stock Option Plans	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorize up to 1.8 Percent of Issued Capital for Use in Restricted Stock Plans	Mgmt	Yes	For	For		For	For	No	No	No
		Ordinary Business	Mgmt	No								

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
24		Authorize Filing of Required Documents/Other Formalities	Mgmt	Yes	For	For		For	For	No	No	No

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		300,000	300,000
			04/28/2022	04/28/2022			

Total Shares: 300,000 300,000

### **Meeting Results**

Outstanding Shares Voted: 138,759,659 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Y	Pass	No	For	0.0%	87,002,272	100.0%	5,289	0.0%	395,480	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	87,002,366	100.0%	5,298	0.0%	395,377	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	87,339,337	100.0%	38	0.0%	26	N/A	Poll	F+A
4	Y	Pass	No	For	0.0%	87,358,540	100.0%	21,788	0.0%	22,713	N/A	Poll	F+A
5	Υ	Pass	No	For	6.7%	81,530,210	93.3%	5,842,792	6.7%	30,039	N/A	Poll	F+A
6	Υ	Pass	No	For	6.8%	81,416,884	93.2%	5,959,784	6.8%	26,373	N/A	Poll	F+A
7	Υ	Pass	No	For	6.4%	81,777,517	93.6%	5,598,806	6.4%	26,718	N/A	Poll	F+A
8	Υ	Pass	No	For	6.4%	81,765,256	93.6%	5,610,897	6.4%	26,888	N/A	Poll	F+A
9	Υ	Pass	No	For	5.5%	82,588,303	94.5%	4,789,248	5.5%	25,490	N/A	Poll	F+A
10	Υ	Pass	No	For	0.2%	87,150,886	99.8%	207,063	0.2%	45,092	N/A	Poll	F+A
11	Υ	Pass	No	For	5.9%	82,234,577	94.1%	5,120,923	5.9%	47,541	N/A	Poll	F+A
12	Υ	Pass	No	For	6.7%	81,316,503	93.3%	5,859,599	6.7%	226,939	N/A	Poll	F+A
13	Υ	Pass	No	For	6.7%	81,316,701	93.3%	5,859,272	6.7%	227,068	N/A	Poll	F+A
14	Υ	Pass	No	For	2.5%	85,219,583	97.5%	2,153,859	2.5%	29,599	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	87,305,220	99.9%	73,609	0.1%	24,212	N/A	Poll	F+A
16	Υ	Pass	No	For	12.4%	76,545,485	87.6%	10,833,518	12.4%	24,038	N/A	Poll	F+A
17	Υ	Pass	No	For	6.3%	81,876,261	93.7%	5,502,570	6.3%	24,210	N/A	Poll	F+A
18	Y	Pass	No	For	6.6%	81,635,478	93.4%	5,739,091	6.6%	28,472	N/A	Poll	F+A
19	Y	Pass	No	For	1.5%	86,077,793	98.5%	1,273,435	1.5%	51,813	N/A	Poll	F+A
	N												
20	Y	Pass	No	For	3.0%	84,759,705	97.0%	2,630,612	3.0%	16,792	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
21	Υ	Pass	No	For	3.7%	84,147,778	96.3%	3,235,978	3.7%	23,353	N/A	Poll	F+A
22	Υ	Pass	No	For	4.8%	83,142,953	95.2%	4,225,764	4.8%	38,392	N/A	Poll	F+A
23	Υ	Pass	No	For	5.3%	82,746,944	94.7%	4,622,070	5.3%	38,095	N/A	Poll	F+A
	N												
24	Υ	Pass	No	For	0.0%	87,333,736	100.0%	26,923	0.0%	42,382	N/A	Poll	F+A

# **Balfour Beatty Plc**

**Meeting Date:** 05/12/2022

Country: United Kingdom

Ticker: BBY

Proxy Level: N/A

**Record Date:** 05/10/2022

Meeting Type: Annual

**Meeting ID:** 1630189

Primary SEDOL: 0096162

Primary Security ID: G3224V108

Primary CUSIP: G3224V108

Voting Policy: ISS

**Additional Policy:** 

Earliest Cutoff Date: 05/09/2022 Votable Shares: 2,268,772

Total Ballots: 1 \*Shares on Loan: 0

Shares Instructed: 2,268,772

Primary ISIN: GB0000961622

**Shares Voted:** 2,268,772

votable 3i	iai es. 2,200,777	Silares on Loan.			Silai es 11	istructeu. 2,2	00,772		Silares voted	1. 2,200,772		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
4		Elect Charles Allen, Lord of Kensington as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dir	ectors is warraı	nted as no si	ignificant co	ncerns have l	been identified	!				
		Blended Rationale: A vote FOR these Director	rs is warranted	as no signific	cant conceri	ns have been	identified.					
5		Elect Louise Hardy as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dir	ectors is warraı	nted as no si	ignificant co	ncerns have i	been identified	!.				
		Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.										
6		Re-elect Stephen Billingham as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dir	ectors is warraı	nted as no si	ignificant co	ncerns have l	been identified	!.				
		Blended Rationale: A vote FOR these Director	rs is warranted	as no signific	cant conceri	ns have been	identified.					
7		Re-elect Stuart Doughty as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dir	ectors is warrai	nted as no si	ignificant co	ncerns have i	been identified	!.				
		Blended Rationale: A vote FOR these Director	rs is warranted	as no signific	cant conceri	ns have been	identified.					
8		Re-elect Philip Harrison as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dir	nted as no si	ignificant co	ncerns have l	been identified	!					
		Blended Rationale: A vote FOR these Director	cant conceri	ns have been	identified.							
9		Re-elect Michael Lucki as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dir	Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.									
		Blended Rationale: A vote FOR these Director	rs is warranted	as no signific	cant conceri	ns have been	identified.					

# **Balfour Beatty Plc**

Proposal Sign Number Vote	nificant e	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
10		Re-elect Barbara Moorhouse Director	as	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vot	e FOR these Dire	ctors is warran	ted as no sig	gnificant co	ncerns have b	been identified.					
		Blended Rationale: A vote FO	R these Directors	is warranted a	s no signific	ant concer	ns have been	identified.					
11		Re-elect Leo Quinn as Directo	or	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vot	e FOR these Dire	ctors is warran	ted as no sig	gnificant co	ncerns have b	been identified.					
		Blended Rationale: A vote FO	R these Directors	is warranted a	s no signific	ant concer	ns have been	identified.					
12		Re-elect Anne Drinkwater as	Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vot	e FOR these Dire	ctors is warran	ted as no sig	gnificant co	ncerns have b	been identified.					
		Blended Rationale: A vote FO	R these Directors	is warranted a	s no signific	ant concer	ns have been	identified.					
13		Reappoint KPMG LLP as Audi	cors	Mgmt	Yes	For	For		For	For	No	No	No
14		Authorise Board to Fix Remui of Auditors	neration	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise UK Political Donation	ons and	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A voti recommended limits.	e FOR these reso	lutions is warra	anted becaus	se the prop	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOr recommended limits.	R these resolution	ns is warranted	because the	e proposea	amounts and	durations are	within				
17		Authorise Issue of Equity with Pre-emptive Rights	nout	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A voti recommended limits.	e FOR these reso	lutions is warra	anted becaus	se the prop	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	R these resolution	ns is warranted	because the	e proposea	' amounts and	l durations are	within				
18		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise the Company to Ca Meeting with Two Weeks' No		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details													
Institutional Accou (IA Name, IA Num		Custodian Account Number	Ballot Status	Instructed	i	Approv	red	Ballot Voting	Status	Votable S	hares	Shares	Voted
RTRF, RTRF		392078	Confirmed	jcoster		jcoster				2,268,	772	2,268	1,772
				05/03/2022	!	05/03/2	022						

## **Meeting Results**

Outstanding Shares Voted: 631,036,860 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	491,440,184	100.0%	6,709	0.0%	4,942,482	N/A	Poll	F+A
2	Υ	Pass	No	For	6.4%	464,255,659	93.6%	31,798,975	6.4%	42,544	N/A	Poll	F+A

**Total Shares:** 

2,268,772

2,268,772

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
3	Υ	Pass	No	For	0.0%	496,073,589	100.0%	1,585	0.0%	22,004	N/A	Poll	F+A
4	Υ	Pass	No	For	11.0%	427,209,135	89.0%	52,773,938	11.0%	16,114,105	N/A	Poll	F+A
5	Υ	Pass	No	For	0.4%	493,917,992	99.6%	2,135,772	0.4%	43,414	N/A	Poll	F+A
6	Υ	Pass	No	For	1.7%	487,499,559	98.3%	8,574,815	1.7%	22,804	N/A	Poll	F+A
7	Υ	Pass	No	For	2.6%	483,116,738	97.4%	12,952,926	2.6%	27,514	N/A	Poll	F+A
8	Υ	Pass	No	For	0.2%	495,178,324	99.8%	895,036	0.2%	23,818	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	495,976,613	100.0%	97,225	0.0%	23,340	N/A	Poll	F+A
10	Υ	Pass	No	For	1.7%	487,734,083	98.3%	8,630,958	1.7%	24,334	N/A	Poll	F+A
11	Υ	Pass	No	For	0.0%	496,263,191	100.0%	102,366	0.0%	23,818	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	496,254,814	100.0%	108,008	0.0%	26,553	N/A	Poll	F+A
13	Υ	Pass	No	For	5.3%	469,925,333	94.7%	26,380,937	5.3%	83,105	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	496,321,316	100.0%	37,376	0.0%	30,683	N/A	Poll	F+A
15	Υ	Pass	No	For	2.1%	485,791,733	97.9%	10,573,191	2.1%	24,451	N/A	Poll	F+A
16	Υ	Pass	No	For	3.0%	481,424,843	97.0%	14,940,401	3.0%	24,131	N/A	Poll	F+A
17	Υ	Pass	No	For	1.0%	491,582,337	99.0%	4,779,975	1.0%	27,063	N/A	Poll	F+A
18	Υ	Pass	No	For	5.4%	468,543,841	94.6%	26,494,542	5.4%	1,350,992	N/A	Poll	F+A
19	Υ	Pass	No	For	4.1%	475,807,727	95.9%	20,264,440	4.1%	1,706,306	N/A	Poll	F+A

Meeting Date: 05/12/2022 **Record Date:** 05/10/2022

Primary Security ID: G12793108

Earliest Cutoff Date: 05/09/2022 Votable Shares: 21,744,000

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G12793108

Total Ballots: 1

\*Shares on Loan: 0

Ticker: BP

Meeting ID: 1622524

**Primary ISIN:** GB0007980591

Voting Policy: ISS

**Additional Policy:** 

Proxy Level: N/A

Primary SEDOL: 0798059

Shares Instructed: 21,744,000 **Shares Voted:** 21,744,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
		Management Proposals	Mgmt	No									
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No	
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No	
3		Approve Net Zero - From Ambition to Action Report	Mgmt	Yes	For	For		For	For	No	No	No	
4		Re-elect Helge Lund as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.					
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.					
5		Re-elect Bernard Looney as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified.											
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.					

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6		Re-elect Murray Auchincloss as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
7		Re-elect Paula Reynolds as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
8		Re-elect Pamela Daley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
9		Re-elect Melody Meyer as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
10		Re-elect Sir John Sawers as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified.										
11		Re-elect Tushar Morzaria as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warrai	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	erial concerns	have been ide	entified.				
12		Re-elect Karen Richardson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warrai	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
13		Re-elect Johannes Teyssen as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consid	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
14		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve ShareMatch UK Plan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	considered wa	arranted, as	no material	concerns ha	ve been identii	fied.				
		Blended Rationale: A vote FOR this item is cons	sidered warran	nted, as no n	naterial cond	cerns have be	een identified.					
17		Approve Sharesave UK Plan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	considered wa	arranted, as	no material	concerns ha	ve been identii	fied.				
		Blended Rationale: A vote FOR this item is cons	sidered warran	nted, as no n	naterial cond	cerns have be	een identified.					
18		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
19		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	l because th	e proposed a	amounts and	durations are	within				
20		Authorise Issue of Equity without Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becau	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because th	e proposed a	amounts and	durations are	within				
21		Authorise Issue of Equity withor Pre-emptive Rights in Connecti an Acquisition or Other Capital Investment	on with	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because th	e proposed a	amounts and	durations are	within				
22		Authorise Market Purchase of C Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorise the Company to Call Meeting with Two Weeks' Notice		Mgmt	Yes	For	For		For	For	No	No	No
		Shareholder Proposal		Mgmt	No								
24		Approve Shareholder Resolutio Climate Change Targets	n on	SH	Yes	Against	Against		Against	Against	No	No	No
Ballot Detai	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable S	nares	Shares '	Voted
RTRF, RTRF		392078	Confirmed	dnicoll		dnicoll				21,744,	000	21,744	1,000
				05/04/2022	2	05/04/20	22						
									Total Shares:	21,744,	000	21,744	1,000

#### **Meeting Results**

Outstanding Shares Voted: 19,522,131,901 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.4%	11,888,811,888	99.6%	43,967,714	0.4%	53,028,472	N/A	Poll	F+A
2	Υ	Pass	No	For	5.6%	11,117,563,056	94.4%	665,022,090	5.6%	203,221,922	N/A	Poll	F+A
3	Υ	Pass	No	For	11.5%	10,243,411,197	88.5%	1,327,625,024	11.5%	414,773,596	N/A	Poll	F+A
4	Υ	Pass	No	For	3.4%	11,522,425,313	96.6%	410,700,046	3.4%	52,672,313	N/A	Poll	F+A
5	Υ	Pass	No	For	1.3%	11,782,025,805	98.7%	156,794,718	1.3%	46,983,844	N/A	Poll	F+A
6	Υ	Pass	No	For	1.2%	11,791,571,084	98.8%	145,700,050	1.2%	48,544,835	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
7	Υ	Pass	No	For	4.6%	11,388,720,684	95.4%	547,004,247	4.6%	50,091,676	N/A	Poll	F+A
8	Υ	Pass	No	For	1.3%	11,775,967,983	98.7%	159,440,873	1.3%	50,404,614	N/A	Poll	F+A
9	Υ	Pass	No	For	2.1%	11,571,670,836	97.9%	247,989,413	2.1%	166,155,721	N/A	Poll	F+A
10	Υ	Pass	No	For	3.0%	11,572,408,331	97.0%	362,567,294	3.0%	50,842,596	N/A	Poll	F+A
11	Υ	Pass	No	For	1.2%	11,795,665,999	98.8%	139,322,205	1.2%	50,827,765	N/A	Poll	F+A
12	Υ	Pass	No	For	9.6%	10,794,224,268	90.4%	1,141,764,905	9.6%	49,826,797	N/A	Poll	F+A
13	Υ	Pass	No	For	1.6%	11,748,126,515	98.4%	186,660,015	1.6%	51,029,439	N/A	Poll	F+A
14	Υ	Pass	No	For	0.3%	11,908,406,408	99.7%	37,233,981	0.3%	40,185,810	N/A	Poll	F+A
15	Υ	Pass	No	For	0.4%	11,890,464,940	99.6%	43,701,452	0.4%	51,657,005	N/A	Poll	F+A
16	Υ	Pass	No	For	0.8%	11,833,757,590	99.2%	91,540,475	0.8%	60,531,313	N/A	Poll	F+A
17	Υ	Pass	No	For	1.1%	11,798,066,613	98.9%	126,207,453	1.1%	61,543,949	N/A	Poll	F+A
18	Υ	Pass	No	For	2.4%	11,638,254,421	97.6%	292,192,818	2.4%	55,370,527	N/A	Poll	F+A
19	Υ	Pass	No	For	4.8%	11,365,262,872	95.2%	567,087,208	4.8%	53,479,307	N/A	Poll	F+A
20	Υ	Pass	No	For	1.3%	11,750,475,006	98.7%	153,527,693	1.3%	81,825,689	N/A	Poll	F+A
21	Υ	Pass	No	For	3.7%	11,463,506,845	96.3%	441,630,811	3.7%	80,690,782	N/A	Poll	F+A
22	Υ	Pass	No	For	1.6%	11,746,635,916	98.4%	188,156,921	1.6%	51,031,941	N/A	Poll	F+A
23	Υ	Pass	No	For	7.8%	11,014,542,918	92.2%	929,145,322	7.8%	42,142,449	N/A	Poll	F+A
	N												
24	Υ	Fail	Yes	Against	14.9%	1,765,833,694	14.9%	10,118,016,838	85.1%	101,979,681	N/A	Poll	F+A

Meeting Date: 05/12/2022 **Record Date:** 03/18/2022 Primary Security ID: G12793108 Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G12793108

**Meeting ID:** 1631653

**Primary ISIN:** GB0007980591

Earliest Cu	toff Date: 05/0	9/2022 <b>Tota</b>				Voting Poli	cy: ISS			Additional Pol	icy:		
Votable Sh	ares: 1,750,000	*Sha	ares on Loan: 0			Shares Ins	tructed: 1,75	0,000		Shares Voted:	1,750,000		
Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Meeting for ADR Holders		Mgmt	No								
	Management Proposals			Mgmt	No								
1		Accept Financial Statements and Statutory Reports		Mgmt	Yes	For	For		For	For	No	No	No
2	2 Approve Remuneration Report		eport	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Net Zero - From A Action Report	Ambition to	Mgmt	Yes	For	For		For	For	No	No	No

Ticker: BP

Proxy Level: N/A

Primary SEDOL: 0798059

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4		Re-elect Helge Lund as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
5		Re-elect Bernard Looney as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
6		Re-elect Murray Auchincloss as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
7		Re-elect Paula Reynolds as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
8		Re-elect Pamela Daley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
9		Re-elect Melody Meyer as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
10		Re-elect Sir John Sawers as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
11		Re-elect Tushar Morzaria as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no l	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
12		Re-elect Karen Richardson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
13		Re-elect Johannes Teyssen as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
14		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve ShareMatch UK Plan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	considered wa	arranted, as	no material	concerns ha	ve been identi	fied.				
		Blended Rationale: A vote FOR this item is cons	sidered warran	ted, as no n	naterial cond	cerns have be	een identified.					

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

-	Significant /ote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
17		Approve Sharesave UK Plan		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	FOR this item is	s considered w	arranted, as	no material	concerns hav	ve been identii	fied.				
		Blended Rationale: A vote FOR	this item is con	sidered warrar	nted, as no n	naterial conc	erns have be	en identified.					
18		Authorise UK Political Donation Expenditure	ns and	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	l because the	e proposed a	amounts and	durations are	within				
20		Authorise Issue of Equity with Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
21		Authorise Issue of Equity with Pre-emptive Rights in Connect an Acquisition or Other Capita Investment	tion with	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
22		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorise the Company to Cal Meeting with Two Weeks' Noti		Mgmt	Yes	For	For		For	For	No	No	No
		Shareholder Proposal		Mgmt	No								
24		Approve Shareholder Resolution Climate Change Targets	on on	SH	Yes	Against	Against		Against	Against	No	No	No
Ballot Details	5												
Institutional Ac (IA Name, IA N		Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable S	hares	Shares '	Voted
RTRF, RTRF		000392078	Confirmed	dnicoll		dnicoll				1,750,0	000	1,750	,000
				05/04/2022	2	05/04/202	22						
									Total Shares:	1,750,	000	1,750	,000

#### **Meeting Results**

Outstanding Shares Voted: 19,522,131,901 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
	N												
1	Υ	Pass	No	For	0.4%	11,888,811,888	99.6%	43,967,714	0.4%	53,028,472	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Y   Pass
5 Y Pess No For 1.3% 11,725,075,805 98.7% 156,794,718 1.3% 46,083,844 N/A Pol F. 5 Y Pess No For 1.2% 11,724,571,084 98.8% 145,700,050 1.2% 48,548,835 N/A Pol F. 7 Y Pess No For 4.6% 11,388,720,684 98.8% 145,700,050 1.2% 46,948,930,001,076 N/A Pol F. 8 Y Pess No For 1.3% 11,775,607,983 98.7% 547,004,247 4.6% 50,001,076 N/A Pol F. 9 Y Pess No For 1.3% 11,775,607,983 98.7% 159,440,873 1.3% 50,444,614 N/A Pol F. 9 Y Pess No For 3.0% 11,775,607,983 98.7% 19,440,873 1.3% 50,444,614 N/A Pol F. 1 Y Pess No For 3.0% 11,725,607,983 98.7% 19,4413 2.1% 166,155,721 N/A Pol F. 1 Y Pess No For 1.2% 11,725,607,999 98.8% 139,322,205 1.2% 50,822,765 N/A Pol F. 2 Y Pess No For 1.2% 11,795,665,999 98.8% 139,322,205 1.2% 50,822,765 N/A Pol F. 3 Y Pess No For 1.6% 11,748,126,515 98.4% 18,660,015 1.6% 51,029,499 N/A Pol F. 3 Y Pess No For 0.3% 11,794,266,515 98.4% 18,660,015 1.6% 51,029,499 N/A Pol F. 5 Y Pess No For 0.3% 11,798,406,408 99.7% 37,333,981 0.3% 40,185,810 N/A Pol F. 5 Y Pess No For 0.3% 11,833,737,590 99.6% 43,701,452 0.4% 51,637,005 N/A Pol F. 7 Y Pess No For 1.1% 11,798,066,613 98.9% 12,840,475 0.29% 60,531,313 N/A Pol F. 7 Y Pess No For 1.4% 11,736,066,613 98.9% 12,840,475 0.29% 60,531,313 N/A Pol F. 8 Y Pess No For 1.3% 11,435,256,641 19,89% 12,540,753 1.1% 61,543,049 N/A Pol F. 9 Y Pess No For 1.3% 11,463,550,644 11,463,5516 98.4% 12,507,633 1.1% 61,543,049 N/A Pol F. 9 Y Pess No For 1.3% 11,750,75,750,64 99.8% 12,840,75 0.29% 60,531,313 N/A Pol F. 9 Y Pess No For 1.3% 11,750,75,750,64 99.8% 12,840,75 0.29% 60,531,313 N/A Pol F. 1 Y Pess No For 1.3% 11,750,75,750,64 99.8% 12,840,75 0.29% 60,531,313 N/A Pol F. 9 Y Pess No For 1.3% 11,750,75,750,64 99.8% 12,840,75 0.29% 60,531,313 N/A Pol F. 1 Y Pess No For 1.3% 11,750,75,750,64 99.8% 12,840,75 0.29% 60,531,313 N/A Pol F. 1 Y Pess No For 1.3% 11,750,75,750,64 99.8% 12,840,75 0.29% 60,531,313 N/A Pol F. 1 Y Pess No For 1.3% 11,750,75,750,64 99.8% 12,840,75 0.29% 60,531,314 N/A Pol F. 1 Y Pess No For 1.3% 11,750,75,750,64 99.8% 12,840,75 0.29% 60,531,314 N/A Pol F. 1
5 Y Pass No For 1.3% 11,782,025,805 98,7% 156,794,718 1.3% 46,983,844 N/A Poll F- 5 Y Pass No For 1.2% 11,791,571,084 98,8% 145,700,050 1.2% 48,544,835 N/A Poll F- 7 Y Pass No For 4.6% 11,388,720,684 95,4% 547,004,247 4.6% 50,091,676 N/A Poll F- 8 Y Pass No For 1.3% 11,775,967,983 98,7% 159,440,873 1.3% 50,404,614 N/A Poll F- 9 Y Pass No For 2.1% 11,571,670,836 97,9% 247,989,413 2.1% 166,155,721 N/A Poll F- 10 Y Pass No For 3.0% 11,572,408,331 97.0% 362,567,294 3.0% 50,842,596 N/A Poll F- 11 Y Pass No For 1.2% 11,795,665,999 98,8% 139,222,205 1.2% 50,827,765 N/A Poll F- 12 Y Pass No For 9,6% 10,794,224,268 90,4% 11,41,764,905 9,6% 49,826,797 N/A Poll F- 13 Y Pass No For 0,3% 11,746,126,515 98,4% 186,660,015 1.6% 51,029,439 N/A Poll F- 14 Y Pass No For 0,4% 11,890,464,940 99,6% 43,701,452 0.4% 51,657,005 N/A Poll F- 15 Y Pass No For 0,4% 11,833,757,590 99,2% 91,540,475 0.8% 60,531,313 N/A Poll F- 16 Y Pass No For 0,8% 11,183,757,590 99,2% 91,540,475 0.8% 60,531,313 N/A Poll F- 17 Y Pass No For 1,1% 11,798,066,613 98,9% 126,207,453 1.1% 61,543,949 N/A Poll F- 18 Y Pass No For 1,1% 11,798,066,613 98,9% 126,207,453 1.1% 61,543,949 N/A Poll F- 17 Y Pass No For 1,1% 11,798,066,613 98,9% 126,207,453 1.1% 61,543,949 N/A Poll F- 18 Y Pass No For 1,1% 11,798,066,613 98,9% 150,527,693 1.3% 80,990,782 N/A Poll F- 19 Y Pass No For 1,5% 11,638,254,821 97,6% 292,192,818 2.4% 55,370,527 N/A Poll F- 19 Y Pass No For 1,5% 11,638,554,842 96,95 96,3% 141,630,811 3.7% 80,990,782 N/A Poll F- 19 Y Pass No For 1,5% 11,746,535,916 98,4% 188,156,921 1.6% 51,031,941 N/A Poll F- 10 Y Pass No For 1,6% 11,746,635,916 98,4% 188,156,921 1.6% 51,031,941 N/A Poll F- 10 Y Pass No For 1,6% 11,746,635,916 98,4% 188,156,921 1.6% 51,031,941 N/A Poll F- 10 Y Pass No For 1,6% 11,746,635,916 98,4% 188,156,921 1.6% 51,031,941 N/A Poll F- 10 Y Pass No For 1,6% 11,746,635,916 98,4% 188,156,921 1.6% 51,031,941 N/A Poll F- 10 Y Pass No For 7,8% 11,014,512,918 92,2% 92,145,322 7.8% 42,142,449 N/A Poll F-
5 Y Pass No For 1.3% 11,782,025,805 98,7% 156,794,718 1.3% 46,983,844 N/A Poll F- 5 Y Pass No For 1.2% 11,791,571,084 98,8% 145,700,050 1.2% 48,544,835 N/A Poll F- 7 Y Pass No For 4.6% 11,388,720,684 95,4% 547,004,247 4.6% 50,091,676 N/A Poll F- 8 Y Pass No For 1.3% 11,775,967,983 98,7% 159,440,873 1.3% 50,404,614 N/A Poll F- 9 Y Pass No For 2.1% 11,571,670,836 97,9% 247,989,413 2.1% 166,155,721 N/A Poll F- 10 Y Pass No For 3.0% 11,572,408,331 97.0% 362,567,294 3.0% 50,842,596 N/A Poll F- 11 Y Pass No For 1.2% 11,795,665,999 98,8% 139,222,205 1.2% 50,827,765 N/A Poll F- 12 Y Pass No For 9,6% 10,794,224,268 90,4% 11,41,764,905 9,6% 49,826,797 N/A Poll F- 13 Y Pass No For 0,3% 11,746,126,515 98,4% 186,660,015 1.6% 51,029,439 N/A Poll F- 14 Y Pass No For 0,4% 11,890,464,940 99,6% 43,701,452 0.4% 51,657,005 N/A Poll F- 15 Y Pass No For 0,4% 11,833,757,590 99,2% 91,540,475 0.8% 60,531,313 N/A Poll F- 16 Y Pass No For 0,8% 11,183,757,590 99,2% 91,540,475 0.8% 60,531,313 N/A Poll F- 17 Y Pass No For 1,1% 11,798,066,613 98,9% 126,207,453 1.1% 61,543,949 N/A Poll F- 18 Y Pass No For 1,1% 11,798,066,613 98,9% 126,207,453 1.1% 61,543,949 N/A Poll F- 17 Y Pass No For 1,1% 11,798,066,613 98,9% 126,207,453 1.1% 61,543,949 N/A Poll F- 18 Y Pass No For 1,1% 11,798,066,613 98,9% 150,527,693 1.3% 80,990,782 N/A Poll F- 19 Y Pass No For 1,5% 11,638,254,821 97,6% 292,192,818 2.4% 55,370,527 N/A Poll F- 19 Y Pass No For 1,5% 11,638,554,842 96,95 96,3% 141,630,811 3.7% 80,990,782 N/A Poll F- 19 Y Pass No For 1,5% 11,746,535,916 98,4% 188,156,921 1.6% 51,031,941 N/A Poll F- 10 Y Pass No For 1,6% 11,746,635,916 98,4% 188,156,921 1.6% 51,031,941 N/A Poll F- 10 Y Pass No For 1,6% 11,746,635,916 98,4% 188,156,921 1.6% 51,031,941 N/A Poll F- 10 Y Pass No For 1,6% 11,746,635,916 98,4% 188,156,921 1.6% 51,031,941 N/A Poll F- 10 Y Pass No For 1,6% 11,746,635,916 98,4% 188,156,921 1.6% 51,031,941 N/A Poll F- 10 Y Pass No For 7,8% 11,014,512,918 92,2% 92,145,322 7.8% 42,142,449 N/A Poll F-
For 1.3% 11,782,025,805 98.7% 156,794,718 1.3% 46,983,844 N/A Poll F- NO Pass No For 1.2% 11,791,571,084 98.8% 145,700,050 1.2% 48,544,835 N/A Poll F- NO Pass No For 4.6% 11,388,720,684 95.4% 547,004,247 4.6% 50,091,676 N/A Poll F- NO Pass No For 1.3% 11,775,967,983 98.7% 159,440,873 1.3% 50,404,614 N/A Poll F- NO Y Pass No For 2.1% 11,571,670,836 97.9% 247,989,413 2.1% 165,155,721 N/A Poll F- NO Y Pass No For 3.0% 11,572,408,331 97.0% 362,567,294 3.0% 50,842,596 N/A Poll F- NO Y Pass No For 1.2% 11,795,665,999 98.8% 139,322,205 1.2% 50,827,765 N/A Poll F- NO Y Pass No For 1.6% 11,746,126,515 98.4% 1,141,764,905 9.6% 49,826,797 N/A Poll F- NO Y Pass No For 1.6% 11,746,126,515 98.4% 186,660,015 1.6% 51,029,439 N/A Poll F- NO Pass No For 0.4% 11,890,664,940 99.6% 43,721,4152 0.4% 51,657,005 N/A Poll F- NO Pass No For 1.1% 11,798,666,613 98.9% 126,207,453 1.1% 61,543,949 N/A Poll F- NO Pass No For 1.1% 11,798,666,613 98.9% 126,207,453 1.1% 61,543,949 N/A Poll F- NO Pass No For 1.1% 11,798,666,613 98.9% 126,207,453 1.1% 61,543,949 N/A Poll F- NO Pass No For 1.8% 11,463,568,645 96.3% 441,630,811 3.7% 80,690,782 N/A Poll F- NO Pass No For 1.8% 11,463,568,845 96.3% 441,630,811 3.7% 80,690,782 N/A Poll F- NO Y Pass No For 1.8% 11,463,568,845 96.3% 441,630,811 3.7% 80,690,782 N/A Poll F- NO Y Pass No For 1.8% 11,463,568,845 96.3% 441,630,811 3.7% 80,690,782 N/A Poll F- NO Y Pass No For 1.8% 11,463,568,845 96.3% 441,630,811 3.7% 80,690,782 N/A Poll F- NO Y Pass No For 1.8% 11,463,568,845 96.3% 441,630,811 3.7% 80,690,782 N/A Poll F- NO Y Pass No For 1.8% 11,463,568,845 96.3% 441,630,811 3.7% 80,690,782 N/A Poll F- NO Y Pass No For 1.8% 11,463,568,845 96.3% 441,630,811 3.7% 80,690,782 N/A Poll F- NO Y Pass No For 1.8% 11,463,568,845 96.3% 441,630,811 3.7% 80,690,782 N/A Poll F- NO Y Pass No For 1.8% 11,463,568,845 96.3% 441,630,811 3.7% 80,690,782 N/A Poll F- NO Y Pass No For 1.8% 11,463,568,845 96.3% 441,630,811 3.7% 80,690,782 N/A Poll F-
For 1.3% 11,782,025,805 98,7% 156,794,718 1.3% 46,883,844 N/A Poll Fr. Pass No For 1.2% 11,781,571,084 98,8% 145,700,050 1.2% 48,544,835 N/A Poll Fr. Pass No For 4.6% 11,388,720,684 95.4% 547,004,247 4.6% 50,091,676 N/A Poll Fr. Pass No For 1.3% 11,775,967,983 98,7% 159,440,873 1.3% 50,404,614 N/A Poll Fr. Pass No For 2.1% 11,571,670,836 97,9% 247,989,413 2.1% 166,155,721 N/A Poll Fr. Pass No For 3.0% 11,572,408,331 97,0% 362,567,294 3.0% 50,842,596 N/A Poll Fr. Pass No For 1.2% 11,785,665,999 98,8% 139,322,205 1.2% 50,827,765 N/A Poll Fr. Pass No For 1.6% 11,748,126,515 98,4% 186,660,015 1.6% 51,029,439 N/A Poll Fr. Pass No For 0.3% 11,598,406,408 99,7% 37,233,981 0.3% 40,185,810 N/A Poll Fr. Pass No For 0.4% 11,890,464,940 99,6% 43,701,452 0.4% 51,657,005 N/A Poll Fr. Pass No For 1.1% 11,898,406,408 99,7% 37,233,981 0.3% 40,185,810 N/A Poll Fr. Pass No For 0.4% 11,890,464,940 99,6% 43,701,452 0.4% 51,657,005 N/A Poll Fr. Pass No For 1.1% 11,788,666,613 98,9% 126,207,453 1.1% 61,543,949 N/A Poll Fr. Pass No For 1.1% 11,786,666,613 98,9% 126,207,453 1.1% 61,543,949 N/A Poll Fr. Pass No For 1.1% 11,786,666,613 98,9% 126,207,453 1.1% 61,543,949 N/A Poll Fr. Pass No For 1.1% 11,786,666,613 98,9% 126,207,453 1.1% 61,543,949 N/A Poll Fr. Pass No For 1.3% 11,552,628,872 95,2% 567,087,208 4.8% 53,479,307 N/A Poll Fr. Pass No For 1.3% 11,750,475,006 98,7% 133,527,693 1.3% 81,825,689 N/A Poll Fr. Pass No For 1.3% 11,746,535,916 98,4% 186,50,211 1.6% 51,031,941 N/A Poll Fr. Pass No For 1.3% 11,746,535,916 98,4% 188,156,921 1.6% 51,031,941 N/A Poll Fr. Pass No For 1.3% 11,746,535,916 98,4% 188,156,921 1.6% 51,031,941 N/A Poll Fr. Pass No For 1.6% 11,746,635,916 98,4% 188,156,921 1.6% 51,031,941 N/A Poll Fr. Pass No For 1.5% 11,746,635,916 98,4% 188,156,921 1.6% 51,031,941 N/A Poll Fr. Pass No For 1.5% 11,746,635,916 98,4% 188,156,921 1.6% 51,031,941 N/A Poll Fr. Pass No For 1.5% 11,746,635,916 98,4% 188,156,921 1.6% 51,031,941 N/A Poll Fr. Pass No For 1.6% 11,746,635,916 98,4% 188,156,921 1.6% 51,031,941 N/A Poll Fr. Pass
11,782,025,805 98.7% 156,794,718 1.3% 46,983,844 N/A Poll F- 12,7 Pass No For 1.2% 11,791,571,084 98.8% 145,700,050 1.2% 48,544,835 N/A Poll F- 13,7 Y Pass No For 4.6% 11,388,720,684 95.4% 547,004,247 4.6% 50,091,676 N/A Poll F- 14,7 Pass No For 1.3% 11,775,967,983 98.7% 159,440,873 1.3% 50,404,614 N/A Poll F- 15,7 Y Pass No For 2.1% 11,571,670,836 97.9% 247,989,413 2.1% 166,155,721 N/A Poll F- 16,7 Y Pass No For 3.0% 11,572,408,331 97.0% 362,557,294 3.0% 50,842,596 N/A Poll F- 17,8 Pass No For 1.2% 11,795,665,999 98.8% 139,322,205 1.2% 50,827,765 N/A Poll F- 18,7 Pass No For 1.6% 11,748,126,515 98.4% 186,660,015 1.6% 51,029,439 N/A Poll F- 18,8 Y Pass No For 0.4% 11,890,464,940 99.6% 43,701,452 0.4% 51,657,005 N/A Poll F- 18,9 Pass No For 0.4% 11,890,464,940 99.6% 43,701,452 0.4% 51,657,005 N/A Poll F- 19,8 Pass No For 0.4% 11,830,757,590 99.2% 91,540,475 0.8% 50,327,327 N/A Poll F- 19,9 Pass No For 1.4% 11,798,066,613 98.9% 126,207,453 1.1% 61,543,949 N/A Poll F- 19,9 Pass No For 1.3% 11,790,665,845 96.3% 441,630,811 3.7% 80,690,782 N/A Poll F- 19,9 Pass No For 1.4,8% 11,365,262,872 95.2% 567,087,208 4.8% 53,379,307 N/A Poll F- 19,9 Pass No For 1.3% 11,750,475,006 98.7% 153,527,693 1.3% 81,825,689 N/A Poll F- 10,9 Pass No For 1.3% 11,750,475,006 98.7% 153,527,693 1.3% 80,690,782 N/A Poll F- 11,9 Pass No For 1.3% 11,750,475,006 98.7% 153,527,693 1.3% 80,690,782 N/A Poll F-
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For 1.3% 11,782,025,805 98.7% 156,794,718 1.3% 46,983,844 N/A Poll F- Y Pass No For 1.2% 11,791,571,084 98.8% 145,700,050 1.2% 48,544,835 N/A Poll F- Y Pass No For 4.6% 11,388,720,684 95.4% 547,004,247 4.6% 50,091,676 N/A Poll F- N/A
For 1.3% 11,782,025,805 98.7% 156,794,718 1.3% 46,983,844 N/A Poll F- Y Pass No For 1.2% 11,791,571,084 98.8% 145,700,050 1.2% 48,544,835 N/A Poll F- Y Pass No For 4.6% 11,388,720,684 95.4% 547,004,247 4.6% 50,091,676 N/A Poll F- N/A
For 1.3% 11,782,025,805 98.7% 156,794,718 1.3% 46,983,844 N/A Poll F- Y Pass No For 1.2% 11,791,571,084 98.8% 145,700,050 1.2% 48,544,835 N/A Poll F- Y Pass No For 4.6% 11,388,720,684 95.4% 547,004,247 4.6% 50,091,676 N/A Poll F- N/A
5 Y Pass No For 1.3% 11,782,025,805 98.7% 156,794,718 1.3% 46,983,844 N/A Poll F- 6 Y Pass No For 1.2% 11,791,571,084 98.8% 145,700,050 1.2% 48,544,835 N/A Poll F- 7 Y Pass No For 4.6% 11,388,720,684 95.4% 547,004,247 4.6% 50,091,676 N/A Poll F-
5 Y Pass No For 1.3% 11,782,025,805 98.7% 156,794,718 1.3% 46,983,844 N/A Poll F- 6 Y Pass No For 1.2% 11,791,571,084 98.8% 145,700,050 1.2% 48,544,835 N/A Poll F-
5 Y Pass No For 1.3% 11,782,025,805 98.7% 156,794,718 1.3% 46,983,844 N/A Poll F-
4 Y Pass No For 3.4% 11,522,425,313 96.6% 410,700,046 3.4% 52,672,313 N/A Poll F-
3 Y Pass No For 11.5% 10,243,411,197 88.5% 1,327,625,024 11.5% 414,773,596 N/A Poll F-

Primary Security ID: G5533W248

Primary CUSIP: G5533W248

Primary ISIN: GB0008706128

Primary SEDOL: 0870612

Result

Туре

Poll

Base

F+A

Abstain

N/A

Earliest Cutoff Date: 05/09/2022

Item

Voting

Proposal

Summary

Pass

Dissident

Proposal

No

Mgmt

Rec

Dissent

Level

5.6%

For

11,117,563,056

For

94.4%

Against

665,022,090

Against

5.6%

Abstain

203,221,922

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 55,000,000

\*Shares on Loan: 0

Shares Instructed: 55,000,000

**Shares Voted:** 55,000,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No

# **Lloyds Banking Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2		Elect Harmeen Mehta as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	ctors is conside	ered warrant	ed, as no m	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered i	warranted, a	s no materia	al concerns h	ave been iden	tified.				
3		Elect Charlie Nunn as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	ctors is conside	ered warrant	ed, as no m	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered	warranted, a	s no materia	al concerns h	ave been iden	tified.				
4		Re-elect Robin Budenberg as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	ctors is conside	ered warrant	ed, as no m	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered (	warranted, a	s no materia	al concerns h	ave been iden	tified.				
5		Re-elect William Chalmers as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	ctors is conside	ered warrant	ed, as no m	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered (	warranted, a	s no materia	al concerns h	ave been iden	tified.				
6		Re-elect Alan Dickinson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	ctors is conside	ered warrant	ed, as no m	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors is considered warranted, as no material concerns have been identified.										
7		Re-elect Sarah Legg as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	ctors is conside	ered warrant	ed, as no m	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered I	warranted, a	s no materia	al concerns h	ave been iden	tified.				
8		Re-elect Lord Lupton as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	ctors is conside	ered warrant	ed, as no m	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered I	warranted, a	s no materia	al concerns h	ave been iden	tified.				
9		Re-elect Amanda Mackenzie as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	ctors is conside	ered warrant	ed, as no m	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered I	warranted, a	s no materia	al concerns h	ave been iden	tified.				
10		Re-elect Catherine Woods as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	ctors is conside	ered warrant	ed, as no m	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered I	warranted, a	s no materia	al concerns h	ave been iden	tified.				
11		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
12		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
13		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
14		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Approve Share Incentive Plan	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Lloyds Banking Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
17		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these rest recommended limits.	olutions is warr	anted becaus	se the prop	osed amounts	s and duration:	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ons is warranted	d because the	e proposed	amounts and	durations are	within				
18		Authorise Issue of Equity in Relation to the Issue of Regulatory Capital Convertible Instruments	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these rest the Company were to issue Regulatory Capita convert into ordinary shares, this would result support are: * Such authorities are common p and * The conversion into ordinary shares wo weakness well beyond the Company's current	l Convertible In in significant d proposals at UK uld require the	struments and filution to not banks and a CET1 ratio to	nd a trigger n-participat re intended o fall to a le	r event were t ting sharehold I to apply in e evel which wo	to occur, causii lers. The main extreme circum	ng them to reasons for astances only	<i>"</i>			
		Blended Rationale: A vote FOR these resolution Company were to issue Regulatory Capital Company were to issue Regulatory Capital Company shares, this would result in significant are: * Such authorities are common proposals conversion into ordinary shares would require well beyond the Company's current position as	nvertible Instru ficant dilution to s at UK banks a the CET1 ratio	ments and a o non-partici nd are intend to fall to a lo	trigger even toating shar ded to appl evel which	ent were to od reholders. The ly in extreme d	ccur, causing to main reasons circumstances	hem to conver for support only; and *	ert The			
19		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these restrecommended limits.	olutions is warr	anted becaus	se the prop	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ons is warranted	d because the	e proposed	amounts and	durations are	within				
20		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these restrecommended limits.	olutions is warr	anted becaus	se the prop	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ons is warranted	d because the	e proposed	amounts and	durations are	within				
21		Authorise Issue of Equity without Pre-Emptive Rights in Relation to the Issue of Regulatory Capital Convertible Instruments	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these rest the Company were to issue Regulatory Capita convert into ordinary shares, this would result support are: * Such authorities are common p and * The conversion into ordinary shares wo weakness well beyond the Company's current	l Convertible In in significant d proposals at UK uld require the	struments and filution to not banks and a CET1 ratio to	nd a trigger n-participat re intended o fall to a le	r event were t ting sharehold I to apply in e evel which wo	to occur, causii lers. The main extreme circum	ng them to reasons for astances only	<i>"</i>			
		Blended Rationale: A vote FOR these resolutic Company were to issue Regulatory Capital Co into ordinary shares, this would result in signi are: * Such authorities are common proposals conversion into ordinary shares would require well beyond the Company's current position a	nvertible Instru ficant dilution to s at UK banks a the CET1 ratio	ments and a non-partici nd are intend to fall to a lo	trigger even toating shar ded to appl evel which	ent were to od reholders. The ly in extreme d	ccur, causing to main reasons circumstances	hem to conver for support only; and *	ert The			
22		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorise Market Purchase of Preference Shares	Mgmt	Yes	For	For		For	For	No	No	No
24		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No
*Charac	an loan data ic	anly provided for a colect group of custodians	Dlasca contact	VOUR TEE Clic	ant Convice	Toom with an	v avactions					

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Lloyds Banking Group Plc**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	dnicoll	dnicoll		55,000,000	55,000,000
			05/03/2022	05/03/2022			
					Total Shares:	55,000,000	55,000,000

### **Meeting Results**

Outstanding Shares Voted: 69,445,479,613

Results Available: Partial

outstan	ding Shares	<b>votea:</b> 69,445,	4/9,013		Kes	suits Available: Partia	11						
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	44,489,826,013	100.0%	13,124,412	0.0%	N/A	N/A	Poll	F+A
2	Υ	Pass	No	For	3.5%	42,679,074,026	96.5%	1,526,118,513	3.5%	N/A	N/A	Poll	F+A
3	Υ	Pass	No	For	0.4%	44,446,739,453	99.6%	178,595,142	0.4%	N/A	N/A	Poll	F+A
4	Y	Pass	No	For	4.2%	42,557,827,339	95.8%	1,887,280,081	4.2%	N/A	N/A	Poll	F+A
5	Y	Pass	No	For	1.4%	44,021,312,867	98.6%	604,451,363	1.4%	N/A	N/A	Poll	F+A
6	Υ	Pass	No	For	0.5%	44,396,388,893	99.5%	228,650,918	0.5%	N/A	N/A	Poll	F+A
7	Υ	Pass	No	For	0.4%	44,440,992,370	99.6%	184,736,463	0.4%	N/A	N/A	Poll	F+A
8	Υ	Pass	No	For	0.4%	44,424,470,749	99.6%	200,139,304	0.4%	N/A	N/A	Poll	F+A
9	Υ	Pass	No	For	0.4%	44,441,576,917	99.6%	183,875,179	0.4%	N/A	N/A	Poll	F+A
10	Υ	Pass	No	For	0.4%	44,441,173,490	99.6%	183,961,935	0.4%	N/A	N/A	Poll	F+A
11	Υ	Pass	No	For	3.9%	42,141,827,602	96.1%	1,723,549,390	3.9%	N/A	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	44,626,273,712	100.0%	15,562,423	0.0%	N/A	N/A	Poll	F+A
13	Υ	Pass	No	For	0.2%	44,567,182,226	99.8%	67,473,360	0.2%	N/A	N/A	Poll	F+A
14	Υ	Pass	No	For	0.1%	44,566,553,055	99.9%	35,636,915	0.1%	N/A	N/A	Poll	F+A
15	Υ	Pass	No	For	1.5%	43,952,820,702	98.5%	667,668,792	1.5%	N/A	N/A	Poll	F+A
16	Y	Pass	No	For	3.4%	43,118,721,808	96.6%	1,526,048,441	3.4%	N/A	N/A	Poll	F+A
17	Y	Pass	No	For	6.4%	41,790,170,341	93.6%	2,841,926,082	6.4%	N/A	N/A	Poll	F+A
18	Y	Pass	No	For	1.8%	43,801,188,711	98.2%	816,529,534	1.8%	N/A	N/A	Poll	F+A
19	Y	Pass	No	For	1.5%	43,942,204,037	98.5%	669,308,541	1.5%	N/A	N/A	Poll	F+A
20	Y	Pass	No	For	4.0%	42,822,618,963	96.0%	1,788,152,127	4.0%	N/A	N/A	Poll	F+A
21	Υ	Pass	No	For	2.9%	43,337,756,120	97.1%	1,271,845,082	2.9%	N/A	N/A	Poll	F+A
22	Y	Pass	No	For	1.5%	43,934,510,487	98.5%	686,976,512	1.5%	N/A	N/A	Poll	F+A
23	Y	Pass	No	For	0.9%	44,229,925,104	99.1%	386,470,267	0.9%	N/A	N/A	Poll	F+A
24	Y	Pass	No	For	6.0%	41,973,380,355	94.0%	2,655,902,499	6.0%	N/A	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Wheaton Precious Metals Corp.**

Meeting Date: 05/13/2022 **Record Date:** 03/18/2022

Country: Canada

Meeting Type: Annual/Special

Ticker: WPM **Meeting ID:** 1607614 Proxy Level: 3

Primary Security ID: 962879102

**Primary CUSIP:** 962879102

**Primary ISIN:** CA9628791027

Primary SEDOL: BF13KN5

Earliest Cutoff Date: 05/10/2022

Votable Shares: 400,000

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

\*Shares on Loan: 0

Shares Instructed: 400,000

Shares Voted: 400,000	
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Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director George L. Brack	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	inees as no sig	gnificant con	cerns have L	een identifie	ed at this time.					
1.2		Elect Director John A. Brough	Mgmt	Yes	For	For		For	Withhold	Yes	Yes	Yes
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	inees as no sig	gnificant con	cerns have L	een identifie	ed at this time.					
1.3		Elect Director Jaimie Donovan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significani	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	inees as no sig	gnificant con	cerns have L	een identifie	ed at this time.					
1.4		Elect Director R. Peter Gillin	Mgmt	Yes	For	For		For	Withhold	Yes	Yes	Yes
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	inees as no sig	gnificant con	cerns have L	een identifie	ed at this time.					
1.5		Elect Director Chantal Gosselin	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	inees as no sig	gnificant con	cerns have L	peen identifie	ed at this time.					
1.6		Elect Director Glenn Ives	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	inees as no sig	gnificant con	cerns have L	peen identifie	ed at this time.					
1.7		Elect Director Charles A. Jeannes	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	inees as no sig	gnificant con	cerns have L	peen identifie	ed at this time.					
1.8		Elect Director Eduardo Luna	Mgmt	Yes	For	For		For	Withhold	Yes	Yes	Yes
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	inees as no sig	gnificant con	cerns have L	een identifie	ed at this time.					
1.9		Elect Director Marilyn Schonberner	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	inees as no sig	gnificant con	cerns have L	een identifie	ed at this time.					
1.10		Elect Director Randy V.J. Smallwood	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	inees as no sig	gnificant con	cerns have L	peen identifie	ed at this time.					
2		Approve Deloitte LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Wheaton Precious Metals Corp.**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
3	Advisory Vote on Executive Compensation Approach		Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	d	Ballot Voting	Status	Votable S	nares	Shares '	Voted
RTRF, RTRF	000392078	Confirmed	jbisset		jbisset				400,00	00	400,	000
			05/05/2023	2	05/05/20	22						
								Total Shares:	400,0	00	400,0	000

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

outstan	iding Shares	· occui											
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	13.3%	279,843,827	86.7%	42,799,191	13.3%	N/A	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	10.1%	289,923,537	89.9%	32,719,481	10.1%	N/A	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	0.6%	320,848,121	99.4%	1,794,897	0.6%	N/A	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	16.6%	268,956,212	83.4%	53,686,806	16.6%	N/A	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	1.6%	317,437,144	98.4%	5,205,874	1.6%	N/A	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	0.4%	321,506,611	99.6%	1,136,407	0.4%	N/A	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	0.4%	321,420,318	99.6%	1,222,700	0.4%	N/A	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	10.6%	288,408,017	89.4%	34,235,001	10.6%	N/A	N/A	Proxy	F+A
1.9	Y	Pass	No	For	0.3%	321,639,355	99.7%	1,003,663	0.3%	N/A	N/A	Proxy	F+A
1.10	Y	Pass	No	For	0.6%	320,784,002	99.4%	1,859,016	0.6%	N/A	N/A	Proxy	F+A
2	Υ	Pass	No	For	2.9%	343,416,137	97.1%	10,231,684	2.9%	N/A	N/A	Proxy	F+A
3	Y	Pass	No	For	8.4%	295,423,075	91.6%	27,218,396	8.4%	N/A	N/A	Proxy	F+A

# **AngloGold Ashanti Ltd.**

Meeting Date: 05/16/2022 Record Date: 04/06/2022	Country: South Africa Meeting Type: Annual	Ticker: AN Meeting I	NG I <b>D:</b> 1630455	5		Proxy Level	: N/A			
Primary Security ID: S04255196	Primary CUSIP: S04255196		Primary I	SIN: ZAE00	00043485		Primary SED	OCL: 65656	55	
Earliest Cutoff Date: 05/05/2022	Total Ballots: 1	Voting Poli	cy: ISS			Additional Po	licy:			
Votable Shares: 600,000	*Shares on Loan: 0	Shares Ins	tructed: 600	,000		Shares Voted	: 600,000			
Proposal Significant Number Vote Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS

Meeting for ADR Holders

No

Mgmt

# AngloGold Ashanti Ltd.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
1.1		Re-elect Maria Ramos as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these items re-election of these Directors.	s is warranted:	* No issues	have been	identified in	relation to the	election or						
		Blended Rationale: A vote FOR these items is we re-election of these Directors.	varranted: * No	o issues have	e been ident	tified in relati	ion to the elec	tion or						
1.2		Re-elect Maria Richter as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these items re-election of these Directors.	s is warranted:	* No issues	have been	identified in	relation to the	election or						
		Blended Rationale: A vote FOR these items is we re-election of these Directors.	varranted: * No	o issues have	e been ident	tified in relati	ion to the elec	tion or						
1.3		Re-elect Nelisiwe Magubane as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these items re-election of these Directors.	s is warranted:	* No issues	have been	identified in	relation to the	election or						
		Blended Rationale: A vote FOR these items is we re-election of these Directors.	varranted: * No	o issues have	e been ident	tified in relati	ion to the elec	tion or						
2.1		Elect Alberto Calderon Zuleta as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these items is warranted: * No issues have been identified in relation to the election or re-election of these Directors.  Blended Rationale: A vote FOR these items is warranted: * No issues have been identified in relation to the election or												
		Blended Rationale: A vote FOR these items is we re-election of these Directors.	varranted: * No	o issues have	e been ident	tified in relati	ion to the elec	tion or						
2.2		Elect Scott Lawson as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these items is warranted: * No issues have been identified in relation to the election or re-election of these Directors.  Blended Rationale: A vote FOR these items is warranted: * No issues have been identified in relation to the election or												
		Blended Rationale: A vote FOR these items is we re-election of these Directors.	varranted: * No	o issues have	e been ident	tified in relati	ion to the elec	tion or						
3.1		Re-elect Alan Ferguson as Member of the Audit and Risk Committee	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these items independent.	s is warranted:	* All of the	members of	f the Audit a	nd Risk Comm	ittee are						
		Blended Rationale: A vote FOR these items is windependent.	varranted: * Al	l of the men	nbers of the	Audit and Ri	isk Committee	are						
3.2		Re-elect Rhidwaan Gasant as Member of the Audit and Risk Committee	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these items independent.	s is warranted:	* * All of the	members of	f the Audit a	nd Risk Comm	ittee are						
		Blended Rationale: A vote FOR these items is windependent.	varranted: * Al	ll of the men	nbers of the	Audit and Ri	isk Committee	are						
3.3		Re-elect Nelisiwe Magubane as Member of the Audit and Risk Committee	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these items independent.	s is warranted:	* All of the	members of	f the Audit a	nd Risk Comm	ittee are						
		Blended Rationale: A vote FOR these items is windependent.	varranted: * Al	l of the men	nbers of the	Audit and Ri	isk Committee	are						

# AngloGold Ashanti Ltd.

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
3.4	Re-elect Maria Richter as Mem the Audit and Risk Committee	ber of	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote independent.	FOR these item	s is warranted	: * All of the	members o	of the Audit a	nd Risk Comm	ittee are				
	Blended Rationale: A vote FOR independent.	these items is v	varranted: * A	ll of the mer	mbers of the	Audit and R	isk Committee	are				
3.5	Re-elect Jochen Tilk as Membe Audit and Risk Committee	r of the	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote independent.	FOR these item	s is warranted	: * All of the	members o	of the Audit a	nd Risk Comm	ittee are				
	Blended Rationale: A vote FOR independent.	these items is v	warranted: * A	ll of the mer	mbers of the	Audit and R	isk Committee	are				
4.1	Reappoint Ernst & Young Inc a Auditors	S	Mgmt	Yes	For	For		For	For	No	No	No
4.2	Appoint PricewaterhouseCoope as Auditors	ers Inc	Mgmt	Yes	For	For		For	For	No	No	No
5	Place Authorised but Unissued under Control of Directors	Shares	Mgmt	Yes	For	For		For	For	No	No	No
6.1	Approve Remuneration Policy		Mgmt	Yes	For	For		For	For	No	No	No
6.2	Approve Implementation Repo	rt	Mgmt	Yes	For	For		For	For	No	No	No
7	Approve Remuneration of Non-Executive Directors		Mgmt	Yes	For	For		For	For	No	No	No
8	Authorise Repurchase of Issue Capital	d Share	Mgmt	Yes	For	For		For	For	No	No	No
9	Authorise Board to Issue Share Cash	es for	Mgmt	Yes	For	For		For	For	No	No	No
10	Approve Financial Assistance in of Sections 44 and 45 of the Companies Act	n Terms	Mgmt	Yes	For	For		For	For	No	No	No
11	Amend Memorandum of Incorp	ooration	Mgmt	Yes	For	For		For	For	No	No	No
12	Authorise Ratification of Appro Resolutions	ved	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	d	Approv	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
RTRF, RTRF	000392078	Confirmed	jbisset		jbisset				600,0	00	600,	,000
			05/10/2022	2	05/10/20	022						
								Total Shares:	600,0	00	600,	.000

#### **Meeting Results**

Outstanding Shares Voted: 418,339,927 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1.1	Υ	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.2	Y	Pass	No	For	1.4%	N/A	98.6%	N/A	1.4%	N/A	N/A	Poll	F+A
1.3	Υ	Pass	No	For	1.2%	N/A	98.8%	N/A	1.2%	N/A	N/A	Poll	F+A
2.1	Υ	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
2.2	Y	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
3.1	Y	Pass	No	For	3.2%	N/A	96.8%	N/A	3.2%	N/A	N/A	Poll	F+A
3.2	Υ	Pass	No	For	4.5%	N/A	95.5%	N/A	4.5%	N/A	N/A	Poll	F+A
3.3	Υ	Pass	No	For	2.0%	N/A	98.0%	N/A	2.0%	N/A	N/A	Poll	F+A
3.4	Υ	Pass	No	For	1.4%	N/A	98.7%	N/A	1.4%	N/A	N/A	Poll	F+A
3.5	Υ	Pass	No	For	0.8%	N/A	99.2%	N/A	0.8%	N/A	N/A	Poll	F+A
4.1	Υ	Pass	No	For	20.0%	N/A	80.0%	N/A	20.0%	N/A	N/A	Poll	F+A
4.2	Υ	Pass	No	For	2.4%	N/A	97.6%	N/A	2.4%	N/A	N/A	Poll	F+A
5	Υ	Pass	No	For	16.9%	N/A	83.1%	N/A	16.9%	N/A	N/A	Poll	F+A
6.1	Υ	Pass	No	For	8.5%	N/A	91.5%	N/A	8.5%	N/A	N/A	Poll	F+A
6.2	Υ	Pass	No	For	8.2%	N/A	91.8%	N/A	8.2%	N/A	N/A	Poll	F+A
7	Y	Pass	No	For	1.0%	N/A	99.0%	N/A	1.0%	N/A	N/A	Poll	F+A
8	Y	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
9	Y	Pass	No	For	16.9%	N/A	83.1%	N/A	16.9%	N/A	N/A	Poll	F+A
10	Υ	Pass	No	For	0.3%	N/A	99.7%	N/A	0.3%	N/A	N/A	Poll	F+A
11	Υ	Pass	No	For	0.1%	N/A	100.0%	N/A	0.1%	N/A	N/A	Poll	F+A
12	Y	Pass	No	For	0.1%	N/A	100.0%	N/A	0.1%	N/A	N/A	Poll	F+A

Meeting Date: 05/17/2022 **Record Date:** 05/13/2022

Primary Security ID: G371E2108

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G371E2108

Earliest Cutoff Date: 05/12/2022 Votable Shares: 1,200,000

\*Shares on Loan: 0

Total Ballots: 1

Ticker: FRES

**Meeting ID:** 1637105

Primary ISIN: GB00B2QPKJ12

Voting Policy: ISS

Shares Instructed: 1,200,000

Proxy Level: N/A

Primary SEDOL: B2QPKJ1

**Additional Policy:** 

Shares Voted: 1,200,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No

Proposal	Significant	Downson Tout	Duanassass	Votable	Mgmt	TCC D	Additional Policy	Voting Policy	Vote	Vote Against	Vote Against	Vote Against
Number	Vote	Proposal Text	Proponent	Proposal	Rec	ISS Rec	Rec	Rec	Instruction	Mgmt	Policy	ISS
5		Re-elect Alejandro Bailleres as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Item 5: Re-elect Alejan warranted: * Despite not being independent up have been identified in regard to his external mwarranted, although it is not without concern for companies in addition to their roles as NED at hinterconnected, relating mainly to subsidiary co Baillères family. Items 8 to 16 A vote FOR these	on appointme andates. Item or shareholders Fresnillo plc. Ti mpanies of Gr	nt, he sits on s 6 and 7 A s: * They ha he main reas upo Bal, a p	n the Remur vote FOR Ju ve significar son for supp rivate indust	neration Comman Bordes ar nt external po ort is: * Theil trial conglome	mittee; and * nd Arturo Fern ositions at pub ir external posi erate controlle	Some conce nandez is nlicly-listed nitions are ned by the	rns			
		Blended Rationale: Item 5: Re-elect Alejandro L * Despite not being independent upon appointr identified in regard to his external mandates. It although it is not without concern for sharehold addition to their roles as NED at Fresnillo plc. To relating mainly to subsidiary companies of Grup 8 to 16 A vote FOR these candidates is warrant	ment, he sits o ems 6 and 7 A lers: * They ha the main reaso no Bal, a privat	n the Remu I vote FOR J ave significa In for suppor te industrial	neration Con Juan Bordes Int external p It is: * Their Conglomerat	nmittee; and and Arturo Fe positions at po external posite te controlled i	* Some conce ernandez is wa ublicly-listed c itions are intel by the Baillère	erns have be arranted, companies in rconnected,	en			
6		Re-elect Juan Bordes as Director	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Item 5: Re-elect Alejandro Baillères as Director A vote AGAINST the Chair, Alejandro Baillères is warranted: * Despite not being independent upon appointment, he sits on the Remuneration Committee; and * Some concerns have been identified in regard to his external mandates. Items 6 and 7 A vote FOR Juan Bordes and Arturo Fernandez is warranted, although it is not without concern for shareholders: * They have significant external positions at publicly-listed companies in addition to their roles as NED at Fresnillo plc. The main reason for support is: * Their external positions are interconnected, relating mainly to subsidiary companies of Grupo Bal, a private industrial conglomerate controlled by the Baillères family. Items 8 to 16 A vote FOR these candidates is warranted, as no significant concerns have been identified.											
		Blended Rationale: Item 5: Re-elect Alejandro Baillères as Director A vote AGAINST the Chair, Alejandro Baillères is warranted:  * Despite not being independent upon appointment, he sits on the Remuneration Committee; and * Some concerns have been identified in regard to his external mandates. Items 6 and 7 A vote FOR Juan Bordes and Arturo Fernandez is warranted, although it is not without concern for shareholders: * They have significant external positions at publicly-listed companies in addition to their roles as NED at Fresnillo plc. The main reason for support is: * Their external positions are interconnected, relating mainly to subsidiary companies of Grupo Bal, a private industrial conglomerate controlled by the Baillères family. Items 8 to 16 A vote FOR these candidates is warranted, as no significant concerns have been identified.										
7		Re-elect Arturo Fernandez as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 5: Re-elect Alejan warranted: * Despite not being independent up have been identified in regard to his external mwarranted, although it is not without concern for companies in addition to their roles as NED at I interconnected, relating mainly to subsidiary compaliers family. Items 8 to 16 A vote FOR these	on appointme andates. Item or shareholders Fresnillo plc. Ti mpanies of Gr	nt, he sits on s 6 and 7 A s: * They ha he main reas upo Bal, a p	n the Remur vote FOR Ju ve significar son for supp rivate indust	neration Communan Bordes and texternal poort is: * Theistrial conglome	mittee; and * nd Arturo Fern ositions at pub ir external posi erate controlle	Some conce andez is dicly-listed ditions are ed by the	rns			
		Blended Rationale: Item 5: Re-elect Alejandro It * Despite not being independent upon appoint identified in regard to his external mandates. It although it is not without concern for sharehold addition to their roles as NED at Fresnillo plc. To relating mainly to subsidiary companies of Grup 8 to 16 A vote FOR these candidates is warrant										

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
8		Re-elect Fernando Ruiz as Director	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes	
		Voting Policy Rationale: Item 5: Re-elect Alejan warranted: * Despite not being independent up have been identified in regard to his external mwarranted, although it is not without concern for companies in addition to their roles as NED at hinterconnected, relating mainly to subsidiary companiers family. Items 8 to 16 A vote FOR these	oon appointme nandates. Item or shareholder. Fresnillo plc. Ti ompanies of Gr	nt, he sits on s 6 and 7 A s: * They ha he main reas nupo Bal, a p	n the Remur vote FOR Ju ave significar son for supp rivate indust	neration Com van Bordes ar nt external po ort is: * Thei trial conglome	nmittee; and * nd Arturo Fern ositions at pub ir external posi erate controlle	Some conce andez is licly-listed itions are ed by the	erns				
	Blended Rationale: Item 5: Re-elect Alejandro Baillères as Director A vote AGAINST the Chair, Alejandro Baillères is warranted:  * Despite not being independent upon appointment, he sits on the Remuneration Committee; and * Some concerns have been identified in regard to his external mandates. Items 6 and 7 A vote FOR Juan Bordes and Arturo Fernandez is warranted, although it is not without concern for shareholders: * They have significant external positions at publicly-listed companies in addition to their roles as NED at Fresnillo plc. The main reason for support is: * Their external positions are interconnected, relating mainly to subsidiary companies of Grupo Bal, a private industrial conglomerate controlled by the Baillères family. Items 8 to 16 A vote FOR these candidates is warranted, as no significant concerns have been identified.  Re-elect Eduardo Cepeda as Director Mgmt Yes For For For For No												
9		Re-elect Eduardo Cepeda as Director	Mgmt	Yes	For	For		For	For	No	No	No	
	Voting Policy Rationale: Item 5: Re-elect Alejandro Baillères as Director A vote AGAINST the Chair, Alejandro Baillères is warranted: * Despite not being independent upon appointment, he sits on the Remuneration Committee; and * Some concerns have been identified in regard to his external mandates. Items 6 and 7 A vote FOR Juan Bordes and Arturo Fernandez is warranted, although it is not without concern for shareholders: * They have significant external positions at publicly-listed companies in addition to their roles as NED at Fresnillo plc. The main reason for support is: * Their external positions are interconnected, relating mainly to subsidiary companies of Grupo Bal, a private industrial conglomerate controlled by the Baillères family. Items 8 to 16 A vote FOR these candidates is warranted, as no significant concerns have been identified.												
	Blended Rationale: Item 5: Re-elect Alejandro Baillères as Director A vote AGAINST the Chair, Alejandro Baillères is warranted:  * Despite not being independent upon appointment, he sits on the Remuneration Committee; and * Some concerns have been identified in regard to his external mandates. Items 6 and 7 A vote FOR Juan Bordes and Arturo Fernandez is warranted, although it is not without concern for shareholders: * They have significant external positions at publicly-listed companies in addition to their roles as NED at Fresnillo plc. The main reason for support is: * Their external positions are interconnected, relating mainly to subsidiary companies of Grupo Bal, a private industrial conglomerate controlled by the Baillères family. Items 8 to 16 A vote FOR these candidates is warranted, as no significant concerns have been identified.												
10		Re-elect Charles Jacobs as Director	Mgmt	Yes	For	For		For	For	No	No	No	
	Voting Policy Rationale: Item 5: Re-elect Alejandro Baillères as Director A vote AGAINST the Chair, Alejandro Baillères is warranted: * Despite not being independent upon appointment, he sits on the Remuneration Committee; and * Some concerns have been identified in regard to his external mandates. Items 6 and 7 A vote FOR Juan Bordes and Arturo Fernandez is warranted, although it is not without concern for shareholders: * They have significant external positions at publicly-listed companies in addition to their roles as NED at Fresnillo plc. The main reason for support is: * Their external positions are interconnected, relating mainly to subsidiary companies of Grupo Bal, a private industrial conglomerate controlled by the Baillères family. Items 8 to 16 A vote FOR these candidates is warranted, as no significant concerns have been identified.												
		Blended Rationale: Item 5: Re-elect Alejandro I: * Despite not being independent upon appoints identified in regard to his external mandates. It although it is not without concern for sharehold addition to their roles as NED at Fresnillo plc. To relating mainly to subsidiary companies of Grup 8 to 16 A vote FOR these candidates is warrant.	ment, he sits o tems 6 and 7 A ders: * They ha The main reaso no Bal, a privat	n the Remu A vote FOR J ave significa In for suppor te industrial	neration Con luan Bordes nt external p tt is: * Their conglomerat	nmittee; and and Arturo Fo positions at p external pos te controlled	I * Some conce Fernandez is wa Bublicly-listed co Sitions are inter By the Baillère	erns have be arranted, ompanies in rconnected,	een				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11		Re-elect Barbara Laguera as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 5: Re-elect Alejanc warranted: * Despite not being independent up have been identified in regard to his external mo warranted, although it is not without concern for companies in addition to their roles as NED at For interconnected, relating mainly to subsidiary con Baillères family. Items 8 to 16 A vote FOR these	on appointmer andates. Items r shareholders resnillo plc. Th mpanies of Gru	nt, he sits on s 6 and 7 A v s: * They hav ne main reas upo Bal, a pr	the Remund yote FOR Jua ye significant yon for suppo ivate industr	eration Comi an Bordes an external po art is: * Their ial conglome	mittee; and * 9 d Arturo Ferna sitions at publi external posit erate controlled	Some concer andez is icly-listed tions are Il by the	ns			
		Blended Rationale: Item 5: Re-elect Alejandro B * Despite not being independent upon appointm identified in regard to his external mandates. Ite although it is not without concern for sharehold addition to their roles as NED at Fresnillo plc. Ti relating mainly to subsidiary companies of Grup 8 to 16 A vote FOR these candidates is warrante	nent, he sits or ems 6 and 7 A ers: * They ha ne main reasor o Bal, a private	n the Remun vote FOR Ju ve significan n for support e industrial d	eration Com van Bordes a nt external po t is: * Their e conglomerate	mittee; and nd Arturo Fe ositions at pu external posi e controlled L	* Some concer ernandez is war ublicly-listed co tions are interd	rns have bee rranted, impanies in connected,	en			
12		Re-elect Alberto Tiburcio as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 5: Re-elect Alejand warranted: * Despite not being independent upon have been identified in regard to his external movement, although it is not without concern for companies in addition to their roles as NED at Fainterconnected, relating mainly to subsidiary con Baillères family. Items 8 to 16 A vote FOR these	on appointmer andates. Items r shareholders resnillo plc. Th mpanies of Gru	nt, he sits on s 6 and 7 A v s: * They hav ne main reas upo Bal, a pr	the Remund yote FOR Jua ye significant yon for suppo ivate industr	eration Comi an Bordes an external po art is: * Their ial conglome	mittee; and * 9 d Arturo Ferna sitions at publi external posite erate controlled	Some concern andez is icly-listed tions are Il by the	ns			
		Blended Rationale: Item 5: Re-elect Alejandro B * Despite not being independent upon appointn identified in regard to his external mandates. Ite although it is not without concern for sharehold addition to their roles as NED at Fresnillo plc. The relating mainly to subsidiary companies of Grup 8 to 16 A vote FOR these candidates is warrante	nent, he sits or ems 6 and 7 A ers: * They ha ne main reasor o Bal, a private	n the Remun vote FOR Ju ve significan n for support e industrial d	eration Com Jan Bordes a St external po St is: * Their e Conglomerate	mittee; and nd Arturo Fe ositions at pu external posi e controlled b	* Some concer ernandez is war ublicly-listed co tions are interd	rns have bee rranted, impanies in connected,	en			
13		Re-elect Dame Judith Macgregor as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 5: Re-elect Alejand warranted: * Despite not being independent up have been identified in regard to his external mwarranted, although it is not without concern for companies in addition to their roles as NED at Fainterconnected, relating mainly to subsidiary con Baillères family. Items 8 to 16 A vote FOR these	on appointmer andates. Items r shareholders resnillo plc. Th mpanies of Gru	nt, he sits on s 6 and 7 A v s: * They hav ne main reas upo Bal, a pr	the Remund yote FOR Juan ye significant yon for suppo givate industr	eration Comm nn Bordes an external po nt is: * Their ial conglome	mittee; and * S d Arturo Ferna sitions at publi external posit erate controlled	Some concern andez is icly-listed vions are d by the	ns			
		Blended Rationale: Item 5: Re-elect Alejandro B * Despite not being independent upon appointn identified in regard to his external mandates. Ite although it is not without concern for sharehold addition to their roles as NED at Fresnillo plc. The relating mainly to subsidiary companies of Grupo 8 to 16 A vote FOR these candidates is warrante	nent, he sits or ems 6 and 7 A ers: * They ha ne main reasor o Bal, a private	n the Remun vote FOR Ju ve significan n for support e industrial d	eration Com lan Bordes a lt external po t is: * Their e conglomerate	mittee; and nd Arturo Fe ositions at pu external posi e controlled b	* Some concer ernandez is war ublicly-listed co tions are interd	rns have bee rranted, impanies in connected,	en			

oposal umber	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
14		Re-elect Georgina Kessel as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 5: Re-elect Alejan warranted: * Despite not being independent up have been identified in regard to his external n warranted, although it is not without concern for companies in addition to their roles as NED at h interconnected, relating mainly to subsidiary co Baillères family. Items 8 to 16 A vote FOR these	oon appointme nandates. Iten or shareholder Fresnillo plc. T ompanies of Gi	ent, he sits ons 6 and 7 A rs: * They ha The main rea rupo Bal, a p	n the Remur vote FOR Ju ave significar son for supp private indust	neration Com ian Bordes ai it external po ort is: * Thei irial conglom	nmittee; and * nd Arturo Fern ositions at pub ir external posi erate controlle	Some conce andez is licly-listed itions are ed by the	erns			
		Blended Rationale: Item 5: Re-elect Alejandro I: *Despite not being independent upon appoints identified in regard to his external mandates. It although it is not without concern for sharehold addition to their roles as NED at Fresnillo plc. To relating mainly to subsidiary companies of Grup & to 16 A vote FOR these candidates is warrant.	ment, he sits o tems 6 and 7 / ders: * They h The main reaso no Bal, a priva	on the Remu A vote FOR . ave significa on for suppo te industrial	neration Con Juan Bordes Int external p Int is: * Their Conglomerat	nmittee; and and Arturo Fo positions at p external pos re controlled	I * Some conce iernandez is wa publicly-listed co sitions are inter by the Baillère	erns have be arranted, companies in rconnected,	een			
L5		Re-elect Guadalupe de la Vega as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 5: Re-elect Alejan warranted: * Despite not being independent up have been identified in regard to his external mwarranted, although it is not without concern for companies in addition to their roles as NED at I interconnected, relating mainly to subsidiary companiers family. Items 8 to 16 A vote FOR these	oon appointme nandates. Iten or shareholder Fresnillo plc. T ompanies of Gi	ent, he sits ons 6 and 7 A s: * They ha The main rea rupo Bal, a p	n the Remui vote FOR Ju ave significar son for supp private indust	neration Com an Bordes an at external po ort is: * Then trial conglom	mittee; and * nd Arturo Fern ositions at pub ir external posi erate controlle	Some conce andez is licly-listed itions are ed by the	erns			
		Blended Rationale: Item 5: Re-elect Alejandro I * Despite not being independent upon appoints identified in regard to his external mandates. It although it is not without concern for sharehold addition to their roles as NED at Fresnillo plc. T relating mainly to subsidiary companies of Grup 8 to 16 A vote FOR these candidates is warrant	ment, he sits o tems 6 and 7 / ders: * They h The main reaso no Bal, a priva	on the Remu A vote FOR . ave significa on for suppo te industrial	neration Con Juan Bordes Int external p Int is: * Their Conglomerat	nmittee; and and Arturo Fo positions at p external pos re controlled	* Some conce iernandez is wa publicly-listed co sitions are inter by the Baillère	erns have be arranted, companies in rconnected,	een			
6		Re-elect Hector Rangel as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 5: Re-elect Alejan warranted: * Despite not being independent up have been identified in regard to his external n warranted, although it is not without concern for companies in addition to their roles as NED at I interconnected, relating mainly to subsidiary co Baillères family. Items 8 to 16 A vote FOR these	oon appointme nandates. Iten or shareholder Fresnillo plc. T ompanies of Gi	ent, he sits ons 6 and 7 A s: * They ha The main rea rupo Bal, a p	n the Remui vote FOR Ju ave significar son for supp private indust	neration Com an Bordes an at external po ort is: * Then trial conglom	mittee; and * nd Arturo Fern ositions at pub ir external posi erate controlle	Some conce andez is licly-listed itions are ed by the	ems			
		Blended Rationale: Item 5: Re-elect Alejandro I * Despite not being independent upon appoints identified in regard to his external mandates. It although it is not without concern for sharehold addition to their roles as NED at Fresnillo plc. To relating mainly to subsidiary companies of Grup 8 to 16 A vote FOR these candidates is warrant.	ment, he sits o tems 6 and 7 i ders: * They h The main reaso no Bal, a priva	on the Remu A vote FOR . ave significa on for suppo te industrial	neration Cor Juan Bordes Int external p rt is: * Their conglomerat	nmittee; and and Arturo F positions at p external pos ee controlled	I * Some conce ernandez is wa oublicly-listed co sitions are inter by the Baillère	erns have be arranted, companies in rconnected,	een			
.7		Reappoint Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
8		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resol recommended limits.	lutions is warra	anted becau	se the propo	sed amounts	and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	s is warranted	d because th	e proposed a	amounts and	durations are	within				

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
20		Authorise Issue of Equity with Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	olutions is warra	anted becaus	se the prop	osed amount	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolutio	ns is warranted	d because the	e proposea	l amounts and	l durations are	within			-	
21		Authorise Issue of Equity with Pre-emptive Rights in Connect an Acquisition or Other Capita Investment	tion with	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	olutions is warr	anted becaus	se the prop	osed amount	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolutio	ns is warranted	d because the	e proposea	amounts and	d durations are	within				
22		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorise the Company to Cal Meeting with Two Weeks' Noti		Mgmt	Yes	For	For		For	For	No	No	No
24		Approve Matters Relating to the Relevant Distributions	ne	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Ballot Status	Instructe	d	Approv	red	Ballot Voting	Status	Votable S	hares	Shares	Voted
RTRF, RTRF		392078	Confirmed	jbisset		jbisset				1,200,0	000	1,200	,000
				05/11/2022	2	05/11/2	022						

Total Shares:

1,200,000

1,200,000

### **Meeting Results**

Outstanding Shares Voted: 736,893,589

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	679,963,294	100.0%	25,792	0.0%	3,228,951	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	682,968,450	100.0%	219,574	0.0%	30,013	N/A	Poll	F+A
3	Υ	Pass	No	For	1.8%	671,132,588	98.2%	12,052,760	1.8%	32,689	N/A	Poll	F+A
4	Y	Pass	No	For	2.1%	669,091,311	97.9%	14,091,989	2.1%	34,737	N/A	Poll	F+A
5	Y	Pass	No	For	7.0%	632,261,180	93.0%	47,805,776	7.0%	3,151,081	N/A	Poll	F+A
6	Υ	Pass	No	For	1.1%	675,352,672	98.9%	7,333,022	1.1%	532,343	N/A	Poll	F+A
7	Y	Pass	No	For	1.5%	666,366,375	98.5%	10,097,414	1.5%	6,754,248	N/A	Poll	F+A
8	Y	Pass	No	For	1.9%	666,956,851	98.1%	13,107,955	1.9%	3,153,231	N/A	Poll	F+A
9	Y	Pass	No	For	0.6%	679,007,067	99.4%	4,164,239	0.6%	46,731	N/A	Poll	F+A
10	Y	Pass	No	For	0.2%	681,835,006	99.8%	1,336,300	0.2%	46,731	N/A	Poll	F+A
11	Υ	Pass	No	For	0.5%	679,929,593	99.5%	3,243,813	0.5%	44,631	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
12	Υ	Pass	No	For	1.8%	671,204,438	98.2%	11,968,967	1.8%	44,632	N/A	Poll	F+A
13	Υ	Pass	No	For	0.0%	683,121,658	100.0%	49,648	0.0%	46,731	N/A	Poll	F+A
14	Υ	Pass	No	For	0.1%	682,624,597	99.9%	548,809	0.1%	44,631	N/A	Poll	F+A
15	Υ	Pass	No	For	0.0%	683,120,850	100.0%	50,556	0.0%	46,631	N/A	Poll	F+A
16	Υ	Pass	No	For	0.1%	682,620,065	99.9%	549,241	0.1%	48,731	N/A	Poll	F+A
17	Υ	Pass	No	For	0.7%	678,062,621	99.3%	5,116,288	0.7%	39,128	N/A	Poll	F+A
18	Υ	Pass	No	For	0.3%	681,193,866	99.7%	1,991,244	0.3%	32,422	N/A	Poll	F+A
19	Υ	Pass	No	For	0.2%	681,798,240	99.8%	1,399,828	0.2%	19,969	N/A	Poll	F+A
20	Υ	Pass	No	For	0.2%	680,621,012	99.8%	1,363,033	0.2%	1,233,992	N/A	Poll	F+A
21	Υ	Pass	No	For	0.7%	678,205,016	99.3%	5,002,152	0.7%	10,869	N/A	Poll	F+A
22	Υ	Pass	No	For	0.5%	679,490,656	99.5%	3,676,613	0.5%	50,768	N/A	Poll	F+A
23	Υ	Pass	No	For	0.2%	681,721,052	99.8%	1,461,718	0.2%	35,267	N/A	Poll	F+A
24	Υ	Pass	No	For	2.7%	126,994,713	97.3%	3,589,854	2.7%	38,281	N/A	Poll	F+A

# **The Charles Schwab Corporation**

Meeting Date: 05/17/2022

Country: USA

Ticker: SCHW

Proxy Level: 3

**Record Date:** 03/18/2022

Primary Security ID: 808513105

Meeting Type: Annual

Meeting ID: 1630522

**Primary ISIN:** US8085131055

Primary SEDOL: 2779397

Earliest Cutoff Date: 05/16/2022

**Primary CUSIP:** 808513105

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 112,000

Total Ballots: 1 \*Shares on Loan: 0

Shares Instructed: 112,000

Shares Voted: 112,000

votable 3	naies. 112,000	Shares on Loan.	Jilai es II	iisti ucteu. 112	2,000	Shares voted: 112,000						
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director John K. Adams, Jr.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	rector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	r nominees is warr	ranted.								
1b		Elect Director Stephen A. Ellis	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	rector nominees is	warranted.								
	Blended Rationale: A vote FOR the director nominees is warranted.										-	
1c		Elect Director Brian M. Levitt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	rector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	r nominees is warr	ranted.								
1d		Elect Director Arun Sarin	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the dir	rector nominees is	warranted.								
		Blended Rationale: A vote FOR the director nominees is warranted.										
1e		Elect Director Charles R. Schwab	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	rector nominees is	warranted.								
Blended Rationale: A vote FOR the director nominees is warranted.												

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **The Charles Schwab Corporation**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1f		Elect Director Paula A. Sneed	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warr	ranted.								
2		Declassify the Board of Directors	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify Deloitte & Touche LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Omnibus Stock Plan	Mgmt	Yes	For	For		For	For	No	No	No
6		Provide Proxy Access Right	Mgmt	Yes	For	For		For	For	No	No	No
7		Adopt Proxy Access Right	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this adv proponent would be more favorable to share	, , ,		, ,	,	55	,				
		Blended Rationale: A vote FOR this advisory would be more favorable to shareholders the				•			ent			
8		Report on Lobbying Payments and Policy	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this resc memberships, payments, and oversight, alor the company's comprehensive lobbying-relat	ng with direct lol	bbying exper	nditures, wou	ıld enable sh	nareholders to					

Blended Rationale: A vote FOR this resolution is warranted, as additional information on the company's trade association memberships, payments, and oversight, along with direct lobbying expenditures, would enable shareholders to better assess the company's comprehensive lobbying-related activities and management of related risks and opportunities.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	000392078	Confirmed	jbisset	jbisset		112,000	112,000
			05/09/2022	05/09/2022			
					Total Shares:	112,000	112,000

### **Meeting Results**

Outstanding Shares Voted: 1,816,003,557

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
			•					-	-				
1a	Υ	Pass	No	For	1.1%	1,591,270,097	98.9%	17,076,753	1.1%	634,660	N/A	Proxy	F+A
41	.,			_	4 20/	4 544 056 577	05.00/		4.20/	650.004	***		F. 4
1b	Y	Pass	No	For	4.2%	1,541,356,577	95.8%	66,966,902	4.2%	658,031	N/A	Proxy	F+A
1c	Υ	Pass	No	For	2.1%	1,574,533,140	97.9%	33,688,768	2.1%	759,602	N/A	Proxy	F+A
10	·	1 435	110	101	2.170	1,57 1,555,110	37.370	33,000,700	2.170	755,002	14/1	1100	
1d	Υ	Pass	No	For	8.8%	1,466,490,268	91.2%	141,841,227	8.8%	650,015	N/A	Proxy	F+A
1e	Υ	Pass	No	For	3.6%	1,550,928,506	96.4%	57,549,303	3.6%	503,701	N/A	Proxy	F+A
1f	Υ	Pass	No	For	4.8%	1,523,676,597	95.2%	77,497,845	4.8%	7,807,068	N/A	Proxy	F+A
2	Υ	Fail	No	For	10.1%	1,425,958,661	78.5%	182,051,166	10.0%	971,683	0.1%	Proxy	Capital
													Represented

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
3	Υ	Pass	No	For	5.6%	1,551,491,318	94.4%	91,993,339	5.6%	572,843	0.0%	Proxy	F+A+AB
4	Υ	Pass	No	For	6.8%	1,499,041,479	93.2%	108,280,222	6.7%	1,659,809	0.1%	Proxy	F+A+AB
5	Υ	Pass	No	For	3.3%	1,556,189,076	96.7%	51,876,293	3.2%	916,141	0.1%	Proxy	F+A+AB
6	Y	Pass	No	For	0.8%	1,595,101,275	87.8%	12,739,560	0.7%	1,140,675	0.1%	Proxy	Capital Represented
7	Υ	Fail	No	Against	27.3%	494,220,875	27.2%	1,112,327,357	61.3%	2,433,278	0.1%	Proxy	Capital Represented
8	Υ	Fail	No	Against	34.9%	557,517,246	34.7%	1,047,778,039	65.1%	3,686,225	0.2%	Proxy	F+A+AB

### **Dassault Aviation SA**

Meeting Date: 05/18/2022 **Record Date:** 05/16/2022

Earliest Cutoff Date: 05/12/2022

Votable Shares: 44,600

Primary Security ID: F24539169

Country: France

Meeting Type: Annual/Special Primary CUSIP: F24539169

**Total Ballots:** 1 \*Shares on Loan: 0 Ticker: AM

Meeting ID: 1631859

Shares Instructed: 44,600

Primary ISIN: FR0014004L86

**Additional Policy:** Voting Policy: ISS

Shares Voted: 44,600

Proxy Level: N/A

Primary SEDOL: BMT9L19

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary Business	Mgmt	No								
1		Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approand lack of concerns.	oval of the annua	al accounts a	are warrant	ed due to the	unqualified au	ditors' opini	on			
		Blended Rationale: Votes FOR the approval clack of concerns.	of the annual acc	counts are w	arranted du	ie to the unqu	ualified auditor.	s' opinion ar	nd			
2		Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approand lack of concerns.	eval of the annua	al accounts a	nre warrant	ed due to the	unqualified au	ditors' opini	on			
		Blended Rationale: Votes FOR the approval clack of concerns.	of the annual acc	counts are w	arranted du	ie to the unqu	ualified auditor.	s' opinion ar	nd			
3		Approve Allocation of Income and Dividends of EUR 2.49 per Share	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Compensation of Directors	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Compensation of Eric Trappier, Chairman and CEO	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes

Voting Policy Rationale: A vote AGAINST this remuneration report is warranted because: \* The company fails to disclose any rationale for the repeated base salary's increases. \* The level of disclosure on performance conditions is critically low. \* The performance period for LTI is not considered long-term oriented. \* Disclosure on performance achievement underlying awards that vested during the period under review is below market standard. \* The company has not set up any remuneration committee while the chairman and CEO sit on the board.

Blended Rationale: A vote AGAINST this remuneration report is warranted because: \* The company fails to disclose any rationale for the repeated base salary's increases. \* The level of disclosure on performance conditions is critically low. \* The performance period for LTI is not considered long-term oriented. \* Disclosure on performance achievement underlying awards that vested during the period under review is below market standard. \* The company has not set up any remuneration committee while the chairman and CEO sit on the board.

## **Dassault Aviation SA**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
6		Approve Compensation of Loik Segalen, Vice-CEO	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes		
		Voting Policy Rationale: A vote AGAINST this re rationale for the repeated base salary's increase performance period for LTI is not considered to that vested during the period under review is be committee while the chairman and CEO sit on the	es. * The level ing-term orient elow market s	l of disclosur ted. * Disclo	e on perfor sure on per	mance condit formance ach	ions is critically nievement und	y low. * The erlying awar						
		Blended Rationale: A vote AGAINST this remun rationale for the repeated base salary's increase performance period for LTI is not considered to that vested during the period under review is be committee while the chairman and CEO sit on the	es. * The level ing-term orient relow market s	l of disclosur ted. * Disclo	e on perfor sure on per	mance condit formance ach	ions is critically nievement und	y low. * The erlying awar						
7		Approve Remuneration Policy of Directors	Mgmt	Yes	For	For		For	For	No	No	No		
8		Approve Remuneration Policy of Chairman and CEO	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes		
		Voting Policy Rationale: Votes AGAINST these is base salaries for FY22; * The remuneration policious a rationale for the increases in the number This repeated concerns highlight the excessive shareholders discontent received at 2021 AGM	licies are dispro nber of shares discretion pow	oportionately awarded; alver of the bo	focused of nd * There ard to set o	n short-term; is no informa executives ren	* The compartion on termina	ny does not ation packag						
		Blended Rationale: Votes AGAINST these remuneration policies are warranted because: * The company fails to disclose base salaries for FY22; * The remuneration policies are disproportionately focused on short-term; * The company does not disclose a rationale for the increases in the number of shares awarded; and * There is no information on termination packages. This repeated concerns highlight the excessive discretion power of the board to set executives remuneration, while shareholders discontent received at 2021 AGM has not been considered (see item 4).												
9		Approve Remuneration Policy of Vice-CEO	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes		
		Voting Policy Rationale: Votes AGAINST these remuneration policies are warranted because: * The company fails to disclose base salaries for FY22; * The remuneration policies are disproportionately focused on short-term; * The company does not disclose a rationale for the increases in the number of shares awarded; and * There is no information on termination packages. This repeated concerns highlight the excessive discretion power of the board to set executives remuneration, while shareholders discontent received at 2021 AGM has not been considered (see item 4).												
		Blended Rationale: Votes AGAINST these remuneration policies are warranted because: * The company fails to disclose base salaries for FY22; * The remuneration policies are disproportionately focused on short-term; * The company does not disclose a rationale for the increases in the number of shares awarded; and * There is no information on termination packages. This repeated concerns highlight the excessive discretion power of the board to set executives remuneration, while shareholders discontent received at 2021 AGM has not been considered (see item 4).												
10		Reelect Marie-Helene Habert as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes		
		Voting Policy Rationale: * Votes AGAINST the (re)elections of these non-independent nominees are warranted given the lack of independence at the board level (25.0 percent vs 33.3 percent recommended) (Items 10 and 11). * A vote AGAINST the non-independent Chairman of the Audit Committee is warranted as the practice of a non-independent audit committee chair lies below market practice and against recommended guidelines (Item 11). In addition, the company failed to provide the auditors' special report on related-party transactions.												
		Blended Rationale: * Votes AGAINST the (re)elections of these non-independent nominees are warranted given the lack of independence at the board level (25.0 percent vs 33.3 percent recommended) (Items 10 and 11). * A vote AGAINST the non-independent Chairman of the Audit Committee is warranted as the practice of a non-independent audit committee chair lies below market practice and against recommended guidelines (Item 11). In addition, the company failed to provide the auditors' special report on related-party transactions.												

## **Dassault Aviation SA**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11	Reelect Henri Proglio as Direc	tor	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
	Voting Policy Rationale: * Vote independence at the board lev non-independent Chairman of lies below market practice and auditors' special report on rela	rel (25.0 percent the Audit Comm against recomm	t vs 33.3 percei mittee is warran mended guidelii	nt recomme nted as the p	nded) (Item. practice of a	s 10 and 11). non-independ	* A vote AGA dent audit con	INST the nmittee chair				
	Blended Rationale: * Votes AC independence at the board lev non-independent Chairman of lies below market practice and auditors' special report on rela	rel (25.0 percent the Audit Comm against recomm	t vs 33.3 percei nittee is warran mended guidelii	nt recomme nted as the p	nded) (Item. practice of a	s 10 and 11). non-independ	* A vote AGA dent audit con	INST the nmittee chair				
12	Authorize Repurchase of Up to Percent of Issued Share Capit		Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
	Voting Policy Rationale: A vote	AGAINST is wa	arranted as the	share repur	chase progra	am can be co	ntinued during	a takeover	bid.		-	
	Blended Rationale: A vote AGA	AINST is warran	ted as the share	e repurchase	e program c	an be continu	ued during a ta	keover bid.				
	Extraordinary Business		Mgmt	No								
13	Authorize Decrease in Share Ovia Cancellation of Repurchas Shares	•	Mgmt	Yes	For	For		For	For	No	No	No
14	Amend Article 15 of Bylaws R Held by Directors	e: Shares	Mgmt	Yes	For	For		For	For	No	No	No
	Ordinary Business		Mgmt	No								
15	Authorize Filing of Required Documents/Other Formalities		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	Shares	Shares	Voted
RTRF, RTRF	392078	Confirmed	jbisset		jbisset				44,60	00	44,	600
			05/09/2022	2	05/09/20	)22						
								Total Shares:	44,6	00	44,6	500

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.1%	117,734,725	99.9%	80,902	0.1%	127,395	N/A	Poll	F+A
2	Υ	Pass	No	For	0.1%	117,825,962	99.9%	80,902	0.1%	36,158	N/A	Poll	F+A
3	Υ	Pass	No	For	0.1%	117,861,611	99.9%	80,928	0.1%	483	N/A	Poll	F+A
4	Υ	Pass	No	For	1.4%	116,264,058	98.6%	1,659,727	1.4%	12,927	N/A	Poll	F+A
5	Υ	Pass	No	For	7.5%	109,010,949	92.5%	8,824,058	7.5%	1,575	N/A	Poll	F+A
6	Υ	Pass	No	For	7.5%	109,030,077	92.5%	8,821,120	7.5%	1,685	N/A	Poll	F+A
7	Υ	Pass	No	For	0.0%	117,899,173	100.0%	25,212	0.0%	12,847	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
8	Y	Pass	No	For	10.7%	105,181,207	89.3%	12,653,695	10.7%	1,680	N/A	Poll	F+A
9	Υ	Pass	No	For	10.7%	105,197,548	89.3%	12,653,666	10.7%	1,668	N/A	Poll	F+A
10	Υ	Pass	No	For	4.9%	112,141,471	95.1%	5,799,718	4.9%	1,333	N/A	Poll	F+A
11	Υ	Pass	No	For	7.3%	109,374,690	92.7%	8,567,199	7.3%	593	N/A	Poll	F+A
12	Υ	Pass	No	For	5.5%	111,330,027	94.5%	6,521,102	5.5%	91,893	N/A	Poll	F+A
	N												
13	Y	Pass	No	For	0.0%	117,905,585	100.0%	36,737	0.0%	666	N/A	Poll	F+A
14	Y	Pass	No	For	0.0%	117,930,170	100.0%	11,952	0.0%	866	N/A	Poll	F+A
	N												
15	Υ	Pass	No	For	0.0%	117,942,046	100.0%	413	0.0%	563	N/A	Poll	F+A

## **Northrop Grumman Corporation**

Meeting Date: 05/18/2022 Record Date: 03/22/2022

Earliest Cutoff Date: 05/17/2022 Votable Shares: 40,000

Primary Security ID: 666807102

Country: USA

Meeting Type: Annual

**Primary CUSIP:** 666807102

Total Ballots: 1 \*Shares on Loan: 0 Ticker: NOC

Voting Policy: ISS

Meeting ID: 1630588

Shares Instructed: 40,000

Primary ISIN: US6668071029

Primary SEDOL: 2648806

Proxy Level: 3

Additional Policy: Shares Voted: 40,000

Additional Voting Vote Vote Vote Proposal Significant Votable Mgmt Policy Policy Vote Against Against Against Vote Number **Proposal Text** Proponent Proposal Rec **ISS Rec** Rec Rec Instruction Mgmt Policy ISS 1.1 Elect Director Kathy J. Warden Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.2 Elect Director David P. Abney Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. Elect Director Marianne C. Brown For 1.3 For Mgmt Yes For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.4 Elect Director Donald E. Felsinger Mgmt For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.5 Elect Director Ann M. Fudge Mgmt For For For For No No Yes No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. Elect Director William H. Hernandez For For For For No No No Mgmt Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Northrop Grumman Corporation**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.7		Elect Director Madeleine A. Kleiner	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.8		Elect Director Karl J. Krapek	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.9		Elect Director Graham N. Robinson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.10		Elect Director Gary Roughead	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.11		Elect Director Thomas M. Schoewe	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.12		Elect Director James S. Turley	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.13		Elect Director Mark A. Welsh, III	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify Deloitte & Touche LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propos special meeting from 25 percent to 10 percent				ship threshol	ld for sharehol	ders to call a				
		Blended Rationale: A vote FOR this proposal is meeting from 25 percent to 10 percent would		-		threshold for	shareholders	to call a spec	cial			

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	000392078	Confirmed	jbisset	jbisset		40,000	40,000
			04/20/2022	04/20/2022			
					Total Shares:	40,000	40,000

#### **Meeting Results**

Outstanding Shares Voted: 155,703,868 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	2.7%	123,611,868	97.3%	3,464,295	2.7%	384,278	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	1.2%	125,371,631	98.8%	1,481,768	1.2%	607,041	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.8%	124,584,674	98.2%	2,271,287	1.8%	604,480	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	4.0%	121,781,149	96.0%	5,074,906	4.0%	604,385	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	1.7%	124,794,210	98.3%	2,123,900	1.7%	542,331	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	1.3%	125,219,521	98.7%	1,648,243	1.3%	592,676	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	3.6%	120,113,280	96.4%	4,534,989	3.6%	2,812,172	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	3.0%	123,086,859	97.0%	3,793,132	3.0%	580,450	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	1.1%	125,539,914	98.9%	1,357,177	1.1%	536,350	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	1.6%	124,896,811	98.4%	2,053,703	1.6%	509,927	N/A	Proxy	F+A
1.11	Υ	Pass	No	For	4.9%	120,639,592	95.1%	6,239,088	4.9%	581,761	N/A	Proxy	F+A
1.12	Υ	Pass	No	For	6.7%	118,334,949	93.3%	8,536,751	6.7%	588,741	N/A	Proxy	F+A
1.13	Υ	Pass	No	For	1.1%	125,574,159	98.9%	1,366,178	1.1%	520,105	N/A	Proxy	F+A
2	Υ	Pass	No	For	3.4%	122,047,000	96.6%	4,296,524	3.4%	1,116,900	N/A	Proxy	F+A
3	Υ	Pass	No	For	3.9%	134,598,218	96.1%	5,491,213	3.9%	467,184	N/A	Proxy	F+A
4	Υ	Pass	No	Against	50.4%	63,526,028	50.4%	62,532,722	49.6%	1,396,747	N/A	Proxy	F+A

## **Science Group Plc**

Meeting Date: 05/18/2022	
<b>Record Date:</b> 05/16/2022	
Primary Security ID: G7863T100	

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G7863T100

Ticker: SAG

Meeting ID: 1635151

Primary ISIN: GB00B39GTJ17

Proxy Level: N/A

Primary SEDOL: B39GTJ1

Earliest Cutoff Date: 05/12/2022 Votable Shares: 884,500

**Total Ballots:** 1 \*Shares on Loan: 0

Voting Policy: ISS

**Additional Policy:** 

Votable S	hares: 884,500	*Shares on Loan: 0			Shares I	nstructed: 884	1,500		Shares Voted	<b>I:</b> 884,500		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		considered to hold the combined roles of C	Policy Rationale: A vote AGAINST this resolution is warranted because of the following reason: * Martyn Ratcliffe is are to hold the combined roles of Chair and CEO, which calls into question whether the Board can adequately oversee									

and evaluate the performance of senior officers and the Company; \* The Executive Chair received a one-off payment during the year under review; and \* The NEDs received discretionary payments in addition to their basic fees.

Blended Rationale: A vote AGAINST this resolution is warranted because of the following reason: \* Martyn Ratcliffe is considered to hold the combined roles of Chair and CEO, which calls into question whether the Board can adequately oversee and evaluate the performance of senior officers and the Company; \* The Executive Chair received a one-off payment during the year under review; and \* The NEDs received discretionary payments in addition to their basic fees.

Reappoint Grant Thornton UK LLP as Auditors and Authorise Their

Mgmt

Yes

For

For

For

No

No No

Remuneration

# **Science Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
3		Elect Jon Brett as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR the election warranted because no significant concerns have			Daniel Edwa	rds and Mich	ael Lacey-Soly	mar is						
		Blended Rationale: A vote FOR the election/re- because no significant concerns have been idea		Brett, Danie	el Edwards a	nnd Michael L	acey-Solymar	is warranted	•					
4		Re-elect Daniel Edwards as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR the election warranted because no significant concerns have	-	-	Daniel Edwa	rds and Mich	ael Lacey-Soly	mar is						
		Blended Rationale: A vote FOR the election/re- because no significant concerns have been ide		Brett, Danie	el Edwards a	nnd Michael L	acey-Solymar	is warranted	•					
5		Re-elect Michael Lacey-Solymar as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR the election/re-election of Jon Brett, Daniel Edwards and Michael Lacey-Solymar is warranted because no significant concerns have been identified.  Blended Rationale: A vote FOR the election/re-election of Jon Brett, Daniel Edwards and Michael Lacey-Solymar is warranted												
		Blended Rationale: A vote FOR the election/re- because no significant concerns have been idea		Brett, Danie	el Edwards a	and Michael L	acey-Solymar	is warranted	•					
6		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No		
7		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.												
		Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.												
8		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these reso recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	s are within						
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within						
9		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No		
10		Approve Performance Share Plan	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes		
		Voting Policy Rationale: A vote AGAINST the proposed Performance Share Plan is warranted for the following reason: * The overall dilution limit contained within the scheme rules allows the Company to issue 15% of the issued share capital in 10 years, which is in excess of best practice limits of 10 percent in 10 years.												
		Blended Rationale: A vote AGAINST the proposition limit contained within the scheme rules is in excess of best practice limits of 10 percent	s allows the Co				-							

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		884,500	884,500
			05/10/2022	05/10/2022			
					Total Shares:	884,500	884,500

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	3.9%	31,537,013	96.1%	1,269,494	3.9%	1,833,892	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	34,635,386	100.0%	4,795	0.0%	218	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	34,639,960	100.0%	221	0.0%	218	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	34,639,960	100.0%	221	0.0%	218	N/A	Poll	F+A
5	Υ	Pass	No	For	2.1%	33,903,683	97.9%	736,489	2.1%	218	N/A	Poll	F+A
6	Υ	Pass	No	For	0.0%	34,640,181	100.0%	0	0.0%	218	N/A	Poll	F+A
7	Υ	Pass	No	For	0.0%	34,630,257	100.0%	3,424	0.0%	6,718	N/A	Poll	F+A
8	Υ	Pass	No	For	0.0%	34,625,265	100.0%	13,916	0.0%	1,218	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	34,639,490	100.0%	691	0.0%	218	N/A	Poll	F+A
10	Y	Pass	No	For	13.8%	29,861,239	86.2%	4,777,472	13.8%	1,688	N/A	Poll	F+A

## **Southwest Airlines Co.**

Meeting Date: 05/18/2022 **Record Date:** 03/22/2022

Earliest Cutoff Date: 05/17/2022

**Primary Security ID:** 844741108

Country: USA

**Primary CUSIP:** 844741108

Meeting Type: Annual

Total Ballots: 1 \*Shares on Loan: 0 Ticker: LUV

**Meeting ID:** 1633573

**Primary ISIN:** US8447411088

Voting Policy: ISS **Additional Policy:** 

Primary SEDOL: 2831543

Proxy Level: 3

Votable SI	nares: 115,123	*Shares on Loan: 0			Shares In	structed: 115	5,123		Shares Voted	: 115,123		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director David W. Biegler	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warı	ranted.								
1b		Elect Director J. Veronica Biggins	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warı	ranted.								
1c		Elect Director Douglas H. Brooks	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warı	ranted.								
1d		Elect Director William H. Cunningham	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warı	ranted.								
1e		Elect Director John G. Denison	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warı	ranted.								
1f		Elect Director Thomas W. Gilligan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warı	ranted.							-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Southwest Airlines Co.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1g		Elect Director David P. Hess	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1h		Elect Director Robert E. Jordan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1i		Elect Director Gary C. Kelly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1j		Elect Director Nancy B. Loeffler	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1k		Elect Director John T. Montford	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
11		Elect Director Christopher P. Reynolds	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1m		Elect Director Ron Ricks	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Amend Qualified Employee Stock Purchase Plan	Mgmt	Yes	For	For		For	For	No	No	No
4		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
5		Adopt Majority Vote Cast to Remove Directors With or Without Cause	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this proposenhance shareholder rights.	sal is warrante	d as the abil	ity to remov	e directors w	ith or without	cause would	1			
		Blended Rationale: A vote FOR this proposal is enhance shareholder rights.	warranted as	the ability to	remove dire	ectors with o	r without caus	e would				
6		Submit Severance Agreement (Change-in-Control) to Shareholder Vote	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this proposarrangements, the current agreements will not shareholder approval of a new or renewed sew shareholders to have the ability to vote on sew	be affected, to erance arrange	he request o ement, such	offers flexibili as at the ne	ty as to whe xt annual me	n the board m	ay seek	-			
		Blended Rationale: A vote FOR this proposal is the current agreements will not be affected, th of a new or renewed severance arrangement, the the ability to vote on severance amounts that e	e request offer such as at the	rs flexibility a next annual	as to when t	he board ma	y seek shareho	older approvi	al			

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Southwest Airlines Co.**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	000392078	Confirmed	jbisset	jbisset		115,123	115,123
			04/20/2022	04/20/2022			
					Total Shares:	115,123	115,123

#### **Meeting Results**

Outstanding Shares Voted: 592,844,796

Results Available: Full

rutstanding Snares voted: 392,644,796 Results Available: Pull													
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	9.8%	369,190,620	90.2%	39,964,420	9.8%	1,657,279	N/A	Proxy	F+A
1b	Υ	Pass	No	For	8.2%	375,541,610	91.8%	33,674,427	8.2%	1,596,282	N/A	Proxy	F+A
1c	Y	Pass	No	For	4.3%	391,431,574	95.7%	17,782,588	4.3%	1,598,157	N/A	Proxy	F+A
1d	Υ	Pass	No	For	7.6%	364,966,416	92.4%	29,886,604	7.6%	15,959,299	N/A	Proxy	F+A
1e	Y	Pass	No	For	3.7%	394,257,045	96.3%	15,057,368	3.7%	1,497,906	N/A	Proxy	F+A
1f	Υ	Pass	No	For	1.6%	402,542,283	98.4%	6,697,585	1.6%	1,572,451	N/A	Proxy	F+A
1g	Y	Pass	No	For	1.3%	403,586,775	98.7%	5,519,954	1.3%	1,705,590	N/A	Proxy	F+A
1h	Y	Pass	No	For	2.8%	398,267,981	97.2%	11,293,694	2.8%	1,250,644	N/A	Proxy	F+A
1i	Υ	Pass	No	For	4.5%	377,602,096	95.5%	17,655,405	4.5%	15,554,818	N/A	Proxy	F+A
1j	Y	Pass	No	For	5.2%	387,930,466	94.8%	21,293,307	5.2%	1,588,546	N/A	Proxy	F+A
1k	Υ	Pass	No	For	6.1%	384,172,785	93.9%	25,070,609	6.1%	1,568,925	N/A	Proxy	F+A
11	Υ	Pass	No	For	1.4%	403,567,219	98.6%	5,553,399	1.4%	1,691,701	N/A	Proxy	F+A
1m	Y	Pass	No	For	1.6%	402,662,716	98.4%	6,536,804	1.6%	1,612,799	N/A	Proxy	F+A
2	Υ	Pass	No	For	6.9%	380,200,105	93.1%	28,307,420	6.9%	2,304,794	N/A	Proxy	F+A
3	Υ	Pass	No	For	0.8%	406,010,830	99.2%	3,245,957	0.8%	1,555,532	N/A	Proxy	F+A
4	Υ	Pass	No	For	2.6%	490,994,238	97.4%	13,112,716	2.6%	2,166,618	N/A	Proxy	F+A
5	Υ	Fail	No	Against	47.5%	194,191,170	47.5%	214,655,884	52.5%	1,965,265	N/A	Proxy	F+A
6	Υ	Fail	No	Against	40.9%	167,243,513	40.9%	241,544,462	59.1%	2,024,344	N/A	Proxy	F+A

## Hertz Global Holdings, Inc.

Meeting Date: 05/19/2022 **Record Date:** 03/25/2022

Primary Security ID: 42806J700

Country: USA

Meeting Type: Annual

**Primary CUSIP:** 42806J700

Ticker: HTZ

**Meeting ID:** 1633785

**Primary ISIN:** US42806J7000

Proxy Level: 3

Primary SEDOL: BNM5672

## Hertz Global Holdings, Inc.

 Earliest Cutoff Date: 05/18/2022
 Total Ballots: 2
 Voting Policy: ISS
 Additional Policy:

 Votable Shares: 2,532,358
 \*Shares on Loan: 0
 Shares Instructed: 2,532,358
 Shares Voted: 2,532,358

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a	Elect Director Michael Gregor	y O'Hara	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes
	Voting Policy Rationale: WITH public boards while serving as			-	, , ,		-					
	Blended Rationale: WITHHOLD boards while serving as CEO of			,		_		three public	2			
1b	Elect Director Thomas Wagne	er	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: WITH public boards while serving as			-	, , , -,		-					
	Blended Rationale: WITHHOLD boards while serving as CEO of			- ,	,	-		three public	2			
1c	Elect Director Vincent J. Intrie	eri	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: WITH public boards while serving as			-	, , ,		-					
	Blended Rationale: WITHHOLD boards while serving as CEO of			- ,	,	-		three public	5			
2	Ratify Ernst & Young LLP as A	Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3	Advisory Vote to Ratify Name Executive Officers' Compensa		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	d	Appro	ved	Ballot Voting	Status	Votable S	hares	Shares	Voted
RTRF, RTRF	000392078	Confirmed	jbisset		jbisset				2,339,0	005	2,339	9,005
			05/12/2023	2	05/12/	2022						

#### **Meeting Results**

RTRF, RTRF

Outstanding Shares Voted: 417,094,805 Results Available: Full

000392078

Confirmed

jbisset

05/12/2022

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	6.2%	301,160,469	93.8%	19,790,893	6.2%	0	N/A	Proxy	F+A
1b	Υ	Pass	No	For	0.1%	320,645,972	99.9%	305,390	0.1%	0	N/A	Proxy	F+A
1c	Υ	Pass	No	For	6.5%	300,149,374	93.5%	20,801,988	6.5%	0	N/A	Proxy	F+A
2	Υ	Pass	No	For	0.1%	341,401,050	99.9%	202,128	0.1%	36,057	N/A	Proxy	F+A
3	Υ	Pass	No	For	6.9%	298,613,145	93.1%	22,217,840	6.9%	120,377	N/A	Proxy	F+A

jbisset

05/12/2022

193,353

2,532,358

Total Shares:

193,353

2,532,358

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Zynga Inc.**

Meeting Date: 05/19/2022

**Primary Security ID:** 98986T108

**Record Date:** 04/04/2022

Country: USA

Meeting Type: Special

Primary CUSIP: 98986T108

Ticker: ZNGA

Meeting ID: 1633212

Primary ISIN: US98986T1088

Proxy Level: 5

Primary SEDOL: B79PX49

Earliest Cutoff Date: 05/18/2022

Votable Shares: 652,940

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS

**Additional Policy:** 

Shares Instructed: 652,940

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Merger Agreement	Mgmt	Yes	For	For		For	For	No	No	No
2		Advisory Vote on Golden Parachutes	Mgmt	Yes	For	For		For	For	No	No	No
3		Adjourn Meeting	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	000392078	Confirmed	jbisset	jbisset		652,940	652,940

05/11/2022 05/11/2022

> Total Shares: 652,940 652,940

#### **Meeting Results**

Outstanding Shares Voted: 1,137,276,459

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.3%	773,916,852	68.1%	2,033,856	0.2%	1,352,539	0.1%	Proxy	Capital Represented
2	Y	Pass	No	For	4.4%	743,319,160	95.6%	29,337,217	3.8%	4,646,870	0.6%	Proxy	F+A+AB
3	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Proxy	F+A+AB

#### **EXOR NV**

Meeting Date: 05/24/2022	
<b>Record Date:</b> 04/26/2022	

Country: Netherlands Meeting Type: Annual Ticker: EXO Meeting ID: 1621852 Proxy Level: N/A

Primary Security ID: N3140A107

Primary CUSIP: N3140A107

**Primary ISIN:** NL0012059018

**Primary SEDOL: BYSLCX9** 

Earliest Cutoff Date: 05/13/2022 Votable Shares: 280,000

1

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS Shares Instructed: 280,000 **Additional Policy:** Shares Voted: 280,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Annual Meeting Agenda	Mgmt	No								

No

No

Open Meeting Receive Board Report (Non-Voting) 2.a

Mgmt Mgmt

## **EXOR NV**

posal nber	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
.b		Approve Remuneration Report	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST is we executive roles on EXOR investee companies remuneration package at EXOR that is in linual LTI grants whereas stock options are the may were granted in 2021 and all options previous	s Stellantis and For e with fully opera ain value driver o	errari, raisin ting compai of the total re	g further qu nies. * No p emuneration	estions on the erformance m	e adequatenes neasures are at	s of a tached to ti				
		Blended Rationale: A vote AGAINST is warra roles on EXOR investee companies Stellantis package at EXOR that is in line with fully op whereas stock options are the main value di granted in 2021 and all options previously g	and Ferrari, rais erating companie viver of the total i	ing further o es. * No peri remuneratio	questions or formance m	the adequat easures are a	eness of a rem ttached to the	uneration LTI grants	tive			
.c		Adopt Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
.d		Receive Explanation on Company's Reserves and Dividend Policy	Mgmt	No								
.e		Approve Dividends of EUR 0.43 Per Share	Mgmt	Yes	For	For		For	For	No	No	No
.a		Ratify Ernst & Young Accountants LLP as Auditors for the Financial Year 2022	Mgmt	Yes	For	For		For	For	No	No	No
.b		Ratify Deloitte Accountants B.V. as Auditors for the Financial Year 2023	Mgmt	Yes	For	For		For	For	No	No	No
С		Amend Remuneration Policy	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this proposed pay package, particularly the size adequateness of this package whereas the (natricularly Stellantis and Ferrari): * Absent	of the potential F CEO already recei	PSU awards, ives executiv	whereas co ve packages	ntinued conce for his role a	erns exist with t Exor's investe	regard to the companie	es			
		proposed pay package, particularly the size adequateness of this package whereas the C (particularly Stellantis and Ferrari); * Absence and non-financial performance metrics and under the LTT; and * Absence of any non-fin Blended Rationale: A vote AGAINST this ren pay package, particularly the size of the potendequateness of this package whereas the C (particularly Stellantis and Ferrari); * Absence and non-financial performance metrics and the content of the potender of the potender of the package whereas the C (particularly Stellantis and Ferrari); * Absence and non-financial performance metrics and the content of the particularity stellantis and ferrari); * Absence and non-financial performance metrics and the content of the content of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (package wher	of the potential F CEO already receive of clear disclosifier relative weignancial performan nuneration policy ential PSU award CEO already receive of clear disclosifier relative weignand	PSU awards, ives executives executive on the saphting; * Quance metrics. is warranted s, whereas of the saphting; * Quanting;	whereas co ve packages STI perform lestions arisi d because * continued co ve packages STI perform	ntinued conce for his role a ance framewe e with regard The significa nocerns exist for his role a ance framewe	erns exist with t Exor's investe ork including of to the rigor of int increase in to with regard to t Exor's investe ork including of	regard to the companie lear financia target setting the propose the companie lear financia	es I Ing I			
		proposed pay package, particularly the size adequateness of this package whereas the C (particularly Stellantis and Ferrari); * Absence and non-financial performance metrics and under the LTI; and * Absence of any non-financial performance metrics and the size of the potential package, particularly the size of the potential package, particularly the size of the potential package whereas the C (particularly Stellantis and Ferrari); * Absence and non-financial performance metrics and the LTI; and * Absence of any non-financial performance metrics and the lattice and selections and selections.	of the potential F CEO already receive of clear disclositheir relative weigh nancial performar nuneration policy ential PSU award. CEO already receive of clear disclositheir relative weigh nancial performar	esti awards, ives executivos exec	whereas co ve packages STI perform vestions arisi d because * continued co ve packages STI perform vestions arisi	ntinued conce for his role a ance framewe e with regard The significa oncerns exist for his role a ance framewe e with regard	erns exist with t Exor's investe ork including of to the rigor of int increase in to with regard to t Exor's investe ork including of	regard to the companie lear financia target setti. The propose the companie ee companie target setti.	es I Ing I I I Ing	No	Yes	Yes
.d		proposed pay package, particularly the size adequateness of this package whereas the C (particularly Stellantis and Ferrari); * Absence and non-financial performance metrics and under the LTT; and * Absence of any non-fin Blended Rationale: A vote AGAINST this ren pay package, particularly the size of the potendequateness of this package whereas the C (particularly Stellantis and Ferrari); * Absence and non-financial performance metrics and the content of the potender of the potender of the package whereas the C (particularly Stellantis and Ferrari); * Absence and non-financial performance metrics and the content of the particularity stellantis and ferrari); * Absence and non-financial performance metrics and the content of the content of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (particularly Stellantis and Ferrari); * Absence of the package whereas the C (package wher	of the potential F CEO already receive of clear disclosi- their relative weig- nancial performan nuneration policy ential PSU awards CEO already receive of clear disclosi- their relative weig- nancial performan Mgmt sitem is warrants	ives executives executive executiv	whereas co ve packages STI perform vestions arisi d because * continued co ve packages STI perform vestions arisi For	ntinued conce for his role a ance framewe e with regard  The significa concerns exist for his role a ance framewe e with regard  Against	erns exist with t Exor's invested ork including of to the rigor of the increase in the exor's invested ork including of the rigor of the endation under and attornaments.	regard to the companie lear financia target setti. The propose the companie lear financia target setti.  Against  Against	es I Ing I	No	Yes	Yes
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d		proposed pay package, particularly the size adequateness of this package whereas the C (particularly Stellantis and Ferrari); * Absence and non-financial performance metrics and under the LTI; and * Absence of any non-final Blended Rationale: A vote AGAINST this rempay package, particularly the size of the pote adequateness of this package whereas the C (particularly Stellantis and Ferrari); * Absence and non-financial performance metrics and under the LTI; and * Absence of any non-financial performance metrics and the summer of the potential performance metric in deviations. Woting Policy Rationale: A vote AGAINST this concerns are raised with regard to the potential performance metric in deviations.	of the potential F CEO already receive of clear disclosi- their relative weig- nancial performar nuneration policy vential PSU award. CEO already receive of clear disclosi- their relative weig- nancial performar Mgmt sitem is warrante not of SRD II.	esti awards, ives executivos exec	whereas co ye packages STI perform yestions arisi d because * continued co ye packages STI perform yestions arisi For in line with to ward, rigor	ntinued concerning for his role a sance framework with regard  The signification for his role a sance framework with regard  Against  the recommend for the recommendation for his role a sance framework with regard	erns exist with t Exor's invested of the rigor of the time to the rigor of the time tender of the time tender of the rigor of the time, and absention under Item	regard to the companie target setting the proposed the companie eer financial target setting tar	es Ing d es I Ing For	No	Yes	Yes
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d a b		proposed pay package, particularly the size adequateness of this package whereas the Continuous performance metrics and and non-financial performance metrics and and non-financial performance metrics and and rethe LTT; and * Absence of any non-financial performance metrics and and package, particularly the size of the potential quarteness of this package whereas the Continuous performance metrics and and non-financial performance metrics and and non-financial performance metrics and and performance metrics and and performance retrics and and performance are raised with regard to the potential performance metric in deviation. Blended Rationale: A vote AGAINST this item are raised with regard to the potential quarter performance metric in deviation of SRD II.  Approve Discharge of Executive Director  Approve Discharge of Non-Executive	of the potential P CEO already receive of clear disclosi- their relative weig- nancial performan nuneration policy ential PSU awards CEO already receive of clear disclosi- their relative weig- nancial performan Mgmt sitem is warrante thial quantum of in of SRD II. In is warranted be- tum of the annual	esti awards, ives executivos executivos.  Yes  esta because executivos executivos executivos executivos executivos executivos executivos executivos.  Yes  esta because executivos executiv	whereas co ve packages STI perform vestions arisi d because * continued co ve packages STI perform vestions arisi For in line with a ward, rigor e with the rigor or of target	ntinued concerning for his role a sance framework with regard or the signification for his role a sance framework with regard Against the recommendation for his role a sance framework with regard Against for his role a sance framework with regard sance framework with regard framework f	erns exist with t Exor's invested of the rigor of the time to the rigor of the time tender of the time tender of the rigor of the time, and absention under Item	regard to the companie lear financia target settine the propose the companie lear financia target settine Against  Against  Item 3c  Item 4c  Item	es I I I I I I I I I I I I I I I I I I I	No	No	No
d b a		proposed pay package, particularly the size adequateness of this package whereas the C (particularly Stellantis and Ferrari); * Absence and non-financial performance metrics and under the LTI; and * Absence of any non-financial performance metrics and the LTI; and * Absence of any non-financial particularly the size of the potential adequateness of this package whereas the C (particularly Stellantis and Ferrari); * Absence and non-financial performance metrics and under the LTI; and * Absence of any non-financial performance metrics and under the LTI; and * Absence of any non-financial performance metric in deviation of SRD II.  Voting Policy Rationale: A vote AGAINST this item are raised with regard to the potential quantuperformance metric in deviation of SRD II.  Approve Discharge of Executive Director  Approve Discharge of Non-Executive Directors  Elect A. Dumas as Non-Executive	of the potential F CEO already receive of clear disclosi- their relative weig- nancial performar numeration policy cential PSU awards CEO already receive of clear disclosi- their relative weig- nancial performar Mgmt sitem is warrante not SRD II. In is warranted be- turn of the annual Mgmt	esti awards, ives executivos exec	whereas cover packages STI perform vestions arise d because * continued cover packages STI perform vestions arise For in line with the re or of target  For For For For	ntinued concerning for his role a sance framewhe with regard  The signification for his role a sance framewhe with regard  Against  Against  the recommendation for the setting, and a setting, and a set for	erns exist with t Exor's invested of the rigor of the time to the rigor of the time tender of the time tender of the rigor of the time, and absention under Item	regard to the companie dear financial target setting the proposed the companie dear financial target setting ta	es / I and I	No No	No No	No No
d		proposed pay package, particularly the size adequateness of this package whereas the Continuarity Stellantis and Ferrari); * Absence and non-financial performance metrics and under the LTI; and * Absence of any non-final Blended Rationale: A vote AGAINST this rempay package, particularly the size of the pote adequateness of this package whereas the Continuarity Stellantis and Ferrari); * Absence and non-financial performance metrics and under the LTI; and * Absence of any non-financement in the LTI; and * Absence of any non-financement in the potential performance metric in deviation blended Rationale: A vote AGAINST this item are raised with regard to the potential quantity performance metric in deviation of SRD II.  Approve Discharge of Executive Director  Approve Discharge of Non-Executive Directors  Elect A. Dumas as Non-Executive Director	of the potential F CEO already receive of clear disclosi- their relative weig- nancial performan nuneration policy ential PSU award. CEO already receive of clear disclosi- their relative weig- nancial performan Mgmt  Sitem is warrante not SRD II. In is warranted be- tum of the annual	ives executives execut	whereas cover packages STI perform vestions arisis d because * continued cover packages STI perform vestions arisis For in line with the report of target For For For For For	ntinued concerning for his role a sance framewhe with regard  The signification for his role a sance framewhe with regard  Against  Against  the recommendation for his role a sance framewhe with regard  For for  For	erns exist with t Exor's invested of the rigor of the time to the rigor of the time tender of the time tender of the rigor of the time, and absention under Item	regard to the companie dear financia target settine the proposed the companie dear financia target settine.  Against  Against  Against  Against  Teem 3c  Toce of any  3c concerns  non-financia  For  For	es / I and I	No No	No No	No No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **EXOR NV**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		280,000	280,000
			05/12/2022	05/12/2022			
					Total Shares:	280,000	280,000

### **Meeting Results**

Outstanding Shares Voted: 729,825,680

Results Available: Full

Jutstan	uning Snares	Voteu: 729,623	5,000		Resi	uits Available: Full							
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	N												
2.a	N												
2.b	Υ	Pass	No	For	5.2%	661,480,856	94.8%	36,363,274	5.2%	14,825	N/A	Poll	F+A
2.c	Υ	Pass	No	For	0.0%	696,744,091	100.0%	254,729	0.0%	860,135	N/A	Poll	F+A
2.d	N												
2.e	Y	Pass	No	For	0.0%	697,844,129	100.0%	1	0.0%	14,825	N/A	Poll	F+A
3.a	Υ	Pass	No	For	0.0%	697,670,780	100.0%	8,534	0.0%	179,641	N/A	Poll	F+A
3.b	Y	Pass	No	For	0.0%	697,835,596	100.0%	8,534	0.0%	14,825	N/A	Poll	F+A
3.c	Y	Pass	No	For	5.9%	656,440,719	94.1%	41,258,479	5.9%	159,757	N/A	Poll	F+A
3.d	Y	Pass	No	For	5.1%	662,206,334	94.9%	35,492,864	5.1%	159,757	N/A	Poll	F+A
4.a	Y	Pass	No	For	0.2%	695,174,772	99.8%	1,664,646	0.2%	1,019,537	N/A	Poll	F+A
4.b	Y	Pass	No	For	1.0%	690,191,731	99.0%	6,647,687	1.0%	1,019,537	N/A	Poll	F+A
5.a	Υ	Pass	No	For	0.4%	695,171,474	99.6%	2,667,234	0.4%	20,247	N/A	Poll	F+A
6.a	Y	Pass	No	For	0.7%	692,580,050	99.3%	5,194,259	0.7%	84,646	N/A	Poll	F+A
6.b	Υ	Pass	No	For	0.0%	697,806,123	100.0%	32,585	0.0%	20,247	N/A	Poll	F+A
7	N												

## **Royal Dutch Shell Pic**

Tto yan Daton Onon 1 to										
Meeting Date: 05/24/2022	Country: United Kingdom		Ticker: Sl	HEL			Proxy Level	: N/A		
<b>Record Date:</b> 05/20/2022	Meeting Type: Annual		Meeting 1	<b>ID:</b> 156640	)					
Primary Security ID: G80827101	Primary CUSIP: G80827101		Primary 1	ISIN: GB00	BP6MXD84		Primary SEI	OOL: BP6M	XD8	
Earliest Cutoff Date: 05/19/2022	Total Ballots: 1		Voting Pol	icy: ISS			Additional Po	licy:		
Votable Shares: 1,000,000	*Shares on Loan: 0		Shares Ins	structed: 1,0	00,000		Shares Voted	: 1,000,000		
Proposal Significant Number Vote Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS

Management Proposals Mgmt No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Royal Dutch Shell Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect Sinead Gorman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.	•				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
4		Re-elect Ben van Beurden as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.										
		Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.										
5		Re-elect Dick Boer as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.	•				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
6		Re-elect Neil Carson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.	•				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
7		Re-elect Ann Godbehere as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.	•				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
8		Re-elect Euleen Goh as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.	•				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
9		Re-elect Jane Lute as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
10		Re-elect Catherine Hughes as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
11		Re-elect Martina Hund-Mejean as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.	•				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
12		Re-elect Sir Andrew Mackenzie as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
13		Re-elect Abraham Schot as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.										
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					

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# **Royal Dutch Shell Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
14		Reappoint Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resol recommended limits.	utions is warra	nted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	s is warranted	because the	e proposed a	amounts and	durations are	within				
17		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resol recommended limits.	utions is warra	nted becaus	se the propo.	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	s is warranted	because the	e proposed a	amounts and	durations are	within				
18		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise Off-Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
20		Approve the Shell Energy Transition Progress Update	Mgmt	Yes	For	For		For	For	No	No	No
		Shareholder Proposal	Mgmt	No								
21		Request Shell to Set and Publish Targets for Greenhouse Gas (GHG) Emissions	SH	Yes	Against	Against		Against	Against	No	No	No
Ballot Deta	ails											

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		1,000,000	1,000,000
			05/13/2022	05/13/2022	_		
					Total Shares:	1,000,000	1,000,000

### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	1.6%	4,469,477,767	98.4%	71,091,532	1.6%	15,067,658	N/A	Poll	F+A
2	Υ	Pass	No	For	4.1%	4,346,283,705	95.9%	184,753,614	4.1%	24,598,418	N/A	Poll	F+A
3	Υ	Pass	No	For	0.5%	4,516,521,089	99.5%	23,417,006	0.5%	15,675,553	N/A	Poll	F+A
4	Υ	Pass	No	For	0.8%	4,496,569,558	99.2%	34,290,352	0.8%	24,761,284	N/A	Poll	F+A
5	Υ	Pass	No	For	1.5%	4,474,674,800	98.5%	67,820,033	1.5%	13,126,425	N/A	Poll	F+A
6	Υ	Pass	No	For	1.1%	4,491,217,829	98.9%	51,083,981	1.1%	13,317,337	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
7	Y	Pass	No	For	1.8%	4,458,461,056	98.2%	83,907,506	1.8%	13,244,853	N/A	Poll	F+A
8	Υ	Pass	No	For	1.4%	4,479,743,495	98.6%	62,636,105	1.4%	13,240,198	N/A	Poll	F+A
9	Υ	Pass	No	For	0.6%	4,514,627,950	99.4%	27,643,508	0.6%	13,345,259	N/A	Poll	F+A
10	Υ	Pass	No	For	1.5%	4,472,378,257	98.5%	70,125,060	1.5%	13,117,075	N/A	Poll	F+A
11	Υ	Pass	No	For	0.2%	4,533,297,371	99.8%	9,092,680	0.2%	13,230,216	N/A	Poll	F+A
12	Υ	Pass	No	For	6.9%	4,229,127,499	93.1%	312,416,135	6.9%	14,070,548	N/A	Poll	F+A
13	Υ	Pass	No	For	0.7%	4,510,980,924	99.3%	30,852,332	0.7%	13,768,612	N/A	Poll	F+A
14	Υ	Pass	No	For	0.1%	4,498,735,944	99.9%	5,326,757	0.1%	51,567,695	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	4,536,967,618	99.9%	5,577,382	0.1%	13,084,173	N/A	Poll	F+A
16	Υ	Pass	No	For	2.0%	4,454,082,528	98.0%	88,725,174	2.0%	12,831,705	N/A	Poll	F+A
17	Υ	Pass	No	For	1.1%	4,490,698,350	98.9%	48,830,150	1.1%	16,095,313	N/A	Poll	F+A
18	Υ	Pass	No	For	1.2%	4,487,609,043	98.8%	53,240,550	1.2%	14,778,954	N/A	Poll	F+A
19	Υ	Pass	No	For	1.2%	4,485,657,888	98.8%	54,853,594	1.2%	15,114,434	N/A	Poll	F+A
20	Υ	Pass	No	For	20.1%	3,525,014,244	79.9%	886,272,022	20.1%	144,341,223	N/A	Poll	F+A
	N												
21	Υ	Fail	Yes	Against	20.3%	906,494,546	20.3%	3,560,626,618	79.7%	88,507,794	N/A	Poll	F+A

Meeting Date: 05/24/2022Country: FranceTicker: VKProxy Level: N/ARecord Date: 05/20/2022Meeting Type: Annual/SpecialMeeting ID: 1638586

Primary Security ID: F96708270 Primary CUSIP: F96708270 Primary ISIN: FR0013506730 Primary SEDOL: BLGWF90

Earliest Cutoff Date: 05/18/2022Total Ballots: 1Voting Policy: ISSAdditional Policy:Votable Shares: 584,095\*Shares on Loan: 0Shares Instructed: 584,095Shares Voted: 584,095

votable 5	141 451 50 1,055	Shares on Loans o			Silai es 1	istructeur 50 i	,,055		Shares voted	1 30 1,033		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary Business	Mgmt	No								
1		Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approand lack of concerns.	val of the annua	al accounts a	re warrante	ed due to the	unqualified au	ıditors' opini	on			
		Blended Rationale: Votes FOR the approval of lack of concerns.	f the annual acc	counts are w	arranted du	e to the unqu	ualified auditor	s' opinion ar	nd			
2		Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approand lack of concerns.	val of the annua	al accounts a	re warrante	ed due to the	unqualified au	ıditors' opini	on			
		Blended Rationale: Votes FOR the approval of lack of concerns.	f the annual acc	counts are w	arranted du	e to the unqu	ualified auditor	s' opinion ar	nd			
3		Approve Allocation of Income and Absence of Dividends	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4		Approve Transaction with Edouard Guinotte Re: Execution and Termination of his Duties within Vallourec	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this pr performance conditions as the company chose of previously voted policy; * There is no auditors'	a transactiona	l agreement	instead of t	he terminatio		-	9			
		Blended Rationale: A vote AGAINST this propose performance conditions as the company chose of previously voted policy; * There is no auditors'	a transactiona	l agreement	instead of t	he terminatio			2			
5		Reelect Angela Minas as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the (re)eleconcerns (Items 5 and 6). * A vote AGAINST the (item7).							fic			
		Blended Rationale: * Votes FOR the (re)election concerns (Items 5 and 6). * A vote AGAINST the (item7).		-				-				
6		Reelect Hera Siu as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the (re)electon concerns (Items 5 and 6). * A vote AGAINST the (item7).							fic			
		Blended Rationale: * Votes FOR the (re)election concerns (Items 5 and 6). * A vote AGAINST the (item7).		•				•				
7		Ratify Appointment of Philippe Guillemot as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: * Votes FOR the (re)eleconcerns (Items 5 and 6). * A vote AGAINST the (item7).							fic			
		Blended Rationale: * Votes FOR the (re)election concerns (Items 5 and 6). * A vote AGAINST the (item7).										
8		Approve Compensation Report of Corporate Officers	Mgmt	Yes	For	For		For	For	No	No	No
9		Approve Compensation of Edouard Guinotte, Chairman of the Management Board Until 30 June 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remur granted LTIPs are not sufficiently long-term orion reasons for support are: * Most of the granted granted prior to his mandate; and * There are in	ented; * The la LTIPs lapsed t	evel of disclo following the	osure surrou	nding the ve	sted LTIP is lo	w. The main				
		Blended Rationale: Votes FOR these remunerate granted LTIPs are not sufficiently long-term orion reasons for support are: * Most of the granted granted prior to his mandate; and * There are to the support are t	ented; * The la LTIPs lapsed t	evel of disclo following the	osure surrou	nding the ve	sted LTIP is lo	w. The main				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
10		Approve Compensation of Edouard Guinotte, Chairman and CEO From 1 July 2021 to 31 December 2021 and From 1 January 2022 to 20 March 2022	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remul granted LTIPs are not sufficiently long-term orion reasons for support are: * Most of the granted granted prior to his mandate; and * There are	ented; * The l LTIPs lapsed i	evel of disclo following the	sure surrou	nding the ve.	sted LTIP is lo	w. The main				
		Blended Rationale: Votes FOR these remunerat granted LTIPs are not sufficiently long-term orion reasons for support are: * Most of the granted granted prior to his mandate; and * There are	ented; * The l LTIPs lapsed i	evel of disclo following the	sure surrou	nding the ve.	sted LTIP is lo	w. The main				
11		Approve Compensation of Olivier Mallet, Management Board Member Until 30 June 2021	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Votes AGAINST these is sufficiently long-term oriented; and * The level					granted LTIP	are not				
		Blended Rationale: Votes AGAINST these remul long-term oriented; and * The level of disclosur				e: * The grai	nted LTIP are	not sufficien	tly			
12		Approve Compensation of Olivier Mallet, Vice-CEO From 1 July 2021 to 31 December 2021 and From 1 January 2022 to 20 March 2022	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Votes AGAINST these is sufficiently long-term oriented; and * The level					granted LTIP	are not				
		Blended Rationale: Votes AGAINST these remul long-term oriented; and * The level of disclosur				e: * The grai	nted LTIP are	not sufficien	tly			
13		Approve Compensation of Vivienne Cox, Chairman of the Supervisory Board Until 30 June 2021	Mgmt	Yes	For	For		For	For	No	No	No
14		Approve Remuneration Policy of Chairman and CEO	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the remune	eration policy o	of Philippe G	uillemot (ite	m 14) is war	ranted althoug	h the follow	ing			

Voting Policy Rationale: A vote FOR the remuneration policy of Philippe Guillemot (item 14) is warranted although the following concerns are raised: \* The new CEO's remuneration package shows a significant increase with little rationale; \* Corporate officers are guaranteed the payment of a part of their bonuses; \* The performance conditions attached to the LTIP are not guaranteed to be long-term oriented; \* The performance conditions attached to the termination package are deemed to lack stringency; \* The policy authorizes the post-mandate vesting of awards. The main reasons for support are: \* The proposed package is broadly in line with Mr. Guillemot's past position's remuneration, justifying a higher package to attract an experienced CEO; \* The LTIP does not include the ordinary shares that were subject to a problematic performance condition; \* Company's past practice is for unvested preference shares to lapse; \* The application of the previous CEO remuneration policy if this policy is rejected would not be considered more advantageous. A vote AGAINST the remuneration policy of Olivier Mallet (item 15) is warranted because: \* The CFO is guaranteed the payment of 100% of his bonus for the period of the year he is a corporate officer. \* He still benefits from the problematic part of the 2021 LTIP with ordinary shared vesting each year just for reaching EUR 8.09 (see item 12) \* The policy authorizes the post-mandate vesting of awards.

Blended Rationale: A vote FOR the remuneration policy of Philippe Guillemot (item 14) is warranted although the following concerns are raised: \* The new CEO's remuneration package shows a significant increase with little rationale; \* Corporate officers are guaranteed the payment of a part of their bonuses; \* The performance conditions attached to the LTIP are not guaranteed to be long-term oriented; \* The performance conditions attached to the termination package are deemed to lack stringency; \* The policy authorizes the post-mandate vesting of awards. The main reasons for support are: \* The proposed package is broadly in line with Mr. Guillemot's past position's remuneration, justifying a higher package to attract an experienced CEO; \* The LTIP does not include the ordinary shares that were subject to a problematic performance condition; \* Company's past practice is for unvested preference shares to lapse; \* The application of the previous CEO remuneration policy if this policy is rejected would not be considered more advantageous. A vote AGAINST the remuneration policy of Olivier Mallet (item 15) is warranted because: \* The CFO is guaranteed the payment of 100% of his bonus for the period of the year he is a corporate officer. \* He still benefits from the problematic part of the 2021 LTIP with ordinary shared vesting each year just for reaching EUR 8.09 (see item 12) \* The policy authorizes the post-mandate vesting of awards.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
15		Approve Remuneration Policy of Vice-CEO	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote FOR the remunconcerns are raised: * The new CEO's remune officers are guaranteed the payment of a part guaranteed to be long-term oriented; * The p stringency; * The policy authorizes the post-n package is broadly in line with Mr. Guillemot's experienced CEO; * The LTIP does not include Company's past practice is for unvested prefer if this policy is rejected would not be consider (item 15) is warranted because: * The CFO is corporate officer. * He still benefits from the preaching EUR 8.09 (see item 12) * The policy	eration package of their bonus erformance con nandate vesting past position's e the ordinary rence shares to ed more advar guaranteed the problematic pal	e shows a signes; * The penditions atta g of awards. s remunerations shares that volumes that volumes; * That all all all all all all all all all all	gnificant inc erformance ched to the The main n on, justifyin were subjec the application of 100% of in 11 LTIP with	rease with litt conditions att termination peasons for su g a higher pa t to a problen on of the prev ST the remun his bonus for ordinary sha	tle rationale; * tached to the L package are de upport are: * Th pckage to attrac matic performan ious CEO remu peration policy of the period of to	Corporate TIP are not themed to lace the proposed that the condition the condition the proposed that that the proposed t	k n; * licy llet s a		-	
		Blended Rationale: A vote FOR the remunerate concerns are raised: * The new CEO's remune officers are guaranteed the payment of a particular guaranteed to be long-term oriented; * The pstringency; * The policy authorizes the post-new package is broadly in line with Mr. Guillemot's experienced CEO; * The LTIP does not include Company's past practice is for unvested prefer if this policy is rejected would not be consider (item 15) is warranted because: * The CFO is corporate officer. * He still benefits from the preaching EUR 8.09 (see item 12) * The policy	eration package of their bonus erformance con nandate vesting past position's e the ordinary rence shares to ed more advar guaranteed the problematic pal	e shows a signes; * The penditions atta g of awards. s remuneratic shares that v o lapse; * Thatageous. A v ne payment of the 202	gnificant inc erformance ched to the The main r on, justifyin were subjec the application of 100% of in 11 LTIP with	rease with litt conditions att termination p easons for su g a higher pa t to a problen on of the prev ST the remun his bonus for ordinary sha	tle rationale; * tached to the L package are de apport are: * Th ackage to attrac matic performan aious CEO remu aieration policy of the period of to	Corporate TIP are not remed to lace the proposed at an ance condition aneration pol of Olivier Ma he year he is	n; * licy llet s a			
16		Approve Remuneration Policy of Directors	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No
		Extraordinary Business	Mgmt	No								
18		Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 1,831,427	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with au				ed as their pro	oposed volumes	s respect the	2			
		Blended Rationale: * Votes FOR the authoriza recommended guidelines for issuances with a				their propose	ed volumes res <sub>l</sub>	pect the			-	
19		Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 457,857	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the auth- recommended guidelines for issuances with au				ed as their pro	pposed volumes	s respect the	9		-	
		Blended Rationale: * Votes FOR the authoriza recommended guidelines for issuances with a				their propose	ed volumes res <sub>l</sub>	pect the				
20		Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 457,857	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the auth recommended guidelines for issuances with a				ed as their pro	oposed volumes	s respect the	?			
		Blended Rationale: * Votes FOR the authoriza recommended guidelines for issuances with a	tions under 18	to 25 are w	arranted as	their propose	ed volumes resp	pect the			-	

vano	arec br						Additional	Vation		Vote	Vete	V-t-
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
21		Authorize Board to Set Issue Price for 10 Percent Per Year of Issued Capital Pursuant to Issue Authority without Preemptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with au				d as their pro	oposed volumes	s respect the				
		Blended Rationale: * Votes FOR the authorizative recommended guidelines for issuances with an				heir propose	ed volumes res <sub>l</sub>	pect the				
22		Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with an				d as their pro	pposed volumes	s respect the	•		_	
		Blended Rationale: * Votes FOR the authorizate recommended guidelines for issuances with an				heir propose	ed volumes res <sub>l</sub>	pect the				
23		Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorized recommended guidelines for issuances with an				d as their pro	pposed volumes	s respect the	2			
		Blended Rationale: * Votes FOR the authorizative recommended guidelines for issuances with an				heir propose	ed volumes res <sub>l</sub>	pect the				
24		Authorize Capital Increase of Up to EUR 457,857 for Future Exchange Offers	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with an				d as their pro	pposed volumes	s respect the	2			
		Blended Rationale: * Votes FOR the authorizate recommended guidelines for issuances with an				heir propose	ed volumes resp	pect the				
25		Approve Issuance of Equity or Equity-Linked Securities Reserved for Specific Beneficiaries, up to Aggregate Nominal Amount of EUR 457,857	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with an				d as their pro	pposed volumes	s respect the	2			
		Blended Rationale: * Votes FOR the authorizate recommended guidelines for issuances with an				heir propose	ed volumes res <sub>l</sub>	pect the				
26		Authorize Capitalization of Reserves of Up to EUR 1,373,570 for Bonus Issue or Increase in Par Value	Mgmt	Yes	For	For		For	For	No	No	No
27		Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	Yes	For	For		For	For	No	No	No
28		Authorize Decrease in Share Capital via Cancellation of Repurchased Shares	Mgmt	Yes	For	For		For	For	No	No	No
29		Amend Article 10 and 13 of Bylaws Re: Chairman and CEO	Mgmt	Yes	For	For		For	For	No	No	No
30		Authorize Filing of Required Documents/Other Formalities	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Det	ails											
Institutiona (IA Name, I	l Account Detail A Number)	Custodian Account Number Ballot Status	Instructed	d	Approve	d	Ballot Voting	Status	Votable S	Shares	Shares	Voted

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		584,095	584,095
			05/17/2022	05/17/2022			
					Total Shares:	584,095	584,095

### **Meeting Results**

Outstanding Shares Voted: 228,740,563

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.0%	159,113,166	100.0%	23,346	0.0%	116,189	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	159,112,941	100.0%	23,604	0.0%	116,156	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	159,153,321	100.0%	73,908	0.0%	25,472	N/A	Poll	F+A
4	Υ	Pass	No	For	14.9%	135,505,103	85.1%	23,642,734	14.9%	39,143	N/A	Poll	F+A
5	Υ	Pass	No	For	0.1%	158,678,415	99.9%	235,719	0.1%	338,567	N/A	Poll	F+A
6	Υ	Pass	No	For	0.2%	158,601,879	99.8%	306,461	0.2%	344,361	N/A	Poll	F+A
7	Υ	Pass	No	For	13.1%	138,417,622	86.9%	20,805,318	13.1%	29,761	N/A	Poll	F+A
8	Υ	Pass	No	For	0.3%	158,778,620	99.7%	438,989	0.3%	35,092	N/A	Poll	F+A
9	Υ	Pass	No	For	4.1%	152,685,503	95.9%	6,476,373	4.1%	90,825	N/A	Poll	F+A
10	Υ	Pass	No	For	5.2%	150,895,377	94.8%	8,269,280	5.2%	88,044	N/A	Poll	F+A
11	Υ	Pass	No	For	14.2%	136,543,294	85.8%	22,662,486	14.2%	46,921	N/A	Poll	F+A
12	Υ	Pass	No	For	14.2%	136,541,805	85.8%	22,664,052	14.2%	46,844	N/A	Poll	F+A
13	Υ	Pass	No	For	0.4%	158,506,094	99.6%	701,018	0.4%	45,589	N/A	Poll	F+A
14	Υ	Pass	No	For	8.6%	145,458,319	91.4%	13,678,260	8.6%	116,122	N/A	Poll	F+A
15	Υ	Pass	No	For	19.1%	128,840,171	80.9%	30,362,086	19.1%	50,444	N/A	Poll	F+A
16	Υ	Pass	No	For	0.6%	158,166,040	99.4%	1,033,139	0.6%	53,522	N/A	Poll	F+A
17	Υ	Pass	No	For	0.1%	159,128,785	99.9%	82,766	0.1%	41,150	N/A	Poll	F+A
	N												
18	Υ	Pass	No	For	0.9%	157,842,199	99.1%	1,383,641	0.9%	26,861	N/A	Poll	F+A
19	Υ	Pass	No	For	0.7%	158,161,521	99.3%	1,052,734	0.7%	38,446	N/A	Poll	F+A
20	Υ	Pass	No	For	0.7%	158,146,476	99.3%	1,077,923	0.7%	28,302	N/A	Poll	F+A
21	Y	Pass	No	For	0.4%	158,666,111	99.6%	558,515	0.4%	28,075	N/A	Poll	F+A
22	Y	Pass	No	For	1.2%	157,256,177	98.8%	1,968,823	1.2%	27,701	N/A	Poll	F+A
23	Y	Pass	No	For	0.4%	158,569,573	99.6%	656,855	0.4%	26,273	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
24	Y	Pass	No	For	0.3%	158,721,631	99.7%	504,593	0.3%	26,477	N/A	Poll	F+A
25	Y	Pass	No	For	0.7%	158,177,217	99.3%	1,048,813	0.7%	26,671	N/A	Poll	F+A
26	Y	Pass	No	For	0.2%	158,889,631	99.8%	339,792	0.2%	23,278	N/A	Poll	F+A
27	Υ	Pass	No	For	0.3%	158,698,818	99.7%	521,864	0.3%	32,019	N/A	Poll	F+A
28	Υ	Pass	No	For	0.4%	158,646,417	99.6%	581,898	0.4%	24,386	N/A	Poll	F+A
29	Υ	Pass	No	For	0.3%	158,709,970	99.7%	503,138	0.3%	39,593	N/A	Poll	F+A
30	Υ	Pass	No	For	0.0%	159,173,822	100.0%	49,659	0.0%	29,220	N/A	Poll	F+A

Meeting Date: 05/25/2022

**Record Date:** 04/01/2022

Primary Security ID: 30231G102

Country: USA

Meeting Type: Annual Primary CUSIP: 30231G102 Ticker: XOM

Voting Policy: ISS

Meeting ID: 1632904

Primary ISIN: US30231G1022

Proxy Level: 3

Primary SEDOL: 2326618

Earliest Cutoff Date: 05/24/2022 Votable Shares: 114,500

**Total Ballots:** 1 \*Shares on Loan: 0

Shares Instructed: 114,500

**Additional Policy:** Shares Voted: 114,500

Votable SI	hares: 114,500	*Shares on Loan: 0			Shares I	nstructed: 114	4,500		Shares Voted	l: 114,500		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Michael J. Angelakis	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darrer set targets to improve the efficiency of its aligned with Paris Agreement targets. A vi	operations but doe	es not appea	ar to be taki	ng steps that			has		-	
		Blended Rationale: Votes FOR Darren Wood targets to improve the efficiency of its ope with Paris Agreement targets. A vote FOR	erations but does n	ot appear to	be taking s							
1.2		Elect Director Susan K. Avery	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darrer set targets to improve the efficiency of its aligned with Paris Agreement targets. A with Blended Rationale: Votes FOR Darren Wortsgets to improve the efficiency of its open with Paris Agreement targets. A vote FOR	operations but does tote FOR the other of ods and Joseph Hose erations but does n	es not appea director non oley are wan ot appear to	ar to be taki ninees is wal rranted, with o be taking s	ng steps that rranted. h caution, giv	en that the co	npany has	set		-	
1.3		Elect Director Angela F. Braly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darrer set targets to improve the efficiency of its aligned with Paris Agreement targets. A vi	operations but doe	es not appea	ar to be taki	ng steps that			has			
		Blended Rationale: Votes FOR Darren Wood targets to improve the efficiency of its open with Paris Agreement targets. A vote FOR	erations but does n	ot appear to	be taking s							
1.4		Elect Director Ursula M. Burns	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darrer set targets to improve the efficiency of its aligned with Paris Agreement targets. A v	operations but doe	es not appea	ar to be taki	ng steps that			has			
		Blended Rationale: Votes FOR Darren Wootargets to improve the efficiency of its open									-	

with Paris Agreement targets. A vote FOR the other director nominees is warranted.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.5		Elect Director Gregory J. Goff	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	rations but doe	s not appear	r to be takir	ng steps that	-		nas			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ons but does no	ot appear to	be taking s							
1.6		Elect Director Kaisa H. Hietala	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	rations but doe	s not appear	r to be takir	ng steps that	-		nas			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ons but does no	ot appear to	be taking s							
1.7		Elect Director Joseph L. Hooley	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	rations but doe	s not appear	r to be takir	ng steps that	-		nas			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ons but does no	ot appear to	be taking s							
1.8		Elect Director Steven A. Kandarian	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	rations but doe	s not appear	r to be takir	ng steps that	-		nas			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ons but does no	ot appear to	be taking s							
1.9		Elect Director Alexander A. Karsner	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	rations but doe	s not appear	r to be takir	ng steps that	-		nas			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ons but does no	ot appear to	be taking s							
1.10		Elect Director Jeffrey W. Ubben	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	rations but doe	s not appear	r to be takir	ng steps that	-		nas			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ons but does no	ot appear to	be taking s							
1.11		Elect Director Darren W. Woods	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	rations but doe	s not appear	r to be takir	ng steps that	-		nas			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ons but does no	ot appear to	be taking s							
2		Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
4		Remove Executive Perquisites	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propos excessive, they represent a non-performance-b. Such perquisites are not considered to be a bes improvement.	ased benefit tl	hat is not ge	nerally availa	able to the b	roader employ	ee populatio	n.			
		Blended Rationale: A vote FOR this proposal is they represent a non-performance-based benef perquisites are not considered to be a best prac-	fit that is not g	enerally ava	ilable to the	broader emp	ployee populat	ion. Such				
5		Amend Bylaws to Limit Shareholder Rights for Proposal Submission	SH	Yes	Against	Against		Against	Against	No	No	No
6		Set GHG Emissions Reduction targets Consistent With Paris Agreement Goal	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propos carbon footprint and align its operations with Pa company is managing its transition to a low car	aris Agreemen	t goals woul	d allow inves	stors to bette			its			
		Blended Rationale: A vote FOR this proposal is carbon footprint and align its operations with Pa company is managing its transition to a low car	aris Agreemen	t goals woul	d allow inves	stors to bette	•					
7		Report on Low Carbon Business Planning	SH	Yes	Against	Against		Against	Against	No	No	No
8		Report on Scenario Analysis Consistent with International Energy Agency's Net Zero by 2050	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propos about the company's risk of stranded assets, gi					_	disclosure				
		Blended Rationale: A vote FOR this proposal is the company's risk of stranded assets, given its					n greater discl	osure about				
9		Report on Reducing Plastic Pollution	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propos of the company to transition from virgin polyme management of associated financial, environment	er production v	vould allow s	shareholders		-		ity			
		Blended Rationale: A vote FOR this proposal is the company to transition from virgin polymer p management of associated financial, environme	production wou	ıld allow sha	areholders to		-	-				
10		Report on Political Contributions and Expenditures	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this resolut memberships in trade associations and other po- comprehensive political contribution activities as	olitical organiza	ations would	help shareh	olders asses	s the company					
		Blended Rationale: A vote FOR this resolution is memberships in trade associations and other po- comprehensive political contribution activities as	olitical organiza	ations would	help shareh	olders asses	s the company					

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	000392078	Confirmed	jcoster	jcoster		114,500	114,500
			05/23/2022	05/23/2022			
					Total Shares:	114,500	114,500

## **Meeting Results**

Outstanding Shares Voted: 4,213,205,009

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	2.1%	2,547,924,545	97.9%	53,455,402	2.1%	20,049,727	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	4.6%	2,477,831,962	95.4%	118,721,113	4.6%	24,891,192	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	3.4%	2,513,024,444	96.6%	89,314,156	3.4%	19,104,634	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	10.9%	2,327,781,231	89.1%	284,073,145	10.9%	9,565,391	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	1.9%	2,561,365,873	98.1%	49,525,294	1.9%	10,553,052	N/A	Proxy	F+A
1.6	Y	Pass	No	For	1.7%	2,566,139,786	98.3%	45,285,191	1.7%	10,016,967	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	5.1%	2,476,828,928	94.9%	134,272,350	5.1%	10,334,699	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	1.5%	2,572,658,270	98.5%	38,414,203	1.5%	10,371,746	N/A	Proxy	F+A
1.9	Y	Pass	No	For	3.0%	2,532,029,094	97.0%	78,926,756	3.0%	10,486,437	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	1.6%	2,559,547,876	98.4%	41,716,300	1.6%	20,173,519	N/A	Proxy	F+A
1.11	Υ	Pass	No	For	8.4%	2,393,735,033	91.6%	218,457,411	8.4%	9,250,645	N/A	Proxy	F+A
2	Υ	Pass	No	For	3.2%	3,266,256,474	96.8%	107,018,692	3.2%	11,850,049	N/A	Proxy	F+A
3	Y	Pass	No	For	9.0%	2,359,659,298	91.0%	232,188,848	9.0%	29,593,623	N/A	Proxy	F+A
4	Y	Fail	No	Against	21.8%	555,991,008	21.8%	1,998,938,503	78.2%	66,505,804	N/A	Proxy	F+A
5	Y	Fail	No	Against	1.5%	37,988,180	1.5%	2,566,577,886	98.5%	16,861,496	N/A	Proxy	F+A
6	Y	Fail	No	Against	27.1%	634,538,294	27.1%	1,711,232,081	72.9%	275,657,276	N/A	Proxy	F+A
7	Y	Fail	No	Against	10.5%	269,080,730	10.5%	2,297,592,462	89.5%	54,749,145	N/A	Proxy	F+A
8	Y	Pass	No	Against	51.0%	1,212,862,208	51.0%	1,167,183,242	49.0%	241,366,778	N/A	Proxy	F+A
9	Y	Fail	No	Against	36.5%	940,949,583	36.5%	1,639,139,896	63.5%	41,311,728	N/A	Proxy	F+A
10	Y	Fail	No	Against	26.7%	695,653,665	26.7%	1,908,852,962	73.3%	16,911,258	N/A	Proxy	F+A

## **Meta Platforms, Inc.**

Country: USA Meeting Date: 05/25/2022 Ticker: FB **Record Date:** 04/01/2022 Meeting Type: Annual **Meeting ID:** 1634027 Primary Security ID: 30303M102 Primary CUSIP: 30303M102 Primary ISIN: US30303M1027 Earliest Cutoff Date: 05/24/2022 **Total Ballots:** 1 Voting Policy: ISS

Primary SEDOL: B7TL820 **Additional Policy:** 

Proxy Level: 3

Votable Shares: 15,700 \*Shares on Loan: 0 Shares Instructed: 15,700 Shares Voted: 15,700

Proposal Significant Number Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
1.1	Elect Director Peggy Alford	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: Votes FOR all director nominees are warranted.

Blended Rationale: Votes FOR all director nominees are warranted.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## Meta Platforms, Inc.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.2		Elect Director Marc L. Andreessen	Mgmt	Yes	For	For		For	Withhold	Yes	Yes	Yes
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nomi	inees are warr	anted.								
1.3		Elect Director Andrew W. Houston	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nomi	inees are warr	anted.								
1.4		Elect Director Nancy Killefer	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nomi	inees are warr	anted.								
1.5		Elect Director Robert M. Kimmitt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nomi	inees are warr	anted.								
1.6		Elect Director Sheryl K. Sandberg	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nomi	inees are warr	anted.								
1.7		Elect Director Tracey T. Travis	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nomi	inees are warr	anted.								
1.8		Elect Director Tony Xu	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nomi	inees are warr	anted.								
1.9		Elect Director Mark Zuckerberg	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nomi	inees are warr	anted.								
2		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: There are several ong remain discretionary, and incentive programs lindividual performance assessments is also poi impact on annual bonuses. Executives again rethe CEO's and COO's security costs are exceed warranted.	ack disclosed por, and the des acceived very la	performance sign allows fo rge equity at	metrics and or such cons wards that la	quantified g iderations to ack performa	oals. Disclosur have a potent nce vesting cr	re around tially large iteria. Moreo				
		Blended Rationale: There are several ongoing remain discretionary, and incentive programs lindividual performance assessments is also posimpact on annual bonuses. Executives again re	lack disclosed por, and the des	performance sign allows fo	metrics and or such cons	quantified g	noals. Disclosur have a potent	re around tially large	ver,			

impact on annual bonuses. Executives again received very large equity awards that lack performance vesting criteria. Moreover, the CEO's and COO's security costs are exceedingly large. In light of these concerns, a vote AGAINST this proposal is warranted.

# **Meta Platforms, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4		Approve Recapitalization Plan for all Stock to Have One-vote per Share	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proper preference for a capital structure in which the						reholders'				
		Blended Rationale: A vote FOR this proposal in preference for a capital structure in which the			•			'ders'				
5		Require Independent Board Chair	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proper appointment of a non-independent director (put the most robust form of independent board of	er ISS classifica	ations) to ser	rve as lead o	director, shar	reholders would		om			
		Blended Rationale: A vote FOR this proposal i appointment of a non-independent director (p the most robust form of independent board o	er ISS classifica	ations) to ser	rve as lead o	director, shar			om			
6		Report on Risks Associated with Use of Concealment Clauses	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proper of concealment clauses has on its employees recruitment, development and retention.					•		use			
		Blended Rationale: A vote FOR this proposal is concealment clauses has on its employees madevelopment and retention.				-		-				
7		Report on External Costs of Misinformation and Impact on Diversified Shareholders	SH	Yes	Against	Against		Against	For	Yes	Yes	Yes
8		Report on Community Standards Enforcement	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proper disclosure on how the company is managing in						parency and	d			
		Blended Rationale: A vote FOR this proposal is disclosure on how the company is managing is					•	ncy and				
9		Report on User Risk and Advisory Vote on Metaverse Project	SH	Yes	Against	Against		Against	Against	No	No	No
10		Publish Third Party Human Rights Impact Assessment	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this resol help shareholders better assess Meta's manag BACKGROUND INFORMATION Policies: Huma	gement of risks	related to its	-	_	-		d			
		Blended Rationale: A vote FOR this resolution shareholders better assess Meta's manageme INFORMATION Policies: Human Rights Propos	nt of risks relate	-								
11		Report on Child Sexual Exploitation Online	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proper exploitation of children through the company is managing related risks.										
		Blended Rationale: A vote FOR this proposal is exploitation of children through the company is managing related risks.							ny			
12		Commission a Workplace Non-Discrimination Audit	SH	Yes	Against	Against		Against	Against	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## Meta Platforms, Inc.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
13		Report on Lobbying Payments and Policy	SH	Yes	Against	For		For	For	Yes	No	No	
		Voting Policy Rationale: A vote FOR this resolut lobbying practices, policies, and expenditures v		-		-							
		Blended Rationale: A vote FOR this resolution is warranted, as additional reporting on the company's direct and indirect lobbying practices, policies, and expenditures would benefit shareholders in assessing its management of related risks.											
14		Commission Assessment of Audit and Risk Oversight Committee	SH	Yes	Against	Against		Against	Against	No	No	No	
15		Report on Charitable Contributions	SH	Yes	Against	For		For	For	Yes	No	No	
		Voting Policy Rationale: A vote FOR this proposal is warranted because the company provides no information regarding its policies nor the recipients of its charitable contributions.											
Blended Rationale: A vote FOR this proposal is warranted because the company provides no information regarding its policies nor the recipients of its charitable contributions.													

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	000392078	Confirmed	jcoster	jcoster	jcoster		15,700
			05/20/2022	05/20/2022			
					Total Shares:	15,700	15,700

#### **Meeting Results**

Outstanding Shares Voted: 6,430,287,310 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Υ	Pass	No	For	7.3%	4,904,494,626	92.7%	383,611,794	7.3%	0	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	4.4%	5,056,331,589	95.6%	231,774,831	4.4%	0	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	3.1%	5,124,400,680	96.9%	163,705,740	3.1%	0	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	0.9%	5,238,099,605	99.1%	50,006,815	0.9%	0	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	2.7%	5,144,345,108	97.3%	143,761,312	2.7%	0	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	1.1%	5,232,184,350	98.9%	55,922,070	1.1%	0	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	1.4%	5,212,941,405	98.6%	75,165,015	1.4%	0	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	2.0%	5,180,691,337	98.0%	107,415,083	2.0%	0	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	3.2%	5,116,809,278	96.8%	171,297,142	3.2%	0	N/A	Proxy	F+A
2	Υ	Pass	No	For	0.9%	5,480,892,394	99.1%	47,413,306	0.9%	3,834,808	N/A	Proxy	F+A
3	Υ	Pass	No	For	14.4%	4,521,652,771	85.6%	758,249,438	14.4%	8,204,211	N/A	Proxy	F+A
4	Υ	Fail	No	Against	28.1%	1,484,954,936	28.1%	3,797,308,327	71.9%	5,843,157	N/A	Proxy	F+A
5	Υ	Fail	No	Against	16.7%	882,731,624	16.7%	4,400,304,144	83.3%	5,070,652	N/A	Proxy	F+A
6	Υ	Fail	No	Against	19.0%	1,000,344,420	19.0%	4,271,695,462	81.0%	16,066,538	N/A	Proxy	F+A
7	Υ	Fail	No	Against	2.7%	143,673,070	2.7%	5,118,039,014	97.3%	26,394,336	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
8	Υ	Fail	No	Against	19.2%	1,014,551,386	19.2%	4,257,534,514	80.8%	16,020,520	N/A	Proxy	F+A
9	Υ	Fail	No	Against	3.0%	153,778,067	3.0%	5,044,992,196	97.0%	89,336,157	N/A	Proxy	F+A
10	Υ	Fail	No	Against	23.8%	1,252,277,259	23.8%	4,019,162,296	76.2%	16,666,865	N/A	Proxy	F+A
11	Υ	Fail	No	Against	17.3%	910,386,444	17.3%	4,358,740,887	82.7%	18,979,089	N/A	Proxy	F+A
12	Υ	Fail	No	Against	0.3%	16,649,419	0.3%	5,258,669,739	99.7%	12,787,262	N/A	Proxy	F+A
13	Υ	Fail	No	Against	20.6%	1,086,537,695	20.6%	4,189,164,211	79.4%	12,404,514	N/A	Proxy	F+A
14	Υ	Fail	No	Against	10.5%	552,168,187	10.5%	4,723,297,839	89.5%	12,640,394	N/A	Proxy	F+A
15	Υ	Fail	No	Against	9.3%	488,979,142	9.3%	4,785,865,773	90.7%	13,261,505	N/A	Proxy	F+A

Meeting Date: 05/26/2022 **Record Date:** 05/22/2022

3b

3c

Primary Security ID: G0756R109

Country: Ireland Meeting Type: Annual

Primary CUSIP: G0756R109

Ticker: BIRG

Primary ISIN: IE00BD1RP616

Meeting ID: 1622531

Proxy Level: N/A

Primary SEDOL: BD1RP61

Additional Policy:

Earliest Cutoff Date: 05/20/2022 Total Ballots: 1 Voting Policy: ISS Votable Shares: 1,498,999 \*Shares on Loan: 0 Shares Instructed: 1,498,999 Shares Voted: 1,498,999

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
3a		Elect Mark Spain as Director	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: Items 3a-3g and 3i-3k A vote FOR these candidates is warranted as no significant concerns have been identified. Item 3h A vote FOR Patrick Kennedy, the Board Chair, is warranted, although this is not without concern because: \* He has served for eleven years on the Board. The main reason for support is that: \* A detailed and convincing rationale for his continuing tenure has been provided.

Blended Rationale: Items 3a-3g and 3i-3k A vote FOR these candidates is warranted as no significant concerns have been identified. Item 3h A vote FOR Patrick Kennedy, the Board Chair, is warranted, although this is not without concern because: \* He has served for eleven years on the Board. The main reason for support is that: \* A detailed and convincing rationale for his continuing tenure has been provided.

Re-elect Giles Andrews as Director

Mgmt

For

For

Nο

No

No

Voting Policy Rationale: Items 3a-3g and 3i-3k A vote FOR these candidates is warranted as no significant concerns have been identified. Item 3h A vote FOR Patrick Kennedy, the Board Chair, is warranted, although this is not without concern because: \* He has served for eleven years on the Board. The main reason for support is that: \* A detailed and convincing rationale for his continuing tenure has been provided.

Blended Rationale: Items 3a-3g and 3i-3k A vote FOR these candidates is warranted as no significant concerns have been identified. Item 3h A vote FOR Patrick Kennedy, the Board Chair, is warranted, although this is not without concern because: \* He has served for eleven years on the Board. The main reason for support is that: \* A detailed and convincing rationale for his continuing tenure has been provided.

Re-elect Evelyn Bourke as Director

Mgmt

Yes

For

No

No

No

Voting Policy Rationale: Items 3a-3g and 3i-3k A vote FOR these candidates is warranted as no significant concerns have been identified. Item 3h A vote FOR Patrick Kennedy, the Board Chair, is warranted, although this is not without concern because: \* He has served for eleven years on the Board. The main reason for support is that: \* A detailed and convincing rationale for his continuing tenure has been provided.

Blended Rationale: Items 3a-3g and 3i-3k A vote FOR these candidates is warranted as no significant concerns have been identified. Item 3h A vote FOR Patrick Kennedy, the Board Chair, is warranted, although this is not without concern because: \* He has served for eleven years on the Board. The main reason for support is that: \* A detailed and convincing rationale for his continuing tenure has been provided.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3d		Re-elect Ian Buchanan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. It continuing tenure has been provided.	, the Board C	hair, is warra	anted, altho	ugh this is no	nt without conc	ern because	: *			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Ci	hair, is warra	nted, altho	ugh this is no	nt without conc	ern because				
3e		Re-elect Eileen Fitzpatrick as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Ci	hair, is warra	anted, altho	ugh this is no	ot without conc	ern because	: *			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Ci	hair, is warra	nted, altho	ugh this is no	nt without conc	ern because				
3f		Re-elect Richard Goulding as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Ci	hair, is warra	nted, altho	ugh this is no	nt without conc	ern because	**			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board C	hair, is warra	anted, altho	ugh this is no	nt without conc	ern because				
3g		Re-elect Michele Greene as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Ci	hair, is warra	nted, altho	ugh this is no	nt without conc	ern because	**			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Ci	hair, is warra	nted, altho	ugh this is no	nt without conc	ern because				
3h		Re-elect Patrick Kennedy as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Ci	hair, is warra	nted, altho	ugh this is no	nt without conc	ern because	**			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Ci	hair, is warra	anted, altho	ugh this is no	ot without conc	ern because				
3i		Re-elect Francesca McDonagh as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. It continuing tenure has been provided.	, the Board Ci	hair, is warra	anted, altho	ugh this is no	ot without conc	ern because	: *		-	
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Ci	hair, is warra	nted, altho	ugh this is no	nt without conc	ern because				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3j		Re-elect Fiona Muldoon as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3identified. Item 3h A vote FOR Patrick Kenne He has served for eleven years on the Board continuing tenure has been provided.	dy, the Board C	hair, is warra	anted, altho	ugh this is no	nt without cond	ern because	e: *			
		Blended Rationale: Items 3a-3g and 3i-3k A identified. Item 3h A vote FOR Patrick Kenne He has served for eleven years on the Board continuing tenure has been provided.	dy, the Board C	hair, is warra	anted, altho	ugh this is no	ot without cond	ern because				
3k		Re-elect Steve Pateman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3identified. Item 3h A vote FOR Patrick Kenne He has served for eleven years on the Board continuing tenure has been provided.	dy, the Board C	hair, is warra	anted, altho	ugh this is no	nt without cond	ern because	e: *			
		Blended Rationale: Items 3a-3g and 3i-3k A identified. Item 3h A vote FOR Patrick Kenne He has served for eleven years on the Board continuing tenure has been provided.	dy, the Board C	hair, is warra	anted, altho	ugh this is no	ot without cond	ern because				
4		Ratify KPMG as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
5		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
6		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No
7		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
8		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reservenemented limits.	solutions is warr	anted becau	se the prop	osed amount	s and duration	s are within				
		Blended Rationale: A vote FOR these resolutive recommended limits.	ions is warrante	d because th	e proposed	amounts and	d durations are	within				
10		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reserved recommended limits.	solutions is warr	anted becau	se the prop	osed amounts	s and duration	s are within				
		Blended Rationale: A vote FOR these resoluti recommended limits.	ions is warrante	d because th	e proposed	amounts and	d durations are	within				
11		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reservenemented limits.	solutions is warr	anted becau	se the prop	osed amount	s and duration	s are within				
		Blended Rationale: A vote FOR these resolutive recommended limits.	ions is warrante	d because th	e proposed	amounts and	d durations are	within			-	

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12		Authorise Issue of Equity in Relation to Additional Tier 1 Contingent Equity Conversion Notes	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: vote FOR these resort Company were to issue Additional Tier 1 Conto convert into ordinary shares, this would resupport are: * Such authorities are commonted the theorem of the conversion into ordinary shares would weakness well beyond the Company's currented the curr	tingent Equity C sult in significan proposals at bai require the CET	Conversion Not dilution to Thick this and the Notes and This and This and This and This and This are the total	otes and a non-partici intended to Il to a level	trigger event pating shareh apply in extro which would	were to occur, olders. The ma eme circumsta	causing the ain reasons nces only; a	em for			
		Blended Rationale: vote FOR these resolution Company were to issue Additional Tier 1 Con to convert into ordinary shares, this would re support are: * Such authorities are common * The conversion into ordinary shares would weakness well beyond the Company's curren	tingent Equity C sult in significan proposals at bai require the CET	Conversion Not dilution to Tale and are of the contract of the	otes and a non-partici intended to Il to a level	trigger event pating shareh apply in extro which would	were to occur, olders. The ma eme circumsta	causing the ain reasons nces only; a	for		-	
13		Authorise Issue of Equity without Pre-emptive Rights in Relation to Additional Tier 1 Contingent Equity Conversion Notes	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: vote FOR these resor Company were to issue Additional Tier 1 Con										

Voting Policy Rationale: vote FOR these resolutions is warranted, although this is not without concern for shareholders: \* If the Company were to issue Additional Tier 1 Contingent Equity Conversion Notes and a trigger event were to occur, causing them to convert into ordinary shares, this would result in significant dilution to non-participating shareholders. The main reasons for support are: \* Such authorities are common proposals at banks and are intended to apply in extreme circumstances only; and \* The conversion into ordinary shares would require the CET1 ratio to fall to a level which would denote significant capital weakness well beyond the Company's current position and minimum regulatory requirements.

Blended Rationale: vote FOR these resolutions is warranted, although this is not without concern for shareholders: \* If the Company were to issue Additional Tier 1 Contingent Equity Conversion Notes and a trigger event were to occur, causing them to convert into ordinary shares, this would result in significant dilution to non-participating shareholders. The main reasons for support are: \* Such authorities are common proposals at banks and are intended to apply in extreme circumstances only; and \* The conversion into ordinary shares would require the CET1 ratio to fall to a level which would denote significant capital weakness well beyond the Company's current position and minimum regulatory requirements.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		1,498,999	1,498,999
			05/18/2022	05/18/2022			
					Total Shares:	1,498,999	1,498,999

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	741,540,596	100.0%	12,276	0.0%	656,292	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	742,157,796	100.0%	11,663	0.0%	40,272	N/A	Poll	F+A
3a	Υ	Pass	No	For	1.7%	729,493,678	98.3%	12,660,618	1.7%	54,721	N/A	Poll	F+A
3b	Υ	Pass	No	For	0.6%	737,777,644	99.4%	4,363,830	0.6%	67,543	N/A	Poll	F+A
3c	Υ	Pass	No	For	6.2%	696,409,662	93.8%	45,735,674	6.2%	63,681	N/A	Poll	F+A
3d	Υ	Pass	No	For	0.6%	737,773,818	99.4%	4,363,097	0.6%	72,676	N/A	Poll	F+A
3e	Y	Pass	No	For	1.4%	731,825,371	98.6%	10,321,904	1.4%	62,316	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
3f	Y	Pass	No	For	1.4%	731,803,328	98.6%	10,341,997	1.4%	64,266	N/A	Poll	F+A
3g	Υ	Pass	No	For	0.7%	736,670,813	99.3%	5,467,695	0.7%	70,936	N/A	Poll	F+A
3h	Υ	Pass	No	For	4.2%	710,615,350	95.8%	31,034,165	4.2%	559,068	N/A	Poll	F+A
3i	Υ	Pass	No	For	0.7%	736,640,687	99.3%	5,465,658	0.7%	103,078	N/A	Poll	F+A
3j	Υ	Pass	No	For	1.4%	731,805,440	98.6%	10,341,553	1.4%	62,513	N/A	Poll	F+A
3k	Υ	Pass	No	For	0.6%	737,781,704	99.4%	4,355,350	0.6%	72,537	N/A	Poll	F+A
4	Υ	Pass	No	For	0.1%	735,618,860	99.9%	506,203	0.1%	6,094,085	N/A	Poll	F+A
5	Υ	Pass	No	For	0.0%	741,762,019	100.0%	366,680	0.0%	91,290	N/A	Poll	F+A
6	Υ	Pass	No	For	6.1%	696,417,990	93.9%	45,307,922	6.1%	493,917	N/A	Poll	F+A
7	Υ	Pass	No	For	0.2%	740,924,738	99.8%	1,239,564	0.2%	55,687	N/A	Poll	F+A
8	Υ	Pass	No	For	0.8%	735,676,986	99.2%	6,089,039	0.8%	453,751	N/A	Poll	F+A
9	Υ	Pass	No	For	5.1%	704,425,965	94.9%	37,787,904	5.1%	6,120	N/A	Poll	F+A
10	Υ	Pass	No	For	0.0%	742,068,401	100.0%	127,678	0.0%	23,910	N/A	Poll	F+A
11	Υ	Pass	No	For	7.3%	687,637,313	92.7%	54,548,887	7.3%	33,789	N/A	Poll	F+A
12	Υ	Pass	No	For	0.1%	741,465,782	99.9%	706,204	0.1%	48,003	N/A	Poll	F+A
13	Υ	Pass	No	For	0.8%	736,024,084	99.2%	6,149,746	0.8%	46,159	N/A	Poll	F+A

## Victoria's Secret & Co.

Meeting Date: 05/27/2022 **Record Date:** 03/31/2022

**Primary Security ID:** 926400102

Earliest Cutoff Date: 05/26/2022

Votable Shares: 111,800

Country: USA

Meeting Type: Annual

**Primary CUSIP:** 926400102

**Total Ballots:** 1 \*Shares on Loan: 0 Ticker: VSCO

Voting Policy: ISS

**Meeting ID:** 1637575

Shares Instructed: 111,800

**Primary ISIN:** US9264001028

Proxy Level: 3

Primary SEDOL: BNNTGH3

**Additional Policy:** Shares Voted: 111,800

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1.1		Elect Director Irene Chang Britt Mgmt Yes For For For For No											
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.									
		Blended Rationale: A vote FOR the director	nominees is war	ranted.									
1.2		Elect Director Sarah Davis	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director nominees is warranted.											
		Blended Rationale: A vote FOR the director nominees is warranted.											
1.3		Elect Director Jacqueline Hernandez	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director nominees is warranted.											
		Blended Rationale: A vote FOR the director	nominees is war	ranted.									
1.4		Elect Director Donna James	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director nominees is warranted.											
		Blended Rationale: A vote FOR the director	nominees is war	ranted.							-		

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## Victoria's Secret & Co.

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.5		Elect Director Mariam Naficy		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR	the director noi	minees is warra	anted.								
1.6		Elect Director Lauren Peters		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nominees is warranted.											
1.7		Mgmt	Yes	For	For		For	For	No	No	No		
	Voting Policy Rationale: A vote FOR the director nominees is warranted.												
		Blended Rationale: A vote FOR the director nominees is warranted.											
1.8		Elect Director Martin Waters	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR	the director noi	minees is warra	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensat		Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote on Say on Pay Frequency		Mgmt	Yes	One Year	One Year		One Year	One Year	No	No	No
4		Ratify Ernst & Young LLP as A	uditors	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Instructed		Approved		Ballot Voting	Status	Votable Shares		Shares	Voted	
RTRF, RTRF		000392078	Confirmed	jbisset		jbisset				111,80	00	111,	800
				05/18/202	2				_				

### **Meeting Results**

Outstanding Shares Voted: 82,827,486

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	0.3%	71,265,358	99.7%	232,248	0.3%	60,019	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	1.0%	70,817,512	99.0%	680,141	1.0%	59,972	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.0%	70,800,310	99.0%	697,045	1.0%	60,270	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	1.1%	70,720,515	98.9%	789,499	1.1%	47,611	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	0.1%	71,452,047	99.9%	56,550	0.1%	49,028	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	0.1%	71,400,931	99.9%	94,318	0.1%	62,376	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	1.2%	68,263,846	98.8%	862,591	1.2%	2,431,188	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	0.2%	71,395,670	99.8%	112,062	0.2%	49,893	N/A	Proxy	F+A
2	Υ	Pass	No	For	0.9%	70,854,622	99.1%	626,224	0.9%	76,779	N/A	Proxy	F+A

Total Shares:

111,800

111,800

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposa	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Ag	ainst	% Against	Abstain	% Abstain	Result Type	Base
4	Υ	Pass	No	For	0.1%	75,475,689	99.9%	56	5,631	0.1%	27,421	N/A	Proxy	F+A
Item #	VTG Prop Sum	Dissid mary Pro <sub>l</sub>		Dissent Level	1 YR	% 1 YR	2 YR	% 2 YR	3 YR	% 3 YR	Abstain	% Abstain	Result Type	Base
3	Y P	ss No	One Year	N/A	71055117	99.3%	24769	0.0%	415019	0.6%	62721	0.1%	Proxv	F+A

Proxy Level: 3

## **Alphabet Inc.**

Meeting Date: 06/01/2022 Country: USA Ticker: GOOGL

 Record Date: 04/05/2022
 Meeting Type: Annual
 Meeting ID: 1641383

 Primary Security ID: 02079K305
 Primary CUSIP: 02079K305
 Primary ISIN: US02079K3059
 Primary SEDOL: BYVY860

Earliest Cutoff Date: 05/31/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy:

Votable Shares: 1,301 \*Shares on Loan: 0 Shares Instructed: 1,301 Shares Voted: 1,301

Votable S	hares: 1,301	*Shares on Loan: 0			Shares In	structed: 1,3	01		Shares Voted	<b>l:</b> 1,301			
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1a		Elect Director Larry Page	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.									
		Blended Rationale: A vote FOR the director i	nominees is war	ranted.									
1b		Elect Director Sergey Brin Mgmt Yes For For For For I									No	No	
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.									
		Blended Rationale: A vote FOR the director	nominees is war	ranted.							-		
1c		Elect Director Sundar Pichai	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director nominees is warranted.											
		Blended Rationale: A vote FOR the director	nominees is wan	ranted.									
1d		Elect Director John L. Hennessy	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.									
		Blended Rationale: A vote FOR the director nominees is warranted.											
1e		Elect Director Frances H. Arnold	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director nominees is warranted.											
		Blended Rationale: A vote FOR the director i	nominees is war	ranted.									
1f		Elect Director L. John Doerr	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director nominees is warranted.											
		Blended Rationale: A vote FOR the director	nominees is war	ranted.							-		
1g		Elect Director Roger W. Ferguson, Jr.	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.									
		Blended Rationale: A vote FOR the director i	nominees is war	ranted.									
1h		Elect Director Ann Mather	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the direct	ctor nominees is	warranted.									
		Blended Rationale: A vote FOR the director	nominees is wan	ranted.									

# **Alphabet Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1i		Elect Director K. Ram Shriram	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.									
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.									
1j		Elect Director Robin L. Washington	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.									
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.									
2		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No	
3		Amend Omnibus Stock Plan	Mgmt	Yes	For	Against		Against	Against	Yes	No	No	
		·											
4		Increase Authorized Common Stock	Mgmt	Yes	For	For		For	For	No	No	No	
5		Report on Lobbying Payments and Policy	SH	Yes	Against	For		For	For	Yes	No	No	
		Voting Policy Rationale: A vote FOR this resolution practices and policies, such as its trade associal management of related risks.  Blended Rationale: A vote FOR this resolution in the such as the	ition membersi is warranted, a	hips and pay as additional	reporting or	Id benefit sha	areholders in a	elated practi					
		and policies, such as its trade association mem management of related risks.	ibersnips and p	oayments, w	ouia benent	snarenoiders	s in assessing	ITS					
6		Report on Climate Lobbying	SH	Yes	Against	For		For	For	Yes	No	No	
		Voting Policy Rationale: A vote FOR this propose review of how the company's and its trade assecompany caused by climate change and the company caused by climate change and caused by climate change chan	ociations' lobb,	ying position	•		•						
		Blended Rationale: A vote FOR this proposal is of how the company's and its trade association caused by climate change and the company's p	s' lobbying po	sitions align			,						
7		Report on Physical Risks of Climate Change	SH	Yes	Against	For		For	For	Yes	No	No	
		Voting Policy Rationale: A vote FOR this propose regarding how the company is assessing and n				benefit from	increased disc	losure					
		Blended Rationale: A vote FOR this proposal is how the company is assessing and managing of			would benei	fit from incre	ased disclosur	e regarding					
8		Report on Metrics and Efforts to Reduce Water Related Risk	SH	Yes	Against	For		For	For	Yes	No	No	
		Voting Policy Rationale: A vote FOR this proporegarding how the company is managing climates.			ders would L	benefit from	increased disc	losure					
		Blended Rationale: A vote FOR this proposal is how the company is managing climate-related		hareholders	would benef	fit from incre	ased disclosur	e regarding			-		

# Alphabet Inc.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Oversee and Report a Third-Party Racial Equity Audit	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this resolute better assess the effectiveness of Alphabet's earliested risks.			-							
		Blended Rationale: A vote FOR this resolution better assess the effectiveness of Alphabet's e of related risks.		-			-		ent			
10		Report on Risks Associated with Use of Concealment Clauses	SH	Yes	Against	Against		Against	Against	No	No	No
11		Approve Recapitalization Plan for all Stock to Have One-vote per Share	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propo preference for a capital structure in which the			-			reholders'				
		Blended Rationale: A vote FOR this proposal is preference for a capital structure in which the			•			ders'				
12		Report on Government Takedown Requests	SH	Yes	Against	Against		Against	Against	No	No	No
13		Report on Risks of Doing Business in Countries with Significant Human Rights Concerns	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proporegarding how the company is managing hum					increased disci	osure				
		Blended Rationale: A vote FOR this proposal is how the company is managing human rights-r				fit from incre	ased disclosure	e regarding				
14		Report on Managing Risks Related to Data Collection, Privacy and Security	SH	Yes	Against	Against		Against	Against	No	No	No
15		Disclose More Quantitative and Qualitative Information on Algorithmic Systems	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proposition and increased reporting would assist				-		_	mic			
		Blended Rationale: A vote FOR this proposal is systems and increased reporting would assist	,	,	,	,		_				
16		Commission Third Party Assessment of Company's Management of Misinformation and Disinformation Across Platforms	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propostareholders better evaluate the company's misinformation.			-	_		-			_	
		Blended Rationale: A vote FOR this proposal is shareholders better evaluate the company's misinformation.			-	_		-				
17		Report on External Costs of Misinformation and Impact on Diversified Shareholders	SH	Yes	Against	Against		Against	Against	No	No	No
18		Report on Steps to Improve Racial and Gender Board Diversity	SH	Yes	Against	Against		Against	Against	No	No	No
19		Establish an Environmental Sustainability Board Committee	SH	Yes	Against	Against		Against	Against	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Alphabet Inc.**

	oposal ımber	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2	20		Adopt a Policy to Include Non-Management Employees as Prospective Director Candidates	SH	Yes	Against	Against		Against	Against	No	No	No
2	21		Report on Policies Regarding Military and Militarized Policing Agencies	SH	Yes	Against	Against		Against	Against	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	000392078	Confirmed	Auto-Instructed	Auto-Approved		1,301	1,301
			05/18/2022	05/18/2022			
					Total Shares:	1,301	1,301

### **Meeting Results**

Outstanding Shares Voted: 744,803,196 Results Available: Full

			,										
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	1.8%	592,852,727	98.2%	10,483,152	1.7%	444,070	0.1%	Proxy	F+A+AB
1b	Υ	Pass	No	For	1.8%	592,848,088	98.2%	10,485,256	1.7%	446,605	0.1%	Proxy	F+A+AB
1c	Υ	Pass	No	For	1.3%	595,666,963	98.7%	7,658,201	1.3%	454,785	0.1%	Proxy	F+A+AB
1d	Y	Pass	No	For	8.3%	553,426,687	91.7%	49,316,543	8.2%	1,036,719	0.2%	Proxy	F+A+AB
1e	Υ	Pass	No	For	2.3%	589,868,660	97.7%	12,865,280	2.1%	1,046,009	0.2%	Proxy	F+A+AB
1f	Υ	Pass	No	For	5.5%	570,481,269	94.5%	32,801,993	5.4%	496,687	0.1%	Proxy	F+A+AB
1g	Υ	Pass	No	For	0.9%	598,326,295	99.1%	4,942,318	0.8%	511,336	0.1%	Proxy	F+A+AB
1h	Υ	Pass	No	For	10.9%	537,742,671	89.1%	65,444,274	10.8%	593,004	0.1%	Proxy	F+A+AB
1i	Υ	Pass	No	For	3.4%	583,326,313	96.6%	19,954,131	3.3%	499,505	0.1%	Proxy	F+A+AB
1j	Υ	Pass	No	For	2.2%	590,506,893	97.8%	12,772,617	2.1%	500,439	0.1%	Proxy	F+A+AB
2	Y	Pass	No	For	2.6%	617,716,269	97.4%	15,840,842	2.5%	573,282	0.1%	Proxy	F+A+AB
3	Υ	Pass	No	For	16.1%	506,345,892	83.9%	96,866,593	16.0%	567,464	0.1%	Proxy	F+A+AB
4	Y	Pass	No	For	3.3%	609,336,661	81.8%	24,202,969	3.2%	590,763	0.1%	Proxy	Capital Represented
5	Y	Fail	No	Against	19.1%	114,337,748	18.9%	488,159,890	80.9%	1,282,311	0.2%	Proxy	F+A+AB
6	Υ	Fail	No	Against	20.1%	113,506,023	18.8%	482,661,112	79.9%	7,612,814	1.3%	Proxy	F+A+AB
7	Υ	Fail	No	Against	18.1%	107,101,112	17.7%	494,683,281	81.9%	1,995,556	0.3%	Proxy	F+A+AB
8	Υ	Fail	No	Against	22.9%	136,092,909	22.5%	465,662,037	77.1%	2,025,003	0.3%	Proxy	F+A+AB
9	Υ	Fail	No	Against	22.6%	134,699,006	22.3%	466,991,436	77.3%	2,089,507	0.3%	Proxy	F+A+AB
10	Υ	Fail	No	Against	12.4%	72,130,367	11.9%	528,736,242	87.6%	2,913,340	0.5%	Proxy	F+A+AB
11	Υ	Fail	No	Against	33.3%	200,212,885	33.2%	402,897,885	66.7%	669,179	0.1%	Proxy	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
12	Y	Fail	No	Against	1.0%	2,422,967	0.4%	597,589,694	99.0%	3,767,288	0.6%	Proxy	F+A+AB
13	Υ	Fail	No	Against	17.3%	102,603,593	17.0%	498,995,355	82.6%	2,181,001	0.4%	Proxy	F+A+AB
14	Υ	Fail	No	Against	12.5%	73,742,058	12.2%	528,275,894	87.5%	1,761,997	0.3%	Proxy	F+A+AB
15	Υ	Fail	No	Against	19.9%	117,998,457	19.5%	483,701,300	80.1%	2,080,192	0.3%	Proxy	F+A+AB
16	Υ	Fail	No	Against	23.4%	138,860,290	23.0%	462,429,154	76.6%	2,490,505	0.4%	Proxy	F+A+AB
17	Υ	Fail	No	Against	4.2%	21,171,063	3.5%	578,562,198	95.8%	4,046,688	0.7%	Proxy	F+A+AB
18	Υ	Fail	No	Against	5.6%	31,672,686	5.2%	569,647,268	94.3%	2,459,995	0.4%	Proxy	F+A+AB
19	Υ	Fail	No	Against	5.2%	28,643,318	4.7%	572,059,570	94.7%	3,077,061	0.5%	Proxy	F+A+AB
20	Υ	Fail	No	Against	2.7%	15,377,634	2.5%	587,364,843	97.3%	1,037,472	0.2%	Proxy	F+A+AB
21	Υ	Fail	No	Against	9.8%	55,301,799	9.2%	544,653,039	90.2%	3,825,111	0.6%	Proxy	F+A+AB

### **GoDaddy Inc.**

Meeting Date: 06/01/2022 **Record Date:** 04/06/2022

Primary Security ID: 380237107

Earliest Cutoff Date: 05/31/2022 Votable Shares: 122,568

Country: USA

Meeting Type: Annual

**Primary CUSIP:** 380237107

**Total Ballots:** 1 \*Shares on Loan: 0 Ticker: GDDY

Meeting ID: 1641401

Shares Instructed: 122,568

**Primary ISIN:** US3802371076

Voting Policy: ISS

Proxy Level: 5

Primary SEDOL: BWFRFC6

**Additional Policy:** Shares Voted: 122,568

Additional Voting Vote Vote Vote Proposal Significant Votable Mgmt Policy Policy Vote Against Against Against Number Vote **Proposal Text Proponent** Proposal Rec **ISS Rec** Rec Rec Instruction Mgmt Policy ISS 1a Elect Director Amanpal (Aman) Mgmt Yes For For For For No No No Bhutani Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1b Elect Director Caroline Donahue Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. Elect Director Charles Robel For For For No No Mamt For No 1c Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 2 Advisory Vote to Ratify Named Mgmt Yes For For For For No No No Executive Officers' Compensation Advisory Vote on Say on Pay One Year One Year One One Year No Mgmt Yes No No Frequency Year Ratify Ernst & Young LLP as Auditors Mgmt Yes For For No No No Declassify the Board of Directors Mgmt Yes For For For For No No No Eliminate Certain Supermajority Voting Mgmt Yes For For For For No No No Requirements Amend Certificate of Incorporation to No No Mgmt Yes For For For For No Eliminate Certain Business Combination Restrictions

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **GoDaddy Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	vote Against Mgmt	vote Against Policy	Vote Against ISS
8		Amend Certificate of Incorporation to Eliminate Inoperative Provisions and	Mgmt	Yes	For	For		For	For	No	No	No

Implement Certain Other Miscellaneous Amendments

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	000392078	Confirmed	jbisset	jbisset		122,568	122,568

05/19/2022 05/19/2022

> **Total Shares:** 122,568 122,568

### **Meeting Results**

Outstanding Shares Voted: 162,053,285 Results Available: Full

Item #	Votir Propo	_	ummary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Aga	ainst	% Against	Abstain	% Abstain	Result Type	Base
1a	Y		Pass	No	For	0.6%	140,888,793	99.4%	831	1,042	0.6%	17,690	N/A	Proxy	F+A
1b	Υ		Pass	No	For	1.7%	139,226,683	98.3%	2,47	79,754	1.7%	31,088	N/A	Proxy	F+A
1c	Υ		Pass	No	For	1.7%	134,746,644	98.3%	2,29	92,579	1.7%	4,698,302	N/A	Proxy	F+A
2	Υ		Pass	No	For	16.9%	117,784,337	83.1%	23,9	20,585	16.9%	32,603	0.0%	Proxy	F+A+AB
4	Υ		Pass	No	For	0.8%	147,472,602	99.2%	1,14	18,738	0.8%	10,260	0.0%	Proxy	F+A+AB
5	Υ		Pass	No	For	0.1%	141,585,231	99.9%	138	3,949	0.1%	13,345	0.0%	Proxy	F+A+AB
6	Υ		Pass	No	For	0.1%	141,549,650	99.9%	166	5,805	0.1%	21,070	0.0%	Proxy	F+A+AB
7	Υ		Pass	No	For	12.2%	124,399,755	87.8%	17,3	17,537	12.2%	20,233	0.0%	Proxy	F+A+AB
8	Υ		Pass	No	For	0.0%	141,689,185	100.0%	25	,041	0.0%	23,299	0.0%	Proxy	F+A+AB
	VTG Prop Su	ımmary	Dissident Prop	Mgmt Rec	Dissent Level	1 YR	% 1 YR	2 YR	% 2 YR	3 YR	% 3 YR	Abstain	% Abstain	Result Type	Base
3	Υ	Pass	No	One Year	N/A	140799074	99.3%	10583	0.0%	911330	0.6%	16538	0.0%	Proxy	F+A

### **Prosegur Cash SA**

**Record Date:** 05/27/2022

Ticker: CASH Meeting Date: 06/01/2022 Country: Spain Proxy Level: N/A Meeting ID: 1610500

Primary CUSIP: E8S56X108 **Primary ISIN:** ES0105229001 **Primary Security ID:** E8S56X108 Primary SEDOL: BDH3Q71

Earliest Cutoff Date: 05/26/2022 **Total Ballots:** 1 Voting Policy: ISS **Additional Policy:** Votable Shares: 3,731,042 \*Shares on Loan: 0 Shares Instructed: 3,731,042 **Shares Voted:** 3,731,042

Additional Voting Vote Vote Vote Significant Votable Policy Against Against Proposal Mgmt Policy Vote Against ISS Rec Number Proposal Text **Proponent** Proposal Rec Rec Instruction Mgmt Policy ISS Vote Rec Approve Consolidated and Standalone 1 Mgmt Yes For For For For No No No Financial Statements 2 Approve Non-Financial Information For Mgmt Yes For For For No No No Statement

Meeting Type: Annual

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Prosegur Cash SA**

Proposal Signi Number Vote	ificant	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Approve Allocation of Income Dividends	and	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Discharge of Board		Mgmt	Yes	For	For		For	For	No	No	No
5		Reelect Christian Gut Revored Director	lo as	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote Item 7 is warranted, as the co controlled companies. A vote	mpany complies	with the one-t	third indepen	ndence guide	eline applicab	ole to Spain-inc					
		Blended Rationale: A vote FOI warranted, as the company cocompanies. A vote FOR Item of	omplies with the o	one-third indep	pendence gu	ideline appli	cable to Spai						
6		Reelect Jose Antonio Lasanta Director	Luri as	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote Item 7 is warranted, as the co controlled companies. A vote	mpany complies	with the one-t	third indepen	ndence guide	eline applicab	ole to Spain-inc					
		Blended Rationale: A vote FOR warranted, as the company cocompanies. A vote FOR Item 6	omplies with the o	one-third indep	pendence gu	ideline appli	cable to Spai						
7		Reelect Antonio Rubio Merino Director	as	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A voto Item 7 is warranted, as the co controlled companies. A vote	mpany complies	with the one-t	third indepen	ndence guide	eline applicab	ole to Spain-inc				-	
		Blended Rationale: A vote FOI warranted, as the company cocompanies. A vote FOR Item of	omplies with the o	one-third indep	pendence gu	ideline appli	cable to Spai			7 is		-	
8		Advisory Vote on Remuneration	on Report	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
9		Approve Remuneration Policy		Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote does not set clear guidelines of joined the company. * Inform Optimum LTI awards vest afte	on potential salar ation on the STI	y increase and	the board h	as significan	ntly increased	the CEO's sale	ary since he			-	
		Blended Rationale: A vote AG set clear guidelines on potenti company. * Information on th LTI awards vest after three ye	ial salary increase e STI metrics ren	and the board	d has signific	cantly increa	sed the CEO	's salary since	he joined th	e			
10		Approve Reduction in Share C Amortization of Treasury Sha	•	Mgmt	Yes	For	For		For	For	No	No	No
11		Approve Merger by Absorptio Prosegur Global CIT SLU	n of	Mgmt	Yes	For	For		For	For	No	No	No
12		Authorize Board to Ratify and Approved Resolutions	Execute	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details													
Institutional Account		Custodian Account Number	Ballot Status	Instructed	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
RTRF, RTRF		392078	Confirmed	jbisset		jbisset				3,731,0	)42	3,731	1,042
				05/26/2022	2	05/26/20	22						
									Total Shares	: 3,731,	042	3,731	,042

### **Meeting Results**

Outstanding Shares Voted: 1,522,946,683

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	1,344,171,116	100.0%	0	0.0%	253,938	0.0%	Poll	F+A+AB
2	Y	Pass	No	For	0.0%	1,344,425,054	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
3	Y	Pass	No	For	0.0%	1,344,425,054	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
4	Υ	Pass	No	For	0.4%	1,339,144,329	99.6%	4,988,523	0.4%	292,202	0.0%	Poll	F+A+AB
5	Υ	Pass	No	For	2.0%	1,317,619,258	98.0%	26,805,796	2.0%	0	0.0%	Poll	F+A+AB
6	Υ	Pass	No	For	1.8%	1,320,632,169	98.2%	23,792,885	1.8%	0	0.0%	Poll	F+A+AB
7	Υ	Pass	No	For	2.0%	1,317,446,549	98.0%	26,978,505	2.0%	0	0.0%	Poll	F+A+AB
8	Υ	Pass	No	For	5.7%	1,268,342,779	94.3%	76,082,275	5.7%	0	0.0%	Poll	F+A+AB
9	Υ	Pass	No	For	6.7%	1,254,002,033	93.3%	90,423,021	6.7%	0	0.0%	Poll	F+A+AB
10	Υ	Pass	No	For	0.0%	1,344,425,054	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
11	Υ	Pass	No	For	0.0%	1,344,366,454	100.0%	0	0.0%	58,600	0.0%	Poll	F+A+AB
12	Υ	Pass	No	For	0.0%	1,344,425,054	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB

## **Chesapeake Energy Corporation**

Meeting Date: 06/09/2022 **Record Date:** 04/11/2022

Earliest Cutoff Date: 06/08/2022

**Primary Security ID:** 165167735

Country: USA Meeting Type: Annual

**Total Ballots:** 1

**Primary CUSIP:** 165167735

Ticker: CHK Meeting ID: 1646883 **Primary ISIN:** US1651677353

Voting Policy: ISS

Primary SEDOL: BMZ5LZ5 **Additional Policy:** 

Proxy Level: 3

Votable Sh	nares: 400,000	*Shares on Loan: 0			Shares In	structed: 400	,000		Shares Voted	: 400,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Domenic J. Dell'Osso, Jr.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1b		Elect Director Timothy S. Duncan	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR the director nominees is warranted.											
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1c		Elect Director Benjamin C. Duster, IV	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director nominees is warranted.										
		Blended Rationale: A vote FOR the director nominees is warranted.										
1d		Elect Director Sarah A. Emerson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director nominees is warranted.										

Blended Rationale: A vote FOR the director nominees is warranted.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Chesapeake Energy Corporation**

Proposal Sig Number Vot	jnificant te	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1e		Elect Director Matthew M. Ga	llagher	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	e FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FO	R the director no	minees is warr	anted.								
1f		Elect Director Brian Steck		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vot	e FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FO	R the director no	ominees is warr	anted.								
1g		Elect Director Michael A. Wich	nterich	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	e FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FO	R the director no	ominees is warr	anted.								
2		Advisory Vote to Ratify Name Executive Officers' Compensa		Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify PricewaterhouseCoope Auditor	rs LLP as	Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>													
Institutional Acco		Custodian Account Number	Ballot Status	Instructe	d	Approv	red	Ballot Voting	Status	Votable S	hares	Shares	Voted
RTRF, RTRF		000392078	Confirmed	jbisset		jbisset				400,0	00	400,	.000
				05/31/202	2	05/31/2	022						

400,000

400,000

### **Meeting Results**

Outstanding Shares Voted: 127,185,697 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	0.2%	103,135,437	99.8%	207,525	0.2%	1,969,395	N/A	Proxy	F+A
1b	Υ	Pass	No	For	0.2%	103,089,039	99.8%	254,107	0.2%	1,969,211	N/A	Proxy	F+A
1c	Y	Pass	No	For	0.3%	103,023,987	99.7%	319,452	0.3%	1,968,918	N/A	Proxy	F+A
1d	Υ	Pass	No	For	2.8%	100,426,396	97.2%	2,916,772	2.8%	1,969,189	N/A	Proxy	F+A
1e	Υ	Pass	No	For	4.5%	98,164,971	95.5%	4,598,518	4.5%	2,548,868	N/A	Proxy	F+A
1f	Y	Pass	No	For	0.1%	103,218,663	99.9%	124,375	0.1%	1,969,319	N/A	Proxy	F+A
1g	Y	Pass	No	For	0.3%	103,041,006	99.7%	301,314	0.3%	1,970,037	N/A	Proxy	F+A
2	Y	Pass	No	For	0.7%	101,508,570	99.3%	704,537	0.7%	3,099,250	N/A	Proxy	F+A
3	Υ	Pass	No	For	1.7%	105,419,382	98.3%	1,864,357	1.7%	2,253,205	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Jackson Financial Inc.**

**Meeting Date:** 06/09/2022 **Record Date:** 04/14/2022

2022 **C**c

Country: USA

Meeting Type: Annual

Primary CUSIP: 46817M107

Ticker: JXN

Meeting ID: 1641939

Primary ISIN: US46817M1071

Proxy Level: 3

Primary SEDOL: BMFX6P4

Earliest Cutoff Date: 06/08/2022

Votable Shares: 155,133

Primary Security ID: 46817M107

Total Ballots: 1

Voting Policy: ISS

Additional Policy:

\*Shares on Loan: 0

Shares Instructed: 155,133

Shares	Voted:	155,133
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Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Lily Fu Claffee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1.2		Elect Director Gregory T. Durant	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1.3		Elect Director Steven A. Kandarian	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1.4		Elect Director Derek G. Kirkland	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1.5		Elect Director Drew E. Lawton	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1.6		Elect Director Martin J. Lippert	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1.7		Elect Director Russell G. Noles	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	ninees is warra	anted.								
1.8		Elect Director Laura L. Prieskorn	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	ninees is warra	anted.								
1.9		Elect Director Esta E. Stecher	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	ninees is warra	anted.								
2		Ratify KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote on Say on Pay Frequency	Mgmt	Yes	One Year	One Year		One Year	One Year	No	No	No
4		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
5		Eliminate Class B Common Stock	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Jackson Financial Inc.**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	000392078	Confirmed	jbisset	jbisset		155,133	155,133
			06/01/2022	06/01/2022			
					Total Shares:	155,133	155,133

### **Meeting Results**

Outstanding Shares Voted: 86,672,714

Results Available: Full

			= -											
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Aga	inst	% Against	Abstain	% Abstain	Result Type	Base
1.1	Υ	Pass	No	For	1.1%	57,760,088	98.9%	623,	,225	1.1%	0	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	0.5%	58,071,626	99.5%	311	,687	0.5%	0	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.2%	57,666,462	98.8%	716	,851	1.2%	0	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	0.4%	58,151,442	99.6%	231	,871	0.4%	0	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	0.5%	58,102,402	99.5%	280,	,911	0.5%	0	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	1.1%	57,756,714	98.9%	626	,599	1.1%	0	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	0.4%	58,156,889	99.6%	226	,424	0.4%	0	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	0.3%	58,184,462	99.7%	198	,851	0.3%	0	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	1.1%	57,760,512	98.9%	622	,801	1.1%	0	N/A	Proxy	F+A
2	Υ	Pass	No	For	1.6%	65,504,943	98.4%	708	,021	1.1%	363,997	0.5%	Proxy	F+A+AB
4	Υ	Pass	No	For	3.6%	56,249,701	96.3%	1,947	7,710	3.3%	185,902	0.3%	Proxy	F+A+AB
5	Y	Pass	No	For	0.2%	58,189,305	67.1%	16,	731	0.0%	177,277	0.2%	Proxy	Capital Represented
	VTG Prop Summa	Dissident y Prop	t Mgmt Rec	Dissent Level	1 YR	% 1 YR	2 YR	% 2 YR	3 YR	% 3 YR	Abstain	% Abstain	Result Type	Base
3	Y Pass	No	One Year	N/A	57793886	99.0%	324029	0.6%	79335	0.1%	186063	0.3%	Proxy	F+A

# **General Motors Company**

Meeting Date: 06/13/2022

Country: USA

Ticker: GM

Proxy Level: 3

Record Date: 04/19/2022 Primary Security ID: 37045V100

Meeting Type: Annual

Meeting ID: 1647489
Primary ISIN: US37045V1008

Primary SEDOL: B665KZ5

Earliest Cutoff Date: 06/10/2022

Total Ballots: 1

Primary CUSIP: 37045V100

Voting Policy: ISS

Additional Policy:

Votable Shares: 152,982

\*Shares on Loan: 0

Shares Instructed: 152,982

Shares Voted: 152,982

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Mary T. Barra	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: A vote FOR the director nominees is warranted.

Blended Rationale: A vote FOR the director nominees is warranted.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **General Motors Company**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1b		Elect Director Aneel Bhusri	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1c		Elect Director Wesley G. Bush	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1d		Elect Director Linda R. Gooden	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1e		Elect Director Joseph Jimenez	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1f		Elect Director Judith A. Miscik	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1g		Elect Director Patricia F. Russo	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1h		Elect Director Thomas M. Schoewe	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.							,	
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1i		Elect Director Carol M. Stephenson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1j		Elect Director Mark A. Tatum	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1k		Elect Director Devin N. Wenig	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
11		Elect Director Margaret C. Whitman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **General Motors Company**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4		Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propos shareholders to call a special meeting would en of the right is considered small given the compa	hance shareho	olders' ability	to make us	e of the right	t, and the likel		ıse			
		Blended Rationale: A vote FOR this proposal is call a special meeting would enhance sharehold considered small given the company's size and	lers' ability to i	make use of	the right, ar	nd the likelih						
5		Require Independent Board Chair	SH	Yes	Against	Against		Against	Against	No	No	No
6		Report on the Use of Child Labor in Connection with Electric Vehicles	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propos child labor from its supply chain would allow in rights-related risks in its supply chain.		-					te			
		Blended Rationale: A vote FOR this proposal is labor from its supply chain would allow investor risks in its supply chain.	-				•		ild			

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	000392078	Confirmed	jbisset	jbisset		152,982	152,982
			06/01/2022	06/01/2022			
					Total Shares:	152,982	152,982

### **Meeting Results**

Outstanding Shares Voted: 1,458,240,927

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	4.0%	1,039,068,895	96.0%	43,334,388	4.0%	6,619,561	N/A	Proxy	F+A
1b	Y	Pass	No	For	0.4%	1,082,407,112	99.6%	4,069,890	0.4%	2,545,842	N/A	Proxy	F+A
1c	Y	Pass	No	For	1.0%	1,074,924,277	99.0%	11,281,829	1.0%	2,816,738	N/A	Proxy	F+A
1d	Υ	Pass	No	For	0.3%	1,083,001,248	99.7%	3,254,292	0.3%	2,767,304	N/A	Proxy	F+A
1e	Υ	Pass	No	For	5.6%	1,025,762,525	94.4%	60,736,923	5.6%	2,523,396	N/A	Proxy	F+A
1f	Υ	Pass	No	For	1.0%	1,075,382,022	99.0%	10,838,350	1.0%	2,802,472	N/A	Proxy	F+A
1g	Υ	Pass	No	For	7.7%	993,051,809	92.3%	82,346,660	7.7%	13,624,375	N/A	Proxy	F+A
1h	Y	Pass	No	For	1.0%	1,075,772,231	99.0%	10,399,974	1.0%	2,850,639	N/A	Proxy	F+A
1i	Y	Pass	No	For	2.9%	1,054,305,094	97.1%	31,921,439	2.9%	2,796,311	N/A	Proxy	F+A
1j	Y	Pass	No	For	0.6%	1,079,690,772	99.4%	6,783,968	0.6%	2,548,104	N/A	Proxy	F+A
1k	Y	Pass	No	For	0.3%	1,083,369,503	99.7%	3,092,859	0.3%	2,560,482	N/A	Proxy	F+A
11	Y	Pass	No	For	0.9%	1,078,208,931	99.1%	9,319,798	0.9%	1,494,115	N/A	Proxy	F+A
2	Υ	Pass	No	For	7.7%	1,005,382,990	92.3%	80,003,171	7.3%	3,636,683	0.3%	Proxy	F+A+AE

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
3	Y	Pass	No	For	0.6%	1,212,233,262	99.4%	4,594,488	0.4%	2,539,189	0.2%	Proxy	F+A+AB
4	Υ	Fail	No	Against	39.1%	422,415,213	38.8%	663,278,506	60.9%	3,329,125	0.3%	Proxy	F+A+AB
5	Υ	Fail	No	Against	20.7%	222,140,892	20.4%	863,656,900	79.3%	3,225,052	0.3%	Proxy	F+A+AB
6	Y	Fail	No	Against	23.5%	240,069,374	22.0%	833,595,989	76.5%	15,357,481	1.4%	Proxy	F+A+AB

### **Activision Blizzard, Inc.**

Meeting Date: 06/21/2022 Country: USA Ticker: ATVI Proxy Level: 3 Record Date: 04/22/2022 Meeting Type: Annual **Meeting ID:** 1647569 Primary Security ID: 00507V109 Primary CUSIP: 00507V109 Primary ISIN: US00507V1098 Primary SEDOL: 2575818 Earliest Cutoff Date: 06/17/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy: Votable Shares: 72,700 \*Shares on Loan: 0 Shares Instructed: 72,700 Shares Voted: 72,700 **Additional** Voting Vote Vote Significant Votable Mgmt Policy Policy Vote Against Against Against **Proposal** ISS Rec Proposal Text Instruction Policy ISS Number Vote Proponent Proposal Rec Rec Rec Mamt Elect Director Reveta Bowers No 1a Mamt No No Voting Policy Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted. Blended Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted. 1b Elect Director Kerry Carr Mgmt For For For No No No Voting Policy Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted. Blended Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted. Elect Director Robert Corti No Mamt For For No No 1c Voting Policy Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted. Blended Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted. Elect Director Brian Kelly 1d Mgmt No No Voting Policy Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted. Blended Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better

discrimination. A vote FOR the remaining director nominees is warranted.

understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and

# **Activision Blizzard, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1e		Elect Director Robert Kotick	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Ri Nolan are warranted, with caution, as the com- understand the effectiveness of the company's discrimination. A vote FOR the remaining direct Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company's understand the effectiveness of the company's	pany could pro recent efforts tor nominees i Kotick, Brian i could provide a	ovide addition to manage d is warranted. Kelly, Robert additional info	nal informati and mitigate Morgado, F ormation tha	ion that woul e risks related Robert Corti, I at would allow	d allow investo I to sexual hard Barry Meyer, a v investors to b	ors to better assment and and Peter Nol better	an			
		discrimination. A vote FOR the remaining direc		_	_	TISKS TEIBLEG	to sexual rial	assirient and				
1f		Elect Director Lulu Meservey	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Ri Nolan are warranted, with caution, as the com understand the effectiveness of the company's discrimination. A vote FOR the remaining direc	pany could pro recent efforts tor nominees i	ovide addition to manage a is warranted.	nal informat and mitigate	ion that woul e risks related	d allow investo to sexual hard	ors to better assment and				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	ndditional info to manage a	ormation tha and mitigate	at would allow	v investors to l	better				
1g		Elect Director Barry Meyer	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Rational Research Nolan are warranted, with caution, as the compunderstand the effectiveness of the company's discrimination. A vote FOR the remaining directions of the second	pany could pro recent efforts	ovide addition to manage a	nal informat and mitigate	ion that woul	ld allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	ndditional info to manage a	ormation tha and mitigate	at would allow	v investors to i	better				
1h		Elect Director Robert Morgado	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Ri Nolan are warranted, with caution, as the com- understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage a	nal informat and mitigate	ion that woul	ld allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	ndditional info to manage a	ormation tha and mitigate	at would allow	v investors to l	better				
1i		Elect Director Peter Nolan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Ri Nolan are warranted, with caution, as the com- understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage a	nal informat and mitigate	ion that woul	ld allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	rould provide a recent efforts	ndditional info to manage a	ormation tha and mitigate	at would allow	v investors to l	better				
1j		Elect Director Dawn Ostroff	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Re Nolan are warranted, with caution, as the com- understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage a	nal informat and mitigate	ion that woul	ld allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	ndditional info to manage a	ormation tha and mitigate	at would allow	v investors to l	better				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Activision Blizzard, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Adopt a Policy to Include Non-Management Employees as Prospective Director Candidates	SH	Yes	Against	Against		Against	Against	No	No	No
5		Report on Efforts Prevent Abuse, Harassment, and Discrimination	SH	Yes	Against	For		For	For	Yes	No	No

Voting Policy Rationale: A vote FOR this proposal is warranted. Shareholders would benefit from increased disclosure due to the ongoing scrutiny over the company's sexual harassment and discrimination issues involving protected classes of employees. Increased transparency would help shareholders to fully assess how the company is managing associated risks.

Blended Rationale: A vote FOR this proposal is warranted. Shareholders would benefit from increased disclosure due to the ongoing scrutiny over the company's sexual harassment and discrimination issues involving protected classes of employees. Increased transparency would help shareholders to fully assess how the company is managing associated risks.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	000392078	Confirmed	jbisset	jbisset		72,700	72,700
			06/16/2022	06/16/2022			
					Total Shares:	72,700	72,700

#### **Meeting Results**

Outstanding Shares Voted: 781,848,813

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	3.4%	570,069,460	96.6%	20,069,854	3.4%	8,323,643	N/A	Proxy	F+A
1b	Υ	Pass	No	For	0.4%	594,269,935	99.6%	2,253,529	0.4%	1,939,493	N/A	Proxy	F+A
1c	Υ	Pass	No	For	15.9%	501,431,883	84.1%	95,076,047	15.9%	1,955,027	N/A	Proxy	F+A
1d	Υ	Pass	No	For	11.5%	527,602,184	88.5%	68,415,423	11.5%	2,445,350	N/A	Proxy	F+A
1e	Υ	Pass	No	For	10.5%	533,703,580	89.5%	62,597,199	10.5%	2,162,178	N/A	Proxy	F+A
1f	Υ	Pass	No	For	0.4%	594,225,355	99.6%	2,302,158	0.4%	1,935,444	N/A	Proxy	F+A
1g	Υ	Pass	No	For	12.5%	521,987,017	87.5%	74,530,701	12.5%	1,945,239	N/A	Proxy	F+A
1h	Υ	Pass	No	For	27.7%	431,416,165	72.3%	164,932,068	27.7%	2,114,724	N/A	Proxy	F+A
1i	Υ	Pass	No	For	8.5%	546,056,263	91.5%	50,459,532	8.5%	1,947,162	N/A	Proxy	F+A
1j	Υ	Pass	No	For	4.3%	570,649,200	95.7%	25,909,272	4.3%	1,904,485	N/A	Proxy	F+A
2	Υ	Pass	No	For	12.4%	524,115,176	87.6%	69,072,128	11.5%	5,275,653	0.9%	Proxy	F+A+
3	Υ	Pass	No	For	3.5%	624,981,205	96.5%	21,247,950	3.3%	1,658,608	0.3%	Proxy	F+A+
4	Υ	Fail	No	Against	7.2%	30,425,554	5.1%	555,091,091	92.8%	12,946,312	2.2%	Proxy	F+A+
5	Y	Pass	No	Against	69.3%	379,308,934	63.4%	183,876,515	30.7%	35,277,508	5.9%	Proxy	F+A+

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **NEC Corp.**

**Meeting Date:** 06/22/2022

**Record Date:** 03/31/2022 **Primary Security ID:** J48818207 Country: Japan

Meeting Type: Annual

Primary CUSIP: J48818207

Ticker: 6701

Meeting ID: 1656270

**Primary ISIN:** JP3733000008

Proxy Level: N/A

Primary SEDOL: 6640400

Earliest Cutoff Date: 06/20/2022

Votable Shares: 1,100,000

Total Ballots: 1
\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 1,100,000

Additional Policy:

Shares Voted: 1,100,000

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1	Amend Articles to Disclose Shareholder Meeting Material Internet	s on	Mgmt	Yes	For	For		For	For	No	No	No
2.1	Elect Director Niino, Takashi		Mgmt	Yes	For	For		For	For	No	No	No
2.2	Elect Director Morita, Takayul	ci	Mgmt	Yes	For	For		For	For	No	No	No
2.3	Elect Director Matsukura, Haj	me	Mgmt	Yes	For	For		For	For	No	No	No
2.4	Elect Director Nishihara, Moto	1	Mgmt	Yes	For	For		For	For	No	No	No
2.5	Elect Director Fujikawa, Osan	•			For	For		For	For	No	No	No
2.6	Elect Director Iki, Noriko	•			For	For		For	For	No	No	No
2.7	Elect Director Ito, Masatoshi	·			For	For		For	For	No	No	No
2.8	Elect Director Nakamura, Kun	iharu	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
2.9	Elect Director Christina Ahma	djian	Mgmt	Yes	For	For		For	For	No	No	No
2.10	Elect Director Oka, Masashi		Mgmt	Yes	For	For		For	For	No	No	No
3.1	Appoint Statutory Auditor Oba Shinobu	ata,	Mgmt	Yes	For	For		For	For	No	No	No
3.2	Appoint Statutory Auditor Oka Kyoko	Mgmt	Yes	For	For		For	For	No	No	No	
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
RTRF, RTRF	392078	Confirmed	jbisset		jbisset				1,100,0	000	1,100	,000

### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

06/14/2022

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	2,155,091	99.8%	2,856	N/A	549	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	2,013,637	93.2%	120,985	N/A	23,878	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	2,064,014	95.6%	70,721	N/A	23,769	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	2,059,206	95.4%	98,751	N/A	549	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	2,059,541	95.4%	98,416	N/A	549	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	2,087,858	96.7%	70,097	N/A	549	N/A	Poll	F+A+AB

06/14/2022

Total Shares:

1,100,000

1,100,000

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2.6	Y	Pass	No	For	N/A	2,107,425	97.6%	50,535	N/A	549	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	2,114,700	97.9%	43,260	N/A	549	N/A	Poll	F+A+AB
2.8	Y	Pass	No	For	N/A	1,946,921	90.2%	211,033	N/A	549	N/A	Poll	F+A+AB
2.9	Y	Pass	No	For	N/A	2,107,868	97.6%	50,089	N/A	549	N/A	Poll	F+A+AB
2.10	Υ	Pass	No	For	N/A	2,041,910	94.6%	116,044	N/A	549	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	2,127,172	98.5%	27,920	N/A	3,336	N/A	Poll	F+A+AB
3.2	Y	Pass	No	For	N/A	2,153,012	99.7%	4,867	N/A	549	N/A	Poll	F+A+AB

### **Unibail-Rodamco-Westfield NV**

Meeting Date: 06/22/2022

Country: Netherlands Meeting Type: Annual Ticker: N/A

Proxy Level: N/A

**Record Date:** 05/25/2022

Votable Shares: 300,000

Primary Security ID: N96244103

Primary CUSIP: N96244103

Meeting ID: 1646413 **Primary ISIN:** NL0012846356

Primary SEDOL: N/A

Earliest Cutoff Date: 06/16/2022

**Total Ballots:** 1 \*Shares on Loan: 0 Voting Policy: ISS Shares Instructed: 300,000 **Additional Policy:** Shares Voted: 300,000

							,,000		5.14.05.10104	. 500,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Annual Meeting Agenda	Mgmt	No								
i		Discuss Annual Report	Mgmt	No								
1		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No
3		Adopt Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
ii		Receive Explanation on Dividend Policy	Mgmt	No								
4		Approve Discharge of Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR is warran controversies that the management board an					-	nd compellin	g		_	
		Blended Rationale: A vote FOR is warranted controversies that the management board are			,			mpelling				
5		Approve Discharge of Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR is warran controversies that the management board an					-	nd compellin	g		-	
		Blended Rationale: A vote FOR is warranted controversies that the management board an			•	_		mpelling			_	
6		Reelect Gerard Sieben to Management Board	Mgmt	Yes	For	For		For	For	No	No	No

## **Unibail-Rodamco-Westfield NV**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7	Reelect Jean-Louis Laurens t Supervisory Board	co	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vo. period not exceeding four ye. There is no known controver. warranted as the future boar	ars; * The candida sy concerning the	ate appears to candidate A vo	possess the ote AGAINST	necessary of the non-in	qualifications dependent no	for board men	nbership; and				
	Blended Rationale: A vote FC not exceeding four years; * ; is no known controversy com as the future board composit	The candidate app cerning the candid	ears to posses date A vote AG	s the necess AINST the n	sary qualifica on-independ	ations for boa	nrd membershi	o; and * The	ere			
8	Reelect Aline Taireh to Supe Board	rvisory	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
	Voting Policy Rationale: A vol period not exceeding four ye There is no known controver: warranted as the future boar	ars; * The candida sy concerning the	ate appears to candidate A vo	possess the ote AGAINST	necessary of the non-in	qualifications dependent no	for board men	nbership; and				
	Blended Rationale: A vote FC not exceeding four years; * 1 is no known controversy con as the future board composit	The candidate app cerning the candid	ears to posses date A vote AG	s the necess AINST the n	sary qualifica on-independ	ations for boa	nrd membershi	p; and * The	ere			
9	Ratify Deloitte Accountants E Auditors	3.V. as	Mgmt	Yes	For	For		For	For	No	No	No
10	Authorize Repurchase of Sha	ares	Mgmt	Yes	For	For		For	For	No	No	No
11	Approve Cancellation of Sha	res	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
RTRF, RTRF	392078	Confirmed	Auto-Instru	icted	Auto-App	proved			300,0	00	300,	000
			05/26/2022	2	05/26/20	)22						
								Total Shares:	300,0	00	300,	000

### **Meeting Results**

Outstanding Shares Voted:

Results Available: Not Disclosed

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
i	N												
1	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
2	Y	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
3	Y	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
ii	N												
4	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
5	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
7	Y	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
8	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
9	Y	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
10	Y	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
11	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB

### Nissan Shatai Co., Ltd.

**Meeting Date:** 06/23/2022

Country: Japan

Ticker: 7222

Proxy Level: N/A

**Record Date:** 03/31/2022

Meeting Type: Annual

**Meeting ID:** 1658220

**Primary ISIN:** JP3672000001

Primary SEDOL: 6642901

Primary Security ID: J57289100 Earliest Cutoff Date: 06/21/2022

Total Ballots: 1

Voting Policy: ISS

Additional Policy:

Votable Shares: 2,300

\*Shares on Loan: 0

Primary CUSIP: J57289100

Shares Instructed: 2,300

Shares Voted: 2,300

votable Si	nares: 2,300	*Shares on Loan: 0			Shares Ir	istructea: 2,3	00		Shares Voted	1: 2,300		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 6.5	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect Director Ohira, Yasuyuki	Mgmt	Yes	For	For		For	For	No	No	No
4		Appoint Statutory Auditor Aoji, Kiyoshi	Mgmt	Yes	For	For		For	For	No	No	No
5		Appoint Alternate Statutory Auditor Okada, Kazuhiro	Mgmt	Yes	For	For		For	For	No	No	No
6		Amend Articles to Prohibit Appointments of Officials from Nissan Motor Co., Ltd	SH	Yes	Against	Against		Against	For	Yes	Yes	Yes
7		Amend Articles to Prohibit the Company from Depositing Funds to Parent Company	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this sha amount of cash with its parent company bu					•	significant			-	
		Blended Rationale: A vote FOR this shareho of cash with its parent company but earns of					leposits a signi	ficant amou	nt		-	
8		Amend Articles to Require Individual Compensation Disclosure for Representative Directors	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this sha promote accountability and help shareholde				use: * The pr	roposed disclos	sure would			-	
		Blended Rationale: A vote FOR this shareho accountability and help shareholders make			d because:	* The propos	sed disclosure	would promo	ote		_	
9		Amend Articles to Disclose Cost of Equity Capital in Corporate Governance Report	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this sha more attention to inefficient capital policy, v					-	anagement	pay		-	
		Blended Rationale: A vote FOR this shareho	older proposal is v	warranted be	ecause: * Th	is proposal w	vill help manag	ement pay			-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

more attention to inefficient capital policy, with a clear opportunity to improve the company's market valuation.

# Nissan Shatai Co., Ltd.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
10		Initiate Share Repurchase Program	SH	Yes	Against	For		For	For	Yes	No	No

Voting Policy Rationale: A vote FOR this shareholder proposal is warranted because: \* Given low PBR and ROE, and excessive cash reserves (i.e., deposits to parent Nissan Motor), share repurchases appear to be a right step the company should take to address concerns over the balance sheet management.

Blended Rationale: A vote FOR this shareholder proposal is warranted because: \* Given low PBR and ROE, and excessive cash reserves (i.e., deposits to parent Nissan Motor), share repurchases appear to be a right step the company should take to address concerns over the balance sheet management.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		2,300	2,300
			06/09/2022	06/09/2022			
					Total Shares:	2,300	2,300

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	1,297,737	99.9%	1,838	N/A	0	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	1,297,752	99.9%	1,823	N/A	0	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	884,929	68.1%	414,646	N/A	0	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	1,296,892	99.8%	2,683	N/A	0	N/A	Poll	F+A+AB
5	Υ	Pass	No	For	N/A	1,297,554	99.8%	2,021	N/A	0	N/A	Poll	F+A+AB
6	Υ	Fail	No	Against	N/A	407,886	31.4%	891,652	N/A	17	N/A	Poll	F+A+AB
7	Υ	Fail	No	Against	N/A	447,659	34.4%	851,895	N/A	0	N/A	Poll	F+A+AB
8	Υ	Fail	No	Against	N/A	477,120	36.7%	822,425	N/A	10	N/A	Poll	F+A+AB
9	Υ	Fail	No	Against	N/A	453,478	34.9%	846,067	N/A	10	N/A	Poll	F+A+AB
10	Υ	Fail	No	Against	N/A	468,018	36.0%	831,526	N/A	10	N/A	Poll	F+A+AB

### **John Keells Holdings Plc**

1

Reelect S. S. H. Wijayasuriya as

Director

Meeting Date: 06/24/2022	Country: Sri Lanka		Ticker: Jk	KH.N0000			Proxy Level	: N/A		
Record Date:	Meeting Type: Annual		Meeting :	<b>ID:</b> 1654987	7					
Primary Security ID: Y44558149	Primary CUSIP: Y44558149		Primary 1	ISIN: LK009	92N00003		Primary SEI	<b>OOL:</b> 64755	38	
Earliest Cutoff Date: 06/20/2022	Total Ballots: 1		Voting Pol	icy: ISS			Additional Po	licy:		
Votable Shares: 3,850,000	*Shares on Loan: 0		Shares Ins	structed: 3,85	50,000		Shares Voted	: 3,850,000		
Proposal Significant Number Vote Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS

Yes

Mgmt

For

For

For

For

No

No

No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **John Keells Holdings Plc**

Proposal Significan Number Vote	it Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agair ISS
	Voting Policy Rationale: A vot nominees and the company's			-	e absence of	any known	issues concerr	ning the				
	Blended Rationale: A vote FC and the company's board and		-	iven the abs	ence of any	known issu	es concerning t	the nominees				
2	Reelect J. G. A. Cooray as Di	rector	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vot nominees and the company's			-	e absence of	any known	issues concerr	ning the				
	Blended Rationale: A vote FC and the company's board and		-	viven the abs	sence of any	known issu	es concerning (	the nominees			_	
3	Approve Ernst & Young as A and Authorize Board to Fix the Remuneration		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
nstitutional Account Det	ail Custodian Account Number	Ballot Status	Instructed	i	Approve	i	Ballot Voting	Status	Votable S	Shares	Shares	Voted
institutional Account Det IA Name, IA Number)		Ballot Status  Confirmed	<b>Instructed</b> jbisset	i	Approve	d	Ballot Voting	Status	<b>Votable S</b> 3,850,		<b>Shares</b> 3,850	
Ballot Details  Institutional Account Det  IA Name, IA Number)  RTRF, RTRF	Account Number						Ballot Voting	Status				

Outstanding Shares Voted: Results Available: Partial

**Total Ballots:** 1

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB

## **John Keells Holdings Plc**

Earliest Cutoff Date: 06/20/2022

Meeting Date: 06/24/2022 Country: Sri Lanka Ticker: JKH.N0000 Proxy Level: N/A

Record Date: Meeting Type: Extraordinary Meeting ID: 1659607

Shareholders

Primary Security ID: Y44558149 Primary CUSIP: Y44558149 Primary ISIN: LK0092N00003 Primary SEDOL: 6475538

Time, Jecuni, 25 Thisself

Votable Shares: 3,850,000 \*Shares on Loan: 0 Shares Instructed: 3,850,000 Shares Voted: 3,850,000

Additional Voting Vote Vote Vote Significant Votable Policy Policy Mgmt Vote Against Against Against Proposal ISS Rec **Proposal Text** Proponent Proposal Instruction Number Vote Rec Rec Rec Mgmt Policy ISS

Voting Policy: ISS

**Additional Policy:** 

Number Vote Proposal Text Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS

1 Approve Employee Share Option Plan Mgmt Yes For For For For No No No No

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		3,850,000	3,850,000
			06/17/2022	06/17/2022			

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **John Keells Holdings Plc**

Total Shares:	3,850,000	3,850,000

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB

## **ORIX Corp.**

Meeting Date: 06/24/2022

Country: Japan

Ticker: 8591

Proxy Level: N/A

**Record Date:** 03/31/2022 Primary Security ID: J61933123 Meeting Type: Annual Primary CUSIP: J61933123 Meeting ID: 1656283

Primary SEDOL: 6661144

Earliest Cutoff Date: 06/22/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 1,000,000

\*Shares on Loan: 0

Shares Instructed: 1,000,000

**Primary ISIN:** JP3200450009

Shares Voted: 1,000,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Elect Director Inoue, Makoto	Mgmt	Yes	For	For		For	For	No	No	No
2.2		Elect Director Irie, Shuji	Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Matsuzaki, Satoru	Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Suzuki, Yoshiteru	Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Stan Koyanagi	Mgmt	Yes	For	For		For	For	No	No	No
2.6		Elect Director Michael Cusumano	Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Akiyama, Sakie	Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Watanabe, Hiroshi	Mgmt	Yes	For	For		For	For	No	No	No
2.9		Elect Director Sekine, Aiko	Mgmt	Yes	For	For		For	For	No	No	No
2.10		Elect Director Hodo, Chikatomo	Mgmt	Yes	For	For		For	For	No	No	No
2.11		Elect Director Yanagawa, Noriyuki	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Det	ails											

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		1,000,000	1,000,000
			06/15/2022	06/15/2022			

Total Shares: 1,000,000 1,000,000

### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
# F	гторозат	Julilliary	rioposai	Rec	LEVEI	roi	101	Ayanısı	Agamst	ADStaill	ADStalli	туре	Dusc

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	9,822,505	99.8%	11,220	N/A	0	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	9,537,590	96.9%	298,185	N/A	0	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	9,734,663	98.9%	77,498	N/A	23,617	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	9,746,775	99.0%	65,386	N/A	23,617	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	9,747,019	99.0%	65,142	N/A	23,617	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	9,747,074	99.0%	65,087	N/A	23,617	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	9,797,725	99.6%	38,055	N/A	0	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	9,577,094	97.3%	199,387	N/A	59,295	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	9,668,746	98.3%	167,032	N/A	0	N/A	Poll	F+A+AB
2.9	Υ	Pass	No	For	N/A	9,714,140	98.7%	68,008	N/A	53,632	N/A	Poll	F+A+AB
2.10	Υ	Pass	No	For	N/A	9,797,674	99.6%	38,106	N/A	0	N/A	Poll	F+A+AB
2.11	Y	Pass	No	For	N/A	9,819,221	99.8%	16,558	N/A	0	N/A	Poll	F+A+AB

## Resona Holdings, Inc.

Meeting Date: 06/24/2022 **Record Date:** 03/31/2022

Country: Japan

Meeting Type: Annual Primary CUSIP: J6448E106 Ticker: 8308

Meeting ID: 1656802

**Primary ISIN:** JP3500610005

Proxy Level: N/A

Primary SEDOL: 6421553

Earliest Cutoff Date: 06/22/2022

Primary Security ID: J6448E106

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Sh	nares: 2,200,00	0 *Shares on Loan: 0			Shares In	nstructed: 2,2	00,000		Shares Voted	: 2,200,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Elect Director Minami, Masahiro	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST to company's capital misallocation.	this director nomin	ee is warrant	ed because	: * Top mana	agement is res <sub>l</sub>	ponsible for	the			
		Blended Rationale: A vote AGAINST this of company's capital misallocation.	lirector nominee is	warranted be	ecause: * T	op managem	nent is responsi	ible for the			-	
2.2		Elect Director Noguchi, Mikio	Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Oikawa, Hisahiko	Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Sato, Hidehiko	Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Baba, Chiharu	Mgmt	Yes	For	For		For	For	No	No	No
2.6		Elect Director Iwata, Kimie	Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Egami, Setsuko	Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Ike, Fumihiko	Mgmt	Yes	For	For		For	For	No	No	No
2.9		Elect Director Nohara, Sawako	Mgmt	Yes	For	For		For	For	No	No	No
2.10		Elect Director Yamauchi, Masaki	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# Resona Holdings, Inc.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		2,200,000	2,200,000
			06/21/2022	06/21/2022			
					Total Shares:	2,200,000	2,200,000

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	19,315,235	99.6%	21,990	N/A	43	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	16,838,236	86.7%	2,524,127	N/A	43	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	19,335,623	99.6%	26,753	N/A	43	N/A	Poll	F+A+AB
2.3	Y	Pass	No	For	N/A	18,511,643	95.4%	850,723	N/A	43	N/A	Poll	F+A+AB
2.4	Y	Pass	No	For	N/A	19,173,275	98.8%	189,101	N/A	43	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	19,257,438	99.2%	104,938	N/A	43	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	19,177,356	98.8%	185,020	N/A	43	N/A	Poll	F+A+AB
2.7	Y	Pass	No	For	N/A	19,187,224	98.9%	175,152	N/A	43	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	19,173,631	98.8%	188,745	N/A	43	N/A	Poll	F+A+AB
2.9	Υ	Pass	No	For	N/A	19,329,922	99.6%	32,454	N/A	43	N/A	Poll	F+A+AB
2.10	Y	Pass	No	For	N/A	19,333,049	99.6%	29,327	N/A	43	N/A	Poll	F+A+AB

## **ROHM Co., Ltd.**

Meeting Date: 06/24/2022 **Record Date:** 03/31/2022

Primary Security ID: J65328122

Earliest Cutoff Date: 06/22/2022 Votable Shares: 166,500

Country: Japan

\*Shares on Loan: 0

Meeting Type: Annual

Primary CUSIP: J65328122

Total Ballots: 1

Ticker: 6963

Voting Policy: ISS

**Meeting ID:** 1655644

Shares Instructed: 166,500

**Primary ISIN:** JP3982800009

Proxy Level: N/A

Primary SEDOL: 6747204

**Additional Policy:** Shares Voted: 166,500

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 110	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Clarify Director Authority on Shareholder Meetings - Disclose Shareholder Meeting Materials on Internet - Allow Virtual Only Shareholder Meetings - Amend Provisions on Director Titles - Clarify Director Authority on Board Meetings	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Matsumoto, Isao	Mgmt	Yes	For	For		For	For	No	No	No
3.2		Elect Director Azuma, Katsumi	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **ROHM Co., Ltd.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3.3		Elect Director Ino, Kazuhide	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Tateishi, Tetsuo	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Yamamoto, Koji	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Nagumo, Tadanobu	Mgmt	Yes	For	For		For	For	No	No	No
3.7		Elect Director Peter Kenevan	Mgmt	Yes	For	For		For	For	No	No	No
3.8		Elect Director Muramatsu, Kuniko	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Restricted Stock Plan	Mgmt	Yes	For	For		For	For	No	No	No

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		166,500	166,500
			06/20/2022	06/20/2022			

Total Shares: 166,500 166,500

### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	805,525	97.2%	23,235	N/A	11	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	802,454	96.8%	26,295	N/A	11	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	805,945	97.2%	22,803	N/A	13	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	822,471	99.2%	5,880	N/A	416	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	822,484	99.2%	5,867	N/A	416	N/A	Poll	F+A+AB
3.4	Υ	Pass	No	For	N/A	820,412	99.0%	7,937	N/A	416	N/A	Poll	F+A+AB
3.5	Υ	Pass	No	For	N/A	822,485	99.2%	5,866	N/A	416	N/A	Poll	F+A+AB
3.6	Υ	Pass	No	For	N/A	788,622	95.1%	39,722	N/A	416	N/A	Poll	F+A+AB
3.7	Υ	Pass	No	For	N/A	828,000	99.9%	755	N/A	13	N/A	Poll	F+A+AB
3.8	Υ	Pass	No	For	N/A	823,685	99.4%	5,069	N/A	13	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	824,362	99.5%	4,408	N/A	0	N/A	Poll	F+A+AB

## **SoftBank Group Corp.**

Meeting Date: 06/24/2022 Record Date: 03/31/2022 Primary Security ID: J7596P109 Country: Japan Meeting Type: Annual Primary CUSIP: J7596P109 Ticker: 9984

**Meeting ID:** 1657533 **Primary ISIN:** JP3436100006

Proxy Level: N/A

Primary SEDOL: 6770620

# SoftBank Group Corp.

Earliest Cutoff Date: 06/22/2022

Votable Shares: 155,200

Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 155,200

**Additional Policy:** 

Shares Voted: 155,200

155,200

155,200

Total Shares:

155,200

155,200

•	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 22	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Son, Masayoshi		Yes	For	For		For	For	No	No	No
3.2		Elect Director Goto, Yoshimitsu	Mgmt	Yes	For	For		For	For	No	No	No
3.3		Elect Director Miyauchi, Ken	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Kawabe, Kentaro	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Iijima, Masami	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Matsuo, Yutaka	Mgmt	Yes	For	For		For	For	No	No	No
3.7		Elect Director Erikawa, Keiko	Mgmt	Yes	For	For		For	For	No	No	No
3.8		Elect Director Kenneth A. Siegel	Mgmt	Yes	For	For		For	For	No	No	No
3.9		Elect Director David Chao	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detail	ls											
Institutional A (IA Name, IA I				d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted

jbisset 06/20/2022

Meeting	Results

RTRF, RTRF

Outstanding Shares Voted:

392078

Confirmed

Results Available: Partial

jbisset

06/20/2022

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	14,644,920	99.8%	21,903	N/A	684	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	13,375,463	99.9%	8,489	N/A	1,156	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	13,261,586	97.7%	304,724	N/A	11,901	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	13,496,191	99.4%	67,438	N/A	14,587	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	13,451,344	99.1%	112,282	N/A	14,587	N/A	Poll	F+A+AB
3.4	Υ	Pass	No	For	N/A	13,431,517	98.9%	132,109	N/A	14,587	N/A	Poll	F+A+AB
3.5	Υ	Pass	No	For	N/A	13,471,771	99.2%	105,230	N/A	1,213	N/A	Poll	F+A+AB
3.6	Υ	Pass	No	For	N/A	13,540,962	99.7%	36,044	N/A	1,213	N/A	Poll	F+A+AB
3.7	Υ	Pass	No	For	N/A	13,539,288	99.7%	37,718	N/A	1,213	N/A	Poll	F+A+AB
3.8	Y	Pass	No	For	N/A	10,164,871	74.9%	3,412,123	N/A	1,213	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
3.9	Y	Pass	No	For	N/A	13,276,997	97.8%	300,001	N/A	1,213	N/A	Poll	F+A+AB

### **Fujitsu Ltd.**

Meeting Date: 06/27/2022 **Record Date:** 03/31/2022

Primary Security ID: J15708159

Country: Japan

Meeting Type: Annual

Primary CUSIP: J15708159

Ticker: 6702

Voting Policy: ISS

Meeting ID: 1657566

Shares Instructed: 80,000

**Primary ISIN:** JP3818000006

Proxy Level: N/A

Primary SEDOL: 6356945

**Additional Policy:** Shares Voted: 80.000

Earliest Cutoff Date: 06/23/2022 Votable Shares: 80,000

Total Ballots: 1

\*Shares on Loan: 0

Additional Voting Vote Vote Vote Proposal Significant Votable Mgmt Policy Policy Vote Against Against Against Number **Proposal Text** Proponent Rec ISS Rec Instruction ISS Vote Proposal Rec Rec Mgmt Policy Amend Articles to Change Company For 1 Mgmt Yes For For For No No No Name - Disclose Shareholder Meeting Materials on Internet 2.1 Elect Director Tokita, Takahito Mgmt Yes For For For For No No No Elect Director Furuta, Hidenori 2.2 Mgmt Yes For For For For No No No Elect Director Isobe, Takeshi For 2.3 For For For Nο Mgmt Yes Nο Nο 2.4 Elect Director Yamamoto, Masami Mgmt Yes For For For For No No No Elect Director Mukai, Chiaki Mgmt Yes For For For For No No No 2.6 Elect Director Abe, Atsushi Mgmt Yes For For For For No No No Elect Director Kojo, Yoshiko 2.7 Mgmt Yes For For For For No No No Elect Director Scott Callon 2.8 Mgmt Yes For For For For No No No 2.9 Elect Director Sasae, Kenichiro Nο Mgmt Yes For For For For Nο Nο 3 Appoint Statutory Auditor Catherine Yes For For For No No Mamt For No **OConnell** Approve Performance Share Plan Mgmt Yes For For For For No No No

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		80,000	80,000
			06/14/2022	06/14/2022			

**Total Shares:** 80,000 80,000

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	1,657,113	99.9%	591	N/A	8	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	1,597,222	96.2%	49,698	N/A	10,803	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	1,610,945	97.1%	35,352	N/A	11,433	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	1,620,184	97.6%	26,113	N/A	11,432	N/A	Poll	F+A+AB

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2.4	Υ	Pass	No	For	N/A	1,624,356	97.9%	21,942	N/A	11,432	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	1,640,746	98.9%	6,182	N/A	10,803	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	1,640,536	98.9%	6,392	N/A	10,803	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	1,640,953	98.9%	5,975	N/A	10,803	N/A	Poll	F+A+AB
2.8	Y	Pass	No	For	N/A	1,567,901	94.5%	79,017	N/A	10,803	N/A	Poll	F+A+AB
2.9	Υ	Pass	No	For	N/A	1,629,371	98.2%	17,557	N/A	10,803	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	1,645,941	99.2%	999	N/A	10,803	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	1,650,701	99.5%	3,997	N/A	3,059	N/A	Poll	F+A+AB

# Fuji Electric Co., Ltd.

Meeting Date: 06/28/2022

Record Date: 03/31/2022 Primary Security ID: J14112106

Earliest Cutoff Date: 06/26/2022

06

**Country:** Japan **Meeting Type:** Annual

Total Ballots: 1

Primary CUSIP: J14112106

Votable Shares: 310,000 \*Shares on Loan: 0

Ticker: 6504

Voting Policy: ISS

**Meeting ID:** 1660785

**Primary ISIN:** JP3820000002

Shares Instructed: 310,000

Proxy Level: N/A

Primary SEDOL: 6356365

Additional Policy: Shares Voted: 310,000

										,		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Articles to Clarify Director Authority on Shareholder Meetings - Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Elect Director Kitazawa, Michihiro	Mgmt	Yes	For	For		For	For	No	No	No
2.2		Elect Director Kondo, Shiro	Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Abe, Michio	Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Arai, Junichi	Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Hosen, Toru	Mgmt	Yes	For	For		For	For	No	No	No
2.6		Elect Director Tetsutani, Hiroshi	Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Tamba, Toshihito	Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Tachikawa, Naomi	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
2.9		Elect Director Hayashi, Yoshitsugu	Mgmt	Yes	For	For		For	For	No	No	No
2.10		Elect Director Tominaga, Yukari	Mgmt	Yes	For	For		For	For	No	No	No
3		Appoint Statutory Auditor Okuno, Yoshio	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Compensation Ceiling for Directors	Mgmt	Yes	For	For		For	For	No	No	No

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset	jbisset		310,000
			06/23/2022	06/23/2022			

Total Shares:	310,000	310,000

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	1,258,173	99.8%	374	N/A	0	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	1,125,524	89.3%	132,422	N/A	603	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	1,107,497	87.9%	150,507	N/A	544	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	1,240,182	98.4%	18,229	N/A	141	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	1,240,232	98.4%	18,179	N/A	141	N/A	Poll	F+A+AB
2.5	Y	Pass	No	For	N/A	1,241,612	98.5%	16,799	N/A	141	N/A	Poll	F+A+AB
2.6	Y	Pass	No	For	N/A	1,241,613	98.5%	16,798	N/A	141	N/A	Poll	F+A+AB
2.7	Y	Pass	No	For	N/A	1,220,750	96.9%	37,660	N/A	141	N/A	Poll	F+A+AB
2.8	Y	Pass	No	For	N/A	1,198,366	95.1%	60,042	N/A	141	N/A	Poll	F+A+AB
2.9	Y	Pass	No	For	N/A	1,254,360	99.5%	4,193	N/A	0	N/A	Poll	F+A+AB
2.10	Y	Pass	No	For	N/A	1,246,514	98.9%	12,040	N/A	0	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	1,192,706	94.7%	62,895	N/A	2,948	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	1,256,169	99.7%	2,254	N/A	131	N/A	Poll	F+A+AB

### **IMV Inc.**

Meeting Date: 06/29/2022 Record Date: 05/05/2022 Primary Security ID: 44974L103 Country: Canada

Meeting Type: Annual/Special

Primary CUSIP: 44974L103

Ticker: IMV

**Meeting ID:** 1637304

Primary ISIN: CA44974L1031

Primary SEDOL: BG0KDD5

Proxy Level: 3

Additional Policy:

Earliest Cutoff Date: 06/23/2022

Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 1 200 00

Shares Voted: 1,200,000

Votable Sh	nares: 1,200,000	*Shares on Loan: 0			Shares I	nstructed: 1,2	00,000		Shares Voted	: 1,200,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Michael Bailey	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.										
		Blended Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.										
1.2		Elect Director Michael Kalos	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propose	d nominees as	no significan	t concerns	have been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.										
1.3		Elect Director Julia P. Gregory	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propose	d nominees as	no significan	t concerns	have been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.								-		

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **IMV Inc.**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.4		Elect Director Andrew Hall		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote Fo	OR all proposed	nominees as r	no significan	t concerns h	ave been ide	ntified at this t	time.				
		Blended Rationale: Vote FOR al	l proposed nom	inees as no sig	gnificant con	cerns have b	peen identifie	d at this time.					
1.5		Elect Director Kyle Kuvalanka		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote Fo	OR all proposed	nominees as r	no significan	t concerns h	ave been ide	ntified at this t	time.				
		Blended Rationale: Vote FOR al	l proposed nom	inees as no sig	gnificant con	cerns have b	peen identifie	d at this time.					
1.6		Elect Director Shermaine Tilley		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote Fo	OR all proposed	nominees as r	no significan	t concerns h	ave been ide	ntified at this t	time.				
		Blended Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.											
1.7		Elect Director Markus Warmuth Mgmt Yes For For For For No								No	No		
		Voting Policy Rationale: Vote Fo	OR all proposed	nominees as r	no significan	t concerns h	ave been ide	ntified at this เ	time.				
		Blended Rationale: Vote FOR a	ll proposed nom	inees as no sig	gnificant con	cerns have b	peen identifie	d at this time.					
1.8		Elect Director Brittany Davison		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote Fo	OR all proposed	nominees as r	no significan	t concerns h	ave been ide	ntified at this t	time.				
		Blended Rationale: Vote FOR al	l proposed nom	inees as no sig	gnificant con	cerns have b	peen identifie	d at this time.					
2		Approve PricewaterhouseCoop as Auditors and Authorize Boar Their Remuneration		Mgmt	Yes	For	For		For	For	No	No	No
3		Amend Deferred Share Unit Pla	an	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable S	nares	Shares '	Voted
RTRF, RTRF		392078	Confirmed	jbisset		jbisset				1,200,0	00	1,200	,000
				06/21/2022	2	06/21/202	22						
		Total Shares: 1,200,000									1,200	,000	

### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	0.9%	27,525,895	99.1%	246,171	0.9%	N/A	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	0.7%	27,573,095	99.3%	198,970	0.7%	N/A	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	2.3%	27,144,284	97.7%	627,782	2.3%	N/A	N/A	Proxy	F+A
1.4	Y	Pass	No	For	0.7%	27,568,568	99.3%	203,498	0.7%	N/A	N/A	Proxy	F+A
1.5	Y	Pass	No	For	0.7%	27,569,209	99.3%	202,856	0.7%	N/A	N/A	Proxy	F+A
1.6	Y	Pass	No	For	1.6%	27,333,424	98.4%	438,641	1.6%	N/A	N/A	Proxy	F+A
1.7	Y	Pass	No	For	1.5%	27,354,231	98.5%	417,835	1.5%	N/A	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	0.7%	27,582,627	99.3%	189,439	0.7%	N/A	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Show of hands	F+A
3	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Show of hands	F+A

# Mitsubishi Electric Corp.

Meeting Date: 06/29/2022

Country: Japan

Ticker: 6503

Proxy Level: N/A

**Record Date:** 03/31/2022

Meeting Type: Annual Primary Security ID: J43873116 Primary CUSIP: J43873116 **Meeting ID:** 1658206

Primary SEDOL: 6597045

Earliest Cutoff Date: 06/27/2022

Total Ballots: 1

**Primary ISIN:** JP3902400005

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 2,000,000

\*Shares on Loan: 0

Shares Instructed: 2,000,000

**Shares Voted:** 2,000,000

Additional Voting

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
1		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Elect Director Yabunaka, Mitoji	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
2.2		Elect Director Obayashi, Hiroshi	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
2.3		Elect Director Watanabe, Kazunori	Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Koide, Hiroko	Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Oyamada, Takashi	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this di majority independent and this outside director.				* The board	after this mee	eting will not	be			
		Blended Rationale: A vote AGAINST this director majority independent and this outside director.				e board aftei	r this meeting	will not be				
2.6		Elect Director Kosaka, Tatsuro	Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Yanagi, Hiroyuki	Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Uruma, Kei	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this no responsible for the test data falsification inciden		ranted becau	ise: * Presido	ent Uruma si	hould be ultim	ately held				
		Blended Rationale: A vote AGAINST this nomine responsible for the test data falsification inciden		d because: 3	* President U	Iruma should	l be ultimately	held				
2.9		Elect Director Kawagoishi, Tadashi	Mgmt	Yes	For	For		For	For	No	No	No
2.10		Elect Director Masuda, Kuniaki	Mgmt	Yes	For	For		For	For	No	No	No
2.11		Elect Director Nagasawa, Jun	Mgmt	Yes	For	For		For	For	No	No	No
2.12		Elect Director Kaga, Kunihiko	Mgmt	Yes	For	For		For	For	No	No	No

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		2,000,000	2,000,000
			06/21/2022	06/21/2022			
					Total Shares:	2 000 000	2 000 000

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	18,265,182	99.8%	11,407	N/A	475	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	14,904,190	81.4%	3,015,483	N/A	357,378	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	14,491,707	79.2%	3,427,969	N/A	357,378	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	15,020,860	82.1%	2,911,295	N/A	344,907	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	15,688,428	85.7%	2,190,954	N/A	397,675	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	11,747,787	64.2%	6,184,356	N/A	344,907	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	18,059,917	98.7%	215,709	N/A	1,436	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	17,445,833	95.3%	829,788	N/A	1,436	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	10,702,265	58.5%	7,059,954	N/A	514,828	N/A	Poll	F+A+AB
2.9	Υ	Pass	No	For	N/A	14,723,193	80.4%	3,208,956	N/A	344,907	N/A	Poll	F+A+AB
2.10	Υ	Pass	No	For	N/A	15,765,404	86.1%	2,166,743	N/A	344,907	N/A	Poll	F+A+AB
2.11	Υ	Pass	No	For	N/A	17,357,417	94.8%	918,204	N/A	1,436	N/A	Poll	F+A+AB
2.12	Υ	Pass	No	For	N/A	18,108,785	98.9%	166,841	N/A	1,436	N/A	Poll	F+A+AB

# Mitsubishi UFJ Financial Group, Inc.

Meeting Date: 06/29/2022 **Record Date:** 03/31/2022

Earliest Cutoff Date: 06/27/2022

3.7

**Primary Security ID:** J44497105

Country: Japan

Meeting Type: Annual Primary CUSIP: J44497105

**Total Ballots:** 1

Ticker: 8306

Voting Policy: ISS

Meeting ID: 1658426

**Primary ISIN:** JP3902900004

Proxy Level: N/A

Primary SEDOL: 6335171

**Additional Policy: Shares Voted:** 7,000,000

Votable S	hares: 7,000,000	*Shares on Loan: 0			Shares In	nstructed: 7,0	00,000		Shares Voted	1: 7,000,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 14.5	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Fujii, Mariko	Mgmt	Yes	For	For		For	For	No	No	No
3.2		Elect Director Honda, Keiko	Mgmt	Yes	For	For		For	For	No	No	No
3.3		Elect Director Kato, Kaoru	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Kuwabara, Satoko	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Toby S. Myerson	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Nomoto, Hirofumi	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this majority independent and this outside director				: * The board	d after this me	eting will no	t be			
		Blended Rationale: A vote AGAINST this dire	ctor nominee is	warranted b	ecause: * Ti	he board afte	er this meeting	will not be			-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

majority independent and this outside director nominee lacks independence.

Elect Director Shingai, Yasushi

For

For

For

For

No

No

No

# Mitsubishi UFJ Financial Group, Inc.

Proposal Signifi Number Vote	icant	Proposal Text	• ′	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3.8		Elect Director Tsuji, Koichi		Mgmt	Yes	For	For		For	For	No	No	No
3.9		Elect Director Tarisa Watanaga	se	Mgmt	Yes	For	For		For	For	No	No	No
3.10		Elect Director Ogura, Ritsuo		Mgmt	Yes	For	For		For	For	No	No	No
3.11		Elect Director Miyanaga, Kenich	ni	Mgmt	Yes	For	For		For	For	No	No	No
3.12		Elect Director Mike, Kanetsugu		Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote of company's capital misallocation.		lirector nomine	e is warrant	ed because:	* Top mana	gement is resp	oonsible for t	the			
		Blended Rationale: A vote AGAI company's capital misallocation.		or nominee is ı	warranted be	ecause: * To	op manageme	ent is responsi	ble for the				
3.13		Elect Director Kamezawa, Hiror	nori	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote of company's capital misallocation.		lirector nomine	ee is warrant	ed because:	* Top mana	gement is resp	oonsible for t	the			
		Blended Rationale: A vote AGAI company's capital misallocation.		or nominee is ı	warranted be	ecause: * To	op manageme	ent is responsi	ble for the				
3.14		Elect Director Nagashima, Iwac	)	Mgmt	Yes	For	For		For	For	No	No	No
3.15		Elect Director Hanzawa, Junich	i	Mgmt	Yes	For	For		For	For	No	No	No
3.16		Elect Director Kobayashi, Mako	to	Mgmt	Yes	For	For		For	For	No	No	No
4		Amend Articles to Prohibit Loar Companies Which Show Disreg Personal Information		SH	Yes	Against	Against		Against	Against	No	No	No
5		Amend Articles to Prohibit Loar Companies Involved in Defama		SH	Yes	Against	Against		Against	Against	No	No	No
6		Amend Articles to Introduce Proconcerning Learning from System Failures at Mizuho Financial Group	em	SH	Yes	Against	Against		Against	Against	No	No	No
<b>Ballot Details</b>													
Institutional Account (IA Name, IA Number		Custodian Account Number	Ballot Status	Instructed	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
RTRF, RTRF		392078	Confirmed	jbisset		jbisset				7,000,0	000	7,000	,000
				06/21/2022	2	06/21/20	22						
									Total Shares	: 7,000,	000	7,000	,000

### **Meeting Results**

Outstanding Shares Voted: 126,414,701 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	100,886,971	99.6%	99,564	N/A	7,910	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	100,803,105	99.6%	111,867	N/A	6,323	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	100,027,572	98.7%	959,596	N/A	7,105	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	100,120,773	98.8%	866,397	N/A	7,105	N/A	Poll	F+A+AB
3.3	Y	Pass	No	For	N/A	99,790,867	98.5%	1.195.252	N/A	7.105	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
3.4	Y	Pass	No	For	N/A	98,563,589	97.3%	2,423,558	N/A	7,105	N/A	Poll	F+A+AB
3.5	Υ	Pass	No	For	N/A	100,089,148	98.8%	898,029	N/A	7,105	N/A	Poll	F+A+AB
3.6	Υ	Pass	No	For	N/A	83,997,266	82.9%	16,989,875	N/A	7,105	N/A	Poll	F+A+AB
3.7	Υ	Pass	No	For	N/A	98,563,041	97.3%	2,423,963	N/A	7,105	N/A	Poll	F+A+AB
3.8	Υ	Pass	No	For	N/A	100,108,682	98.8%	878,440	N/A	7,105	N/A	Poll	F+A+AB
3.9	Υ	Pass	No	For	N/A	100,106,112	98.8%	881,067	N/A	7,105	N/A	Poll	F+A+AB
3.10	Υ	Pass	No	For	N/A	97,259,476	96.0%	3,712,956	N/A	21,801	N/A	Poll	F+A+AB
3.11	Υ	Pass	No	For	N/A	97,255,271	96.0%	3,717,166	N/A	21,801	N/A	Poll	F+A+AB
3.12	Y	Pass	No	For	N/A	86,020,970	84.9%	13,934,747	N/A	1,038,513	N/A	Poll	F+A+AB
3.13	Υ	Pass	No	For	N/A	82,931,165	81.9%	18,055,959	N/A	7,105	N/A	Poll	F+A+AB
3.14	Y	Pass	No	For	N/A	100,044,595	98.8%	927,846	N/A	21,801	N/A	Poll	F+A+AB
3.15	Υ	Pass	No	For	N/A	100,019,779	98.7%	952,551	N/A	21,801	N/A	Poll	F+A+AB
3.16	Y	Pass	No	For	N/A	100,012,821	98.7%	959,264	N/A	21,901	N/A	Poll	F+A+AB
4	Y	Fail	No	Against	N/A	2,242,761	2.2%	98,698,737	N/A	53,014	N/A	Poll	F+A+AB
5	Y	Fail	No	Against	N/A	2,219,635	2.2%	98,725,793	N/A	49,072	N/A	Poll	F+A+AB
6	Y	Fail	No	Against	N/A	2,210,314	2.2%	98,750,472	N/A	33,622	N/A	Poll	F+A+AB

# **Sumitomo Mitsui Financial Group, Inc.**

Meeting Date: 06/29/2022 Record Date: 03/31/2022 Primary Security ID: J7771X109

**Country:** Japan **Meeting Type:** Annual

Primary CUSIP: J7771X109

Ticker: 8316

Meeting ID: 1659015

Primary ISIN: 193890350

**Primary ISIN:** JP3890350006

Proxy Level: N/A

Primary SEDOL: 6563024

Earliest Cutoff Date: 06/27/2022 Votable Shares: 400,000

\*Shares on Loan: 0

**Total Ballots:** 1

Voting Policy: ISS

Shares Instructed: 400,000

Additional Policy: Shares Voted: 400.000

Votable Si	nares: 400,000	*Shares on Loan: 0			Shares I	nstructed: 400	0,000		Shares Voted	l: 400,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 105	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Kunibe, Takeshi	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST the company's capital misallocation.	nis director nomine	ee is warrani	ted because	e: * Top mana	agement is res	ponsible for	the		-	
		Blended Rationale: A vote AGAINST this discompany's capital misallocation.	rector nominee is	warranted b	ecause: * 7	op managem	ent is respons	ible for the			-	
3.2		Elect Director Ota, Jun	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST the company's capital misallocation.	nis director nomine	ee is warrant	ted because	e: * Top mana	agement is res	ponsible for	the		-	
		Disarded Deticated Assets ACAINCT this di				F		:1-1- 6 41			-	

Blended Rationale: A vote AGAINST this director nominee is warranted because: \* Top management is responsible for the company's capital misallocation.

# **Sumitomo Mitsui Financial Group, Inc.**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3.3		Elect Director Takashima, Makoto		Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Nakashima, Toru		Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Kudo, Teiko		Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Inoue, Atsuhiko		Mgmt	Yes	For	For		For	For	No	No	No
3.7		Elect Director Isshiki, Toshihiro		Mgmt	Yes	For	For		For	For	No	No	No
3.8		Elect Director Kawasaki, Yasuyuki		Mgmt	Yes	For	For		For	For	No	No	No
3.9		Elect Director Matsumoto, Masayuk	i	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGA majority independent and this outside					* The board	after this mee	eting will not	t be			
		Blended Rationale: A vote AGAINST majority independent and this outside					e board afte	r this meeting	will not be				
3.10		Elect Director Arthur M. Mitchell		Mgmt	Yes	For	For		For	For	No	No	No
3.11		Elect Director Yamazaki, Shozo		Mgmt	Yes	For	For		For	For	No	No	No
3.12		Elect Director Kono, Masaharu		Mgmt	Yes	For	For		For	For	No	No	No
3.13		Elect Director Tsutsui, Yoshinobu		Mgmt	Yes	For	For		For	For	No	No	No
3.14		Elect Director Shimbo, Katsuyoshi		Mgmt	Yes	For	For		For	For	No	No	No
3.15		Elect Director Sakurai, Eriko		Mgmt	Yes	For	For		For	For	No	No	No
4		Amend Articles to Disclose Plan Outlining Company's Business Strat to Align Investments with Goals of Paris Agreement	egy	SH	Yes	Against	Against		Against	Against	No	No	No
5		Amend Articles to Disclose Measure to be Taken to Make Sure that the Company's Lending and Underwriti are not Used for Expansion of Fossi Fuel Supply or Associated Infrastructure	ng	SH	Yes	Against	Against		Against	Against	No	No	No
Ballot Deta	ails												
Institutional (IA Name, I	Account Detail Number)	Custodian Account Number Ba	llot Status	Instructed	I	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
RTRF, RTRF		392078 Col	nfirmed	jbisset		jbisset				400,0	00	400,	000

### Meeting Results

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	10,289,759	99.4%	38,507	N/A	3,529	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	10,306,550	99.6%	21,737	N/A	3,542	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	6.727.978	65.0%	3.600.189	N/A	3,523	N/A	Poll	F+A+AB

06/21/2022

Total Shares:

400,000

400,000

06/21/2022

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
3.2	Y	Pass	No	For	N/A	6,374,789	61.6%	3,953,365	N/A	3,525	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	9,839,523	95.0%	483,303	N/A	8,880	N/A	Poll	F+A+AB
3.4	Υ	Pass	No	For	N/A	9,797,734	94.6%	525,089	N/A	8,880	N/A	Poll	F+A+AB
3.5	Υ	Pass	No	For	N/A	9,815,557	94.8%	507,271	N/A	8,876	N/A	Poll	F+A+AB
3.6	Y	Pass	No	For	N/A	9,398,020	90.8%	924,788	N/A	8,879	N/A	Poll	F+A+AB
3.7	Υ	Pass	No	For	N/A	9,396,185	90.8%	926,624	N/A	8,879	N/A	Poll	F+A+AB
3.8	Υ	Pass	No	For	N/A	7,086,584	68.5%	3,210,183	N/A	34,917	N/A	Poll	F+A+AB
3.9	Υ	Pass	No	For	N/A	6,719,858	64.9%	3,581,657	N/A	30,166	N/A	Poll	F+A+AB
3.10	Υ	Pass	No	For	N/A	9,325,228	90.1%	1,002,952	N/A	3,524	N/A	Poll	F+A+AB
3.11	Υ	Pass	No	For	N/A	9,962,653	96.2%	365,527	N/A	3,526	N/A	Poll	F+A+AB
3.12	Υ	Pass	No	For	N/A	9,297,402	89.8%	1,030,768	N/A	3,524	N/A	Poll	F+A+AB
3.13	Υ	Pass	No	For	N/A	9,141,507	88.3%	1,160,012	N/A	30,169	N/A	Poll	F+A+AB
3.14	Υ	Pass	No	For	N/A	9,953,355	96.1%	374,826	N/A	3,525	N/A	Poll	F+A+AB
3.15	Υ	Pass	No	For	N/A	9,335,263	90.2%	952,946	N/A	43,485	N/A	Poll	F+A+AB
4	Υ	Fail	No	Against	N/A	2,800,946	27.1%	7,325,280	N/A	205,502	N/A	Poll	F+A+AB
5	Y	Fail	No	Against	N/A	989,505	9.6%	9,112,283	N/A	229,968	N/A	Poll	F+A+AB

# **Marks & Spencer Group Plc**

Statutory Reports

Meeting Date: 07/05/2022 **Record Date:** 07/01/2022

Votable Shares: 3,900,000

Primary Security ID: G5824M107

Earliest Cutoff Date: 06/30/2022

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G5824M107

**Total Ballots:** 1 \*Shares on Loan: 0 Ticker: MKS

**Meeting ID:** 1618773

**Primary ISIN:** GB0031274896

Voting Policy: ISS

Shares Instructed: 3,900,000

Proxy Level: N/A

Primary SEDOL: 3127489

**Additional Policy:** Shares Voted: 3,900,000

Additional Voting Vote Vote Vote Policy Significant Votable Mgmt Vote Against Proposal Policy Against Against Number Vote **Proposal Text** Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS 1 Accept Financial Statements and Mgmt Yes For For For For No No No

# **Marks & Spencer Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2		Approve Remuneration Report	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST the re Rowe's notice is set to be served on his last da practice, his formal notice should have commen market. This has given rise to a "notice of notice contributory factors towards the adverse vote of granting Steve Rowe a bonus in FY2021/22, dee positioning for CEO Stuart Machin and Co-CEO directors effectively share the leadership role, a in the Company's market capitalisation in recen	y of employmenced on 10 Ma ce" scenario. C recommendation espite being ur Katie Bickersta and ii) the sala	ent with the arch 2022, w Concerns hav on — that the ader notice b affe, has bed	Company on then his deported also been been been been been been been bee	n 5 July 2022 arture was fo noted – but tion Committo onus paymen ed as a matte	n. However, in a commally annour. The armally annour. The armall are applied discount that are after of concern, ger of concer	line with best need to the highlighted a cretion in ne salary given that i)	the			
		Blended Rationale: A vote AGAINST the remun Rowe's notice is set to be served on his last da practice, his formal notice should have commen market. This has given rise to a "notice of notice contributory factors towards the adverse vote a granting Steve Rowe a bonus in FY2021/22, de positioning for CEO Stuart Machin and Co-CEO directors effectively share the leadership role, a in the Company's market capitalisation in recen	y of employmoned on 10 Mare" scenario. Crecommendation of the spite being ur Katie Bickerstand ii) the sala	ent with the arch 2022, w Concerns hav on – that the ader notice b affe, has bee	Company on then his deponder also been been been been been been been bee	n 5 July 2022 arture was fo noted – but tion Committo onus paymen ed as a matte	n. However, in nrmally annour, are not being ee applied disc t date. Also, the er of concern, g	line with bes need to the highlighted a cretion in ne salary given that i)	as the			
3		Re-elect Archie Norman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no si	gnificant coi	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
4		Re-elect Eoin Tonge as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no si	gnificant coi	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
5		Re-elect Evelyn Bourke as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ited as no si	gnificant coi	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
6		Re-elect Fiona Dawson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no si	gnificant coi	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
7		Re-elect Andrew Fisher as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no si	gnificant col	ncerns have b	peen identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
8		Re-elect Andy Halford as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no si	gnificant coi	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
9		Re-elect Tamara Ingram as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no si	gnificant col	ncerns have b	been identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
10		Re-elect Justin King as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no si	gnificant coi	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					

Additional Voting

Vote

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Marks & Spencer Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11		Re-elect Sapna Sood as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been	identified.					
12		Elect Stuart Machin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been	identified.					
13		Elect Katie Bickerstaffe as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been	identified.					
14		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonance of the series of the	lutions is warra	anted becaus	se the propo	sed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	l because the	e proposed a	amounts and	durations are	within				
18		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resor recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	l because the	e proposed a	amounts and	durations are	within				
19		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonance of the series of the	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	l because the	e proposed a	amounts and	durations are	within				
20		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
21		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No
22		Approve Renewal of Share Incentive Plan	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorise Purchase by Marks and Spencer plc of Ordinary Shares in The Sports Edit Limited from Justin King	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails											

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		3,900,000	3,900,000
			06/27/2022	06/27/2022			

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Marks & Spencer Group Plc**

Total Shares: 3,900,000 3,900,000

### **Meeting Results**

Outstanding Shares Voted: 1,964,019,744

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	1,339,451,971	100.0%	157,191	0.0%	1,411,785	N/A	Poll	F+A
2	Y	Pass	No	For	29.1%	930,901,466	70.9%	382,304,226	29.1%	27,809,219	N/A	Poll	F+A
3	Υ	Pass	No	For	3.9%	1,288,556,149	96.1%	52,271,066	3.9%	204,180	N/A	Poll	F+A
4	Υ	Pass	No	For	0.4%	1,335,843,323	99.6%	4,958,347	0.4%	251,353	N/A	Poll	F+A
5	Υ	Pass	No	For	12.2%	1,176,809,541	87.8%	163,959,382	12.2%	283,901	N/A	Poll	F+A
6	Υ	Pass	No	For	1.1%	1,326,480,850	98.9%	14,279,272	1.1%	290,202	N/A	Poll	F+A
7	Υ	Pass	No	For	1.8%	1,316,596,126	98.2%	24,159,878	1.8%	272,212	N/A	Poll	F+A
8	Υ	Pass	No	For	2.6%	1,305,732,873	97.4%	35,035,074	2.6%	282,857	N/A	Poll	F+A
9	Υ	Pass	No	For	1.7%	1,318,085,555	98.3%	22,646,240	1.7%	292,311	N/A	Poll	F+A
10	Υ	Pass	No	For	1.1%	1,326,501,796	98.9%	14,310,651	1.1%	240,759	N/A	Poll	F+A
11	Υ	Pass	No	For	1.1%	1,326,415,831	98.9%	14,330,957	1.1%	277,318	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	1,340,304,101	100.0%	475,033	0.0%	267,080	N/A	Poll	F+A
13	Υ	Pass	No	For	0.3%	1,337,195,657	99.7%	3,583,671	0.3%	269,386	N/A	Poll	F+A
14	Υ	Pass	No	For	0.1%	1,330,455,419	99.9%	1,384,747	0.1%	9,206,048	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	1,339,612,877	99.9%	1,217,059	0.1%	214,229	N/A	Poll	F+A
16	Υ	Pass	No	For	5.3%	1,268,497,930	94.7%	70,333,790	5.3%	2,214,494	N/A	Poll	F+A
17	Υ	Pass	No	For	2.0%	1,313,858,056	98.0%	26,945,573	2.0%	242,585	N/A	Poll	F+A
18	Y	Pass	No	For	0.3%	1,336,141,058	99.7%	4,508,799	0.3%	394,992	N/A	Poll	F+A
19	Υ	Pass	No	For	4.6%	1,279,181,425	95.4%	61,473,173	4.6%	390,251	N/A	Poll	F+A
20	Y	Pass	No	For	0.8%	1,328,435,544	99.2%	10,854,595	0.8%	1,755,985	N/A	Poll	F+A
21	Y	Pass	No	For	5.6%	1,256,405,720	94.4%	74,404,099	5.6%	226,395	N/A	Poll	F+A
22	Y	Pass	No	For	0.0%	1,340,136,466	100.0%	543,524	0.0%	366,224	N/A	Poll	F+A
23	Υ	Pass	No	For	0.0%	1,340,023,164	100.0%	414,688	0.0%	606,997	N/A	Poll	F+A

# **BT Group Plc**

Meeting Date: 07/14/2022 **Record Date:** 07/12/2022 Primary Security ID: G16612106 Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G16612106

Ticker: BT.A

**Meeting ID:** 1651163

Primary ISIN: GB0030913577

Proxy Level: N/A

Primary SEDOL: 3091357

# **BT Group Plc**

Votable Shares: 5,581,000

Earliest Cutoff Date: 07/11/2022

Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 5,581,000

**Additional Policy:** 

**Shares Voted:** 5,581,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
4		Elect Adam Crozier as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is warra	anted as no .	significant co	oncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warranted	l as no signii	ficant concer	ns have bee	n identified.					
5		Re-elect Philip Jansen as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is warra	anted as no .	significant co	oncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warranted	d as no signii	ficant concer	ns have bee	n identified.					
6		Re-elect Simon Lowth as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is warra	anted as no .	significant co	oncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warranted	d as no signii	ficant concer	ns have bee	n identified.					
7		Re-elect Adel Al-Saleh as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is warra	anted as no .	significant co	oncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warranted	d as no signii	ficant concer	ns have bee	n identified.					
8		Re-elect Sir Ian Cheshire as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is warra	anted as no .	significant co	oncerns have	e been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warranted	d as no signii	ficant concer	ns have bee	n identified.					
9		Re-elect Iain Conn as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is warra	anted as no .	significant co	oncerns have	e been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warranted	l as no signii	ficant concer	ns have bee	n identified.					
10		Re-elect Isabel Hudson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is warra	anted as no .	significant co	ncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warranted	d as no signii	ficant concer	ns have bee	n identified.					
11		Re-elect Matthew Key as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is warra	anted as no .	significant co	ncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warranted	d as no signii	ficant concer	ns have bee	n identified.					
12		Re-elect Allison Kirkby as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is warra	anted as no .	significant co	oncerns have	e been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warranted	d as no signii	ficant concer	ns have bee	n identified.					
13		Re-elect Sara Weller as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.										
		Blended Rationale: A vote FOR these candidate	es is warranted	d as no signii	ficant concer	ns have bee	n identified.					
14		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **BT Group Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
15		Authorise the Audit & Risk Cor to Fix Remuneration of Auditor		Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the prop	oosed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because the	e proposed	l amounts and	durations are	within				
17		Authorise Issue of Equity with Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the prop	oosed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.											
18		Authorise Issue of Equity with Pre-emptive Rights in Connect an Acquisition or Other Capital Investment	ion with	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the prop	oosed amounts	and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because the	e proposed	l amounts and	durations are	within				
19		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorise the Company to Call Meeting with Two Weeks' Noti		Mgmt	Yes	For	For		For	For	No	No	No
21		Authorise UK Political Donation	าร	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutiona (IA Name, I	l Account Detail A Number)	Custodian Account Number Ballot Status Instructed			i	Approv	ved .	Ballot Voting	Status	Votable S	hares	Shares	Voted
RTRF, RTRF		392078	Confirmed	jbisset		jbisset				5,581,0	000	5,581	,000
				07/08/2022	2	07/08/2	1022						

### **Meeting Results**

Outstanding Shares Voted: 9,928,556,204

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	6,884,918,349	100.0%	3,090,764	0.0%	18,627,473	N/A	Poll	F+A
2	Υ	Pass	No	For	6.4%	6,408,190,533	93.6%	439,625,354	6.4%	58,845,040	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	6,903,698,159	100.0%	1,552,001	0.0%	1,387,433	N/A	Poll	F+A
4	Υ	Pass	No	For	0.5%	6,870,405,553	99.5%	31,994,754	0.5%	4,248,931	N/A	Poll	F+A
5	Υ	Pass	No	For	0.1%	6,898,551,134	99.9%	5,954,099	0.1%	2,226,347	N/A	Poll	F+A
6	Υ	Pass	No	For	0.3%	6,886,397,247	99.7%	17,729,620	0.3%	2,510,407	N/A	Poll	F+A
7	Υ	Pass	No	For	5.8%	6,499,721,607	94.2%	403,635,170	5.8%	3,278,503	N/A	Poll	F+A

5,581,000

5,581,000

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
8	Υ	Pass	No	For	10.2%	6,202,483,392	89.8%	701,632,470	10.2%	2,511,991	N/A	Poll	F+A
9	Υ	Pass	No	For	5.5%	6,306,485,497	94.5%	365,204,219	5.5%	234,940,523	N/A	Poll	F+A
10	Υ	Pass	No	For	4.9%	6,564,844,200	95.1%	339,235,402	4.9%	2,546,602	N/A	Poll	F+A
11	Υ	Pass	No	For	5.1%	6,553,182,141	94.9%	350,853,443	5.1%	2,589,339	N/A	Poll	F+A
12	Υ	Pass	No	For	4.9%	6,565,454,993	95.1%	338,679,358	4.9%	2,500,511	N/A	Poll	F+A
13	Υ	Pass	No	For	4.9%	6,565,482,663	95.1%	338,659,808	4.9%	2,492,381	N/A	Poll	F+A
14	Υ	Pass	No	For	0.3%	6,885,608,174	99.7%	18,716,105	0.3%	2,235,437	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	6,899,556,184	99.9%	4,730,501	0.1%	2,295,852	N/A	Poll	F+A
16	Υ	Pass	No	For	4.7%	4,880,980,923	95.3%	238,220,255	4.7%	1,787,401,193	N/A	Poll	F+A
17	Υ	Pass	No	For	1.2%	6,819,953,992	98.8%	82,199,972	1.2%	4,399,869	N/A	Poll	F+A
18	Υ	Pass	No	For	2.0%	6,766,054,033	98.0%	137,445,641	2.0%	3,050,763	N/A	Poll	F+A
19	Υ	Pass	No	For	0.3%	6,881,778,233	99.7%	22,400,610	0.3%	2,405,619	N/A	Poll	F+A
20	Υ	Pass	No	For	3.6%	6,652,979,608	96.4%	251,629,194	3.6%	1,955,283	N/A	Poll	F+A
21	Y	Pass	No	For	1.0%	6,834,991,613	99.0%	70,001,397	1.0%	1,608,818	N/A	Poll	F+A

Meeting Date: 07/26/2022

**Record Date:** 06/13/2022

Primary Security ID: G93882192

Country: United Kingdom Meeting Type: Annual

**Primary CUSIP:** G93882192

Ticker: VOD

Meeting ID: 1659494

Primary ISIN: GB00BH4HKS39

Proxy Level: N/A

Primary SEDOL: BH4HKS3

Earliest Cutoff Date: 07/20/2022 Total Ballots: 1 Votable Shares: 250,000

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 250,000

**Additional Policy:** Shares Voted: 250,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Meeting for ADR Holders	Mgmt	No								
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Re-elect Jean-Francois van Boxmeer as Director	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: Item 2 A vote FOR the re-election of Jean-Francois van Boxmeer is warranted, although it is not without concern because: \* As Nomination Committee Chair, Jean-Francois van Boxmeer is considered responsible for incorporating sufficient diversity on the Board. It is highlighted that the Board does not comprise at least one ethnically diverse Director on the Board. The main reason for support is: \* The non-compliance is a result of a NED resignation in July 2021. The Company has provided a commitment to achieve ethnic diversity, following the recommendation of the Parker Review. This will be kept under review. Items 3-14 A vote FOR these Directors is warranted as no significant concerns have been identified.

Blended Rationale: Item 2 A vote FOR the re-election of Jean-Francois van Boxmeer is warranted, although it is not without concern because: \* As Nomination Committee Chair, Jean-Francois van Boxmeer is considered responsible for incorporating sufficient diversity on the Board. It is highlighted that the Board does not comprise at least one ethnically diverse Director on the Board. The main reason for support is: \* The non-compliance is a result of a NED resignation in July 2021. The Company has provided a commitment to achieve ethnic diversity, following the recommendation of the Parker Review. This will be kept under review. Items 3-14 A vote FOR these Directors is warranted as no significant concerns have been identified.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Re-elect Nick Read as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Conincorporating sufficient diversity on the Board. Director on the Board. The main reason for sup Company has provided a commitment to achieve be kept under review. Items 3-14 A vote FOR to	nmittee Chair, It is highlighte oport is: * The ve ethnic diver.	Jean-Franco d that the Bo non-complia sity, followin	is van Boxmo pard does no nnce is a resu g the recomi	eer is conside t comprise a elt of a NED e mendation o	ered responsib at least one eth resignation in . f the Parker Re	ole for Anically diver July 2021. Ti Eview. This v	he			
		Blended Rationale: Item 2 A vote FOR the re-exconcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * Thas provided a commitment to achieve ethnic cunder review. Items 3-14 A vote FOR these Directions.	Chair, Jean-Fra ed that the Boa he non-complia diversity, follow	ancois van Bard does not ance is a resouing the reco	oxmeer is co comprise at ult of a NED ommendation	nsidered responsible responsible resignation of the Parke	ponsible for ind hnically diverse in July 2021. T er Review. This	corporating e Director on the Company s will be kept	,			
4		Re-elect Margherita Della Valle as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Conincorporating sufficient diversity on the Board. Director on the Board. The main reason for sup Company has provided a commitment to achieve be kept under review. Items 3-14 A vote FOR to	nmittee Chair, It is highlighte oport is: * The ve ethnic diver:	Jean-Franco d that the Bo non-complia sity, followin	is van Boxmo pard does no nnce is a resu g the recomi	eer is conside t comprise a elt of a NED e mendation o	ered responsib at least one eth resignation in . f the Parker Re	ole for Anically diver July 2021. Ti Eview. This v	he			
		Blended Rationale: Item 2 A vote FOR the re-exconcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * Thas provided a commitment to achieve ethnic cunder review. Items 3-14 A vote FOR these Directions.	Chair, Jean-Fra ed that the Boa he non-complia diversity, follow	ancois van Bo ard does not ance is a reso ving the reco	oxmeer is co comprise at ult of a NED ommendation	nsidered responsible responsible resignation of the Parke	ponsible for ind hnically diverse in July 2021. T er Review. This	corporating e Director on the Company s will be kept	,			
5		Elect Stephen Carter as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Conincorporating sufficient diversity on the Board. Director on the Board. The main reason for sup Company has provided a commitment to achieve be kept under review. Items 3-14 A vote FOR the Republic Republic Services.	nmittee Chair, It is highlighte pport is: * The ve ethnic divers these Directors	Jean-Franco d that the Bo non-complia sity, followin is warranted	is van Boxmo pard does no nnce is a resu g the recomi d as no signii	eer is conside t comprise a lt of a NED i mendation of ficant concer	ered responsib It least one eth resignation in f the Parker Re rns have been	ole for nically diver July 2021. Th eview. This v identified.	he			
		Blended Rationale: Item 2 A vote FOR the re-ei- concern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * Ti has provided a commitment to achieve ethnic of under review. Items 3-14 A vote FOR these Dir	Chair, Jean-Fra ed that the Boa he non-complia diversity, follow	ancois van Bo ard does not ance is a reso ving the reco	oxmeer is co comprise at ult of a NED ommendation	nsidered respleast one ethe resignation of the Parke	ponsible for ind hnically diverse in July 2021. T er Review. This	corporating e Director on the Company s will be kept	,			
6		Re-elect Sir Crispin Davis as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Conincorporating sufficient diversity on the Board. Director on the Board. The main reason for sup Company has provided a commitment to achieve be kept under review. Items 3-14 A vote FOR to	nmittee Chair, It is highlighte oport is: * The ve ethnic diver:	Jean-Franco d that the Bo non-complia sity, followin	is van Boxmo pard does no nnce is a resu g the recomi	eer is conside t comprise a elt of a NED e mendation o	ered responsib at least one eth resignation in . f the Parker Re	ole for Anically diver July 2021. Ti Eview. This v	he			
		Blended Rationale: Item 2 A vote FOR the re-exconcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * The has provided a commitment to achieve ethnic counder review. Items 3-14 A vote FOR these Directions.	Chair, Jean-Fra ed that the Boa he non-complia diversity, follow	ancois van Bo ard does not ance is a reso ving the reco	oxmeer is co comprise at ult of a NED ommendation	nsidered responsible responsible resignation of the Parke	ponsible for ind hnically diverse in July 2021. T er Review. This	corporating e Director on the Company s will be kept	•			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Re-elect Michel Demare as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Conincorporating sufficient diversity on the Board. Director on the Board. The main reason for sup Company has provided a commitment to achie be kept under review. Items 3-14 A vote FOR the sufficient of the suff	nmittee Chair, It is highlighte oport is: * The ve ethnic diver	Jean-Franco d that the Bo non-complia sity, followin	is van Boxm pard does no ance is a resu ng the recom	eer is consid t comprise a Ilt of a NED i mendation o	lered responsib at least one eth resignation in . of the Parker Ro	ble for Innically dive July 2021. T eview. This	The			
		Blended Rationale: Item 2 A vote FOR the re-e- concern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * T. has provided a commitment to achieve ethnic of under review. Items 3-14 A vote FOR these Directions.	Chair, Jean-Fra ed that the Boa the non-compli diversity, follov	ancois van Bard does not ance is a res ving the reco	oxmeer is co comprise at ult of a NED ommendation	nsidered responsible ast one ether the signation of the Parke	ponsible for in hnically diverse in July 2021. T er Review. Thi	corporating e Director of The Compan s will be kep	y			
8		Elect Delphine Ernotte Cunci as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Cor incorporating sufficient diversity on the Board. Director on the Board The main reason for sufficient provided a commitment to achie be kept under review. Items 3-14 A vote FOR to	nmittee Chair, It is highlighte oport is: * The ve ethnic diver	Jean-Franco d that the Bo non-complia sity, followin	is van Boxm pard does no ance is a resu ng the recom	eer is consid t comprise a Ilt of a NED i mendation o	lered responsib at least one eth resignation in . If the Parker Ro	ble for Innically dive July 2021. T eview. This	The			
		Blended Rationale: Item 2 A vote FOR the re-econcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * Thas provided a commitment to achieve ethnic cunder review. Items 3-14 A vote FOR these Directions.	Chair, Jean-Fra ed that the Boa the non-compli diversity, follov	ancois van Bard does not ance is a res ving the reco	oxmeer is co comprise at ult of a NED ommendation	nsidered res least one eti resignation i of the Parke	ponsible for in hnically diverse in July 2021. T er Review. Thi	corporating e Director of The Compan s will be kep	y			
9		Re-elect Dame Clara Furse as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Corincorporating sufficient diversity on the Board. Director on the Board. The main reason for sufficient has provided a commitment to achie be kept under review. Items 3-14 A vote FOR the sufficient of the sufficient sufficient of the sufficient suffic	nmittee Chair, It is highlighte oport is: * The ve ethnic diver	Jean-Franco d that the Bo non-complia sity, followin	is van Boxm oard does no ance is a resu og the recom	eer is consid t comprise a Ilt of a NED i mendation o	lered responsib at least one eth resignation in . of the Parker Ro	ble for Innically dive July 2021. T eview. This	The			
		Blended Rationale: Item 2 A vote FOR the re-econcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * Thas provided a commitment to achieve ethnic cunder review. Items 3-14 A vote FOR these Directions.	Chair, Jean-Fra Ed that the Boa The non-compli The diversity, follov	ancois van Bard does not ance is a res ving the reco	oxmeer is co comprise at ult of a NED ommendation	nsidered respleast one ethe resignation of the Parke	ponsible for in hnically diverse in July 2021. T er Review. Thi	corporating e Director of The Compan s will be kep	y			
10		Re-elect Valerie Gooding as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Cor incorporating sufficient diversity on the Board. Director on the Board. The main reason for sup Company has provided a commitment to achie be kept under review. Items 3-14 A vote FOR the Blended Rationale: Item 2 A vote FOR the reconcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighted the Board. The main reason for support is: * To has provided a commitment to achieve ethnic cunder review. Items 3-14 A vote FOR these Directions in the Board. The main reason for support is: * To has provided a commitment to achieve ethnic cunder review. Items 3-14 A vote FOR these Directions in the Board.	mmittee Chair, It is highlighte poort is: * The ve ethnic diver these Directors lection of Jean Chair, Jean-Fri ed that the Boe the non-compliant fiversity, folloy	Jean-Franco d that the Ba non-complia sity, followin is warranted -Francois van Bancois van Bancois ance is a res	is van Boxm pard does no ance is a resu g the recom d as no signi n Boxmeer is coxmeer is co comprise at ult of a NED mmendation	eer is consident comprise a suit of a NED of the mendation of the marranted, insidered resignation of the Parkit	lered responsible teast one ether esignation in a fithe Parker Runs have been although it is apponsible for in hnically diverse in July 2021. The Review. This	ole for Inically dive July 2021. The eview. This identified. Inot without corporating the Director of the Company s will be kep	The will n			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11		Elect Deborah Kerr as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Con incorporating sufficient diversity on the Board. Director on the Board. The main reason for sup Crompany has provided a commitment to achie be kept under review. Items 3-14 A vote FOR to	mmittee Chair, It is highlighte oport is: * The we ethnic diver	Jean-Franco ed that the B non-complia rsity, followin is is warranted	ois van Boxn oard does n ance is a res ng the recond d as no sign	neer is consider ot comprise a sult of a NED nmendation controller nificant conce	dered responsion at least one etcontential resignation in of the Parker R rns have been	ble for hnically diver July 2021. T eview. This I identified.	The The			
		Blended Rationale: Item 2 A vote FOR the re-e concern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * T has provided a commitment to achieve ethnic under review. Items 3-14 A vote FOR these Div	Chair, Jean-Fr ed that the Boo The non-compli diversity, follov	ancois van B ard does not iance is a res wing the reco	coxmeer is comprise as sult of a NEL commendation	onsidered res t least one et D resignation on of the Park	sponsible for in thnically diversi in July 2021. The rer Review. The	ncorporating e Director or The Company is will be kep	/			
12		Re-elect Maria Amparo Moraleda Martinez as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Coi incorporating sufficient diversity on the Board. Director on the Board. The main reason for sufficient has provided a commitment to achie be kept under review. Items 3-14 A vote FOR the sufficient of the sufficient sufficient of the sufficient suffi	mmittee Chair, It is highlighte oport is: * The ve ethnic divel	Jean-Franco ed that the B non-complia rsity, followin	nis van Boxn Joard does n Jance is a res Jog the recon	neer is consid ot comprise a sult of a NED nmendation o	dered responsion at least one etcon resignation in of the Parker R	ble for hnically diver July 2021. T eview. This t	The .			
		Blended Rationale: Item 2 A vote FOR the re-econcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighted the Board. The main reason for support is: * The has provided a commitment to achieve ethnic cunder review. Items 3-14 A vote FOR these Diversity of the second support	Chair, Jean-Fr ed that the Boo The non-compli diversity, follov	ancois van B ard does not iance is a res wing the reco	comeer is comprise a sult of a NEL commendation	onsidered res t least one et D resignation on of the Park	sponsible for in thnically divers in July 2021. T er Review. Thi	ncorporating e Director or The Company is will be kep	/			
13		Re-elect David Nish as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Coi incorporating sufficient diversity on the Board. Director on the Board. The main reason for sufficient provided a commitment to achie be kept under review. Items 3-14 A vote FOR the without the sufficiency of the sufficiency	mmittee Chair, It is highlighte oport is: * The ve ethnic diver	Jean-Franco ed that the B non-complia rsity, followin	nis van Boxn loard does n lance is a res ng the recon	neer is consid ot comprise a sult of a NED nmendation o	dered responsion at least one etco resignation in and the Parker R	ble for hnically diver July 2021. T eview. This I	The The			
		Blended Rationale: Item 2 A vote FOR the re-econcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * Thas provided a commitment to achieve ethnic under review. Items 3-14 A vote FOR these Diversity of the second support is: * The supp	Chair, Jean-Fr ed that the Boo The non-compli diversity, follov	ancois van B ard does not iance is a res wing the reco	coxmeer is comprise as sult of a NEL commendation	onsidered res t least one et D resignation In of the Park	sponsible for in thnically diversi in July 2021. The rer Review. The	ncorporating e Director or The Company is will be kep	/			
14		Elect Simon Segars as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Coi incorporating sufficient diversity on the Board. Director on the Board. The main reason for sufficient has provided a commitment to achie be kept under review. Items 3-14 A vote FOR Items 3-14 A	mmittee Chair, It is highlighte oport is: * The ve ethnic divel	Jean-Franco ed that the B non-complia rsity, followin	nis van Boxn oard does n ance is a res ng the recon	neer is consid ot comprise a sult of a NED nmendation o	dered responsion at least one etco resignation in of the Parker R	ble for hnically divel July 2021. T eview. This l	The The			
		Blended Rationale: Item 2 A vote FOR the re-econcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * Thas provided a commitment to achieve ethnic under review. Items 3-14 A vote FOR these Divining the support of the suppor	Chair, Jean-Fr ed that the Boo The non-compli diversity, follow	ancois van B ard does not iance is a res wing the reco	comprise as cult of a NEL comprise as cult of a NEL commendation	onsidered res t least one et D resignation on of the Park	sponsible for in thnically diversi in July 2021. The rer Review. Thi	ncorporating e Director or The Company is will be kep	/			
15		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
17		Reappoint Ernst & Young LLP a	as	Mgmt	Yes	For	For		For	For	No	No	No
18		Authorise the Audit and Risk Committee to Fix Remuneration Auditors	n of	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because the	e proposed (	amounts and	durations are	within				
20		Authorise Issue of Equity without Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.											
21		Authorise Issue of Equity withor Pre-emptive Rights in Connecti an Acquisition or Other Capital Investment		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
22		Authorise Market Purchase of C Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorise UK Political Donation Expenditure	s and	Mgmt	Yes	For	For		For	For	No	No	No
24		Authorise the Company to Call Meeting with Two Weeks' Notice		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutional (IA Name, I	l Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable Si	nares	Shares '	Voted
RTRF, RTRF		000392078	Confirmed	jbisset		jbisset				250,00	00	250,	000
				07/18/2022	2	07/18/20	22						
									Total Shares:	250,00	00	250,0	000

### **Meeting Results**

Outstanding Shares Voted: 27,975,521,625

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.0%	19,485,490,846	100.0%	8,455,671	0.0%	51,088,718	N/A	Poll	F+A
2	Υ	Pass	No	For	10.9%	17,210,770,653	89.1%	2,095,993,263	10.9%	238,192,481	N/A	Poll	F+A
3	Υ	Pass	No	For	0.3%	19,447,795,495	99.7%	54,912,616	0.3%	42,224,951	N/A	Poll	F+A
4	Υ	Pass	No	For	0.4%	19,431,003,165	99.6%	72,013,091	0.4%	41,924,736	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
5	Υ	Pass	No	For	0.3%	19,452,464,590	99.7%	48,781,664	0.3%	43,710,312	N/A	Poll	F+A
6	Υ	Pass	No	For	3.1%	18,894,436,667	96.9%	607,069,943	3.1%	43,460,905	N/A	Poll	F+A
7	Υ	Pass	No	For	3.0%	18,917,342,070	97.0%	584,807,446	3.0%	42,866,619	N/A	Poll	F+A
8	Υ	Pass	No	For	0.3%	19,438,367,304	99.7%	64,008,647	0.3%	42,634,408	N/A	Poll	F+A
9	Υ	Pass	No	For	0.4%	19,415,658,222	99.6%	86,175,644	0.4%	43,132,354	N/A	Poll	F+A
10	Υ	Pass	No	For	3.0%	18,914,896,189	97.0%	586,834,669	3.0%	43,254,507	N/A	Poll	F+A
11	Υ	Pass	No	For	0.2%	19,452,796,768	99.8%	48,291,211	0.2%	43,891,939	N/A	Poll	F+A
12	Υ	Pass	No	For	3.3%	18,848,895,461	96.7%	652,928,314	3.3%	43,184,656	N/A	Poll	F+A
13	Υ	Pass	No	For	0.3%	19,450,797,473	99.7%	50,469,496	0.3%	43,709,200	N/A	Poll	F+A
14	Υ	Pass	No	For	0.2%	19,453,708,826	99.8%	45,139,651	0.2%	46,112,414	N/A	Poll	F+A
15	Υ	Pass	No	For	0.3%	19,457,795,003	99.7%	51,447,712	0.3%	35,760,312	N/A	Poll	F+A
16	Υ	Pass	No	For	2.1%	19,086,924,682	97.9%	409,978,557	2.1%	47,875,529	N/A	Poll	F+A
17	Υ	Pass	No	For	0.7%	19,379,132,140	99.3%	128,384,121	0.7%	37,394,082	N/A	Poll	F+A
18	Υ	Pass	No	For	0.1%	19,490,192,112	99.9%	13,950,137	0.1%	40,760,118	N/A	Poll	F+A
19	Υ	Pass	No	For	5.3%	18,474,217,163	94.7%	1,031,644,171	5.3%	39,092,919	N/A	Poll	F+A
20	Υ	Pass	No	For	2.2%	19,007,099,920	97.8%	426,191,195	2.2%	111,673,329	N/A	Poll	F+A
21	Υ	Pass	No	For	3.6%	18,739,915,910	96.4%	693,669,490	3.6%	111,360,006	N/A	Poll	F+A
22	Υ	Pass	No	For	1.1%	19,272,281,803	98.9%	213,963,920	1.1%	58,753,588	N/A	Poll	F+A
23	Υ	Pass	No	For	1.8%	18,917,554,866	98.2%	344,758,424	1.8%	282,628,828	N/A	Poll	F+A
24	Υ	Pass	No	For	5.2%	18,483,731,105	94.8%	1,004,855,614	5.2%	56,331,018	N/A	Poll	F+A

# **John Keells Holdings Plc**

Meeting Date: 08/04/2022 Country: Sri Lanka

**Record Date:** Meeting Type: Extraordinary

Shareholders

Primary CUSIP: Y44558149

Ticker: JKH.N0000

**Meeting ID:** 1669161

Primary ISIN: LK0092N00003

Proxy Level: N/A

**Primary Security ID:** Y44558149 Primary SEDOL: 6475538

Total Ballots: 1 Earliest Cutoff Date: 07/29/2022 Voting Policy: ISS **Additional Policy:** 

Votable Shares: 3,850,000 \*Shares on Loan: 0Shares Instructed: 3,850,000 **Shares Voted:** 3,850,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Private Placement of Unlisted	Mgmt	Yes	For	For		For	For	No	No	No

Convertible Debentures to Fairfax

Financial Holdings Ltd.

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		3,850,000	3,850,000
			07/21/2022	07/21/2022			

Total Shares:	3,850,000	3,850,000

Proxy Level: N/A

### **Meeting Results**

**Outstanding Shares Voted:** 

Meeting Date: 09/01/2022

Results Available: Partial

Country: United Kingdom

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB

Ticker: JET2

### **Jet2 Plc**

Record D	ate: 08/30/20	)22	Meeting Type: Annua	I		Meeting	<b>ID:</b> 1669297	7					
Primary S	Security ID:	G5112P101	Primary CUSIP: G511	.2P101		Primary	ISIN: GB00	B1722W11		Primary SEI	<b>OOL:</b> B1722	2W1	
Earliest Cu	utoff Date: 08/2	29/2022	Total Ballots: 1			Voting Po	licy: ISS			Additional Po	licy:		
Votable Sl	nares: 724,416		*Shares on Loan: 0			Shares In	structed: 724	,416		Shares Voted	: 724,416		
Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Stat Statutory Reports	tements and	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		independent represei	nle: A vote AGAINST this intation on the Board; * 7 vard Plan vest subject to d	The Executive C	Chair sits on t	the Remune	-					-	
		independent represei	vote AGAINST this resolontation on the Board; * 1 vard Plan vest subject to d	The Executive C	Chair sits on t	the Remune	_			ed		-	
2		Re-elect Gary Brown	as Director	Mgmt	Yes	For	For		For	For	No	No	No
		been identified. Item issue has been identi	ole: Item 2 A vote FOR th on 3 A vote AGAINST the re offied and he sits on the A office IK best practice recomme	e-election of Ma udit and Remur	ark Laurence neration Con	is warrante nmittees, an	d because: *	A potential inc	dependence			_	
		identified. Item 3 A v been identified and h	tem 2 A vote FOR the re- vote AGAINST the re-elec ne sits on the Audit and R ractice recommendations	tion of Mark La Temuneration C	urence is wa ommittees, a	arranted beca	ause: * A po	tential indepen	dence issue			-	
3		Re-elect Mark Laure	nce as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		been identified. Item issue has been identi	nle: Item 2 A vote FOR th o 3 A vote AGAINST the ra ified and he sits on the A lK best practice recomme	e-election of Ma udit and Remur	ark Laurence neration Con	is warrante nmittees, and	d because: *	A potential ind	dependence			_	
		identified. Item 3 A v been identified and h	tem 2 A vote FOR the re- vote AGAINST the re-elec ne sits on the Audit and R ractice recommendations	tion of Mark La Temuneration C	urence is wa ommittees, a	arranted beca	ause: * A po	tential indepen	dence issue			-	
4		Reappoint KPMG LLF	as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
5		Authorise Board to F of Auditors	ix Remuneration	Mgmt	Yes	For	For		For	For	No	No	No

### **Jet2 Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resrecommended limits.	solutions is warr	anted becaus	se the pro	posed amount	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolutive recommended limits.	ions is warrante	d because th	e proposed	d amounts and	d durations are	within				
7		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.										
		Blended Rationale: A vote FOR these resolution recommended limits.	ions is warrante	d because th	e proposed	d amounts and	d durations are	within				
8		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.										
		Blended Rationale: A vote FOR these resoluti recommended limits.										

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	392078	Confirmed	jbisset	jbisset		724,416	724,416
			08/22/2022	08/22/2022			
					Total Shares:	724,416	724,416

### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	7.1%	153,733,922	92.9%	11,744,978	7.1%	1,858,387	N/A	Poll	F+A
2	Υ	Pass	No	For	5.1%	158,836,534	94.9%	8,493,736	5.1%	7,017	N/A	Poll	F+A
3	Υ	Pass	No	For	16.7%	138,160,216	83.3%	27,788,327	16.7%	1,388,744	N/A	Poll	F+A
4	Υ	Pass	No	For	0.7%	162,378,931	99.3%	1,218,243	0.7%	3,740,113	N/A	Poll	F+A
5	Υ	Pass	No	For	0.1%	167,218,038	99.9%	108,086	0.1%	11,163	N/A	Poll	F+A
6	Υ	Pass	No	For	0.6%	165,275,627	99.4%	948,062	0.6%	1,113,598	N/A	Poll	F+A
7	Υ	Pass	No	For	0.8%	165,917,627	99.2%	1,406,053	0.8%	13,207	N/A	Poll	F+A
8	Υ	Pass	No	For	1.8%	164,327,696	98.2%	2,995,984	1.8%	13,207	N/A	Poll	F+A

### **Currys Plc**

Meeting Date: 09/08/2022 Record Date: 09/06/2022 Cou

**Country:** United Kingdom **Meeting Type:** Annual

Ticker: CURY
Meeting ID: 1674968

Proxy Level: N/A

Primary Security ID: G2601D103

Primary CUSIP: G2601D103

Primary ISIN: GB00B4Y7R145

Primary SEDOL: B4Y7R14

Earliest Cutoff Date: 09/05/2022

Total Ballots: 1

Voting Policy: ISS

Additional Policy:
Shares Voted: 1,027,373

Votable Shares: 1,027,373 \*Shares on Loan: 0 Shares Instructed: 1,027,373

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
5		Elect Ian Dyson as Director	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: Items 5-10 and 12 A vote FOR these Directors is warranted as no significant concerns have been identified. Item 11 A vote FOR the re-election of Fiona McBain is warranted, although it is not without concerns on account of: \* Fiona McBain failed to attend at least 75% of the Board and Audit Committee meetings she was eligible to attend during the year due to illness. The main reasons for support are: \* There is no evidence of a longer-term attendance issue at this time. Her attendance will be kept under review.

Blended Rationale: Items 5-10 and 12 A vote FOR these Directors is warranted as no significant concerns have been identified. Item 11 A vote FOR the re-election of Fiona McBain is warranted, although it is not without concerns on account of: \* Fiona McBain failed to attend at least 75% of the Board and Audit Committee meetings she was eligible to attend during the year due to illness. The main reasons for support are: \* There is no evidence of a longer-term attendance issue at this time. Her attendance will be kept under review.

Yes

Re-elect Alex Baldock as Director

Mgmt

For

For

For

For

No

No

No

Voting Policy Rationale: Items 5-10 and 12 A vote FOR these Directors is warranted as no significant concerns have been identified. Item 11 A vote FOR the re-election of Fiona McBain is warranted, although it is not without concerns on account of: \* Fiona McBain failed to attend at least 75% of the Board and Audit Committee meetings she was eligible to attend during the year due to illness. The main reasons for support are: \* There is no evidence of a longer-term attendance issue at this time. Her attendance will be kept under review.

Blended Rationale: Items 5-10 and 12 A vote FOR these Directors is warranted as no significant concerns have been identified. Item 11 A vote FOR the re-election of Fiona McBain is warranted, although it is not without concerns on account of: \* Fiona McBain failed to attend at least 75% of the Board and Audit Committee meetings she was eligible to attend during the year due to illness. The main reasons for support are: \* There is no evidence of a longer-term attendance issue at this time. Her attendance will be kept under review.

Re-elect Eileen Burbidge as Director

Mgmt

Yes

For

No No

No

Voting Policy Rationale: Items 5-10 and 12 A vote FOR these Directors is warranted as no significant concerns have been identified. Item 11 A vote FOR the re-election of Fiona McBain is warranted, although it is not without concerns on account of: \* Fiona McBain failed to attend at least 75% of the Board and Audit Committee meetings she was eligible to attend during the year due to illness. The main reasons for support are: \* There is no evidence of a longer-term attendance issue at this time. Her attendance will be kept under review.

Blended Rationale: Items 5-10 and 12 A vote FOR these Directors is warranted as no significant concerns have been identified. Item 11 A vote FOR the re-election of Fiona McBain is warranted, although it is not without concerns on account of: \* Fiona McBain failed to attend at least 75% of the Board and Audit Committee meetings she was eligible to attend during the year due to illness. The main reasons for support are: \* There is no evidence of a longer-term attendance issue at this time. Her attendance will be kept under review.

# **Currys Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8		Re-elect Tony DeNunzio as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-10 and 12 A v identified. Item 11 A vote FOR the re-election * Fiona McBain failed to attend at least 75% o year due to illness. The main reasons for supporter attendance will be kept under review.	of Fiona McBai f the Board and	in is warrante d Audit Comi	ed, although mittee meet	n it is not with tings she was	nout concerns eligible to atte	on account o end during t	he			
		Blended Rationale: Items 5-10 and 12 A vote F Item 11 A vote FOR the re-election of Fiona Ma McBain failed to attend at least 75% of the Boa to illness. The main reasons for support are: * attendance will be kept under review.	cBain is warrar ard and Audit (	nted, althoug Committee n	nh it is not w neetings she	vithout concer was eligible	rns on account to attend duri	t of: * Fiona ing the year				
9		Re-elect Andrea Gisle Joosen as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-10 and 12 A v identified. Item 11 A vote FOR the re-election * Fiona McBain failed to attend at least 75% or year due to illness. The main reasons for support Her attendance will be kept under review.	of Fiona McBai f the Board and	in is warrante d Audit Comi	ed, although mittee meet	n it is not with tings she was	nout concerns eligible to atte	on account o end during t	he			
		Blended Rationale: Items 5-10 and 12 A vote Item 11 A vote FOR the re-election of Fiona McMcBain failed to attend at least 75% of the Box to illness. The main reasons for support are: * attendance will be kept under review.	cBain is warrar ard and Audit (	nted, althoug Committee n	nh it is not w neetings she	vithout concer was eligible	rns on account to attend duri	t of: * Fiona ing the year				
10		Re-elect Bruce Marsh as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-10 and 12 A v identified. Item 11 A vote FOR the re-election * Fiona McBain failed to attend at least 75% or year due to illness. The main reasons for support Her attendance will be kept under review.  Blended Rationale: Items 5-10 and 12 A vote For Item 11 A vote FOR the re-election of Fiona McBain failed to attend at least 75% of the Boot to illness. The main reasons for support are: *	of Fiona McBai f the Board and ort are: * Ther FOR these Dire cBain is warran ard and Audit (	in is warrante d Audit Comi re is no evide ctors is warra nted, althoug Committee n	ed, although mittee meet ence of a lor anted as no th it is not w neetings she	n it is not with tings she was nger-term atto significant co vithout concer was eligible	eligible to atto eligible to atto endance issue oncerns have b rns on account to attend durit	on account on account of the account of this time. The account of	ed.			
11		attendance will be kept under review.  Re-elect Fiona McBain as Director	Mgmt	Yes	For	For		For	For	No	No	No
11		Voting Policy Rationale: Items 5-10 and 12 A videntified. Item 11 A vote FOR the re-election of Fiona McBain failed to attend at least 75% of year due to illness. The main reasons for supporter attendance will be kept under review.	rote FOR these of Fiona McBail f the Board and	Directors is in is warrante d Audit Comi	warranted a ed, although mittee meet	as no significa n it is not with tings she was	nout concerns eligible to atte	ave been on account ( end during t	of: he	NO	NO.	NO
		Blended Rationale: Items 5-10 and 12 A vote Item 11 A vote FOR the re-election of Fiona M McBain failed to attend at least 75% of the Bot to illness. The main reasons for support are: * attendance will be kept under review.	cBain is warran ard and Audit (	nted, althoug Committee n	nh it is not w neetings she	ithout concei was eligible	rns on account to attend duri	t of: * Fiona ing the year				
12		Re-elect Gerry Murphy as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-10 and 12 A videntified. Item 11 A vote FOR the re-election * Fiona McBain failed to attend at least 75% of year due to illness. The main reasons for supporter attendance will be kept under review.	of Fiona McBai f the Board and	in is warrante d Audit Comi	ed, although mittee meet	n it is not with tings she was	nout concerns eligible to atte	on account ( end during t	he			
		Blended Rationale: Items 5-10 and 12 A vote F Item 11 A vote FOR the re-election of Fiona M McBain failed to attend at least 75% of the Bo to illness. The main reasons for support are: * attendance will be kept under review.	cBain is warran ard and Audit (	nted, althoug Committee n	nh it is not w neetings she	vithout concer was eligible	rns on account to attend duri	t of: * Fiona ing the year				

# **Currys Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
13		Appoint KPMG LLP as Auditors		Mgmt	Yes	For	For		For	For	No	No	No		
14		Authorise Board to Fix Remune of Auditors	ration	Mgmt	Yes	For	For		For	For	No	No	No		
15		Authorise UK Political Donations Expenditure	s and	Mgmt	Yes	For	For		For	For	No	No	No		
16		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote I recommended limits.	FOR these resol	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within						
		Blended Rationale: A vote FOR a recommended limits.													
17		Authorise Issue of Equity witho Pre-emptive Rights	ut	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote I recommended limits.	ng Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within												
		Blended Rationale: A vote FOR a recommended limits.	these resolution	s is warranted	because the	e proposed a	amounts and	durations are	within						
18		Authorise Market Purchase of C Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No		
19		Authorise the Company to Call Meeting with Two Weeks' Notice		Mgmt	Yes	For	For		For	For	No	No	No		
Ballot Deta	ails														
Institutional	l Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	ı	Approve	d	Ballot Voting	Status	Votable Si	nares	Shares	Voted		
RTRF, RTRF		392078	Confirmed	jbisset		jbisset				1,027,3	73	1,027	7,373		
				08/26/2022		08/26/202	22								

Total Shares:

1,027,373

1,027,373

### **Meeting Results**

Outstanding Shares Voted: 1,133,494,651

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	955,700,306	100.0%	6,079	0.0%	700,215	N/A	Poll	F+A
2	Υ	Pass	No	For	13.1%	831,115,642	86.9%	125,254,427	13.1%	36,531	N/A	Poll	F+A
3	Υ	Pass	No	For	34.0%	630,742,347	66.0%	325,630,007	34.0%	33,828	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	956,390,709	100.0%	9,142	0.0%	6,331	N/A	Poll	F+A
5	Υ	Pass	No	For	7.0%	889,149,078	93.0%	67,238,175	7.0%	19,347	N/A	Poll	F+A
6	Υ	Pass	No	For	0.0%	956,091,713	100.0%	277,423	0.0%	37,464	N/A	Poll	F+A
7	Υ	Pass	No	For	0.0%	956,072,878	100.0%	317,459	0.0%	16,263	N/A	Poll	F+A
8	Υ	Pass	No	For	0.7%	949,328,885	99.3%	7,058,045	0.7%	19,670	N/A	Poll	F+A
9	Υ	Pass	No	For	7.7%	882,405,638	92.3%	73,964,165	7.7%	36,797	N/A	Poll	F+A
10	Υ	Pass	No	For	0.2%	954,788,941	99.8%	1,582,137	0.2%	35,522	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
11	Y	Pass	No	For	7.8%	881,691,836	92.2%	74,679,391	7.8%	35,373	N/A	Poll	F+A
12	Υ	Pass	No	For	7.0%	889,311,644	93.0%	67,057,767	7.0%	37,189	N/A	Poll	F+A
13	Υ	Pass	No	For	5.3%	905,526,952	94.7%	50,857,494	5.3%	22,154	N/A	Poll	F+A
14	Υ	Pass	No	For	0.1%	955,616,742	99.9%	751,462	0.1%	37,978	N/A	Poll	F+A
15	Υ	Pass	No	For	1.0%	946,629,784	99.0%	9,758,663	1.0%	18,792	N/A	Poll	F+A
16	Υ	Pass	No	For	0.7%	949,590,325	99.3%	6,768,641	0.7%	47,634	N/A	Poll	F+A
17	Υ	Pass	No	For	0.0%	956,190,858	100.0%	175,671	0.0%	40,071	N/A	Poll	F+A
18	Y	Pass	No	For	5.3%	905,390,430	94.7%	50,842,010	5.3%	173,468	N/A	Poll	F+A
19	Y	Pass	No	For	1.4%	943,119,669	98.6%	13,270,882	1.4%	15,357	N/A	Poll	F+A

## **Ashmore Group Plc**

Meeting Date: 10/14/2022 **Record Date:** 10/12/2022

Earliest Cutoff Date: 10/11/2022

Votable Shares: 1,984,843

Primary Security ID: G0609C101

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G0609C101

Total Ballots: 1 \*Shares on Loan: 0 Ticker: ASHM

**Meeting ID:** 1684288

Shares Instructed: 1,984,843

Primary ISIN: GB00B132NW22

Voting Policy: ISS **Additional Policy:** 

**Shares Voted:** 1,984,843

Primary SEDOL: B132NW2

Proxy Level: N/A

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
3		Re-elect Mark Coombs as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these L	Directors is warrai	nted as no si	gnificant co	ncerns have i	been identified					
		Blended Rationale: A vote FOR these Direct	tors is warranted	as no signific	ant concer	ns have been	identified.					
4		Re-elect Tom Shippey as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these L	Directors is warrai	nted as no si	gnificant co	ncerns have i	been identified					
		Blended Rationale: A vote FOR these Direct	tors is warranted	as no signific	cant concer	ns have been	identified.				-	
5		Re-elect Clive Adamson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these L	Directors is warrai	nted as no si	gnificant co	ncerns have i	been identified					
		Blended Rationale: A vote FOR these Direct	tors is warranted	as no signific	cant concer	ns have been	identified.				-	
6		Re-elect Helen Beck as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these L	Directors is warrai	nted as no si	gnificant co	ncerns have i	been identified				-	
		Blended Rationale: A vote FOR these Direct	tors is warranted	as no signific	ant concer	ns have been	identified.				-	
7		Re-elect Jennifer Bingham as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these L	Directors is warrai	nted as no si	gnificant co	ncerns have i	been identified	:			-	
		Blended Rationale: A vote FOR these Direct	tors is warranted	as no signific	ant concer	ns have been	identified.				-	

# **Ashmore Group Plc**

roposal Significant lumber Vote	Proposal Text	Pro	ponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agai ISS
8	Elect Shirley Garrood as Director	Mg	ımt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR	these Directors	is warrant	ed as no sig	gnificant con	cerns have b	een identified.					
	Blended Rationale: A vote FOR these	Directors is wa	arranted as	s no signific	ant concern	s have been l	identified.					
9	Approve Remuneration Report	Mg	ımt	Yes	For	For		For	For	No	No	No
10	Reappoint KPMG LLP as Auditors	Mg	ımt	Yes	For	For		For	For	No	No	No
11	Authorise the Audit and Risk Committee to Fix Remuneration of Auditors	Mg	ımt	Yes	For	For		For	For	No	No	No
12	Authorise UK Political Donations and Expenditure	l Mg	ımt	Yes	For	For		For	For	No	No	No
13	Authorise Issue of Equity	Mg	ımt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR recommended limits.	these resolution	ns is warra	nted becaus	se the propo	sed amounts	and durations	are within				
	Blended Rationale: A vote FOR these recommended limits.	resolutions is v	warranted	because th	e proposed a	amounts and	durations are	within				
14	Authorise Issue of Equity without Pre-emptive Rights	Mg	ımt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR recommended limits.	these resolution	ns is warra	nted becau.	se the propo	sed amounts	and durations	are within				
	Blended Rationale: A vote FOR these recommended limits.	resolutions is v	warranted	because th	e proposed a	amounts and	durations are	within				
15	Authorise Issue of Equity without Pre-emptive Rights in Connection wi an Acquisition or Other Capital Investment	-	ımt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR recommended limits.	these resolution	ns is warra	nted becaus	se the propo	sed amounts	and durations	are within				
	Blended Rationale: A vote FOR these recommended limits.	resolutions is v	warranted	because th	e proposed (	amounts and	durations are	within				
16	Authorise Market Purchase of Ordina Shares	ary Mg	ımt	Yes	For	For		For	For	No	No	No
17	Approve Waiver on Rule 9 of the Takeover Code	Mg	ımt	Yes	For	For		For	For	No	No	No
18	Authorise the Company to Call Gene Meeting with Two Weeks' Notice	ral Mg	ımt	Yes	For	For		For	For	No	No	No
allot Details												
stitutional Account Detail A Name, IA Number)	Custodian Account Number Bal	ot Status	Instructed		Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
RF, RTRF	392078 Con	firmed	jbisset 10/04/2022		jbisset 10/04/20	22			1,984,8	43	1,984	,843
								Total Shares:	1,984,	343	1,984	,843
eeting Results												

Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Туре	Base
	гторозат	Julilliary	гторозат	Nec	Level	101	101	Against	Aguillot	Abstaili	Abstani	Type	Dusc

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.1%	591,870,242	99.9%	818,275	0.1%	1,437,438	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	594,121,795	100.0%	280	0.0%	3,880	N/A	Poll	F+A
3	Υ	Pass	No	For	0.7%	589,837,002	99.3%	4,279,260	0.7%	9,693	N/A	Poll	F+A
4	Υ	Pass	No	For	0.7%	589,689,895	99.3%	4,426,367	0.7%	9,693	N/A	Poll	F+A
5	Υ	Pass	No	For	6.2%	554,974,977	93.8%	36,759,902	6.2%	2,391,076	N/A	Poll	F+A
6	Υ	Pass	No	For	9.5%	537,747,843	90.5%	56,368,418	9.5%	9,694	N/A	Poll	F+A
7	Υ	Pass	No	For	5.3%	562,538,281	94.7%	31,577,981	5.3%	9,693	N/A	Poll	F+A
8	Υ	Pass	No	For	0.0%	593,970,078	100.0%	146,184	0.0%	9,693	N/A	Poll	F+A
9	Υ	Pass	No	For	22.0%	433,517,825	78.0%	122,140,125	22.0%	38,468,005	N/A	Poll	F+A
10	Υ	Pass	No	For	13.2%	515,433,165	86.8%	78,688,909	13.2%	3,881	N/A	Poll	F+A
11	Υ	Pass	No	For	0.0%	593,939,149	100.0%	179,349	0.0%	7,457	N/A	Poll	F+A
12	Υ	Pass	No	For	0.2%	586,709,880	99.8%	922,456	0.2%	6,493,619	N/A	Poll	F+A
13	Υ	Pass	No	For	0.4%	591,975,037	99.6%	2,143,461	0.4%	7,457	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	594,079,265	100.0%	40,410	0.0%	6,280	N/A	Poll	F+A
15	Υ	Pass	No	For	2.8%	577,443,567	97.2%	16,676,108	2.8%	6,280	N/A	Poll	F+A
16	Υ	Pass	No	For	1.8%	583,438,652	98.2%	10,616,931	1.8%	70,372	N/A	Poll	F+A
17	Υ	Pass	No	For	4.9%	337,066,726	95.1%	17,526,341	4.9%	17,469,274	N/A	Poll	F+A
18	Υ	Pass	No	For	2.0%	582,071,421	98.0%	12,045,137	2.0%	9,397	N/A	Poll	F+A

Meeting Date: 11/03/2022

**Record Date:** 09/09/2022

Primary Security ID: 222070203

Earliest Cutoff Date: 11/02/2022 Votable Shares: 1,600,000

Country: USA

Total Ballots: 1

Meeting Type: Annual

Primary CUSIP: 222070203

\*Shares on Loan: 0

Ticker: COTY

Voting Policy: ISS

**Meeting ID:** 1686579

**Primary ISIN:** US2220702037

Shares Instructed: 1,600,000

Proxy Level: 3

Primary SEDOL: BBBSMJ2

**Additional Policy:** Shares Voted: 1,600,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
1.1		Elect Director Beatrice Ballini	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes

Voting Policy Rationale: WITHHOLD votes are warranted for Johannes Huth for failing to attend at least 75 percent of his total board and committee meetings held during the fiscal year under review without an acceptable reason for the absences. WITHHOLD votes are warranted for incumbent compensation committee members Maria Aramburuzabala Larregui, Beatrice Ballini, Anna Makanju, and Erhard Schoewel, due to persistent compensation-related concerns demonstrating poor stewardship of the pay program. A vote FOR the remaining director nominees is warranted.

Blended Rationale: WITHHOLD votes are warranted for Johannes Huth for failing to attend at least 75 percent of his total board and committee meetings held during the fiscal year under review without an acceptable reason for the absences. WITHHOLD votes are warranted for incumbent compensation committee members Maria Aramburuzabala Larregui, Beatrice Ballini, Anna Makanju, and Erhard Schoewel, due to persistent compensation-related concerns demonstrating poor stewardship of the pay program. A vote FOR the remaining director nominees is warranted.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.2		Elect Director Joachim Creus	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, d of the pay program. A vote FOR the remaining Blended Rationale: WITHHOLD votes are warrand committee meetings held during the fiscal votes are warranted for incumbent compensati	e fiscal year un t compensation lue to persister director nomin anted for Johan year under re	nder review want committee on committee on compensation of the compensation of the committee of the committe	vithout an a members M tion-related anted. or failing to a an accepta	cceptable rea faria Arambui concerns der attend at leas ble reason fo	ason for the ab ruzabala Larre monstrating po at 75 percent o or the absences	sences. gui, Beatrice por stewards of his total bo s. WITHHOLI	hip pard			
		Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	ent compensat	ion-related c								
1.3		Elect Director Olivier Goudet	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, d of the pay program. A vote FOR the remaining	e fiscal year un t compensation ue to persisten director nomin	nder review wan committee nt compensat nees is warra	vithout an a members M tion-related anted.	cceptable rea faria Arambui concerns der	ason for the ab ruzabala Larre monstrating po	osences. gui, Beatrice oor stewards	hip			
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under re ion committee ent compensat	view without members Ma ion-related c	an accepta Aria Arambu	ble reason fo. Iruzabala Lari	r the absences regui, Beatrice	s. WITHHOLI Ballini, Anna	D <del>3</del>			
1.4		Elect Director Peter Harf	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, d of the pay program. A vote FOR the remaining Blended Rationale: WITHHOLD votes are warranted for incumbent warranted for incumbent with the second control of the pay program.	e fiscal year un t compensation lue to persisten director nomin anted for Joha	nder review wan committee ant compensation in the compensation in	vithout an a members M tion-related anted. or failing to a	cceptable rea laria Arambui concerns der attend at leas	ason for the ab ruzabala Larre monstrating po st 75 percent o	nsences. gui, Beatrice por stewards of his total bo	hip pard			
		and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	ion committee ent compensat	members Ma ion-related c	aria Arambu	ıruzabala Ları	regui, Beatrice	Ballini, Anna	7			
1.5		Elect Director Johannes P. Huth	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, d of the pay program. A vote FOR the remaining	e fiscal year un t compensation lue to persister	nder review v n committee nt compensa	vithout an a members M tion-related	cceptable rea faria Arambui	ason for the ab ruzabala Larre	sences. gui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under re ion committee ent compensat	view without members Ma ion-related c	an accepta aria Arambu	ble reason fo. Iruzabala Lari	r the absences regui, Beatrice	s. WITHHOLI Ballini, Anna	D a			
1.6		Elect Director Maria Ausuncion Aramburuzabala Larregui	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, d of the pay program. A vote FOR the remaining	e fiscal year un t compensation lue to persister	nder review v n committee nt compensa	vithout an a members M tion-related	cceptable rea faria Arambui	ason for the ab ruzabala Larre	sences. gui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensate Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under re ion committee ent compensat	view without members Ma ion-related c	an accepta aria Arambu	ble reason fo. Iruzabala Lari	r the absences regui, Beatrice	s. WITHHOLI Ballini, Anna	D a		-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.7		Elect Director Anna Adeola Makanju	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, of of the pay program. A vote FOR the remaining	e fiscal year un t compensation lue to persister	nder review v n committee nt compensa	vithout an a members M tion-related	cceptable rea Iaria Arambui	son for the ab ruzabala Larreg	sences. gui, Beatrice			-	
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensat Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under re ion committee ent compensat	view without members Ma ion-related c	an accepta Aria Arambu	ble reason fo. Iruzabala Lari	r the absences regui, Beatrice	s. WITHHOLI Ballini, Anna	D 3			
1.8		Elect Director Sue Y. Nabi	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during the WITHHOLD votes are warranted for incumben. Ballini, Anna Makanju, and Erhard Schoewel, a of the pay program. A vote FOR the remaining	e fiscal year un t compensation lue to persister	nder review v n committee nt compensa	vithout an a members M tion-related	cceptable rea Iaria Arambui	son for the ab ruzabala Larreg	sences. gui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensat Makanju, and Erhard Schoewel, due to persist program. A vote FOR the remaining director no	year under re ion committee ent compensat	view without members Ma ion-related c	an accepta Aria Arambu	ble reason fo. Iruzabala Lari	r the absences regui, Beatrice	s. WITHHOLI Ballini, Anna	D 3			
1.9		Elect Director Isabelle Parize	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during the WITHHOLD votes are warranted for incumbend Ballini, Anna Makanju, and Erhard Schoewel, a of the pay program. A vote FOR the remaining	e fiscal year un t compensation lue to persister	nder review v n committee nt compensa	vithout an a members M tion-related	cceptable rea Iaria Arambui	son for the ab ruzabala Larreg	sences. gui, Beatrice				
		Blended Rationale: WITHHOLD votes are warn and committee meetings held during the fiscal votes are warranted for incumbent compensat Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under re ion committee ent compensat	view without members Ma ion-related c	an accepta aria Arambu	ble reason fo. Iruzabala Lari	r the absences regui, Beatrice	s. WITHHOLI Ballini, Anna	D 3			
1.10		Elect Director Erhard Schoewel	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during the WITHHOLD votes are warranted for incumben. Ballini, Anna Makanju, and Erhard Schoewel, a of the pay program. A vote FOR the remaining	e fiscal year un t compensation lue to persister	nder review v n committee nt compensa	vithout an a members M tion-related	cceptable rea Iaria Arambui	son for the ab ruzabala Larreg	sences. gui, Beatrice				
		Blended Rationale: WITHHOLD votes are warrand committee meetings held during the fiscal votes are warranted for incumbent compensations. Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director needs to be a superior of the committee of the committee.	year under re ion committee ent compensat	view without members Ma ion-related c	an accepta aria Arambu	ble reason fo. Iruzabala Lari	r the absences regui, Beatrice	s. WITHHOLI Ballini, Anna	D 3			
1.11		Elect Director Robert Singer	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during the WITHHOLD votes are warranted for incumben Ballini, Anna Makanju, and Erhard Schoewel, a of the pay program. A vote FOR the remaining	e fiscal year un t compensation lue to persister	nder review v n committee nt compensa	vithout an a members M tion-related	cceptable rea Iaria Arambui	son for the ab ruzabala Larreg	sences. gui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensat Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under re ion committee ent compensat	view without members Ma ion-related c	an accepta aria Arambu	ble reason fo. Iruzabala Lari	r the absences regui, Beatrice	s. WITHHOLI Ballini, Anna	D 3			

Propo Numb	-	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
Voting Policy Rationale: A vote AGAINST this proposal is warranted. CEO Nabi's pay declined for the year in review, consisting												

Additional Voting

Voting Policy Rationale: A vote AGAINST this proposal is warranted. CEO Nabi's pay declined for the year in review, consisting only of base salary, following her \$280 million sign-on RSU award in the prior year. However, a review of the pay program reveals persistent concerning features. Specifically, NEO pay consisted primarily of base salary and equity awards that lack performance vesting conditions. Investors increasingly expect a meaningful portion of incentives to be tied to pre-set performance goals. Further, there are renewed concerns regarding high base salaries without a compelling rationale. Notably, these significant concerns for the year in review follow multiple years of problematic pay decisions at the company.

Blended Rationale: A vote AGAINST this proposal is warranted. CEO Nabi's pay declined for the year in review, consisting only of base salary, following her \$280 million sign-on RSU award in the prior year. However, a review of the pay program reveals persistent concerning features. Specifically, NEO pay consisted primarily of base salary and equity awards that lack performance vesting conditions. Investors increasingly expect a meaningful portion of incentives to be tied to pre-set performance goals. Further, there are renewed concerns regarding high base salaries without a compelling rationale. Notably, these significant concerns for the year in review follow multiple years of problematic pay decisions at the company.

3 Ratify Deloitte & Touche LLP as Mgmt Yes For For For For No No Auditors

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	000392078	Confirmed	dnicoll	dnicoll		1,600,000	1,600,000
			10/27/2022	10/27/2022	_		
					Total Shares:	1,600,000	1,600,000

### **Meeting Results**

Outstanding Shares Voted: 872,925,305

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	20.0%	607,696,010	80.0%	151,763,817	20.0%	0	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	1.0%	751,758,889	99.0%	7,700,938	1.0%	0	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	3.7%	731,251,012	96.3%	28,208,815	3.7%	0	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	1.2%	750,248,263	98.8%	9,211,564	1.2%	0	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	20.6%	603,200,386	79.4%	156,259,441	20.6%	0	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	15.8%	639,698,694	84.2%	119,761,133	15.8%	0	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	15.6%	640,769,964	84.4%	118,689,863	15.6%	0	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	1.0%	752,063,388	99.0%	7,396,439	1.0%	0	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	0.6%	754,639,679	99.4%	4,820,148	0.6%	0	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	19.5%	611,637,066	80.5%	147,822,761	19.5%	0	N/A	Proxy	F+A
1.11	Y	Pass	No	For	1.4%	748,963,487	98.6%	10,496,340	1.4%	0	N/A	Proxy	F+A
2	Υ	Pass	No	For	22.2%	590,354,580	77.8%	168,839,178	22.2%	266,069	N/A	Proxy	F+A
3	Υ	Pass	No	For	1.6%	790,316,084	98.4%	12,815,276	1.6%	184,796	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Coherent Corp.**

Meeting Date: 11/16/2022 **Record Date:** 09/15/2022

Country: USA

Meeting Type: Annual

Primary CUSIP: 19247G107

**Meeting ID:** 1688648

Primary ISIN: US19247G1076

Earliest Cutoff Date: 11/15/2022

Primary Security ID: 19247G107

Total Ballots: 1

Voting Policy: ISS

Ticker: COHR

**Additional Policy:** 

Primary SEDOL: BNG8Z81

Proxy Level: 3

Votable Shares: 99,423 \*Shares on Loan: 0 Shares Instructed: 99,423 Shares Voted: 99,423

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Enrico Digirolamo	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1b		Elect Director David L. Motley	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1c		Elect Director Shaker Sadasivam	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1d		Elect Director Lisa Neal-Graves	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
RTRF, RTRF	000392078	Confirmed	jbisset	jbisset		99,423	99,423
			11/09/2022	11/09/2022			
					Total Shares:	99,423	99,423

### **Meeting Results**

Outstanding Shares Voted: 164,500,790

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	8.2%	120,372,972	91.8%	10,747,099	8.2%	384,485	N/A	Proxy	F+A
1b	Υ	Pass	No	For	2.5%	128,110,968	97.5%	3,311,630	2.5%	81,958	N/A	Proxy	F+A
1c	Υ	Pass	No	For	1.6%	129,346,741	98.4%	2,071,735	1.6%	86,080	N/A	Proxy	F+A
1d	Υ	Pass	No	For	0.9%	130,202,844	99.1%	1,233,657	0.9%	68,055	N/A	Proxy	F+A
2	Υ	Pass	No	For	4.6%	125,467,665	95.4%	5,874,006	4.5%	162,885	0.1%	Proxy	F+A+AB
3	Υ	Pass	No	For	1.2%	142,761,468	98.8%	1,652,091	1.1%	106,354	0.1%	Proxy	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **IMV Inc.**

Meeting Date: 12/07/2022 **Record Date:** 10/24/2022

Primary Security ID: 44974L301

Country: Canada

Meeting Type: Special Primary CUSIP: 44974L301

Meeting ID: 1690942

Ticker: IMV

Primary ISIN: CA44974L3011 Primary SEDOL: BNTBQH3

Earliest Cutoff Date: 12/01/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy: Votable Shares: 1,200,000 \*Shares on Loan: 0 Shares Instructed: 1,200,000 Shares Voted: 1,200,000

Mamt

Additional Voting Vote Vote Significant Votable Policy Policy **Proposal** Mamt Vote Against Against Against ISS Rec Number Vote Proposal Text Proponent **Proposal** Rec Rec Rec Instruction Mgmt Policy ISS Approve Share Consolidation Yes For For No No

For

**Ballot Details** 

1

Institutional Account Detail Custodian (IA Name, IA Number) **Account Number** Ballot Status Instructed Approved **Ballot Voting Status** Votable Shares Shares Voted RTRF, RTRF 392078 Confirmed 1,200,000 1,200,000 jbisset

> 11/28/2022 11/28/2022

> > **Total Shares** 1,200,000 1,200,000

No

Proxy Level: 2

For

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	12.1%	26.168.584	87.9%	3.613.774	12.1%	N/A	N/A	Proxy	F+A

### **Prosegur Cash SA**

Meeting Date: 12/07/2022

Country: Spain

Ticker: CASH

Proxy Level: N/A

**Record Date:** 12/02/2022

Meeting Type: Extraordinary

Meeting ID: 1694090

Primary Security ID: E8S56X108

Plan

Shareholders Primary CUSIP: E8S56X108

Primary ISIN: ES0105229001

Primary SEDOL: BDH3Q71

Earliest Cutoff Date: 12/01/2022

Votable Shares: 3,731,042

\*Shares on Loan: 0

**Total Ballots:** 1

Voting Policy: ISS Shares Instructed: 3,731,042 Additional Policy: Shares Voted: 3,731,042

Proposa Number	-	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Dividends Charged Against Reserves	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend the Maximum Amount of the Reduction in Share Capital Approved at the June 1, 2022 AGM	Mgmt	Yes	For	For		For	For	No	No	No
3		Amend Long-Term Global Optimum	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes

Voting Policy Rationale: A vote AGAINST this resolution is warranted because: \* The board proposes to make the delivery of awards under this Global Optimum LTIP time-based only. \* The proposed amendment therefore weakens the pay-for-performance link and decorrelates executive long-term compensation from shareholder experience. \* The associated requirement to return share awards (or the cash equivalent) to the company in case a beneficiary leaves the company prior to Dec. 31, 2023, under undisclosed conditions does not offset the foregoing.

Blended Rationale: A vote AGAINST this resolution is warranted because: \* The board proposes to make the delivery of awards under this Global Optimum LTIP time-based only. \* The proposed amendment therefore weakens the pay-for-performance link and decorrelates executive long-term compensation from shareholder experience. \* The associated requirement to return share awards (or the cash equivalent) to the company in case a beneficiary leaves the company prior to Dec. 31, 2023, under undisclosed conditions does not offset the foregoing.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Prosegur Cash SA**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4	Amend Remuneration Policy 2023-2025	for FY	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
	Voting Policy Rationale: A vot awards under this Global Opti pay-for-performance link and requirement to return share a Dec. 31, 2023, under undisclo	mum LTIP time-b decorrelates exec wards (or the cas	ased only. * 1 cutive long-ten sh equivalent)	The proposed m compensa to the comp	d amendmen ntion from sh ntion in case a	t therefore i areholder ex	weakens the xperience. * Th	ne associated	d		-	
	Blended Rationale: A vote AG under this Global Optimum LT and decorrelates executive lor awards (or the cash equivaler undisclosed conditions does n	TIP time-based on ng-term compens nt) to the compan	ly. * The prop ation from sha y in case a be	osed amend areholder exp	lment therefo perience. * T	ore weakens The associate	s the pay-for-poed ed requirement	erformance i t to return si	link		-	
5	Authorize Board to Ratify and Approved Resolutions	l Execute	Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
RTRF, RTRF	392078	Confirmed	jbisset		jbisset				3,731,0	042	3,73	1,042
			11/30/2022	2	11/30/202	22						
								Total Shares	: 3,731,	042	3,731	,042

### **Meeting Results**

Outstanding Shares Voted: 1,522,946,683

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	1.0%	1,324,049,550	99.0%	13,846,514	1.0%	0	0.0%	Poll	F+A+AB
2	Y	Pass	No	For	0.0%	1,337,843,049	100.0%	53,015	0.0%	0	0.0%	Poll	F+A+AB
3	Y	Pass	No	For	3.9%	1,285,112,007	96.1%	52,784,057	3.9%	0	0.0%	Poll	F+A+AB
4	Y	Pass	No	For	4.0%	1,284,715,795	96.0%	53,180,269	4.0%	0	0.0%	Poll	F+A+AB
5	Y	Pass	No	For	0.0%	1,337,843,049	100.0%	53,015	0.0%	0	0.0%	Poll	F+A+AB

### **PARAMETERS**

Location(s): All Locations

Account Group(s): All Account Groups

Institution Account(s): RTRF

Custodian Account(s): All Custodian Accounts

Additional Policy: None ADR Meetings: All Meetings Ballot Statuses: All Statuses Contrary Votes: All Votes Date Format: MM/DD/YYYY ESG Pillar: All Pillars

Header Display: Repeat Headers for Any Meeting Split by Multiple Pages

Markets: All Markets Meeting ID's: All Meeting ID's Meeting Types: All Meeting Types PoA Markets: All Markets Proposal Category: All Categories Proposal Proponents: All Proponents Proposal Subcategory: All Subcategories Rationale: All Rationale

Recommendations: All Recommendations Record Date Markets: All Markets

Reregistration Meetings: Include Reregistration Meetings

Shareblocking Markets: All Markets Significant Vote: None Sort Order: Meeting Date, Company Name

Vote Instructions: All Instructions Voting Policies: All Policies

Zero (0) Share Ballots: Exclude 0 Share Ballots

Account Watchlist: None Country Watchlist: None Issuer Watchlist: None Proposal Code Watchlist: None

Proposal Code Watchlist - Agenda Output: Include Exact Matches Only



# Voting summary 2022

# **RUFFER INVESTMENT COMPANY**



REPORTING PERIOD: 01/01/2022 to 12/31/2022

### LOCATION(S): ALL LOCATIONS

### INSTITUTION ACCOUNT(S): NT RSG01

### **Voting Statistics**

	Total	Percent
Votable Meetings	99	
Meetings Voted	99	100.00%
Meetings with One or More Votes Against Management	30	30.30%
Votable Ballots	99	
Ballots Voted	99	100.00%

Note: A meeting is votable when one or more ballots are eligible to vote at the meeting, and differences in votable meetings and ballots occurs when multiple ballots are available to vote for the same meeting.

	Manageme	ent Proposals	Shareholder	Proposals	All Pro	posals
	Total	Percent	Total	Percent	Total	Percent
Votable Proposals	1458		81		1539	
Proposals Voted	1458	100.00%	81	100.00%	1539	100.00%
FOR Votes	1408	96.57%	41	50.62%	1449	94.15%
AGAINST Votes	40	2.74%	40	49.38%	80	5.20%
ABSTAIN Votes	3	0.21%	0	0.00%	3	0.19%
WITHHOLD Votes	4	0.27%	0	0.00%	4	0.26%
Votes WITH Management	1412	96.84%	43	53.09%	1455	94.54%
Votes AGAINST Management	46	3.16%	38	46.91%	84	5.46%
Significant Votes	0	0.00%	0	0.00%	0	0.00%

Note: Instructions of Do Not Vote are not considered voted; Frequency on Pay votes of 1, 2 or 3 Years are only reflected statistically, where applicable, but present in the underlying detail; and in cases of different votes submitted across ballots for a given meeting, votes cast are distinctly counted by type per proposal where total votes submitted may be higher than unique proposals voted.

### **Proposal Statistics**

		able osals	Prop Vot		Manage Propo		Shareh Propo		Votes A		Signifi Vot	
	Total	Percent	Total	Percent	Total	Percent	Total	Percent	Total	Percent	Total	Percent
Audit Related	103	6.69%	103	6.69%	100	6.50%	3	0.19%	0	0.00%	0	0.00%
Capitalization	170	11.05%	170	11.05%	170	11.05%	0	0.00%	0	0.00%	0	0.00%
Company Articles	43	2.79%	43	2.79%	42	2.73%	1	0.06%	0	0.00%	0	0.00%
Compensation	167	10.85%	167	10.85%	162	10.53%	5	0.32%	6	0.39%	0	0.00%
Corporate Governance	4	0.26%	4	0.26%	0	0.00%	4	0.26%	2	0.13%	0	0.00%
Director Election	729	47.37%	729	47.37%	729	47.37%	0	0.00%	35	2.27%	0	0.00%
Director Related	76	4.94%	76	4.94%	63	4.09%	13	0.84%	8	0.52%	0	0.00%
E&S Blended	8	0.52%	8	0.52%	4	0.26%	4	0.26%	0	0.00%	0	0.00%
Environmental	15	0.97%	15	0.97%	6	0.39%	9	0.58%	4	0.26%	0	0.00%
Miscellaneous	3	0.19%	3	0.19%	3	0.19%	0	0.00%	0	0.00%	0	0.00%
Mutual Funds	1	0.06%	1	0.06%	1	0.06%	0	0.00%	0	0.00%	0	0.00%
No Research	1	0.06%	1	0.06%	1	0.06%	0	0.00%	0	0.00%	0	0.00%
Non-Routine Business	10	0.65%	10	0.65%	5	0.32%	5	0.32%	5	0.32%	0	0.00%
Routine Business	140	9.10%	140	9.10%	131	8.51%	9	0.58%	8	0.52%	0	0.00%
Social	41	2.66%	41	2.66%	13	0.84%	28	1.82%	16	1.04%	0	0.00%
Strategic Transactions	7	0.45%	7	0.45%	7	0.45%	0	0.00%	0	0.00%	0	0.00%
Takeover Related	21	1.36%	21	1.36%	21	1.36%	0	0.00%	0	0.00%	0	0.00%
Total	1539	100.00%	1539	100.00%	1458	94.74%	81	5.26%	84	5.46%	0	0.00%

## **Trident Royalties Plc**

Meeting Date: 01/10/2022

Country: United Kingdom Meeting Type: Special

Ticker: TRR Meeting ID: 1597241 Proxy Level: N/A

**Record Date:** 01/06/2022

Primary Security ID: G90474100

Primary CUSIP: G90474100

Primary ISIN: GB00BF7J2535

Primary SEDOL: BF7J253

Earliest Cutoff Date: 01/05/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 6,117,647

\*Shares on Loan: 0

Shares Instructed: 6,117,647

**Shares Voted:** 6,117,647

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Authorise Issue of Equity in Connection with the Conditional Placing Shares	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this transaction of that the Fundraising will be utilised to partition that the Fundraising will be utilised to partition the Macquarie Warrants have an exercise price.	artly fund the o	cash conside								
		Blended Rationale: A vote FOR this transaction that the Fundraising will be utilised to partly ful Macquarie Warrants have an exercise price with	nd the cash co	nsideration o					ing			
2		Authorise Issue of Equity in Connection with the Macquarie Warrants	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this transaction of that the Fundraising will be utilised to put The Macquarie Warrants have an exercise price	artly fund the o	cash conside								
		Blended Rationale: A vote FOR this transaction that the Fundraising will be utilised to partly ful Macquarie Warrants have an exercise price with	nd the cash co	nsideration o			-		ing			
3		Authorise Issue of Equity	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST these authority exceed the recommended limits of 33 10 percent of issued share capital for share issued.	percent of iss	sued share ca	apital for sha			-	nd			
		Blended Rationale: A vote AGAINST these resonauthority exceed the recommended limits of 33 10 percent of issued share capital for share issued.	percent of iss	sued share ca	apital for sha	•	-	•	nd			
4		Authorise Issue of Equity without Pre-emptive Rights in Connection with the Conditional Placing Shares	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this transact noting that the Fundraising will be utilised to pa The Macquarie Warrants have an exercise price	artly fund the o	cash conside								
		Blended Rationale: A vote FOR this transaction that the Fundraising will be utilised to partly fur Macquarie Warrants have an exercise price with	nd the cash co	nsideration o					ing			
5		Authorise Issue of Equity without Pre-emptive Rights in Connection with the Macquarie Warrants	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this transact noting that the Fundraising will be utilised to pa The Macquarie Warrants have an exercise price	artly fund the o	cash conside								
		Blended Rationale: A vote FOR this transaction that the Fundraising will be utilised to partly fur Macquarie Warrants have an exercise price with	nd the cash co	nsideration o			,	, ,	ing			

## **Trident Royalties Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
6		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST authority exceed the recommended limits 10 percent of issued share capital for sha	of 33 percent of is	sued share c	capital for s						,	

Blended Rationale: A vote AGAINST these resolutions is warranted because:\* The proposed amounts under the general authority exceed the recommended limits of 33 percent of issued share capital for share issuances with pre-emptive rights and 10 percent of issued share capital for share issuances without pre-emptive rights.

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		6,117,647	6,117,647
			01/05/2022	01/05/2022			
					Total Shares:	6,117,647	6,117,647

### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	114,654,979	100.0%	10,792	0.0%	49,547	N/A	Poll	F+A
2	Υ	Pass	No	For	0.1%	14,629,979	99.9%	10,792	0.1%	74,547	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	114,660,411	100.0%	5,360	0.0%	49,547	N/A	Poll	F+A
4	Υ	Pass	No	For	0.1%	114,542,940	99.9%	122,831	0.1%	49,547	N/A	Poll	F+A
5	Υ	Pass	No	For	0.1%	114,543,990	99.9%	121,781	0.1%	49,547	N/A	Poll	F+A
6	Υ	Pass	No	For	6.1%	107,718,634	93.9%	6,947,137	6.1%	49,547	N/A	Poll	F+A

## **Ruffer UK Mid and Smaller Companies Fund**

Meeting Date: 01/14/2022	Country: Luxembourg	Ticker: N/A	Proxy Level: N/A
Record Date: 01/09/2022	Meeting Type: Annual	Meeting ID: 1600784	

 Primary Security ID: L7984E226
 Primary CUSIP: L7984E226
 Primary ISIN: LU0788206679
 Primary SEDOL: B8F4LY3

Primary Security 10. 179041220 Primary COSIF. 179041220 Primary 1514. 100700200079 Primary SEDOL. 0014113

 Earliest Cutoff Date: 01/07/2022
 Total Ballots: 1
 Voting Policy: ISS
 Additional Policy:

 Votable Shares: 77,753
 \*Shares on Loan: 0
 Shares Instructed: 77,753
 Shares Voted: 77,753

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Receive Board's and Auditor's Reports	Mgmt	No								
2		Approve Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
3.a		Approve Allocation of Income as of 15 September 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	s warranted du	e to the lack	of concerns	regarding ti	his proposal.					

roung , only , laderate , role , on and learner and the later of concerns regarding and proposed

Blended Rationale: A vote FOR this item is warranted due to the lack of concerns regarding this proposal.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Ruffer UK Mid and Smaller Companies Fund**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3.b		Ratification of Interim Dividends Paid in November 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	warranted du	e to the lack	of concern	s regarding ti	his proposal.					
		Blended Rationale: A vote FOR this item is war	ranted due to t	the lack of co	oncerns reg	arding this pr	roposal.					
4.1		Approve Remuneration of Director Alain Guerard for 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is remuneration.	warranted bed	cause there i	is no sign d	of excessivene	ss about the t	ooard				
		Blended Rationale: A vote FOR this item is war	ranted because	e there is no	sign of exc	cessiveness at	oout the board	remuneration	on.			
4.2		Approve Remuneration of Director Aude Lemogne for 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is remuneration.	warranted bed	cause there i	is no sign d	of excessivene	ss about the t	ooard				
		Blended Rationale: A vote FOR this item is war	ranted because	e there is no	sign of exc	essiveness at	out the board	remuneration	on.			
5		Approve Discharge of Directors	Mgmt	Yes	For	For		For	For	No	No	No
6.1		Ratification of the Appointment of Gower Michael as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	warranted in	the absence	of any con	cern about th	e board and it	s compositio	n.			
		Blended Rationale: A vote FOR this item is war	ranted in the a	bsence of ar	ny concern	about the boa	ard and its con	nposition.				
6.2		Re-elect Benjamin Boucher-Ferte as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	warranted in	the absence	of any con	cern about th	e board and its	s compositio	n.			
		Blended Rationale: A vote FOR this item is war	ranted in the a	bsence of ar	ny concern	about the boa	ard and its con	nposition.				
6.3		Approve Non-Renewal of the Mandate of Myles Marmion as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	warranted in	the absence	of any con	cern about th	e board and it.	s compositio	n.			
		Blended Rationale: A vote FOR this item is war	ranted in the a	bsence of ar	ny concern	about the boa	ard and its con	nposition.				
6.4		Approve Non-Renewal of the Mandate of Mary McBain as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	warranted in	the absence	of any con	cern about th	e board and it	s compositio	n.			
		Blended Rationale: A vote FOR this item is war	ranted in the a	bsence of ar	ny concern	about the boa	ard and its con	nposition.				
6.5		Re-elect Alain Guerard as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is warranted in the absence of any concern about the board and its composition.										
		Blended Rationale: A vote FOR this item is war	ranted in the a	bsence of ar	ny concern	about the boa	ard and its con	nposition.				
6.6		Re-elect Aude Lemogne as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	warranted in	the absence	of any con	cern about the	e board and it	s compositio	n.			
		Blended Rationale: A vote FOR this item is war	ranted in the a	bsence of ar	ny concern	about the boa	ard and its con	nposition.				
7		Renew Appointment of Ernst and Young as Auditor	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails											
Institutional (IA Name, I	l Account Detail A Number)	Custodian Account Number Ballot Status	Instructed	I	Approv	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Ruffer UK Mid and Smaller Companies Fund**

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		77,753	77,753
			01/07/2022	01/07/2022			
					Total Shares:	77,753	77,753

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Jutstan	uning Snares	voteu.			Result	S Available. Fal	dai						
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	N												
2	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
3.a	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
3.b	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
4.1	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
4.2	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
5	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.2	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.3	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.4	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.5	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.6	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
7	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB

# **Countryside Properties Plc**

Meeting Date: 01/20/2022 **Record Date:** 01/18/2022

Primary Security ID: G24556170

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G24556170 Total Ballots: 1

Ticker: CSP

Meeting ID: 1565662

Primary ISIN: GB00BYPHNG03

Proxy Level: N/A

Primary SEDOL: BYPHNG0

**Additional Policy:** 

Earliest Cutoff Date: 01/17/2022 Voting Policy: ISS Votable Shares: 887,901 \*Shares on Loan: 0 Shares Instructed: 887,901 Shares Voted: 887,901

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No

# **Countryside Properties Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Elect John Martin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	ncerns have b	been identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
4		Re-elect Iain McPherson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	ncerns have b	been identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
5		Re-elect Douglas Hurt as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	ncerns have b	been identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
6		Re-elect Amanda Burton as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	peen identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
7		Re-elect Baroness Sally Morgan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	been identified.					
		Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.										
8		Re-elect Simon Townsend as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	been identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
9		Appoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
10		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
11		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonance of the series of the	lutions is warra	anted becaus	se the propo	sed amounts	s and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	l durations are	within				
12		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonance recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
13		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonance limits.	lutions is warra	anted becaus	se the propo	sed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	l durations are	within				
14		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Countryside Properties Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
15		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve Change of Company Name to Countryside Partnership Plc	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		887,901	887,901
			01/07/2022	01/07/2022			

Total Shares: 887,901 887,901

### **Meeting Results**

**Outstanding Shares Voted:** 511,761,463

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	450,275,445	100.0%	2,987	0.0%	310,125	N/A	Poll	F+A
2	Υ	Pass	No	For	8.3%	413,117,193	91.7%	37,464,913	8.3%	6,451	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	450,571,689	100.0%	4,404	0.0%	13,564	N/A	Poll	F+A
4	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
5	Υ	Pass	No	For	12.6%	381,528,864	87.4%	55,004,977	12.6%	14,054,716	N/A	Poll	F+A
6	Υ	Pass	No	For	12.3%	383,001,756	87.7%	53,533,274	12.3%	14,053,527	N/A	Poll	F+A
7	Υ	Pass	No	For	12.0%	383,001,502	88.0%	52,353,264	12.0%	15,233,791	N/A	Poll	F+A
8	Υ	Pass	No	For	8.3%	399,268,457	91.7%	36,085,120	8.3%	15,234,980	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	450,548,084	100.0%	36,234	0.0%	4,239	N/A	Poll	F+A
10	Υ	Pass	No	For	0.0%	450,540,225	100.0%	40,189	0.0%	8,143	N/A	Poll	F+A
11	Υ	Pass	No	For	8.2%	413,435,709	91.8%	36,895,535	8.2%	7,313	N/A	Poll	F+A
12	Υ	Pass	No	For	8.5%	403,401,896	91.5%	37,636,010	8.5%	9,300,651	N/A	Poll	F+A
13	Υ	Pass	No	For	15.1%	374,655,137	84.9%	66,395,682	15.1%	9,287,738	N/A	Poll	F+A
14	Υ	Pass	No	For	0.9%	446,160,978	99.1%	4,120,705	0.9%	56,874	N/A	Poll	F+A
15	Υ	Pass	No	For	8.8%	409,440,615	91.2%	39,705,735	8.8%	1,192,207	N/A	Poll	F+A
16	Υ	Pass	No	For	0.2%	449,483,585	99.8%	852,533	0.2%	2,439	N/A	Poll	F+A
17	Υ	Pass	No	For	1.2%	445,001,044	98.8%	5,332,488	1.2%	5,025	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Novartis AG**

**Record Date:** 

Meeting Date: 03/04/2022

Country: Switzerland

Meeting Type: Annual

**Meeting ID:** 1589873 Primary CUSIP: H5820Q150

**Primary ISIN:** CH0012005267

Proxy Level: N/A

Primary SEDOL: 7103065

Earliest Cutoff Date: 02/25/2022

Primary Security ID: H5820Q150

Total Ballots: 1

Voting Policy: ISS

Ticker: NOVN

**Additional Policy:** 

Votable Shares: 12,850

\*Shares on Loan: 0

Shares Instructed: 12,850

Shares Voted: 12,850

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Discharge of Board and Senior Management	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Allocation of Income and Dividends of CHF 3.10 per Share	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve CHF 15.3 Million Reduction in Share Capital via Cancellation of Repurchased Shares	Mgmt	Yes	For	For		For	For	No	No	No
5		Authorize Repurchase of up to CHF 10 Billion in Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No
6.1		Approve Remuneration of Directors in the Amount of CHF 8.6 Million	Mgmt	Yes	For	For		For	For	No	No	No
6.2		Approve Maximum Remuneration of Executive Committee in the Amount of CHF 91 Million	Mgmt	Yes	For	For		For	For	No	No	No
6.3		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
7.1		Reelect Joerg Reinhardt as Director and Board Chairman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propos	sed nominees a	re warranted	d.							
		Blended Rationale: Votes FOR the proposed n	nominees are w	arranted.								
7.2		Reelect Nancy Andrews as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propos	sed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed n	nominees are w	arranted.								
7.3		Reelect Ton Buechner as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the proposed nominees are warranted.										
		Blended Rationale: Votes FOR the proposed n	nominees are w	arranted.								
7.4		Reelect Patrice Bula as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the proposed nominees are warranted.										
		Blended Rationale: Votes FOR the proposed nominees are warranted.										
7.5		Reelect Elizabeth Doherty as Director	Mgmt	Yes	For	For		For	For	No	No	No
Voting Policy Rationale: Votes FOR the proposed nominees are warranted.												
		Blended Rationale: Votes FOR the proposed n	nominees are w	arranted.								
7.6		Reelect Bridgette Heller as Director	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Votes FOR the proposed nominees are warranted.											
		Blended Rationale: Votes FOR the proposed nominees are warranted.										

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Novartis AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7.7		Reelect Frans van Houten as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the proposed nominees are warranted.										
		Blended Rationale: Votes FOR the proposed nominees are warranted.										
7.8		Reelect Simon Moroney as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
7.9		Reelect Andreas von Planta as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
7.10		Reelect Charles Sawyers as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the proposed nominees are warranted.										
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
7.11		Reelect William Winters as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
7.12		Elect Ana de Pro Gonzalo as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	d.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
7.13		Elect Daniel Hochstrasser as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
8.1		Reappoint Patrice Bula as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.						-	
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
8.2		Reappoint Bridgette Heller as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
8.3		Reappoint Simon Moroney as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
8.4		Reappoint William Winters as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the proposed nominees are warranted.										
	Blended Rationale: Votes FOR the proposed nominees are warranted.											
9		Ratify KPMG AG as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
10		Designate Peter Zahn as Independent Proxy	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

#### **Novartis AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11		Transact Other Business (Voting)	Mamt	Yes	For	Against		Against	Against	Yes	Nο	Nο

Voting Policy Rationale: A vote AGAINST is warranted because:\* This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and\* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.

Blended Rationale: A vote AGAINST is warranted because:\* This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and\* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		12,850	12,850
			02/21/2022	02/21/2022			
					Total Shares:	12,850	12,850

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.6%	1,431,157,166	99.4%	3,397,635	0.2%	5,945,878	0.4%	Poll	F+A+AB
2	Υ	Pass	No	For	2.5%	1,403,419,759	97.5%	22,769,861	1.6%	12,794,631	0.9%	Poll	F+A+AB
3	Υ	Pass	No	For	0.6%	1,432,034,011	99.4%	7,092,006	0.5%	1,374,662	0.1%	Poll	F+A+AB
4	Υ	Pass	No	For	0.4%	1,434,332,740	99.6%	3,702,323	0.3%	2,465,616	0.2%	Poll	F+A+AB
5	Υ	Pass	No	For	2.4%	1,405,657,241	97.6%	30,990,702	2.2%	3,852,736	0.3%	Poll	F+A+AB
6.1	Υ	Pass	No	For	3.4%	1,390,678,639	96.5%	44,221,391	3.1%	5,600,649	0.4%	Poll	F+A+AB
6.2	Υ	Pass	No	For	8.3%	1,320,877,978	91.7%	113,067,615	7.8%	6,555,085	0.5%	Poll	F+A+AB
6.3	Υ	Pass	No	For	9.4%	1,305,601,853	90.6%	122,750,787	8.5%	12,148,038	0.8%	Poll	F+A+AB
7.1	Υ	Pass	No	For	4.5%	1,376,102,077	95.5%	62,067,323	4.3%	2,331,279	0.2%	Poll	F+A+AB
7.2	Υ	Pass	No	For	0.8%	1,428,413,320	99.2%	9,789,667	0.7%	2,297,692	0.2%	Poll	F+A+AB
7.3	Υ	Pass	No	For	13.0%	1,253,754,493	87.0%	184,140,756	12.8%	2,605,429	0.2%	Poll	F+A+AB
7.4	Υ	Pass	No	For	2.1%	1,409,650,181	97.9%	28,366,927	2.0%	2,483,571	0.2%	Poll	F+A+AB
7.5	Υ	Pass	No	For	2.3%	1,407,479,326	97.7%	30,281,462	2.1%	2,739,891	0.2%	Poll	F+A+AB
7.6	Υ	Pass	No	For	2.7%	1,401,495,288	97.3%	36,280,654	2.5%	2,724,737	0.2%	Poll	F+A+AB
7.7	Υ	Pass	No	For	1.4%	1,419,795,377	98.6%	18,207,940	1.3%	2,497,362	0.2%	Poll	F+A+AB
7.8	Υ	Pass	No	For	1.0%	1,425,713,419	99.0%	12,215,398	0.8%	2,571,862	0.2%	Poll	F+A+AB
7.9	Υ	Pass	No	For	3.9%	1,383,685,864	96.1%	54,614,355	3.8%	2,200,460	0.2%	Poll	F+A+AB
7.10	Υ	Pass	No	For	2.2%	1,409,385,086	97.8%	28,627,356	2.0%	2,488,237	0.2%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
7.11	Y	Pass	No	For	1.9%	1,413,638,004	98.1%	24,344,941	1.7%	2,517,734	0.2%	Poll	F+A+AB
7.12	Υ	Pass	No	For	2.0%	1,411,592,783	98.0%	25,942,715	1.8%	2,965,181	0.2%	Poll	F+A+AB
7.13	Υ	Pass	No	For	1.9%	1,413,251,342	98.1%	24,502,776	1.7%	2,746,561	0.2%	Poll	F+A+AB
8.1	Υ	Pass	No	For	3.0%	1,397,939,177	97.0%	39,068,907	2.7%	3,492,595	0.2%	Poll	F+A+AB
8.2	Υ	Pass	No	For	3.6%	1,388,400,725	96.4%	48,284,549	3.4%	3,815,405	0.3%	Poll	F+A+AB
8.3	Υ	Pass	No	For	3.1%	1,396,230,784	96.9%	40,714,504	2.8%	3,555,391	0.2%	Poll	F+A+AB
8.4	Υ	Pass	No	For	3.2%	1,394,650,042	96.8%	42,304,536	2.9%	3,546,101	0.2%	Poll	F+A+AB
9	Υ	Pass	No	For	1.0%	1,425,359,803	98.9%	13,353,178	0.9%	1,787,698	0.1%	Poll	F+A+AB
10	Y	Pass	No	For	0.2%	1,437,847,678	99.8%	1,255,893	0.1%	1,397,108	0.1%	Poll	F+A+AB
11	Y	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A

## **Beazley Plc**

Meeting Date: 03/25/2022 **Record Date:** 03/23/2022

Earliest Cutoff Date: 03/22/2022

Primary Security ID: G0936K107

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G0936K107

Total Ballots: 1 \*Shares on Loan: 0 Ticker: BEZ

Voting Policy: ISS

**Meeting ID:** 1611020

Primary ISIN: GB00BYQ0JC66

Primary SEDOL: BYQ0JC6

**Additional Policy:** Shares Voted: 336,086

Proxy Level: N/A

Votable Sh	nares: 336,086	*Shares on Loan: 0			Shares In	nstructed: 336	5,086		Shares Voted	: 336,086		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Interim Dividends	Mgmt	Yes	For	For		For	For	No	No	No
4		Re-elect Adrian Cox as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these di	rectors is conside	ered warran	ted as no sig	gnificant cond	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these director	rs is considered	warranted a	s no signific	ant concerns	have been ide	entified.				
5		Re-elect Pierre-Olivier Desaulle as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these di	rectors is consid	ered warran	ted as no sig	gnificant cond	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these director	rs is considered	warranted a	s no signific	ant concerns	have been ide	ntified.				
6		Re-elect Nicola Hodson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these di	rectors is conside	ered warran	ted as no sig	gnificant conc	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these director	rs is considered	warranted a	s no signific	ant concerns	have been ide	ntified.				
7		Re-elect Sally Lake as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these di	rectors is conside	ered warran	ted as no sig	gnificant cond	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these director	rs is considered	warranted a	s no signific	ant concerns	have been ide	ntified.				
8		Re-elect Christine LaSala as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these di	rectors is conside	ered warran	ted as no sig	gnificant cond	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these director	rs is considered	warranted a	s no signific	ant concerns	have been ide	ntified.				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Beazley Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Re-elect John Reizenstein as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these	e directors is conside	ered warrant	ed as no sigi	nificant conce	erns have beer	identified.				
		Blended Rationale: A vote FOR these dire	ectors is considered	warranted as	s no significa	nt concerns	have been ide	ntified.				
10		Re-elect David Roberts as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these	e directors is conside	ered warrant	ed as no sigi	nificant conce	erns have beer	identified.				
		Blended Rationale: A vote FOR these dire	ectors is considered	warranted as	s no significa	nt concerns	have been idei	ntified.				
11		Re-elect Robert Stuchbery as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these	e directors is conside	ered warrant	ed as no sigi	nificant conce	erns have beer	identified.				
		Blended Rationale: A vote FOR these dire	ectors is considered	warranted as	s no significa	nt concerns	have been idei	ntified.				
12		Elect Rajesh Agrawal as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these	e directors is conside	ered warrant	ed as no sigi	nificant conce	erns have beer	identified.				
		Blended Rationale: A vote FOR these dire	ectors is considered	warranted as	s no significa	nt concerns	have been ide	ntified.				
13		Reappoint EY as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
14		Authorise the Audit and Risk Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Approve Save As You Earn Share Option Plan	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve Long-Term Incentive Plan	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these recommended limits.	e resolutions is warr	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these res recommended limits.	olutions is warranted	d because th	e proposed a	amounts and	durations are	within				
18		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these recommended limits.	e resolutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these res recommended limits.	olutions is warranted	d because th	e proposed a	amounts and	durations are	within				
19		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails											
Institutional (IA Name, IA	l Account Detail A Number)	Custodian Account Number Ballot St	atus Instructe	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares '	Voted
NT RSG01, NT	rSG01	RSG01 Confirmed	d jbisset		jbisset				336,08	36	336,	086
			03/16/2022	2	03/16/202	22						
								Total Shares:	336,0	86	336,0	086

#### **Meeting Results**

Outstanding Shares Voted: 609,896,418 Results Available: Partial

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	484,215,490	100.0%	14,573	0.0%	808,199	N/A	Poll	F+A
2	Υ	Pass	No	For	10.5%	434,012,961	89.5%	50,960,274	10.5%	65,027	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	484,985,841	100.0%	13,400	0.0%	39,021	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	485,008,137	100.0%	14,678	0.0%	15,447	N/A	Poll	F+A
5	Υ	Pass	No	For	0.0%	484,977,900	100.0%	14,573	0.0%	45,789	N/A	Poll	F+A
6	Υ	Pass	No	For	5.2%	459,560,283	94.8%	25,403,070	5.2%	74,909	N/A	Poll	F+A
7	Υ	Pass	No	For	0.3%	483,612,806	99.7%	1,350,547	0.3%	74,909	N/A	Poll	F+A
8	Υ	Pass	No	For	5.6%	457,743,533	94.4%	27,219,820	5.6%	74,909	N/A	Poll	F+A
9	Υ	Pass	No	For	0.8%	481,232,710	99.2%	3,759,763	0.8%	45,789	N/A	Poll	F+A
10	Υ	Pass	No	For	0.8%	481,228,498	99.2%	3,763,975	0.8%	45,789	N/A	Poll	F+A
11	Υ	Pass	No	For	4.8%	461,504,744	95.2%	23,487,729	4.8%	45,789	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	484,977,195	100.0%	15,078	0.0%	45,989	N/A	Poll	F+A
13	Υ	Pass	No	For	0.3%	483,514,755	99.7%	1,483,945	0.3%	39,562	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	484,778,927	100.0%	223,428	0.0%	35,907	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	484,652,133	99.9%	349,340	0.1%	36,789	N/A	Poll	F+A
16	Υ	Pass	No	For	0.7%	481,826,808	99.3%	3,174,797	0.7%	36,657	N/A	Poll	F+A
17	Υ	Pass	No	For	2.4%	460,110,764	97.6%	11,215,375	2.4%	13,712,123	N/A	Poll	F+A
18	Υ	Pass	No	For	0.1%	484,306,473	99.9%	686,000	0.1%	45,789	N/A	Poll	F+A
19	Υ	Pass	No	For	0.3%	483,528,023	99.7%	1,280,550	0.3%	229,689	N/A	Poll	F+A
20	Υ	Pass	No	For	11.4%	428,810,332	88.6%	54,936,977	11.4%	1,290,953	N/A	Poll	F+A

## **Torii Pharmaceutical Co., Ltd.**

Meeting Date: 03/29/2022 **Record Date:** 12/31/2021

Primary Security ID: J8959J102

Meeting Type: Annual

Country: Japan

Primary CUSIP: J8959J102

Ticker: 4551

**Meeting ID:** 1614494

**Primary ISIN:** JP3635800000

Proxy Level: N/A

Primary SEDOL: 6896894

**Additional Policy:** 

Earliest Cutoff Date: 03/27/2022 Total Ballots: 1 Voting Policy: ISS Votable Shares: 9,700 \*Shares on Loan: 0 Shares Instructed: 9,700 Shares Voted: 9,700

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 24	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect Director Fukuoka, Toshio	Mgmt	Yes	For	For		For	For	No	No	No
4		Appoint Statutory Auditor Matsumura, Takaharu	Mgmt	Yes	For	For		For	For	No	No	No
5		Elect Alternate Director Kondo, Nobumasa	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Torii Pharmaceutical Co., Ltd.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6		Appoint Alternate Statutory Auditor Kumano, Hisashi	Mgmt	Yes	For	For		For	For	No	No	No
7		Amend Articles to Prohibit Appointments of Officials from Japan Tobacco Inc	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this shareful board where no Torii Pharmaceutical executive directors casts doubt over the effectiveness of unfavorable relative stock performance.	s are present,	and the lack	of related b	ousiness expe	erience among	the outside				
		Blended Rationale: A vote FOR this shareholder where no Torii Pharmaceutical executives are partial casts doubt over the effectiveness of the board stock performance.	present, and th	ne lack of rela	ated busines	s experience	among the o	utside directo	ors			
8		Amend Articles to Ban Funding to Japan Tobacco via Cash Management System	SH	Yes	Against	Against		Against	For	Yes	Yes	Yes
9		Amend Articles to Add Required Experience for Directors	SH	Yes	Against	Against		Against	Against	No	No	No
10		Amend Articles to Disclose Cost of Equity Capital in Corporate Governance Report	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this shared more attention to an inefficient capital policy, v					•		ay			
		Blended Rationale: A vote FOR this shareholde attention to an inefficient capital policy, with a	-					ement pay m	ore			
11		Approve Additional Allocation of Income so that Final Dividend per Share Equals to JPY 114	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this shared equivalents, the additional payment should be					-		nd			
		Blended Rationale: A vote FOR this shareholde equivalents, the additional payment should be					•					
12		Initiate Share Repurchase Program	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this sharef- bind the company to actually repurchase any s reasonable in light of its rich cash position, and	hares and imp	oses no infle	exible manda	te.* A share	repurchase ap					
		Blended Rationale: A vote FOR this shareholde the company to actually repurchase any shares light of its rich cash position, and concerns over	and imposes	no inflexible	mandate.*	A share repu						
Dallat Dat	-9-											

Ballot Details

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jcoster	jcoster		9,700	9,700
			03/23/2022	03/23/2022			
					Total Shares:	9,700	9,700

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item	Voting		Dissident	Mamt	Dissent		%		%		%	Result	
200111	roung		Dissidence		Disserie		,0		, ,			itesait	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Type	Base
π-	гторозат	Julilliary	гторозат	Rec	Level	101	101	Against	Aguilloc	Abstaili	Abstani	iype	Dusc

Item	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	231,806	92.9%	17,611	N/A	0	N/A	Poll	F+A+AB
2	Y	Pass	No	For	N/A	248,555	99.6%	862	N/A	0	N/A	Poll	F+A+AB
3	Y	Pass	No	For	N/A	239,844	96.1%	9,563	N/A	0	N/A	Poll	F+A+AB
4	Y	Pass	No	For	N/A	247,852	99.3%	1,565	N/A	0	N/A	Poll	F+A+AB
5	Y	Pass	No	For	N/A	239,967	96.2%	9,450	N/A	0	N/A	Poll	F+A+AB
6	Y	Pass	No	For	N/A	247,760	99.3%	1,657	N/A	0	N/A	Poll	F+A+AB
7	Y	Fail	No	Against	N/A	23,650	9.5%	225,766	N/A	0	N/A	Poll	F+A+AB
8	Y	Fail	No	Against	N/A	17,621	7.1%	231,784	N/A	0	N/A	Poll	F+A+AB
9	Y	Fail	No	Against	N/A	5,960	2.4%	243,445	N/A	0	N/A	Poll	F+A+AB
10	Y	Fail	No	Against	N/A	27,822	11.2%	221,582	N/A	0	N/A	Poll	F+A+AB
11	Υ	Fail	No	Against	N/A	51,810	20.8%	197,594	N/A	0	N/A	Poll	F+A+AB
12	Υ	Fail	No	Against	N/A	34,779	13.9%	214,534	N/A	91	N/A	Poll	F+A+AB

## Rakuten Group, Inc.

Meeting Date: 03/30/2022 **Record Date:** 12/31/2021

Earliest Cutoff Date: 03/28/2022

Primary Security ID: J64264104

Country: Japan

Meeting Type: Annual

Primary CUSIP: J64264104

Total Ballots: 1

Ticker: 4755

**Meeting ID:** 1616325

**Primary ISIN:** JP3967200001

Voting Policy: ISS

Proxy Level: N/A

Primary SEDOL: 6229597

**Additional Policy:** 

Votable SI	nares: 531,300	*Shares on Loan: 0			Shares Ins	structed: 531,	.300		Shares Voted:	531,300		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Articles to Disclose Shareholder Meeting Materials on Internet - Allow Virtual Only Shareholder Meetings - Reflect Changes in Law	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this p company to hold virtual only meetings perman crisis is resolved, and the proposed language f	ently, without	further need	to consult s	hareholders,	even after the	current hea				
		Blended Rationale: A vote AGAINST this propo company to hold virtual only meetings perman crisis is resolved, and the proposed language f	ently, without	further need	to consult s	hareholders,	even after the	e current hea	alth			
2.1		Elect Director Mikitani, Hiroshi	Mgmt	Yes	For	For		For	For	No	No	No
2.2		Elect Director Hosaka, Masayuki	Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Charles B. Baxter	Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Hyakuno, Kentaro	Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Kutaragi, Ken	Mgmt	Yes	For	For		For	For	No	No	No
2.6		Elect Director Sarah J. M. Whitley	Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Mitachi, Takashi	Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Murai, Jun	Mgmt	Yes	For	For		For	For	No	No	No
2.9		Elect Director John V. Roos	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## Rakuten Group, Inc.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Appoint Statutory Auditor Fujita, Satoshi	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Deep Discount Stock Option Plan	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this pand the stock options could become exercisable recipients.	•		,	,		•	t,			
		Blended Rationale: A vote AGAINST this propo	sal is warrante	d because:*	No specific	performance	hurdles are s	pecified, and	1			

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		531,300	531,300
			03/21/2022	03/21/2022			
					Total Shares:	531,300	531,300

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

the stock options could become exercisable in less than three years after this shareholder meeting by non-retiring recipients.

Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Туре	Base
1	Y	Pass	No	For	N/A	11,941,437	90.8%	1,206,351	N/A	50	N/A	Poll	F+A+AB
2.1	Y	Pass	No	For	N/A	11,963,328	90.9%	1,184,428	N/A	93	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	12,551,609	95.4%	596,156	N/A	93	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	12,589,280	95.7%	558,481	N/A	93	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	12,863,519	97.8%	284,245	N/A	93	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	11,119,115	84.5%	2,028,645	N/A	93	N/A	Poll	F+A+AB
2.6	Y	Pass	No	For	N/A	12,379,707	94.1%	768,060	N/A	93	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	12,624,876	96.0%	522,890	N/A	93	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	12,450,316	94.6%	697,448	N/A	93	N/A	Poll	F+A+AB
2.9	Υ	Pass	No	For	N/A	12,908,071	98.1%	239,693	N/A	93	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	13,141,290	99.9%	6,570	N/A	0	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	10,079,482	76.6%	3,064,759	N/A	3,613	N/A	Poll	F+A+AB

## Teikoku Sen-I Co., Ltd.

 Meeting Date: 03/30/2022
 Country: Japan
 Ticker: 3302
 Proxy Level: N/A

 Record Date: 12/31/2021
 Meeting Type: Annual
 Meeting ID: 1617673

 Primary Security ID: J82657107
 Primary CUSIP: J82657107
 Primary ISIN: JP3540800004
 Primary SEDOL: 6880983

## Teikoku Sen-I Co., Ltd.

 Earliest Cutoff Date: 03/28/2022
 Total Ballots: 1
 Voting Policy: ISS
 Additional Policy:

 Votable Shares: 26,900
 \*Shares on Loan: 0
 Shares Instructed: 26,900
 Shares Voted: 26,900

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 45	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Shiraiwa, Tsuyoshi	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST the company's capital misallocation.	nis director nomine	ee is warrant	ted because	:* Top mana	gement is resp	onsible for t	the			
		Blended Rationale: A vote AGAINST this discompany's capital misallocation.	rector nominee is	warranted b	ecause:* To	op manageme	ent is responsi	ble for the				
3.2		Elect Director Masutani, Toru	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST the company's capital misallocation.	nis director nomine	ee is warrant	ted because	:* Top mana	gement is resp	onsible for t	the			
		Blended Rationale: A vote AGAINST this did company's capital misallocation.	rector nominee is	warranted b	ecause:* To	op manageme	ent is responsi	ble for the				
3.3		Elect Director Okamura, Tatsuru	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Nakao, Toru	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Takagi, Hiroyasu	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Fukazawa, Masahiro	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
4		Appoint Alternate Statutory Auditor Aramaki, Mikito	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST the affiliation with the company could compron			use:* The o	utside statute	ory auditor noi	minee's				
		Blended Rationale: A vote AGAINST this no with the company could compromise indep		ed because:	* The outsid	le statutory a	uditor nominee	e's affiliation				
5		Approve Compensation Ceiling for Directors	Mgmt	Yes	For	For		For	For	No	No	No
6		Approve Trust-Type Equity Compensation Plan	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST the other equity compensation plans reaches 6				dilution from	this plan and	the compan,	v's			
		Blended Rationale: A vote AGAINST this prequity compensation plans reaches 6.7 per	•			ion from this	plan and the c	ompany's ot	ther			

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jcoster	jcoster		26,900	26,900
			03/23/2022	03/23/2022			
					Total Shares:	26,900	26,900

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	226,600	94.5%	13,239	N/A	0	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	239,564	99.9%	275	N/A	0	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	212,063	88.4%	27,767	N/A	0	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	218,000	90.9%	21,831	N/A	0	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	230,679	96.2%	9,154	N/A	0	N/A	Poll	F+A+AB
3.4	Y	Pass	No	For	N/A	230,716	96.2%	9,117	N/A	0	N/A	Poll	F+A+AB
3.5	Y	Pass	No	For	N/A	230,146	96.0%	9,687	N/A	0	N/A	Poll	F+A+AB
3.6	Y	Pass	No	For	N/A	224,451	93.6%	15,382	N/A	0	N/A	Poll	F+A+AB
4	Y	Pass	No	For	N/A	189,809	79.1%	50,017	N/A	5	N/A	Poll	F+A+AB
5	Y	Pass	No	For	N/A	239,343	99.8%	486	N/A	5	N/A	Poll	F+A+AB
6	Y	Pass	No	For	N/A	216,781	90.4%	23,057	N/A	0	N/A	Poll	F+A+AB

## Toagosei Co., Ltd.

Meeting Date: 03/30/2022 **Record Date:** 12/31/2021

Earliest Cutoff Date: 03/28/2022

Primary Security ID: J8381L105

Country: Japan Meeting Type: Annual

Total Ballots: 1

Primary CUSIP: J8381L105

Ticker: 4045

**Meeting ID:** 1612830

Primary ISIN: JP3556400004

Voting Policy: ISS

Proxy Level: N/A

Primary SEDOL: 6894467

**Additional Policy:** Shares Voted: 31,600

Votable SI	nares: 31,600	*Shares on Loan: 0			Shares In	structed: 31,6	500		Shares Voted	: 31,600		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 19	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet - Allow Virtual Only Shareholder Meetings	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Takamura, Mikishi	Mgmt	Yes	For	For		For	For	No	No	No
3.2		Elect Director Ishikawa, Nobuhiro	Mgmt	Yes	For	For		For	For	No	No	No
3.3		Elect Director Miho, Susumu	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Kimura, Masahiro	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Koike, Yasuhiro	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Mori, Yuichiro	Mgmt	Yes	For	For		For	For	No	No	No
3.7		Elect Director Serita, Taizo	Mgmt	Yes	For	For		For	For	No	No	No
3.8		Elect Director Furukawa, Hidetoshi	Mgmt	Yes	For	For		For	For	No	No	No
4.1		Elect Director and Audit Committee Member Takano, Nobuhiko	Mgmt	Yes	For	For		For	For	No	No	No

## Toagosei Co., Ltd.

•	ignificant ote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4.2		Elect Director and Audit Committee Member Danno, Koichi	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINS be an audit committee member lacks in		ee is warrant	ed because:	* This outsi	de director cand	didate who v	vill			
		Blended Rationale: A vote AGAINST th an audit committee member lacks inde		warranted b	ecause:* Th	is outside di	rector candidat	e who will b	e			
4.3		Elect Director and Audit Committee Member Suzuki, Yoshitaka	Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Acc (IA Name, IA Nu		Custodian Account Number Ballot	Status Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted

	Name, IA Number)	Account Number	<b>Ballot Status</b>	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT I	RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		31,600	31,600
				03/28/2022	03/28/2022			

**Total Shares:** 31,600 31,600

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Туре	Base
1	Υ	Pass	No	For	N/A	1,056,648	96.4%	16,889	N/A	6	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	1,067,193	97.4%	6,342	N/A	6	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	1,042,266	95.1%	31,270	N/A	6	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	1,068,693	97.5%	4,843	N/A	6	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	1,068,777	97.5%	4,765	N/A	0	N/A	Poll	F+A+AB
3.4	Υ	Pass	No	For	N/A	1,068,676	97.5%	4,860	N/A	6	N/A	Poll	F+A+AB
3.5	Υ	Pass	No	For	N/A	1,071,071	97.7%	2,465	N/A	6	N/A	Poll	F+A+AB
3.6	Υ	Pass	No	For	N/A	1,071,077	97.7%	2,459	N/A	6	N/A	Poll	F+A+AB
3.7	Υ	Pass	No	For	N/A	1,060,391	96.7%	13,145	N/A	6	N/A	Poll	F+A+AB
3.8	Υ	Pass	No	For	N/A	1,035,399	94.5%	38,137	N/A	6	N/A	Poll	F+A+AB
4.1	Υ	Pass	No	For	N/A	1,071,011	97.7%	2,525	N/A	6	N/A	Poll	F+A+AB
4.2	Υ	Pass	No	For	N/A	959,178	87.5%	114,357	N/A	6	N/A	Poll	F+A+AB
4.3	Υ	Pass	No	For	N/A	1,031,071	94.1%	42,465	N/A	6	N/A	Poll	F+A+AB

### Aena S.M.E. SA

Meeting Date: 03/31/2022 **Record Date:** 03/25/2022

Country: Spain

Ticker: AENA

Proxy Level: N/A

**Primary Security ID:** E526K0106

Meeting Type: Annual

**Meeting ID:** 1610129

Primary CUSIP: E526K0106

Primary ISIN: ES0105046009

Primary SEDOL: BVRZ8L1

### Aena S.M.E. SA

Earliest Cutoff Date: 03/25/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 29,000

\*Shares on Loan: 0

Shares Instructed: 29,000

Shares Voted: 29,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Standalone Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item procedures used.	n is warranted du	ue to a lack o	of concern	regarding the	accounts prese	ented or au	dit			
		Blended Rationale: A vote FOR this item is v procedures used.	varranted due to	a lack of col	ncern regai	rding the acco	ounts presented	d or audit				
2		Approve Consolidated Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item procedures used.	n is warranted du	ue to a lack o	of concern	regarding the	accounts prese	ented or au	dit			
		Blended Rationale: A vote FOR this item is v procedures used.	varranted due to	a lack of co	ncern regai	rding the acco	ounts presented	d or audit				
3		Approve Treatment of Net Loss	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Non-Financial Information Statement	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Discharge of Board	Mgmt	Yes	For	For		For	For	No	No	No
6		Appoint KPMG Auditores as Auditor	Mgmt	Yes	For	For		For	For	No	No	No
7.1		Ratify Appointment of and Elect Raul Miguez Bailo as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the ratii Delacampagne, and Balleste Morillas under guideline applicable to Spain-incorporated of Maurici Lucena Betriu under Item 7.3 is war failed to disclose any plans to separate the	Items 7.1, 7.2, a companies with a ranted because I	nd 7.4 becau majority sha he is not pro	ise the boa preholder. A posed for in	rd meets the I vote AGAINS nterim term oi	one third indep ST the reelection	pendence on of chair/	CEO			
		Blended Rationale: A vote FOR the ratification Delacampagne, and Balleste Morillas under guideline applicable to Spain-incorporated of Maurici Lucena Betriu under Item 7.3 is war failed to disclose any plans to separate the G	Items 7.1, 7.2, a companies with a ranted because I	nd 7.4 becau majority sha he is not pro	use the boa preholder. A posed for in	rd meets the I vote AGAINS nterim term or	one third indep ST the reelection	pendence on of chair/G			-	
7.2		Ratify Appointment of and Elect Manuel Delacampagne Crespo as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the rational pelacampagne, and Balleste Morillas under guideline applicable to Spain-incorporated of Maurici Lucena Betriu under Item 7.3 is war failed to disclose any plans to separate the Control of the Policy	Items 7.1, 7.2, a ompanies with a ranted because I	nd 7.4 becau majority sha he is not pro	use the boa preholder. A posed for in	rd meets the I vote AGAINS nterim term of	one third indep ST the reelection	pendence on of chair/G	CEO			
		Blended Rationale: A vote FOR the ratification Delacampagne, and Balleste Morillas under guideline applicable to Spain-incorporated of Maurici Lucena Betriu under Item 7.3 is war	Items 7.1, 7.2, a ompanies with a	nd 7.4 becau majority sha	ise the boa reholder. A	ord meets the Note AGAINS	one third indep ST the reelection	pendence on of chair/G			-	

failed to disclose any plans to separate the CEO and chairman positions in the near term.

### Aena S.M.E. SA

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7.3		Reelect Maurici Lucena Betriu as Director	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote FOR the ratifica Delacampagne, and Balleste Morillas under Iter guideline applicable to Spain-incorporated com Maurici Lucena Betriu under Item 7.3 is warran failed to disclose any plans to separate the CEC	ms 7.1, 7.2, ar panies with a r ted because h	nd 7.4 becau majority shai e is not prop	se the board reholder. A v nosed for int	d meets the o ote AGAINS erim term on	one third indep T the reelectio	nendence n of chair/CL				
		Blended Rationale: A vote FOR the ratification of Delacampagne, and Balleste Morillas under Itel guideline applicable to Spain-incorporated complex Maurici Lucena Betriu under Item 7.3 is warrant failed to disclose any plans to separate the CEC	ms 7.1, 7.2, ar panies with a r ted because h	nd 7.4 becau majority shai e is not prop	se the board reholder. A v nosed for int	d meets the o ote AGAINS erim term on	one third indep T the reelection	oendence n of chair/CL	<del>-</del> 0			
7.4		Elect Eva Balleste Morillas as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the ratifica Delacampagne, and Balleste Morillas under Iter guideline applicable to Spain-incorporated com, Maurici Lucena Betriu under Item 7.3 is warran failed to disclose any plans to separate the CEC	ms 7.1, 7.2, ar panies with a r ted because h	nd 7.4 becau majority shai e is not prop	se the board reholder. A v nosed for int	d meets the o ote AGAINS erim term on	one third indep T the reelectio	nendence n of chair/CL				
		Blended Rationale: A vote FOR the ratification of Delacampagne, and Balleste Morillas under Iter guideline applicable to Spain-incorporated complexical Lucena Betriu under Item 7.3 is warrang failed to disclose any plans to separate the CEC.	ms 7.1, 7.2, ar panies with a r ted because h	nd 7.4 becau majority shai e is not prop	se the board reholder. A v nosed for int	d meets the o vote AGAINS erim term on	one third indep T the reelection	oendence n of chair/CE	<del>-</del> 0			
8.1		Amend Articles Re: General Shareholders Meeting's Competences and Board of Directors' Competences	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorbylaws and general meeting regulations merely neutral to positive effect on shareholder rights.	update these	documents	to applicable	e legal provis	ions that, on t					
		Blended Rationale: A vote FOR these resolution bylaws and general meeting regulations merely neutral to positive effect on shareholder rights.	update these	documents	to applicable	e legal provis	ions that, on t		ave			
8.2		Amend Articles Re: Shareholders' Right to Information and Annual Report on Directors' Remuneration	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorbylaws and general meeting regulations merely neutral to positive effect on shareholder rights.	update these	documents	to applicable	e legal provis	ions that, on t					
		Blended Rationale: A vote FOR these resolution bylaws and general meeting regulations merely neutral to positive effect on shareholder rights.	update these	documents	to applicable	e legal provis	ions that, on t		ave			
8.3		Amend Articles Re: Technical Improvements	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorbylaws and general meeting regulations merely neutral to positive effect on shareholder rights.	update these	documents	to applicable	e legal provis	ions that, on t					
		Blended Rationale: A vote FOR these resolution bylaws and general meeting regulations merely neutral to positive effect on shareholder rights.	update these	documents	to applicable	e legal provis	ions that, on t		ave			

### Aena S.M.E. SA

Proposal Significan Number Vote	t Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9	Amend Articles of Gener Regulations	al Meeting	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A bylaws and general meet neutral to positive effect	ting regulations merei	ly update these	documents	to applicat	ole legal provis	sions that, on t		•			
	Blended Rationale: A vot bylaws and general meet neutral to positive effect	ting regulations merei	ly update these	documents	to applicat	ole legal provis	sions that, on t		nave			
10	Authorize Issuance of No Bonds/Debentures and/o Securities up to EUR 5 B	or Other Debt	Mgmt	Yes	For	For		For	For	No	No	No
11	Advisory Vote on Remur	neration Report	Mgmt	Yes	For	For		For	For	No	No	No
12	Advisory Vote on Compa Updated Report on Clima	,	Mgmt	Yes	For	For		For	For	No	No	No
13	Authorize Board to Ratif Approved Resolutions	y and Execute	Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account Deta (IA Name, IA Number)	il Custodian Account Number	Ballot Status	Instructe	d	Approv	ved .	Ballot Voting	Status	Votable S	Shares	Shares	Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset		jbisset				29,00	00	29,	000
			03/18/202	2	03/18/2	2022				_		
								Total Shares	s: 29,0	00	29,0	000

#### **Meeting Results**

Outstanding Shares Voted: 150,000,000

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.4%	130,930,676	99.6%	150	0.0%	521,727	0.4%	Poll	F+A+AB
2	Υ	Pass	No	For	0.4%	130,930,676	99.6%	150	0.0%	521,727	0.4%	Poll	F+A+AB
3	Υ	Pass	No	For	0.0%	131,408,844	100.0%	237	0.0%	43,472	0.0%	Poll	F+A+AB
4	Υ	Pass	No	For	0.0%	131,392,894	100.0%	90	0.0%	59,569	0.0%	Poll	F+A+AB
5	Υ	Pass	No	For	1.0%	130,178,959	99.0%	478,263	0.4%	795,331	0.6%	Poll	F+A+AB
6	Υ	Pass	No	For	0.2%	131,213,550	99.8%	192,296	0.1%	46,697	0.0%	Poll	F+A+AB
7.1	Υ	Pass	No	For	5.9%	123,749,392	94.1%	7,642,768	5.8%	60,393	0.0%	Poll	F+A+AB
7.2	Υ	Pass	No	For	5.9%	123,742,387	94.1%	7,649,799	5.8%	60,367	0.0%	Poll	F+A+AB
7.3	Υ	Pass	No	For	17.5%	108,474,727	82.5%	22,934,138	17.4%	43,688	0.0%	Poll	F+A+AB
7.4	Υ	Pass	No	For	6.6%	122,731,672	93.4%	8,676,274	6.6%	44,607	0.0%	Poll	F+A+AB
8.1	Υ	Pass	No	For	0.0%	131,408,737	100.0%	81	0.0%	43,735	0.0%	Poll	F+A+AB
8.2	Υ	Pass	No	For	0.0%	131,408,697	100.0%	111	0.0%	43,745	0.0%	Poll	F+A+AB
8.3	Υ	Pass	No	For	0.0%	131,408,759	100.0%	100	0.0%	43,694	0.0%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
9	Υ	Pass	No	For	0.8%	130,349,454	99.2%	1,059,394	0.8%	43,705	0.0%	Poll	F+A+AB
10	Υ	Pass	No	For	1.2%	129,833,751	98.8%	1,575,233	1.2%	43,569	0.0%	Poll	F+A+AB
11	Υ	Pass	No	For	4.5%	125,578,361	95.5%	5,788,939	4.4%	85,253	0.1%	Poll	F+A+AB
12	Υ	Pass	No	For	5.6%	124,077,560	94.4%	3,833,306	2.9%	3,541,687	2.7%	Poll	F+A+AB
13	Y	Pass	No	For	0.0%	131,408,641	100.0%	330	0.0%	43,582	0.0%	Poll	F+A+AB

Meeting Date: 03/31/2022

Country: Spain

Ticker: SAN

Proxy Level: N/A

**Record Date:** 03/25/2022

3.C

Meeting Type: Annual

Meeting ID: 1611611

Primary Security ID: E19790109

Primary CUSIP: E19790109

Primary ISIN: ES0113900J37

Primary SEDOL: 5705946

Earliest Cutoff Date: 03/28/2022

**Total Ballots:** 1

Voting Policy: ISS **Additional Policy:** \*Shares on Loan: 0 Shares Voted: 1,000,000 Votable Shares: 1.000.000 Shares Instructed: 1,000,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.A		Approve Consolidated and Standalone Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
1.B		Approve Non-Financial Information Statement	Mgmt	Yes	For	For		For	For	No	No	No
1.C		Approve Discharge of Board	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Allocation of Income and Dividends	Mgmt	Yes	For	For		For	For	No	No	No
3.A		Fix Number of Directors at 15	Mgmt	Yes	For	For		For	For	No	No	No
3.B		Elect German de la Fuente as Director	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees. A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee. A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.

Blended Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees.A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee.A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.

Reelect Henrique de Castro as Director

Yes

Mgmt

For

For

For

For

No

No

No

Voting Policy Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees. A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee. A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.

Blended Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees.A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee.A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3.D		Reelect Jose Antonio Alvarez as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 3.B, director nominees.A vote FOR Item 3.D is wan reelection of NI-NEDs Isasi and Rial under Iter guideline applicable to Spain-incorporated, nor	ranted due to a	a lack of cond is warranted	cerns about d, as the bo	the CEO nor pard meets the	minee.A vote F e 50 percent in	OR the ndependence	,			
		Blended Rationale: A vote FOR Items 3.B, 3.C, nominees.A vote FOR Item 3.D is warranted do NI-NEDs Isasi and Rial under Items 3.F and 3. applicable to Spain-incorporated, non-controlled	ue to a lack of G is warranted	concerns ab , as the boar	out the CEC rd meets th	O nominee.A e 50 percent	vote FOR the r independence	eelection of	r			
3.E		Reelect Belen Romana as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 3.B, director nominees.A vote FOR Item 3.D is wan reelection of NI-NEDs Isasi and Rial under Item guideline applicable to Spain-incorporated, nor	ranted due to a	a lack of cond is warranted	cerns about d, as the bo	the CEO non pard meets th	minee.A vote F e 50 percent in	OR the ndependence	,			
		Blended Rationale: A vote FOR Items 3.B, 3.C, nominees.A vote FOR Item 3.D is warranted do NI-NEDs Isasi and Rial under Items 3.F and 3. applicable to Spain-incorporated, non-controlled	ue to a lack of G is warranted	concerns ab , as the boar	out the CEC rd meets th	O nominee.A e 50 percent	vote FOR the r independence	eelection of	r			
3.F		Reelect Luis Isasi as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 3.B, director nominees.A vote FOR Item 3.D is wan reelection of NI-NEDs Isasi and Rial under Item guideline applicable to Spain-incorporated, not	ranted due to a	a lack of cond is warranted	cerns about d, as the bo	the CEO non pard meets th	minee.A vote F e 50 percent in	, OR the ndependence	,			
		Blended Rationale: A vote FOR Items 3.B, 3.C, nominees.A vote FOR Item 3.D is warranted do NI-NEDs Isasi and Rial under Items 3.F and 3. applicable to Spain-incorporated, non-controlled	ue to a lack of G is warranted	concerns ab , as the boar	out the CEC rd meets th	O nominee.A e 50 percent	vote FOR the r independence	eelection of	or			
3.G		Reelect Sergio Rial as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 3.B, director nominees.A vote FOR Item 3.D is wan reelection of NI-NEDs Isasi and Rial under Iten guideline applicable to Spain-incorporated, nor	ranted due to a ns 3.F and 3.G	a lack of cond is warranted	cerns about d, as the bo	the CEO non pard meets the	minee.A vote F e 50 percent in	OR the ndependence	,			
		Blended Rationale: A vote FOR Items 3.B, 3.C, nominees.A vote FOR Item 3.D is warranted di NI-NEDs Isasi and Rial under Items 3.F and 3. applicable to Spain-incorporated, non-controlle	ue to a lack of G is warranted	concerns ab , as the boar	out the CEC rd meets th	O nominee.A e 50 percent	vote FOR the r independence	eelection of	r			
4		Ratify Appointment of PricewaterhouseCoopers as Auditor	Mgmt	Yes	For	For		For	For	No	No	No
5.A		Amend Articles Re: Form of Shares and Transfer of Shares	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item company bylaws with updated legal provisions,			•	amendment	s, most of whic	ch align the				
		Blended Rationale: A vote FOR these items is v company bylaws with updated legal provisions,	-		•	endments, mo	ost of which ali	ign the				
5.B		Amend Article 16 Re: Capital Reduction	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item company bylaws with updated legal provisions,			•	amendment	s, most of whic	ch align the				
		Blended Rationale: A vote FOR these items is a company bylaws with updated legal provisions.	-		,	endments, mo	ost of which ali	ign the				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5.C		Amend Article 19 Re: Issuance of Other Securities	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,	-		,	amendments	, most of whic	h align the				
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,	•		•	ndments, mo	st of which alig	gn the				
5.D		Amend Article 26 Re: Allow Shareholder Meetings to be Held in Virtual-Only Format	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			-	amendments	, most of whic	th align the				
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,	•		•	ndments, mo.	st of which alig	gn the				
5.E		Amend Articles Re: Board Secretary and Presiding Committee of the General Shareholders' Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,				amendments	, most of whic	h align the				
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,	-		•	ndments, mo.	st of which alig	gn the				
5.F		Amend Article 48 Re: Executive Chair	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			-	amendments	, most of whic	h align the				
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,	-		•	ndments, mo	st of which alig	gn the				
5.G		Amend Article 52 Re: Audit Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			•	amendments	, most of whic	th align the				
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,	•		•	ndments, mo.	st of which alig	gn the				
5.H		Amend Articles Re: Director Remuneration	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,				amendments	, most of whic	th align the				
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,			-	ndments, mo	st of which alig	gn the				
5.I		Add Article 64 bis Re: Prior Authorization for the Payment of Dividends	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,	-		•	amendments	, most of whic	h align the				
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,	-		•	ndments, mo.	st of which alig	gn the				
6.A		Amend Article 6 of General Meeting Regulations Re: Information Available as of the Date of the Call to Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,	-		•	amendments	, most of whic	h align the				
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,	•		•	ndments, mo.	st of which alig	gn the				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6.B		Amend Article 13 of General Meeting Regulations Re: Presiding Committee of the General Shareholders' Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			-	amendments	s, most of whic	ch align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	-		•	ndments, mo	est of which ali	gn the				
6.C		Add New Article 15 bis and Amend Article 19 of General Meeting Regulations Re: Allow Shareholder Meetings to be Held in Virtual-Only Format	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			-	amendments	s, most of whic	ch align the				
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	,		,	ndments, mo	est of which ali	gn the				
6.D		Amend Article 17 of General Meeting Regulations Re: Presentations	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			-	amendments	s, most of whic	ch align the				
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,	,		,	ndments, mo	st of which ali	gn the				
7.A		Authorize Increase in Capital up to 50 Percent via Issuance of Equity or Equity-Linked Securities, Excluding Preemptive Rights of up to 10 Percent	Mgmt	Yes	For	For		For	For	No	No	No
7.B		Approve Reduction in Share Capital via Amortization of Treasury Shares	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items improve the efficiency of the balance sheet, wh					o shareholders	and may				
		Blended Rationale: A vote FOR these items is we the efficiency of the balance sheet, which may				'	areholders and	may improv	re			
7.C		Approve Reduction in Share Capital via Amortization of Treasury Shares	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items improve the efficiency of the balance sheet, wh				•	o shareholders	and may				
		Blended Rationale: A vote FOR these items is we the efficiency of the balance sheet, which may				•	areholders and	may improv	e			
7.D		Approve Reduction in Share Capital via Amortization of Treasury Shares	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items improve the efficiency of the balance sheet, wh					o shareholders	and may				
		Blended Rationale: A vote FOR these items is we the efficiency of the balance sheet, which may				•	areholders and	may improv	re			

-	Significant /ote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8.A		Approve Remuneration Policy		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote schemes may mitigate some plower value than the vesting of stricter and clearer with 50 pecurrently.* The board has intrindustry standards. However, total variable pay, which make allows pay below median.* The address recurrent pay for performance of the scheme of the standards.	nay for performan of share awards.: rcent vesting for coduced ESG-rela this is not withou es the pay mix in the proposed police	nce concerns, at the vesting row 40th percentill the 40th percentill the 40th percentill the following as ficiently longry, which maint	as any capita equirements e ranking vs der the long concerns:* g-term orien	ol gains from under the r 33 percent term incent Long-term in ted.* Albeit	n the exercise relative TSR of vesting for 32 tive scheme, a ncentives only stricter, the I	of options sho omponent hav Brd percentile aligning with it of account for 2 relative TSR ve	ould result in re been made ranking, is practices v 86 percent of esting schedu	a e vith		-	
		Blended Rationale: A vote FOR schemes may mitigate some plower value than the vesting of stricter and clearer with 50 pecurrently.* The board has intrindustry standards. However, total variable pay, which make allows pay below median.* The address recurrent pay for performers.	nay for performan of share awards. Treent vesting for The oduced ESG-rela This is not withou The pay mix in The proposed police	nce concerns, a * The vesting no - 40th percentile ted metrics und to the following asufficiently long ty, which maint	as any capita equirements e ranking vs der the long concerns:* g-term orien	ol gains from under the r 33 percent -term incent Long-term in ted.* Albeit	n the exercise relative TSR of vesting for 32 tive scheme, a ncentives only stricter, the n	of options sho omponent hav Brd percentile aligning with it of account for 2 relative TSR ve	ould result in re been made ranking, ts practices v 86 percent of esting schedu	vith			
8.B		Approve Remuneration of Dire	ectors	Mgmt	Yes	For	For		For	For	No	No	No
8.C		Fix Maximum Variable Compe Ratio	nsation	Mgmt	Yes	For	For		For	For	No	No	No
8.D		Approve Deferred Multiyear C Variable Remuneration Plan	bjectives	Mgmt	Yes	For	For		For	For	No	No	No
		schemes may mitigate some p lower value than the vesting of stricter and clearer with 50 pe currently.* The board has intr- industry standards. However, t total variable pay, which make allows pay below median.* The address recurrent pay for perf	of share awards. Freent vesting for orduced ESG-relathis is not withoutes the pay mix in proposed police	* The vesting re 40th percentile ted metrics und the following sufficiently long cy, which maint	equirements e ranking vs der the long concerns:* g-term orien	under the r 33 percent -term incent Long-term in ted.* Albeit	elative TSR co vesting for 33 tive scheme, a ncentives only stricter, the r	omponent hav Brd percentile aligning with it v account for 3 relative TSR ve	re been made ranking, is practices v 86 percent of esting schedu	e vith			
		Blended Rationale: A vote FOI schemes may mitigate some plower value than the vesting of stricter and clearer with 50 pecurrently.* The board has intrindustry standards. However, total variable pay, which make allows pay below median.* The address recurrent pay for perf	nay for performan of share awards. The recent vesting for the poduced ESG-rela The sis not without the pay mix in the proposed policies	nce concerns, as  * The vesting no  * 40th percentile  ted metrics und  to the following  sufficiently long  cy, which maint	as any capita equirements e ranking vs der the long concerns:* g-term orien	ol gains from under the r 33 percent term incent Long-term in ted.* Albeit	the exercise of the exercise o	of options sho omponent hav Brd percentile aligning with it of account for 2 relative TSR ve	ould result in re been made ranking, is practices v 86 percent of esting schedu	e vith		-	
8.E		Approve Buy-out Policy		Mgmt	Yes	For	For		For	For	No	No	No
8.F		Advisory Vote on Remuneration	on Report	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorize Board to Ratify and Approved Resolutions	Execute	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details	s												
Institutional Ac (IA Name, IA N		Custodian Account Number	Ballot Status	Instructed	ı	Approve	ed	Ballot Voting	Status	Votable	Shares	Shares	Voted
NT RSG01, NT RS	SG01	RSG01	Confirmed	jbisset		jbisset				1,000	,000	1,000	,000
				03/17/2022		03/17/20	22						
									Total Shares:	1,000	,000	1,000	,000

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.A	Υ	Pass	No	For	3.0%	11,558,043,339	97.0%	31,109,277	0.3%	330,656,831	2.8%	Poll	F+A+AB
1.B	Υ	Pass	No	For	3.0%	11,557,402,304	97.0%	33,945,091	0.3%	328,049,620	2.8%	Poll	F+A+AB
1.C	Υ	Pass	No	For	3.2%	11,530,333,893	96.7%	33,363,907	0.3%	354,800,552	3.0%	Poll	F+A+AB
2	Υ	Pass	No	For	3.1%	11,551,575,503	96.9%	38,878,626	0.3%	328,862,125	2.8%	Poll	F+A+AB
3.A	Υ	Pass	No	For	3.1%	11,542,845,514	96.9%	42,552,426	0.4%	331,986,147	2.8%	Poll	F+A+AB
3.B	Υ	Pass	No	For	3.2%	11,536,662,228	96.8%	45,816,716	0.4%	334,902,401	2.8%	Poll	F+A+AB
3.C	Υ	Pass	No	For	3.3%	11,526,418,503	96.7%	56,487,546	0.5%	334,287,941	2.8%	Poll	F+A+AB
3.D	Υ	Pass	No	For	3.2%	11,533,285,921	96.8%	48,259,358	0.4%	336,312,106	2.8%	Poll	F+A+AB
3.E	Υ	Pass	No	For	3.3%	11,528,699,038	96.7%	55,441,146	0.5%	333,656,153	2.8%	Poll	F+A+AB
3.F	Υ	Pass	No	For	5.6%	11,243,887,306	94.3%	337,170,045	2.8%	336,593,959	2.8%	Poll	F+A+AB
3.G	Υ	Pass	No	For	4.4%	11,388,044,627	95.6%	194,966,417	1.6%	334,644,131	2.8%	Poll	F+A+AB
4	Υ	Pass	No	For	3.1%	11,546,391,486	96.9%	37,978,853	0.3%	334,980,575	2.8%	Poll	F+A+AB
5.A	Υ	Pass	No	For	3.2%	11,541,545,503	96.8%	42,875,978	0.4%	334,321,805	2.8%	Poll	F+A+AB
5.B	Υ	Pass	No	For	3.1%	11,548,704,255	96.9%	42,104,992	0.4%	328,621,270	2.8%	Poll	F+A+AB
5.C	Υ	Pass	No	For	3.2%	11,535,912,064	96.8%	45,064,698	0.4%	337,518,005	2.8%	Poll	F+A+AB
5.D	Υ	Pass	No	For	6.1%	11,192,832,422	93.9%	392,664,653	3.3%	333,022,256	2.8%	Poll	F+A+AB
5.E	Υ	Pass	No	For	3.1%	11,542,534,890	96.9%	39,176,752	0.3%	335,842,605	2.8%	Poll	F+A+AB
5.F	Υ	Pass	No	For	3.1%	11,541,842,214	96.8%	41,431,687	0.3%	334,534,130	2.8%	Poll	F+A+AB
5.G	Υ	Pass	No	For	3.1%	11,548,438,315	96.9%	36,036,370	0.3%	333,941,103	2.8%	Poll	F+A+AB
5.H	Υ	Pass	No	For	3.3%	11,527,964,756	96.7%	55,144,100	0.5%	334,858,069	2.8%	Poll	F+A+AB
5.I	Υ	Pass	No	For	3.1%	11,546,316,666	96.9%	43,158,104	0.4%	329,730,452	2.8%	Poll	F+A+AB
6.A	Υ	Pass	No	For	3.1%	11,551,571,515	96.9%	33,221,237	0.3%	334,020,110	2.8%	Poll	F+A+AB
6.B	Υ	Pass	No	For	3.1%	11,547,788,840	96.9%	35,166,816	0.3%	335,284,227	2.8%	Poll	F+A+AB
6.C	Υ	Pass	No	For	12.1%	10,469,563,436	87.8%	1,117,684,526	9.4%	331,481,834	2.8%	Poll	F+A+AB
6.D	Υ	Pass	No	For	4.2%	11,418,147,368	95.8%	164,143,627	1.4%	335,194,357	2.8%	Poll	F+A+AB
7.A	Υ	Pass	No	For	7.0%	11,081,155,863	93.0%	508,059,915	4.3%	331,416,718	2.8%	Poll	F+A+AB
7.B	Υ	Pass	No	For	3.1%	11,550,955,000	96.9%	43,228,415	0.4%	326,435,149	2.7%	Poll	F+A+AB
7.C	Υ	Pass	No	For	3.2%	11,543,604,192	96.8%	52,788,979	0.4%	324,044,566	2.7%	Poll	F+A+AB
7.D	Υ	Pass	No	For	3.1%	11,549,516,885	96.9%	47,043,364	0.4%	323,897,127	2.7%	Poll	F+A+AB
8.A	Υ	Pass	No	For	8.8%	10,867,348,146	91.2%	714,514,785	6.0%	337,312,738	2.8%	Poll	F+A+AB
8.B	Υ	Pass	No	For	4.6%	11,375,996,996	95.4%	212,126,194	1.8%	331,447,223	2.8%	Poll	F+A+AB
8.C	Υ	Pass	No	For	4.0%	11,373,506,994	96.0%	144,949,251	1.2%	331,070,577	2.8%	Poll	F+A+AB

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
8.D	Y	Pass	No	For	6.6%	11,133,341,098	93.4%	327,412,381	2.7%	458,317,435	3.8%	Poll	F+A+AB
8.E	Υ	Pass	No	For	4.2%	11,416,431,962	95.8%	156,400,219	1.3%	344,485,231	2.9%	Poll	F+A+AB
8.F	Υ	Pass	No	For	14.5%	10,193,385,775	85.5%	1,389,271,674	11.7%	336,389,901	2.8%	Poll	F+A+AB
9	Υ	Pass	No	For	3.1%	11,554,161,776	96.9%	36,944,457	0.3%	328,619,423	2.8%	Poll	F+A+AB

#### **Telefonica SA**

Meeting Date: 04/07/2022

Country: Spain

Ticker: TEF

Proxy Level: N/A

**Record Date:** 04/01/2022

Meeting Type: Annual

Meeting ID: 1616104

Primary Security ID: 879382109

**Primary CUSIP:** 879382109

Primary ISIN: ES0178430E18

Primary SEDOL: 5732524

Earliest Cutoff Date: 04/04/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 1,100,000 \*Shares on Loan: 0 Shares Instructed: 1,100,000 Shares Voted: 1,100,000

votable 3	1,100,000	Silares on Loan.			Shares I	istructeu. 1,1	00,000		Silares voted	1,100,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Approve Consolidated and Standalone Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
1.2		Approve Non-Financial Information Statement	Mgmt	Yes	For	For		For	For	No	No	No
1.3		Approve Discharge of Board	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Allocation of Income	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item and proposed dividend.	ms is warranted	d due to a lad	ck of concer	ns about the	proposed alloc	cation of inc	ome			
		Blended Rationale: A vote FOR these items is proposed dividend.	warranted due	to a lack of	concerns a	bout the prop	osed allocation	n of income	and		-	
3		Renew Appointment of PricewaterhouseCoopers as Auditor	Mgmt	Yes	For	For		For	For	No	No	No
4.1		Reelect Jose Maria Abril Perez as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 4.2 nominees. A vote FOR the reelection of exect Mancho under Items 4.1 and 4.4 is warrantee Spain-incorporated, non-controlled companie. also meet the independence guidelines; and	itive director Vi d, as (i) the boa s; (ii) the comp	la Boix under ard meets the osition of the	r Item 4.2 a e 50 percen e audit, and	nd NI-NEDs / t independen remuneration	Abril-Perez and ce guideline ap	d De Paz oplicable to				
		Blended Rationale: A vote FOR Items 4.3 and nominees. A vote FOR the reelection of exect Mancho under Items 4.1 and 4.4 is warrantee Spain-incorporated, non-controlled companies also meet the independence guidelines; and	itive director Vi d, as (i) the boa s; (ii) the comp	la Boix under ard meets the osition of the	r Item 4.2 a e 50 percen e audit, and	nd NI-NEDs / t independen remuneration	Abril-Perez and ce guideline a <sub>l</sub>	d De Paz oplicable to	rees		-	
4.2		Reelect Angel Vila Boix as Director	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: A vote FOR Items 4.3 and 4.5 is warranted due to a lack of concerns about the independent director nominees. A vote FOR the reelection of executive director Vila Boix under Item 4.2 and NI-NEDs Abril-Perez and De Paz Mancho under Items 4.1 and 4.4 is warranted, as (i) the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies; (ii) the composition of the audit, and remuneration and nomination committees also meet the independence guidelines; and (iii) women representation is above 30 percent.

Blended Rationale: A vote FOR Items 4.3 and 4.5 is warranted due to a lack of concerns about the independent director nominees. A vote FOR the reelection of executive director Vila Boix under Item 4.2 and NI-NEDs Abril-Perez and De Paz Mancho under Items 4.1 and 4.4 is warranted, as (i) the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies; (ii) the composition of the audit, and remuneration and nomination committees also meet the independence guidelines; and (iii) women representation is above 30 percent.

### **Telefonica SA**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4.3		Reelect Maria Luisa Garcia Blanco as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 4.3 a nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii	ve director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 at 50 percent audit, and	nd NI-NEDs A independend remuneration	bril-Perez and e guideline ap	De Paz plicable to				
		Blended Rationale: A vote FOR Items 4.3 and 4 nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii	ve director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 at 50 percent audit, and	nd NI-NEDs A independend remuneration	bril-Perez and e guideline ap	De Paz plicable to	es			
4.4		Reelect Francisco Javier de Paz Mancho as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 4.3 a nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii	ve director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 at 50 percent audit, and	nd NI-NEDs A independend remuneration	bril-Perez and e guideline ap	De Paz plicable to				
		Blended Rationale: A vote FOR Items 4.3 and 4 nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii	ve director Vila as (i) the boar (ii) the compo	a Boix under rd meets the osition of the	Item 4.2 at 50 percent audit, and	nd NI-NEDs A independend remuneration	Ibril-Perez and re guideline ap	De Paz plicable to	es			
4.5		Ratify Appointment of and Elect Maria Rotondo Urcola as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 4.3 a nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii	ve director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 at 50 percent audit, and	nd NI-NEDs A independend remuneration	bril-Perez and e guideline ap	De Paz plicable to				
		Blended Rationale: A vote FOR Items 4.3 and 4 nominees. A vote FOR the reelection of execution Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii.	ve director Vila as (i) the boar (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 at 50 percent audit, and	nd NI-NEDs A independend remuneration	bril-Perez and e guideline ap	De Paz plicable to	es			
5		Fix Number of Directors at 15	Mgmt	Yes	For	For		For	For	No	No	No
6		Approve Reduction in Share Capital via Amortization of Treasury Shares	Mgmt	Yes	For	For		For	For	No	No	No
7.1		Approve Scrip Dividends	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items and proposed dividend.	s is warranted	due to a lac	k of conceri	ns about the p	proposed alloc	ation of inco	me			
		Blended Rationale: A vote FOR these items is w proposed dividend.	varranted due	to a lack of o	concerns ab	out the propo	osed allocation	of income a	nd			
7.2		Approve Dividends Charged Against Unrestricted Reserves	Mgmt	Yes	For	For		For	For	No	No	No
8		Approve Share Matching Plan	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	Yes	For	For		For	For	No	No	No

#### **Telefonica SA**

Proposal Number	Significant Vote	- 3		Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
10		Advisory Vote on Remuneration Report	Mgmt	Yes	For	Against		Against	Against	Yes	No	No	_

Voting Policy Rationale: A vote AGAINST this item is warranted because: \* Telefonica completed two significant transactions in 2021: the O2/Virgin joint-venture and Telxius' telecommunications towers division sale (see Material Company Updates section) for which the board rewarded both executive directors with one-off awards at maximum opportunity of 100 percent of salary. The board also considered Telefonica's outperforming the local index and sector peers in 2021. \* Telefonica is the sole European telco to have a remuneration policy provision allowing for the granting of one-off awards – Deutsche Telekom's supervisory board proposes to eliminate a similar clause at the company's 2022 AGM. \* Excluding this one-off award opportunity, the remuneration arrangements with management already are one of the most competitive among European peers. \* Without downplaying the significance of these two transactions, these one-off awards have further misaligned executive pay with company performance in comparison with peers, including 2021's 3.69x multiple of median, while management's pay package is one of the most competitive among European peers, and Telefonica has been an underperforming stock since incumbent chair/CEO took on his position in April 2016.

Blended Rationale: A vote AGAINST this item is warranted because: \* Telefonica completed two significant transactions in 2021: the O2/Virgin joint-venture and Telxius' telecommunications towers division sale (see Material Company Updates section) for which the board rewarded both executive directors with one-off awards at maximum opportunity of 100 percent of salary. The board also considered Telefonica's outperforming the local index and sector peers in 2021. \* Telefonica is the sole European telco to have a remuneration policy provision allowing for the granting of one-off awards – Deutsche Telekom's supervisory board proposes to eliminate a similar clause at the company's 2022 AGM. \* Excluding this one-off award opportunity, the remuneration arrangements with management already are one of the most competitive among European peers. \* Without downplaying the significance of these two transactions, these one-off awards have further misaligned executive pay with company performance in comparison with peers, including 2021's 3.69x multiple of median, while management's pay package is one of the most competitive among European peers, and Telefonica has been an underperforming stock since incumbent chair/CEO took on his position in April 2016.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		1,100,000	1,100,000
			03/28/2022	03/28/2022			
					Total Shares:	1,100,000	1,100,000

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	0.7%	3,213,114,539	99.3%	3,129,690	0.1%	18,167,899	0.6%	Poll	F+A+AB
1.2	Υ	Pass	No	For	0.6%	3,215,465,017	99.4%	2,308,311	0.1%	16,638,800	0.5%	Poll	F+A+AB
1.3	Υ	Pass	No	For	1.6%	3,183,708,495	98.4%	30,773,382	1.0%	19,930,251	0.6%	Poll	F+A+AB
2	Υ	Pass	No	For	1.0%	3,202,319,417	99.0%	15,540,639	0.5%	16,552,072	0.5%	Poll	F+A+AB
3	Υ	Pass	No	For	0.8%	3,207,048,660	99.2%	3,549,251	0.1%	23,814,217	0.7%	Poll	F+A+AB
4.1	Υ	Pass	No	For	3.9%	3,108,722,304	96.1%	80,259,670	2.5%	45,430,154	1.4%	Poll	F+A+AB
4.2	Υ	Pass	No	For	2.9%	3,141,120,768	97.1%	47,831,574	1.5%	45,459,786	1.4%	Poll	F+A+AB
4.3	Υ	Pass	No	For	11.2%	2,872,990,677	88.8%	339,287,842	10.5%	22,133,609	0.7%	Poll	F+A+AB
4.4	Υ	Pass	No	For	16.5%	2,699,492,991	83.5%	489,079,559	15.1%	45,839,578	1.4%	Poll	F+A+AB
4.5	Υ	Pass	No	For	2.2%	3,164,379,796	97.8%	24,672,481	0.8%	45,359,851	1.4%	Poll	F+A+AB
5	Y	Pass	No	For	0.9%	3,205,540,003	99.1%	10,119,834	0.3%	18,752,291	0.6%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
6	Y	Pass	No	For	0.6%	3,214,300,166	99.4%	3,872,764	0.1%	16,239,198	0.5%	Poll	F+A+AB
7.1	Υ	Pass	No	For	1.0%	3,203,106,528	99.0%	20,503,619	0.6%	10,801,981	0.3%	Poll	F+A+AB
7.2	Υ	Pass	No	For	0.4%	3,220,618,644	99.6%	3,286,117	0.1%	10,507,367	0.3%	Poll	F+A+AB
8	Y	Pass	No	For	1.1%	3,199,417,436	98.9%	16,529,836	0.5%	18,464,856	0.6%	Poll	F+A+AB
9	Y	Pass	No	For	0.6%	3,214,302,257	99.4%	3,392,461	0.1%	16,717,410	0.5%	Poll	F+A+AB
10	Y	Pass	No	For	46.7%	1,723,151,319	53.3%	1,387,366,467	42.9%	123,894,342	3.8%	Poll	F+A+AB

## **UniCredit SpA**

Meeting Date: 04/08/2022 Country: Italy Ticker: UCG Proxy Level: N/A

Record Date: 03/30/2022 Meeting Type: Annual/Special Meeting ID: 1595712

Primary Security ID: T9T23L642 Primary CUSIP: T9T23L642 Primary ISIN: IT0005239360 Primary SEDOL: BYMXPS7

 Earliest Cutoff Date: 03/31/2022
 Total Ballots: 1
 Voting Policy: ISS
 Additional Policy:

 Votable Shares: 380,000
 \*Shares on Loan: 0
 Shares Instructed: 380,000
 Shares Voted: 380,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary Business	Mgmt	No								
		Management Proposals	Mgmt	No								
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These items warrant a account. * The proposed payout ratio is accept										
		Blended Rationale: These items warrant a vote The proposed payout ratio is acceptable. * The					•	y's account.	*			
2		Approve Allocation of Income	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These items warrant a account. * The proposed payout ratio is accept										
		Blended Rationale: These items warrant a vote The proposed payout ratio is acceptable. * The						y's account.	*			
3		Approve Elimination of Negative Reserves	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These items warrant a account. * The proposed payout ratio is accept										
		Blended Rationale: These items warrant a vote The proposed payout ratio is acceptable. * The					•	y's account.	*			
4		Authorize Share Repurchase Program	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These items warrant a issued share capital is respected. * The holding proposed cancellation of treasury shares could	limit of up to	10 percent o	of share cap	oital in treasu	ry is respected	l. * The				
	Blended Rationale: These items warrant a vote FOR because: * The repurchase limit of up to 10 percent of outstanding issued share capital is respected. * The holding limit of up to 10 percent of share capital in treasury is respected. * The proposed cancellation of treasury shares could potentially increase the value of each share and shares' profitability.											
		Appoint Internal Statutory Auditors (Slate Election) - Choose One of the Following Slates	Mgmt	No								
5.1		Slate 1 Submitted by Allianz Finance II Luxembourg Sarl	SH	Yes	None	For		For	For	No	No	No

## **UniCredit SpA**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
5.2		Slate 2 Submitted by Institutional Investors (Assogestioni)	SH	Yes	None	Against		Against	Against	No	No	No	
		Shareholder Proposals Submitted by Allianz Finance II Luxembourg Sarl	Mgmt	No									
6		Approve Internal Auditors' Remuneration	SH	Yes	None	For		For	For	No	No	No	
		Management Proposals	Mgmt	No									
7		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A qualified FOR Item 7 package of the CEO, the excessive terms of the votes in 2021 on the severance payment policy is an improvement for the CEO in 2022 (where CEO remuneration was not performance based because: * Dilution is reasonable relative to machallenging; * The performance period is consiterm; * The board's remuneration committee of	e severance ag v. Furthermore, CEO remunera l). A vote FOR arket practice; idered sufficien	rreement, an , support is v ation will be Item 9 (App * The perfo at to align be	d insufficient warranted b also perform rove 2022 G rmance cond preficiaries a	nt responsive ecause the re nance based Froup Incenti ditions are de and sharehold	ness to high di emuneration po ) compared to ive System) is v eemed sufficien ders' interests d	issent to the olicy framew 2021 (when warranted on the long	rork				
		Blended Rationale: A qualified FOR Item 7 (Approve Remuneration Policy) is warranted because of the problematic pay package of the CEO, the excessive terms of the severance agreement, and insufficient responsiveness to high dissent to the votes in 2021 on the severance payment policy. Furthermore, support is warranted because the remuneration policy framework is an improvement for the CEO in 2022 (where CEO remuneration will be also performance based) compared to 2021 (when CEO remuneration was not performance based). A vote FOR Item 9 (Approve 2022 Group Incentive System) is warranted because: * Dilution is reasonable relative to market practice; * The performance conditions are deemed sufficiently challenging; * The performance period is considered sufficient to align beneficiaries and shareholders' interests on the long term; * The board's remuneration committee comprises non-executive board members only, with a majority of independents.  Approve Second Section of the Mgmt Yes For For For For For No No No											
8		Approve Second Section of the Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No	
9		Approve 2022 Group Incentive System	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A qualified FOR Item 7 package of the CEO, the excessive terms of the votes in 2021 on the severance payment policy is an improvement for the CEO in 2022 (where CEO remuneration was not performance based because: * Dilution is reasonable relative to machallenging; * The performance period is consiterm; * The board's remuneration committee of	e severance ag v. Furthermore, CEO remunera l). A vote FOR arket practice; idered sufficien	rreement, an , support is v ation will be Item 9 (App * The perfo at to align be	d insufficient warranted b also perform rove 2022 G rmance cond preficiaries a	nt responsive ecause the re nance based Froup Incenti ditions are de and sharehold	ness to high di emuneration po ) compared to ive System) is v eemed sufficien ders' interests d	issent to the olicy framew 2021 (when warranted on the long	rork				
		Blended Rationale: A qualified FOR Item 7 (Approve Remuneration Policy) is warranted because of the problematic pay package of the CEO, the excessive terms of the severance agreement, and insufficient responsiveness to high dissent to the votes in 2021 on the severance payment policy. Furthermore, support is warranted because the remuneration policy framework is an improvement for the CEO in 2022 (where CEO remuneration will be also performance based) compared to 2021 (when CEO remuneration was not performance based). A vote FOR Item 9 (Approve 2022 Group Incentive System) is warranted because: * Dilution is reasonable relative to market practice; * The performance conditions are deemed sufficiently challenging; * The performance period is considered sufficient to align beneficiaries and shareholders' interests on the long term; * The board's remuneration committee comprises non-executive board members only, with a majority of independents.											
10		Amend Group Incentive Systems	Mgmt	Yes	For	For		For	For	No	No	No	
		Extraordinary Business	Mgmt	No									
1		Amend Company Bylaws Re: Clause 6	Mgmt	Yes	For	For		For	For	No	No	No	
2		Amend Company Bylaws Re: Clauses 20, 29 and 30	Mgmt	Yes	For	For		For	For	No	No	No	

## **UniCredit SpA**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
3		Authorize Cancellation of Treasury Shares without Reduction of Share Capital	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: These items warrant a vote FOR because: * The repurchase limit of up to 10 percent of outstanding issued share capital is respected. * The holding limit of up to 10 percent of share capital in treasury is respected. * The proposed cancellation of treasury shares could potentially increase the value of each share and shares' profitability.												
Blended Rationale: These items warrant a vote FOR because: * The repurchase limit of up to 10 percent of outstanding issued share capital is respected. * The holding limit of up to 10 percent of share capital in treasury is respected. * The proposed cancellation of treasury shares could potentially increase the value of each share and shares' profitability.														
Α		Deliberations on Possible Legal Action Against Directors if Presented by Shareholders	Mgmt	Yes	None	Against		Against	Against	No	No	No		

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		380,000	380,000
			03/29/2022	03/29/2022			
					Total Shares:	380,000	380,000

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
	N												
1	Υ	Pass	No	For	1.1%	1,357,848,577	98.9%	831,961	0.1%	14,250,747	1.0%	Poll	F+A+AB
2	Υ	Pass	No	For	0.3%	1,368,767,440	99.7%	2,326,037	0.2%	1,837,808	0.1%	Poll	F+A+AB
3	Υ	Pass	No	For	0.1%	1,370,356,806	99.9%	2	0.0%	1,859,277	0.1%	Poll	F+A+AB
4	Υ	Pass	No	For	0.7%	1,362,965,292	99.3%	7,473,328	0.5%	1,777,465	0.1%	Poll	F+A+AB
	N												
5.1	Υ	Pass	No	None	N/A	1,119,677,247	81.1%	N/A	N/A	N/A	N/A	Poll	F+A+AB
5.2	Υ	Pass	No	None	N/A	205,992,957	14.9%	N/A	N/A	N/A	N/A	Poll	F+A+AB
	N												
6	Υ	Pass	No	None	N/A	1,369,184,655	99.9%	20,656	0.0%	1,893,695	0.1%	Poll	F+A+AB
	N												
7	Υ	Pass	No	For	24.8%	1,030,953,203	75.2%	333,521,688	24.3%	6,456,613	0.5%	Poll	F+A+AB
8	Υ	Pass	No	For	N/A	1,178,796,253	N/A	158,936,649	N/A	33,198,602	N/A	Poll	F+A+AB
9	Υ	Pass	No	For	21.1%	1,081,434,337	78.9%	283,249,656	20.7%	5,532,311	0.4%	Poll	F+A+AB
10	Υ	Pass	No	For	0.1%	1,368,324,954	99.9%	73	0.0%	1,891,277	0.1%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.1%	1,368,324,954	99.9%	73	0.0%	1,891,277	0.1%	Poll	F+A+AB
2	Υ	Pass	No	For	0.1%	1,368,264,364	99.9%	60,163	0.0%	1,891,277	0.1%	Poll	F+A+AB
3	Υ	Pass	No	For	0.7%	1,359,829,323	99.2%	8,607,201	0.6%	1,779,780	0.1%	Poll	F+A+AB
Α	Υ	Withdrawn	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB

## **Adecco Group AG**

Meeting Date: 04/13/2022

Country: Switzerland

Ticker: ADEN

Proxy Level: N/A

**Record Date:** 

Meeting Type: Annual

**Meeting ID:** 1601495

**Primary Security ID:** H00392318

Primary CUSIP: H00392318

**Primary ISIN:** CH0012138605

Primary SEDOL: 7110720

**Earliest Cutoff Date:** 04/05/2022 **Votable Shares:** 74,382

Total Ballots: 1

Voting Policy: ISS

Additional Policy: Shares Voted: 74,382

\*Shares on Loan: 0 Shares Instructed: 74,382

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
1.2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Approve Allocation of Income and Dividends of CHF 1.25 per Share	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the allocat	ion of income r	esolutions a	re warranted	1.						
		Blended Rationale: Votes FOR the allocation of	f income resolu	ıtions are wa	arranted.							
2.2		Approve Dividends of CHF 1.25 per Share from Capital Contribution Reserves	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the allocat	ion of income r	esolutions a	re warranted	1.						
		Blended Rationale: Votes FOR the allocation of income resolutions are warranted.										
3		Approve Discharge of Board and Senior Management	Mgmt	Yes	For	For		For	For	No	No	No
4.1		Approve Remuneration of Directors in the Amount of CHF 5.1 Million	Mgmt	Yes	For	For		For	For	No	No	No
4.2		Approve Remuneration of Executive Committee in the Amount of CHF 32 Million	Mgmt	Yes	For	For		For	For	No	No	No
5.1.1		Reelect Jean-Christophe Deslarzes as Director and Board Chair	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propos	sed board and c	committee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed b	oard and comn	nittee nomin	ees are wari	ranted.						
5.1.2		Reelect Rachel Duan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propos	sed board and c	committee ne	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed b	oard and comn	nittee nomine	ees are wari	ranted.						
5.1.3		Reelect Ariane Gorin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propos	sed board and c	committee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed b	oard and comn	nittee nomine	ees are warı	ranted.						

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Adecco Group AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5.1.4		Reelect Alexander Gut as Director	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed bo	ard and comm	ittee nomine	ees are warra	anted.						
5.1.5		Reelect Didier Lamouche as Director	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed bo	ard and comm	ittee nomine	ees are warra	anted.						
5.1.6		Reelect David Prince as Director	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed bo	ard and comm	ittee nomine	ees are warra	anted.						
5.1.7		Reelect Kathleen Taylor as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed bo	ard and comm	ittee nomine	ees are warra	anted.						
5.1.8		Reelect Regula Wallimann as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed box	ard and comm	ittee nomine	ees are warra	anted.						
5.2.1		Reappoint Rachel Duan as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed bo	ard and comm	ittee nomine	ees are warra	anted.						
5.2.2		Reappoint Didier Lamouche as Member of the Compensation Committee	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed box	ard and comm	ittee nomine	ees are warra	anted.						
5.2.3		Reappoint Kathleen Taylor as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed board and c	ommittee no	ominees are	warranted.						
		Blended Rationale: Votes FOR the proposed board and committee nominees are warranted.										
5.3		Designate Keller KLG as Independent Proxy	Mgmt	Yes	For	For		For	For	No	No	No
5.4		Ratify Ernst & Young AG as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
6		Approve CHF 142,438.80 Reduction in Share Capital as Part of the Share Buyback Program via Cancellation of Repurchased Shares	Mgmt	Yes	For	For		For	For	No	No	No
7		Approve Renewal of CHF 840,000 Pool of Authorized Capital with or without Exclusion of Preemptive Rights	Mgmt	Yes	For	For		For	For	No	No	No

### **Adecco Group AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS	
8		Transact Other Business (Voting)	Mamt	Yes	For	Against		Against	Against	Yes	Nο	Nο	_

Voting Policy Rationale: A vote AGAINST is warranted because: \* This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and \* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.

Blended Rationale: A vote AGAINST is warranted because: \* This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and \* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		74,382	74,382
			03/30/2022	03/30/2022			
					Total Shares:	74,382	74,382

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

	<b>,</b>												
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	0.2%	115,507,616	99.8%	56,189	0.0%	209,789	0.2%	Poll	F+A+AB
1.2	Υ	Pass	No	For	16.3%	96,864,395	83.7%	18,810,590	16.2%	98,609	0.1%	Poll	F+A+AB
2.1	Υ	Pass	No	For	0.2%	115,589,821	99.8%	163,398	0.1%	20,375	0.0%	Poll	F+A+AB
2.2	Υ	Pass	No	For	0.2%	115,591,992	99.8%	158,336	0.1%	23,266	0.0%	Poll	F+A+AB
3	Υ	Pass	No	For	5.4%	109,201,429	94.6%	198,685	0.2%	6,066,356	5.3%	Poll	F+A+AB
4.1	Υ	Pass	No	For	6.3%	108,262,579	93.7%	7,288,380	6.3%	222,635	N/A	Poll	F+A
4.2	Υ	Pass	No	For	13.4%	100,031,004	86.6%	15,517,686	13.4%	224,904	N/A	Poll	F+A
5.1.1	Υ	Pass	No	For	1.0%	114,583,457	99.0%	1,078,893	0.9%	111,244	0.1%	Poll	F+A+AB
5.1.2	Υ	Pass	No	For	14.1%	99,473,237	85.9%	16,176,821	14.0%	123,536	0.1%	Poll	F+A+AB
5.1.3	Y	Pass	No	For	0.9%	114,733,344	99.1%	920,048	0.8%	120,202	0.1%	Poll	F+A+AB
5.1.4	Υ	Pass	No	For	17.8%	95,144,777	82.2%	20,517,255	17.7%	111,562	0.1%	Poll	F+A+AB
5.1.5	Υ	Pass	No	For	36.8%	73,189,094	63.2%	42,468,353	36.7%	116,147	0.1%	Poll	F+A+AB
5.1.6	Y	Pass	No	For	22.1%	90,163,901	77.9%	25,472,989	22.0%	136,704	0.1%	Poll	F+A+AB
5.1.7	Y	Pass	No	For	15.2%	98,162,709	84.8%	17,486,152	15.1%	124,733	0.1%	Poll	F+A+AB
5.1.8	Y	Pass	No	For	1.1%	114,533,904	98.9%	1,119,506	1.0%	120,184	0.1%	Poll	F+A+AB
5.2.1	Y	Pass	No	For	14.2%	99,337,034	85.8%	16,314,061	14.1%	122,499	0.1%	Poll	F+A+AB
5.2.2	Υ	Pass	No	For	36.9%	73,054,516	63.1%	42,595,973	36.8%	123,105	0.1%	Poll	F+A+AB
5.2.3	Υ	Pass	No	For	15.4%	97,952,976	84.6%	17,649,298	15.2%	171,320	0.1%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
5.3	Y	Pass	No	For	0.0%	115,737,602	100.0%	12,287	0.0%	23,705	0.0%	Poll	F+A+AB
5.4	Υ	Pass	No	For	8.7%	105,654,532	91.3%	10,077,821	8.7%	41,241	0.0%	Poll	F+A+AB
6	Υ	Pass	No	For	0.1%	115,684,069	99.9%	49,116	0.0%	40,409	0.0%	Poll	F+A+AB
7	Υ	Pass	No	For	15.1%	98,296,220	84.9%	17,429,288	15.1%	48,086	0.0%	Poll	F+A+AB
8	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A

## **Royal KPN NV**

**Meeting Date:** 04/13/2022

Country: Netherlands

Proxy Level: N/A

**Record Date:** 03/16/2022

Meeting Type: Annual

**Meeting ID:** 1596065

Ticker: KPN

Voting Policy: ISS

Primary SEDOL: 5956078

Primary Security ID: N4297B146

Primary CUSIP: N4297B146

**Primary ISIN:** NL0000009082

Additional Policy:

**Earliest Cutoff Date:** 03/29/2022 **Votable Shares:** 1,500,000

Total Ballots: 1
\*Shares on Loan: 0

Shares Instructed: 1,500,000

Shares Voted: 1,500,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Annual Meeting Agenda	Mgmt	No								
1		Open Meeting and Announcements	Mgmt	No								
2		Receive Report of Management Board (Non-Voting)	Mgmt	No								
3		Adopt Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
5		Receive Explanation on Company's Reserves and Dividend Policy	Mgmt	No								
6		Approve Dividends	Mgmt	Yes	For	For		For	For	No	No	No
7		Approve Discharge of Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.										
		Blended Rationale: A vote FOR is warranted be controversies that the management board and,			•	-		mpelling				
8		Approve Discharge of Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR is warrante controversies that the management board and,					-	d compelling	7			
		Blended Rationale: A vote FOR is warranted be controversies that the management board and,			•	-		mpelling				
9		Ratify Ernst & Young Accountants LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
10		Opportunity to Make Recommendations	Mgmt	No								

## **Royal KPN NV**

•	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11		Elect Kitty Koelemeijer to Sup Board	pervisory	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vot exceeding four years;* The co known controversy concerning	andidates appear	to possess the						s no		-	
		Blended Rationale: A vote FOR four years; * The candidates a controversy concerning the ca	ppear to possess					,		-		-	
12		Elect Chantal Vergouw to Sup Board	pervisory	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vot exceeding four years;* The ca known controversy concerning	andidates appear	to possess the						s no		-	
		Blended Rationale: A vote FOR four years;* The candidates a controversy concerning the care	ppear to possess					•		-			
13		Announce Vacancies on the E	Board	Mgmt	No								
14		Discussion of Supervisory Boo	ard Profile	Mgmt	No								
15		Authorize Repurchase of Up t Percent of Issued Share Capi		Mgmt	Yes	For	For		For	For	No	No	No
16		Approve Reduction in Share ( through Cancellation of Share	•	Mgmt	Yes	For	For		For	For	No	No	No
17		Grant Board Authority to Issu Up to 10 Percent of Issued Co		Mgmt	Yes	For	For		For	For	No	No	No
18		Authorize Board to Exclude P Rights from Share Issuances	reemptive	Mgmt	Yes	For	For		For	For	No	No	No
19		Other Business (Non-Voting)		Mgmt	No								
20		Close Meeting		Mgmt	No								
Ballot Detail	ls												
Institutional A (IA Name, IA I		Custodian Account Number				Approv	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
NT RSG01, NT R	T RSG01 RSG01 Confirmed		jbisset		jbisset				1,500,0	000	1,500	,000	

# Meeting Results

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	N												
2	N												
3	Y	Pass	No	For	0.0%	3,141,663,372	100.0%	19,393	0.0%	966,797	N/A	Poll	F+A
4	Y	Pass	No	For	2.6%	3,021,301,717	97.4%	79,191,044	2.6%	42,156,553	N/A	Poll	F+A

03/28/2022

Total Shares:

1,500,000

1,500,000

03/28/2022

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
5	N												
6	Y	Pass	No	For	0.2%	3,134,952,121	99.8%	7,573,628	0.2%	123,565	N/A	Poll	F+A
7	Y	Pass	No	For	3.2%	3,040,033,156	96.8%	100,329,588	3.2%	2,286,570	N/A	Poll	F+A
8	Y	Pass	No	For	3.2%	3,037,780,994	96.8%	100,329,705	3.2%	4,538,615	N/A	Poll	F+A
9	Υ	Pass	No	For	0.2%	3,130,484,760	99.8%	5,576,787	0.2%	6,587,767	N/A	Poll	F+A
10	N												
11	Υ	Pass	No	For	2.3%	3,057,187,500	97.7%	72,759,704	2.3%	12,702,110	N/A	Poll	F+A
12	Υ	Pass	No	For	0.4%	3,118,547,820	99.6%	11,398,702	0.4%	12,702,792	N/A	Poll	F+A
13	N												
14	N												
15	Υ	Pass	No	For	0.2%	3,136,869,169	99.8%	4,975,847	0.2%	804,298	N/A	Poll	F+A
16	Υ	Pass	No	For	0.0%	3,142,013,113	100.0%	513,104	0.0%	123,097	N/A	Poll	F+A
17	Υ	Pass	No	For	1.2%	3,105,622,068	98.8%	36,890,723	1.2%	136,523	N/A	Poll	F+A
18	Υ	Pass	No	For	1.5%	3,094,749,763	98.5%	47,759,154	1.5%	140,397	N/A	Poll	F+A
19	N												
20	N												

Meeting Date: 04/25/2022	Country: France	Ticker: VIV	Proxy Level: N/A
<b>Record Date:</b> 04/21/2022	Meeting Type: Annual/Special	<b>Meeting ID:</b> 1621360	
<b>Primary Security ID:</b> F97982106	Primary CUSIP: F97982106	<b>Primary ISIN:</b> FR0000127771	Primary SEDOL: 4834777

 Earliest Cutoff Date: 04/21/2022
 Total Ballots: 1
 Voting Policy: ISS
 Additional Policy:

 Votable Shares: 105,834
 \*Shares on Loan: 0
 Shares Instructed: 105,834
 Shares Voted: 105,834

Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
	Ordinary Business	Mgmt	No								
	Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Votes FOR the approve and lack of concerns.	al of the annua	al accounts a	re warrante	d due to the	unqualified au	ditors' opinio	חס			
	Blended Rationale: Votes FOR the approval of lack of concerns.	the annual acc	counts are wa	arranted due	e to the unqu	valified auditors	s' opinion an	d			
	Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Votes FOR the approve and lack of concerns.	al of the annua	al accounts a	re warrante	d due to the	unqualified au	ditors' opinio	חס			
Blended Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.											
	Approve Auditors' Special Report on Related-Party Transactions	Mgmt	Yes	For	For		For	For	No	No	No
	_	Ordinary Business  Approve Financial Statements and Statutory Reports  Voting Policy Rationale: Votes FOR the approve and lack of concerns.  Blended Rationale: Votes FOR the approval of lack of concerns.  Approve Consolidated Financial Statements and Statutory Reports  Voting Policy Rationale: Votes FOR the approval and lack of concerns.  Blended Rationale: Votes FOR the approval of lack of concerns.  Approve Auditors' Special Report on	Ordinary Business Mgmt  Approve Financial Statements and Mgmt Statutory Reports  Voting Policy Rationale: Votes FOR the approval of the annual and lack of concerns.  Blended Rationale: Votes FOR the approval of the annual accellack of concerns.  Approve Consolidated Financial Mgmt Statements and Statutory Reports  Voting Policy Rationale: Votes FOR the approval of the annual and lack of concerns.  Blended Rationale: Votes FOR the approval of the annual and lack of concerns.  Blended Rationale: Votes FOR the approval of the annual accellack of concerns.  Approve Auditors' Special Report on Mgmt	Ordinary Business Mgmt No  Approve Financial Statements and Mgmt Yes  Voting Policy Rationale: Votes FOR the approval of the annual accounts at and lack of concerns.  Blended Rationale: Votes FOR the approval of the annual accounts are we lack of concerns.  Approve Consolidated Financial Mgmt Yes  Statements and Statutory Reports  Voting Policy Rationale: Votes FOR the approval of the annual accounts at and lack of concerns.  Blended Rationale: Votes FOR the approval of the annual accounts at and lack of concerns.  Blended Rationale: Votes FOR the approval of the annual accounts are we lack of concerns.  Approve Auditors' Special Report on Mgmt Yes	Ordinary Business Mgmt No  Approve Financial Statements and Mgmt Yes For Statutory Reports  Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted and lack of concerns.  Blended Rationale: Votes FOR the approval of the annual accounts are warranted due lack of concerns.  Approve Consolidated Financial Mgmt Yes For Statements and Statutory Reports  Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted and lack of concerns.  Blended Rationale: Votes FOR the approval of the annual accounts are warranted and lack of concerns.  Blended Rationale: Votes FOR the approval of the annual accounts are warranted due lack of concerns.  Approve Auditors' Special Report on Mgmt Yes For	Ordinary Business Mgmt No  Approve Financial Statements and Mgmt Yes For For Statutory Reports  Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the and lack of concerns.  Blended Rationale: Votes FOR the approval of the annual accounts are warranted due to the unquilack of concerns.  Approve Consolidated Financial Mgmt Yes For For Statements and Statutory Reports  Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unquilack of concerns.  Blended Rationale: Votes FOR the approval of the annual accounts are warranted due to the and lack of concerns.  Blended Rationale: Votes FOR the approval of the annual accounts are warranted due to the unquilack of concerns.  Approve Auditors' Special Report on Mgmt Yes For For	Significant Vote Proposal Text Proposal Text Proposal Rec ISS Rec Rec  Ordinary Business Mgmt No  Approve Financial Statements and Mgmt Yes For For Statutory Reports  Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors lack of concerns.  Blended Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors lack of concerns.  Approve Consolidated Financial Mgmt Yes For For For Statements and Statutory Reports  Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors and lack of concerns.  Blended Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors and lack of concerns.  Blended Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors lack of concerns.  Blended Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors lack of concerns.  Blended Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors lack of concerns.	Significant Vote Proposal Text Proposal Text Proposal Magmat Rec ISS Rec Rec Policy Rec Policy Rec Policy Rec Proposal Magmat Rec ISS Rec Rec Rec Policy Policy Rec Policy Rec Policy Rec Policy Rec Policy Policy Rec Polic	Proposal Text   Proposal Tex	Significant Vote Proposal Text Proposal Text Proposal Mgmt Rec ISS Rec Rec Policy Rec Instruction Mgmt  Ordinary Business Mgmt No  Approve Financial Statements and Statutory Reports  Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.  Blended Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.  Approve Consolidated Financial Statements and Statutory Reports  Woting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.  Approve Consolidated Financial Statutory Reports  Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.  Blended Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.  Blended Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.  Approve Auditors' Special Report on Mgmt Yes For For For For For For No	Significant Vote Proposal Text Proposal Mgmt Proposal Rec 1SS

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4		Approve Allocation of Income and Dividends of EUR 0.25 per Share	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Compensation Report of Corporate Officers	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST the gar censor maintaining an employment contract as equivalent to management board members; an matter.	advisor of the	manageme.	nt board ch	airman, includ	ding a remune	ration packa				
		Blended Rationale: A vote AGAINST the global maintaining an employment contract as adviso equivalent to management board members; an matter.	r of the manag	gement board	d chairman,	including a r	remuneration p	package				
6		Approve Compensation of Yannick Bollore, Chairman of the Supervisory Board	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this re of the group by ISS due to the level and struct management board members. His remuneratio on his short-term variable remuneration as cha rationale; and * Despite not being granted per payment considered a replacement for the LTI.	ure of his remon on report falls s on airman and CEC offormance shar	uneration, w short of good O of Havas is es for the ye	hich are con I market pra S very limite Par under re	mparable to to actice becaused; * One of to view, Mr. Boloview,	hose of Vivende e notably as: * The one-off awa Hore received a	di's * Information ards lacks an exceptiona	7			
		Blended Rationale: A vote AGAINST this remur group by ISS due to the level and structure of members. His remuneration report falls short of variable remuneration as chairman and CEO of Despite not being granted performance shares considered a replacement for the LTIP, the con	his remunerati of good market f Havas is very for the year u	ion, which ar practice bed limited; * O nder review,	re comparat cause notab ne of the or Mr. Bollore	nle to those on aly as: * Informate are received an	f Vivendi's mai mation on his lacks rational exceptional pa	nagement bo short-term e; and *				
7		Approve Compensation of Arnaud de Puyfontaine, Chairman of the Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remu Gillham, Herve Philippe and Stephane Roussel The level of details regarding the achievement of the financial performance targets raises que advantageous for the corporate officers. The n achievement improved, * The one-off awards is Bailliencourt) is warranted because: * The	are warranted on some of th stions; and * in main reasons for remain well be remunerations	(Items 7-8, e non-financ The one-off I or support and low the cap s that he rec	10-13) althorial bonus cremunerationer: * The transvoted in the relevos as exe	ough the follo riteria is limite on awarded in ansparency or e policy. A vol ecutives of th	owing concerns ed; * The level place of the L n the bonus pe te AGAINST Ite e Bollore Grou	s are raised: I of stringend TIP appears erformance em 9 (Cedric p, main	y		-	

shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Management Board Member

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	vote Against Mgmt	Vote Against Policy	Vote Against ISS	
8		Approve Compensation of Gilles Alix,	Mgmt	Yes	For	For		For	For	No	No	No	_

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Approve Compensation of Cedric de Mgmt Yes For Against Against For No Yes Yes Bailliencourt, Management Board
Member

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Crepin, Management Board Member

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
10		Approve Compensation of Frederic	Mgmt	Yes	For	For		For	For	No	No	No	_

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Approve Compensation of Simon Mgmt Yes For For For For No No No Gillham, Management Board Member

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

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Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
12		Approve Compensation of Herve Philippe, Management Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: * The level of details regarding the achievement on some of the non-financial bonus criteria is limited; * The level of stringency of the financial performance targets raises questions; and * The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: * The transparency on the bonus performance achievement improved, * The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: * The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and * Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;										
		Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: * The level of details regarding the achievement on some of the non-financial bonus criteria is limited; * The level of stringency of the financial performance targets raises questions; and * The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: * The transparency on the bonus performance achievement improved, * The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: * The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and * Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;										
13		Approve Compensation of Stephane Roussel, Management Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: *  The level of details regarding the achievement on some of the non-financial bonus criteria is limited; * The level of stringency of the financial performance targets raises questions; and * The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: * The transparency on the bonus performance achievement improved, * The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: * The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and * Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;										
		Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: * The level of details regarding the achievement on some of the non-financial bonus criteria is limited; * The level of stringency of the financial performance targets raises questions; and * The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: * The transparency on the bonus performance achievement improved, * The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: * The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and * Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;										
14		Approve Remuneration Policy of Supervisory Board Members and Chairman	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this re the remuneration of the chairman of the super increase of the Chairman's remuneration with I non-executive members of the supervisory boa	visory board, v limited rational	vho is also al	n executive	of a subsidiai	The lack of tra ry; * The prop performance sl	osed 15%	7			
		Blended Rationale: A vote AGAINST this remun remuneration of the chairman of the supervisor of the Chairman's remuneration with limited ra- members of the supervisory board.	ry board, who	is also an ex	ecutive of a	subsidiary; 3	ack of transpa * The proposed nce shares to r	d 15% increa	ase			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
15		Approve Remuneration Policy of Chairman of the Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the remuneration policy of the Chairman of the management board (item 15) is warranted as it does not raise significant concerns. A vote FOR the remuneration policy of management board members (item 16) is warranted although the following concern is raised: * The remunerations that Mr. de Bailliencourt receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest, which is not properly tackled by the company's procedures The main reasons for support are: * The level of transparency on variable remuneration items improves compared to last year; and * The new LTIP structure excludes compensatory effects between criteria.										
		Blended Rationale: A vote FOR the remuneration does not raise significant concerns. A vote FOR although the following concern is raised: * The Group, main shareholder of Vivendi, creates an procedures The main reasons for support are: to last year; and * The new LTIP structure excepts.	ent board me rt receives as not properly remuneration	embers (item 1 s executives of y tackled by th	6) is warrand f the Bollore ne company's	ted						
16		Approve Remuneration Policy of Management Board Members	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR the remuneration policy of the Chairman of the management board (item 15) is warranted as it does not raise significant concerns. A vote FOR the remuneration policy of management board members (item 16) is warranted although the following concern is raised: * The remunerations that Mr. de Bailliencourt receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest, which is not properly tackled by the company's procedures The main reasons for support are: * The level of transparency on variable remuneration items improves compared to last year; and * The new LTIP structure excludes compensatory effects between criteria.											
		Blended Rationale: A vote FOR the remuneration does not raise significant concerns. A vote FOR although the following concern is raised: * The Group, main shareholder of Vivendi, creates an procedures The main reasons for support are: to last year; and * The new LTIP structure excepts.	the remuneral remuneration important core * The level of	tion policy o s that Mr. de oflict of interc transparency	f manageme Bailliencou est, which is on variable	ent board me rt receives as not properly remuneration	embers (item 1 s executives of y tackled by th	(6) is warrand f the Bollore he company's	ted			
17		Reelect Philippe Benacin as Supervisory Board Member	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).										
		Blended Rationale: A vote AGAINST the reelect regarding the prevention of conflicts of interest nominees are warranted in the absence of spec	s in the compa	any (item 17	). Votes FOF							
18		Reelect Cathia Lawson-Hall as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).											
		Blended Rationale: A vote AGAINST the reelect regarding the prevention of conflicts of interest nominees are warranted in the absence of spec	s in the compa	any (item 17	). Votes FOF		-					
19		Reelect Michele Reiser as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote AGAINST the re concerns regarding the prevention of conflicts of independent nominees are warranted in the ab	of interests in	the company	(item 17).	Votes FOR th		-				
		Blended Rationale: A vote AGAINST the reelect regarding the prevention of conflicts of interest nominees are warranted in the absence of spec	s in the compa	any (item 17	). Votes FOF		-					

# **Vivendi SE**

Proposal Number	Significant Vote	Proposal Text	F	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
20		Reelect Katie Stanton as Superviso Board Member	ry N	1gmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote AGA concerns regarding the prevention independent nominees are warrant.	of conflicts of i	interests in t	he company	(item 17). V	otes FOR th		•				
		Blended Rationale: A vote AGAINST regarding the prevention of conflict nominees are warranted in the abso	s of interests in	n the compa	ny (item 17)	). Votes FOR							
21		Reelect Maud Fontenoy as Supervis Board Member	sory N	/Igmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote AGA concerns regarding the prevention independent nominees are warrant	of conflicts of i	interests in t	he company	(item 17). V	otes FOR th		•				
		Blended Rationale: A vote AGAINST regarding the prevention of conflict nominees are warranted in the abs	s of interests in	n the compa	ny (item 17)	). Votes FOR							
22		Authorize Repurchase of Up to 10 Percent of Issued Share Capital	N	/Igmt	Yes	For	For		For	For	No	No	No
		Extraordinary Business	N	1gmt	No								
23		Authorize Decrease in Share Capita via Cancellation of Repurchased Shares	al N	1gmt	Yes	For	For		For	For	No	No	No
24		Authorize Specific Buyback Program and Cancellation of Repurchased S		1gmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGA buyback program is in shareholders		osal is warra	anted becau	se the comp	any failed to	demonstrate i	that this				
		Blended Rationale: A vote AGAINST program is in shareholders' interest		is warranted	d because th	e company i	failed to dem	onstrate that i	this buyback				
25		Authorize Capital Issuances for Use Employee Stock Purchase Plans	e in N	1gmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR	these items ar	e warranted	as the prop	osed authori	izations do n	ot raise concei	rns.				
		Blended Rationale: Votes FOR these	e items are wa	rranted as tl	he proposed	authorizatio	ns do not rai	ise concerns.					
26		Authorize Capital Issuances for Use Employee Stock Purchase Plans for Employees of International Subsidiaries		1gmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these items are warranted as the proposed authorizations do not raise concerns.											
		Blended Rationale: Votes FOR these	e items are wa	rranted as tl	he proposed	authorizatio	ns do not rai	ise concerns.					
27		Authorize Filing of Required Documents/Other Formalities	N	1gmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number Ba	allot Status	Instructed		Approved	ı	Ballot Voting	Status	Votable S	hares	Shares \	/oted
NT RSG01, NT	RSG01	RSG01 Cc	nfirmed	jbisset		jbisset		Intermediary Co	onfirmed	105,83	34	105,8	334
				04/11/2022		04/11/202	2						
									Total Shares:	105,8	34	105,8	334

### **Meeting Results**

Outstanding Shares Voted:

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.0%	767,205,447	100.0%	210,677	0.0%	42,896	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	767,176,965	100.0%	211,396	0.0%	44,721	N/A	Poll	F+A
3	Υ	Pass	No	For	1.4%	416,224,039	98.6%	5,869,930	1.4%	4,436,890	N/A	Poll	F+A
4	Υ	Pass	No	For	0.1%	766,483,196	99.9%	913,984	0.1%	23,841	N/A	Poll	F+A
5	Υ	Pass	No	For	41.0%	453,085,228	59.0%	314,260,047	41.0%	83,435	N/A	Poll	F+A
6	Υ	Pass	No	For	37.3%	481,010,383	62.7%	286,104,084	37.3%	312,355	N/A	Poll	F+A
7	Υ	Pass	No	For	22.0%	595,863,135	78.0%	167,888,789	22.0%	3,705,761	N/A	Poll	F+A
8	Υ	Pass	No	For	11.3%	677,337,642	88.7%	86,409,464	11.3%	3,712,316	N/A	Poll	F+A
9	Υ	Pass	No	For	33.3%	511,771,505	66.7%	255,361,197	33.3%	326,981	N/A	Poll	F+A
10	Υ	Pass	No	For	11.3%	680,757,951	88.7%	86,370,316	11.3%	327,196	N/A	Poll	F+A
11	Υ	Pass	No	For	11.3%	680,757,133	88.7%	86,375,303	11.3%	323,846	N/A	Poll	F+A
12	Υ	Pass	No	For	11.3%	680,723,138	88.7%	86,373,721	11.3%	359,482	N/A	Poll	F+A
13	Υ	Pass	No	For	11.3%	680,723,548	88.7%	86,372,561	11.3%	358,612	N/A	Poll	F+A
14	Υ	Pass	No	For	34.8%	499,785,352	65.2%	267,263,945	34.8%	406,259	N/A	Poll	F+A
15	Υ	Pass	No	For	2.8%	745,887,120	97.2%	21,213,504	2.8%	354,232	N/A	Poll	F+A
16	Υ	Pass	No	For	3.7%	738,543,819	96.3%	28,549,176	3.7%	361,348	N/A	Poll	F+A
17	Υ	Pass	No	For	33.9%	504,641,801	66.1%	258,316,902	33.9%	4,491,848	N/A	Poll	F+A
18	Υ	Pass	No	For	0.3%	758,747,346	99.7%	2,157,758	0.3%	6,547,865	N/A	Poll	F+A
19	Υ	Pass	No	For	0.3%	758,516,036	99.7%	2,382,668	0.3%	6,553,180	N/A	Poll	F+A
20	Υ	Pass	No	For	0.3%	758,764,554	99.7%	2,137,025	0.3%	6,549,354	N/A	Poll	F+A
21	Υ	Pass	No	For	1.3%	750,804,386	98.7%	10,106,762	1.3%	6,541,449	N/A	Poll	F+A
22	Υ	Pass	No	For	0.2%	765,493,338	99.8%	1,910,064	0.2%	46,361	N/A	Poll	F+A
	N												
23	Υ	Pass	No	For	0.1%	766,962,982	99.9%	424,344	0.1%	36,662	N/A	Poll	F+A
24	Υ	Pass	No	For	28.8%	542,885,120	71.2%	219,356,176	28.8%	5,181,621	N/A	Poll	F+A
25	Υ	Pass	No	For	0.4%	764,080,743	99.6%	3,277,035	0.4%	66,575	N/A	Poll	F+A
26	Υ	Pass	No	For	0.6%	763,128,297	99.4%	4,241,879	0.6%	50,421	N/A	Poll	F+A
27	Υ	Pass	No	For	0.0%	767,335,754	100.0%	51,088	0.0%	29,681	N/A	Poll	F+A

Meeting Date: 04/26/2022 Country: France Ticker: BN Proxy Level: N/A

 Record Date: 04/22/2022
 Meeting Type: Annual/Special
 Meeting ID: 1613099

 Primary Security ID: F12033134
 Primary CUSIP: F12033134
 Primary ISIN: FR0000120644
 Primary SEDOL: B1Y9TB3

Earliest Cutoff Date: 04/21/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 15,100

\*Shares on Loan: 0

Shares Instructed: 15,100

Shares Voted: 15,100

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary Business	Mgmt	No								
1		Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approve and lack of concerns.	al of the annua	nl accounts a	re warranted	d due to the	unqualified au	ditors' opinio	on			
		Blended Rationale: Votes FOR the approval of lack of concerns.	the annual acc	ounts are w	arranted due	e to the unqu	ualified auditor	s' opinion an	d			
2		Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approve and lack of concerns.	al of the annua	al accounts a	re warranted	d due to the	unqualified au	ditors' opinic	าก			
		Blended Rationale: Votes FOR the approval of a lack of concerns.	the annual acc	ounts are w	arranted due	to the unqu	ualified auditor	s' opinion an	d			
3		Approve Allocation of Income and Dividends of EUR 1.94 per Share	Mgmt	Yes	For	For		For	For	No	No	No
4		Ratify Appointment of Valerie Chapoulaud-Floquet as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns ( warranted in the absence of concerns. (Item 5,	Items 4 and 6									
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).				•			in			
5		Elect Antoine de Saint-Afrique as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns (warranted in the absence of concerns. (Item 5)	(Items 4 and 6									
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).							in			
6		Elect Patrice Louvet as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns ( warranted in the absence of concerns. (Item 5,	(Items 4 and 6			-						
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).							in			
7		Elect Geraldine Picaud as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns (warranted in the absence of concerns. (Item 5,	Items 4 and 6									
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).				•			in			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8		Elect Susan Roberts as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratifice warranted in the absence of specific concerns (warranted in the absence of concerns. (Item 5)	Items 4 and 6			,						
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).	, ,			,			l in			
9		Renew Appointment of Ernst & Young Audit as Auditor	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR are warrant	ted because th	ere are no c	oncerns rega	arding these	proposals.					
		Blended Rationale: Votes FOR are warranted be	ecause there a	re no conce	rns regarding	g these prop	osals.					
10		Appoint Mazars & Associes as Auditor	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR are warrant	ted because th	ere are no c	oncerns rega	arding these	proposals.					
		Blended Rationale: Votes FOR are warranted be	ecause there a	re no conce	rns regardin	g these prop	osals.					
11		Approve Transaction with Veronique Penchienati-Bosetta	Mgmt	Yes	For	For		For	For	No	No	No
12		Approve Compensation Report of Corporate Officers	Mgmt	Yes	For	For		For	For	No	No	No
13		Approve Compensation of Veronique Penchienati-Bosseta, CEO From March 14 to September 14, 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Compensations of Vero (Item 13) A vote FOR this remuneration report	•		-			-	ns			

Voting Policy Rationale: Compensations of Veronique Penchienati-Bosseta, Interim CEO from March 14 until Sep. 14, 2021 (Item 13) A vote FOR this remuneration report is warranted because it does not raise any significant concern. Compensations of Shane Grant, Interim vice-CEO from March 14 until Sep. 14, 2021 (Item 14) A vote FOR this remuneration report is warranted, although the following concerns are raised: \* The base salary, the annual variable compensation and the LTI are allocated under the vice-CEO's employment so the company considers them as not submitted to shareholders' approval. \* The LTI grant in units does not provide the weighting of the attached performance criteria. The main reasons for support are: \* The good disclosure on the elements of remuneration granted under their mandate. \* The interim nature of the function, and \* The absence of any other significant concern.

Blended Rationale: Compensations of Veronique Penchienati-Bosseta, Interim CEO from March 14 until Sep. 14, 2021 (Item 13) A vote FOR this remuneration report is warranted because it does not raise any significant concern. Compensations of Shane Grant, Interim vice-CEO from March 14 until Sep. 14, 2021 (Item 14) A vote FOR this remuneration report is warranted, although the following concerns are raised: \* The base salary, the annual variable compensation and the LTI are allocated under the vice-CEO's employment so the company considers them as not submitted to shareholders' approval. \* The LTI grant in units does not provide the weighting of the attached performance criteria. The main reasons for support are: \* The good disclosure on the elements of remuneration granted under their mandate. \* The interim nature of the function, and \* The absence of any other significant concern.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
14		Approve Compensation of Shane Grant, Vice-CEO From March 14 to September 14, 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Compensations of Vi (Item 13) A vote FOR this remuneration repo of Shane Grant, Interim vice-CEO from Marci warranted, although the following concerns a allocated under the vice-CEO's employment s LTI grant in units does not provide the weigh good disclosure on the elements of remunera absence of any other significant concern.	ort is warranted of 14 until Sep. 1 are raised: * The so the company oting of the attac	because it do 4, 2021 (Itel base salary considers the ched perform	nes not rais m 14) A vol i, the annua em as not s nance criter	e any significa te FOR this re Il variable con submitted to s ia. The main I	ant concern. C muneration re npensation and hareholders' a reasons for su	Compensation port is of the LTI are opproval. * Topport are: *	e the The			
		Blended Rationale: Compensations of Veronia A vote FOR this remuneration report is warra Grant, Interim vice-CEO from March 14 until although the following concerns are raised: under the vice-CEO's employment so the conin units does not provide the weighting of the disclosure on the elements of remuneration absence of any other significant concern.	nted because it Sep. 14, 2021 (. * The base salar, npany considers e attached perfo	does not rail Item 14) A v y, the annua them as not ormance crite	se any sign ote FOR thi I variable co submitted Pria. The ma	ificant concert is remuneration ompensation o to shareholde ain reasons fo	n. Compensation report is wa and the LTI arers' approval. Tr support are:	ions of Shand arranted, re allocated * The LTI gra * The good	ė <sup>*</sup>			
15		Approve Compensation of Antoine de Saint-Afrique, CEO Since 15 September 2021	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve Compensation of Gilles Schnepp, Chairman of the Board Since 14 March 2021	Mgmt	Yes	For	For		For	For	No	No	No
17		Approve Remuneration Policy of Executive Corporate Officers	Mgmt	Yes	For	For		For	For	No	No	No
18		Approve Remuneration Policy of Chairman of the Board	Mgmt	Yes	For	For		For	For	No	No	No
19		Approve Remuneration Policy of Directors	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No
		Extraordinary Business	Mgmt	No								
21		Authorize Capital Issuances for Use in Employee Stock Purchase Plans Reserved for Employees of International Subsidiaries	Mgmt	Yes	For	For		For	For	No	No	No
22		Authorize up to 0.5 Percent of Issued Capital for Use in Restricted Stock Plans with Performance Conditions Attached	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorize up to 0.2 Percent of Issued Capital for Use in Restricted Stock Plans	Mgmt	Yes	For	For		For	For	No	No	No
24		Amend Article 19.2 of Bylaws Re: Age Limit of CEO and Vice-CEO	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Items 24 CEO, deputy CEO and the chairman (Items 2 time, the proposal is in line with legal require	4-25). * Even th	nough directo	ors' shareho	olding would b	ne reduced and				-	

Blended Rationale: Votes FOR Items 24 to 26 are warranted as \* the proposal would relax the statutory age limit for the CEO, deputy CEO and the chairman (Items 24-25). \* Even though directors' shareholding would be reduced and adjusted over time, the proposal is in line with legal requirements and does not negatively impact shareholders (Item 26).

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
25		Amend Article 18.1 of Bylaws Re: Age Limit of Chairman of the Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Items 24 CEO, deputy CEO and the chairman (Items 2 time, the proposal is in line with legal require	24-25). * Even ti	hough direct	ors' shareho	lding would b	be reduced and					
		Blended Rationale: Votes FOR Items 24 to 20 deputy CEO and the chairman (Items 24-25) the proposal is in line with legal requirement	. * Even though	directors' sl	hareholding	would be red	luced and adju					
26		Amend Article 17 of Bylaws Re: Shares Held by Directors	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Items 24 CEO, deputy CEO and the chairman (Items 2 time, the proposal is in line with legal require	24-25). * Even ti	hough direct	ors' shareho	lding would b	be reduced and					
		Blended Rationale: Votes FOR Items 24 to 20 deputy CEO and the chairman (Items 24-25) the proposal is in line with legal requirement	. * Even though	directors' sl	hareholding	would be red	luced and adju		-			
27		Authorize Filing of Required Documents/Other Formalities	Mgmt	Yes	For	For		For	For	No	No	No
		Shareholder Proposals Submitted by Phitrust	Mgmt	No								
Α		Amend Article 18 of Bylaws: Role of Honorary Chairman	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR Item A is the role and the powers of the honorary cha specific duties towards the company and the	irman. They hav						fy			
		Blended Rationale: A vote FOR Item A is war role and the powers of the honorary chairma duties towards the company and the shareho	n. They have to	-	-							

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset	Intermediary Confirmed	15,100	15,100
			04/12/2022	04/12/2022			
					Total Shares:	15,100	15,100

### **Meeting Results**

Outstanding Shares Voted: 663,177,179

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.0%	447,122,559	100.0%	27,866	0.0%	1,851,467	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	447,106,496	100.0%	28,206	0.0%	1,867,190	N/A	Poll	F+A
3	Υ	Pass	No	For	0.5%	446,539,129	99.5%	2,372,609	0.5%	90,154	N/A	Poll	F+A
4	Υ	Pass	No	For	0.2%	447,930,419	99.8%	984,203	0.2%	87,270	N/A	Poll	F+A
5	Υ	Pass	No	For	6.0%	421,867,296	94.0%	26,852,733	6.0%	281,863	N/A	Poll	F+A
6	Υ	Pass	No	For	0.2%	447,835,749	99.8%	1,064,201	0.2%	101,942	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Primary	Security 1	<b>ID:</b> 125523100	)	Primary CUS	SIP: 125523	100	Prim	ary ISIN: US1255	231003	Primar	SEDOL: BI	HJ0775	
_	Date: 04/ Date: 03/0			Meeting Typ				ing ID: 1622897		FIOXY L	.CVCI. J		
	a Corp	oration	1	Country: US	Δ		Ticke	ar: CI		Provy I	.evel: 3		
Α	Υ	Fail	No	Against	59.3%	258,799,793	59.3%	177,370,368	40.7%	12,064,145	N/A	Poll	F+A
	N												
27	Y	Pass	No	For	0.0%	448,871,302	100.0%	40,013	0.0%	90,577	N/A	Poll	F+A
26	Y	Pass	No	For	1.0%	444,100,190	99.0%	4,383,987	1.0%	466,334	N/A	Poll	F+A
25	Υ	Pass Pass	No	For For	0.7%	439,368,018 445,704,442	99.3%	3,129,187	0.7%	116,882	N/A	Poll	F+A
23	Y Y	Pass	No No	For	5.5% 2.1%	423,658,540	94.5% 97.9%	24,602,288 9,453,182	5.5% 2.1%	689,683 129,311	N/A N/A	Poll	F+A F+A
22	Y	Pass	No	For	6.9%	417,778,915	93.1%	31,041,868	6.9%	129,728	N/A	Poll	F+A
21	Y	Pass	No	For	1.0%	444,484,394	99.0%	4,371,804	1.0%	94,313	N/A	Poll	F+A
	N												
20	Y	Pass	No	For	5.4%	424,392,954	94.6%	24,126,255	5.4%	482,683	N/A	Poll	F+A
19	Υ	Pass	No	For	0.1%	446,786,781	99.9%	571,882	0.1%	1,643,229	N/A	Poll	F+A
18	Υ	Pass	No	For	0.7%	427,365,769	99.3%	3,006,130	0.7%	18,629,993	N/A	Poll	F+A
17	Υ	Pass	No	For	13.6%	368,249,791	86.4%	58,055,061	13.6%	22,697,040	N/A	Poll	F+A
16	Υ	Pass	No	For	0.1%	429,988,418	99.9%	404,795	0.1%	18,608,679	N/A	Poll	F+A
15	Υ	Pass	No	For	5.4%	424,266,734	94.6%	24,281,413	5.4%	453,745	N/A	Poll	F+A
14	Υ	Pass	No	For	2.9%	435,800,825	97.1%	12,822,354	2.9%	378,713	N/A	Poll	F+A
13	Υ	Pass	No	For	3.8%	431,501,726	96.2%	17,174,279	3.8%	325,887	N/A	Poll	F+A
12	Υ	Pass	No	For	3.4%	415,120,237	96.6%	14,743,884	3.4%	19,137,771	N/A	Poll	F+A
11	Υ	Pass	No	For	0.0%	448,121,266	100.0%	116,993	0.0%	746,740	N/A	Poll	F+A
10	Υ	Pass	No	For	0.1%	448,379,756	99.9%	531,048	0.1%	91,088	N/A	Poll	F+A
9	Υ	Pass	No	For	3.1%	435,210,664	96.9%	13,703,938	3.1%	87,290	N/A	Poll	F+A
8	Υ	Pass	No	For	0.1%	448,418,755	99.9%	496,750	0.1%	86,387	N/A	Poll	F+A

Result

Туре

Poll

Base

F+A

Abstain

N/A

Earliest Cutoff Date: 04/26/2022

Votable Shares: 65,520

Item

Voting

Proposal

Summary

Pass

Dissident

Proposal

Mgmt

Rec

For

Dissent

Level

24.9%

For

336,571,630

For

75.1%

Against

111,811,191

Against

24.9%

Abstain

619,071

Voting Policy: ISS

**Additional Policy:** 

Total Ballots: 1 \*Shares on Loan: 0

Shares Instructed: 65,520

Shares Voted: 65,520

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director David M. Cordani	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: A vote FOR the director nominees is warranted.

Blended Rationale: A vote FOR the director nominees is warranted.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Cigna Corporation**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1b		Elect Director William J. DeLaney	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1c		Elect Director Eric J. Foss	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1d		Elect Director Elder Granger	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1e		Elect Director Neesha Hathi	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1f		Elect Director George Kurian	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1g		Elect Director Kathleen M. Mazzarella	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1h		Elect Director Mark B. McClellan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1i		Elect Director Kimberly A. Ross	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1j		Elect Director Eric C. Wiseman	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1k		Elect Director Donna F. Zarcone	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proposithe special meeting right more easily exercisab					-		2			
*Chause	on loan data :-	Blended Rationale: A vote FOR this proposal is special meeting right more easily exercisable by	y institutional	investors, w	hile still prov	viding protect						

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Cigna Corporation**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
5		Report on Gender Pay Gap	SH	Yes	Against	Against		Against	Against	No	No	No
6		Report on Congruency of Political Spending with Company Values and Priorities	SH	Yes	Against	For		For	For	Yes	No	No

Additional Voting

Voting Policy Rationale: A vote FOR this proposal is warranted, as more comprehensive information comparing Cigna's public policy statements and its direct and indirect political contributions and nonprofit organization participation would enable shareholders to have a more comprehensive understanding of how the company oversees and manages risks from political activities conducted by its partners.

Blended Rationale: A vote FOR this proposal is warranted, as more comprehensive information comparing Cigna's public policy statements and its direct and indirect political contributions and nonprofit organization participation would enable shareholders to have a more comprehensive understanding of how the company oversees and manages risks from political activities conducted by its partners.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	1768944	Confirmed	jbisset	jbisset		65,520	65,520
			04/20/2022	04/20/2022			
					Total Shares:	65,520	65,520

#### **Meeting Results**

Outstanding Shares Voted: 318,376,760

Results Available: Full

Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Туре	Base
1a	Υ	Pass	No	For	7.4%	248,171,620	92.6%	19,859,521	7.4%	807,860	N/A	Proxy	F+A
1b	Υ	Pass	No	For	1.5%	264,174,478	98.5%	4,145,567	1.5%	518,956	N/A	Proxy	F+A
1c	Υ	Pass	No	For	3.0%	260,449,983	97.0%	8,084,668	3.0%	304,350	N/A	Proxy	F+A
1d	Υ	Pass	No	For	1.6%	264,089,539	98.4%	4,238,395	1.6%	511,067	N/A	Proxy	F+A
1e	Υ	Pass	No	For	0.5%	267,347,527	99.5%	1,227,622	0.5%	263,852	N/A	Proxy	F+A
1f	Υ	Pass	No	For	1.0%	265,808,708	99.0%	2,741,012	1.0%	289,281	N/A	Proxy	F+A
1g	Υ	Pass	No	For	3.8%	258,281,858	96.2%	10,306,788	3.8%	250,355	N/A	Proxy	F+A
1h	Υ	Pass	No	For	1.3%	264,855,469	98.7%	3,475,947	1.3%	507,585	N/A	Proxy	F+A
1i	Υ	Pass	No	For	0.6%	266,851,942	99.4%	1,739,461	0.6%	247,598	N/A	Proxy	F+A
1j	Υ	Pass	No	For	3.1%	260,098,879	96.9%	8,447,585	3.1%	292,537	N/A	Proxy	F+A
1k	Υ	Pass	No	For	4.6%	254,393,280	95.4%	12,154,207	4.6%	2,291,514	N/A	Proxy	F+A
2	Υ	Pass	No	For	17.3%	222,333,867	82.7%	45,870,501	17.1%	634,633	0.2%	Proxy	F+A+AB
3	Υ	Pass	No	For	5.5%	271,083,580	94.5%	15,415,347	5.4%	271,255	0.1%	Proxy	F+A+AB
4	Υ	Fail	No	Against	47.3%	126,313,901	47.0%	141,739,935	52.7%	785,165	0.3%	Proxy	F+A+AB
5	Υ	Fail	No	Against	33.2%	87,891,026	32.7%	179,547,902	66.8%	1,400,073	0.5%	Proxy	F+A+AB
6	Υ	Fail	No	Against	46.5%	123,785,139	46.0%	143,721,740	53.5%	1,332,122	0.5%	Proxy	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Meeting Date: 04/27/2022

**Record Date:** 04/19/2022

Primary Security ID: W9376L154

Country: Sweden

Meeting Type: Annual

Primary CUSIP: W9376L154

Ticker: SWMA

Meeting ID: 1623173

**Primary ISIN:** SE0015812219

Proxy Level: N/A

Primary SEDOL: BKS8Y81

Earliest Cutoff Date: 04/19/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 254,390

\*Shares on Loan: 0

Shares Instructed: 254,390

Shares Voted: 254,390

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Open Meeting; Elect Chairman of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	ting formalities	s.								
		Blended Rationale: These are routine meeting	formalities.									
2		Prepare and Approve List of Shareholders	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	ting formalities	s.								
		Blended Rationale: These are routine meeting in	formalities.									
3		Designate Peter Lundkvist and Filippa Gerstadt Inspectors of Minutes of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	ting formalities	s.								
		Blended Rationale: These are routine meeting	formalities.									
4		Acknowledge Proper Convening of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	ting formalities	s.								
		Blended Rationale: These are routine meeting	formalities.									
5		Approve Agenda of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	ting formalities	s.								
		Blended Rationale: These are routine meeting	formalities.									
6		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
7		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
8		Approve Allocation of Income and Dividends of SEK 1.86 Per Share	Mgmt	Yes	For	For		For	For	No	No	No
9.a		Approve Discharge of Charles A. Blixt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop fulfilled their fiduciary duties.	osals is warrar	nted as there	e is no evide	nce that the	board director	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	is warranted o	as there is n	o evidence t	that the boar	d directors hav	ve not fulfille	d			
9.b		Approve Discharge of Andrew Cripps	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propfulfilled their fiduciary duties.	osals is warraı	nted as there	e is no evide	nce that the	board director	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	is warranted a	as there is n	o evidence t	that the boar	d directors hav	ve not fulfille	d			

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Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9.c		Approve Discharge of Jacqueline Hoogerbrugge	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propfulfilled their fiduciary duties.	oosals is warrai	nted as there	is no evide	ence that the	board director.	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted a	as there is no	o evidence i	that the board	d directors hav	e not fulfille	d			
9.d		Approve Discharge of Conny Carlsson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propfulfilled their fiduciary duties.	osals is warrar	nted as there	is no evide	ence that the	board director.	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted a	as there is no	o evidence i	that the board	d directors hav	e not fulfille	d			
9.e		Approve Discharge of Alexander Lacik	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propfulfilled their fiduciary duties.	osals is warrai	nted as there	is no evide	ence that the	board director.	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted a	as there is no	o evidence i	that the board	d directors hav	e not fulfille	d			
9.f		Approve Discharge of Pauline Lindwall	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propfulfilled their fiduciary duties.	osals is warrai	nted as there	is no evide	ence that the	board director.	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted a	as there is no	o evidence i	that the board	d directors hav	e not fulfille	d			
9.g		Approve Discharge of Wenche Rolfsen	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propfulfilled their fiduciary duties.	osals is warrai	nted as there	is no evide	ence that the	board director.	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted a	as there is no	o evidence i	that the boar	d directors hav	e not fulfille	d			
9.h		Approve Discharge of Joakim Westh	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propfulfilled their fiduciary duties.	osals is warrai	nted as there	is no evide	ence that the	board director.	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted a	as there is no	o evidence i	that the boar	d directors hav	e not fulfille	d			
9.i		Approve Discharge of Patrik Engelbrektsson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propfulfilled their fiduciary duties.	osals is warrai	nted as there	is no evide	ence that the	board director.	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted a	as there is no	o evidence i	that the boar	d directors hav	e not fulfille	d			
9.j		Approve Discharge of Par-Ola Olausson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propfulfilled their fiduciary duties.	oosals is warrai	nted as there	is no evide	ence that the	board director.	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted a	as there is no	o evidence i	that the board	d directors hav	e not fulfille	d			
9.k		Approve Discharge of Dragan Popovic	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propfulfilled their fiduciary duties.	osals is warrar	nted as there	is no evide	ence that the	board director.	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted a	as there is no	o evidence i	that the board	d directors hav	ve not fulfille	d			

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Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9.1		Approve Discharge of CEO Lars Dahlgren	Mgmt	Yes	For	For		For	For	No	No	No
10		Determine Number of Members (7) and Deputy Members (0) of Board	Mgmt	Yes	For	For		For	For	No	No	No
11		Approve Remuneration of Directors in the Amount of SEK 2.36 million to Chair and SEK 945,000 to Other Directors; Approve Remuneration for Committee Work	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this its relation to comparable domestic peers.	em is warrante	ed, as the pro	oposed dired	ctor fees can	be considerea	excessive in	1			
		Blended Rationale: A vote AGAINST this item is relation to comparable domestic peers.	warranted, as	the propose	ed director fo	ees can be c	onsidered exce	essive in				
12.a		Reelect Charles A. Blixt as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidates, Alexander Lacik (12.d), Pauline Lindwall (12.e) suitability of these individuals in particular. A vo is overboarded.	and Joakim W	esth (12.f) is	s warranted	due to a laci	k of concern re	garding the				
		Blended Rationale: A vote FOR candidates, Cha Alexander Lacik (12.d), Pauline Lindwall (12.e) suitability of these individuals in particular. A vo is overboarded.	and Joakim W	esth (12.f) is	s warranted	due to a laci	k of concern re	garding the	te			
12.b		Reelect Jacqueline Hoogerbrugge as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidates, Alexander Lacik (12.d), Pauline Lindwall (12.e) suitability of these individuals in particular. A vo is overboarded.	and Joakim W	esth (12.f) is	s warranted	due to a laci	k of concern re	garding the				
		Blended Rationale: A vote FOR candidates, Cha Alexander Lacik (12.d), Pauline Lindwall (12.e) suitability of these individuals in particular. A vo is overboarded.	and Joakim W	esth (12.f) is	s warranted	due to a laci	k of concern re	garding the	te			
12.c		Reelect Conny Carlsson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidates, Alexander Lacik (12.d), Pauline Lindwall (12.e) suitability of these individuals in particular. A vo is overboarded.	and Joakim W	esth (12.f) is	s warranted	due to a laci	k of concern re	garding the				
		Blended Rationale: A vote FOR candidates, Cha Alexander Lacik (12.d), Pauline Lindwall (12.e) suitability of these individuals in particular. A vo is overboarded.	and Joakim W	esth (12.f) is	s warranted	due to a laci	k of concern re	garding the	te			
12.d		Reelect Alexander Lacik as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidates, Alexander Lacik (12.d), Pauline Lindwall (12.e) suitability of these individuals in particular. A vo is overboarded.	and Joakim W	esth (12.f) is	s warranted	due to a laci	k of concern re	garding the	,			
		Blended Rationale: A vote FOR candidates, Cha Alexander Lacik (12.d), Pauline Lindwall (12.e) suitability of these individuals in particular. A vo is overboarded.	and Joakim W	esth (12.f) is	s warranted	due to a laci	k of concern re	garding the	te			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12.e		Reelect Pauline Lindwall as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidate Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f)	is warranted	due to a lac	k of concern re	egarding the				
		Blended Rationale: A vote FOR candidates, Ch Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f)	is warranted	due to a lac	k of concern re	egarding the				
12.f		Reelect Joakim Westh as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidate Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f)	is warranted	due to a lac	k of concern re	egarding the				
		Blended Rationale: A vote FOR candidates, Ch Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f)	is warranted	due to a lac	k of concern re	egarding the				
12.g		Elect Sanna Suvanto-Harsaae as New Director	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote FOR candidate Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f)	is warranted	due to a lac	k of concern re	egarding the				
		Blended Rationale: A vote FOR candidates, Ch Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f)	is warranted	due to a lac	k of concern re	egarding the			-	
12.h		Reelect Conny Karlsson as Board Chair	Mgmt	Yes	For	For		For	For	No	No	No
13		Determine Number of Auditors (1) and Deputy Auditors (0)	Mgmt	Yes	For	For		For	For	No	No	No
14		Approve Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Ratify Deloitte as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
16.a		Approve SEK 13.5 Million Reduction in Share Capital via Share Cancellation for Transfer to Unrestricted Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is balance sheet, which may also enhance return			ation of shar	res may impro	ove the efficien	ncy of the				
		Blended Rationale: A vote FOR this item is wa sheet, which may also enhance returns over to		cancellation	of shares m	ay improve t	the efficiency o	of the balance	ę			
16.b		Approve Capitalization of Reserves of SEK 13.5 Million for a Bonus Issue	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item to balance sheet, which may also enhance return			ation of shar	res may impro	ove the efficier	ncy of the				
		Blended Rationale: A vote FOR this item is wa sheet, which may also enhance returns over to		cancellation	of shares m	ay improve t	the efficiency o	f the balance	ę			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
17		Authorize Share Repurchase Program	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these prop volume limit, because: * There are acceptable repurchase authorities.			•	-			osed				
		Blended Rationale: A vote FOR these proposals volume limit, because: * There are acceptable repurchase authorities.	,	, ,		, ,							
18		Authorize Reissuance of Repurchased Shares	Mgmt	Yes	For	For		For	For	No	No	No	
	Voting Policy Rationale: A vote FOR these proposals to repurchase company shares is warranted, despite the lack of a disclosed volume limit, because: * There are acceptable limits on holding and duration; and * There is no evidence of past abuse of repurchase authorities.												
	Blended Rationale: A vote FOR these proposals to repurchase company shares is warranted, despite the lack of a disclosed volume limit, because: * There are acceptable limits on holding and duration; and * There is no evidence of past abuse of repurchase authorities.												
19		Approve Issuance of Shares up to 10 Per cent of Share Capital without Preemptive Rights	Mgmt	Yes	For	For		For	For	No	No	No	
Ballot Deta	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number Ballot Status	Instructed	l	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted	

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jcoster	jcoster		254,390	254,390
			04/11/2022	04/11/2022			
					Total Shares:	254,390	254,390

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	398,763,292	100.0%	15	0.0%	306	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	398,763,613	100.0%	0	N/A	0	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	398,763,292	100.0%	15	0.0%	306	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	398,763,238	100.0%	375	0.0%	0	N/A	Poll	F+A
5	Υ	Pass	No	For	0.0%	398,763,613	100.0%	0	N/A	0	N/A	Poll	F+A
6	Υ	Pass	No	For	1.3%	385,600,106	98.7%	4,967,200	1.3%	8,196,307	N/A	Poll	F+A
7	Y	Pass	No	For	0.1%	397,851,295	99.9%	397,165	0.1%	515,153	N/A	Poll	F+A
8	Υ	Pass	No	For	0.0%	398,214,825	100.0%	33,875	0.0%	514,913	N/A	Poll	F+A
9.a	Υ	Pass	No	For	0.5%	393,243,379	99.5%	1,945,804	0.5%	3,574,430	N/A	Poll	F+A
9.b	Υ	Pass	No	For	0.5%	393,216,784	99.5%	1,972,414	0.5%	3,574,415	N/A	Poll	F+A
9.c	Υ	Pass	No	For	0.5%	393,243,769	99.5%	1,945,429	0.5%	3,574,415	N/A	Poll	F+A
9.d	Υ	Pass	No	For	0.5%	392,916,784	99.5%	1,972,414	0.5%	3,874,415	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
9.e	Y	Pass	No	For	0.5%	393,242,894	99.5%	1,945,804	0.5%	3,574,915	N/A	Poll	F+A
9.f	Υ	Pass	No	For	N/A	393,243,269	N/A	1,945,429	N/A	3,574,915	N/A	Poll	F+A
9.g	Υ	Pass	No	For	0.5%	393,243,269	99.5%	1,945,429	0.5%	3,574,915	N/A	Poll	F+A
9.h	Y	Pass	No	For	0.5%	393,216,784	99.5%	1,972,414	0.5%	3,574,415	N/A	Poll	F+A
9.i	Y	Pass	No	For	0.5%	393,242,894	99.5%	1,945,804	0.5%	3,574,915	N/A	Poll	F+A
9.j	Υ	Pass	No	For	0.5%	392,877,894	99.5%	1,945,804	0.5%	3,939,915	N/A	Poll	F+A
9.k	Υ	Pass	No	For	0.5%	393,243,769	99.5%	1,945,429	0.5%	3,574,415	N/A	Poll	F+A
9.1	Υ	Pass	No	For	0.5%	392,878,394	99.5%	1,945,804	0.5%	3,939,415	N/A	Poll	F+A
10	Υ	Pass	No	For	0.0%	398,248,460	100.0%	0	N/A	515,153	N/A	Poll	F+A
11	Υ	Pass	No	For	5.1%	248,315,290	94.9%	13,478,395	5.1%	15,869,928	N/A	Poll	F+A
12.a	Υ	Pass	No	For	0.3%	396,321,397	99.7%	1,161,757	0.3%	1,280,459	N/A	Poll	F+A
12.b	Υ	Pass	No	For	0.3%	396,321,772	99.7%	1,161,382	0.3%	1,280,459	N/A	Poll	F+A
12.c	Υ	Pass	No	For	9.8%	349,218,098	90.2%	38,070,174	9.8%	11,475,314	N/A	Poll	F+A
12.d	Υ	Pass	No	For	0.3%	396,321,397	99.7%	1,161,757	0.3%	1,280,459	N/A	Poll	F+A
12.e	Υ	Pass	No	For	0.3%	396,321,772	99.7%	1,161,382	0.3%	1,280,459	N/A	Poll	F+A
12.f	Υ	Pass	No	For	3.4%	375,460,344	96.6%	13,370,030	3.4%	9,933,239	N/A	Poll	F+A
12.g	Υ	Pass	No	For	67.4%	129,570,714	32.6%	267,534,795	67.4%	1,658,104	N/A	Poll	F+A
12.h	Υ	Pass	No	For	11.3%	344,983,195	88.7%	44,072,353	11.3%	9,708,065	N/A	Poll	F+A
13	Υ	Pass	No	For	0.0%	398,248,460	100.0%	0	N/A	515,153	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	398,221,715	100.0%	26,985	0.0%	514,913	N/A	Poll	F+A
15	Υ	Pass	No	For	0.0%	398,221,850	100.0%	26,610	0.0%	515,153	N/A	Poll	F+A
16.a	Y	Pass	No	For	0.0%	398,762,998	100.0%	615	0.0%	0	N/A	Poll	F+A
16.b	Y	Pass	No	For	0.0%	398,755,315	100.0%	8,298	0.0%	0	N/A	Poll	F+A
17	Υ	Pass	No	For	0.0%	398,642,197	100.0%	121,416	0.0%	0	N/A	Poll	F+A
18	Υ	Pass	No	For	0.2%	391,518,412	99.8%	939,005	0.2%	6,306,196	N/A	Poll	F+A
19	Y	Pass	No	For	0.1%	378,749,016	99.9%	366,615	0.1%	19,647,982	N/A	Poll	F+A

# **Activision Blizzard, Inc.**

Meeting Date: 04/28/2022 Record Date: 03/14/2022 Primary Security ID: 00507V109 Country: USA
Meeting Type: Special
Primary CUSIP: 00507V109

Ticker: ATVI

**Meeting ID:** 1623585

Primary ISIN: US00507V1098

Proxy Level: 5

Primary SEDOL: 2575818

# **Activision Blizzard, Inc.**

Earliest Cutoff Date: 04/2	7/2022			Voting Po	licy: ISS			Additional Pol	licy:			
Votable Shares: 55,320		*Shares on Loan: 0			Shares In	structed: 55,3	320		Shares Voted:	: 55,320		
Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1	Approve Merger Ag	reement	Mgmt	Yes	For	For		For	For	No	No	No
2	Advisory Vote on G	Mgmt	Yes	For	For		For	For	No	No	No	
3	Adjourn Meeting		Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Nu	mber Ballot Status	Instructe	d	Approv	ed	Ballot Voting	Status	Votable Si	nares	Shares '	Voted
NT RSG01, NT RSG01	1768944	Confirmed	jbisset		jbisset				55,32	0	55,3	320
			04/25/2022	2	04/25/20	)22						
								Total Shares:	55,32	20	55,3	<del></del>

### **Meeting Results**

Outstanding Shares Voted: 780,922,900 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	1.2%	539,332,512	69.1%	8,847,849	1.1%	786,522	0.1%	Proxy	Capital Represented
2	Y	Pass	No	For	34.9%	357,220,104	65.1%	189,741,964	34.6%	2,004,815	0.4%	Proxy	F+A+AB
3	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Proxy	F+A+AB

### **Glencore Plc**

Meeting Date: 04/28/2022	Country: Jersey	Ticker: GLEN	Proxy Level: N/A
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Record Date: 04/26/2022 Meeting Type: Annual Meeting ID: 1602529

Primary Security ID: G39420107 Primary CUSIP: G39420107 Primary ISIN: JE00B4T3BW64 Primary SEDOL: B4T3BW6

 Earliest Cutoff Date: 04/25/2022
 Total Ballots: 1
 Voting Policy: ISS
 Additional Policy:

 Votable Shares: 800,000
 \*Shares on Loan: 0
 Shares Instructed: 800,000
 Shares Voted: 800,000

votable Si	iales: 600,000	"Silates off Loan: 0			Silaies I	iisti ucteu: 600	0,000		Silai es voteu	1. 600,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Reduction of Capital Contribution Reserves	Mgmt	Yes	For	For		For	For	No	No	No
3		Re-elect Kalidas Madhavpeddi as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these	Directors is warrar	nted as no si	ignificant co	ncerns have	been identified	<i>t.</i>			_	
		Blended Rationale: A vote FOR these Direct	ctors is warranted a	as no signific	cant concer	ns have been	identified.				_	
4		Re-elect Peter Coates as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these	Directors is warrar	nted as no si	ignificant co	ncerns have	been identified	1.			-	
		Blended Rationale: A vote FOR these Direct	ctors is warranted a	as no signific	cant concer	ns have been	identified.				-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Glencore Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5		Re-elect Martin Gilbert as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	neen identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
6		Re-elect Gill Marcus as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
7		Re-elect Patrice Merrin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	neen identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
8		Re-elect Cynthia Carroll as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
9		Elect Gary Nagle as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
10		Elect David Wormsley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
11		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
12		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
13		Approve Climate Progress Report	Mgmt	Yes	For	Against		Against	Abstain	Yes	Yes	Yes
		Voting Policy Rationale: A vote AGAINST the Company's activities around thermal coal, which lobbying would appear to run counter to the Pamost obstructive companies in terms of global Blended Rationale: A vote AGAINST the Climate Note of the Page 19 of the Page	h accounts for aris goals, as h climate policy	the majority ghlighted by action.	of its Scope Glencore h	e 3 emissions naving been i	s. * Further, th	ne Company' ne of the ten				
		Company's activities around thermal coal, which lobbying would appear to run counter to the Pamost obstructive companies in terms of global	h accounts for aris goals, as h	the majority nighlighted by	of its Scope	e 3 emissions	s. * Further, th	e Company				
14		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonance of the series of the	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
16		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Glencore Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
17		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	because the	e proposed a	amounts and	durations are	within				
18		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		800,000	800,000
			04/19/2022	04/19/2022			

Total Shares: 800,000 800,000

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.7%	10,146,134,663	99.3%	73,987,106	0.7%	15,138,778	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	10,243,624,761	100.0%	822,027	0.0%	1,885,126	N/A	Poll	F+A
3	Υ	Pass	No	For	10.7%	9,143,353,406	89.3%	1,095,785,455	10.7%	7,193,053	N/A	Poll	F+A
4	Υ	Pass	No	For	3.8%	9,789,530,013	96.2%	388,631,549	3.8%	68,157,349	N/A	Poll	F+A
5	Υ	Pass	No	For	6.6%	9,563,934,786	93.4%	677,892,412	6.6%	4,504,713	N/A	Poll	F+A
6	Υ	Pass	No	For	3.0%	9,936,943,810	97.0%	307,498,751	3.0%	1,889,350	N/A	Poll	F+A
7	Υ	Pass	No	For	2.9%	9,946,311,300	97.1%	298,130,561	2.9%	1,890,050	N/A	Poll	F+A
8	Υ	Pass	No	For	7.5%	9,477,816,424	92.5%	766,628,122	7.5%	1,888,085	N/A	Poll	F+A
9	Υ	Pass	No	For	1.2%	10,122,080,650	98.8%	122,357,564	1.2%	1,893,300	N/A	Poll	F+A
10	Υ	Pass	No	For	1.3%	10,110,616,447	98.7%	133,837,346	1.3%	1,878,121	N/A	Poll	F+A
11	Υ	Pass	No	For	3.4%	9,898,006,158	96.6%	346,350,904	3.4%	1,974,762	N/A	Poll	F+A
12	Υ	Pass	No	For	0.5%	10,188,283,859	99.5%	56,143,866	0.5%	1,904,190	N/A	Poll	F+A
13	Υ	Pass	No	For	23.7%	7,744,347,077	76.3%	2,408,000,826	23.7%	93,984,011	N/A	Poll	F+A
14	Υ	Pass	No	For	6.5%	9,375,360,448	93.5%	648,848,101	6.5%	222,123,365	N/A	Poll	F+A
15	Υ	Pass	No	For	7.8%	9,447,365,741	92.2%	797,059,535	7.8%	1,906,818	N/A	Poll	F+A
16	Υ	Pass	No	For	6.9%	9,534,086,256	93.1%	710,351,736	6.9%	1,893,921	N/A	Poll	F+A
17	Υ	Pass	No	For	6.0%	9,628,310,866	94.0%	616,129,538	6.0%	1,891,510	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
18	Υ	Pass	No	For	3.0%	9,932,221,639	97.0%	308,917,111	3.0%	5,193,164	N/A	Poll	F+A

Meeting Date: 04/28/2022 **Record Date:** 04/26/2022

Country: United Kingdom Meeting Type: Annual

Ticker: NWG **Meeting ID:** 1608486

Voting Policy: ISS

Proxy Level: N/A

Primary Security ID: G6422B105

Primary CUSIP: G6422B105

Primary ISIN: GB00B7T77214

Primary SEDOL: B7T7721

Earliest Cutoff Date: 04/25/2022

Total Ballots: 1

**Additional Policy:** 

Votable Shares: 2.000,000 \*Shares on Loan: 0 Shares Instructed: 2.000.000 Shares Voted: 2.000.000

Votable Sh	nares: 2,000,000	*Shares on Loan: 0			Shares I	nstructed: 2,0	00,000		Shares Voted	<b>1:</b> 2,000,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
5		Re-elect Howard Davies as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A been identified. Item 8 A vote AGAINST the NED of the Company, Frank Dangeard serv two of those. This could potentially compro Blended Rationale: Items 5-7 & 9-15 A vote been identified. Item 8 A vote AGAINST the NED of the Company, Frank Dangeard serv two of those. This could potentially compro	e re-election of Fra les on boards of to mise his ability to e FOR these canda e re-election of Fra les on boards of to	ank Dangeal hree other p commit sufi idates is con ank Dangeal hree other p	rd is consident ublicly listed ficient time usidered was ublicly listed ublicly listed	ered warrante d companies, to his role at rranted as no lered warrante d companies,	ed: * In additic in particular, a NatWest Grou, significant con ed: * In additic in particular, a	on to his role s Board Cha o Plc. cerns have on to his role s Board Cha	e as air in e as		-	
6		Re-elect Alison Rose-Slade as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A been identified. Item 8 A vote AGAINST the NED of the Company, Frank Dangeard serv two of those. This could potentially compro	e re-election of Fr es on boards of t	ank Dangeal hree other p	rd is conside Sublicly listed	ered warrante d companies,	ed: * In addition in particular, a	n to his role s Board Cha	e as		-	
		Blended Rationale: Items 5-7 & 9-15 A vote been identified. Item 8 A vote AGAINST the NED of the Company, Frank Dangeard serv two of those. This could potentially compro	e re-election of Fra es on boards of to	ank Dangear hree other p	rd is conside ublicly liste	ered warrante d companies,	ed: * In addition in particular, a	n to his role s Board Cha			-	
7		Re-elect Katie Murray as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A been identified. Item 8 A vote AGAINST the NED of the Company, Frank Dangeard serv two of those. This could potentially compro	e re-election of Fra es on boards of t	ank Dangeai hree other p	rd is conside Sublicly listed	ered warrante d companies,	ed: * In addition in particular, a	n to his role s Board Cha	e as		-	
		Blended Rationale: Items 5-7 & 9-15 A vote been identified. Item 8 A vote AGAINST the NED of the Company, Frank Dangeard serv two of those. This could potentially compro	e re-election of Fra es on boards of t	ank Dangear hree other p	rd is conside Sublicly listed	ered warrante d companies,	ed: * In addition in particular, a	n to his role s Board Cha			-	
8		Re-elect Frank Dangeard as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Items 5-7 & 9-15 A been identified. Item 8 A vote AGAINST the NED of the Company, Frank Dangeard serv	e re-election of Fr	ank Dangeal	rd is consid	ered warrante	ed: * In additio	n to his role	e as		-	

two of those. This could potentially compromise his ability to commit sufficient time to his role at NatWest Group Plc.

Blended Rationale: Items 5-7 & 9-15 A vote FOR these candidates is considered warranted as no significant concerns have been identified. Item 8 A vote AGAINST the re-election of Frank Dangeard is considered warranted: \* In addition to his role as NED of the Company, Frank Dangeard serves on boards of three other publicly listed companies, in particular, as Board Chair in two of those. This could potentially compromise his ability to commit sufficient time to his role at NatWest Group Plc.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Re-elect Patrick Flynn as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vo been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warranted Companies, i	d: * In addition In particular, a	n to his role s Board Chai	as		-	
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted Companies, i	d: * In addition In particular, a	n to his role s Board Chai				
10		Re-elect Morten Friis as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vo been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside ublicly listea	red warranted Companies, i	d: * In addition In particular, a.	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted Companies, i	d: * In addition in particular, a	n to his role s Board Chai				
11		Re-elect Robert Gillespie as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vobeen identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside ublicly listea	red warranted Companies, i	d: * In addition In particular, a.	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warranted Companies, i	d: * In addition in particular, a	n to his role s Board Chai				
12		Re-elect Yasmin Jetha as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vo been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside ublicly listea	red warranted Companies, i	d: * In addition In particular, a.	n to his role s Board Chai	as		-	
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted Companies, i	d: * In addition In particular, a	n to his role s Board Chai				
13		Re-elect Mike Rogers as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vo been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warranted Companies, i	d: * In addition in particular, a.	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warranted Companies, i	d: * In addition in particular, a	n to his role s Board Chai				
14		Re-elect Mark Seligman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vo been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted Companies, i	d: * In addition In particular, a	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warranted Companies, i	d: * In addition in particular, a	n to his role s Board Chai				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
15		Re-elect Lena Wilson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A v been identified. Item 8 A vote AGAINST the v NED of the Company, Frank Dangeard serves two of those. This could potentially comprom	re-election of Fra s on boards of th	ank Dangear hree other pu	d is conside Iblicly listed	ered warrante d companies, i	d: * In additio in particular, a	n to his role s Board Cha	e as			
		Blended Rationale: Items 5-7 & 9-15 A vote I been identified. Item 8 A vote AGAINST the I NED of the Company, Frank Dangeard serves two of those. This could potentially comprom	re-election of Fra s on boards of th	ank Dangear hree other pu	d is conside Iblicly listed	ered warrante d companies, i	d: * In additio in particular, a	n to his role s Board Cha				
16		Reappoint Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise the Group Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
18		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reserved recommended limits.	solutions is warr	anted becaus	se the prop	osed amounts	and durations	s are within				
		Blended Rationale: A vote FOR these resolutive recommended limits.	ons is warranted	d because the	e proposed	amounts and	durations are	within				
19		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resrecommended limits.	solutions is warr	anted becaus	se the prop	osed amounts	and durations	s are within				
		Blended Rationale: A vote FOR these resoluti recommended limits.	ons is warranted	d because the	e proposed	amounts and	durations are	within				
20		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reserved recommended limits.	solutions is warr	anted becaus	se the prop	osed amounts	and durations	s are within				
		Blended Rationale: A vote FOR these resoluti recommended limits.	ons is warranted	d because the	e proposed	amounts and	durations are	within				
21		Authorise Issue of Equity in Connection with Equity Convertible Notes	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these res the Company were to issue Equity Convertibl shares, this would result in significant dilution authorities are common proposals at UK banu into ordinary shares would require the CET1 the Company's current position and minimum	e Notes and a ti n to non-particip ks and are inten ratio to fall to a	rigger event pating shareh ded to apply level which v	were to occ colders. The in extreme	cur, causing the main reasons circumstance	nem to convert s for support a es only; and *	t into ordina ere: * Such The convers	nry sion			
		Blended Rationale: A vote FOR these resoluti Company were to issue Equity Convertible No shares, this would result in significant dilution authorities are common proposals at UK bani into ordinary shares would require the CET1 the Company's current position and minimum	ons is warranted otes and a trigge of to non-particip ks and are inten ratio to fall to a	d, although t er event were pating shareh ded to apply level which i	e to occur, o nolders. The in extreme	causing them main reasons circumstance	to convert into s for support a es only; and *	o ordinary nre: * Such The convers	sion			

•	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
22		Authorise Issue of Equity without Pre-emptive Rights in Connecting Equity Convertible Notes		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote the Company were to issue Equ shares, this would result in sign authorities are common propos into ordinary shares would requ the Company's current position	uity Convertible nificant dilution als at UK banks nire the CET1 ra	Notes and a tra to non-participa and are intend tio to fall to a l	igger event ating shareh ded to apply level which v	were to occu olders. The i in extreme o	r, causing th main reasons circumstance	em to convert for support a s only; and *	into ordinar re: * Such The conversi	y ion			
		Blended Rationale: A vote FOR Company were to issue Equity shares, this would result in sign authorities are common propos into ordinary shares would requ the Company's current position	Convertible Not nificant dilution als at UK banks nire the CET1 ra	es and a trigge to non-participa and are intend tio to fall to a l	er event were ating shareh ded to apply level which v	e to occur, ca colders. The i in extreme o	ausing them main reasons circumstance	to convert into for support a s only; and *	o ordinary re: * Such The conversi	ion			
23		Authorise the Company to Call Meeting with Two Weeks' Notice		Mgmt	Yes	For	For		For	For	No	No	No
24		Authorise UK Political Donation Expenditure	s and	Mgmt	Yes	For	For		For	For	No	No	No
25		Authorise Market Purchase of C Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
26		Authorise Off-Market Purchase Ordinary Shares	of	Mgmt	Yes	For	For		For	For	No	No	No
27		Authorise Off-Market Purchase Preference Shares	of	Mgmt	Yes	For	For		For	For	No	No	No
28		Approve Climate Strategy		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detai	ils												
Institutional A	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	i	Approved	i	Ballot Voting	Status	Votable Si	nares	Shares	Voted
NT RSG01, NT F	RSG01	RSG01	Confirmed	jbisset		jbisset				2,000,0	00	2,000	,000
				04/19/2022	2	04/19/202	2						

#### **Meeting Results**

Outstanding Shares Voted: 42,278,361,232

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	36,256,226,628	100.0%	4,270,580	0.0%	400,147,260	N/A	Poll	F+A
2	Υ	Pass	No	For	7.3%	33,883,943,928	92.7%	2,649,384,392	7.3%	126,953,196	N/A	Poll	F+A
3	Y	Pass	No	For	1.1%	36,237,314,672	98.9%	414,528,384	1.1%	8,356,700	N/A	Poll	F+A
4	Y	Pass	No	For	0.1%	36,636,298,020	99.9%	21,477,236	0.1%	2,497,336	N/A	Poll	F+A
5	Y	Pass	No	For	2.2%	35,837,758,484	97.8%	817,139,400	2.2%	5,349,548	N/A	Poll	F+A
6	Υ	Pass	No	For	0.8%	36,349,901,100	99.2%	305,126,148	0.8%	5,145,304	N/A	Poll	F+A
7	Υ	Pass	No	For	0.1%	36,633,538,964	99.9%	18,950,896	0.1%	7,679,172	N/A	Poll	F+A
8	Y	Pass	No	For	19.6%	29,459,990,820	80.4%	7,191,766,308	19.6%	8,407,008	N/A	Poll	F+A

**Total Shares:** 

2,000,000

2,000,000

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
9	Υ	Pass	No	For	0.9%	36,333,533,172	99.1%	316,877,396	0.9%	9,748,576	N/A	Poll	F+A
10	Υ	Pass	No	For	1.7%	36,043,546,816	98.3%	605,984,960	1.7%	10,641,032	N/A	Poll	F+A
11	Υ	Pass	No	For	2.3%	35,818,344,152	97.7%	833,133,592	2.3%	8,695,264	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	36,641,708,504	100.0%	10,362,796	0.0%	8,097,336	N/A	Poll	F+A
13	Υ	Pass	No	For	1.0%	36,273,002,496	99.0%	378,076,752	1.0%	9,085,040	N/A	Poll	F+A
14	Υ	Pass	No	For	1.0%	36,274,797,056	99.0%	376,576,944	1.0%	8,784,408	N/A	Poll	F+A
15	Υ	Pass	No	For	0.2%	36,575,947,996	99.8%	75,845,056	0.2%	8,369,252	N/A	Poll	F+A
16	Υ	Pass	No	For	0.8%	36,349,451,420	99.2%	306,680,040	0.8%	4,153,096	N/A	Poll	F+A
17	Υ	Pass	No	For	0.0%	36,650,358,236	100.0%	5,779,880	0.0%	4,150,160	N/A	Poll	F+A
18	Υ	Pass	No	For	1.4%	36,146,651,372	98.6%	508,649,596	1.4%	4,648,036	N/A	Poll	F+A
19	Υ	Pass	No	For	0.2%	36,579,472,708	99.8%	68,342,980	0.2%	12,166,628	N/A	Poll	F+A
20	Υ	Pass	No	For	1.8%	36,008,025,720	98.2%	641,460,572	1.8%	10,496,888	N/A	Poll	F+A
21	Υ	Pass	No	For	0.8%	36,345,253,068	99.2%	304,289,184	0.8%	10,362,356	N/A	Poll	F+A
22	Υ	Pass	No	For	1.1%	36,239,519,588	98.9%	408,369,452	1.1%	12,013,976	N/A	Poll	F+A
23	Υ	Pass	No	For	1.9%	35,943,283,764	98.1%	711,583,004	1.9%	4,608,252	N/A	Poll	F+A
24	Υ	Pass	No	For	0.6%	36,449,960,888	99.4%	206,463,928	0.6%	3,391,748	N/A	Poll	F+A
25	Υ	Pass	No	For	0.9%	36,325,720,640	99.1%	330,224,316	0.9%	4,272,700	N/A	Poll	F+A
26	Υ	Pass	No	For	0.2%	16,225,472,008	99.8%	32,545,616	0.2%	846,227,124	N/A	Poll	F+A
27	Υ	Pass	No	For	0.1%	36,614,870,408	99.9%	40,199,816	0.1%	4,764,524	N/A	Poll	F+A
28	Υ	Pass	No	For	7.4%	33,666,256,300	92.6%	2,698,582,140	7.4%	295,378,568	N/A	Poll	F+A

# Pfizer Inc.

Meeting Date: 04/28/2022 Record Date: 03/02/2022

Primary Security ID: 717081103

**Country:** USA **Meeting Type:** Annual

Meeting Type: Annual

**Primary CUSIP:** 717081103

Earliest Cutoff Date: 04/27/2022 Votable Shares: 25,120 Total Ballots: 1
\*Shares on Loan: 0

Ticker: PFE

**Meeting ID:** 1622134

**Primary ISIN:** US7170811035

Voting Policy: ISS

Shares Instructed: 25,120

Proxy Level: 3

Primary SEDOL: 2684703

Additional Policy: Shares Voted: 25,120

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Ronald E. Blaylock	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.							1	
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1.2		Elect Director Albert Bourla	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								

Blended Rationale: A vote FOR the director nominees is warranted.

# **Pfizer Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.3		Elect Director Susan Desmond-Hellmann	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warı	ranted.								
1.4		Elect Director Joseph J. Echevarria	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no										
1.5		Elect Director Scott Gottlieb	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1.6		Elect Director Helen H. Hobbs	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1.7		Elect Director Susan Hockfield	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1.8		Elect Director Dan R. Littman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warı	ranted.								
1.9		Elect Director Shantanu Narayen	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1.10		Elect Director Suzanne Nora Johnson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1.11		Elect Director James Quincey	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1.12		Elect Director James C. Smith	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
2		Ratify KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
4		Amend Proxy Access Right	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propolimit would improve the company's existing page 1		-		nation of the	20-shareholde	er aggregatio	on			
		Blended Rationale: A vote FOR this proposal would improve the company's existing proxy				n of the 20-s	hareholder agg	gregation lin	nit			

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### **Pfizer Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5		Report on Congruency of Political Electioneering Expenditures with Company Values and Policies	SH	Yes	Against	Against		Against	Against	No	No	No
6		Report on Feasibility of Technology Transfer to Boost Covid-19 Vaccine Production	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propose COVID-19 vaccine inequity and additional information related risks.						-				
		Blended Rationale: A vote FOR this proposal is v COVID-19 vaccine inequity and additional information related risks.	-					-	,			
7		Report on Board Oversight of Risks Related to Anticompetitive Practices	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propose of the company's processes and oversight mech light of Pfizer's involvement in related controver	anisms for ma						re			
		Blended Rationale: A vote FOR this proposal is with the company's processes and oversight mechan of Pfizer's involvement in related controversies.										
8		Report on Public Health Costs of Limited Sharing of Vaccine Technology	SH	Yes	Against	Against		Against	Against	No	No	No
Ballot Deta	ils											

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	1768944	Confirmed	jbisset	jbisset		25,120	25,120
			04/25/2022	04/25/2022			
					Total Shares:	25,120	25,120

### **Meeting Results**

Outstanding Shares Voted: 5,647,774,460 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	2.6%	3,701,357,646	97.4%	97,026,302	2.6%	10,925,480	N/A	Proxy	F+A
1.2	Y	Pass	No	For	5.4%	3,577,655,169	94.6%	204,869,446	5.4%	26,783,357	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.4%	3,744,546,772	98.6%	54,872,805	1.4%	9,887,325	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	12.7%	3,315,350,611	87.3%	483,108,900	12.7%	10,848,394	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	0.6%	3,775,202,986	99.4%	23,651,661	0.6%	10,414,283	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	2.5%	3,704,020,993	97.5%	95,742,430	2.5%	9,505,507	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	0.7%	3,773,537,829	99.3%	25,701,939	0.7%	10,029,162	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	1.5%	3,741,506,546	98.5%	57,146,873	1.5%	10,615,511	N/A	Proxy	F+A
1.9	Y	Pass	No	For	0.8%	3,766,994,058	99.2%	31,021,763	0.8%	11,292,326	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	5.1%	3,605,854,757	94.9%	193,599,113	5.1%	9,854,277	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.11	Y	Pass	No	For	1.8%	3,729,849,039	98.2%	68,041,486	1.8%	11,406,359	N/A	Proxy	F+A
1.12	Υ	Pass	No	For	2.7%	3,694,497,573	97.3%	103,133,820	2.7%	11,676,754	N/A	Proxy	F+A
2	Υ	Pass	No	For	4.4%	4,440,636,499	95.6%	204,291,133	4.4%	14,009,991	N/A	Proxy	F+A
3	Υ	Pass	No	For	7.3%	3,509,011,827	92.7%	275,230,428	7.3%	25,057,788	N/A	Proxy	F+A
4	Υ	Fail	No	Against	29.0%	1,096,172,490	29.0%	2,686,465,588	71.0%	26,655,147	N/A	Proxy	F+A
5	Υ	Fail	No	Against	10.4%	393,729,907	10.4%	3,387,577,521	89.6%	27,996,591	N/A	Proxy	F+A
6	Υ	Fail	No	Against	27.4%	1,023,141,083	27.4%	2,714,524,824	72.6%	71,640,637	N/A	Proxy	F+A
7	Υ	Fail	No	Against	30.4%	1,138,551,810	30.4%	2,600,688,619	69.6%	70,069,294	N/A	Proxy	F+A
8	Υ	Fail	No	Against	8.7%	325,762,383	8.7%	3,415,442,745	91.3%	68,096,240	N/A	Proxy	F+A

# **Serco Group Plc**

Meeting Date: 04/28/2022 **Record Date:** 04/26/2022

Earliest Cutoff Date: 04/25/2022

Primary Security ID: G80400107

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G80400107

Total Ballots: 1 \*Shares on Loan: 0 Ticker: SRP

Voting Policy: ISS

**Meeting ID:** 1617843

Primary ISIN: GB0007973794

Primary SEDOL: 0797379

**Additional Policy:** 

Proxy Level: N/A

Votable Sh	nares: 622,399	*Shares on Loan: 0			Shares In	structed: 622	2,399		Shares Voted	<b>l:</b> 622,399		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
4		Elect Nigel Crossley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election identified.	ion/re-election o	of the Directo	ors is warrai	nted as no sig	gnificant conce	erns have be	en		-	
		Blended Rationale: A vote FOR the election/ridentified.	e-election of the	e Directors is	warranted .	as no signific	ant concerns l	have been				
5		Elect Kru Desai as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the electidentified.	ion/re-election o	of the Directo	ors is warrai	nted as no sig	gnificant conce	erns have be	en			
		Blended Rationale: A vote FOR the election/ridentified.	e-election of the	e Directors is	warranted	as no signific	ant concerns l	have been			_	
6		Re-elect John Rishton as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the electidentified.	ion/re-election o	of the Directo	ors is warrai	nted as no sig	gnificant conce	erns have be	en		-	
		Blended Rationale: A vote FOR the election/ridentified.	e-election of the	e Directors is	warranted	as no signific	ant concerns l	have been			-	
7		Re-elect Rupert Soames as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election identified.	ion/re-election o	of the Directo	ors is warrai	nted as no sig	gnificant conce	erns have be	en			
		Blended Rationale: A vote FOR the election/r	e-election of the	e Directors is	warranted	as no signific	ant concerns l	have been			-	

identified.

\*Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Serco Group Plc**

Scice	Group						Additional	Voting		Vote	Vote	Vote
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
8		Re-elect Kirsty Bashforth as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election identified.	n/re-election o	of the Directo	ors is warran	ted as no sig	gnificant conce	rns have bee	en			
		Blended Rationale: A vote FOR the election/re-identified.	election of the	Directors is	warranted a	as no signific	ant concerns h	ave been				
9		Re-elect Ian El-Mokadem as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election identified.	n/re-election o	of the Directo	ors is warran	ted as no sig	gnificant conce	rns have bee	en			
		Blended Rationale: A vote FOR the election/re-identified.	election of the	Directors is	warranted a	as no signific	ant concerns h	ave been				
10		Re-elect Tim Lodge as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election identified.	n/re-election o	of the Directo	ors is warran	ted as no sig	gnificant conce	rns have bee	en			
		Blended Rationale: A vote FOR the election/re-identified.	election of the	Directors is	warranted a	as no signific	ant concerns h	ave been				
11		Re-elect Dame Sue Owen as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election identified.	n/re-election o	of the Directo	ors is warran	ted as no sig	gnificant conce	rns have bee	en			
		Blended Rationale: A vote FOR the election/re-identified.	election of the	Directors is	warranted a	as no significa	ant concerns h	ave been				
12		Re-elect Lynne Peacock as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election identified.	n/re-election o	of the Directo	ors is warran	ted as no sig	gnificant conce	rns have bee	en			
		Blended Rationale: A vote FOR the election/re-identified.	election of the	Directors is	warranted a	as no signific	ant concerns h	ave been				
13		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
14		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reson recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	l durations are	within				
16		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonance of the series of the	lutions is warra	anted becaus	se the propo	sed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	l durations are	within				
17		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reson recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
18		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Serco Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
19		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		622,399	622,399
			04/12/2022	04/12/2022			
					Total Shares:	622,399	622,399

### **Meeting Results**

Outstanding Shares Voted: 1,209,192,479

Results Available: Full

	-	7,203,1	, -										
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.3%	998,885,371	99.7%	2,896,486	0.3%	11,312,516	N/A	Poll	F+A
2	Υ	Pass	No	For	14.7%	856,094,916	85.3%	147,209,294	14.7%	9,790,163	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	1,012,710,968	100.0%	372,940	0.0%	10,465	N/A	Poll	F+A
4	Υ	Pass	No	For	0.7%	1,005,474,840	99.3%	7,580,584	0.7%	38,949	N/A	Poll	F+A
5	Υ	Pass	No	For	0.7%	1,006,435,468	99.3%	6,609,200	0.7%	49,705	N/A	Poll	F+A
6	Υ	Pass	No	For	10.1%	901,608,167	89.9%	101,676,252	10.1%	9,809,954	N/A	Poll	F+A
7	Υ	Pass	No	For	0.7%	1,006,110,770	99.3%	6,965,352	0.7%	18,251	N/A	Poll	F+A
8	Υ	Pass	No	For	1.0%	992,667,651	99.0%	9,843,346	1.0%	10,583,376	N/A	Poll	F+A
9	Υ	Pass	No	For	0.7%	1,006,088,132	99.3%	6,964,524	0.7%	41,717	N/A	Poll	F+A
10	Υ	Pass	No	For	0.7%	1,006,409,994	99.3%	6,637,824	0.7%	46,555	N/A	Poll	F+A
11	Υ	Pass	No	For	0.7%	1,006,423,057	99.3%	6,617,119	0.7%	54,197	N/A	Poll	F+A
12	Υ	Pass	No	For	7.9%	923,742,639	92.1%	79,543,620	7.9%	9,808,114	N/A	Poll	F+A
13	Υ	Pass	No	For	1.5%	997,710,040	98.5%	15,347,593	1.5%	36,740	N/A	Poll	F+A
14	Υ	Pass	No	For	0.2%	1,011,158,804	99.8%	1,902,647	0.2%	32,922	N/A	Poll	F+A
15	Υ	Pass	No	For	7.4%	937,829,147	92.6%	75,254,052	7.4%	11,174	N/A	Poll	F+A
16	Υ	Pass	No	For	6.7%	945,001,592	93.3%	68,062,756	6.7%	33,025	N/A	Poll	F+A
17	Υ	Pass	No	For	9.7%	914,887,132	90.3%	98,180,943	9.7%	29,298	N/A	Poll	F+A
18	Υ	Pass	No	For	1.3%	998,238,960	98.7%	13,488,868	1.3%	1,369,545	N/A	Poll	F+A
19	Υ	Pass	No	For	12.1%	888,124,480	87.9%	122,663,137	12.1%	2,309,756	N/A	Poll	F+A
20	Υ	Pass	No	For	4.0%	972,893,468	96.0%	40,192,618	4.0%	8,287	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Ambev SA**

Meeting Date: 04/29/2022

**Record Date:** 03/29/2022

Country: Brazil

Meeting Type: Annual/Special

**Meeting ID:** 1616811

Proxy Level: N/A

Primary Security ID: P0273U106

Primary CUSIP: P0273U106

Primary ISIN: BRABEVACNOR1

Primary SEDOL: BG7ZWY7

Earliest Cutoff Date: 04/25/2022

Votable Shares: 1,600,000

Total Ballots: 1

Voting Policy: ISS

Ticker: ABEV3

**Additional Policy:** 

\*Shares on Loan: 0

Shares Instructed: 1,600,000

Shares Voted: 1,600,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Meeting for ADR Holders	Mgmt	No								
		Ordinary General Meeting	Mgmt	No								
1		Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2021	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Allocation of Income and Dividends	Mgmt	Yes	For	For		For	For	No	No	No
3a		Elect Fiscal Council Members	Mgmt	Yes	For	Abstain		Abstain	Abstain	Yes	No	No
		Voting Policy Rationale: An ABSTAIN vote reco allow minority shareholders to concentrate the discussed under Item 3b of this meeting agenc	ir votes on the			-						
		Blended Rationale: An ABSTAIN vote recomme minority shareholders to concentrate their vote under Item 3b of this meeting agenda.			_							
3b		Elect Vinicius Balbino Bouhid as Fiscal Council Member and Carlos Tersandro Fonseca Adeodato as Alternate Appointed by Minority Shareholder	SH	Yes	None	For		For	For	No	No	No
4		Approve Remuneration of Company's Management	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Remuneration of Fiscal Council Members	Mgmt	Yes	For	For		For	For	No	No	No
		Extraordinary General Meeting	Mgmt	No								
1a		Amend Article 3 Re: Corporate Purpose	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these non-	-contentious ac	dministrative	requests is	warranted.						
		Blended Rationale: A vote FOR these non-cont	entious admini	istrative requ	iests is warr	anted.						
1b		Amend Article 5 to Reflect Changes in Capital	Mgmt	Yes	For	For		For	For	No	No	No
2		Consolidate Bylaws	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these non-	-contentious ac	dministrative	requests is	warranted.						
		Blended Rationale: A vote FOR these non-cont	entious admini	istrative requ	iests is warr	anted.						

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	1768944	Confirmed	jbisset	jbisset		1,600,000	1,600,000
			04/26/2022	04/26/2022			
					Total Shares:	1,600,000	1,600,000

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
	N												
1	Υ	Pass	No	For	2.5%	14,000,802,234	97.5%	110,140	0.0%	355,100,245	2.5%	Poll	F+A+AB
2	Υ	Pass	No	For	0.2%	14,327,772,354	99.8%	11,942,616	0.1%	16,297,649	0.1%	Poll	F+A+AB
3a	Υ	Pass	No	For	0.1%	11,648,557,003	99.9%	0	N/A	15,075,948	0.1%	Poll	F+A+AB
3b	Υ	Pass	No	None	N/A	2,544,672,733	94.5%	4,623,495	0.2%	143,083,440	5.3%	Poll	F+A+AB
4	Υ	Pass	No	For	2.6%	13,975,417,069	97.3%	360,807,232	2.5%	19,788,318	0.1%	Poll	F+A+AB
5	Υ	Pass	No	For	0.2%	14,332,525,166	99.8%	2,114,013	0.0%	21,373,440	0.1%	Poll	F+A+AB
	N												
1a	Υ	Pass	No	For	0.1%	14,350,731,738	99.9%	684,462	0.0%	16,391,415	0.1%	Poll	F+A+AB
1b	Υ	Pass	No	For	0.1%	14,350,737,483	99.9%	693,469	0.0%	16,376,663	0.1%	Poll	F+A+AB
2	Υ	Pass	No	For	0.1%	14,350,963,416	99.9%	568,125	0.0%	16,276,074	0.1%	Poll	F+A+AB

# **Bayer AG**

Meeting Date: 04/29/2022

Earliest Cutoff Date: 04/20/2022

Votable Shares: 150,000

**Record Date:** 

Primary Security ID: D0712D163

Country: Germany

Meeting Type: Annual

Primary CUSIP: D0712D163

Total Ballots: 1

\*Shares on Loan: 0

of Income and Dividends of EUR 2.00 per Share for Fiscal Year 2021

Ticker: BAYN

**Meeting ID:** 1609938

Primary ISIN: DE000BAY0017

Voting Policy: ISS
Shares Instructed: 150,000

Primary SEDOL: 5069211

Proxy Level: N/A

Additional Policy: Shares Voted: 150,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Receive Financial Statements and Statutory Reports; Approve Allocation	Mgmt	Yes	For	For		For	For	No	No	No

# **Bayer AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2		Approve Discharge of Management Board for Fiscal Year 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the dischar is no evidence that the boards did not fulfill the The management board introduced a five-politigation, which will allow our company, our confocus on our performance, innovations, and confocus on our performance, innovations, and confocus on the litigation the performance of the litigation of litigation of the litigation of	eir fiduciary du pint plan, which pustomers, and purtributions to extension the on rather than and made discri payouts for ma	ties in the yeth allegedly "pour sharehold society." New supervisory allaying the sectionary adjuanagement of	ear under re provides a c ders to movertheless, v board) was legitimate c ustments to	eview, though lear path to cove on, put the this was only too slow in a concerns of its the manager	h this is not wind the lead of	thout conce. U.S. glyphos ehind us, ai May 2021, Monsanto and of annual bonus	rns: ate nd			
		Blended Rationale: Votes FOR the discharge of evidence that the boards did not fulfill their fid. The management board introduced a five-poin litigation, which will allow our company, our confocus on our performance, innovations, and confocus our performance, innovations,	duciary duties in the plan, which a sustomers, and outributions to be extension the contract made discrepayouts for mayouts fo	n the year un allegedly "pro our sharehol society." New supervisory allaying the petionary adju anagement d	nder review, pvides a cle ders to mov vertheless, v board) was legitimate c ustments to	, though this ar path to clo ve on, put the this was only too slow in a concerns of its the manager	is not without isure of the U.S. a uncertainty be introduced in addressing the same to same to board's a	concerns: * S. glyphosate S. glyphosate Sehind us, an May 2021, Monsanto Sand of Sennual bonus	e de de s in			
3		Approve Discharge of Supervisory Board for Fiscal Year 2021	Mgmt	Yes	For	For		For	For	No	No	No
		voting Policy Rationale: Votes FOR the dischar is no evidence that the boards did not fulfill the *The management board introduced a five-politigation, which will allow our company, our confocus on our performance, innovations, and confocus our performance, innovations,	eir fiduciary du pint plan, which justomers, and pustomers, and vextension the on rather than ard made discri payouts for ma	tities in the yeth allegedly "the our sharehold our sharehold society." New supervisory allaying the detionary adjuanagement of	ear under re provides a c ders to movertheless, i board) was legitimate c ustments to	eview, though lear path to c ve on, put the this was only too slow in a concerns of its the manager	h this is not wind the lead of	thout conce. U.S. glyphos ehind us, ai May 2021, Monsanto and of annual bonus	rns: ate nd			
		Blended Rationale: Votes FOR the discharge of evidence that the boards did not fulfill their fid. The management board introduced a five-poin litigation, which will allow our company, our cleading to concerns that management (and by fallout head on and too focused on the litigatic stakeholders worldwide. * The supervisory boat the year under review, leading to large bonus company, which may raise legitimate concerns	duciary duties in the plan, which a sustomers, and outributions to a vextension the contrather than and made discrepayouts for mayouts for	n the year un allegedly "pro our sharehol society." Nev supervisory allaying the etionary adju anagement d	nder review, pvides a cle ders to mov vertheless, v board) was legitimate c ustments to	, though this ar path to clove on, put the this was only too slow in a concerns of its the manager	is not without isure of the U.se uncertainty be introduced in addressing the same to salarist board's a	concerns: * S. glyphosate S. glyphosate Sehind us, an May 2021, Monsanto Sand of Sennual bonus	e de e s in			
4.1		Reelect Paul Achleitner to the Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propos	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
4.2		Reelect Norbert Bischofberger to the Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propos	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								
4.3		Reelect Colleen Goggins to the Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propos	ed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	ominees are wa	arranted.								

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Bayer AG**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
5		Approve Remuneration Report	:	Mgmt	Yes	For	Against		Against	Against	Yes	No	No	
		Voting Policy Rationale: A vote short-term incentive componer contribution-based pension ent considered excessive and are r under the LTI may not be cons	nts, resulting in p titlements for ce not aligned with	payouts that do rtain executive the wider work	o not accurat es result in pe kforce or mai	tely reflect c ension contr rket practice	ompany perl ibutions/serv v. * Targets a	formance. * Le vice costs that a and performan	gacy can be ce metrics	9				
		Approve Affiliation Agreement with Mgmt Yes For For For For No												
6		Approve Affiliation Agreement Bayer Chemicals GmbH	with	Mgmt	Yes	For	For		For	For	No	No	No	
7		Ratify Deloitte GmbH as Audito Fiscal Year 2022	ors for	Mgmt	Yes	For	For		For	For	No	No	No	
Ballot Deta	ils													
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	ı	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted	
NT RSG01, NT	RSG01	RSG01	Confirmed	jbisset		jbisset				150,00	00	150,	000	
				04/20/2022	!	04/20/202	22							
									Total Shares:	150,0	00	150,	000	

### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.8%	576,020,745	99.2%	4,559,018	0.8%	11,228,823	N/A	Poll	F+A
2	Υ	Pass	No	For	17.9%	468,365,700	82.1%	102,379,239	17.9%	21,055,373	N/A	Poll	F+A
3	Υ	Pass	No	For	16.8%	474,772,479	83.2%	95,823,506	16.8%	21,190,335	N/A	Poll	F+A
4.1	Υ	Pass	No	For	9.0%	521,845,219	91.0%	51,391,094	9.0%	18,561,964	N/A	Poll	F+A
4.2	Υ	Pass	No	For	25.5%	439,254,156	74.5%	149,994,085	25.5%	2,558,456	N/A	Poll	F+A
4.3	Υ	Pass	No	For	2.4%	574,618,669	97.6%	14,093,883	2.4%	3,094,399	N/A	Poll	F+A
5	Υ	Fail	No	For	75.9%	141,792,849	24.1%	446,334,743	75.9%	3,669,116	N/A	Poll	F+A
6	Υ	Pass	No	For	0.2%	588,849,817	99.8%	1,200,443	0.2%	1,726,553	N/A	Poll	F+A
7	Υ	Pass	No	For	0.9%	584,393,209	99.1%	5,495,835	0.9%	1,915,931	N/A	Poll	F+A

# **American Express Company**

Meeting Date: 05/03/2022 Record Date: 03/07/2022 Country: USA

Ticker: AXP

Proxy Level: 3

**Record Date:** 03/07/2022 **Primary Security ID:** 025816109

Meeting Type: Annual

**Meeting ID:** 1622793

**Primary CUSIP:** 025816109

**Primary ISIN:** US0258161092

Primary SEDOL: 2026082

# **American Express Company**

Earliest Cutoff Date: 05/02/2022 Total Ballots: 1 Voting Policy: ISS

**Additional Policy:** Votable Shares: 32,979 \*Shares on Loan: 0 Shares Instructed: 32,979 Shares Voted: 32,979

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Thomas J. Baltimore	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1b		Elect Director Charlene Barshefsky	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1c		Elect Director John J. Brennan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1d		Elect Director Peter Chernin	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1e		Elect Director Ralph de la Vega	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nominees is warranted.										
1f		Elect Director Michael O. Leavitt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1g		Elect Director Theodore J. Leonsis	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	ninees is warra	anted.								
1h		Elect Director Karen L. Parkhill	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
<b>1</b> i		Elect Director Charles E. Phillips	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1j		Elect Director Lynn A. Pike	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	ninees is warra	anted.								
1k		Elect Director Stephen J. Squeri	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **American Express Company**

Proposal Signific Number Vote	ant Proposa	al Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11	Elect Di	rector Daniel L. Vasella		Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
	Voting F	Policy Rationale: A vote	FOR the directo	r nominees is	warranted.								
	Blended	Rationale: A vote FOR	the director nor	minees is warra	anted.								
1m	Elect Di	rector Lisa W. Wardell		Mgmt	Yes	For	For		For	For	No	No	No
	Voting F	Policy Rationale: A vote	FOR the directo	r nominees is	warranted.								
	Blended	Rationale: A vote FOR	the director nor	minees is warra	anted.								
1n	Elect Di	rector Christopher D. Y	oung	Mgmt	Yes	For	For		For	For	No	No	No
	Voting F	Policy Rationale: A vote	FOR the directo	r nominees is	warranted.								
	Blended	Rationale: A vote FOR	the director nor	minees is warra	anted.								
2	Ratify P Auditors	ricewaterhouseCoopers s	LLP as	Mgmt	Yes	For	For		For	For	No	No	No
3		y Vote to Ratify Named ve Officers' Compensati	on	Mgmt	Yes	For	For		For	For	No	No	No
4	Require	Independent Board Ch	air	SH	Yes	Against	Against		Against	For	Yes	Yes	Yes
<b>Ballot Details</b>													
Institutional Account D (IA Name, IA Number)		Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
NT RSG01, NT RSG01		1768944 Confirmed				jbisset				32,97	9	32,9	979
			04/25/2022		04/25/20	22							

Total Shares:

32,979

32,979

### **Meeting Results**

Outstanding Shares Voted: 757,288,906

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	20.4%	474,033,987	79.6%	121,378,514	20.4%	566,519	N/A	Proxy	F+A
1b	Υ	Pass	No	For	1.8%	584,659,884	98.2%	10,827,262	1.8%	491,874	N/A	Proxy	F+A
1c	Υ	Pass	No	For	0.7%	591,017,498	99.3%	4,391,048	0.7%	570,474	N/A	Proxy	F+A
1d	Υ	Pass	No	For	8.2%	546,498,637	91.8%	48,643,326	8.2%	837,057	N/A	Proxy	F+A
1e	Υ	Pass	No	For	0.5%	592,138,818	99.5%	3,214,526	0.5%	625,676	N/A	Proxy	F+A
1f	Υ	Pass	No	For	2.0%	583,105,402	98.0%	12,055,780	2.0%	817,838	N/A	Proxy	F+A
1g	Υ	Pass	No	For	4.1%	570,551,344	95.9%	24,618,491	4.1%	809,185	N/A	Proxy	F+A
1h	Υ	Pass	No	For	0.2%	594,212,117	99.8%	1,290,461	0.2%	476,442	N/A	Proxy	F+A
1i	Υ	Pass	No	For	0.6%	592,048,919	99.4%	3,312,380	0.6%	617,721	N/A	Proxy	F+A
1j	Υ	Pass	No	For	0.5%	592,792,907	99.5%	2,685,445	0.5%	500,668	N/A	Proxy	F+A
1k	Y	Pass	No	For	5.0%	564,696,146	95.0%	29,681,357	5.0%	1,601,517	N/A	Proxy	F+A
11	Υ	Pass	No	For	3.1%	576,830,349	96.9%	18,317,147	3.1%	831,524	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1m	Υ	Pass	No	For	1.0%	589,607,030	99.0%	5,877,228	1.0%	494,762	N/A	Proxy	F+A
1n	Υ	Pass	No	For	2.0%	583,148,389	98.0%	11,982,251	2.0%	848,380	N/A	Proxy	F+A
2	Υ	Pass	No	For	1.6%	638,940,663	98.4%	10,490,963	1.6%	381,012	N/A	Proxy	F+A
3	Υ	Pass	No	For	4.4%	566,462,109	95.6%	25,952,699	4.4%	3,564,212	N/A	Proxy	F+A
4	Υ	Fail	No	Against	22.2%	132,054,164	22.2%	462,758,910	77.8%	1,165,946	N/A	Proxy	F+A

# **Barrick Gold Corporation**

Votable Shares: 180,000

Meeting Date: 05/03/2022 Country: Canada

**Record Date:** 03/04/2022 **Meeting Type:** Annual

\*Shares on Loan: 0

Primary Security ID: 067901108 Primary CUSIP: 067901108

Earliest Cutoff Date: 04/28/2022 Total Ballots: 1

Ticker: ABX

Voting Policy: ISS

Meeting ID: 1605626

Shares Instructed: 180,000

Primary ISIN: CA0679011084

Additional Policy:

Primary SEDOL: 2024644

Proxy Level: 3

Shares Voted: 180,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Mark Bristow	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	d nominees as i	no significan	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed non	ninees as no sig	gnificant con	cerns have l	been identifie	ed at this time.					
1.2		Elect Director Helen Cai	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	d nominees as i	no significan	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed non	ninees as no sig	gnificant con	cerns have l	been identifie	ed at this time.					
1.3		Elect Director Gustavo A. Cisneros	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	d nominees as i	no significan	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed non	ninees as no sig	gnificant con	cerns have l	been identifie	ed at this time.					
1.4		Elect Director Christopher L. Coleman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	d nominees as i	no significan	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed non	ninees as no sig	gnificant con	cerns have l	been identifie	ed at this time.					
1.5		Elect Director J. Michael Evans	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	d nominees as i	no significan	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed non	minees as no sig	gnificant con	cerns have i	been identifie	ed at this time.					
1.6		Elect Director Brian L. Greenspun	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	d nominees as i	no significan	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed non	minees as no sig	gnificant con	cerns have i	been identifie	ed at this time.					
1.7		Elect Director J. Brett Harvey	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	d nominees as i	no significan	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed non	minees as no sig	gnificant con	cerns have i	been identifie	ed at this time.					
1.8		Elect Director Anne Kabagambe	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.											
		Blended Rationale: Vote FOR all proposed non	ninees as no sig	gnificant con	cerns have i	been identifie	ed at this time.	,				

# **Barrick Gold Corporation**

Proposal Significan Number Vote	t Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1.9	Elect Director Andrew J. Quinr	1	Mgmt	Yes	For	For		For	For	No	No	No	
	Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.												
	Blended Rationale: Vote FOR a	III proposed nom	inees as no sig	gnificant con	cerns have	been identific	ed at this time.						
1.10	Elect Director Loreto Silva	Elect Director Loreto Silva			For	For		For	For	No	No	No	
	Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.												
	Blended Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.												
1.11	Elect Director John L. Thornto	Mgmt	Yes	For	For		For	For	No	No	No		
	Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.												
	Blended Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.												
2	Approve PricewaterhouseCoop as Auditors and Authorize Boa Their Remuneration	Mgmt	Yes	For	For		For	For	No	No	No		
3	Advisory Vote on Executive Compensation Approach	Mgmt	Yes	For	For		For	For	No	No	No		
Ballot Details													
Institutional Account Det (IA Name, IA Number)	Custodian Account Number Ballot Status		Instructed		Approved		Ballot Voting	Status	Votable Shares		Shares	Voted	
NT RSG01, NT RSG01	1768944	1768944 Confirmed		jbisset		jbisset			180,0	180,000		180,000	
			04/21/202	2	04/21/2	1022							

**Total Shares:** 

180,000

180,000

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	0.8%	1,140,613,452	99.2%	9,265,531	0.8%	N/A	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	0.8%	1,140,640,796	99.2%	9,238,187	0.8%	N/A	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	12.8%	1,002,985,227	87.2%	146,893,756	12.8%	N/A	N/A	Proxy	F+A
1.4	Y	Pass	No	For	6.5%	1,074,654,343	93.5%	75,224,640	6.5%	N/A	N/A	Proxy	F+A
1.5	Y	Pass	No	For	7.0%	1,068,880,403	93.0%	80,998,580	7.0%	N/A	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	5.1%	1,091,664,049	94.9%	58,214,934	5.1%	N/A	N/A	Proxy	F+A
1.7	Y	Pass	No	For	6.2%	1,079,124,936	93.8%	70,754,047	6.2%	N/A	N/A	Proxy	F+A
1.8	Y	Pass	No	For	0.8%	1,140,105,168	99.2%	9,773,815	0.8%	N/A	N/A	Proxy	F+A
1.9	Y	Pass	No	For	0.8%	1,140,362,907	99.2%	9,516,076	0.8%	N/A	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	2.9%	1,116,053,879	97.1%	33,825,104	2.9%	N/A	N/A	Proxy	F+A
1.11	Υ	Pass	No	For	12.6%	1,004,668,858	87.4%	145,210,125	12.6%	N/A	N/A	Proxy	F+A
2	Υ	Pass	No	For	11.6%	1.116.834.090	88.4%	146.909.395	11.6%	N/A	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
3	Υ	Pass	No	For	6.9%	1,070,634,153	93.1%	79,315,934	6.9%	N/A	N/A	Proxy	F+A

## **Bristol-Myers Squibb Company**

**Meeting Date:** 05/03/2022 **Record Date:** 03/14/2022

Country: USA

Meeting Type: Annual

 $\textbf{Primary Security ID:}\ 110122108$ 

Primary CUSIP: 110122108

Ticker: BMY

Meeting ID: 1625274

**Primary ISIN:** US1101221083

Proxy Level: 3

Primary SEDOL: 2126335

Earliest Cutoff Date: 05/02/2022

Votable Shares: 165,000

Total Ballots:  $\boldsymbol{1}$ 

Voting Policy: ISS

Additional Policy:

\*Shares on Loan: 0

Shares Instructed: 165,000

Shares Voted: 165,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1A		Elect Director Peter J. Arduini	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.							-	
1B		Elect Director Giovanni Caforio	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.							-	
1C		Elect Director Julia A. Haller	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.							-	
1D		Elect Director Manuel Hidalgo Medina	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.							-	
1E		Elect Director Paula A. Price	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director no	ominees is warı	ranted.							-	
1F		Elect Director Derica W. Rice	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.							_	
1G		Elect Director Theodore R. Samuels	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director no	ominees is warı	ranted.							_	
1H		Elect Director Gerald L. Storch	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director no	ominees is warı	ranted.							-	
1I		Elect Director Karen H. Vousden	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.							-	

## **Bristol-Myers Squibb Company**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1J		Elect Director Phyllis R. Yale	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify Deloitte & Touche LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propo percent would improve shareholders' ability to unilaterally to call a special meeting at the pro	use the specia	al meeting rig		•						
	Blended Rationale: A vote FOR this proposed in warranted. Lowering the ownership threshold from 15 percent to 10 percent would improve shareholders' ability to use the special meeting right and no single shareholder would be able to act unilaterally to call a special meeting at the proposed threshold.											
5		Require Independent Board Chair	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this non-b	inding proposa	al is warrante	ed, as share	holders would	d benefit from	the most				

robust form of independent board oversight, in the form of an independent chair, at the next CEO transition.

Blended Rationale: A vote FOR this non-binding proposal is warranted, as shareholders would benefit from the most robust form of independent board oversight, in the form of an independent chair, at the next CEO transition.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	1768944	Confirmed	jbisset	jbisset		165,000	165,000
			04/25/2022	04/25/2022			
					Total Shares:	165,000	165,000

### **Meeting Results**

Outstanding Shares Voted: 2,125,202,527

Results Available: Full

Item	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1A	Y	Pass	No	For	2.5%	1,511,376,590	97.5%	38,188,121	2.5%	2,807,890	N/A	Proxy	F+A
1B	Y	Pass	No	For	6.8%	1,438,738,311	93.2%	104,835,940	6.8%	8,798,350	N/A	Proxy	F+A
1C	Y	Pass	No	For	0.7%	1,539,003,301	99.3%	10,417,583	0.7%	2,951,717	N/A	Proxy	F+A
1D	Y	Pass	No	For	0.5%	1,542,361,836	99.5%	7,265,817	0.5%	2,744,948	N/A	Proxy	F+A
1E	Υ	Pass	No	For	3.6%	1,494,413,964	96.4%	55,309,321	3.6%	2,649,316	N/A	Proxy	F+A
1F	Υ	Pass	No	For	5.3%	1,468,034,295	94.7%	81,546,149	5.3%	2,792,157	N/A	Proxy	F+A
1G	Y	Pass	No	For	3.9%	1,468,944,084	96.1%	59,607,951	3.9%	23,820,566	N/A	Proxy	F+A
1H	Y	Pass	No	For	3.6%	1,493,429,987	96.4%	56,069,460	3.6%	2,873,154	N/A	Proxy	F+A
1I	Y	Pass	No	For	2.5%	1,510,991,645	97.5%	38,870,433	2.5%	2,510,523	N/A	Proxy	F+A
1J	Υ	Pass	No	For	1.4%	1,527,951,521	98.6%	21,828,703	1.4%	2,592,377	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2	Υ	Pass	No	For	8.6%	1,419,400,241	91.4%	125,669,113	8.1%	7,303,247	0.5%	Proxy	F+A+AB
3	Υ	Pass	No	For	3.1%	1,774,670,025	96.9%	53,395,901	2.9%	3,829,831	0.2%	Proxy	F+A+AB
4	Υ	Fail	No	Against	34.7%	532,905,781	34.3%	1,012,865,048	65.2%	6,601,772	0.4%	Proxy	F+A+AB
5	Y	Fail	No	Against	45.1%	692,098,202	44.6%	852,696,749	54.9%	7,577,650	0.5%	Proxy	F+A+AB

## **IAMGOLD Corporation**

Meeting Date: 05/03/2022

Country: Canada

Ticker: IMG

Proxy Level: 3

Record Date: 03/29/2022

2: 03/29/2022 Meeting Type: Annual prity ID: 450913108 Primary CUSIP: 450913108 **Meeting ID:** 1605081

Primary SEDOL: 2446646

Primary Security ID: 450913108

Total Ballots: 1

Voting Policy: ISS

Additional Policy:

**Earliest Cutoff Date:** 04/28/2022 **Votable Shares:** 1,487,640

\*Shares on Loan: 0

Shares Instructed: 1,487,640

**Primary ISIN:** CA4509131088

Shares Voted: 1,487,640

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Ian Ashby	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propos	sed nominees as l	no significan	nt concerns f	nave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed n	ominees as no si	gnificant cor	ncerns have	been identifi	ed at this time					
1.2		Elect Director Maryse Belanger	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propos	sed nominees as i	no significan	nt concerns f	nave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed n	ominees as no si	gnificant cor	ncerns have	been identifi	ed at this time					
1.3		Elect Director Ann Masse	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propos	sed nominees as i	no significan	nt concerns f	nave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed n	ominees as no si	gnificant cor	ncerns have	been identifi	ed at this time					
1.4		Elect Director Lawrence Peter O'Hagan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propos	sed nominees as l	no significar	nt concerns h	nave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed n	ominees as no si	gnificant cor	ncerns have	been identifi	ed at this time					
1.5		Elect Director Kevin O'Kane	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propos	sed nominees as	no significan	nt concerns h	nave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed n	ominees as no si	gnificant cor	ncerns have	been identifi	ed at this time					
1.6		Elect Director David Smith	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propos	sed nominees as	no significan	nt concerns l	nave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed n	ominees as no si	gnificant cor	ncerns have	been identifi	ed at this time					
1.7		Elect Director Deborah Starkman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propos	sed nominees as	no significar	nt concerns h	nave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed n	ominees as no si	gnificant cor	ncerns have	been identifi	ed at this time					
1.8		Elect Director Anne Marie Toutant	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all propos	sed nominees as	no significar	nt concerns h	nave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed n	ominees as no si	gnificant cor	ncerns have	been identifi	ed at this time					
2		Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **IAMGOLD Corporation**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3	Advisory Vote on Executive Compensation Approach		Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	ed	Ballot Voting	) Status	Votable S	hares	Shares	Voted
NT RSG01, NT RSG01	1768944	Confirmed	jbisset		jbisset				1,487,6	540	1,487	7,640

04/12/2022

Total Shares: 1,487,640 1,487,640

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

04/12/2022

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	0.6%	288,492,555	99.4%	1,754,081	0.6%	N/A	N/A	Proxy	F+A
1.2	Y	Pass	No	For	4.6%	277,014,951	95.4%	13,231,685	4.6%	N/A	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	0.7%	288,347,716	99.3%	1,898,920	0.7%	N/A	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	0.7%	288,358,347	99.3%	1,888,289	0.7%	N/A	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	1.3%	286,481,744	98.7%	3,764,892	1.3%	N/A	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	1.0%	287,234,459	99.0%	3,012,177	1.0%	N/A	N/A	Proxy	F+A
1.7	Y	Pass	No	For	1.7%	285,328,718	98.3%	4,917,918	1.7%	N/A	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	0.7%	288,287,413	99.3%	1,959,223	0.7%	N/A	N/A	Proxy	F+A
2	Υ	Pass	No	For	8.1%	307,402,189	91.9%	27,080,671	8.1%	N/A	N/A	Proxy	F+A
3	Υ	Pass	No	For	7.8%	267,637,287	92.2%	22,609,049	7.8%	N/A	N/A	Proxy	F+A

## **Barclays Plc**

 Meeting Date: 05/04/2022
 Country: United Kingdom
 Ticker: BARC
 Proxy Level: N/A

 Record Date: 04/29/2022
 Meeting Type: Annual
 Meeting ID: 1610879

 Primary Security ID: G08036124
 Primary CUSIP: G08036124
 Primary ISIN: GB0031348658
 Primary SEDOL: 3134865

Earliest Cutoff Date: 04/28/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy:

Votable Shares: 240,210 \*Shares on Loan: 0 Shares Instructed: 240,210 Shares Voted: 240,210

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No

# **Barclays Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Elect Coimbatore Venkatakrishnan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	idates is consid	dered warrai	nted, as no	material cond	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
4		Elect Robert Berry as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consid	dered warrai	nted, as no	material cond	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
5		Elect Anna Cross as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consid	dered warrai	nted, as no	material cond	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
6		Re-elect Mike Ashley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consid	dered warrai	nted, as no	material cond	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
7		Re-elect Tim Breedon as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	idates is consid	dered warrai	nted, as no	material cond	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
8		Re-elect Mohamed A. El-Erian as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consid	dered warrai	nted, as no	material cond	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
9		Re-elect Dawn Fitzpatrick as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consid	dered warrai	nted, as no	material cond	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
10		Re-elect Mary Francis as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consid	dered warrai	nted, as no	material cond	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
11		Re-elect Crawford Gillies as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consid	dered warrai	nted, as no	material cond	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
12		Re-elect Brian Gilvary as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consid	dered warrai	nted, as no	material cond	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
13		Re-elect Nigel Higgins as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	idates is consid	dered warrai	nted, as no	material cond	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
14		Re-elect Diane Schueneman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consid	dered warra	nted, as no	material cond	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mate	erial concerns	have been ide	entified.				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Barclays Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
15		Re-elect Julia Wilson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warrai	nted, as no r	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mater	rial concerns	have been ide	entified.				
16		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise the Board Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
18		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reson recommended limits.	lutions is warra	anted becaus	se the propos	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	because the	e proposed a	amounts and	durations are	within				
20		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonrecommended limits.	lutions is warra	anted becaus	se the propos	sed amounts	and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	because the	e proposed a	amounts and	durations are	within				
21		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonrecommended limits.	lutions is warra	anted becaus	se the propos	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	because the	e proposed a	amounts and	durations are	within				
22		Authorise Issue of Equity in Relation to the Issuance of Contingent Equity Conversion Notes.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorthe Company were to issue Equity Conversion ordinary shares, this would result in significant Such authorities are common proposals at UK Is conversion into ordinary shares would require the well beyond the Company's current position and	Notes (ECNs) a dilution to non banks and are the CET1 ratio	and a trigger n-participatin intended to a to fall to a le	event were g shareholde apply in extr evel which w	to occur, cau ers. The mail eme circums	using them to in reasons for s stances only; a	convert into support are: nd * The	*			
		Blended Rationale: A vote FOR these resolution Company were to issue Equity Conversion Note ordinary shares, this would result in significant Such authorities are common proposals at UK L conversion into ordinary shares would require to well beyond the Company's current position and	es (ECNs) and a dilution to non banks and are the CET1 ratio	a trigger even p-participating printended to a to fall to a le	nt were to o g shareholde apply in extr evel which w	occur, causing ers. The mail eeme circums	g them to conv in reasons for s stances only; a	vert into Support are: and * The	*			

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Barclays Plc**

Proposal Sig Number Vo	gnificant te	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
23		Authorise Issue of Equity with Pre-emptive Rights in Relatior Issuance of Contingent Equity Conversion Notes.	to the	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote the Company were to issue Eq ordinary shares, this would res Such authorities are common conversion into ordinary share well beyond the Company's cu	uity Conversion sult in significant proposals at UK i s would require	Notes (ECNs) a dilution to nor banks and are the CET1 ratio	and a trigger n-participatin intended to to fall to a lo	event were ng sharehold apply in ext evel which v	e to occur, ca ders. The mai treme circums	using them to in reasons for s stances only; a	convert into support are: and * The	*				
		Blended Rationale: A vote FOR these resolutions is warranted, although this is not without concern for shareholders: * If the Company were to issue Equity Conversion Notes (ECNs) and a trigger event were to occur, causing them to convert into ordinary shares, this would result in significant dilution to non-participating shareholders. The main reasons for support are: * Such authorities are common proposals at UK banks and are intended to apply in extreme circumstances only; and * The conversion into ordinary shares would require the CET1 ratio to fall to a level which would denote significant capital weakness well beyond the Company's current position and minimum regulatory requirements.												
24		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No	
25		Authorise the Company to Cal Meeting with Two Weeks' Not		Mgmt	Yes	For	For		For	For	No	No	No	
26		Approve Barclays' Climate Stra Targets and Progress 2022	ategy,	Mgmt	Yes	For	For		For	For	No	No	No	
<b>Ballot Details</b>														
Institutional Acco		Custodian Account Number	Ballot Status	Instructed	i	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted	
NT RSG01, NT RSG	601	RSG01	Confirmed	jbisset		jbisset				240,2	10	240,	210	
				04/27/2022	2	04/27/20	022							

Total Shares:

240,210

240,210

### **Meeting Results**

Outstanding Shares Voted: 16,769,307,716 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.4%	11,370,192,975	99.6%	42,249,957	0.4%	51,159,767	N/A	Poll	F+A
2	Υ	Pass	No	For	11.0%	10,193,013,827	89.0%	1,255,388,727	11.0%	15,189,796	N/A	Poll	F+A
3	Υ	Pass	No	For	0.3%	11,408,432,166	99.7%	38,457,409	0.3%	16,705,032	N/A	Poll	F+A
4	Υ	Pass	No	For	0.3%	11,406,754,559	99.7%	39,277,758	0.3%	17,562,290	N/A	Poll	F+A
5	Υ	Pass	No	For	0.6%	11,377,885,907	99.4%	67,974,951	0.6%	17,733,745	N/A	Poll	F+A
6	Υ	Pass	No	For	2.6%	11,143,328,173	97.4%	302,829,395	2.6%	17,445,128	N/A	Poll	F+A
7	Υ	Pass	No	For	1.2%	11,305,790,590	98.8%	139,917,399	1.2%	17,886,614	N/A	Poll	F+A
8	Υ	Pass	No	For	1.1%	11,261,462,765	98.9%	126,380,073	1.1%	75,714,452	N/A	Poll	F+A
9	Υ	Pass	No	For	0.5%	11,383,933,847	99.5%	62,389,332	0.5%	17,271,424	N/A	Poll	F+A
10	Υ	Pass	No	For	0.7%	11,370,246,574	99.3%	76,129,648	0.7%	17,218,381	N/A	Poll	F+A
11	Υ	Pass	No	For	1.4%	11,285,576,744	98.6%	160,377,664	1.4%	17,640,199	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
12	Y	Pass	No	For	1.9%	11,234,103,167	98.1%	211,865,132	1.9%	17,626,308	N/A	Poll	F+A
13	Υ	Pass	No	For	6.2%	10,735,148,686	93.8%	712,179,320	6.2%	16,266,597	N/A	Poll	F+A
14	Υ	Pass	No	For	1.3%	11,300,180,952	98.7%	146,080,001	1.3%	17,333,654	N/A	Poll	F+A
15	Υ	Pass	No	For	0.3%	11,417,244,655	99.7%	29,089,359	0.3%	17,260,589	N/A	Poll	F+A
16	Υ	Pass	No	For	1.3%	11,300,158,021	98.7%	148,322,981	1.3%	15,113,601	N/A	Poll	F+A
17	Y	Pass	No	For	0.1%	11,440,080,182	99.9%	9,013,748	0.1%	14,500,676	N/A	Poll	F+A
18	Υ	Pass	No	For	1.0%	11,198,591,631	99.0%	112,492,402	1.0%	152,510,570	N/A	Poll	F+A
19	Υ	Pass	No	For	5.5%	10,818,544,405	94.5%	630,264,985	5.5%	14,785,213	N/A	Poll	F+A
20	Υ	Pass	No	For	2.5%	11,160,246,912	97.5%	287,483,293	2.5%	15,864,402	N/A	Poll	F+A
21	Υ	Pass	No	For	3.9%	10,996,934,143	96.1%	450,700,722	3.9%	15,959,742	N/A	Poll	F+A
22	Y	Pass	No	For	1.7%	11,252,640,901	98.3%	194,169,214	1.7%	16,784,487	N/A	Poll	F+A
23	Y	Pass	No	For	2.2%	11,194,105,433	97.8%	252,009,639	2.2%	17,479,530	N/A	Poll	F+A
24	Y	Pass	No	For	1.4%	11,269,172,494	98.6%	157,112,355	1.4%	37,309,759	N/A	Poll	F+A
25	Y	Pass	No	For	3.9%	11,004,205,590	96.1%	444,501,721	3.9%	14,887,295	N/A	Poll	F+A
26	Υ	Pass	No	For	19.2%	9,171,063,235	80.8%	2,177,434,005	19.2%	115,105,456	N/A	Poll	F+A

## **General Electric Company**

Meeting Date: 05/04/2022

**Record Date:** 03/08/2022

Primary Security ID: 369604301

Country: USA

Meeting Type: Annual

**Primary CUSIP:** 369604301

Ticker: GE

**Meeting ID:** 1622256

Primary ISIN: US3696043013

Proxy Level: 4

Primary SEDOL: BL59CR9

Earliest Cutoff Date: 05/03/2022

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS

**Additional Policy:** Shares Voted: 23.860

Votable Sh	nares: 23,860	*Shares on Loan: 0			Shares In	structed: 23,8	360		Shares Voted	: 23,860		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Stephen Angel	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor										
1b		Elect Director Sebastien Bazin	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	warranted.									
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1c		Elect Director Ashton Carter	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	anted.									
1d		Elect Director H. Lawrence Culp, Jr.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	warranted.									
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **General Electric Company**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1e		Elect Director Francisco D'Souza	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1f		Elect Director Edward Garden	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1g		Elect Director Isabella Goren	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1h		Elect Director Thomas Horton	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warı	ranted.								
1i		Elect Director Risa Lavizzo-Mourey	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warı	ranted.								
1j		Elect Director Catherine Lesjak	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warı	ranted.								
1k		Elect Director Tomislav Mihaljevic	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
11		Elect Director Paula Rosput Reynolds	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director no	ominees is warı	ranted.								
1m		Elect Director Leslie Seidman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director no	ominees is warı	ranted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this to last year's failed say-on-pay vote by lowering similarly adjust performance metrics for previous year in review. The committee made a sizable metrics for both the short- and long-term incesting incentive awards shifted from a three-year permetrics.  Blended Rationale: A vote AGAINST this property year's failed say-on-pay vote by lowering the adjust performance metrics for previously grangeriew. The committee made a sizable adjust for both the short- and long-term incentive awards shifted from a three-year performance.	ng the CEO's F cously granted a contive awards, or formance peri- cosal is warrante CEO's FY22 equinted awards. Homent to free ca wards, and the	Y22 equity g awards. How ofree cash flo and the adju od to a single ed. The com uity grant by dowever, pay ash flow for I adjustment	rant by \$10 ever, pay-fo ow for FY21, stment is no ee, annual pe pensation co \$10 million r-for-perform FY21, which is not well-e	million and ri r-performand, which appe t well-explai erformance p ommittee was and making nance concer appears to a xplained. In	making a comme concerns and ars to affect the ned. In addition eriod for the to a sufficiently re- a commitment and are raised to ffect the perfo- addition, long-	nitment not a e raised for to be performand on, long-term wo primary esponsive to the to similator the year a commence metaterm incenti	to the cce last larly in		-	

### **General Electric Company**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Ratify Deloitte & Touche LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Omnibus Stock Plan	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Cessation of All Executive Stock Option and Bonus Programs	SH	Yes	Against	Against		Against	Against	No	No	No
6		Submit Severance Agreement (Change-in-Control) to Shareholder Vote	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this ite	m is warranted di	ven that it is	nositive for	shareholder	s to have the a	ahility to yot	e on		-	

Voting Policy Rationale: A vote FOR this item is warranted given that it is positive for shareholders to have the ability to vote on severance amounts that exceed market norms, the proposal applies only to future severance arrangements, leaving current agreements unaffected, and the proposal offers flexibility as to when the board may seek shareholder approval of a new or renewed severance arrangement, such as at the next annual meeting.

Blended Rationale: A vote FOR this item is warranted given that it is positive for shareholders to have the ability to vote on severance amounts that exceed market norms, the proposal applies only to future severance arrangements, leaving current agreements unaffected, and the proposal offers flexibility as to when the board may seek shareholder approval of a new or renewed severance arrangement, such as at the next annual meeting.

SH

Approve Nomination of Employee Representative Director Yes Against

Against

Against

Against

No

No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	1768944	Confirmed	jbisset	jbisset		23,860	23,860
			04/28/2022	04/28/2022	_		_
					Total Shares:	23,860	23,860

#### **Meeting Results**

Outstanding Shares Voted: 1,101,751,355

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	0.7%	739,036,647	99.3%	5,271,341	0.7%	1,712,028	N/A	Proxy	F+A
1b	Υ	Pass	No	For	8.9%	677,985,798	91.1%	66,330,288	8.9%	1,703,930	N/A	Proxy	F+A
1c	Υ	Pass	No	For	2.2%	728,330,317	97.8%	16,011,340	2.2%	1,678,359	N/A	Proxy	F+A
1d	Υ	Pass	No	For	5.3%	705,008,080	94.7%	39,354,660	5.3%	1,657,276	N/A	Proxy	F+A
1e	Υ	Pass	No	For	8.4%	681,539,057	91.6%	62,795,701	8.4%	1,685,258	N/A	Proxy	F+A
1f	Υ	Pass	No	For	9.4%	674,143,368	90.6%	70,156,378	9.4%	1,720,270	N/A	Proxy	F+A
1g	Υ	Pass	No	For	0.7%	738,978,998	99.3%	5,453,438	0.7%	1,587,580	N/A	Proxy	F+A
1h	Υ	Pass	No	For	20.7%	590,378,372	79.3%	153,943,931	20.7%	1,697,713	N/A	Proxy	F+A
1i	Υ	Pass	No	For	5.2%	704,380,479	94.8%	38,827,578	5.2%	2,811,959	N/A	Proxy	F+A
1j	Υ	Pass	No	For	2.5%	725,485,303	97.5%	18,963,177	2.5%	1,571,536	N/A	Proxy	F+A
1k	Υ	Pass	No	For	0.8%	738,607,016	99.2%	5,691,690	0.8%	1,721,310	N/A	Proxy	F+A
11	Υ	Pass	No	For	8.4%	681,533,797	91.6%	62,889,572	8.4%	1,596,647	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1m	Υ	Pass	No	For	2.2%	727,790,426	97.8%	16,590,879	2.2%	1,638,711	N/A	Proxy	F+A
2	Υ	Pass	No	For	34.5%	486,608,605	65.5%	256,337,513	34.5%	3,073,898	N/A	Proxy	F+A
3	Υ	Pass	No	For	0.5%	876,945,753	99.5%	4,028,094	0.5%	2,089,815	N/A	Proxy	F+A
4	Υ	Pass	No	For	7.2%	689,244,390	92.8%	53,874,784	7.2%	2,900,842	N/A	Proxy	F+A
5	Υ	Fail	No	Against	1.9%	14,161,225	1.9%	727,850,067	98.1%	4,008,724	N/A	Proxy	F+A
6	Υ	Fail	No	Against	36.2%	269,056,908	36.2%	473,798,432	63.8%	3,164,676	N/A	Proxy	F+A
7	Υ	Fail	No	Against	4.7%	34,732,629	4.7%	707,970,853	95.3%	3,316,534	N/A	Proxy	F+A

#### **GlaxoSmithKline Plc**

**Meeting Date:** 05/04/2022 **Record Date:** 04/29/2022

Record Date: 04/29/2022 Primary Security ID: G3910J112

Earliest Cutoff Date: 04/28/2022 Votable Shares: 423,606 **Country:** United Kingdom **Meeting Type:** Annual

Primary CUSIP: G3910J112

Total Ballots: 1

\*Shares on Loan: 0

Ticker: GSK

Meeting ID: 1616361

**Primary ISIN:** GB0009252882

Voting Policy: ISS

Shares Instructed: 423,606

Proxy Level: N/A

Primary SEDOL: 0925288

Additional Policy: Shares Voted: 423,606

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Remuneration Policy	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes

Voting Policy Rationale: A vote AGAINST the remuneration policy is warranted because: \* The Company is seeking to increase bonus opportunity from 2x to 3x. salary. The amplified bonus opportunity would create the highest bonus potential, as a multiple of salary, for any company in the FTSE 10. It is acknowledged that the higher limit requires higher performance, only materializing on outperformance of the Company's new strategic targets. It is also noted that there would be lower pay for below target performance. In addition, the targets are firmly rooted in the new strategy and there is a clear link between the profit and sales objectives, as announced in June 2021, and new pay arrangements. However, these mitigating factors do not offset concerns with the quantum; the increased emphasis on short-term performance; or the precedent that this level of bonus opportunity would create. Further, the demerger will decrease the Company's market cap and the complexity of its operations. The proposed increase does not appear consistent with this background.

Blended Rationale: A vote AGAINST the remuneration policy is warranted because: \* The Company is seeking to increase bonus opportunity from 2x to 3x. salary. The amplified bonus opportunity would create the highest bonus potential, as a multiple of salary, for any company in the FTSE 10. It is acknowledged that the higher limit requires higher performance, only materializing on outperformance of the Company's new strategic targets. It is also noted that there would be lower pay for below target performance. In addition, the targets are firmly rooted in the new strategy and there is a clear link between the profit and sales objectives, as announced in June 2021, and new pay arrangements. However, these mitigating factors do not offset concerns with the quantum; the increased emphasis on short -term performance; or the precedent that this level of bonus opportunity would create. Further, the demerger will decrease the Company's market cap and the complexity of its operations. The proposed increase does not appear consistent with this background.

Voting Policy Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.

Yes

Blended Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.

Mgmt

Elect Harry Dietz as Director Mgmt Yes For For For No

For

For

For

For

Nο

Nο

No

Nο

No

Voting Policy Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.

Blended Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.

Elect Anne Beal as Director

## **GlaxoSmithKline Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6		Re-elect Sir Jonathan Symonds as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is consi	idered warra	nted as no i	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	tes is considere	d warranted	as no mate	rial concerns	have been ide	ntified.				
7		Re-elect Dame Emma Walmsley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is consi	idered warra	nted as no i	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	tes is considere	d warranted	as no mate	rial concerns	have been ide	ntified.				
8		Re-elect Charles Bancroft as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is consi	idered warra	nted as no i	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	tes is considere	d warranted	as no mate	rial concerns	have been ide	ntified.				
9		Re-elect Vindi Banga as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is consi	idered warra	nted as no i	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	tes is considere	d warranted	as no mate	rial concerns	have been ide	ntified.				
10		Re-elect Hal Barron as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is consi	idered warra	nted as no i	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidates is considered warranted as no material concerns have been identified.										
11		Re-elect Dame Vivienne Cox as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is consi	idered warra	nted as no i	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	tes is considere	d warranted	as no mate	rial concerns	have been ide	ntified.				
12		Re-elect Lynn Elsenhans as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is consi	idered warra	nted as no i	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	tes is considere	d warranted	as no mate	rial concerns	have been ide	ntified.				
13		Re-elect Laurie Glimcher as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is consi	idered warra	nted as no i	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	tes is considere	d warranted	as no mate.	rial concerns	have been ide	ntified.				
14		Re-elect Jesse Goodman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is consi	idered warra	nted as no i	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	tes is considere	d warranted	as no mate	rial concerns	have been ide	ntified.				
15		Re-elect Iain Mackay as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is consi	idered warra	nted as no i	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	tes is considere	d warranted	as no mate	rial concerns	have been ide	ntified.				
16		Re-elect Urs Rohner as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is consi	idered warra	nted as no i	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	tes is considere	d warranted	as no mate	rial concerns	have been ide	ntified.				
17		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
18		Authorise the Audit & Risk Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **GlaxoSmithKline Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
19		Authorise UK Political Donations Expenditure	s and	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote I recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR to recommended limits.	these resolution	ns is warranted	l because th	e proposed a	amounts and	durations are	within				
21		Authorise Issue of Equity witho Pre-emptive Rights	ut	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote I recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	s and durations	are within				
		Blended Rationale: A vote FOR to recommended limits.	these resolution	ns is warranted	l because the	e proposed a	amounts and	durations are	within				
22		Authorise Issue of Equity witho Pre-emptive Rights in Connectic an Acquisition or Other Capital Investment		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.											
		Blended Rationale: A vote FOR to recommended limits.	these resolution	ns is warranted	l because the	e proposed a	amounts and	durations are	within				
23		Authorise Market Purchase of C Shares	rdinary	Mgmt	Yes	For	For		For	For	No	No	No
24		Approve the Exemption from Statement of the Name of the S Statutory Auditor in Published C of the Auditors' Reports		Mgmt	Yes	For	For		For	For	No	No	No
25		Authorise the Company to Call Meeting with Two Weeks' Notice		Mgmt	Yes	For	For		For	For	No	No	No
26		Approve Share Save Plan		Mgmt	Yes	For	For		For	For	No	No	No
27		Approve Share Reward Plan		Mgmt	Yes	For	For		For	For	No	No	No
28		Adopt New Articles of Association	on	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutional (IA Name, I	Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	ı	Approve	d	Ballot Voting	Status	Votable Si	nares	Shares	Voted
NT RSG01, NT	RSG01	RSG01		jbisset				423,60	16	423,0	506		
		04/25/2022 04/25/2022											

#### **Meeting Results**

Outstanding Shares Voted: 5,083,974,547 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	1.0%	3,524,582,633	99.0%	35,137,552	1.0%	11,843,164	N/A	Poll	F+A
2	Υ	Pass	No	For	8.9%	3,240,737,115	91.1%	318,510,405	8.9%	12,317,062	N/A	Poll	F+A
3	Υ	Pass	No	For	38.2%	2.197.755.545	61.8%	1.360.515.540	38.2%	13.294.793	N/A	Poll	F+A

Total Shares:

423,606

423,606

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
4	Y	Pass	No	For	0.5%	3,546,443,179	99.5%	16,055,842	0.5%	9,042,781	N/A	Poll	F+A
5	Υ	Pass	No	For	0.5%	3,545,845,271	99.5%	16,469,238	0.5%	9,224,475	N/A	Poll	F+A
6	Υ	Pass	No	For	2.2%	3,482,374,837	97.8%	79,898,313	2.2%	9,274,730	N/A	Poll	F+A
7	Υ	Pass	No	For	0.2%	3,555,195,651	99.8%	7,564,971	0.2%	8,806,465	N/A	Poll	F+A
8	Υ	Pass	No	For	1.6%	3,503,934,446	98.4%	58,393,472	1.6%	9,214,474	N/A	Poll	F+A
9	Υ	Pass	No	For	2.2%	3,483,288,426	97.8%	78,881,291	2.2%	9,373,841	N/A	Poll	F+A
10	Υ	Pass	No	For	0.5%	3,546,018,185	99.5%	16,347,031	0.5%	9,173,855	N/A	Poll	F+A
11	Υ	Pass	No	For	0.9%	3,531,865,048	99.1%	30,474,324	0.9%	9,204,548	N/A	Poll	F+A
12	Υ	Pass	No	For	2.4%	3,476,281,291	97.6%	86,142,909	2.4%	9,118,541	N/A	Poll	F+A
13	Υ	Pass	No	For	0.2%	3,556,622,114	99.8%	5,547,225	0.2%	9,375,696	N/A	Poll	F+A
14	Υ	Pass	No	For	0.2%	3,555,655,121	99.8%	6,515,409	0.2%	9,367,820	N/A	Poll	F+A
15	Υ	Pass	No	For	0.3%	3,551,784,186	99.7%	10,432,989	0.3%	9,333,434	N/A	Poll	F+A
16	Υ	Pass	No	For	4.3%	3,410,175,068	95.7%	151,806,419	4.3%	9,561,680	N/A	Poll	F+A
17	Υ	Pass	No	For	0.2%	3,557,280,686	99.8%	5,797,033	0.2%	8,481,780	N/A	Poll	F+A
18	Υ	Pass	No	For	0.1%	3,555,233,064	99.9%	5,000,395	0.1%	11,338,880	N/A	Poll	F+A
19	Υ	Pass	No	For	1.4%	3,493,409,287	98.6%	48,161,797	1.4%	30,003,446	N/A	Poll	F+A
20	Υ	Pass	No	For	3.7%	3,430,993,149	96.3%	130,127,471	3.7%	10,593,875	N/A	Poll	F+A
21	Υ	Pass	No	For	2.8%	3,460,375,320	97.2%	98,220,644	2.8%	12,963,416	N/A	Poll	F+A
22	Υ	Pass	No	For	4.4%	3,404,168,390	95.6%	154,942,194	4.4%	12,448,092	N/A	Poll	F+A
23	Υ	Pass	No	For	1.2%	3,517,497,794	98.8%	43,085,674	1.2%	10,990,502	N/A	Poll	F+A
24	Υ	Pass	No	For	0.4%	3,523,554,816	99.6%	14,359,955	0.4%	33,655,391	N/A	Poll	F+A
25	Υ	Pass	No	For	6.4%	3,331,432,180	93.6%	227,176,994	6.4%	12,950,914	N/A	Poll	F+A
26	Υ	Pass	No	For	1.4%	3,508,449,940	98.6%	49,235,709	1.4%	13,896,262	N/A	Poll	F+A
27	Υ	Pass	No	For	1.1%	3,516,666,377	98.9%	40,690,090	1.1%	14,160,284	N/A	Poll	F+A
28	Υ	Pass	No	For	0.2%	3,549,030,121	99.8%	6,964,097	0.2%	15,501,889	N/A	Poll	F+A

### **Ovintiv Inc.**

Ticker: OVV Meeting Date: 05/04/2022 Country: USA Proxy Level: 3 **Record Date:** 03/10/2022 Meeting ID: 1605542 Meeting Type: Annual Primary CUSIP: 69047Q102 Primary ISIN: US69047Q1022 Primary Security ID: 69047Q102 Primary SEDOL: BJ01KB6 Earliest Cutoff Date: 05/03/2022 Total Ballots: 1 Voting Policy: ISS **Additional Policy:** Votable Shares: 100,000 \*Shares on Loan: 0 Shares Instructed: 100,000 Shares Voted: 100,000 Voting Additional Vote Vote Vote Policy Policy Significant Votable Against Proposal Mgmt Vote Against Against

Number **Proposal Text Proponent** Proposal ISS Rec Instruction Policy ISS Vote Rec Rec Rec Mgmt Elect Director Peter A. Dea Mgmt Yes For For For For No No 1a No

## **Ovintiv Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1b		Elect Director Meg A. Gentle	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1c		Elect Director Howard J. Mayson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1d		Elect Director Brendan M. McCracken	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1e		Elect Director Lee A. McIntire	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1f		Elect Director Katherine L. Minyard	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1g		Elect Director Steven W. Nance	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1h		Elect Director Suzanne P. Nimocks	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1i		Elect Director George L. Pita	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1j		Elect Director Thomas G. Ricks	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1k		Elect Director Brian G. Shaw	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
11		Elect Director Bruce G. Waterman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Ovintiv Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
3		Amend Omnibus Stock Plan	Mgmt	Yes	For	For		For	For	No	No	No
4		Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	1768944	Confirmed	jbisset	jbisset		100,000	100,000
			04/26/2022	04/26/2022			

Total Shares: 100,000 100,000

#### **Meeting Results**

Outstanding Shares Voted: 258,691,896

Results Available: Full

, acstain	uning Shares	250,051	.,050		11031	alto Available i i ali							
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	2.3%	181,052,519	97.7%	4,308,495	2.3%	0	N/A	Proxy	F+A
1b	Υ	Pass	No	For	0.7%	185,064,734	99.3%	1,257,914	0.7%	0	N/A	Proxy	F+A
1c	Y	Pass	No	For	0.4%	185,666,639	99.6%	659,123	0.4%	0	N/A	Proxy	F+A
1d	Υ	Pass	No	For	0.3%	185,712,436	99.7%	614,527	0.3%	0	N/A	Proxy	F+A
1e	Υ	Pass	No	For	1.6%	183,360,724	98.4%	2,964,280	1.6%	0	N/A	Proxy	F+A
1f	Y	Pass	No	For	0.5%	185,471,660	99.5%	856,324	0.5%	0	N/A	Proxy	F+A
1g	Υ	Pass	No	For	0.4%	185,628,545	99.6%	693,631	0.4%	0	N/A	Proxy	F+A
1h	Y	Pass	No	For	2.4%	181,941,295	97.6%	4,386,577	2.4%	0	N/A	Proxy	F+A
1i	Υ	Pass	No	For	0.7%	184,924,251	99.3%	1,396,304	0.7%	0	N/A	Proxy	F+A
1j	Υ	Pass	No	For	1.7%	183,234,525	98.3%	3,085,321	1.7%	0	N/A	Proxy	F+A
1k	Υ	Pass	No	For	0.7%	185,057,328	99.3%	1,268,537	0.7%	0	N/A	Proxy	F+A
11	Υ	Pass	No	For	1.5%	183,560,190	98.5%	2,766,228	1.5%	0	N/A	Proxy	F+A
2	Υ	Pass	No	For	2.2%	182,065,279	97.8%	4,123,657	2.2%	0	N/A	Proxy	F+A+A
3	Υ	Pass	No	For	2.6%	181,330,791	97.4%	4,922,022	2.6%	0	N/A	Proxy	F+A+A
4	Y	Pass	No	For	2.4%	202,015,794	97.6%	4,965,334	2.4%	0	N/A	Proxy	F+A+A

### **Unilever Plc**

Meeting Date: 05/04/2022 **Record Date:** 05/02/2022 Primary Security ID: G92087165 Country: United Kingdom Meeting Type: Annual Primary CUSIP: G92087165 Ticker: ULVR

**Meeting ID:** 1623697

Primary ISIN: GB00B10RZP78

Proxy Level: N/A

Primary SEDOL: B10RZP7

## **Unilever Plc**

Votable Shares: 47,844

Earliest Cutoff Date: 04/29/2022

Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

Additional Policy:

Shares Instructed: 47,844 Shares Voted: 47,844

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Re-elect Nils Andersen as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant con	cerns have L	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
4		Re-elect Judith Hartmann as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant con	cerns have l	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
5		Re-elect Alan Jope as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant con	cerns have l	been identified.	,				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
6		Re-elect Andrea Jung as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant con	cerns have L	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
7		Re-elect Susan Kilsby as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant con	cerns have l	been identified	,				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
8		Re-elect Strive Masiyiwa as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant con	cerns have L	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
9		Re-elect Youngme Moon as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant con	cerns have l	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
10		Re-elect Graeme Pitkethly as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant con	cerns have l	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
11		Re-elect Feike Sijbesma as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant con	cerns have l	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					
12		Elect Adrian Hennah as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant con	cerns have l	been identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concerns	s have been	identified.					

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Unilever Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
13		Elect Ruby Lu as Director		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote I	OR these Direc	ctors is warran	ted as no sig	nificant cond	cerns have b	een identified.					
		Blended Rationale: A vote FOR t	hese Directors	is warranted a	s no significa	ant concerns	have been i	identified.					
14		Reappoint KPMG LLP as Auditor	S	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise Board to Fix Remuner of Auditors	ration	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise UK Political Donations Expenditure	and	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote F recommended limits.	FOR these resor	lutions is warra	nted becaus	se the propos	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR t recommended limits.	hese resolution	ns is warranted	because the	e proposed a	nmounts and	durations are	within				
18		Authorise Issue of Equity without Pre-emptive Rights	ut	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote I recommended limits.	FOR these resor	lutions is warra	nted becaus	se the propos	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR trecommended limits.	these resolution	ns is warranted	because the	e proposed a	mounts and	durations are	within				
19		Authorise Issue of Equity without Pre-emptive Rights in Connection an Acquisition or Other Capital Investment		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote I recommended limits.	FOR these resor	lutions is warra	anted becaus	se the propos	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR to recommended limits.	these resolution	ns is warranted	because the	e proposed a	mounts and	durations are	within				
20		Authorise Market Purchase of O Shares	rdinary	Mgmt	Yes	For	For		For	For	No	No	No
21		Authorise the Company to Call of Meeting with Two Weeks' Notice		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutional (IA Name, IA	Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	ı	Approved	i	Ballot Voting	Status	Votable Sh	ares	Shares \	/oted
NT RSG01, NT	RSG01	RSG01	Confirmed	jbisset		jbisset				47,844	ł	47,8	44
				04/26/2022		04/26/202	2						

#### **Meeting Results**

Outstanding Shares Voted: 2,555,681,470 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	1,784,771,403	100.0%	845,727	0.0%	5,690,097	N/A	Poll	F+A
2	Υ	Pass	No	For	7.5%	1,653,105,110	92.5%	133,616,656	7.5%	4,585,321	N/A	Poll	F+A
3	Υ	Pass	No	For	7.8%	1,650,208,767	92.2%	138,827,869	7.8%	2,267,306	N/A	Poll	F+A

Total Shares:

47,844

47,844

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
4	Υ	Pass	No	For	0.8%	1,774,485,487	99.2%	14,499,168	0.8%	2,321,354	N/A	Poll	F+A
5	Υ	Pass	No	For	3.6%	1,724,961,069	96.4%	64,568,113	3.6%	1,778,490	N/A	Poll	F+A
6	Υ	Pass	No	For	5.0%	1,698,883,917	95.0%	90,190,281	5.0%	2,231,810	N/A	Poll	F+A
7	Υ	Pass	No	For	0.8%	1,774,007,079	99.2%	15,061,832	0.8%	2,237,098	N/A	Poll	F+A
8	Υ	Pass	No	For	1.1%	1,769,253,409	98.9%	19,723,543	1.1%	2,329,056	N/A	Poll	F+A
9	Υ	Pass	No	For	1.0%	1,770,316,797	99.0%	18,660,776	1.0%	2,328,435	N/A	Poll	F+A
10	Υ	Pass	No	For	3.7%	1,723,474,854	96.3%	65,559,756	3.7%	2,252,435	N/A	Poll	F+A
11	Υ	Pass	No	For	1.1%	1,769,656,458	98.9%	19,339,706	1.1%	2,309,845	N/A	Poll	F+A
12	Υ	Pass	No	For	1.2%	1,767,022,039	98.8%	21,955,381	1.2%	2,328,588	N/A	Poll	F+A
13	Υ	Pass	No	For	0.7%	1,777,112,750	99.3%	11,895,279	0.7%	2,297,929	N/A	Poll	F+A
14	Υ	Pass	No	For	1.0%	1,771,210,928	99.0%	16,997,442	1.0%	3,093,363	N/A	Poll	F+A
15	Υ	Pass	No	For	0.6%	1,778,622,006	99.4%	10,297,215	0.6%	2,384,951	N/A	Poll	F+A
16	Υ	Pass	No	For	2.0%	1,742,197,236	98.0%	35,890,771	2.0%	13,188,421	N/A	Poll	F+A
17	Υ	Pass	No	For	5.6%	1,689,544,033	94.4%	99,633,679	5.6%	2,130,961	N/A	Poll	F+A
18	Υ	Pass	No	For	1.7%	1,756,444,950	98.3%	30,741,777	1.7%	4,114,524	N/A	Poll	F+A
19	Υ	Pass	No	For	3.0%	1,734,740,008	97.0%	52,806,643	3.0%	3,762,073	N/A	Poll	F+A
20	Υ	Pass	No	For	1.1%	1,768,051,750	98.9%	19,745,781	1.1%	3,507,271	N/A	Poll	F+A
21	Υ	Pass	No	For	8.0%	1,617,343,217	92.0%	141,172,318	8.0%	31,733,892	N/A	Poll	F+A

## **BAE Systems Plc**

**Meeting Date:** 05/05/2022 **Record Date:** 05/03/2022

Primary Security ID: G06940103

**Earliest Cutoff Date:** 05/02/2022 **Votable Shares:** 118,610 **Country:** United Kingdom **Meeting Type:** Annual

Primary CUSIP: G06940103

Total Ballots: 1

\*Shares on Loan: 0

Ticker: BA

Voting Policy: ISS

Meeting ID: 1566370

Shares Instructed: 118,610

**Primary ISIN:** GB0002634946

Fillial y 151N. GD0002034940

Proxy Level: N/A

Primary SEDOL: 0263494

Additional Policy: Shares Voted: 118,610

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
4		Re-elect Nicholas Anderson as Director	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.

Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.

## **BAE Systems Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5		Re-elect Thomas Arseneault as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warran	nted as no sig	gnificant cor	ncerns have b	peen identified				•	
		Blended Rationale: A vote FOR these Directors	s is warranted a	as no signific	ant concern	s have been	identified.					
6		Re-elect Sir Roger Carr as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warran	nted as no sig	gnificant col	ncerns have L	been identified					
		Blended Rationale: A vote FOR these Directors	s is warranted a	as no signific	ant concern	s have been	identified.					
7		Re-elect Dame Elizabeth Corley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warran	nted as no sig	gnificant coi	ncerns have b	been identified	•				
		Blended Rationale: A vote FOR these Directors	s is warranted a	as no signific	ant concern	s have been	identified.					
8		Re-elect Bradley Greve as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warran	nted as no sig	gnificant col	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	s is warranted a	as no signific	ant concern	s have been	identified.				•	
9		Re-elect Jane Griffiths as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warran	nted as no sig	gnificant coi	ncerns have b	been identified					
		Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.										
10		Re-elect Christopher Grigg as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warran	nted as no sig	gnificant cor	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	s is warranted a	as no signific	ant concern	s have been	identified.					
11		Re-elect Stephen Pearce as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warran	nted as no sig	gnificant cor	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	s is warranted a	as no signific	ant concern	s have been	identified.					
12		Re-elect Nicole Piasecki as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warran	nted as no sig	gnificant col	ncerns have L	peen identified					
		Blended Rationale: A vote FOR these Directors	s is warranted a	as no signific	ant concern	s have been	identified.					
13		Re-elect Charles Woodburn as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warran	nted as no sig	gnificant col	ncerns have L	peen identified					
		Blended Rationale: A vote FOR these Directors	s is warranted a	as no signific	ant concern	s have been	identified.					
14		Elect Crystal E Ashby as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warran	nted as no sig	gnificant coi	ncerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	s is warranted a	as no signific	ant concern	s have been	identified.					
15		Elect Ewan Kirk as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warran	nted as no sig	gnificant coi	ncerns have L	peen identified					
		Blended Rationale: A vote FOR these Directors	s is warranted a	as no signific	ant concern	s have been	identified.					
16		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **BAE Systems Plc**

-	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
17		Authorise the Audit Committee Remuneration of Auditors	e to Fix	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	FOR this item is	s warranted be	cause there	are no conc	erns regardin	ng this proposa	1/.				
		Blended Rationale: A vote FOR	this item is wai	ranted because	e there are r	no concerns	regarding thi	is proposal.					
18		Authorise UK Political Donation Expenditure	ns and	Mgmt	Yes	For	For		For	For	No	No	No
19		Approve Share Incentive Plan		Mgmt	Yes	For	For		For	For	No	No	No
20		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.											
21		Authorise Issue of Equity with Pre-emptive Rights	Authorise Issue of Equity without Mgmt Yes For For For For No No										
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
22		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorise the Company to Cal Meeting with Two Weeks' Noti		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detai	ils												
Institutional A	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
NT RSG01, NT	RSG01	RSG01	Confirmed	jbisset		jbisset				118,6	10	118,	610
		04/27/2022 04/27/2022											

### **Meeting Results**

Outstanding Shares Voted: 3,156,045,043 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	2,389,014,557	100.0%	131,733	0.0%	9,437,666	N/A	Poll	F+A
2	Υ	Pass	No	For	4.0%	2,288,879,813	96.0%	95,175,707	4.0%	14,521,219	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	2,398,308,499	100.0%	54,608	0.0%	266,462	N/A	Poll	F+A
4	Y	Pass	No	For	1.2%	2,368,949,581	98.8%	29,103,946	1.2%	532,636	N/A	Poll	F+A
5	Y	Pass	No	For	0.1%	2,396,659,413	99.9%	1,285,246	0.1%	629,318	N/A	Poll	F+A
6	Y	Pass	No	For	2.3%	2,343,086,512	97.7%	54,932,032	2.3%	579,190	N/A	Poll	F+A
7	Υ	Pass	No	For	5.5%	2,266,011,377	94.5%	131,965,558	5.5%	611,285	N/A	Poll	F+A
8	Y	Pass	No	For	0.2%	2,394,139,732	99.8%	3,785,603	0.2%	658,858	N/A	Poll	F+A

118,610

118,610

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Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
9	Y	Pass	No	For	5.5%	2,258,490,262	94.5%	131,918,502	5.5%	8,184,768	N/A	Poll	F+A
10	Υ	Pass	No	For	1.0%	2,373,982,675	99.0%	23,978,173	1.0%	630,218	N/A	Poll	F+A
11	Υ	Pass	No	For	2.0%	2,350,652,320	98.0%	47,287,585	2.0%	649,004	N/A	Poll	F+A
12	Y	Pass	No	For	6.1%	2,252,301,398	93.9%	145,631,566	6.1%	654,986	N/A	Poll	F+A
13	Υ	Pass	No	For	0.0%	2,396,860,164	100.0%	1,160,589	0.0%	582,190	N/A	Poll	F+A
14	Y	Pass	No	For	0.1%	2,396,617,105	99.9%	1,241,623	0.1%	725,179	N/A	Poll	F+A
15	Υ	Pass	No	For	0.0%	2,396,726,312	100.0%	1,137,854	0.0%	713,555	N/A	Poll	F+A
16	Υ	Pass	No	For	0.0%	2,397,592,052	100.0%	548,249	0.0%	463,232	N/A	Poll	F+A
17	Υ	Pass	No	For	0.0%	2,397,547,254	100.0%	606,096	0.0%	435,769	N/A	Poll	F+A
18	Υ	Pass	No	For	0.8%	2,366,930,327	99.2%	19,099,072	0.8%	12,557,056	N/A	Poll	F+A
19	Υ	Pass	No	For	0.3%	2,390,935,921	99.7%	7,307,939	0.3%	381,324	N/A	Poll	F+A
20	Υ	Pass	No	For	6.7%	2,236,461,834	93.3%	161,770,763	6.7%	365,889	N/A	Poll	F+A
21	Υ	Pass	No	For	10.3%	2,151,037,852	89.7%	246,946,216	10.3%	601,207	N/A	Poll	F+A
22	Y	Pass	No	For	0.5%	2,384,586,216	99.5%	11,516,409	0.5%	2,485,233	N/A	Poll	F+A
23	Υ	Pass	No	For	6.3%	2,247,120,282	93.7%	151,121,440	6.3%	318,810	N/A	Poll	F+A

### **Melrose Industries Plc**

Meeting Date: 05/05/2022 **Record Date:** 05/03/2022

Votable Shares: 2,314,347

Primary Security ID: G5973J202

Earliest Cutoff Date: 05/02/2022

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G5973J202

Total Ballots: 1

\*Shares on Loan: 0

Ticker: MRO

**Meeting ID:** 1615140 Primary ISIN: GB00BNR5MZ78

Voting Policy: ISS Shares Instructed: 2,314,347 Proxy Level: N/A

Primary SEDOL: BNR5MZ7

**Additional Policy: Shares Voted:** 2,314,347

	, .					,,	,-			,- ,-		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
4		Re-elect Christopher Miller as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these co	andidates is warr	ranted as no	significant	concerns have	e been identifie	ed.			-	
		Blended Rationale: A vote FOR these candid	lates is warrante	d as no signi	ficant conc	erns have bee	en identified.					
5		Re-elect Simon Peckham as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these co	andidates is warr	ranted as no	significant	concerns have	e been identifie	ed.			-	
		Blended Rationale: A vote FOR these candid	lates is warrante	d as no signi	ficant conc	erns have bee	en identified.					
6		Re-elect Geoffrey Martin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these co	andidates is warr	ranted as no	significant	concerns have	e been identifie	ed.			-	
		Blended Rationale: A vote FOR these candid	lates is warrante	d as no signi	ficant conc	erns have bee	en identified.				-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Melrose Industries Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Re-elect Peter Dilnot as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	anted as no s	significant co	oncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warranted	l as no signif	icant concer	ns have beer	n identified.					
8		Re-elect Justin Dowley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	anted as no s	significant co	oncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	s is warrantea	l as no signif	icant concer	ns have beer	n identified.					
9		Re-elect David Lis as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	anted as no s	significant co	oncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warranted	l as no signif	îcant concer	ns have beer	n identified.					
10		Re-elect Charlotte Twyning as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	anted as no s	significant co	oncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warranted	l as no signif	icant concer	ns have beer	n identified.					
11		Re-elect Funmi Adegoke as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	anted as no s	significant co	oncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warrantea	l as no signif	icant concer	ns have beer	n identified.					
12		Elect Heather Lawrence as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cana	lidates is warra	anted as no s	significant co	oncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warrantea	l as no signif	îcant concer	ns have beer	n identified.					
13		Elect Victoria Jarman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cana	lidates is warra	anted as no s	significant co	oncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warranted	l as no signif	îcant concer	ns have beer	n identified.					
14		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonrecommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
17		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonance of the series of the	lutions is warra	anted becaus	se the propo	sed amounts	and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	l because the	e proposed a	amounts and	durations are	within				
18		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reson recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and duration:	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	l because the	e proposed a	amounts and	durations are	within				

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## **Melrose Industries Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
19		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		2,314,347	2,314,347
			04/27/2022	04/27/2022	_		_
					Total Shares:	2,314,347	2,314,347

### **Meeting Results**

Outstanding Shares Voted: 4,372,429,473

Results Available: Partial

		.,5,2,	,										
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.1%	3,578,289,842	99.9%	2,508,605	0.1%	89,752,485	N/A	Poll	F+A
2	Υ	Pass	No	For	2.7%	3,549,339,509	97.3%	96,831,990	2.7%	24,379,433	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	3,668,726,493	100.0%	110,239	0.0%	1,714,200	N/A	Poll	F+A
4	Υ	Pass	No	For	0.2%	3,659,529,350	99.8%	9,138,409	0.2%	1,883,173	N/A	Poll	F+A
5	Υ	Pass	No	For	0.2%	3,659,940,343	99.8%	8,719,855	0.2%	1,890,734	N/A	Poll	F+A
6	Υ	Pass	No	For	0.8%	3,637,713,069	99.2%	30,946,066	0.8%	1,891,797	N/A	Poll	F+A
7	Υ	Pass	No	For	0.2%	3,659,700,657	99.8%	8,957,541	0.2%	1,892,734	N/A	Poll	F+A
8	Υ	Pass	No	For	6.6%	3,427,864,655	93.4%	240,789,260	6.6%	1,897,017	N/A	Poll	F+A
9	Υ	Pass	No	For	1.2%	3,624,470,089	98.8%	44,185,130	1.2%	1,895,713	N/A	Poll	F+A
10	Υ	Pass	No	For	1.0%	3,630,175,149	99.0%	38,466,525	1.0%	1,909,258	N/A	Poll	F+A
11	Υ	Pass	No	For	0.9%	3,634,518,731	99.1%	34,095,835	0.9%	1,936,366	N/A	Poll	F+A
12	Υ	Pass	No	For	0.2%	3,663,054,766	99.8%	5,576,479	0.2%	1,919,687	N/A	Poll	F+A
13	Υ	Pass	No	For	0.2%	3,663,098,826	99.8%	5,545,608	0.2%	1,906,498	N/A	Poll	F+A
14	Υ	Pass	No	For	3.6%	3,536,337,246	96.4%	132,248,019	3.6%	1,965,667	N/A	Poll	F+A
15	Υ	Pass	No	For	1.0%	3,633,734,492	99.0%	34,916,431	1.0%	1,899,832	N/A	Poll	F+A
16	Υ	Pass	No	For	1.6%	3,611,242,553	98.4%	57,520,604	1.6%	1,787,775	N/A	Poll	F+A
17	Υ	Pass	No	For	0.5%	3,650,766,843	99.5%	17,949,462	0.5%	1,834,627	N/A	Poll	F+A
18	Υ	Pass	No	For	3.8%	3,529,202,957	96.2%	139,430,478	3.8%	1,917,497	N/A	Poll	F+A
19	Υ	Pass	No	For	2.1%	3,590,006,908	97.9%	78,423,097	2.1%	2,120,927	N/A	Poll	F+A
20	Υ	Pass	No	For	2.6%	3,572,388,912	97.4%	96,375,145	2.6%	1,786,875	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Man Group Plc (Jersey)**

Meeting Date: 05/06/2022 **Record Date:** 05/04/2022

Country: Jersey

Ticker: EMG

Proxy Level: N/A

Primary Security ID: G57991104

Meeting Type: Annual Primary CUSIP: G57991104 **Meeting ID:** 1613999 Primary ISIN: JE00BJ1DLW90

Primary SEDOL: BJ1DLW9

Earliest Cutoff Date: 05/03/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 1,600,000 \*Shares on Loan: 0

Shares Instructed: 1,600,000

Shares Voted: 1,600,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
5		Re-elect Dame Katharine Barker as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant cor	ncerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	cant concern	s have been	identified.					
6		Re-elect Lucinda Bell as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant cor	ncerns have b	neen identified				•	
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	cant concern	s have been	identified.					
7		Re-elect Richard Berliand as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant cor	ncerns have b	neen identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	cant concern	s have been	identified.					
8		Re-elect John Cryan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant cor	ncerns have b	neen identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
9		Re-elect Luke Ellis as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant cor	ncerns have b	een identified.	•				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
10		Elect Antoine Forterre as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant cor	ncerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	cant concern	s have been	identified.					
11		Elect Jacqueline Hunt as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant cor	ncerns have b	een identified.	•				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
12		Re-elect Cecelia Kurzman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant cor	ncerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	cant concern	s have been	identified.					
13		Re-elect Anne Wade as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant cor	ncerns have b	neen identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Man Group Plc (Jersey)**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
14		Reappoint Deloitte LLP as Audi	tors	Mgmt	Yes	For	For		For	For	No	No	No	
15		Authorise the Audit and Risk Committee to Fix Remuneration Auditors	n of	Mgmt	Yes	For	For		For	For	No	No	No	
16		Authorise Political Donations ar Expenditure	nd	Mgmt	Yes	For	For		For	For	No	No	No	
17		Approve Sharesave Scheme		Mgmt	Yes	For	For		For	For	No	No	No	
18		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote recommended limits.	FOR these resor	lutions is warra	anted becaus	se the propos	sed amounts	and durations	are within					
		Blended Rationale: A vote FOR recommended limits.	these resolution	s is warranted	because the	e proposed a	mounts and	durations are	within					
19		Authorise Issue of Equity without Pre-emptive Rights	ut	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote recommended limits.	ing Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within											
		Blended Rationale: A vote FOR recommended limits.	these resolution	s is warranted	because the	e proposed a	mounts and	durations are	within					
20		Authorise Issue of Equity withor Pre-emptive Rights in Connecti an Acquisition or Other Capital Investment		Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote recommended limits.	FOR these resor	lutions is warra	anted becaus	se the propos	sed amounts	and durations	are within					
		Blended Rationale: A vote FOR recommended limits.	these resolution	s is warranted	because the	e proposed a	nmounts and	durations are	within					
21		Authorise Market Purchase of C Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No	
22		Authorise the Company to Call Meeting with Two Weeks' Notice		Mgmt	Yes	For	For		For	For	No	No	No	
Ballot Deta	ails													
Institutiona (IA Name, I	l Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	I	Approved	i	Ballot Voting	Status	Votable Si	nares	Shares \	Voted	
NT RSG01, NT	T RSG01	RSG01	Confirmed	jbisset		jbisset				1,600,0	00	1,600	,000	
			04/29/2022 04/29/2022											

#### **Meeting Results**

Outstanding Shares Voted: 1,341,729,073 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	981,970,457	100.0%	21,620	0.0%	47,205,947	N/A	Poll	F+A
2	Υ	Pass	No	For	8.6%	939,700,962	91.4%	88,798,755	8.6%	698,307	N/A	Poll	F+A
3	Υ	Pass	No	For	7.1%	956,291,633	92.9%	72,716,731	7.1%	189,660	N/A	Poll	F+A
4	Y	Pass	No	For	0.0%	1,029,112,546	100.0%	35,586	0.0%	49,892	N/A	Poll	F+A

1,600,000

1,600,000

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
5	Y	Pass	No	For	0.2%	1,027,134,539	99.8%	1,913,880	0.2%	149,605	N/A	Poll	F+A
6	Υ	Pass	No	For	1.4%	1,014,301,716	98.6%	14,737,985	1.4%	158,323	N/A	Poll	F+A
7	Υ	Pass	No	For	1.3%	1,015,503,563	98.7%	13,547,404	1.3%	147,057	N/A	Poll	F+A
8	Υ	Pass	No	For	2.5%	959,591,539	97.5%	24,367,039	2.5%	45,239,446	N/A	Poll	F+A
9	Υ	Pass	No	For	0.1%	1,027,777,417	99.9%	1,273,005	0.1%	147,602	N/A	Poll	F+A
10	Y	Pass	No	For	0.5%	1,024,112,794	99.5%	4,950,812	0.5%	134,418	N/A	Poll	F+A
11	Y	Pass	No	For	0.1%	1,027,793,275	99.9%	1,241,977	0.1%	162,772	N/A	Poll	F+A
12	Υ	Pass	No	For	0.1%	1,027,562,802	99.9%	1,472,360	0.1%	162,862	N/A	Poll	F+A
13	Y	Pass	No	For	1.4%	1,014,733,788	98.6%	14,301,649	1.4%	162,587	N/A	Poll	F+A
14	Y	Pass	No	For	0.1%	982,725,247	99.9%	1,276,145	0.1%	45,196,632	N/A	Poll	F+A
15	Y	Pass	No	For	0.1%	1,028,255,668	99.9%	806,725	0.1%	135,631	N/A	Poll	F+A
16	Y	Pass	No	For	0.4%	1,025,285,807	99.6%	3,692,096	0.4%	220,121	N/A	Poll	F+A
17	Υ	Pass	No	For	0.4%	1,024,882,675	99.6%	4,144,480	0.4%	170,869	N/A	Poll	F+A
18	Y	Pass	No	For	10.4%	921,570,242	89.6%	107,488,384	10.4%	139,398	N/A	Poll	F+A
19	Y	Pass	No	For	9.6%	930,494,722	90.4%	98,623,069	9.6%	80,233	N/A	Poll	F+A
20	Y	Pass	No	For	17.4%	849,978,008	82.6%	179,074,966	17.4%	140,050	N/A	Poll	F+A
21	Υ	Pass	No	For	4.5%	979,990,029	95.5%	45,860,675	4.5%	3,347,320	N/A	Poll	F+A
22	Υ	Pass	No	For	2.6%	1,002,603,645	97.4%	26,494,747	2.6%	94,632	N/A	Poll	F+A

## **Conduit Holdings Ltd.**

Meeting Date: 05/11/2022 **Record Date:** 05/09/2022

Primary Security ID: G24385109

Country: Bermuda Meeting Type: Annual

Primary CUSIP: G24385109

Ticker: CRE

Meeting ID: 1599694

Primary ISIN: BMG243851091

Primary SEDOL: BN133N2

Proxy Level: N/A

Earliest Cutoff Date: 05/05/2022 Total Ballots: 1 Voting Policy: ISS **Additional Policy:** Votable Shares: 213,923 \*Shares on Loan: 0 Shares Instructed: 213,923 Shares Voted: 213,923

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Reduction of the Share Premium Account	Mgmt	Yes	For	For		For	For	No	No	No

# **Conduit Holdings Ltd.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5		Re-elect Neil Eckert as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sal significant concerns have been identified.							1,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard Sal significant concerns have been identified.		-			-					
6		Re-elect Trevor Carvey as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sat significant concerns have been identified.	-			, .	-		1,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard San significant concerns have been identified.		-	, .		-	-				
7		Re-elect Elaine Whelan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sal significant concerns have been identified.	,	,		,,	•		1,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard Sat significant concerns have been identified.		-	, .		-	-				
8		Re-elect Sir Brian Williamson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sat significant concerns have been identified.							η,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard Sa significant concerns have been identified.		-	, .		-	-				
9		Re-elect Malcolm Furbert as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sat significant concerns have been identified.	-			, .	-		1,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard Sat significant concerns have been identified.		,	,,		•	,				
10		Re-elect Elizabeth Murphy as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sat significant concerns have been identified.	-			, .	-		1,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard Sal significant concerns have been identified.		-	, .		-	-				
11		Re-elect Richard Sandor as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sal significant concerns have been identified.	-			, .	-		1,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard San significant concerns have been identified.										

# **Conduit Holdings Ltd.**

-	ignificant ote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12		Re-elect Ken Randall as Directo	or	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote Malcolm Furbert, Elizabeth Mury significant concerns have been	phy, Richard Sai	•		•	,,	,		n,			
		Blended Rationale: A vote FOR Malcolm Furbert, Elizabeth Murn significant concerns have been	phy, Richard Sai		•	,,		•	,				
13		Elect Michelle Smith as Directo	r	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote Malcolm Furbert, Elizabeth Mury significant concerns have been	phy, Richard Sai	-			* *	-		n,			
		Blended Rationale: A vote FOR Malcolm Furbert, Elizabeth Mury significant concerns have been	phy, Richard Sai										
14		Ratify KPMG Audit Limited as A	uditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise Board to Fix Remune of Auditors	eration	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these resol	lutions is warra	anted becau	se the propo	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because th	e proposed	amounts and	l durations are	within				
17		Authorise Issue of Equity without Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these resol	lutions is warra	anted becau	se the propo	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because th	e proposed	amounts and	l durations are	within				
18		Authorise Market Purchase of C Shares	Common	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details	;												
Institutional Acc (IA Name, IA Nu		Custodian Account Number	Ballot Status	Instructed	i	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
NT RSG01, NT RSG	6G01	RSG01	Confirmed	jbisset		jbisset				213,9	23	213,	923

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		213,923	213,923
			04/28/2022	04/28/2022			
					Total Shares:	213,923	213,923

### **Meeting Results**

Outstanding Shares Voted: 164,717,174 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
2	Υ	Pass	No	For	2.4%	131,008,002	97.6%	3,222,914	2.4%	0	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	132,758,002	100.0%	22,914	0.0%	1,450,000	N/A	Poll	F+A
4	Y	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
5	Y	Pass	No	For	1.3%	132,440,890	98.7%	1,790,026	1.3%	0	N/A	Poll	F+A
6	Υ	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
7	Υ	Pass	No	For	0.1%	134,069,427	99.9%	161,489	0.1%	0	N/A	Poll	F+A
8	Υ	Pass	No	For	5.8%	126,501,256	94.2%	7,729,660	5.8%	0	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	134,206,721	100.0%	24,195	0.0%	0	N/A	Poll	F+A
10	Y	Pass	No	For	0.0%	134,206,721	100.0%	24,195	0.0%	0	N/A	Poll	F+A
11	Y	Pass	No	For	0.0%	134,206,721	100.0%	24,195	0.0%	0	N/A	Poll	F+A
12	Y	Pass	No	For	0.0%	134,206,721	100.0%	24,195	0.0%	0	N/A	Poll	F+A
13	Y	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
14	Y	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
15	Y	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
16	Y	Pass	No	For	0.4%	133,707,045	99.6%	523,871	0.4%	0	N/A	Poll	F+A
17	Υ	Pass	No	For	0.2%	133,980,916	99.8%	250,000	0.2%	0	N/A	Poll	F+A
18	Υ	Pass	No	For	1.1%	132,589,559	98.9%	1,450,000	1.1%	191,357	N/A	Poll	F+A

## **Kinross Gold Corporation**

Meeting Date: 05/11/2022 **Record Date:** 03/16/2022

Country: Canada

Meeting Type: Annual

Primary Security ID: 496902404

**Primary CUSIP:** 496902404

Ticker: K

Meeting ID: 1608213

**Primary ISIN:** CA4969024047

Proxy Level: 3

Primary SEDOL: B03Z841

Earliest Cutoff Date: 05/06/2022 Total Ballots: 1 Voting Policy: ISS **Additional Policy:** Shares Instructed: 1,906,420 **Shares Voted:** 1,906,420 Votable Shares: 1,906,420 \*Shares on Loan: 0

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Ian Atkinson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	d nominees as	no significan	t concerns h	ave been ide	entified at this	time.			•	
		Blended Rationale: Vote FOR all proposed nor	ninees as no si	gnificant con	cerns have	been identifie	ed at this time.					
1.2		Elect Director Kerry D. Dyte	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	d nominees as	no significan	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nor	ninees as no si	gnificant con	cerns have	been identifie	ed at this time.					
1.3		Elect Director Glenn A. Ives	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	d nominees as	no significan	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nor	ninees as no si	gnificant con	cerns have	been identifie	ed at this time.					
1.4		Elect Director Ave G. Lethbridge	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	d nominees as	no significan	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nor	ninees as no si	gnificant con	cerns have	been identifie	ed at this time.				•	

# **Kinross Gold Corporation**

Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
	Elect Director Elizabeth D. McG	regor	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Vote Fo	OR all proposed	nominees as r	o significan	t concerns h	ave been ide	ntified at this t	time.				
	Blended Rationale: Vote FOR al	proposed nom	inees as no sig	nificant con	cerns have l	been identifie	ed at this time.					
	Elect Director Catherine McLeod-Seltzer		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Vote Fo	OR all proposed	nominees as r	o significan	t concerns h	ave been ide	ntified at this t	time.				
	Blended Rationale: Vote FOR al	proposed nom	inees as no sig	nificant con	cerns have l	been identifie	ed at this time.					
	Elect Director Kelly J. Osborne		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Vote Fo	OR all proposed	nominees as r	o significan	t concerns h	ave been ide	ntified at this t	time.				
	Blended Rationale: Vote FOR al	proposed nom	inees as no sig	nificant con	cerns have l	been identifie	ed at this time.					
	Elect Director J. Paul Rollinson		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Vote Fo	OR all proposed	nominees as r	o significan	t concerns h	ave been ide	ntified at this t	time.				
	Blended Rationale: Vote FOR al	proposed nom	inees as no sig	nificant con	cerns have i	been identifie	ed at this time.					
	Elect Director David A. Scott		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Vote Fo	OR all proposed	nominees as r	o significan	t concerns h	ave been ide	ntified at this t	time.				
	Blended Rationale: Vote FOR al	proposed nom	inees as no sig	nificant con	cerns have l	been identifie	ed at this time.					
	Approve KPMG LLP as Auditors Authorize Board to Fix Their Remuneration	and	Mgmt	Yes	For	For		For	For	No	No	No
		on	Mgmt	Yes	For	For		For	For	No	No	No
ails												
l Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	l	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
Γ RSG01	1768944	Confirmed	dnicoll		dnicoll				1,906,4	120	1,906	,420
			05/03/2022		05/03/20	22						
	vote  sils Account Detail	Elect Director Elizabeth D. McG  Voting Policy Rationale: Vote FOR all  Elect Director Catherine McLeod-Seltzer  Voting Policy Rationale: Vote FOR all  Elect Director Kelly J. Osborne  Voting Policy Rationale: Vote FOR all  Elect Director Kelly J. Osborne  Voting Policy Rationale: Vote FOR all  Elect Director J. Paul Rollinson  Voting Policy Rationale: Vote FOR all  Elect Director J. Paul Rollinson  Voting Policy Rationale: Vote FOR all  Elect Director David A. Scott  Voting Policy Rationale: Vote FOR all  Approve KPMG LLP as Auditors  Authorize Board to Fix Their  Remuneration  Advisory Vote to Ratify Named  Executive Officer's Compensationals  A Number)  Custodian  Account Number	Elect Director Elizabeth D. McGregor  Voting Policy Rationale: Vote FOR all proposed Blended Rationale: Vote FOR all proposed nome Elect Director Catherine McLeod-Seltzer  Voting Policy Rationale: Vote FOR all proposed Blended Rationale: Vote FOR all proposed nome Elect Director Kelly J. Osborne  Voting Policy Rationale: Vote FOR all proposed Blended Rationale: Vote FOR all proposed Blended Rationale: Vote FOR all proposed nome Elect Director J. Paul Rollinson  Voting Policy Rationale: Vote FOR all proposed Blended Rationale: Vote FOR all proposed Blended Rationale: Vote FOR all proposed nome Elect Director David A. Scott  Voting Policy Rationale: Vote FOR all proposed Blended Rationale: Vote FOR all proposed nome Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration Advisory Vote to Ratify Named Executive Officer's Compensation  Allis  Custodian Account Detail A Number)  Ballot Status	Elect Director Elizabeth D. McGregor Mgmt  Voting Policy Rationale: Vote FOR all proposed nominees as no signature. Select Director Catherine Mgmt  McLeod-Seltzer  Voting Policy Rationale: Vote FOR all proposed nominees as no signature. Select Director Catherine Mgmt  McLeod-Seltzer  Voting Policy Rationale: Vote FOR all proposed nominees as no signature. Select Director Kelly J. Osborne Mgmt  Voting Policy Rationale: Vote FOR all proposed nominees as no signature. Select Director J. Paul Rollinson Mgmt  Voting Policy Rationale: Vote FOR all proposed nominees as no signature. Select Director J. Paul Rollinson Mgmt  Voting Policy Rationale: Vote FOR all proposed nominees as no signature. Select Director David A. Scott Mgmt  Voting Policy Rationale: Vote FOR all proposed nominees as no signature. Select Director David A. Scott Mgmt  Voting Policy Rationale: Vote FOR all proposed nominees as no signature. Select Director David A. Scott Mgmt  Voting Policy Rationale: Vote FOR all proposed nominees as no signature. Select Director David A. Scott Mgmt  Voting Policy Rationale: Vote FOR all proposed nominees as no signature. Select Director David A. Scott Mgmt  Voting Policy Rationale: Vote FOR all proposed nominees as no signature. Select Director David A. Scott Mgmt  Voting Policy Rationale: Vote FOR all proposed nominees as no signature. Select Director David A. Scott Mgmt  Voting Policy Rationale: Vote FOR all proposed nominees as no signature. Select Director David A. Scott Mgmt  Voting Policy Rationale: Vote FOR all proposed nominees as no signature. Select Director David A. Scott Mgmt  Voting Policy Rationale: Vote FOR all proposed nominees as no signature. Select Director David A. Scott Mgmt  Voting Policy Rationale: Vote FOR all proposed nominees as no signature. Select Director David A. Scott Mgmt  Voting Policy Rationale: Vote FOR all proposed nominees as no signature. Select Director David A. Scott Mgmt  Voting Policy Rationale: Vote FOR all proposed nominees as no signature. Select Director David Nominees	Elect Director Elizabeth D. McGregor Mgmt Yes  Voting Policy Rationale: Vote FOR all proposed nominees as no significant con  Elect Director Catherine Mgmt Yes  Elect Director Catherine Mgmt Yes  Voting Policy Rationale: Vote FOR all proposed nominees as no significant con  Blended Rationale: Vote FOR all proposed nominees as no significant con  Elect Director Kelly J. Osborne Mgmt Yes  Voting Policy Rationale: Vote FOR all proposed nominees as no significant con  Elect Director Kelly J. Osborne Mgmt Yes  Voting Policy Rationale: Vote FOR all proposed nominees as no significant con  Elect Director J. Paul Rollinson Mgmt Yes  Voting Policy Rationale: Vote FOR all proposed nominees as no significant con  Elect Director David A. Scott Mgmt Yes  Voting Policy Rationale: Vote FOR all proposed nominees as no significant con  Elect Director David A. Scott Mgmt Yes  Voting Policy Rationale: Vote FOR all proposed nominees as no significant con  Elect Director David A. Scott Mgmt Yes  Approve KPMG LLP as Auditors and Mgmt Yes  Approve KPMG LLP as Auditors and Mgmt Yes  Remuneration  Advisory Vote to Ratify Named Mgmt Yes  Executive Officer's Compensation  Ala Number)  Ballot Status Instructed	Elect Director Elizabeth D. McGregor Mgmt Yes For  Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have to the Elect Director Catherine Mgmt Yes For  Woting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have to the Elect Director Catherine Mgmt Yes For  Woting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have to the Elect Director Kelly J. Osborne Mgmt Yes For  Woting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have to the Elect Director Kelly J. Osborne Mgmt Yes For  Woting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have to the Elect Director J. Paul Rollinson Mgmt Yes For  Woting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have to the Elect Director J. Paul Rollinson Mgmt Yes For  Woting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have to the Elect Director David A. Scott Mgmt Yes For  Woting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have to the Elect Director David A. Scott Mgmt Yes For  Woting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have to the Elect Director David A. Scott Mgmt Yes For  Woting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have to the Elect Director David A. Scott Mgmt Yes For  Approve KPMG LLP as Auditors and Mgmt Yes For  Authorize Board to Fix Their  Remuneration Advisory Vote to Ratify Named Mgmt Yes For  Executive Officer's Compensation  Advisory Vote to Ratify Named Mgmt Yes For  Executive Officer's Compensation  Alacount Detail Account Number Ballot Status Instructed Approve  Approve KPMG LLP Account Number Ballot Status Instructed Approve  Approve KPMG LLP Account Number Ballot Status Instructed Anicoll deicoll dei	Elect Director Catherine    Proposal Text	Significant Vote   Proposal Text   Proposal   Name   Nam	Proposal Text	significant vote Proposal Text	Significant   Proposal Text   Proposal Text	Proposal Text   Proposal Tex

### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	2.3%	818,552,633	97.7%	19,425,689	2.3%	N/A	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	1.6%	824,194,573	98.4%	13,783,748	1.6%	N/A	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.1%	828,957,429	98.9%	9,020,893	1.1%	N/A	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	1.9%	822,031,204	98.1%	15,947,117	1.9%	N/A	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	1.1%	828,610,027	98.9%	9,368,295	1.1%	N/A	N/A	Proxy	F+A
1.6	Y	Pass	No	For	11.1%	745,258,792	88.9%	92,719,530	11.1%	N/A	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	1.7%	823,834,258	98.3%	14,144,063	1.7%	N/A	N/A	Proxy	F+A

1,906,420

1,906,420

**Total Shares:** 

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.8	Υ	Pass	No	For	1.1%	829,059,653	98.9%	8,918,668	1.1%	N/A	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	1.1%	829,143,995	98.9%	8,834,327	1.1%	N/A	N/A	Proxy	F+A
2	Υ	Pass	No	For	2.9%	898,743,904	97.1%	26,884,653	2.9%	N/A	N/A	Proxy	F+A
3	Υ	Pass	No	For	9.4%	759,370,975	90.6%	78,737,593	9.4%	N/A	N/A	Proxy	F+A

## **Balfour Beatty Plc**

Meeting Date: 05/12/2022

Country: United Kingdom

Ticker: BBY

Proxy Level: N/A

**Record Date:** 05/10/2022

Meeting Type: Annual

**Meeting ID:** 1630189

Primary Security ID: G3224V108

Primary CUSIP: G3224V108

Primary ISIN: GB0000961622

Primary SEDOL: 0096162

Earliest Cutoff Date: 05/09/2022 Votable Shares: 484,089

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS

Shares Instructed: 484,089

**Additional Policy:** Shares Voted: 484,089

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
4		Elect Charles Allen, Lord of Kensington as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warrar	ited as no si	gnificant col	ncerns have l	been identified	!.				
		Blended Rationale: A vote FOR these Directors	s is warranted a	as no signific	cant concern	s have been	identified.					
5		Elect Louise Hardy as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warrar	nted as no si	gnificant cor	ncerns have l	been identified	!				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
6		Re-elect Stephen Billingham as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warrar	ted as no si	gnificant coi	ncerns have l	been identified	!				
		Blended Rationale: A vote FOR these Directors	s is warranted a	as no signific	ant concern	s have been	identified.					
7		Re-elect Stuart Doughty as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warrar	ted as no si	gnificant col	ncerns have l	been identified	!				
		Blended Rationale: A vote FOR these Directors	s is warranted a	as no signific	ant concern	s have been	identified.					
8		Re-elect Philip Harrison as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warrar	ited as no si	gnificant col	ncerns have l	been identified	!				
		Blended Rationale: A vote FOR these Directors	s is warranted a	as no signific	ant concern	s have been	identified.					
9		Re-elect Michael Lucki as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warrar	nted as no si	gnificant cor	ncerns have l	been identified	!.				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
10		Re-elect Barbara Moorhouse as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warrar	ted as no si	gnificant coi	ncerns have l	been identified	!				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.

## **Balfour Beatty Plc**

Proposal Significant Number Vote	: Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11	Re-elect Leo Quinn as Direct	cor	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vo	te FOR these Dire	ectors is warran	nted as no s	ignificant co	oncerns have i	been identified					
	Blended Rationale: A vote FC	OR these Directors	s is warranted a	as no signifi	cant concer	rns have been	identified.					
12	Re-elect Anne Drinkwater as	Director	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vo	te FOR these Dire	ectors is warran	ited as no s	ignificant co	oncerns have i	been identified					
	Blended Rationale: A vote FC	OR these Directors	is warranted a	as no signifi	cant concer	rns have been	identified.					
13	Reappoint KPMG LLP as Aud	itors	Mgmt	Yes	For	For		For	For	No	No	No
14	Authorise Board to Fix Remu of Auditors	ineration	Mgmt	Yes	For	For		For	For	No	No	No
15	Authorise UK Political Donati Expenditure	ons and	Mgmt	Yes	For	For		For	For	No	No	No
16	Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.											
	Blended Rationale: A vote FC recommended limits.	OR these resolution	ns is warranted	l because ti	he proposed	d amounts and	d durations are	within				
17	Authorise Issue of Equity with Pre-emptive Rights	thout	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vol recommended limits.	te FOR these reso	olutions is warr	anted becau	ise the prop	oosed amount	s and durations	s are within				
	Blended Rationale: A vote FC recommended limits.	OR these resolutio	ns is warranted	d because ti	he proposed	d amounts and	d durations are	within				
18	Authorise Market Purchase of Shares	of Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
19	Authorise the Company to C Meeting with Two Weeks' No		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Deta (IA Name, IA Number)	il Custodian Account Number	Ballot Status	Instructe	d	Appro	ved	Ballot Voting	Status	Votable S	hares	Shares	Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jcoster		jcoster				484,0	89	484,	089
			05/03/2022	2	05/03/	2022						
								Total Shares:	484,0	89	484,	089

### **Meeting Results**

Outstanding Shares Voted: 631,036,860 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	491,440,184	100.0%	6,709	0.0%	4,942,482	N/A	Poll	F+A
2	Υ	Pass	No	For	6.4%	464,255,659	93.6%	31,798,975	6.4%	42,544	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	496,073,589	100.0%	1,585	0.0%	22,004	N/A	Poll	F+A
4	Υ	Pass	No	For	11.0%	427,209,135	89.0%	52,773,938	11.0%	16,114,105	N/A	Poll	F+A
5	Υ	Pass	No	For	0.4%	493,917,992	99.6%	2,135,772	0.4%	43,414	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
6	Y	Pass	No	For	1.7%	487,499,559	98.3%	8,574,815	1.7%	22,804	N/A	Poll	F+A
7	Υ	Pass	No	For	2.6%	483,116,738	97.4%	12,952,926	2.6%	27,514	N/A	Poll	F+A
8	Υ	Pass	No	For	0.2%	495,178,324	99.8%	895,036	0.2%	23,818	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	495,976,613	100.0%	97,225	0.0%	23,340	N/A	Poll	F+A
10	Υ	Pass	No	For	1.7%	487,734,083	98.3%	8,630,958	1.7%	24,334	N/A	Poll	F+A
11	Υ	Pass	No	For	0.0%	496,263,191	100.0%	102,366	0.0%	23,818	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	496,254,814	100.0%	108,008	0.0%	26,553	N/A	Poll	F+A
13	Υ	Pass	No	For	5.3%	469,925,333	94.7%	26,380,937	5.3%	83,105	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	496,321,316	100.0%	37,376	0.0%	30,683	N/A	Poll	F+A
15	Υ	Pass	No	For	2.1%	485,791,733	97.9%	10,573,191	2.1%	24,451	N/A	Poll	F+A
16	Υ	Pass	No	For	3.0%	481,424,843	97.0%	14,940,401	3.0%	24,131	N/A	Poll	F+A
17	Υ	Pass	No	For	1.0%	491,582,337	99.0%	4,779,975	1.0%	27,063	N/A	Poll	F+A
18	Υ	Pass	No	For	5.4%	468,543,841	94.6%	26,494,542	5.4%	1,350,992	N/A	Poll	F+A
19	Y	Pass	No	For	4.1%	475,807,727	95.9%	20,264,440	4.1%	1,706,306	N/A	Poll	F+A

### **BP Plc**

**Meeting Date:** 05/12/2022 **Record Date:** 05/10/2022

Record Date: 05/10/2022 Primary Security ID: G12793108 **Country:** United Kingdom **Meeting Type:** Annual

Primary CUSIP: G12793108

Ticker: BP

**Meeting ID:** 1622524

**Primary ISIN:** GB0007980591

Proxy Level: N/A

Primary SEDOL: 0798059

**Earliest Cutoff Date:** 05/09/2022 **Votable Shares:** 7,270,200 Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 7,270,200

Additional Policy:
Shares Voted: 7,270,200

							Additional	Voting		Vote	Vote	Vote
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
		Management Proposals	Mgmt	No								
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Net Zero - From Ambition to Action Report	Mgmt	Yes	For	For		For	For	No	No	No
4		Re-elect Helge Lund as Director	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified.											
		Blended Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified.										
5		Re-elect Bernard Looney as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is cons	idered warra	nted, as no	material con	cerns have bed	en identified.				
		Blended Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified.										
6		Re-elect Murray Auchincloss as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these can	didates is cons	idered warra	nted, as no	material con	cerns have bed	en identified.	•			
		Blended Rationale: A vote FOR these candidat	es is considere	d warranted,	as no mate	erial concerns	s have been id	entified.				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **BP Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Re-elect Paula Reynolds as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no i	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
8		Re-elect Pamela Daley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no l	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified.										
9		Re-elect Melody Meyer as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified.										
		Blended Rationale: A vote FOR these candidates is considered warranted, as no material concerns have been identified.										
10		Re-elect Sir John Sawers as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no i	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
11		Re-elect Tushar Morzaria as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no i	material cond	erns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
12		Re-elect Karen Richardson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no i	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
13		Re-elect Johannes Teyssen as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no i	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
14		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve ShareMatch UK Plan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	considered wa	arranted, as	no material	concerns ha	ve been identii	fied.				
		Blended Rationale: A vote FOR this item is cons	sidered warran	ted, as no n	naterial conc	erns have be	en identified.					
17		Approve Sharesave UK Plan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	considered wa	arranted, as	no material	concerns ha	ve been identii	fied.				
10 11 12 13 14 15 16		Blended Rationale: A vote FOR this item is cons	sidered warran	ted, as no n	naterial conc	erns have be	en identified.					
18		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reson recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	because the	e proposed a	amounts and	durations are	within				

### **BP Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
20		Authorise Issue of Equity with Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becau	se the propo	osed amounts	s and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	? these resolutio	ns is warranted	l because th	e proposed	amounts and	durations are	within				
21		Authorise Issue of Equity with Pre-emptive Rights in Connect an Acquisition or Other Capita Investment	tion with	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.											
		Blended Rationale: A vote FOR recommended limits.	? these resolutio	ns is warranted	l because th	e proposed	amounts and	durations are	within				
22		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorise the Company to Cal Meeting with Two Weeks' Not		Mgmt	Yes	For	For		For	For	No	No	No
		Shareholder Proposal		Mgmt	No								
24		Approve Shareholder Resolution Climate Change Targets	on on	SH	Yes	Against	Against		Against	Against	No	No	No
Ballot Deta	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	ı	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
NT RSG01, NT	RSG01	RSG01	Confirmed	dnicoll		dnicoll				7,270,2	200	7,270	,200
				05/04/2022	!	05/04/20	22						

Total Shares:

7,270,200

7,270,200

#### **Meeting Results**

Outstanding Shares Voted: 19,522,131,901 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.4%	11,888,811,888	99.6%	43,967,714	0.4%	53,028,472	N/A	Poll	F+A
2	Υ	Pass	No	For	5.6%	11,117,563,056	94.4%	665,022,090	5.6%	203,221,922	N/A	Poll	F+A
3	Y	Pass	No	For	11.5%	10,243,411,197	88.5%	1,327,625,024	11.5%	414,773,596	N/A	Poll	F+A
4	Y	Pass	No	For	3.4%	11,522,425,313	96.6%	410,700,046	3.4%	52,672,313	N/A	Poll	F+A
5	Υ	Pass	No	For	1.3%	11,782,025,805	98.7%	156,794,718	1.3%	46,983,844	N/A	Poll	F+A
6	Y	Pass	No	For	1.2%	11,791,571,084	98.8%	145,700,050	1.2%	48,544,835	N/A	Poll	F+A
7	Y	Pass	No	For	4.6%	11,388,720,684	95.4%	547,004,247	4.6%	50,091,676	N/A	Poll	F+A
8	Υ	Pass	No	For	1.3%	11,775,967,983	98.7%	159,440,873	1.3%	50,404,614	N/A	Poll	F+A
9	Y	Pass	No	For	2.1%	11,571,670,836	97.9%	247,989,413	2.1%	166,155,721	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
10	Υ	Pass	No	For	3.0%	11,572,408,331	97.0%	362,567,294	3.0%	50,842,596	N/A	Poll	F+A
11	Υ	Pass	No	For	1.2%	11,795,665,999	98.8%	139,322,205	1.2%	50,827,765	N/A	Poll	F+A
12	Υ	Pass	No	For	9.6%	10,794,224,268	90.4%	1,141,764,905	9.6%	49,826,797	N/A	Poll	F+A
13	Υ	Pass	No	For	1.6%	11,748,126,515	98.4%	186,660,015	1.6%	51,029,439	N/A	Poll	F+A
14	Υ	Pass	No	For	0.3%	11,908,406,408	99.7%	37,233,981	0.3%	40,185,810	N/A	Poll	F+A
15	Υ	Pass	No	For	0.4%	11,890,464,940	99.6%	43,701,452	0.4%	51,657,005	N/A	Poll	F+A
16	Υ	Pass	No	For	0.8%	11,833,757,590	99.2%	91,540,475	0.8%	60,531,313	N/A	Poll	F+A
17	Υ	Pass	No	For	1.1%	11,798,066,613	98.9%	126,207,453	1.1%	61,543,949	N/A	Poll	F+A
18	Υ	Pass	No	For	2.4%	11,638,254,421	97.6%	292,192,818	2.4%	55,370,527	N/A	Poll	F+A
19	Υ	Pass	No	For	4.8%	11,365,262,872	95.2%	567,087,208	4.8%	53,479,307	N/A	Poll	F+A
20	Υ	Pass	No	For	1.3%	11,750,475,006	98.7%	153,527,693	1.3%	81,825,689	N/A	Poll	F+A
21	Υ	Pass	No	For	3.7%	11,463,506,845	96.3%	441,630,811	3.7%	80,690,782	N/A	Poll	F+A
22	Υ	Pass	No	For	1.6%	11,746,635,916	98.4%	188,156,921	1.6%	51,031,941	N/A	Poll	F+A
23	Υ	Pass	No	For	7.8%	11,014,542,918	92.2%	929,145,322	7.8%	42,142,449	N/A	Poll	F+A
	N												
24	Υ	Fail	Yes	Against	14.9%	1,765,833,694	14.9%	10,118,016,838	85.1%	101,979,681	N/A	Poll	F+A

Meeting Date: 05/12/2022 Record Date: 05/10/2022

Primary Security ID: G5533W248

**Country:** United Kingdom **Meeting Type:** Annual

Primary CUSIP: G5533W248

Ticker: LLOY

**Meeting ID:** 1611399

Primary ISIN: GB0008706128

Proxy Level: N/A

Primary SEDOL: 0870612

Earliest Cutoff Date: 05/09/2022 Total Ballots: 1

Votable Shares: 12,007,072 \*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 12,007,072

Additional Policy:
Shares Voted: 12,007,072

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Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Elect Harmeen Mehta as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these d	irectors is consid	lered warran	ted, as no i	material conce	erns have beer	identified.				
		Blended Rationale: A vote FOR these director	ors is considered	warranted, a	as no mate	rial concerns l	have been ider	ntified.				
3		Elect Charlie Nunn as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these d	lirectors is consid	lered warran	ted, as no i	material conce	erns have beer	identified.				
		Blended Rationale: A vote FOR these director	ors is considered	warranted, a	as no mate	rial concerns l	have been ider	ntified.				
4		Re-elect Robin Budenberg as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these d	irectors is consid	ered warran	ted, as no i	material conce	erns have beer	identified.			*	
											an and a second	

Blended Rationale: A vote FOR these directors is considered warranted, as no material concerns have been identified.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5		Re-elect William Chalmers as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these di	irectors is consid	lered warrant	ted, as no m	naterial conce	erns have been	identified.				
		Blended Rationale: A vote FOR these director	ors is considered	warranted, a	as no materi	ial concerns l	have been iden	tified.				
6		Re-elect Alan Dickinson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these di	irectors is consid	lered warrant	ted, as no m	naterial conce	erns have been	identified.				
		Blended Rationale: A vote FOR these director	ors is considered	warranted, a	as no materi	ial concerns l	have been iden	tified.				
7		Re-elect Sarah Legg as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these di	irectors is consid	lered warrant	ted, as no m	naterial conce	erns have been	identified.				
		Blended Rationale: A vote FOR these director	ors is considered	warranted, a	as no materi	ial concerns l	have been iden	tified.				
8		Re-elect Lord Lupton as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these di	irectors is consid	lered warrant	ted, as no m	naterial conce	erns have been	identified.				
		Blended Rationale: A vote FOR these director	ors is considered	warranted, a	as no materi	ial concerns l	have been iden	tified.				
9		Re-elect Amanda Mackenzie as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these di	irectors is consid	lered warrant	ted, as no m	naterial conce	erns have been	identified.				
		Blended Rationale: A vote FOR these director	ors is considered	warranted, a	as no materi	ial concerns l	have been iden	tified.				
10		Re-elect Catherine Woods as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these di	irectors is consid	lered warrant	ted, as no m	naterial conce	erns have been	identified.				
		Blended Rationale: A vote FOR these director	ors is considered	warranted, a	as no materi	ial concerns l	have been iden	tified.				
11		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
12		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
13		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
14		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Approve Share Incentive Plan	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these recommended limits.	esolutions is warı	ranted becau	se the prop	osed amount	s and duration	s are within			-	
		Blended Rationale: A vote FOR these resolut	tions is warrante	d because th	ne proposed	amounts and	d durations are	within				

recommended limits.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
18		Authorise Issue of Equity in Relation to the Issue of Regulatory Capital Convertible Instruments	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorthe Company were to issue Regulatory Capital convert into ordinary shares, this would result support are: * Such authorities are common prand * The conversion into ordinary shares wou weakness well beyond the Company's current process.	Convertible In in significant o roposals at UK Ild require the	struments ai lilution to no banks and a CET1 ratio to	nd a trigger n-participati re intended o fall to a le	event were to ng sharehold to apply in e vel which wo	to occur, causir ders. The main extreme circum	ng them to reasons for stances only	;;			
		Blended Rationale: A vote FOR these resolution Company were to issue Regulatory Capital Cominto ordinary shares, this would result in significance: * Such authorities are common proposals conversion into ordinary shares would require the well beyond the Company's current position and	vertible Instru icant dilution to at UK banks a the CET1 ratio	ments and a non-partici nd are intend to fall to a lo	trigger evel pating share ded to apply evel which v	nt were to ou cholders. The in extreme	ccur, causing the main reasons circumstances	hem to conve for support only; and *	ert The			
19		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonance of the series of the	lutions is warra	anted becaus	se the propo	sed amounts	s and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed	amounts and	l durations are	within				
20		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonrecommended limits.	lutions is warra	anted becaus	se the propo	sed amount	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed	amounts and	d durations are	within				
21		Authorise Issue of Equity without Pre-Emptive Rights in Relation to the Issue of Regulatory Capital Convertible Instruments	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorthe Company were to issue Regulatory Capital convert into ordinary shares, this would result is support are: * Such authorities are common prand * The conversion into ordinary shares wou weakness well beyond the Company's current process.	Convertible In in significant of roposals at UK ald require the	struments ai lilution to no banks and a CET1 ratio to	nd a trigger n-participati re intended o fall to a le	event were to ng sharehold to apply in e vel which wo	to occur, causir ders. The main extreme circum	ng them to reasons for stances only	;;			
		Blended Rationale: A vote FOR these resolution Company were to issue Regulatory Capital Con into ordinary shares, this would result in signifi- are: * Such authorities are common proposals conversion into ordinary shares would require to well beyond the Company's current position and	vertible Instru icant dilution to at UK banks a the CET1 ratio	ments and a o non-partici nd are intend to fall to a lo	trigger even toating share ded to apply evel which v	nt were to ou cholders. The in extreme	ccur, causing the main reasons circumstances	hem to conve for support only; and *	ert The			
22		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorise Market Purchase of Preference Shares	Mgmt	Yes	For	For		For	For	No	No	No
24		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails											
Institutiona (IA Name, I	l Account Detail A Number)	Custodian Account Number Ballot Status	Instructe	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	dnicoll	dnicoll		12,007,072	12,007,072
			05/03/2022	05/03/2022			
					Total Shares:	12,007,072	12,007,072

### **Meeting Results**

Outstanding Shares Voted: 69,445,479,613

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	44,489,826,013	100.0%	13,124,412	0.0%	N/A	N/A	Poll	F+A
2	Υ	Pass	No	For	3.5%	42,679,074,026	96.5%	1,526,118,513	3.5%	N/A	N/A	Poll	F+A
3	Υ	Pass	No	For	0.4%	44,446,739,453	99.6%	178,595,142	0.4%	N/A	N/A	Poll	F+A
4	Υ	Pass	No	For	4.2%	42,557,827,339	95.8%	1,887,280,081	4.2%	N/A	N/A	Poll	F+A
5	Υ	Pass	No	For	1.4%	44,021,312,867	98.6%	604,451,363	1.4%	N/A	N/A	Poll	F+A
6	Υ	Pass	No	For	0.5%	44,396,388,893	99.5%	228,650,918	0.5%	N/A	N/A	Poll	F+A
7	Υ	Pass	No	For	0.4%	44,440,992,370	99.6%	184,736,463	0.4%	N/A	N/A	Poll	F+A
8	Υ	Pass	No	For	0.4%	44,424,470,749	99.6%	200,139,304	0.4%	N/A	N/A	Poll	F+A
9	Υ	Pass	No	For	0.4%	44,441,576,917	99.6%	183,875,179	0.4%	N/A	N/A	Poll	F+A
10	Υ	Pass	No	For	0.4%	44,441,173,490	99.6%	183,961,935	0.4%	N/A	N/A	Poll	F+A
11	Υ	Pass	No	For	3.9%	42,141,827,602	96.1%	1,723,549,390	3.9%	N/A	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	44,626,273,712	100.0%	15,562,423	0.0%	N/A	N/A	Poll	F+A
13	Υ	Pass	No	For	0.2%	44,567,182,226	99.8%	67,473,360	0.2%	N/A	N/A	Poll	F+A
14	Υ	Pass	No	For	0.1%	44,566,553,055	99.9%	35,636,915	0.1%	N/A	N/A	Poll	F+A
15	Υ	Pass	No	For	1.5%	43,952,820,702	98.5%	667,668,792	1.5%	N/A	N/A	Poll	F+A
16	Υ	Pass	No	For	3.4%	43,118,721,808	96.6%	1,526,048,441	3.4%	N/A	N/A	Poll	F+A
17	Υ	Pass	No	For	6.4%	41,790,170,341	93.6%	2,841,926,082	6.4%	N/A	N/A	Poll	F+A
18	Υ	Pass	No	For	1.8%	43,801,188,711	98.2%	816,529,534	1.8%	N/A	N/A	Poll	F+A
19	Υ	Pass	No	For	1.5%	43,942,204,037	98.5%	669,308,541	1.5%	N/A	N/A	Poll	F+A
20	Υ	Pass	No	For	4.0%	42,822,618,963	96.0%	1,788,152,127	4.0%	N/A	N/A	Poll	F+A
21	Υ	Pass	No	For	2.9%	43,337,756,120	97.1%	1,271,845,082	2.9%	N/A	N/A	Poll	F+A
22	Υ	Pass	No	For	1.5%	43,934,510,487	98.5%	686,976,512	1.5%	N/A	N/A	Poll	F+A
23	Υ	Pass	No	For	0.9%	44,229,925,104	99.1%	386,470,267	0.9%	N/A	N/A	Poll	F+A
24	Υ	Pass	No	For	6.0%	41,973,380,355	94.0%	2,655,902,499	6.0%	N/A	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Wheaton Precious Metals Corp.**

Meeting Date: 05/13/2022

Country: Canada

Meeting Type: Annual/Special

Ticker: WPM **Meeting ID:** 1607614 Proxy Level: 3

**Record Date:** 03/18/2022 Primary Security ID: 962879102

**Primary CUSIP:** 962879102

**Primary ISIN:** CA9628791027

Primary SEDOL: BF13KN5

Earliest Cutoff Date: 05/10/2022 Votable Shares: 122,260

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

\*Shares on Loan: 0

Shares Instructed: 122,260

Shares Voted: 122,260

	•						•			•		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director George L. Brack	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as	no significan	t concerns i	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all proposed non	ninees as no si	ignificant con	cerns have	been identifie	ed at this time.	•			-	
1.2		Elect Director John A. Brough	Mgmt	Yes	For	For		For	Withhold	Yes	Yes	Yes
		Voting Policy Rationale: Vote FOR all proposed	nominees as	no significan	t concerns i	have been ide	entified at this	time.			_	
		Blended Rationale: Vote FOR all proposed nom	ninees as no si	gnificant con	cerns have	been identifie	ed at this time.	•				
1.3		Elect Director Jaimie Donovan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as	no significan	t concerns i	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all proposed nom	ninees as no si	gnificant con	cerns have	been identifie	ed at this time.					
1.4		Elect Director R. Peter Gillin	Mgmt	Yes	For	For		For	Withhold	Yes	Yes	Yes
		Voting Policy Rationale: Vote FOR all proposed	nominees as	no significan	t concerns i	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all proposed nom	ninees as no si	ignificant con	cerns have	been identifie	ed at this time.				-	
1.5		Elect Director Chantal Gosselin	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as	no significan	t concerns i	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all proposed nom	ninees as no si	gnificant con	cerns have	been identifie	ed at this time.	•				
1.6		Elect Director Glenn Ives	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as	no significan	t concerns i	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all proposed nom	ninees as no si	gnificant con	cerns have	been identifie	ed at this time.	•				
1.7		Elect Director Charles A. Jeannes	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as	no significan	t concerns i	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all proposed nom	ninees as no si	gnificant con	cerns have	been identifie	ed at this time.					
1.8		Elect Director Eduardo Luna	Mgmt	Yes	For	For		For	Withhold	Yes	Yes	Yes
		Voting Policy Rationale: Vote FOR all proposed	nominees as	no significan	t concerns i	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all proposed nom	ninees as no si	gnificant con	cerns have	been identifie	ed at this time.					
1.9		Elect Director Marilyn Schonberner	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as	no significan	t concerns i	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all proposed nom	ninees as no si	gnificant con	cerns have	been identifie	ed at this time.				-	
1.10		Elect Director Randy V.J. Smallwood	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as	no significan	t concerns i	have been ide	entified at this	time.			-	
		Blended Rationale: Vote FOR all proposed nom	ninees as no si	gnificant con	cerns have	been identifie	ed at this time.				_	
2		Approve Deloitte LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Wheaton Precious Metals Corp.**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3	Advisory Vote on Executive Compensation Approach		Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable SI	nares	Shares	Voted
NT RSG01, NT RSG01	1768944	Confirmed	jbisset		jbisset				122,26	60	122,	260
			05/05/2022	2	05/05/202	22						
								Total Shares:	122,20	50	122,	260

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	13.3%	279,843,827	86.7%	42,799,191	13.3%	N/A	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	10.1%	289,923,537	89.9%	32,719,481	10.1%	N/A	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	0.6%	320,848,121	99.4%	1,794,897	0.6%	N/A	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	16.6%	268,956,212	83.4%	53,686,806	16.6%	N/A	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	1.6%	317,437,144	98.4%	5,205,874	1.6%	N/A	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	0.4%	321,506,611	99.6%	1,136,407	0.4%	N/A	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	0.4%	321,420,318	99.6%	1,222,700	0.4%	N/A	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	10.6%	288,408,017	89.4%	34,235,001	10.6%	N/A	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	0.3%	321,639,355	99.7%	1,003,663	0.3%	N/A	N/A	Proxy	F+A
1.10	Y	Pass	No	For	0.6%	320,784,002	99.4%	1,859,016	0.6%	N/A	N/A	Proxy	F+A
2	Υ	Pass	No	For	2.9%	343,416,137	97.1%	10,231,684	2.9%	N/A	N/A	Proxy	F+A
3	Υ	Pass	No	For	8.4%	295,423,075	91.6%	27,218,396	8.4%	N/A	N/A	Proxy	F+A

# **AngloGold Ashanti Ltd.**

Meeting Date: 05/16/2022	Country: South Africa		Ticker: Al	NG			Proxy Level	: N/A		
<b>Record Date:</b> 04/06/2022	Meeting Type: Annual		Meeting 1	<b>ID:</b> 1630455	i					
Primary Security ID: S04255196	Primary CUSIP: S04255196		Primary 1	SIN: ZAE00	0043485		Primary SED	<b>OL:</b> 65656	55	
Earliest Cutoff Date: 05/05/2022	Total Ballots: 1		Voting Pol	icy: ISS			Additional Pol	licy:		
Votable Shares: 159,390	*Shares on Loan: 0		Shares Ins	tructed: 159	,390		Shares Voted:	159,390		
Proposal Significant Number Vote Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS

Meeting for ADR Holders

Mgmt

No

# AngloGold Ashanti Ltd.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Re-elect Maria Ramos as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item. re-election of these Directors.	s is warranted:	* * No issues	have been	identified in	relation to the	election or				
		Blended Rationale: A vote FOR these items is we re-election of these Directors.	varranted: * No	o issues have	e been ident	tified in relati	ion to the elec	tion or				
1.2		Re-elect Maria Richter as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item. re-election of these Directors.	s is warranted:	* * No issues	have been	identified in	relation to the	election or				
		Blended Rationale: A vote FOR these items is we re-election of these Directors.	varranted: * No	o issues have	e been ident	tified in relati	ion to the elec	tion or				
1.3		Re-elect Nelisiwe Magubane as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item. re-election of these Directors.	s is warranted:	* No issues	have been	identified in	relation to the	election or				
		Blended Rationale: A vote FOR these items is we re-election of these Directors.	varranted: * No	o issues have	e been ident	tified in relati	ion to the elec	tion or				
2.1		Elect Alberto Calderon Zuleta as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items re-election of these Directors.	s is warranted:	* * No issues	have been	identified in	relation to the	election or				
		Blended Rationale: A vote FOR these items is we re-election of these Directors.	varranted: * No	o issues have	e been ident	tified in relati	ion to the elec	tion or				
2.2		Elect Scott Lawson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item. re-election of these Directors.	s is warranted:	* * No issues	have been	identified in	relation to the	election or				
		Blended Rationale: A vote FOR these items is we re-election of these Directors.	varranted: * No	o issues have	e been ident	tified in relati	ion to the elec	tion or				
3.1		Re-elect Alan Ferguson as Member of the Audit and Risk Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item. independent.	s is warranted:	* * All of the	members of	f the Audit a	nd Risk Comm	ittee are				
		Blended Rationale: A vote FOR these items is windependent.	varranted: * Al	ll of the men	nbers of the	Audit and Ri	isk Committee	are				
3.2		Re-elect Rhidwaan Gasant as Member of the Audit and Risk Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item independent.	s is warranted:	* * All of the	members of	f the Audit a	nd Risk Comm	ittee are				
		Blended Rationale: A vote FOR these items is windependent.	varranted: * Al	ll of the men	nbers of the	Audit and Ri	isk Committee	are				
3.3		Re-elect Nelisiwe Magubane as Member of the Audit and Risk Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items independent.	s is warranted:	* * All of the	members of	f the Audit a	nd Risk Comm	ittee are				
		Blended Rationale: A vote FOR these items is windependent.	varranted: * Al	ll of the men	nbers of the	Audit and Ri	isk Committee	are				

# AngloGold Ashanti Ltd.

Proposal Significa Number Vote	nt Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
3.4	Re-elect Maria Richter as Mer the Audit and Risk Committee		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A voti independent.	e FOR these item	s is warranted	: * All of the	members o	of the Audit a	nd Risk Comm	ittee are				
	Blended Rationale: A vote FO	R these items is v	warranted: * A	ll of the mer	mbers of the	Audit and Ri	isk Committee	are			-	
3.5	Re-elect Jochen Tilk as Memb Audit and Risk Committee	per of the	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A voti independent.	e FOR these item	s is warranted	: * All of the	members o	of the Audit a	nd Risk Comm	ittee are			-	
	Blended Rationale: A vote FO	R these items is v	varranted: * A	ll of the mer	mbers of the	Audit and Ri	isk Committee	are			-	
4.1	Reappoint Ernst & Young Inc Auditors	as	Mgmt	Yes	For	For		For	For	No	No	No
4.2	Appoint PricewaterhouseCoop as Auditors	oers Inc	Mgmt	Yes	For	For		For	For	No	No	No
5	Place Authorised but Unissue under Control of Directors	d Shares	Mgmt	Yes	For	For		For	For	No	No	No
6.1	Approve Remuneration Policy		Mgmt	Yes	For	For		For	For	No	No	No
6.2	Approve Implementation Rep	ort	Mgmt	Yes	For	For		For	For	No	No	No
7	Approve Remuneration of Non-Executive Directors		Mgmt	Yes	For	For		For	For	No	No	No
8	Authorise Repurchase of Issu Capital	ed Share	Mgmt	Yes	For	For		For	For	No	No	No
9	Authorise Board to Issue Sha Cash	res for	Mgmt	Yes	For	For		For	For	No	No	No
10	Approve Financial Assistance of Sections 44 and 45 of the Companies Act	in Terms	Mgmt	Yes	For	For		For	For	No	No	No
11	Amend Memorandum of Inco	rporation	Mgmt	Yes	For	For		For	For	No	No	No
12	Authorise Ratification of Appr Resolutions	roved	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account De (IA Name, IA Number)	etail Custodian Account Number	Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
NT RSG01, NT RSG01	1768944	Confirmed	jbisset		jbisset				159,3	90	159,	,390
			05/10/2022	2	05/10/20	)22						
								Total Shares	159,3	90	159,	,390

### **Meeting Results**

Outstanding Shares Voted: 418,339,927 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1.1	Υ	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.2	Y	Pass	No	For	1.4%	N/A	98.6%	N/A	1.4%	N/A	N/A	Poll	F+A
1.3	Υ	Pass	No	For	1.2%	N/A	98.8%	N/A	1.2%	N/A	N/A	Poll	F+A
2.1	Υ	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
2.2	Y	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
3.1	Y	Pass	No	For	3.2%	N/A	96.8%	N/A	3.2%	N/A	N/A	Poll	F+A
3.2	Υ	Pass	No	For	4.5%	N/A	95.5%	N/A	4.5%	N/A	N/A	Poll	F+A
3.3	Υ	Pass	No	For	2.0%	N/A	98.0%	N/A	2.0%	N/A	N/A	Poll	F+A
3.4	Υ	Pass	No	For	1.4%	N/A	98.7%	N/A	1.4%	N/A	N/A	Poll	F+A
3.5	Υ	Pass	No	For	0.8%	N/A	99.2%	N/A	0.8%	N/A	N/A	Poll	F+A
4.1	Υ	Pass	No	For	20.0%	N/A	80.0%	N/A	20.0%	N/A	N/A	Poll	F+A
4.2	Υ	Pass	No	For	2.4%	N/A	97.6%	N/A	2.4%	N/A	N/A	Poll	F+A
5	Υ	Pass	No	For	16.9%	N/A	83.1%	N/A	16.9%	N/A	N/A	Poll	F+A
6.1	Υ	Pass	No	For	8.5%	N/A	91.5%	N/A	8.5%	N/A	N/A	Poll	F+A
6.2	Υ	Pass	No	For	8.2%	N/A	91.8%	N/A	8.2%	N/A	N/A	Poll	F+A
7	Y	Pass	No	For	1.0%	N/A	99.0%	N/A	1.0%	N/A	N/A	Poll	F+A
8	Y	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
9	Y	Pass	No	For	16.9%	N/A	83.1%	N/A	16.9%	N/A	N/A	Poll	F+A
10	Υ	Pass	No	For	0.3%	N/A	99.7%	N/A	0.3%	N/A	N/A	Poll	F+A
11	Υ	Pass	No	For	0.1%	N/A	100.0%	N/A	0.1%	N/A	N/A	Poll	F+A
12	Y	Pass	No	For	0.1%	N/A	100.0%	N/A	0.1%	N/A	N/A	Poll	F+A

Meeting Date: 05/17/2022 **Record Date:** 05/13/2022

Primary Security ID: G371E2108

Earliest Cutoff Date: 05/12/2022 Votable Shares: 500,000

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G371E2108

Total Ballots: 1 \*Shares on Loan: 0 Ticker: FRES

**Meeting ID:** 1637105

Primary ISIN: GB00B2QPKJ12

Voting Policy: ISS

Shares Instructed: 500,000

Proxy Level: N/A

Primary SEDOL: B2QPKJ1

**Additional Policy:** 

Shares Voted: 500,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5		Re-elect Alejandro Bailleres as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Item 5: Re-elect Alejan warranted: * Despite not being independent up have been identified in regard to his external n warranted, although it is not without concern for companies in addition to their roles as NED at a interconnected, relating mainly to subsidiary con Baillères family. Items 8 to 16 A vote FOR thes	oon appointme nandates. Item or shareholder Fresnillo plc. To ompanies of Gr	ent, he sits on ns 6 and 7 A ns: * They ha ns: * They ha ns: * They ha ns: * They ha! ns: * They ha!	n the Remui vote FOR Ju ave significat son for supp rivate indusi	neration Com van Bordes av nt external po ort is: * The trial conglom	nmittee; and * nd Arturo Fern ositions at pub ir external pos erate controlle	Some conce nandez is nlicly-listed nitions are ned by the	ems			
		Blended Rationale: Item 5: Re-elect Alejandro III * Despite not being independent upon appoints identified in regard to his external mandates. It although it is not without concern for sharehold addition to their roles as NED at Fresnillo plc. To relating mainly to subsidiary companies of Grup 8 to 16 A vote FOR these candidates is warranted.	ment, he sits o tems 6 and 7 A ders: * They ho The main reaso po Bal, a privat	on the Remu A vote FOR I ave significa on for suppor te industrial	neration Cor luan Bordes nt external p tt is: * Their conglomeral	nmittee; and and Arturo Fo positions at p external pos te controlled	I * Some conce Fernandez is we Sublicly-listed co Sitions are intended by the Baillère	erns have be arranted, companies in rconnected,	een			
6		Re-elect Juan Bordes as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 5: Re-elect Alejan warranted: * Despite not being independent up have been identified in regard to his external n warranted, although it is not without concern for companies in addition to their roles as NED at a interconnected, relating mainly to subsidiary co Baillères family. Items 8 to 16 A vote FOR these	oon appointme nandates. Item or shareholder Fresnillo plc. To ompanies of Gr	ent, he sits on ns 6 and 7 A ns: * They ha ns: * They ha ns: * They ha ns: * They ha! ns: * They ha!	n the Remui vote FOR Ju ave significat son for supp rivate indust	neration Com van Bordes av nt external po ort is: * The trial conglom	nmittee; and * nd Arturo Fern ositions at pub ir external pos erate controlle	Some conce andez is alicly-listed itions are ad by the	ems			
		Blended Rationale: Item 5: Re-elect Alejandro at Pespite not being independent upon appoints identified in regard to his external mandates. It although it is not without concern for sharehold addition to their roles as NED at Fresnillo plc. To relating mainly to subsidiary companies of Grup 8 to 16 A vote FOR these candidates is warrants.	ment, he sits o tems 6 and 7 A ders: * They ho The main reaso po Bal, a privat	on the Remu A vote FOR J ave significa on for suppo te industrial	neration Cor luan Bordes nt external p t is: * Their conglomeral	nmittee; and and Arturo Fo positions at p external pos te controlled	I * Some conce Fernandez is wa Bublicly-listed co Sitions are intensity the Baillère	erns have be arranted, companies in rconnected,	een			
7		Re-elect Arturo Fernandez as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 5: Re-elect Alejar warranted: * Despite not being independent up have been identified in regard to his external n warranted, although it is not without concern for companies in addition to their roles as NED at a interconnected, relating mainly to subsidiary con Baillères family. Items 8 to 16 A vote FOR thes	oon appointme nandates. Item or shareholder Fresnillo plc. To ompanies of Gr	ent, he sits on ns 6 and 7 A ns: * They ha nhe main reas nupo Bal, a p	n the Remui vote FOR Ju ave significat son for supp rivate indust	neration Com lan Bordes al nt external po ort is: * Thei trial conglom	nmittee; and * nd Arturo Fern ositions at pub ir external pos erate controlle	Some conce nandez is plicly-listed pitions are ned by the	erns			
		Blended Rationale: Item 5: Re-elect Alejandro of *Despite not being independent upon appoints identified in regard to his external mandates. It although it is not without concern for sharehold addition to their roles as NED at Fresnillo plc. To relating mainly to subsidiary companies of Grup & to 16 A vote FOR these candidates is warrant.	ment, he sits o tems 6 and 7 A ders: * They ho The main reaso po Bal, a privat	on the Remu A vote FOR J ave significa on for suppoi te industrial	neration Cor luan Bordes nt external p t is: * Their conglomeral	nmittee; and and Arturo Fo positions at p external pos te controlled	I * Some conce Ternandez is wa Toublicly-listed co Titions are intentions The Baillère	erns have be arranted, companies in rconnected,	een			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8		Re-elect Fernando Ruiz as Director	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: Item 5: Re-elect Alejam warranted: * Despite not being independent up have been identified in regard to his external m warranted, although it is not without concern for companies in addition to their roles as NED at F interconnected, relating mainly to subsidiary con Baillères family. Items 8 to 16 A vote FOR these	on appointmer andates. Items or shareholders Fresnillo plc. Th mpanies of Gru	nt, he sits or s 6 and 7 A s: * They ha he main reas upo Bal, a pr	n the Remuni vote FOR Jua ve significant on for suppo rivate industr	eration Comr an Bordes an t external po ort is: * Their ial conglome	mittee; and * \$ d Arturo Ferna sitions at publa external posite erate controlled	Some concer andez is icly-listed tions are d by the	ns			
		Blended Rationale: Item 5: Re-elect Alejandro E * Despite not being independent upon appointni identified in regard to his external mandates. It although it is not without concern for sharehold addition to their roles as NED at Fresnillo plc. To relating mainly to subsidiary companies of Grup 8 to 16 A vote FOR these candidates is warrante	nent, he sits or ems 6 and 7 A lers: * They ha he main reasor o Bal, a privati	n the Remur vote FOR Ju ave significar n for support e industrial d	neration Com uan Bordes a nt external po t is: * Their o conglomerate	mittee; and and Arturo Fe ositions at pu external posi c controlled b	* Some conce ernandez is wa ublicly-listed co tions are interd	rns have bee rranted, ompanies in connected,	en			
9		Re-elect Eduardo Cepeda as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 5: Re-elect Alejan. warranted: * Despite not being independent up have been identified in regard to his external m warranted, although it is not without concern for companies in addition to their roles as NED at F interconnected, relating mainly to subsidiary con Baillères family. Items 8 to 16 A vote FOR these	on appointmer andates. Items or shareholders Fresnillo plc. Th mpanies of Gru	nt, he sits on s 6 and 7 A s: * They ha he main reas upo Bal, a pr	n the Remuna vote FOR Jua ve significant on for suppo rivate industr	eration Comr an Bordes an t external po ort is: * Their ial conglome	mittee; and * \$ d Arturo Ferna sitions at publa external posite erate controlled	Some concer andez is icly-listed tions are d by the	ns			
		Blended Rationale: Item 5: Re-elect Alejandro E * Despite not being independent upon appointmidentified in regard to his external mandates. It although it is not without concern for sharehold addition to their roles as NED at Fresnillo plc. To relating mainly to subsidiary companies of Grup 8 to 16 A vote FOR these candidates is warrante	nent, he sits or ems 6 and 7 A lers: * They ha he main reasor o Bal, a privati	n the Remun vote FOR Ju ave significan n for support e industrial d	neration Com uan Bordes a nt external po t is: * Their o conglomerate	mittee; and and Arturo Fe ositions at pu external posi controlled b	* Some conce ernandez is wa ublicly-listed co tions are interd	rns have bee rranted, ompanies in connected,	en			
10		Re-elect Charles Jacobs as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 5: Re-elect Alejand warranted: * Despite not being independent up have been identified in regard to his external m warranted, although it is not without concern for companies in addition to their roles as NED at Finterconnected, relating mainly to subsidiary con Baillères family. Items 8 to 16 A vote FOR these Blended Rationale: Item 5: Re-elect Alejandro E * Despite not being independent upon appoint identified in regard to his external mandates. It although it is not without concern for sharehold	on appointmen andates. Item: or shareholders cresnillo plc. Th mpanies of Grue candidates is Baillères as Din ment, he sits on ems 6 and 7 A	nt, he sits or s 6 and 7 A \ s: * They ha he main reas upo Bal, a pr warranted, ector A vote n the Remur vote FOR Ju	n the Remun vote FOR Juz ve significan on for suppo rivate industr as no signific AGAINST th peration Com uan Bordes a	eration Comman Bordes and texternal poort is: * Their ial conglome rant concern.  Chair, Alejamittee; and Arturo Fe	mittee; and * § d Arturo Ferna sitions at publi external posit erate controllec s have been id andro Baillères * Some conce, ernandez is wa.	Some concer andez is icly-listed tions are If by the lentified. Is warranted rrns have bee rranted,	d:			
		addition to their roles as NED at Fresnillo plc. Ti relating mainly to subsidiary companies of Grup 8 to 16 A vote FOR these candidates is warrante	o Bal, a privat	e industrial d	conglomerate	controlled b		-	ns			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
	Vote		-	•			Rec					
11		Re-elect Barbara Laguera as Director  Voting Policy Rationale: Item 5: Re-elect Alejan warranted: * Despite not being independent up have been identified in regard to his external mwarranted, although it is not without concern for companies in addition to their roles as NED at hinterconnected, relating mainly to subsidiary co Baillères family. Items 8 to 16 A vote FOR these	oon appointmen nandates. Item or shareholders Fresnillo plc. Th Impanies of Gro	nt, he sits or s 6 and 7 A s: * They ha he main reas upo Bal, a pr	n the Remun vote FOR Jud ve significan son for suppo rivate industi	eration Comi an Bordes an t external po ort is: * Their ial conglome	mittee; and * : d Arturo Ferna sitions at publa external posia erate controlled	Some concer andez is licly-listed tions are d by the	For rns	No	No	No
		Blended Rationale: Item 5: Re-elect Alejandro Item 6: Re-elect Alejandro It	ment, he sits o tems 6 and 7 A ders: * They ha The main reaso no Bal, a privat	n the Remui vote FOR Jave significat n for suppor e industrial (	neration Com uan Bordes a nt external p t is: * Their conglomerate	mittee; and and Arturo Fe ositions at pu external posi e controlled L	* Some conce ernandez is wa ublicly-listed co tions are inter	rns have bed rranted, ompanies in connected,	en			
12		Re-elect Alberto Tiburcio as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 5: Re-elect Alejan warranted: * Despite not being independent up have been identified in regard to his external mwarranted, although it is not without concern for companies in addition to their roles as NED at hinterconnected, relating mainly to subsidiary compaliers family. Items 8 to 16 A vote FOR these	oon appointmen nandates. Item. or shareholders Fresnillo plc. Th Impanies of Gre	nt, he sits or s 6 and 7 A s: * They ha he main reas upo Bal, a pi	n the Remun vote FOR Jua ve significan son for suppo rivate industi	eration Comi an Bordes an t external po ort is: * Their ial conglome	mittee; and * : d Arturo Ferna sitions at publa external posia erate controlled	Some concer andez is licly-listed tions are d by the	rns			
		Blended Rationale: Item 5: Re-elect Alejandro Item 5: Re-elect Alejandro Item 5: Pospite not being independent upon appoint identified in regard to his external mandates. It although it is not without concern for sharehold addition to their roles as NED at Fresnillo plc. To relating mainly to subsidiary companies of Grup 8 to 16 A vote FOR these candidates is warrant.	ment, he sits o tems 6 and 7 A ders: * They ha The main reaso no Bal, a privat	n the Remui vote FOR Jave significat n for suppor e industrial (	neration Com uan Bordes a nt external p t is: * Their conglomerate	mittee; and and Arturo Fe ositions at pu external posi e controlled L	* Some conce ernandez is wa ublicly-listed co titions are inter	rns have bed rranted, ompanies in connected,	en			
13		Re-elect Dame Judith Macgregor as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 5: Re-elect Alejan warranted: * Despite not being independent up have been identified in regard to his external mwarranted, although it is not without concern for companies in addition to their roles as NED at hinterconnected, relating mainly to subsidiary co Baillères family. Items 8 to 16 A vote FOR these	oon appointmen nandates. Item or shareholders Fresnillo plc. Th ompanies of Gro	nt, he sits or s 6 and 7 A s: * They ha he main reas upo Bal, a pi	n the Remun vote FOR Jud ve significan son for suppo rivate industi	eration Comi an Bordes an t external po ort is: * Their ial conglome	mittee; and * : d Arturo Ferna sitions at publ r external posia erate controlled	Some concer andez is iicly-listed tions are d by the	rns			
		Blended Rationale: Item 5: Re-elect Alejandro Item 5: Re-elect Alejandro Item 5: Re-elect Alejandro Item 5: Re-elect Alejandro Item Item Item Item Item Item Item Item	ment, he sits o tems 6 and 7 A ders: * They ha The main reaso no Bal, a privat	n the Remui vote FOR Jave significat n for suppor e industrial (	neration Com uan Bordes a nt external p t is: * Their conglomerate	mittee; and and Arturo Fe ositions at pu external posi e controlled L	* Some conce ernandez is wa ublicly-listed co tions are inter	rns have bed rranted, ompanies in connected,	en			

oposal umber	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
14		Re-elect Georgina Kessel as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 5: Re-elect Alejan warranted: * Despite not being independent up have been identified in regard to his external n warranted, although it is not without concern for companies in addition to their roles as NED at h interconnected, relating mainly to subsidiary co Baillères family. Items 8 to 16 A vote FOR these	oon appointme nandates. Iten or shareholder Fresnillo plc. T ompanies of Gi	ent, he sits ons 6 and 7 A rs: * They ha The main rea rupo Bal, a p	n the Remur vote FOR Ju ave significar son for supp private indust	neration Com ian Bordes an it external po ort is: * Then irial conglom	nmittee; and * nd Arturo Fern ositions at pub ir external posi erate controlle	Some conce andez is licly-listed itions are ed by the	erns			
		Blended Rationale: Item 5: Re-elect Alejandro I: *Despite not being independent upon appoints identified in regard to his external mandates. It although it is not without concern for sharehold addition to their roles as NED at Fresnillo plc. To relating mainly to subsidiary companies of Grup & to 16 A vote FOR these candidates is warrant.	ment, he sits o tems 6 and 7 / ders: * They h The main reaso no Bal, a priva	on the Remu A vote FOR . ave significa on for suppo te industrial	neration Con Juan Bordes Int external p Int is: * Their Conglomerat	nmittee; and and Arturo Fo positions at p external pos re controlled	I * Some conce iernandez is wa publicly-listed co sitions are inter by the Baillère	erns have be arranted, companies in rconnected,	een			
L5		Re-elect Guadalupe de la Vega as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 5: Re-elect Alejan warranted: * Despite not being independent up have been identified in regard to his external mwarranted, although it is not without concern for companies in addition to their roles as NED at I interconnected, relating mainly to subsidiary companiers family. Items 8 to 16 A vote FOR these	oon appointme nandates. Iten or shareholder Fresnillo plc. T ompanies of Gi	ent, he sits ons 6 and 7 A s: * They ha The main rea rupo Bal, a p	n the Remui vote FOR Ju ave significar son for supp private indust	neration Com an Bordes an at external po ort is: * Then trial conglom	mittee; and * nd Arturo Fern ositions at pub ir external posi erate controlle	Some conce andez is licly-listed itions are ed by the	erns			
		Blended Rationale: Item 5: Re-elect Alejandro I * Despite not being independent upon appoints identified in regard to his external mandates. It although it is not without concern for sharehold addition to their roles as NED at Fresnillo plc. T relating mainly to subsidiary companies of Grup 8 to 16 A vote FOR these candidates is warrant	ment, he sits d tems 6 and 7 / ders: * They h The main reasc no Bal, a priva	on the Remu A vote FOR . ave significa on for suppo te industrial	neration Con Juan Bordes Int external p Int is: * Their Conglomerat	nmittee; and and Arturo Fo positions at p external pos re controlled	* Some conce iernandez is wa publicly-listed co sitions are inter by the Baillère	erns have be arranted, companies in rconnected,	een			
6		Re-elect Hector Rangel as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 5: Re-elect Alejan warranted: * Despite not being independent up have been identified in regard to his external n warranted, although it is not without concern for companies in addition to their roles as NED at I interconnected, relating mainly to subsidiary co Baillères family. Items 8 to 16 A vote FOR these	oon appointme nandates. Iten or shareholder Fresnillo plc. T ompanies of Gi	ent, he sits ons 6 and 7 A s: * They ha The main rea rupo Bal, a p	n the Remui vote FOR Ju ave significar son for supp private indust	neration Com an Bordes an at external po ort is: * Then trial conglom	mittee; and * nd Arturo Fern ositions at pub ir external posi erate controlle	Some conce andez is licly-listed itions are ed by the	ems			
		Blended Rationale: Item 5: Re-elect Alejandro I * Despite not being independent upon appoint identified in regard to his external mandates. It although it is not without concern for sharehold addition to their roles as NED at Fresnillo plc. To relating mainly to subsidiary companies of Grup 8 to 16 A vote FOR these candidates is warrant.	ment, he sits o tems 6 and 7 i ders: * They h The main reaso no Bal, a priva	on the Remu A vote FOR . ave significa on for suppo te industrial	neration Cor Juan Bordes Int external p rt is: * Their conglomerat	nmittee; and and Arturo F positions at p external pos ee controlled	I * Some conce ernandez is wa oublicly-listed co sitions are inter by the Baillère	erns have be arranted, companies in rconnected,	een			
.7		Reappoint Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
8		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resol recommended limits.	lutions is warra	anted becau	se the propo	sed amounts	and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	s is warranted	d because th	e proposed a	amounts and	durations are	within				

•	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
20		Authorise Issue of Equity without Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	olutions is warra	anted becaus	se the prop	oosed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because the	e proposea	l amounts and	l durations are	within				
21		Authorise Issue of Equity with Pre-emptive Rights in Connecti an Acquisition or Other Capital Investment	on with	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	olutions is warr	anted becaus	se the prop	oosed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because the	e proposea	l amounts and	durations are	within				
22		Authorise Market Purchase of C Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorise the Company to Call Meeting with Two Weeks' Notice		Mgmt	Yes	For	For		For	For	No	No	No
24		Approve Matters Relating to th Relevant Distributions	e	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detai	ils												
Institutional A	Account Detail Number)	Custodian Account Number	Ballot Status	Instructe	d	Approv	ved .	Ballot Voting	Status	Votable S	hares	Shares	Voted
NT RSG01, NT	RSG01	RSG01	Confirmed	jbisset		jbisset				500,00	00	500,	000
				05/11/2022	2	05/11/2	1022						

Total Shares:

500,000

500,000

### **Meeting Results**

Outstanding Shares Voted: 736,893,589

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	679,963,294	100.0%	25,792	0.0%	3,228,951	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	682,968,450	100.0%	219,574	0.0%	30,013	N/A	Poll	F+A
3	Υ	Pass	No	For	1.8%	671,132,588	98.2%	12,052,760	1.8%	32,689	N/A	Poll	F+A
4	Υ	Pass	No	For	2.1%	669,091,311	97.9%	14,091,989	2.1%	34,737	N/A	Poll	F+A
5	Y	Pass	No	For	7.0%	632,261,180	93.0%	47,805,776	7.0%	3,151,081	N/A	Poll	F+A
6	Y	Pass	No	For	1.1%	675,352,672	98.9%	7,333,022	1.1%	532,343	N/A	Poll	F+A
7	Υ	Pass	No	For	1.5%	666,366,375	98.5%	10,097,414	1.5%	6,754,248	N/A	Poll	F+A
8	Υ	Pass	No	For	1.9%	666,956,851	98.1%	13,107,955	1.9%	3,153,231	N/A	Poll	F+A
9	Υ	Pass	No	For	0.6%	679,007,067	99.4%	4,164,239	0.6%	46,731	N/A	Poll	F+A
10	Υ	Pass	No	For	0.2%	681,835,006	99.8%	1,336,300	0.2%	46,731	N/A	Poll	F+A
11	Υ	Pass	No	For	0.5%	679,929,593	99.5%	3,243,813	0.5%	44,631	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
12	Y	Pass	No	For	1.8%	671,204,438	98.2%	11,968,967	1.8%	44,632	N/A	Poll	F+A
13	Υ	Pass	No	For	0.0%	683,121,658	100.0%	49,648	0.0%	46,731	N/A	Poll	F+A
14	Y	Pass	No	For	0.1%	682,624,597	99.9%	548,809	0.1%	44,631	N/A	Poll	F+A
15	Υ	Pass	No	For	0.0%	683,120,850	100.0%	50,556	0.0%	46,631	N/A	Poll	F+A
16	Υ	Pass	No	For	0.1%	682,620,065	99.9%	549,241	0.1%	48,731	N/A	Poll	F+A
17	Υ	Pass	No	For	0.7%	678,062,621	99.3%	5,116,288	0.7%	39,128	N/A	Poll	F+A
18	Υ	Pass	No	For	0.3%	681,193,866	99.7%	1,991,244	0.3%	32,422	N/A	Poll	F+A
19	Y	Pass	No	For	0.2%	681,798,240	99.8%	1,399,828	0.2%	19,969	N/A	Poll	F+A
20	Υ	Pass	No	For	0.2%	680,621,012	99.8%	1,363,033	0.2%	1,233,992	N/A	Poll	F+A
21	Υ	Pass	No	For	0.7%	678,205,016	99.3%	5,002,152	0.7%	10,869	N/A	Poll	F+A
22	Υ	Pass	No	For	0.5%	679,490,656	99.5%	3,676,613	0.5%	50,768	N/A	Poll	F+A
23	Υ	Pass	No	For	0.2%	681,721,052	99.8%	1,461,718	0.2%	35,267	N/A	Poll	F+A
24	Y	Pass	No	For	2.7%	126,994,713	97.3%	3,589,854	2.7%	38,281	N/A	Poll	F+A

# **The Charles Schwab Corporation**

Meeting Date: 05/17/2022

**Record Date:** 03/18/2022 Primary Security ID: 808513105 Country: USA

Meeting Type: Annual

**Primary CUSIP:** 808513105

Ticker: SCHW

Meeting ID: 1630522

**Primary ISIN:** US8085131055

Proxy Level: 3

Primary SEDOL: 2779397

Earliest Cutoff Date: 05/16/2022

**Total Ballots:** 1

Voting Policy: ISS

**Additional Policy:** Shares Voted: 23,815

Votable Si	hares: 23,815	*Shares on Loan: 0			Shares I	nstructed: 23,	815		Shares Voted	: 23,815		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director John K. Adams, Jr.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warı	ranted.								
1b		Elect Director Stephen A. Ellis	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warı	ranted.							-	
1c		Elect Director Brian M. Levitt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warı	ranted.							-	
1d		Elect Director Arun Sarin	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warı	ranted.								
1e		Elect Director Charles R. Schwab	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warı	ranted.							-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **The Charles Schwab Corporation**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1f		Elect Director Paula A. Sneed	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
2		Declassify the Board of Directors	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify Deloitte & Touche LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Omnibus Stock Plan	Mgmt	Yes	For	For		For	For	No	No	No
6		Provide Proxy Access Right	Mgmt	Yes	For	For		For	For	No	No	No
7		Adopt Proxy Access Right	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this adviss proponent would be more favorable to shareh	, , ,		, ,	,	55	,				
		Blended Rationale: A vote FOR this advisory p would be more favorable to shareholders than	•			•			ent			
8		Report on Lobbying Payments and Policy	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this resolution memberships, payments, and oversight, along the company's comprehensive lobbying-related	with direct lob	bying expen	ditures, wou	ıld enable sh	nareholders to					

Blended Rationale: A vote FOR this resolution is warranted, as additional information on the company's trade association memberships, payments, and oversight, along with direct lobbying expenditures, would enable shareholders to better assess the company's comprehensive lobbying-related activities and management of related risks and opportunities.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	1768944	Confirmed	jbisset	jbisset		23,815	23,815
			05/09/2022	05/09/2022			
					Total Shares:	23,815	23,815

### **Meeting Results**

Outstanding Shares Voted: 1,816,003,557

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	1.1%	1,591,270,097	98.9%	17,076,753	1.1%	634,660	N/A	Proxy	F+A
1b	Υ	Pass	No	For	4.2%	1,541,356,577	95.8%	66,966,902	4.2%	658,031	N/A	Proxy	F+A
1c	Υ	Pass	No	For	2.1%	1,574,533,140	97.9%	33,688,768	2.1%	759,602	N/A	Proxy	F+A
1d	Υ	Pass	No	For	8.8%	1,466,490,268	91.2%	141,841,227	8.8%	650,015	N/A	Proxy	F+A
1e	Υ	Pass	No	For	3.6%	1,550,928,506	96.4%	57,549,303	3.6%	503,701	N/A	Proxy	F+A
1f	Υ	Pass	No	For	4.8%	1,523,676,597	95.2%	77,497,845	4.8%	7,807,068	N/A	Proxy	F+A
2	Υ	Fail	No	For	10.1%	1,425,958,661	78.5%	182,051,166	10.0%	971,683	0.1%	Proxy	Capital Represented

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
3	Υ	Pass	No	For	5.6%	1,551,491,318	94.4%	91,993,339	5.6%	572,843	0.0%	Proxy	F+A+AB
4	Υ	Pass	No	For	6.8%	1,499,041,479	93.2%	108,280,222	6.7%	1,659,809	0.1%	Proxy	F+A+AB
5	Υ	Pass	No	For	3.3%	1,556,189,076	96.7%	51,876,293	3.2%	916,141	0.1%	Proxy	F+A+AB
6	Y	Pass	No	For	0.8%	1,595,101,275	87.8%	12,739,560	0.7%	1,140,675	0.1%	Proxy	Capital Represented
7	Υ	Fail	No	Against	27.3%	494,220,875	27.2%	1,112,327,357	61.3%	2,433,278	0.1%	Proxy	Capital Represented
8	Υ	Fail	No	Against	34.9%	557,517,246	34.7%	1,047,778,039	65.1%	3,686,225	0.2%	Proxy	F+A+AB

### **Dassault Aviation SA**

Meeting Date: 05/18/2022 **Record Date:** 05/16/2022

Earliest Cutoff Date: 05/11/2022

Votable Shares: 10,007

Primary Security ID: F24539169

Country: France

Meeting Type: Annual/Special

Primary CUSIP: F24539169

\*Shares on Loan: 0

**Total Ballots:** 1

Ticker: AM

Meeting ID: 1631859

Shares Instructed: 10,007

Primary ISIN: FR0014004L86

Voting Policy: ISS

**Additional Policy:** Shares Voted: 10,007

Primary SEDOL: BMT9L19

Proxy Level: N/A

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary Business	Mgmt	No								
1		Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approve and lack of concerns.	al of the annua	l accounts a	re warranted	d due to the	unqualified au	ditors' opinio	on			
		Blended Rationale: Votes FOR the approval of lack of concerns.	the annual acc	ounts are wa	arranted due	e to the unqu	alified auditors	s' opinion an	d			
2		Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approve and lack of concerns.	al of the annua	l accounts a	re warranted	d due to the	unqualified au	ditors' opinio	on			
		Blended Rationale: Votes FOR the approval of lack of concerns.	the annual acc	ounts are wa	arranted due	e to the unqu	alified auditors	s' opinion an	d			
3		Approve Allocation of Income and Dividends of EUR 2.49 per Share	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Compensation of Directors	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Compensation of Eric Trappier, Chairman and CEO	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this re	emuneration re	nort ic warr	anted hecau	ıca: * The co	mnany faile to	disclose any	,			

Voting Policy Rationale: A vote AGAINST this remuneration report is warranted because: \* The company fails to disclose any rationale for the repeated base salary's increases. \* The level of disclosure on performance conditions is critically low. \* The performance period for LTI is not considered long-term oriented. \* Disclosure on performance achievement underlying awards that vested during the period under review is below market standard. \* The company has not set up any remuneration committee while the chairman and CEO sit on the board.

Blended Rationale: A vote AGAINST this remuneration report is warranted because: \* The company fails to disclose any rationale for the repeated base salary's increases. \* The level of disclosure on performance conditions is critically low. \* The performance period for LTI is not considered long-term oriented. \* Disclosure on performance achievement underlying awards that vested during the period under review is below market standard. \* The company has not set up any remuneration committee while the chairman and CEO sit on the board.

# **Dassault Aviation SA**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6		Approve Compensation of Loik Segalen, Vice-CEO	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this re rationale for the repeated base salary's increase performance period for LTI is not considered to that vested during the period under review is b committee while the chairman and CEO sit on t	es. * The level ing-term orien ielow market s	l of disclosur ted. * Disclo	e on perfori sure on per	mance condit formance ach	ions is critically nievement und	y low. * The erlying awar				
		Blended Rationale: A vote AGAINST this remun rationale for the repeated base salary's increase performance period for LTI is not considered lo that vested during the period under review is b committee while the chairman and CEO sit on t	es. * The level ing-term orien relow market s	l of disclosur ted. * Disclo	e on perfori sure on per	mance condit formance ach	ions is critically nievement und	y low. * The erlying awar				
7		Approve Remuneration Policy of Directors	Mgmt	Yes	For	For		For	For	No	No	No
8		Approve Remuneration Policy of Chairman and CEO	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Votes AGAINST these is base salaries for FY22; * The remuneration policisclose a rationale for the increases in the num. This repeated concerns highlight the excessive shareholders discontent received at 2021 AGM.	licies are dispro nber of shares discretion pov	oportionately awarded; alver of the bo	focused or nd * There : ard to set e	n short-term; is no informa executives ren	* The compan tion on termina	ny does not ation packag				
		Blended Rationale: Votes AGAINST these remusalaries for FY22; * The remuneration policies a rationale for the increases in the number of s repeated concerns highlight the excessive discretional discontent received at 2021 AGM has not been	are disproporti hares awarded retion power of	ionately focu d; and * The f the board t	sed on shoi ere is no info	rt-term; * The ormation on t	e company doe ermination pac	es not disclos ckages. This				
9		Approve Remuneration Policy of Vice-CEO	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Votes AGAINST these is base salaries for FY22; * The remuneration policious a rationale for the increases in the number This repeated concerns highlight the excessive shareholders discontent received at 2021 AGM	licies are dispro nber of shares discretion pov	oportionately awarded; alver of the bo	v focused or nd * There : pard to set e	n short-term; is no informa executives ren	* The compantion on termina	ny does not ation packag				
		Blended Rationale: Votes AGAINST these remusalaries for FY22; * The remuneration policies a rationale for the increases in the number of s repeated concerns highlight the excessive discretional discontent received at 2021 AGM has not been	are disproporti hares awarded retion power of	ionately focu d; and * The f the board t	sed on shoi ere is no info	rt-term; * The ormation on t	e company doe ermination pac	es not disclos ckages. This				
10		Reelect Marie-Helene Habert as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: * Votes AGAINST the ( independence at the board level (25.0 percent non-independent Chairman of the Audit Comm lies below market practice and against recomm auditors' special report on related-party transact	vs 33.3 percei ittee is warran ended guidelii	nt recommen ted as the pi	nded) (Item. ractice of a	s 10 and 11). non-independ	* A vote AGA. dent audit com	INST the mittee chair				
		Blended Rationale: * Votes AGAINST the (re)elindependence at the board level (25.0 percent non-independent Chairman of the Audit Commilies below market practice and against recommauditors' special report on related-party transactions.	vs 33.3 percei ittee is warran ended guidelii	nt recomment ted as the pi	nded) (Item. ractice of a	s 10 and 11). non-independ	* A vote AGA. dent audit com	INST the mittee chair				

# **Dassault Aviation SA**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
11	Reelect Henri Proglio as Direc	ctor	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
	Voting Policy Rationale: * Vot independence at the board le non-independent Chairman oi lies below market practice and auditors' special report on rela	vel (25.0 percent f the Audit Comn d against recomr	t vs 33.3 percer nittee is warran mended guidelir	nt recommer ted as the p	nded) (Item tractice of a	s 10 and 11). non-independ	* A vote AGA dent audit con	INST the nmittee chair				
	Blended Rationale: * Votes Ad independence at the board le non-independent Chairman oi lies below market practice an auditors' special report on rela	vel (25.0 percent f the Audit Comn d against recomn	t vs 33.3 percer nittee is warran mended guidelir	nt recommen ted as the p	nded) (Item ractice of a	s 10 and 11). non-independ	* A vote AGA dent audit con	INST the nmittee chair				
12	Authorize Repurchase of Up to Percent of Issued Share Capi		Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
	Voting Policy Rationale: A vot	e AGAINST is wa	nrranted as the	share repure	chase progra	am can be co	ntinued during	a takeover	bid.		-	
	Blended Rationale: A vote AG	AINST is warran	ted as the share	e repurchase	e program c	an be continu	ued during a ta	keover bid.				
	Extraordinary Business		Mgmt	No								
13	Authorize Decrease in Share via Cancellation of Repurchas Shares	•	Mgmt	Yes	For	For		For	For	No	No	No
14	Amend Article 15 of Bylaws R Held by Directors	Re: Shares	Mgmt	Yes	For	For		For	For	No	No	No
	Ordinary Business		Mgmt	No								
15	Authorize Filing of Required Documents/Other Formalities	3	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset		jbisset				10,00	)7	10,	007
			05/09/2022	2	05/09/20	)22						
								Total Shares	10,0	<u></u>	10,0	007

### **Meeting Results**

Outstanding Shares Voted:

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.1%	117,734,725	99.9%	80,902	0.1%	127,395	N/A	Poll	F+A
2	Υ	Pass	No	For	0.1%	117,825,962	99.9%	80,902	0.1%	36,158	N/A	Poll	F+A
3	Υ	Pass	No	For	0.1%	117,861,611	99.9%	80,928	0.1%	483	N/A	Poll	F+A
4	Υ	Pass	No	For	1.4%	116,264,058	98.6%	1,659,727	1.4%	12,927	N/A	Poll	F+A
5	Υ	Pass	No	For	7.5%	109,010,949	92.5%	8,824,058	7.5%	1,575	N/A	Poll	F+A
6	Υ	Pass	No	For	7.5%	109,030,077	92.5%	8,821,120	7.5%	1,685	N/A	Poll	F+A
7	Y	Pass	No	For	0.0%	117,899,173	100.0%	25,212	0.0%	12,847	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
8	Y	Pass	No	For	10.7%	105,181,207	89.3%	12,653,695	10.7%	1,680	N/A	Poll	F+A
9	Υ	Pass	No	For	10.7%	105,197,548	89.3%	12,653,666	10.7%	1,668	N/A	Poll	F+A
10	Υ	Pass	No	For	4.9%	112,141,471	95.1%	5,799,718	4.9%	1,333	N/A	Poll	F+A
11	Υ	Pass	No	For	7.3%	109,374,690	92.7%	8,567,199	7.3%	593	N/A	Poll	F+A
12	Υ	Pass	No	For	5.5%	111,330,027	94.5%	6,521,102	5.5%	91,893	N/A	Poll	F+A
	N												
13	Y	Pass	No	For	0.0%	117,905,585	100.0%	36,737	0.0%	666	N/A	Poll	F+A
14	Y	Pass	No	For	0.0%	117,930,170	100.0%	11,952	0.0%	866	N/A	Poll	F+A
	N												
15	Υ	Pass	No	For	0.0%	117,942,046	100.0%	413	0.0%	563	N/A	Poll	F+A

### **Northrop Grumman Corporation**

Meeting Date: 05/18/2022 **Record Date:** 03/22/2022

**Primary Security ID:** 666807102

Earliest Cutoff Date: 05/17/2022

Votable Shares: 15,000

Country: USA

Meeting Type: Annual

**Primary CUSIP:** 666807102

Total Ballots: 1 \*Shares on Loan: 0 Ticker: NOC

Voting Policy: ISS

Meeting ID: 1630588

**Primary ISIN:** US6668071029

Shares Instructed: 15,000

Proxy Level: 3

Primary SEDOL: 2648806

**Additional Policy:** Shares Voted: 15,000

	13,000	5.14.55 5.1 254.11 5			J 05 2.		000		J 05 1 0 1 0 1 0 1	15,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Kathy J. Warden	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is war	ranted.								
1.2		Elect Director David P. Abney	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is war	ranted.								
1.3		Elect Director Marianne C. Brown	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is war	ranted.								
1.4		Elect Director Donald E. Felsinger	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is war	ranted.								
1.5		Elect Director Ann M. Fudge	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is war	ranted.								
1.6		Elect Director William H. Hernandez	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is wan	ranted.								

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Northrop Grumman Corporation**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.7		Elect Director Madeleine A. Kleiner	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.8		Elect Director Karl J. Krapek	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.9		Elect Director Graham N. Robinson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.10		Elect Director Gary Roughead	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.11		Elect Director Thomas M. Schoewe	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.12		Elect Director James S. Turley	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.13		Elect Director Mark A. Welsh, III	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify Deloitte & Touche LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propos special meeting from 25 percent to 10 percent				ship threshol	ld for sharehol	ders to call a				
		Blended Rationale: A vote FOR this proposal is meeting from 25 percent to 10 percent would		-		threshold for	shareholders	to call a spec	cial			

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	1768944	Confirmed	jbisset	jbisset		15,000	15,000
			04/20/2022	04/20/2022			
					Total Shares:	15,000	15,000

### **Meeting Results**

Outstanding Shares Voted: 155,703,868 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Υ	Pass	No	For	2.7%	123,611,868	97.3%	3,464,295	2.7%	384,278	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	1.2%	125,371,631	98.8%	1,481,768	1.2%	607,041	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.8%	124,584,674	98.2%	2,271,287	1.8%	604,480	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	4.0%	121,781,149	96.0%	5,074,906	4.0%	604,385	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	1.7%	124,794,210	98.3%	2,123,900	1.7%	542,331	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	1.3%	125,219,521	98.7%	1,648,243	1.3%	592,676	N/A	Proxy	F+A
1.7	Y	Pass	No	For	3.6%	120,113,280	96.4%	4,534,989	3.6%	2,812,172	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	3.0%	123,086,859	97.0%	3,793,132	3.0%	580,450	N/A	Proxy	F+A
1.9	Y	Pass	No	For	1.1%	125,539,914	98.9%	1,357,177	1.1%	536,350	N/A	Proxy	F+A
1.10	Y	Pass	No	For	1.6%	124,896,811	98.4%	2,053,703	1.6%	509,927	N/A	Proxy	F+A
1.11	Y	Pass	No	For	4.9%	120,639,592	95.1%	6,239,088	4.9%	581,761	N/A	Proxy	F+A
1.12	Y	Pass	No	For	6.7%	118,334,949	93.3%	8,536,751	6.7%	588,741	N/A	Proxy	F+A
1.13	Y	Pass	No	For	1.1%	125,574,159	98.9%	1,366,178	1.1%	520,105	N/A	Proxy	F+A
2	Υ	Pass	No	For	3.4%	122,047,000	96.6%	4,296,524	3.4%	1,116,900	N/A	Proxy	F+A
3	Y	Pass	No	For	3.9%	134,598,218	96.1%	5,491,213	3.9%	467,184	N/A	Proxy	F+A
4	Y	Pass	No	Against	50.4%	63,526,028	50.4%	62,532,722	49.6%	1,396,747	N/A	Proxy	F+A

## **Science Group Plc**

Meeting Date: 05/18/2022 **Record Date:** 05/16/2022 Primary Security ID: G7863T100 Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G7863T100

Ticker: SAG

Voting Policy: ISS

Meeting ID: 1635151

Primary ISIN: GB00B39GTJ17

Proxy Level: N/A

Primary SEDOL: B39GTJ1

Earliest Cutoff Date: 05/12/2022

**Total Ballots:** 1

**Additional Policy:** 

Votable Si	ares: 172,818	*Shares on Loan: 0			Shares In	structed: 172	2,818		Shares Voted	: 172,818		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For Against			Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this resolution is warranted because of the following reason: * Martyn Ratcliffe is considered to hold the combined roles of Chair and CEO, which calls into question whether the Board can adequately oversee and evaluate the performance of senior officers and the Company; * The Executive Chair received a one-off payment during the year under review; and * The NEDs received discretionary payments in addition to their basic fees.							-			

Blended Rationale: A vote AGAINST this resolution is warranted because of the following reason: \* Martyn Ratcliffe is considered to hold the combined roles of Chair and CEO, which calls into question whether the Board can adequately oversee and evaluate the performance of senior officers and the Company; \* The Executive Chair received a one-off payment during

the year under review; and \* The NEDs received discretionary payments in addition to their basic fees. Reappoint Grant Thornton UK LLP as

Mgmt

Yes

For

For

For

No

No

No

Auditors and Authorise Their

Remuneration

# **Science Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Elect Jon Brett as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the elect warranted because no significant concerns he			Daniel Edw	ards and Mich	hael Lacey-Sol	ymar is				
		Blended Rationale: A vote FOR the election/rebecause no significant concerns have been in		n Brett, Dani	el Edwards	and Michael L	Lacey-Solymar	is warrante	d			
4		Re-elect Daniel Edwards as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the elect warranted because no significant concerns ha			Daniel Edw	ards and Mich	hael Lacey-Soly	ymar is				
		Blended Rationale: A vote FOR the election/r because no significant concerns have been in		n Brett, Dani	el Edwards	and Michael L	Lacey-Solymar	is warrante	d			
5		Re-elect Michael Lacey-Solymar as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the elect warranted because no significant concerns he		-	Daniel Edw	ards and Mich	hael Lacey-Sol	lymar is				
		Blended Rationale: A vote FOR the election/r because no significant concerns have been in		n Brett, Dani	el Edwards	and Michael L	Lacey-Solymar	is warrante	d			
6		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
7		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resrecommended limits.	solutions is warr	ranted becau	se the prop	osed amounts	s and duration	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ions is warrante	d because th	e proposea	amounts and	d durations are	e within				
8		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resrecommended limits.	solutions is warr	ranted becau	se the prop	osed amount	s and duration	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ions is warrante	d because th	e proposea	amounts and	d durations are	e within				
9		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
10		Approve Performance Share Plan	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST the overall dilution limit contained within the sche years, which is in excess of best practice limit	eme rules allow	s the Compa	ny to issue				,			
		Blended Rationale: A vote AGAINST the prop dilution limit contained within the scheme rul is in excess of best practice limits of 10 perce	les allows the Co				-					

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		172,818	172,818
			05/10/2022	05/10/2022			
					Total Shares:	172,818	172,818

### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	3.9%	31,537,013	96.1%	1,269,494	3.9%	1,833,892	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	34,635,386	100.0%	4,795	0.0%	218	N/A	Poll	F+A
3	Y	Pass	No	For	0.0%	34,639,960	100.0%	221	0.0%	218	N/A	Poll	F+A
4	Y	Pass	No	For	0.0%	34,639,960	100.0%	221	0.0%	218	N/A	Poll	F+A
5	Y	Pass	No	For	2.1%	33,903,683	97.9%	736,489	2.1%	218	N/A	Poll	F+A
6	Y	Pass	No	For	0.0%	34,640,181	100.0%	0	0.0%	218	N/A	Poll	F+A
7	Y	Pass	No	For	0.0%	34,630,257	100.0%	3,424	0.0%	6,718	N/A	Poll	F+A
8	Y	Pass	No	For	0.0%	34,625,265	100.0%	13,916	0.0%	1,218	N/A	Poll	F+A
9	Y	Pass	No	For	0.0%	34,639,490	100.0%	691	0.0%	218	N/A	Poll	F+A
10	Y	Pass	No	For	13.8%	29,861,239	86.2%	4,777,472	13.8%	1,688	N/A	Poll	F+A

### **Southwest Airlines Co.**

**Meeting Date:** 05/18/2022 **Record Date:** 03/22/2022

Primary Security ID: 844741108

022

Meeting Type: Annual

**Primary CUSIP:** 844741108

Ticker: LUV

Voting Policy: ISS

Meeting ID: 1633573

Primary ISIN: US8447411088

Proxy Level: 3

Primary SEDOL: 2831543

**Earliest Cutoff Date:** 05/17/2022 **Votable Shares:** 24,939

Total Ballots: 1
\*Shares on Loan: 0

Country: USA

Shares Instructed: 24,939

Additional Policy: Shares Voted: 24,939

					J 55 _		,555		J 05 1000	2 ./505		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director David W. Biegler	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is war	ranted.								
1b		Elect Director J. Veronica Biggins	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director	nominees is war	ranted.								
1c		Elect Director Douglas H. Brooks	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director	nominees is war	ranted.							-	
1d		Elect Director William H. Cunningham	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is war	ranted.								
1e		Elect Director John G. Denison	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director	nominees is war	ranted.							-	
1f		Elect Director Thomas W. Gilligan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director	nominees is war	ranted.							-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Southwest Airlines Co.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1g		Elect Director David P. Hess	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.									
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.									
1h		Elect Director Robert E. Jordan	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.									
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.									
1i		Elect Director Gary C. Kelly	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.									
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.									
1j		Elect Director Nancy B. Loeffler	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.									
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.									
1k		Elect Director John T. Montford	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.									
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.									
11		Elect Director Christopher P. Reynolds	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director nominees is warranted.											
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.									
1m		Elect Director Ron Ricks	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.									
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.									
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No	
3		Amend Qualified Employee Stock Purchase Plan	Mgmt	Yes	For	For		For	For	No	No	No	
4		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No	
5		Adopt Majority Vote Cast to Remove Directors With or Without Cause	SH	Yes	Against	For		For	Against	No	Yes	Yes	
		Voting Policy Rationale: A vote FOR this propo- enhance shareholder rights.	sal is warrante	d as the abii	lity to remov	re directors w	ith or without	cause would	1				
		Blended Rationale: A vote FOR this proposal is enhance shareholder rights.	warranted as	the ability to	remove dire	ectors with o	r without caus	e would					
6		Submit Severance Agreement (Change-in-Control) to Shareholder Vote	SH	Yes	Against	For		For	Against	No	Yes	Yes	
		Voting Policy Rationale: A vote FOR this propo arrangements, the current agreements will not shareholder approval of a new or renewed sev shareholders to have the ability to vote on sev	t be affected, t rerance arrange	the request of ement, such	offers flexibil as at the ne	ity as to whe ext annual m	n the board m	ay seek	-				
		Blended Rationale: A vote FOR this proposal is the current agreements will not be affected, the of a new or renewed severance arrangement, the ability to vote on severance amounts that	ne request offer such as at the	rs flexibility o next annual	as to when t	the board ma	y seek shareh	older approv	ral				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Southwest Airlines Co.**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	1768944	Confirmed	jbisset	jbisset		24,939	24,939
			04/20/2022	04/20/2022			
					= Total Shares:	24,939	24,939

### **Meeting Results**

Outstanding Shares Voted: 592,844,796

Results Available: Full

Jutstan	tstanding Snares voted: 592,844,790			Results Available: Full									
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	9.8%	369,190,620	90.2%	39,964,420	9.8%	1,657,279	N/A	Proxy	F+A
1b	Υ	Pass	No	For	8.2%	375,541,610	91.8%	33,674,427	8.2%	1,596,282	N/A	Proxy	F+A
1c	Y	Pass	No	For	4.3%	391,431,574	95.7%	17,782,588	4.3%	1,598,157	N/A	Proxy	F+A
1d	Υ	Pass	No	For	7.6%	364,966,416	92.4%	29,886,604	7.6%	15,959,299	N/A	Proxy	F+A
1e	Υ	Pass	No	For	3.7%	394,257,045	96.3%	15,057,368	3.7%	1,497,906	N/A	Proxy	F+A
1f	Υ	Pass	No	For	1.6%	402,542,283	98.4%	6,697,585	1.6%	1,572,451	N/A	Proxy	F+A
1g	Y	Pass	No	For	1.3%	403,586,775	98.7%	5,519,954	1.3%	1,705,590	N/A	Proxy	F+A
1h	Υ	Pass	No	For	2.8%	398,267,981	97.2%	11,293,694	2.8%	1,250,644	N/A	Proxy	F+A
1i	Υ	Pass	No	For	4.5%	377,602,096	95.5%	17,655,405	4.5%	15,554,818	N/A	Proxy	F+A
1j	Υ	Pass	No	For	5.2%	387,930,466	94.8%	21,293,307	5.2%	1,588,546	N/A	Proxy	F+A
1k	Υ	Pass	No	For	6.1%	384,172,785	93.9%	25,070,609	6.1%	1,568,925	N/A	Proxy	F+A
11	Υ	Pass	No	For	1.4%	403,567,219	98.6%	5,553,399	1.4%	1,691,701	N/A	Proxy	F+A
1m	Y	Pass	No	For	1.6%	402,662,716	98.4%	6,536,804	1.6%	1,612,799	N/A	Proxy	F+A
2	Υ	Pass	No	For	6.9%	380,200,105	93.1%	28,307,420	6.9%	2,304,794	N/A	Proxy	F+A
3	Υ	Pass	No	For	0.8%	406,010,830	99.2%	3,245,957	0.8%	1,555,532	N/A	Proxy	F+A
4	Υ	Pass	No	For	2.6%	490,994,238	97.4%	13,112,716	2.6%	2,166,618	N/A	Proxy	F+A
5	Υ	Fail	No	Against	47.5%	194,191,170	47.5%	214,655,884	52.5%	1,965,265	N/A	Proxy	F+A
6	Υ	Fail	No	Against	40.9%	167,243,513	40.9%	241,544,462	59.1%	2,024,344	N/A	Proxy	F+A

# Hertz Global Holdings, Inc.

Meeting Date: 05/19/2022 Record Date: 03/25/2022

Primary Security ID: 42806J700

Country: USA

Meeting Type: Annual

Ticker: HTZ

**Meeting ID:** 1633785

**Primary ISIN:** US42806J7000

Primary CUSIP: 428063700

Proxy Level: 3

Primary SEDOL: BNM5672

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### Hertz Global Holdings, Inc.

Earliest Cutoff Date: 05/18/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy: Votable Shares: 36,578 \*Shares on Loan: 0 Shares Instructed: 36,578 Shares Voted: 36,578 Additional Voting Vote Vote Significant Proposal Votable Mgmt Policy Policy Vote Against Against Against Number Vote Proposal Text **Proponent** Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS Elect Director Michael Gregory O'Hara Withhold Withhold Mgmt Yes For For No Yes 1a Yes Voting Policy Rationale: WITHHOLD votes are warranted for Michael Gregory (Greg) O'Hara for serving on more than three public boards while serving as CEO of an outside company. A vote FOR the remaining director nominees is warranted. Blended Rationale: WITHHOLD votes are warranted for Michael Gregory (Greg) O'Hara for serving on more than three public boards while serving as CEO of an outside company. A vote FOR the remaining director nominees is warranted. Elect Director Thomas Wagner 1b Mgmt For No No No Voting Policy Rationale: WITHHOLD votes are warranted for Michael Gregory (Greg) O'Hara for serving on more than three public boards while serving as CEO of an outside company. A vote FOR the remaining director nominees is warranted. Blended Rationale: WITHHOLD votes are warranted for Michael Gregory (Greg) O'Hara for serving on more than three public boards while serving as CEO of an outside company. A vote FOR the remaining director nominees is warranted. 1c Elect Director Vincent J. Intrieri Mgmt Yes For Nο Nο Nο Voting Policy Rationale: WITHHOLD votes are warranted for Michael Gregory (Greg) O'Hara for serving on more than three public boards while serving as CEO of an outside company. A vote FOR the remaining director nominees is warranted. Blended Rationale: WITHHOLD votes are warranted for Michael Gregory (Greg) O'Hara for serving on more than three public boards while serving as CEO of an outside company. A vote FOR the remaining director nominees is warranted. 2 Ratify Ernst & Young LLP as Auditors Mgmt Yes For For For For No No No Advisory Vote to Ratify Named For 3 Mgmt Yes For For For Nο Nο Nο **Executive Officers' Compensation Ballot Details** Shares Voted (IA Name, IA Number) Account Number **Ballot Status** Instructed **Ballot Voting Status Votable Shares** Approved NT RSG01, NT RSG01 1768944 Confirmed jbisset jbisset 36,578 36,578

### **Meeting Results**

Outstanding Shares Voted: 417,094,805 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	6.2%	301,160,469	93.8%	19,790,893	6.2%	0	N/A	Proxy	F+A
1b	Υ	Pass	No	For	0.1%	320,645,972	99.9%	305,390	0.1%	0	N/A	Proxy	F+A
1c	Υ	Pass	No	For	6.5%	300,149,374	93.5%	20,801,988	6.5%	0	N/A	Proxy	F+A
2	Υ	Pass	No	For	0.1%	341,401,050	99.9%	202,128	0.1%	36,057	N/A	Proxy	F+A
3	Y	Pass	No	For	6.9%	298,613,145	93.1%	22,217,840	6.9%	120,377	N/A	Proxy	F+A

05/12/2022

Total Shares:

36,578

36,578

05/12/2022

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Zynga Inc.**

Meeting Date: 05/19/2022

**Record Date:** 04/04/2022

**Primary Security ID:** 98986T108

Country: USA

\*Shares on Loan: 0

Primary CUSIP: 98986T108

Meeting Type: Special

Meeting ID: 1633212

Ticker: ZNGA

Primary ISIN: US98986T1088

Proxy Level: 5

Primary SEDOL: B79PX49

Earliest Cutoff Date: 05/18/2022

Votable Shares: 135,270

Total Ballots: 1

Voting Policy: ISS

Shares Instructed: 135,270

**Additional Policy:** 

Shares Voted: 135,270

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Merger Agreement	Mgmt	Yes	For	For		For	For	No	No	No
2		Advisory Vote on Golden Parachutes	Mgmt	Yes	For	For		For	For	No	No	No
3		Adjourn Meeting	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	1768944	Confirmed	jbisset	jbisset		135,270	135,270
			05/11/2022	05/11/2022			

Total Shares: 135,270

135,270

### **Meeting Results**

Outstanding Shares Voted: 1,137,276,459

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.3%	773,916,852	68.1%	2,033,856	0.2%	1,352,539	0.1%	Proxy	Capital Represented
2	Υ	Pass	No	For	4.4%	743,319,160	95.6%	29,337,217	3.8%	4,646,870	0.6%	Proxy	F+A+AB
3	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Proxy	F+A+AB

### **Royal Dutch Shell Plc**

Meeting Date: 05/24/2022 **Record Date:** 05/20/2022

Primary Security ID: G80827101

Meeting Type: Annual

Country: United Kingdom Ticker: SHEL Meeting ID: 1566400

Primary CUSIP: G80827101

Voting Policy: ISS

Primary ISIN: GB00BP6MXD84

Proxy Level: N/A

Primary SEDOL: BP6MXD8

**Additional Policy:** 

Earliest Cutoff Date: 05/19/2022 Total Ballots: 1 Votable Shares: 450,000 \*Shares on Loan: 0 Shares Instructed: 450,000 Shares Voted: 450,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Management Proposals	Mgmt	No								
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect Sinead Gorman as Director	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.

Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Royal Dutch Shell Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4		Re-elect Ben van Beurden as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
5		Re-elect Dick Boer as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
6		Re-elect Neil Carson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
7		Re-elect Ann Godbehere as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
8		Re-elect Euleen Goh as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
9		Re-elect Jane Lute as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
10		Re-elect Catherine Hughes as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
11		Re-elect Martina Hund-Mejean as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
12		Re-elect Sir Andrew Mackenzie as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
13		Re-elect Abraham Schot as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
14		Reappoint Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Royal Dutch Shell Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
16		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these res recommended limits.	olutions is warr	anted becaus	se the propo	osed amount	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ons is warranted	d because th	e proposed	amounts and	d durations are	within				
17		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these res recommended limits.	olutions is warr	anted becaus	se the propo	osed amount	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ons is warranted	d because th	e proposed	amounts and	l durations are	within				
18		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise Off-Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
20		Approve the Shell Energy Transition Progress Update	Mgmt	Yes	For	For		For	For	No	No	No
		Shareholder Proposal	Mgmt	No								
21		Request Shell to Set and Publish Targets for Greenhouse Gas (GHG) Emissions	SH	Yes	Against	Against		Against	Against	No	No	No
Ballot Deta	ails											
Institutiona	l Account Detail	Custodian										

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		450,000	450,000
			05/13/2022	05/13/2022			
					Total Shares:	450,000	450,000

### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	1.6%	4,469,477,767	98.4%	71,091,532	1.6%	15,067,658	N/A	Poll	F+A
2	Υ	Pass	No	For	4.1%	4,346,283,705	95.9%	184,753,614	4.1%	24,598,418	N/A	Poll	F+A
3	Υ	Pass	No	For	0.5%	4,516,521,089	99.5%	23,417,006	0.5%	15,675,553	N/A	Poll	F+A
4	Υ	Pass	No	For	0.8%	4,496,569,558	99.2%	34,290,352	0.8%	24,761,284	N/A	Poll	F+A
5	Υ	Pass	No	For	1.5%	4,474,674,800	98.5%	67,820,033	1.5%	13,126,425	N/A	Poll	F+A
6	Υ	Pass	No	For	1.1%	4,491,217,829	98.9%	51,083,981	1.1%	13,317,337	N/A	Poll	F+A
7	Υ	Pass	No	For	1.8%	4,458,461,056	98.2%	83,907,506	1.8%	13,244,853	N/A	Poll	F+A
8	Υ	Pass	No	For	1.4%	4,479,743,495	98.6%	62,636,105	1.4%	13,240,198	N/A	Poll	F+A
9	Υ	Pass	No	For	0.6%	4,514,627,950	99.4%	27,643,508	0.6%	13,345,259	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
10	Υ	Pass	No	For	1.5%	4,472,378,257	98.5%	70,125,060	1.5%	13,117,075	N/A	Poll	F+A
11	Υ	Pass	No	For	0.2%	4,533,297,371	99.8%	9,092,680	0.2%	13,230,216	N/A	Poll	F+A
12	Υ	Pass	No	For	6.9%	4,229,127,499	93.1%	312,416,135	6.9%	14,070,548	N/A	Poll	F+A
13	Υ	Pass	No	For	0.7%	4,510,980,924	99.3%	30,852,332	0.7%	13,768,612	N/A	Poll	F+A
14	Υ	Pass	No	For	0.1%	4,498,735,944	99.9%	5,326,757	0.1%	51,567,695	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	4,536,967,618	99.9%	5,577,382	0.1%	13,084,173	N/A	Poll	F+A
16	Υ	Pass	No	For	2.0%	4,454,082,528	98.0%	88,725,174	2.0%	12,831,705	N/A	Poll	F+A
17	Υ	Pass	No	For	1.1%	4,490,698,350	98.9%	48,830,150	1.1%	16,095,313	N/A	Poll	F+A
18	Υ	Pass	No	For	1.2%	4,487,609,043	98.8%	53,240,550	1.2%	14,778,954	N/A	Poll	F+A
19	Υ	Pass	No	For	1.2%	4,485,657,888	98.8%	54,853,594	1.2%	15,114,434	N/A	Poll	F+A
20	Υ	Pass	No	For	20.1%	3,525,014,244	79.9%	886,272,022	20.1%	144,341,223	N/A	Poll	F+A
	N												
21	Υ	Fail	Yes	Against	20.3%	906,494,546	20.3%	3,560,626,618	79.7%	88,507,794	N/A	Poll	F+A

**Meeting Date:** 05/24/2022 **Record Date:** 05/20/2022

Primary Security ID: F96708270

Country: France

Meeting Type: Annual/Special

nce **Ti**e

Ticker: VK

Meeting ID: 1638586

Primary CUSIP: F96708270 Primary ISIN: FR0013506730

Proxy Level: N/A

**Primary SEDOL:** BLGWF90

Earliest Cutoff Date: 05/19/2022

Votable Shares: 140,891

Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 140,891

Additional Policy: Shares Voted: 140,891

Additional Voting Vote Vote Proposal Significant Votable Mgmt Policy Policy Vote Against Against Against Number Vote Proposal Text Proponent ISS Rec Instruction Mgmt Policy ISS **Proposal** Rec Rec Rec Ordinary Business Mgmt No Approve Financial Statements and 1 Mgmt Yes For For For For No No No Statutory Reports Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns. Blended Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns. 2 Approve Consolidated Financial Mgmt Yes For For For For Nο No No Statements and Statutory Reports Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns. Blended Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns. 3 Approve Allocation of Income and For Mgmt Yes For For For No No No Absence of Dividends

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4		Approve Transaction with Edouard Guinotte Re: Execution and Termination of his Duties within Vallourec	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this pr performance conditions as the company chose of previously voted policy; * There is no auditors'	a transactiona	l agreement	instead of t	he terminatio		-	9			
		Blended Rationale: A vote AGAINST this propose performance conditions as the company chose of previously voted policy; * There is no auditors'	a transactiona	l agreement	instead of t	he terminatio			2			
5		Reelect Angela Minas as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the (re)eleconcerns (Items 5 and 6). * A vote AGAINST the (item7).							fic			
		Blended Rationale: * Votes FOR the (re)election concerns (Items 5 and 6). * A vote AGAINST the (item7).		-				-				
6		Reelect Hera Siu as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the (re)electon concerns (Items 5 and 6). * A vote AGAINST the (item7).							fic			
		Blended Rationale: * Votes FOR the (re)election concerns (Items 5 and 6). * A vote AGAINST the (item7).		•				•				
7		Ratify Appointment of Philippe Guillemot as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: * Votes FOR the (re)eleconcerns (Items 5 and 6). * A vote AGAINST the (item7).							fic			
		Blended Rationale: * Votes FOR the (re)election concerns (Items 5 and 6). * A vote AGAINST the (item7).										
8		Approve Compensation Report of Corporate Officers	Mgmt	Yes	For	For		For	For	No	No	No
9		Approve Compensation of Edouard Guinotte, Chairman of the Management Board Until 30 June 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remur granted LTIPs are not sufficiently long-term orion reasons for support are: * Most of the granted granted prior to his mandate; and * There are in	ented; * The la LTIPs lapsed t	evel of disclo following the	osure surrou	nding the ve	sted LTIP is lo	w. The main				
		Blended Rationale: Votes FOR these remunerate granted LTIPs are not sufficiently long-term orion reasons for support are: * Most of the granted granted prior to his mandate; and * There are to the support are t	ented; * The la LTIPs lapsed t	evel of disclo following the	osure surrou	nding the ve	sted LTIP is lo	w. The main				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
10		Approve Compensation of Edouard Guinotte, Chairman and CEO From 1 July 2021 to 31 December 2021 and From 1 January 2022 to 20 March 2022	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remu granted LTIPs are not sufficiently long-term or reasons for support are: * Most of the granted granted prior to his mandate; and * There are	iented; * The l LTIPs lapsed	level of disclo following the	osure surro	unding the ve	ested LTIP is lo	w. The mail	7			
		Blended Rationale: Votes FOR these remunera granted LTIPs are not sufficiently long-term or reasons for support are: * Most of the granted granted prior to his mandate; and * There are	iented; * The l LTIPs lapsed	level of disclo following the	osure surro	unding the ve	ested LTIP is lo	w. The mail				
11		Approve Compensation of Olivier Mallet, Management Board Member Until 30 June 2021	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Votes AGAINST these sufficiently long-term oriented; and * The leve		•			e granted LTIF	are not				
		Blended Rationale: Votes AGAINST these remulations term oriented; and * The level of disclosures.				_	nted LTIP are	not sufficier	ntly			
12		Approve Compensation of Olivier Mallet, Vice-CEO From 1 July 2021 to 31 December 2021 and From 1 January 2022 to 20 March 2022	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Votes AGAINST these sufficiently long-term oriented; and * The leve		•			e granted LTIF	are not				
		Blended Rationale: Votes AGAINST these remulong-term oriented; and * The level of disclosure.				-	nted LTIP are	not sufficier	ntly			
13		Approve Compensation of Vivienne Cox, Chairman of the Supervisory Board Until 30 June 2021	Mgmt	Yes	For	For		For	For	No	No	No
14		Approve Remuneration Policy of Chairman and CEO	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the remun			•	•	-		ring			

Voting Policy Rationale: A vote FOR the remuneration policy of Philippe Guillemot (item 14) is warranted although the following concerns are raised: \* The new CEO's remuneration package shows a significant increase with little rationale; \* Corporate officers are guaranteed the payment of a part of their bonuses; \* The performance conditions attached to the LTIP are not guaranteed to be long-term oriented; \* The performance conditions attached to the termination package are deemed to lack stringency; \* The policy authorizes the post-mandate vesting of awards. The main reasons for support are: \* The proposed package is broadly in line with Mr. Guillemot's past position's remuneration, justifying a higher package to attract an experienced CEO; \* The LTIP does not include the ordinary shares that were subject to a problematic performance condition; \* Company's past practice is for unvested preference shares to lapse; \* The application of the previous CEO remuneration policy if this policy is rejected would not be considered more advantageous. A vote AGAINST the remuneration policy of Olivier Mallet (item 15) is warranted because: \* The CFO is guaranteed the payment of 100% of his bonus for the period of the year he is a corporate officer. \* He still benefits from the problematic part of the 2021 LTIP with ordinary shared vesting each year just for reaching EUR 8.09 (see item 12) \* The policy authorizes the post-mandate vesting of awards.

Blended Rationale: A vote FOR the remuneration policy of Philippe Guillemot (item 14) is warranted although the following concerns are raised: \* The new CEO's remuneration package shows a significant increase with little rationale; \* Corporate officers are guaranteed the payment of a part of their bonuses; \* The performance conditions attached to the LTIP are not guaranteed to be long-term oriented; \* The performance conditions attached to the termination package are deemed to lack stringency; \* The policy authorizes the post-mandate vesting of awards. The main reasons for support are: \* The proposed package is broadly in line with Mr. Guillemot's past position's remuneration, justifying a higher package to attract an experienced CEO; \* The LTIP does not include the ordinary shares that were subject to a problematic performance condition; \* Company's past practice is for unvested preference shares to lapse; \* The application of the previous CEO remuneration policy if this policy is rejected would not be considered more advantageous. A vote AGAINST the remuneration policy of Olivier Mallet (item 15) is warranted because: \* The CFO is guaranteed the payment of 100% of his bonus for the period of the year he is a corporate officer. \* He still benefits from the problematic part of the 2021 LTIP with ordinary shared vesting each year just for reaching EUR 8.09 (see item 12) \* The policy authorizes the post-mandate vesting of awards.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
15		Approve Remuneration Policy of Vice-CEO	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote FOR the remunconcerns are raised: * The new CEO's remune officers are guaranteed the payment of a part guaranteed to be long-term oriented; * The p stringency; * The policy authorizes the post-n package is broadly in line with Mr. Guillemot's experienced CEO; * The LTIP does not include Company's past practice is for unvested prefer if this policy is rejected would not be consider (item 15) is warranted because: * The CFO is corporate officer. * He still benefits from the preaching EUR 8.09 (see item 12) * The policy	eration package of their bonus erformance con nandate vesting past position's e the ordinary rence shares to ed more advar guaranteed the problematic pal	e shows a signes; * The penditions atta g of awards. s remunerations shares that volumes that volumes; * That all all all all all all all all all all	gnificant inc erformance ched to the The main r on, justifyin were subjec ee applicatio yote AGAIN. of 100% of i 11 LTIP with	rease with litt conditions att termination peasons for su g a higher pa t to a problen on of the prev ST the remun his bonus for ordinary sha	tle rationale; * tached to the L package are de upport are: * Th pckage to attrac matic performan ious CEO remu peration policy of the period of to	Corporate TIP are not themed to lace the proposed that the condition the condition the proposed that that the proposed t	k n; * licy llet s a		-	
		Blended Rationale: A vote FOR the remunerate concerns are raised: * The new CEO's remune officers are guaranteed the payment of a particular guaranteed to be long-term oriented; * The pstringency; * The policy authorizes the post-new package is broadly in line with Mr. Guillemot's experienced CEO; * The LTIP does not include Company's past practice is for unvested prefer if this policy is rejected would not be consider (item 15) is warranted because: * The CFO is corporate officer. * He still benefits from the preaching EUR 8.09 (see item 12) * The policy	eration package of their bonus erformance con nandate vesting past position's e the ordinary rence shares to ed more advar guaranteed the problematic pal	e shows a signes; * The penditions atta g of awards. s remuneratic shares that v o lapse; * Thatageous. A v ne payment of the 202	gnificant inc erformance ched to the The main r on, justifyin were subjec the application of 100% of in 11 LTIP with	rease with litt conditions att termination p easons for su g a higher pa t to a problen on of the prev ST the remun his bonus for ordinary sha	tle rationale; * tached to the L package are de upport are: * Th pckage to attrac matic performan ious CEO remu peration policy of the period of to	Corporate TIP are not remed to lace the proposed at an ance condition aneration pol of Olivier Ma he year he is	n; * licy llet s a			
16		Approve Remuneration Policy of Directors	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No
		Extraordinary Business	Mgmt	No								
18		Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 1,831,427	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with au				ed as their pro	oposed volumes	s respect the	2			
		Blended Rationale: * Votes FOR the authoriza recommended guidelines for issuances with a				their propose	ed volumes res <sub>l</sub>	pect the			-	
19		Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 457,857	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the auth- recommended guidelines for issuances with au				ed as their pro	pposed volumes	s respect the	9		-	
		Blended Rationale: * Votes FOR the authoriza recommended guidelines for issuances with a				their propose	ed volumes res <sub>l</sub>	pect the				
20		Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 457,857	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the auth recommended guidelines for issuances with a				ed as their pro	oposed volumes	s respect the	?			
		Blended Rationale: * Votes FOR the authoriza recommended guidelines for issuances with au	tions under 18	to 25 are w	arranted as	their propose	ed volumes resp	pect the			-	

vano	arec br						Additional	Vation		Vote	Vete	V-t-
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
21		Authorize Board to Set Issue Price for 10 Percent Per Year of Issued Capital Pursuant to Issue Authority without Preemptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with au				d as their pro	oposed volumes	s respect the				
		Blended Rationale: * Votes FOR the authorizative recommended guidelines for issuances with an				heir propose	ed volumes res <sub>l</sub>	pect the				
22		Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with an				d as their pro	oposed volumes	s respect the	•		_	
		Blended Rationale: * Votes FOR the authorizate recommended guidelines for issuances with an				heir propose	ed volumes res <sub>l</sub>	pect the				
23		Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorized recommended guidelines for issuances with an				d as their pro	pposed volumes	s respect the	2			
		Blended Rationale: * Votes FOR the authorizative recommended guidelines for issuances with an				heir propose	ed volumes res <sub>l</sub>	pect the				
24		Authorize Capital Increase of Up to EUR 457,857 for Future Exchange Offers	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with an				d as their pro	pposed volumes	s respect the	2			
		Blended Rationale: * Votes FOR the authorizate recommended guidelines for issuances with an				heir propose	ed volumes resp	pect the				
25		Approve Issuance of Equity or Equity-Linked Securities Reserved for Specific Beneficiaries, up to Aggregate Nominal Amount of EUR 457,857	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with an				d as their pro	pposed volumes	s respect the	2			
		Blended Rationale: * Votes FOR the authorizate recommended guidelines for issuances with an				heir propose	ed volumes res <sub>l</sub>	pect the				
26		Authorize Capitalization of Reserves of Up to EUR 1,373,570 for Bonus Issue or Increase in Par Value	Mgmt	Yes	For	For		For	For	No	No	No
27		Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	Yes	For	For		For	For	No	No	No
28		Authorize Decrease in Share Capital via Cancellation of Repurchased Shares	Mgmt	Yes	For	For		For	For	No	No	No
29		Amend Article 10 and 13 of Bylaws Re: Chairman and CEO	Mgmt	Yes	For	For		For	For	No	No	No
30		Authorize Filing of Required Documents/Other Formalities	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Det	ails											
Institutiona (IA Name, I	l Account Detail A Number)	Custodian Account Number Ballot Status	Instructed	d	Approve	d	Ballot Voting	Status	Votable S	Shares	Shares	Voted

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		140,891	140,891
			05/17/2022	05/17/2022			
					Total Shares:	140,891	140,891

### **Meeting Results**

Outstanding Shares Voted: 228,740,563

Results Available: Full

utstan	iumy Shares	<b>Voteu.</b> 220,7 10	,,505		Nesi	arcs Available. Tuil							
tem #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.0%	159,113,166	100.0%	23,346	0.0%	116,189	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	159,112,941	100.0%	23,604	0.0%	116,156	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	159,153,321	100.0%	73,908	0.0%	25,472	N/A	Poll	F+A
4	Υ	Pass	No	For	14.9%	135,505,103	85.1%	23,642,734	14.9%	39,143	N/A	Poll	F+A
5	Υ	Pass	No	For	0.1%	158,678,415	99.9%	235,719	0.1%	338,567	N/A	Poll	F+A
6	Υ	Pass	No	For	0.2%	158,601,879	99.8%	306,461	0.2%	344,361	N/A	Poll	F+A
7	Υ	Pass	No	For	13.1%	138,417,622	86.9%	20,805,318	13.1%	29,761	N/A	Poll	F+A
8	Υ	Pass	No	For	0.3%	158,778,620	99.7%	438,989	0.3%	35,092	N/A	Poll	F+A
9	Υ	Pass	No	For	4.1%	152,685,503	95.9%	6,476,373	4.1%	90,825	N/A	Poll	F+A
.0	Υ	Pass	No	For	5.2%	150,895,377	94.8%	8,269,280	5.2%	88,044	N/A	Poll	F+A
1	Υ	Pass	No	For	14.2%	136,543,294	85.8%	22,662,486	14.2%	46,921	N/A	Poll	F+A
2	Υ	Pass	No	For	14.2%	136,541,805	85.8%	22,664,052	14.2%	46,844	N/A	Poll	F+A
.3	Υ	Pass	No	For	0.4%	158,506,094	99.6%	701,018	0.4%	45,589	N/A	Poll	F+A
.4	Υ	Pass	No	For	8.6%	145,458,319	91.4%	13,678,260	8.6%	116,122	N/A	Poll	F+A
15	Υ	Pass	No	For	19.1%	128,840,171	80.9%	30,362,086	19.1%	50,444	N/A	Poll	F+A
.6	Υ	Pass	No	For	0.6%	158,166,040	99.4%	1,033,139	0.6%	53,522	N/A	Poll	F+A
17	Υ	Pass	No	For	0.1%	159,128,785	99.9%	82,766	0.1%	41,150	N/A	Poll	F+A
	N												
.8	Υ	Pass	No	For	0.9%	157,842,199	99.1%	1,383,641	0.9%	26,861	N/A	Poll	F+A
9	Υ	Pass	No	For	0.7%	158,161,521	99.3%	1,052,734	0.7%	38,446	N/A	Poll	F+A
.0	Υ	Pass	No	For	0.7%	158,146,476	99.3%	1,077,923	0.7%	28,302	N/A	Poll	F+A
1	Υ	Pass	No	For	0.4%	158,666,111	99.6%	558,515	0.4%	28,075	N/A	Poll	F+A
2	Υ	Pass	No	For	1.2%	157,256,177	98.8%	1,968,823	1.2%	27,701	N/A	Poll	F+A
3	Υ	Pass	No	For	0.4%	158,569,573	99.6%	656,855	0.4%	26,273	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
24	Y	Pass	No	For	0.3%	158,721,631	99.7%	504,593	0.3%	26,477	N/A	Poll	F+A
25	Y	Pass	No	For	0.7%	158,177,217	99.3%	1,048,813	0.7%	26,671	N/A	Poll	F+A
26	Υ	Pass	No	For	0.2%	158,889,631	99.8%	339,792	0.2%	23,278	N/A	Poll	F+A
27	Υ	Pass	No	For	0.3%	158,698,818	99.7%	521,864	0.3%	32,019	N/A	Poll	F+A
28	Υ	Pass	No	For	0.4%	158,646,417	99.6%	581,898	0.4%	24,386	N/A	Poll	F+A
29	Υ	Pass	No	For	0.3%	158,709,970	99.7%	503,138	0.3%	39,593	N/A	Poll	F+A
30	Y	Pass	No	For	0.0%	159,173,822	100.0%	49,659	0.0%	29,220	N/A	Poll	F+A

Meeting Date: 05/25/2022

**Record Date:** 04/01/2022 **Primary Security ID:** 30231G102

Country: USA

Meeting Type: Annual
Primary CUSIP: 30231G102

Ticker: XOM

Voting Policy: ISS

**Meeting ID:** 1632904

Primary ISIN: US30231G1022

Proxy Level: 3

Primary SEDOL: 2326618

Earliest Cutoff Date: 05/24/2022

Total Ballots: 1

Shares Instructed: 24 206

Additional Policy:
Shares Voted: 24 206

Votable S	hares: 24,206	*Shares on Loan: 0			Shares I	nstructed: 24,	,206		Shares Voted	<b>1:</b> 24,206		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Michael J. Angelakis	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darrer set targets to improve the efficiency of its aligned with Paris Agreement targets. A vi	operations but doe	es not appea	ar to be taki	ng steps that	_		has		-	
		Blended Rationale: Votes FOR Darren Wood targets to improve the efficiency of its open with Paris Agreement targets. A vote FOR	erations but does n	ot appear to	be taking s	steps that wo						
1.2		Elect Director Susan K. Avery	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darrer set targets to improve the efficiency of its aligned with Paris Agreement targets. A vo- Blended Rationale: Votes FOR Darren Wo- targets to improve the efficiency of its op- with Paris Agreement targets. A vote FOR	operations but does note FOR the other of ods and Joseph Hose erations but does n	es not appeadirector non oley are wal ot appear to	ar to be taki ninees is wa rranted, with o be taking s	ing steps that rranted. h caution, giv	en that the co	npany has	set		-	
1.3		Elect Director Angela F. Braly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darrer set targets to improve the efficiency of its aligned with Paris Agreement targets. A vo	operations but doe	es not appea	ar to be taki	ng steps that			has			
		Blended Rationale: Votes FOR Darren Woo targets to improve the efficiency of its ope with Paris Agreement targets. A vote FOR	erations but does n	ot appear to	be taking s							
1.4		Elect Director Ursula M. Burns	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darrer set targets to improve the efficiency of its aligned with Paris Agreement targets. A vo	operations but doe	es not appea	ar to be taki	ng steps that			has			
		Blended Rationale: Votes FOR Darren Woo	•	•	-						-	

targets to improve the efficiency of its operations but does not appear to be taking steps that would be needed to be aligned with Paris Agreement targets. A vote FOR the other director nominees is warranted.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.5		Elect Director Gregory J. Goff	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote F	rations but doe	s not appear	r to be takin	g steps that	-		nas			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ons but does no	ot appear to	be taking st							
1.6		Elect Director Kaisa H. Hietala	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote F	rations but doe	s not appear	r to be takin	g steps that	-		has			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operation with Paris Agreement targets. A vote FOR the o	ons but does no	ot appear to	be taking st							
1.7		Elect Director Joseph L. Hooley	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote F	rations but doe	s not appear	r to be takin	g steps that	-		has			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operation with Paris Agreement targets. A vote FOR the o	ons but does no	ot appear to	be taking st							
1.8		Elect Director Steven A. Kandarian	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote F	rations but doe	s not appear	r to be takin	g steps that	-		has			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operation with Paris Agreement targets. A vote FOR the o	ons but does no	ot appear to	be taking st							
1.9		Elect Director Alexander A. Karsner	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote F	rations but doe	s not appear	r to be takin	g steps that	-		has			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operation with Paris Agreement targets. A vote FOR the o	ons but does no	ot appear to	be taking st							
1.10		Elect Director Jeffrey W. Ubben	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its open aligned with Paris Agreement targets. A vote F	rations but doe	s not appear	r to be takin	g steps that	-		nas			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operation with Paris Agreement targets. A vote FOR the o	ons but does no	ot appear to	be taking st							
1.11		Elect Director Darren W. Woods	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its open aligned with Paris Agreement targets. A vote F	rations but doe	s not appear	r to be takin	g steps that	-		nas			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operation with Paris Agreement targets. A vote FOR the o	ons but does no	ot appear to	be taking st							
2		Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
4		Remove Executive Perquisites	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this proposexcessive, they represent a non-performance-b Such perquisites are not considered to be a besimprovement.	ased benefit ti	hat is not ge	nerally avail	able to the b	roader employ	ee populatio	n.			
		Blended Rationale: A vote FOR this proposal is they represent a non-performance-based benef perquisites are not considered to be a best practice.	fit that is not g	enerally ava	ilable to the	broader emp	ployee populati	ion. Such				
5		Amend Bylaws to Limit Shareholder Rights for Proposal Submission	SH	Yes	Against	Against		Against	Against	No	No	No
6		Set GHG Emissions Reduction targets Consistent With Paris Agreement Goal	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propos carbon footprint and align its operations with Po- company is managing its transition to a low car	aris Agreemen	t goals woul	ld allow inve	stors to bette			its			
		Blended Rationale: A vote FOR this proposal is carbon footprint and align its operations with Pa company is managing its transition to a low car	aris Agreemen	t goals woul	ld allow inve	stors to bette						
7		Report on Low Carbon Business Planning	SH	Yes	Against	Against		Against	Against	No	No	No
8		Report on Scenario Analysis Consistent with International Energy Agency's Net Zero by 2050	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propos about the company's risk of stranded assets, gi					_	disclosure				
		Blended Rationale: A vote FOR this proposal is the company's risk of stranded assets, given its					n greater discl	osure about				
9		Report on Reducing Plastic Pollution	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propos of the company to transition from virgin polyme management of associated financial, environment	er production v	vould allow .	shareholders		_		ity			
		Blended Rationale: A vote FOR this proposal is the company to transition from virgin polymer p management of associated financial, environment	production wou	uld allow sha	areholders to		-	-	·			
10		Report on Political Contributions and Expenditures	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this resolut memberships in trade associations and other po- comprehensive political contribution activities a	olitical organiza	ations would	help shareh	olders asses	s the company					
Pallet Det	nile.	Blended Rationale: A vote FOR this resolution is memberships in trade associations and other po- comprehensive political contribution activities a	olitical organiza	ations would	help shareh	olders asses	s the company					
Ballot Deta	aus											

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	1768944	Confirmed	jcoster	jcoster		24,206	24,206
			05/23/2022	05/23/2022			
					Total Shares:	24,206	24,206

#### **Meeting Results**

Outstanding Shares Voted: 4,213,205,009

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Υ	Pass	No	For	2.1%	2,547,924,545	97.9%	53,455,402	2.1%	20,049,727	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	4.6%	2,477,831,962	95.4%	118,721,113	4.6%	24,891,192	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	3.4%	2,513,024,444	96.6%	89,314,156	3.4%	19,104,634	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	10.9%	2,327,781,231	89.1%	284,073,145	10.9%	9,565,391	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	1.9%	2,561,365,873	98.1%	49,525,294	1.9%	10,553,052	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	1.7%	2,566,139,786	98.3%	45,285,191	1.7%	10,016,967	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	5.1%	2,476,828,928	94.9%	134,272,350	5.1%	10,334,699	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	1.5%	2,572,658,270	98.5%	38,414,203	1.5%	10,371,746	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	3.0%	2,532,029,094	97.0%	78,926,756	3.0%	10,486,437	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	1.6%	2,559,547,876	98.4%	41,716,300	1.6%	20,173,519	N/A	Proxy	F+A
1.11	Υ	Pass	No	For	8.4%	2,393,735,033	91.6%	218,457,411	8.4%	9,250,645	N/A	Proxy	F+A
2	Υ	Pass	No	For	3.2%	3,266,256,474	96.8%	107,018,692	3.2%	11,850,049	N/A	Proxy	F+A
3	Υ	Pass	No	For	9.0%	2,359,659,298	91.0%	232,188,848	9.0%	29,593,623	N/A	Proxy	F+A
4	Υ	Fail	No	Against	21.8%	555,991,008	21.8%	1,998,938,503	78.2%	66,505,804	N/A	Proxy	F+A
5	Υ	Fail	No	Against	1.5%	37,988,180	1.5%	2,566,577,886	98.5%	16,861,496	N/A	Proxy	F+A
6	Υ	Fail	No	Against	27.1%	634,538,294	27.1%	1,711,232,081	72.9%	275,657,276	N/A	Proxy	F+A
7	Υ	Fail	No	Against	10.5%	269,080,730	10.5%	2,297,592,462	89.5%	54,749,145	N/A	Proxy	F+A
8	Υ	Pass	No	Against	51.0%	1,212,862,208	51.0%	1,167,183,242	49.0%	241,366,778	N/A	Proxy	F+A
9	Υ	Fail	No	Against	36.5%	940,949,583	36.5%	1,639,139,896	63.5%	41,311,728	N/A	Proxy	F+A
10	Υ	Fail	No	Against	26.7%	695,653,665	26.7%	1,908,852,962	73.3%	16,911,258	N/A	Proxy	F+A

### **Meta Platforms, Inc.**

Meeting Date: 05/25/2022 **Record Date:** 04/01/2022 Primary Security ID: 30303M102 Country: USA Meeting Type: Annual Primary CUSIP: 30303M102

Ticker: FB **Meeting ID:** 1634027 Proxy Level: 3

Primary ISIN: US30303M1027

Primary SEDOL: B7TL820

Earliest Cutoff Date: 05/24/2022

**Total Ballots:** 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 3,590

\*Shares on Loan: 0

Shares Instructed: 3,590

Shares Voted: 3,590

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Peggy Alford	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: Votes FOR all director nominees are warranted.

Blended Rationale: Votes FOR all director nominees are warranted.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## Meta Platforms, Inc.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.2		Elect Director Marc L. Andreessen	Mgmt	Yes	For	For		For	Withhold	Yes	Yes	Yes
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nomi	inees are warr	anted.								
1.3		Elect Director Andrew W. Houston	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nomi	inees are warr	anted.								
1.4		Elect Director Nancy Killefer	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nomi	inees are warr	anted.								
1.5		Elect Director Robert M. Kimmitt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nomi	inees are warr	anted.								
1.6		Elect Director Sheryl K. Sandberg	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nomi	inees are warr	anted.								
1.7		Elect Director Tracey T. Travis	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nomi	inees are warr	anted.								
1.8		Elect Director Tony Xu	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nomi	inees are warr	anted.								
1.9		Elect Director Mark Zuckerberg	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nomi	inees are warr	anted.								
2		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: There are several ong remain discretionary, and incentive programs lindividual performance assessments is also poi impact on annual bonuses. Executives again rethe CEO's and COO's security costs are exceed warranted.	ack disclosed por, and the des acceived very la	performance sign allows fo rge equity at	metrics and or such cons wards that la	quantified g iderations to ack performa	oals. Disclosur have a potent nce vesting cr	re around tially large iteria. Moreo				
		Blended Rationale: There are several ongoing remain discretionary, and incentive programs lindividual performance assessments is also posimpact on annual bonuses. Executives again re	lack disclosed por, and the des	performance sign allows fo	metrics and or such cons	quantified g	noals. Disclosur have a potent	re around tially large	ver,			

impact on annual bonuses. Executives again received very large equity awards that lack performance vesting criteria. Moreover, the CEO's and COO's security costs are exceedingly large. In light of these concerns, a vote AGAINST this proposal is warranted.

## **Meta Platforms, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4		Approve Recapitalization Plan for all Stock to Have One-vote per Share	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propo preference for a capital structure in which the			,			reholders'				
		Blended Rationale: A vote FOR this proposal is preference for a capital structure in which the			•			ders'				
5		Require Independent Board Chair	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propo appointment of a non-independent director (p the most robust form of independent board ov	er ISS classifica	ations) to ser	rve as lead o	director, shar	-		т			
		Blended Rationale: A vote FOR this proposal is appointment of a non-independent director (po the most robust form of independent board ov	er ISS classifica	ations) to ser	rve as lead o	director, shar			m			
6		Report on Risks Associated with Use of Concealment Clauses	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propo of concealment clauses has on its employees recruitment, development and retention.							use			
		Blended Rationale: A vote FOR this proposal is concealment clauses has on its employees may development and retention.				-						
7		Report on External Costs of Misinformation and Impact on Diversified Shareholders	SH	Yes	Against	Against		Against	For	Yes	Yes	Yes
8		Report on Community Standards Enforcement	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propodisclosure on how the company is managing n						parency and	1			
		Blended Rationale: A vote FOR this proposal is disclosure on how the company is managing n						ncy and				
9		Report on User Risk and Advisory Vote on Metaverse Project	SH	Yes	Against	Against		Against	Against	No	No	No
10		Publish Third Party Human Rights Impact Assessment	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this resolutely shareholders better assess Meta's manage BACKGROUND INFORMATION Policies: Human	ement of risks	related to its	-	_	-		d			
		Blended Rationale: A vote FOR this resolution shareholders better assess Meta's management INFORMATION Policies: Human Rights Proposi	nt of risks relate	-				-				
11		Report on Child Sexual Exploitation Online	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propo- exploitation of children through the company's is managing related risks.							ny			
		Blended Rationale: A vote FOR this proposal is exploitation of children through the company's is managing related risks.					-		ny			
12		Commission a Workplace Non-Discrimination Audit	SH	Yes	Against	Against		Against	Against	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Meta Platforms, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
13		Report on Lobbying Payments and Policy	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this resolut lobbying practices, policies, and expenditures w		-		-						
		Blended Rationale: A vote FOR this resolution is lobbying practices, policies, and expenditures w	,		, ,	, ,						
14		Commission Assessment of Audit and Risk Oversight Committee	SH	Yes	Against	Against		Against	Against	No	No	No
15		Report on Charitable Contributions	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propose policies nor the recipients of its charitable contributes of the contribute of the contributes of		d because th	e company p	provides no il	nformation reg	arding its				
		Blended Rationale: A vote FOR this proposal is nor the recipients of its charitable contributions		rause the cor	mpany provi	des no inforn	nation regardii	ng its policie	5			

#### **Ballot Details**

24							
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	1768944	Confirmed	jcoster	jcoster		3,590	3,590
			05/20/2022	05/20/2022	_		
					Total Shares:	3,590	3,590

#### **Meeting Results**

Outstanding Shares Voted: 6,430,287,310 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Υ	Pass	No	For	7.3%	4,904,494,626	92.7%	383,611,794	7.3%	0	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	4.4%	5,056,331,589	95.6%	231,774,831	4.4%	0	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	3.1%	5,124,400,680	96.9%	163,705,740	3.1%	0	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	0.9%	5,238,099,605	99.1%	50,006,815	0.9%	0	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	2.7%	5,144,345,108	97.3%	143,761,312	2.7%	0	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	1.1%	5,232,184,350	98.9%	55,922,070	1.1%	0	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	1.4%	5,212,941,405	98.6%	75,165,015	1.4%	0	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	2.0%	5,180,691,337	98.0%	107,415,083	2.0%	0	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	3.2%	5,116,809,278	96.8%	171,297,142	3.2%	0	N/A	Proxy	F+A
2	Υ	Pass	No	For	0.9%	5,480,892,394	99.1%	47,413,306	0.9%	3,834,808	N/A	Proxy	F+A
3	Υ	Pass	No	For	14.4%	4,521,652,771	85.6%	758,249,438	14.4%	8,204,211	N/A	Proxy	F+A
4	Υ	Fail	No	Against	28.1%	1,484,954,936	28.1%	3,797,308,327	71.9%	5,843,157	N/A	Proxy	F+A
5	Υ	Fail	No	Against	16.7%	882,731,624	16.7%	4,400,304,144	83.3%	5,070,652	N/A	Proxy	F+A
6	Υ	Fail	No	Against	19.0%	1,000,344,420	19.0%	4,271,695,462	81.0%	16,066,538	N/A	Proxy	F+A
7	Υ	Fail	No	Against	2.7%	143,673,070	2.7%	5,118,039,014	97.3%	26,394,336	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
8	Υ	Fail	No	Against	19.2%	1,014,551,386	19.2%	4,257,534,514	80.8%	16,020,520	N/A	Proxy	F+A
9	Υ	Fail	No	Against	3.0%	153,778,067	3.0%	5,044,992,196	97.0%	89,336,157	N/A	Proxy	F+A
10	Υ	Fail	No	Against	23.8%	1,252,277,259	23.8%	4,019,162,296	76.2%	16,666,865	N/A	Proxy	F+A
11	Υ	Fail	No	Against	17.3%	910,386,444	17.3%	4,358,740,887	82.7%	18,979,089	N/A	Proxy	F+A
12	Υ	Fail	No	Against	0.3%	16,649,419	0.3%	5,258,669,739	99.7%	12,787,262	N/A	Proxy	F+A
13	Υ	Fail	No	Against	20.6%	1,086,537,695	20.6%	4,189,164,211	79.4%	12,404,514	N/A	Proxy	F+A
14	Υ	Fail	No	Against	10.5%	552,168,187	10.5%	4,723,297,839	89.5%	12,640,394	N/A	Proxy	F+A
15	Υ	Fail	No	Against	9.3%	488,979,142	9.3%	4,785,865,773	90.7%	13,261,505	N/A	Proxy	F+A

Meeting Date: 05/26/2022 Record Date: 05/22/2022

Earliest Cutoff Date: 05/20/2022

Votable Shares: 800,000

3b

3c

Primary Security ID: G0756R109

Country: Ireland
Meeting Type: Annual

Primary CUSIP: G0756R109

\*Shares on Loan: 0

Total Ballots: 1

Ticker: BIRG

Meeting ID: 1622531

Primary ISIN: IE00BD1RP616

Shares Instructed: 800,000

Voting Policy: ISS Additional Policy:

Proxy Level: N/A

Shares Voted: 800,000

Primary SEDOL: BD1RP61

**Additional** Voting Vote Vote Vote Against Against Significant Votable Policy Against **Proposal** Mgmt Policy Vote Number Vote Proposal Text Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt **Policy** ISS 1 Accept Financial Statements and Mgmt Yes For For For For No No No Statutory Reports Approve Final Dividend Mamt Yes For For For No No For No 3a Elect Mark Spain as Director Mgmt Yes For For No No No

Voting Policy Rationale: Items 3a-3g and 3i-3k A vote FOR these candidates is warranted as no significant concerns have been identified. Item 3h A vote FOR Patrick Kennedy, the Board Chair, is warranted, although this is not without concern because: \* He has served for eleven years on the Board. The main reason for support is that: \* A detailed and convincing rationale for his continuing tenure has been provided.

Blended Rationale: Items 3a-3g and 3i-3k A vote FOR these candidates is warranted as no significant concerns have been identified. Item 3h A vote FOR Patrick Kennedy, the Board Chair, is warranted, although this is not without concern because: \* He has served for eleven years on the Board. The main reason for support is that: \* A detailed and convincing rationale for his continuing tenure has been provided.

Re-elect Giles Andrews as Director

Mgmt

Yes

For

or

No

No

No

No

No

Voting Policy Rationale: Items 3a-3g and 3i-3k A vote FOR these candidates is warranted as no significant concerns have been identified. Item 3h A vote FOR Patrick Kennedy, the Board Chair, is warranted, although this is not without concern because: \* He has served for eleven years on the Board. The main reason for support is that: \* A detailed and convincing rationale for his continuing tenure has been provided.

Blended Rationale: Items 3a-3g and 3i-3k A vote FOR these candidates is warranted as no significant concerns have been identified. Item 3h A vote FOR Patrick Kennedy, the Board Chair, is warranted, although this is not without concern because: \* He has served for eleven years on the Board. The main reason for support is that: \* A detailed and convincing rationale for his continuing tenure has been provided.

Yes

Re-elect Evelyn Bourke as Director

Mgmt

For

For

For

No

Voting Policy Rationale: Items 3a-3g and 3i-3k A vote FOR these candidates is warranted as no significant concerns have been identified. Item 3h A vote FOR Patrick Kennedy, the Board Chair, is warranted, although this is not without concern because: \* He has served for eleven years on the Board. The main reason for support is that: \* A detailed and convincing rationale for his continuing tenure has been provided.

Blended Rationale: Items 3a-3g and 3i-3k A vote FOR these candidates is warranted as no significant concerns have been identified. Item 3h A vote FOR Patrick Kennedy, the Board Chair, is warranted, although this is not without concern because: \* He has served for eleven years on the Board. The main reason for support is that: \* A detailed and convincing rationale for his continuing tenure has been provided.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3d		Re-elect Ian Buchanan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board Ci	hair, is warra	nted, altho	ugh this is no	ot without cond	ern because	· *		-	
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. Scontinuing tenure has been provided.	ly, the Board Ci	hair, is warra	anted, altho	ugh this is no	ot without cond	ern because				
3e		Re-elect Eileen Fitzpatrick as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. Continuing tenure has been provided.	ly, the Board Ci	hair, is warra	nted, altho	ugh this is no	ot without cond	ern because	?; *		-	
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. To continuing tenure has been provided.	ly, the Board Ci	hair, is warra	nted, altho	ugh this is no	ot without cond	ern because				
3f		Re-elect Richard Goulding as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. Continuing tenure has been provided.	ly, the Board Ci	hair, is warra	nted, altho	ugh this is no	ot without cond	ern because	?; *		-	
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. Continuing tenure has been provided.	ly, the Board Ci	hair, is warra	anted, altho	ugh this is no	ot without cond	ern because			-	
3g		Re-elect Michele Greene as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. Continuing tenure has been provided.	ly, the Board Ci	hair, is warra	nted, altho	ugh this is no	ot without cond	ern because	?; *		-	
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. Scontinuing tenure has been provided.	ly, the Board Ci	hair, is warra	nted, altho	ugh this is no	ot without cond	ern because			-	
3h		Re-elect Patrick Kennedy as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board Ci	hair, is warra	nted, altho	ugh this is no	ot without cond	ern because	· *		-	
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. Items the continuing tenure has been provided.	ly, the Board Ci	hair, is warra	anted, altho	ugh this is no	ot without cond	cern because				
3i		Re-elect Francesca McDonagh as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. Continuing tenure has been provided.	ly, the Board Ci	hair, is warra	nted, altho	ugh this is no	ot without cond	ern because	?; *		-	
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned, He has served for eleven years on the Board. Continuing tenure has been provided.	ly, the Board Ci	hair, is warra	anted, altho	ugh this is no	ot without cond	ern because				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3j		Re-elect Fiona Muldoon as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i- identified. Item 3h A vote FOR Patrick Kenne He has served for eleven years on the Board continuing tenure has been provided.	edy, the Board C	hair, is warra	anted, altho	ough this is no	t without cond	ern because	e: *			
		Blended Rationale: Items 3a-3g and 3i-3k A identified. Item 3h A vote FOR Patrick Kenne He has served for eleven years on the Board continuing tenure has been provided.	edy, the Board C	hair, is warra	anted, altho	ough this is no	t without cond	ern because				
3k		Re-elect Steve Pateman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i- identified. Item 3h A vote FOR Patrick Kenne He has served for eleven years on the Board continuing tenure has been provided.	edy, the Board C	hair, is warra	anted, altho	ough this is no	t without cond	ern because	e: *		-	
		Blended Rationale: Items 3a-3g and 3i-3k A identified. Item 3h A vote FOR Patrick Kenne He has served for eleven years on the Board continuing tenure has been provided.	edy, the Board C	hair, is warra	anted, altho	ough this is no	t without cond	ern because			-	
4		Ratify KPMG as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
5		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
6		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No
7		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
8		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these re recommended limits.	solutions is warr	anted becau	se the prop	osed amounts	s and duration	s are within	,		-	
		Blended Rationale: A vote FOR these resolut recommended limits.	ions is warrante	d because th	e proposed	l amounts and	d durations are	within				
10		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these re recommended limits.	solutions is warr	anted becau.	se the prop	osed amounts	s and duration	s are within	,			
		Blended Rationale: A vote FOR these resolut recommended limits.	ions is warrante	d because th	e proposed	l amounts and	d durations are	within				
11		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these re recommended limits.	solutions is warr	anted becau	se the prop	osed amounts	s and duration	s are within	,			
		Blended Rationale: A vote FOR these resolut recommended limits.	ions is warrante	d because th	e proposed	amounts and	durations are	within			-	

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
12		Authorise Issue of Equity in Relation to Additional Tier 1 Contingent Equity Conversion Notes	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: vote FOR these reso. Company were to issue Additional Tier 1 Con to convert into ordinary shares, this would re support are: * Such authorities are common * The conversion into ordinary shares would weakness well beyond the Company s curren	tingent Equity C sult in significan proposals at bai require the CET	Conversion Noted to dilution to and are and are for the fall of th	otes and a to non-particip intended to If to a level to	rigger event pating shareh apply in extr which would	were to occur, olders. The ma eme circumsta	causing the ain reasons nces only; a	em for			
		Blended Rationale: vote FOR these resolution Company were to issue Additional Tier 1 Con to convert into ordinary shares, this would re support are: * Such authorities are common * The conversion into ordinary shares would weakness well beyond the Company s curren	tingent Equity C sult in significan proposals at bai require the CET	Conversion Noted to the contract of the contra	otes and a to non-particip intended to If to a level to	rigger event pating shareh apply in extr which would	were to occur, olders. The ma eme circumsta	causing the ain reasons nces only; a	for			
13		Authorise Issue of Equity without Pre-emptive Rights in Relation to Additional Tier 1 Contingent Equity Conversion Notes	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: vote FOR these resolutions is warranted, although this is not without concern for shareholders: \* If the Company were to issue Additional Tier 1 Contingent Equity Conversion Notes and a trigger event were to occur, causing them to convert into ordinary shares, this would result in significant dilution to non-participating shareholders. The main reasons for support are: \* Such authorities are common proposals at banks and are intended to apply in extreme circumstances only; and \* The conversion into ordinary shares would require the CET1 ratio to fall to a level which would denote significant capital weakness well beyond the Company s current position and minimum regulatory requirements.

Blended Rationale: vote FOR these resolutions is warranted, although this is not without concern for shareholders: \* If the Company were to issue Additional Tier 1 Contingent Equity Conversion Notes and a trigger event were to occur, causing them to convert into ordinary shares, this would result in significant dilution to non-participating shareholders. The main reasons for support are: \* Such authorities are common proposals at banks and are intended to apply in extreme circumstances only; and \* The conversion into ordinary shares would require the CET1 ratio to fall to a level which would denote significant capital weakness well beyond the Company's current position and minimum regulatory requirements.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		800,000	800,000
			05/18/2022	05/18/2022			
					Total Shares:	800,000	800,000

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	741,540,596	100.0%	12,276	0.0%	656,292	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	742,157,796	100.0%	11,663	0.0%	40,272	N/A	Poll	F+A
3a	Υ	Pass	No	For	1.7%	729,493,678	98.3%	12,660,618	1.7%	54,721	N/A	Poll	F+A
3b	Υ	Pass	No	For	0.6%	737,777,644	99.4%	4,363,830	0.6%	67,543	N/A	Poll	F+A
3c	Υ	Pass	No	For	6.2%	696,409,662	93.8%	45,735,674	6.2%	63,681	N/A	Poll	F+A
3d	Υ	Pass	No	For	0.6%	737,773,818	99.4%	4,363,097	0.6%	72,676	N/A	Poll	F+A
3e	Υ	Pass	No	For	1.4%	731,825,371	98.6%	10,321,904	1.4%	62,316	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
3f	Υ	Pass	No	For	1.4%	731,803,328	98.6%	10,341,997	1.4%	64,266	N/A	Poll	F+A
3g	Y	Pass	No	For	0.7%	736,670,813	99.3%	5,467,695	0.7%	70,936	N/A	Poll	F+A
3h	Y	Pass	No	For	4.2%	710,615,350	95.8%	31,034,165	4.2%	559,068	N/A	Poll	F+A
3i	Υ	Pass	No	For	0.7%	736,640,687	99.3%	5,465,658	0.7%	103,078	N/A	Poll	F+A
3j	Υ	Pass	No	For	1.4%	731,805,440	98.6%	10,341,553	1.4%	62,513	N/A	Poll	F+A
3k	Υ	Pass	No	For	0.6%	737,781,704	99.4%	4,355,350	0.6%	72,537	N/A	Poll	F+A
4	Υ	Pass	No	For	0.1%	735,618,860	99.9%	506,203	0.1%	6,094,085	N/A	Poll	F+A
5	Υ	Pass	No	For	0.0%	741,762,019	100.0%	366,680	0.0%	91,290	N/A	Poll	F+A
6	Υ	Pass	No	For	6.1%	696,417,990	93.9%	45,307,922	6.1%	493,917	N/A	Poll	F+A
7	Υ	Pass	No	For	0.2%	740,924,738	99.8%	1,239,564	0.2%	55,687	N/A	Poll	F+A
8	Y	Pass	No	For	0.8%	735,676,986	99.2%	6,089,039	0.8%	453,751	N/A	Poll	F+A
9	Υ	Pass	No	For	5.1%	704,425,965	94.9%	37,787,904	5.1%	6,120	N/A	Poll	F+A
10	Υ	Pass	No	For	0.0%	742,068,401	100.0%	127,678	0.0%	23,910	N/A	Poll	F+A
11	Y	Pass	No	For	7.3%	687,637,313	92.7%	54,548,887	7.3%	33,789	N/A	Poll	F+A
12	Y	Pass	No	For	0.1%	741,465,782	99.9%	706,204	0.1%	48,003	N/A	Poll	F+A
13	Υ	Pass	No	For	0.8%	736,024,084	99.2%	6,149,746	0.8%	46,159	N/A	Poll	F+A

### **Belvoir Group Plc**

Meeting Date: 05/26/2022 **Record Date:** 05/24/2022

Primary Security ID: G1162V106

Earliest Cutoff Date: 05/20/2022

Votable Shares: 390,000

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G1162V106

**Total Ballots:** 1 \*Shares on Loan: 0 Ticker: BLV

Voting Policy: ISS

Meeting ID: 1621841

Primary ISIN: GB00B4QY1P51

Proxy Level: N/A

Primary SEDOL: B4QY1P5

**Additional Policy:** Shares Instructed: 390,000 Shares Voted: 390,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
3		Reappoint BDO LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
5		Re-elect Paul George as Director	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: A vote FOR the re-election/election of Paul George, Michelle Brook and Jon Di-Stefano is warranted because no significant concerns have been identified.

Blended Rationale: A vote FOR the re-election/election of Paul George, Michelle Brook and Jon Di-Stefano is warranted because no significant concerns have been identified.

# **Belvoir Group Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6		Elect Michelle Brook as Director		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote I because no significant concerns			of Paul Georg	ie, Michelle i	Brook and Jo	n Di-Stefano is	warranted				
		Blended Rationale: A vote FOR to no significant concerns have been	-	election of Pau	ıl George, M	ichelle Brook	k and Jon Di-	Stefano is war	ranted beca	use			
7		Elect Jon Di-Stefano as Director		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote I because no significant concerns		-	of Paul Georg	ie, Michelle i	Brook and Jo	n Di-Stefano is	warranted				
		Blended Rationale: A vote FOR to no significant concerns have been	-	election of Pau	ıl George, M	ichelle Brook	k and Jon Di-	Stefano is war	ranted beca	use			
8		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote Frecommended limits.	FOR these resol	utions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR to recommended limits.	hese resolution	s is warranted	d because the	e proposed a	amounts and	durations are	within				
9		Authorise Issue of Equity without Pre-emptive Rights	ut	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote Precommended limits.	FOR these resol	utions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR to recommended limits.	hese resolution	s is warranted	d because the	e proposed a	amounts and	durations are	within				
10		Authorise Market Purchase of O Shares	rdinary	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutiona (IA Name, I	l Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
NT RSG01, NT	Γ RSG01	RSG01	Confirmed	jbisset		jbisset				390,00	00	390,	000
				05/19/2022	2	05/19/20	22						

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
2	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
3	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
4	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
5	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
6	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
7	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
8	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A

Total Shares:

390,000

390,000

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
9	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
10	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A

Ticker: VSCO

Meeting ID: 1637575

Proxy Level: 3

**Primary SEDOL: BNNTGH3** 

#### Victoria's Secret & Co.

Meeting Date: 05/27/2022 Country: USA

**Record Date:** 03/31/2022 **Meeting Type:** Annual

Earliest Cutoff Date: 05/26/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy:

Votable Shares: 25,174 \*Shares on Loan: 0 Shares Instructed: 25,174 Shares Voted: 25,174

Additional Voting Vote Vote Significant Votable Mamt Policy Policy Vote Against Against Against Proposal ISS Rec Number **Proposal Text** Proponent **Proposal** Instruction Policy ISS Vote Rec Rec Rec Mgmt 1.1 Elect Director Irene Chang Britt Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. Elect Director Sarah Davis For 1.2 Mgmt Yes For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. Elect Director Jacqueline Hernandez 1.3 Mgmt For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. Elect Director Donna James Mamt For For 1.4 Yes For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.5 Elect Director Mariam Naficy For For For No No Mamt For No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. Elect Director Lauren Peters 1.6 Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1.7 Elect Director Anne Sheehan For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. Elect Director Martin Waters 1.8 Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 2 Advisory Vote to Ratify Named Mgmt Yes For For For For No No No Executive Officers' Compensation Advisory Vote on Say on Pay One Year Mgmt Yes One Year One One Year No No 3 No Frequency Year Ratify Ernst & Young LLP as Auditors Mgmt For For For For No No No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### Victoria's Secret & Co.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	1768944	Confirmed	jbisset	jbisset		25,174	25,174
			05/18/2022	05/18/2022			
					Total Shares:	25,174	25,174

#### **Meeting Results**

Outstanding Shares Voted: 82,827,486

Results Available: Full

	•	,	,											
Item #	Voting Proposa		Dissident y Proposal	Mgmt Rec	Dissent Level	For	% For	Again	ıst	% Against	Abstain	% Abstain	Result Type	Base
1.1	Υ	Pass	No	For	0.3%	71,265,358	99.7%	232,2	48	0.3%	60,019	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	1.0%	70,817,512	99.0%	680,1	41	1.0%	59,972	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.0%	70,800,310	99.0%	697,0	45	1.0%	60,270	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	1.1%	70,720,515	98.9%	789,4	99	1.1%	47,611	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	0.1%	71,452,047	99.9%	56,55	60	0.1%	49,028	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	0.1%	71,400,931	99.9%	94,31	.8	0.1%	62,376	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	1.2%	68,263,846	98.8%	862,5	91	1.2%	2,431,188	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	0.2%	71,395,670	99.8%	112,0	62	0.2%	49,893	N/A	Proxy	F+A
2	Υ	Pass	No	For	0.9%	70,854,622	99.1%	626,2	24	0.9%	76,779	N/A	Proxy	F+A
4	Υ	Pass	No	For	0.1%	75,475,689	99.9%	56,63	1	0.1%	27,421	N/A	Proxy	F+A
	VTG Prop Sum	Dission Pro	•	Dissent Level	1 YR	% 1 YR	2 YR	% 2 YR	3 YR	% 3 YR	Abstain	% Abstain	Result Type	Base
3	Y P	ass No	One Yea	r N/A	71055117	99.3%	24769	0.0%	415019	0.6%	62721	0.1%	Proxy	F+A

## **Alphabet Inc.**

Meeting Date: 06/01/2022	Country: USA	Ticker: GOOGL	Proxy Level: 3	
<b>Record Date:</b> 04/05/2022	Meeting Type: Annual	Meeting ID: 1641383		
Primary Security ID: 02079K305	Primary CUSIP: 02079K305	<b>Primary ISIN:</b> US02079K3059	Primary SEDOL: BYVY8G0	
Earliest Cutoff Date: 05/31/2022	Total Ballots: 1	Voting Policy: ISS	Additional Policy:	Π
Votable Shares: 292	*Shares on Loan: 0	Shares Instructed: 292	Shares Voted: 292	

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Larry Page	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	anted.								
1b		Elect Director Sergey Brin	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Rlanded Pationale: A vote FOP the director no	omineec ic war	antad							-	

Blended Rationale: A vote FOR the director nominees is warranted.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Alphabet Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1c		Elect Director Sundar Pichai	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1d		Elect Director John L. Hennessy	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1e		Elect Director Frances H. Arnold	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1f		Elect Director L. John Doerr	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1g		Elect Director Roger W. Ferguson, Jr.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1h		Elect Director Ann Mather	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
<b>1</b> i		Elect Director K. Ram Shriram	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
1j		Elect Director Robin L. Washington	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director non	minees is warra	anted.								
2		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Amend Omnibus Stock Plan	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: Based on evaluation of Scorecard (EPSC), a vote AGAINST this propose rate is excessive * The disclosure of change-in- discretionary) * The plan permits liberal recyclic Blended Rationale: Based on evaluation of the	al is warranted control ("CIC" ing of shares *	due to the vesting tre The plan all	following key atment is ind lows broad d	v factor(s): ** complete (or liscretion to a	* The three-year is otherwise of accelerate vest	ar average b considered ting	urn			
		Scorecard (EPSC), a vote AGAINST this propose rate is excessive * The disclosure of change-in- discretionary) * The plan permits liberal recycli	control ("CIC"	) vesting tre	atment is ind	complete (or	is otherwise o	onsidered	urn			
4		Increase Authorized Common Stock	Mgmt	Yes	For	For		For	For	No	No	No

## **Alphabet Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5		Report on Lobbying Payments and Policy	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this resolution practices and policies, such as its trade associal management of related risks.		-		-		-				
		Blended Rationale: A vote FOR this resolution and policies, such as its trade association men management of related risks.				-		-	ices			
6		Report on Climate Lobbying	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proporeview of how the company's and its trade ass company caused by climate change and the co	sociations' lobb	ying position	•		,					
		Blended Rationale: A vote FOR this proposal is of how the company's and its trade association caused by climate change and the company's	ns' lobbying po	sitions align			,					
7		Report on Physical Risks of Climate Change	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proporegarding how the company is assessing and it				benefit from .	increased disci	losure				
		Blended Rationale: A vote FOR this proposal is how the company is assessing and managing			would bene	fit from incre	ased disclosur	e regarding				
8		Report on Metrics and Efforts to Reduce Water Related Risk	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proporegarding how the company is managing climaters.			ders would i	benefit from .	increased disci	losure				
		Blended Rationale: A vote FOR this proposal is how the company is managing climate-related		hareholders	would bene	fit from incre	ased disclosur	e regarding				
9		Oversee and Report a Third-Party Racial Equity Audit	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this resolute better assess the effectiveness of Alphabet's e of related risks.						-			_	
		Blended Rationale: A vote FOR this resolution better assess the effectiveness of Alphabet's e of related risks.		-					ent			
10		Report on Risks Associated with Use of Concealment Clauses	SH	Yes	Against	Against		Against	Against	No	No	No
11		Approve Recapitalization Plan for all Stock to Have One-vote per Share	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propo preference for a capital structure in which the			,			reholders'				
		Blended Rationale: A vote FOR this proposal is preference for a capital structure in which the			,			lders'				
12		Report on Government Takedown Requests	SH	Yes	Against	Against		Against	Against	No	No	No
13		Report on Risks of Doing Business in Countries with Significant Human Rights Concerns	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proporegarding how the company is managing hum.					increased disci	losure				
		Blended Rationale: A vote FOR this proposal is how the company is managing human rights-r				fit from incre	ased disclosur	e regarding				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Alphabet Inc.**

Proposal Significa Number Vote	nt Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
14	Report on Managing Risks Re Data Collection, Privacy and S		SH	Yes	Against	Against		Against	Against	No	No	No
15	Disclose More Quantitative ar Qualitative Information on Alg Systems		SH	Yes	Against	For		For	For	Yes	No	No
	Voting Policy Rationale: A vote systems and increased reporti			-		,		-	mic			
	Blended Rationale: A vote FOR systems and increased reporti			•	•	,		-				
16	Commission Third Party Asses Company's Management of Misinformation and Disinform Across Platforms		SH	Yes	Against	For		For	For	Yes	No	No
	Voting Policy Rationale: A vote shareholders better evaluate t misinformation.					_					_	
	Blended Rationale: A vote FOI shareholders better evaluate t misinformation.					-						
17	Report on External Costs of Misinformation and Impact or Diversified Shareholders	1	SH	Yes	Against	Against		Against	Against	No	No	No
18	Report on Steps to Improve F Gender Board Diversity	tacial and	SH	Yes	Against	Against		Against	Against	No	No	No
19	Establish an Environmental Sustainability Board Committe	ee	SH	Yes	Against	Against		Against	Against	No	No	No
20	Adopt a Policy to Include Non-Management Employees Prospective Director Candidat		SH	Yes	Against	Against		Against	Against	No	No	No
21	Report on Policies Regarding and Militarized Policing Agenc	•	SH	Yes	Against	Against		Against	Against	No	No	No
<b>Ballot Details</b>												
Institutional Account De (IA Name, IA Number)	etail Custodian Account Number	Ballot Status	Instructed	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
NT RSG01, NT RSG01	1768944	Confirmed	Auto-Instru	ıcted	Auto-App	roved			292		29	2
			05/18/2022	2	05/18/20	22						

#### **Meeting Results**

Outstanding Shares Voted: 744,803,196 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	1.8%	592,852,727	98.2%	10,483,152	1.7%	444,070	0.1%	Proxy	F+A+AB
1b	Υ	Pass	No	For	1.8%	592,848,088	98.2%	10,485,256	1.7%	446,605	0.1%	Proxy	F+A+AB
1c	Υ	Pass	No	For	1.3%	595,666,963	98.7%	7,658,201	1.3%	454,785	0.1%	Proxy	F+A+AB
1d	Υ	Pass	No	For	8.3%	553,426,687	91.7%	49,316,543	8.2%	1,036,719	0.2%	Proxy	F+A+AB
1e	Υ	Pass	No	For	2.3%	589,868,660	97.7%	12,865,280	2.1%	1,046,009	0.2%	Proxy	F+A+AB

Total Shares:

292

292

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1f	Υ	Pass	No	For	5.5%	570,481,269	94.5%	32,801,993	5.4%	496,687	0.1%	Proxy	F+A+AB
1g	Υ	Pass	No	For	0.9%	598,326,295	99.1%	4,942,318	0.8%	511,336	0.1%	Proxy	F+A+AB
1h	Υ	Pass	No	For	10.9%	537,742,671	89.1%	65,444,274	10.8%	593,004	0.1%	Proxy	F+A+AB
1i	Υ	Pass	No	For	3.4%	583,326,313	96.6%	19,954,131	3.3%	499,505	0.1%	Proxy	F+A+AB
1j	Υ	Pass	No	For	2.2%	590,506,893	97.8%	12,772,617	2.1%	500,439	0.1%	Proxy	F+A+AB
2	Υ	Pass	No	For	2.6%	617,716,269	97.4%	15,840,842	2.5%	573,282	0.1%	Proxy	F+A+AB
3	Υ	Pass	No	For	16.1%	506,345,892	83.9%	96,866,593	16.0%	567,464	0.1%	Proxy	F+A+AB
4	Υ	Pass	No	For	3.3%	609,336,661	81.8%	24,202,969	3.2%	590,763	0.1%	Proxy	Capital Represented
5	Υ	Fail	No	Against	19.1%	114,337,748	18.9%	488,159,890	80.9%	1,282,311	0.2%	Proxy	F+A+AB
6	Υ	Fail	No	Against	20.1%	113,506,023	18.8%	482,661,112	79.9%	7,612,814	1.3%	Proxy	F+A+AB
7	Υ	Fail	No	Against	18.1%	107,101,112	17.7%	494,683,281	81.9%	1,995,556	0.3%	Proxy	F+A+AB
8	Υ	Fail	No	Against	22.9%	136,092,909	22.5%	465,662,037	77.1%	2,025,003	0.3%	Proxy	F+A+AB
9	Υ	Fail	No	Against	22.6%	134,699,006	22.3%	466,991,436	77.3%	2,089,507	0.3%	Proxy	F+A+AB
10	Υ	Fail	No	Against	12.4%	72,130,367	11.9%	528,736,242	87.6%	2,913,340	0.5%	Proxy	F+A+AB
11	Υ	Fail	No	Against	33.3%	200,212,885	33.2%	402,897,885	66.7%	669,179	0.1%	Proxy	F+A+AB
12	Υ	Fail	No	Against	1.0%	2,422,967	0.4%	597,589,694	99.0%	3,767,288	0.6%	Proxy	F+A+AB
13	Υ	Fail	No	Against	17.3%	102,603,593	17.0%	498,995,355	82.6%	2,181,001	0.4%	Proxy	F+A+AB
14	Υ	Fail	No	Against	12.5%	73,742,058	12.2%	528,275,894	87.5%	1,761,997	0.3%	Proxy	F+A+AB
15	Υ	Fail	No	Against	19.9%	117,998,457	19.5%	483,701,300	80.1%	2,080,192	0.3%	Proxy	F+A+AB
16	Υ	Fail	No	Against	23.4%	138,860,290	23.0%	462,429,154	76.6%	2,490,505	0.4%	Proxy	F+A+AB
17	Υ	Fail	No	Against	4.2%	21,171,063	3.5%	578,562,198	95.8%	4,046,688	0.7%	Proxy	F+A+AB
18	Υ	Fail	No	Against	5.6%	31,672,686	5.2%	569,647,268	94.3%	2,459,995	0.4%	Proxy	F+A+AB
19	Υ	Fail	No	Against	5.2%	28,643,318	4.7%	572,059,570	94.7%	3,077,061	0.5%	Proxy	F+A+AB
20	Υ	Fail	No	Against	2.7%	15,377,634	2.5%	587,364,843	97.3%	1,037,472	0.2%	Proxy	F+A+AB
21	Υ	Fail	No	Against	9.8%	55,301,799	9.2%	544,653,039	90.2%	3,825,111	0.6%	Proxy	F+A+AB

## **GoDaddy Inc.**

Country: USA Ticker: GDDY Meeting Date: 06/01/2022 **Proxy Level:** 5 **Record Date:** 04/06/2022 Meeting Type: Annual **Meeting ID:** 1641401 Primary Security ID: 380237107 **Primary CUSIP:** 380237107 **Primary ISIN:** US3802371076 Primary SEDOL: BWFRFC6 Earliest Cutoff Date: 05/31/2022 Total Ballots: 1 Voting Policy: ISS **Additional Policy:** Votable Shares: 26,740 \*Shares on Loan: 0 Shares Instructed: 26,740 Shares Voted: 26,740

	Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
-	1a		Elect Director Amanpal (Aman)	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **GoDaddy Inc.**

Proposal Signific		Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
	V	oting Policy Rationale: A vote P	FOR the director	r nominees is	warranted.								
	В	Rlended Rationale: A vote FOR t	the director non	ninees is warra	anted.								
1b	E	Elect Director Caroline Donahue	:	Mgmt	Yes	For	For		For	For	No	No	No
	V	oting Policy Rationale: A vote I	OR the director	r nominees is	warranted.								
	В	Rlended Rationale: A vote FOR t	the director non	ninees is warra	anted.								
1c	E	Elect Director Charles Robel		Mgmt	Yes	For	For		For	For	No	No	No
	V	oting Policy Rationale: A vote P	FOR the director	r nominees is	warranted.								
	В	Rlended Rationale: A vote FOR t	the director non	ninees is warra	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensatio	on	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote on Say on Pay Frequency		Mgmt	Yes	One Year	One Year		One Year	One Year	No	No	No
4	F	Ratify Ernst & Young LLP as Au	ditors	Mgmt	Yes	For	For		For	For	No	No	No
5	[	Declassify the Board of Director	S	Mgmt	Yes	For	For		For	For	No	No	No
6		Eliminate Certain Supermajority Requirements	Voting	Mgmt	Yes	For	For		For	For	No	No	No
7	E	Amend Certificate of Incorporat Eliminate Certain Business Combination Restrictions	ion to	Mgmt	Yes	For	For		For	For	No	No	No
8	E I	Amend Certificate of Incorporat Eliminate Inoperative Provisions Implement Certain Other Miscellaneous Amendments		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details													
Institutional Account (IA Name, IA Number)		Custodian Account Number	Ballot Status	Instructed	ļ	Approved	!	Ballot Voting	Status	Votable Si	nares	Shares '	Voted
NT RSG01, NT RSG01		1768944	Confirmed	jbisset		jbisset				26,74	0	26,7	740
				05/19/2022		05/19/202	2						

#### **Meeting Results**

Outstanding Shares Voted: 162,053,285 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	0.6%	140,888,793	99.4%	831,042	0.6%	17,690	N/A	Proxy	F+A
1b	Υ	Pass	No	For	1.7%	139,226,683	98.3%	2,479,754	1.7%	31,088	N/A	Proxy	F+A
1c	Υ	Pass	No	For	1.7%	134,746,644	98.3%	2,292,579	1.7%	4,698,302	N/A	Proxy	F+A
2	Υ	Pass	No	For	16.9%	117,784,337	83.1%	23,920,585	16.9%	32,603	0.0%	Proxy	F+A+AB
4	Υ	Pass	No	For	0.8%	147,472,602	99.2%	1,148,738	0.8%	10,260	0.0%	Proxy	F+A+AB
5	Υ	Pass	No	For	0.1%	141,585,231	99.9%	138,949	0.1%	13,345	0.0%	Proxy	F+A+AB
6	Υ	Pass	No	For	0.1%	141,549,650	99.9%	166,805	0.1%	21,070	0.0%	Proxy	F+A+AB

Total Shares:

26,740

26,740

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	A	gainst	% Against	Abstain	% Abstain	Result Type	Base
7	Y	Pass	No	For	12.2%	124,399,755	87.8%	17,	,317,537	12.2%	20,233	0.0%	Proxy	F+A+AB
8	Υ	Pass	No	For	0.0%	141,689,185	100.0%	2	25,041	0.0%	23,299	0.0%	Proxy	F+A+AB
	VTG Prop Sumi	Dissiden nary Prop	t Mgmt Rec	Dissent Level	1 YR	% 1 YR	2 YR	% 2 YR	3 YR	% 3 YR	Abstain	% Abstain	Result Type	Base
3	Y Pa	ss No	One Year	N/A	140799074	99.3%	10583	0.0%	911330	0.6%	16538	0.0%	Proxy	F+A

## **Prosegur Cash SA**

Meeting Date: 06/01/2022

Country: Spain

Ticker: CASH

Proxy Level: N/A

**Record Date:** 05/27/2022

Meeting Type: Annual

Meeting ID: 1610500

**Primary ISIN:** ES0105229001

**Primary Security ID:** E8S56X108

Primary CUSIP: E8S56X108

Voting Policy: ISS

**Additional Policy:** 

Primary SEDOL: BDH3Q71

Earliest Cutoff Date: 05/30/2022 Votable Shares: 720,973

Total Ballots: 1 \*Shares on Loan: 0

Shares Instructed: 720,973

Shares Voted: 720,973

votable 3i	iaies. 720,373	Shares on Loan.			Silai es 11	istructeu. 720	1,575		Silares voted	1. 720,373		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Consolidated and Standalone Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Non-Financial Information Statement	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Allocation of Income and Dividends	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Discharge of Board	Mgmt	Yes	For	For		For	For	No	No	No
5		Reelect Christian Gut Revoredo as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the reele Item 7 is warranted, as the company complic controlled companies. A vote FOR Item 6 is to	es with the one-	third indepe	ndence guid	deline applicat	ble to Spain-in				_	
		Blended Rationale: A vote FOR the reelection warranted, as the company complies with the companies. A vote FOR Item 6 is warranted.	e one-third inde	pendence gi	uideline app	licable to Spa					-	
6		Reelect Jose Antonio Lasanta Luri as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the reele Item 7 is warranted, as the company complic controlled companies. A vote FOR Item 6 is to	es with the one-	third indepe	ndence guid	deline applicat	ble to Spain-in					
		Blended Rationale: A vote FOR the reelection warranted, as the company complies with the companies. A vote FOR Item 6 is warranted.	e one-third inde	pendence gu	uideline app	licable to Spa					-	
7		Reelect Antonio Rubio Merino as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the reele Item 7 is warranted, as the company complic controlled companies. A vote FOR Item 6 is to	es with the one-	third indepe	ndence guid	deline applicat	ble to Spain-in				-	
		Blended Rationale: A vote FOR the reelection warranted, as the company complies with the companies. A vote FOR Item 6 is warranted.	e one-third inde	pendence gu	uideline app	licable to Spa					-	
8		Advisory Vote on Remuneration Report	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes

# **Prosegur Cash SA**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9	Approve Remuneration Police	cy	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
	Voting Policy Rationale: A vo does not set clear guidelines joined the company. * Infor Optimum LTI awards vest at	on potential salar mation on the STI	ry increase and	the board h	as significant	tly increased	the CEO's sal	ary since he	pal			
	Blended Rationale: A vote Ad set clear guidelines on poten company. * Information on U LTI awards vest after three y	ntial salary increase the STI metrics rea	e and the board	d has signific	cantly increas	sed the CEO	's salary since	he joined the	,			
10	Approve Reduction in Share Amortization of Treasury Sh	•	Mgmt	Yes	For	For		For	For	No	No	No
11	Approve Merger by Absorpti Prosegur Global CIT SLU	on of	Mgmt	Yes	For	For		For	For	No	No	No
12	Authorize Board to Ratify an Approved Resolutions	nd Execute	Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account Deta (IA Name, IA Number)	il Custodian Account Number	Ballot Status	Instructed	i	Approved	i	Ballot Voting	Status	Votable S	hares	Shares	Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset		jbisset				720,9	73	720,	973
			05/26/2022		05/26/202	2						
								Total Shares:	720,9	73	720,	973

#### **Meeting Results**

Outstanding Shares Voted: 1,522,946,683

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	1,344,171,116	100.0%	0	0.0%	253,938	0.0%	Poll	F+A+AB
2	Υ	Pass	No	For	0.0%	1,344,425,054	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
3	Υ	Pass	No	For	0.0%	1,344,425,054	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
4	Υ	Pass	No	For	0.4%	1,339,144,329	99.6%	4,988,523	0.4%	292,202	0.0%	Poll	F+A+AB
5	Υ	Pass	No	For	2.0%	1,317,619,258	98.0%	26,805,796	2.0%	0	0.0%	Poll	F+A+AB
6	Υ	Pass	No	For	1.8%	1,320,632,169	98.2%	23,792,885	1.8%	0	0.0%	Poll	F+A+AB
7	Υ	Pass	No	For	2.0%	1,317,446,549	98.0%	26,978,505	2.0%	0	0.0%	Poll	F+A+AB
8	Υ	Pass	No	For	5.7%	1,268,342,779	94.3%	76,082,275	5.7%	0	0.0%	Poll	F+A+AB
9	Υ	Pass	No	For	6.7%	1,254,002,033	93.3%	90,423,021	6.7%	0	0.0%	Poll	F+A+AB
10	Υ	Pass	No	For	0.0%	1,344,425,054	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
11	Υ	Pass	No	For	0.0%	1,344,366,454	100.0%	0	0.0%	58,600	0.0%	Poll	F+A+AB
12	Y	Pass	No	For	0.0%	1,344,425,054	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB

## **Chesapeake Energy Corporation**

Meeting Date: 06/09/2022

Country: USA

Ticker: CHK

Proxy Level: 3

**Record Date:** 04/11/2022

Meeting Type: Annual

**Meeting ID:** 1646883

Primary Security ID: 165167735

**Primary CUSIP:** 165167735

**Primary ISIN:** US1651677353

Primary SEDOL: BMZ5LZ5

Earliest Cutoff Date: 06/08/2022

Votable Shares: 106,900

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS

**Additional Policy:** 

Shares Instructed: 106,900

Shares Voted: 106,900

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a	Elect Director Domenic J. Dell'C	Osso, Jr.	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	r nominees is	warranted.								
	Blended Rationale: A vote FOR	the director nor	minees is warr	anted.							-	
1b	Elect Director Timothy S. Dunc	an	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.								
	Blended Rationale: A vote FOR	the director nor	minees is warr	anted.								
1c	Elect Director Benjamin C. Dus	ter, IV	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	r nominees is	warranted.								
	Blended Rationale: A vote FOR	the director nor	minees is warr	anted.								
1d	Elect Director Sarah A. Emerso	n	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	r nominees is	warranted.								
	Blended Rationale: A vote FOR	Blended Rationale: A vote FOR the director nominees is warranted.										
1e	Elect Director Matthew M. Galla	agher	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	Voting Policy Rationale: A vote FOR the director nominees is warranted.										
	Blended Rationale: A vote FOR	the director nor	minees is warr	anted.								
1f	Elect Director Brian Steck		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.								
	Blended Rationale: A vote FOR	the director nor	minees is warr	anted.								
1g	Elect Director Michael A. Wicht	erich	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	r nominees is	warranted.								
	Blended Rationale: A vote FOR	the director nor	minees is warr	anted.								
2	Advisory Vote to Ratify Named Executive Officers' Compensati	on	Mgmt	Yes	For	For		For	For	No	No	No
3	Ratify PricewaterhouseCoopers Auditor	LLP as	Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Instructe	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted	
NT RSG01, NT RSG01	1768944	jbisset		jbisset				106,9	00	106,	900	
			05/31/202	2	05/31/20	22						
								Total Shares:	106,9	00	106,	900

#### **Meeting Results**

Outstanding Shares Voted: 127,185,697 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	0.2%	103,135,437	99.8%	207,525	0.2%	1,969,395	N/A	Proxy	F+A
1b	Y	Pass	No	For	0.2%	103,089,039	99.8%	254,107	0.2%	1,969,211	N/A	Proxy	F+A
1c	Υ	Pass	No	For	0.3%	103,023,987	99.7%	319,452	0.3%	1,968,918	N/A	Proxy	F+A
1d	Υ	Pass	No	For	2.8%	100,426,396	97.2%	2,916,772	2.8%	1,969,189	N/A	Proxy	F+A
1e	Υ	Pass	No	For	4.5%	98,164,971	95.5%	4,598,518	4.5%	2,548,868	N/A	Proxy	F+A
1f	Υ	Pass	No	For	0.1%	103,218,663	99.9%	124,375	0.1%	1,969,319	N/A	Proxy	F+A
1g	Υ	Pass	No	For	0.3%	103,041,006	99.7%	301,314	0.3%	1,970,037	N/A	Proxy	F+A
2	Υ	Pass	No	For	0.7%	101,508,570	99.3%	704,537	0.7%	3,099,250	N/A	Proxy	F+A
3	Υ	Pass	No	For	1.7%	105,419,382	98.3%	1,864,357	1.7%	2,253,205	N/A	Proxy	F+A

### **Jackson Financial Inc.**

Meeting Date: 06/09/2022 Record Date: 04/14/2022

Earliest Cutoff Date: 06/08/2022

Primary Security ID: 46817M107

Country: USA

Meeting Type: Annual

Primary CUSIP: 46817M107

Total Ballots: 1
\*Shares on Loan: 0

Ticker: JXN
Meeting ID: 1641939

Primary ISIN: US46817M1071

Shares Instructed: 33.657

Voting Policy: ISS

Proxy Level: 3

**Additional Policy:** 

Primary SEDOL: BMFX6P4

Shares Voted: 33,657

Votable S	hares: 33,657	*Shares on Loan: 0			Shares I	nstructed: 33,	657		Shares Voted	<b>I:</b> 33,657		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Lily Fu Claffee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the di	rector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	or nominees is warr	ranted.								
1.2		Elect Director Gregory T. Durant	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the di	irector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	or nominees is warr	ranted.								
1.3		Elect Director Steven A. Kandarian	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the di	rector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	or nominees is warr	ranted.								
1.4		Elect Director Derek G. Kirkland	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the di	irector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	or nominees is warr	ranted.								
1.5		Elect Director Drew E. Lawton	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the di	irector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	or nominees is warr	ranted.								
1.6		Elect Director Martin J. Lippert	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the di	rector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	or nominees is warı	ranted.							-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Jackson Financial Inc.**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.7	Elect Director Russell G. Noles		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.								
	Blended Rationale: A vote FOR	the director no	minees is warra	anted.								
1.8	Elect Director Laura L. Prieskon	'n	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.								
	Blended Rationale: A vote FOR	the director no	minees is warra	anted.								
1.9	Elect Director Esta E. Stecher		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.								
	Blended Rationale: A vote FOR	the director no	minees is warra	anted.								
2	Ratify KPMG LLP as Auditors		Mgmt	Yes	For	For		For	For	No	No	No
3	Advisory Vote on Say on Pay Frequency		Mgmt	Yes	One Year	One Year		One Year	One Year	No	No	No
4	Advisory Vote to Ratify Named Executive Officers' Compensati		Mgmt	Yes	For	For		For	For	No	No	No
5	Eliminate Class B Common Sto	ck	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	1	Ballot Voting	Status	Votable S	hares	Shares	Voted
NT RSG01, NT RSG01	1768944	Confirmed	jbisset		jbisset				33,65	7	33,	557
			06/01/2022	2	06/01/202	22						
								Total Shares:	33,65	<del></del>	33,6	557

#### **Meeting Results**

Outstanding Shares Voted: 86,672,714

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	1.1%	57,760,088	98.9%	623,225	1.1%	0	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	0.5%	58,071,626	99.5%	311,687	0.5%	0	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.2%	57,666,462	98.8%	716,851	1.2%	0	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	0.4%	58,151,442	99.6%	231,871	0.4%	0	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	0.5%	58,102,402	99.5%	280,911	0.5%	0	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	1.1%	57,756,714	98.9%	626,599	1.1%	0	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	0.4%	58,156,889	99.6%	226,424	0.4%	0	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	0.3%	58,184,462	99.7%	198,851	0.3%	0	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	1.1%	57,760,512	98.9%	622,801	1.1%	0	N/A	Proxy	F+A
2	Υ	Pass	No	For	1.6%	65,504,943	98.4%	708,021	1.1%	363,997	0.5%	Proxy	F+A+AB
4	Υ	Pass	No	For	3.6%	56,249,701	96.3%	1,947,710	3.3%	185,902	0.3%	Proxy	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #		ting posal S	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Aga	ainst	% Against	Abstain	% Abstain	Result Type	Base
5	,	Υ	Pass	No	For	0.2%	58,189,305	67.1%	16	,731	0.0%	177,277	0.2%	Proxy	Capital Represented
Item #		Summary	Dissident Prop	Mgmt Rec	Dissent Level	1 YR	% 1 YR	2 YR	% 2 YR	3 YR	% 3 YR	Abstain	% Abstain	Result Type	Base
3	Υ	Pass	No	One Year	N/A	57793886	99.0%	324029	0.6%	79335	0.1%	186063	0.3%	Proxy	F+A

## **General Motors Company**

Meeting Date: 06/13/2022Country: USATicker: GMProxy Level: 3

Record Date: 04/19/2022 Meeting Type: Annual Meeting ID: 1647489

 Primary Security ID: 37045V100
 Primary CUSIP: 37045V100
 Primary ISIN: US37045V1008
 Primary SEDOL: B665KZ5

 Earliest Cutoff Date: 06/10/2022
 Total Ballots: 1
 Voting Policy: ISS
 Additional Policy:

 Votable Shares: 147,000
 \*Shares on Loan: 0
 Shares Instructed: 147,000
 Shares Voted: 147,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Mary T. Barra	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1b		Elect Director Aneel Bhusri	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1c		Elect Director Wesley G. Bush	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1d		Elect Director Linda R. Gooden	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1e		Elect Director Joseph Jimenez	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1f		Elect Director Judith A. Miscik	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1g		Elect Director Patricia F. Russo	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1h		Elect Director Thomas M. Schoewe	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR the director nominees is warranted.											
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								

# **General Motors Company**

							Additional	Voting		Vote	Vote	Vote
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
1i		Elect Director Carol M. Stephenson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1j		Elect Director Mark A. Tatum	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1k		Elect Director Devin N. Wenig	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
11		Elect Director Margaret C. Whitman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propos shareholders to call a special meeting would en of the right is considered small given the comp	nhance shareh	olders' ability	to make us	e of the righ	t, and the like		ise			
		Blended Rationale: A vote FOR this proposal is call a special meeting would enhance sharehold considered small given the company's size and	ders' ability to	make use of	the right, a	nd the likelih						
5		Require Independent Board Chair	SH	Yes	Against	Against		Against	Against	No	No	No
6		Report on the Use of Child Labor in Connection with Electric Vehicles	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this proposal is warranted, as additional information on the company's efforts to eliminate child labor from its supply chain would allow investors to better understand how the company is managing human rights-related risks in its supply chain.										
		Blended Rationale: A vote FOR this proposal is warranted, as additional information on the company's efforts to eliminate child labor from its supply chain would allow investors to better understand how the company is managing human rights-related risks in its supply chain.										
Rallot Det	aile											

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	1768944	Confirmed	jbisset	jbisset		147,000	147,000
			06/01/2022	06/01/2022			
					: Total Shares:	147,000	147,000

#### **Meeting Results**

Outstanding Shares Voted: 1,458,240,927 Results Available: Full

Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Type	Base
	. roposui	Juliliary	Пороза		ECVC.			Aguilloc	7.94	Abstani	7.000	.,,,,	

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	4.0%	1,039,068,895	96.0%	43,334,388	4.0%	6,619,561	N/A	Proxy	F+A
1b	Υ	Pass	No	For	0.4%	1,082,407,112	99.6%	4,069,890	0.4%	2,545,842	N/A	Proxy	F+A
1c	Υ	Pass	No	For	1.0%	1,074,924,277	99.0%	11,281,829	1.0%	2,816,738	N/A	Proxy	F+A
1d	Υ	Pass	No	For	0.3%	1,083,001,248	99.7%	3,254,292	0.3%	2,767,304	N/A	Proxy	F+A
1e	Υ	Pass	No	For	5.6%	1,025,762,525	94.4%	60,736,923	5.6%	2,523,396	N/A	Proxy	F+A
1f	Υ	Pass	No	For	1.0%	1,075,382,022	99.0%	10,838,350	1.0%	2,802,472	N/A	Proxy	F+A
1g	Υ	Pass	No	For	7.7%	993,051,809	92.3%	82,346,660	7.7%	13,624,375	N/A	Proxy	F+A
1h	Υ	Pass	No	For	1.0%	1,075,772,231	99.0%	10,399,974	1.0%	2,850,639	N/A	Proxy	F+A
1i	Υ	Pass	No	For	2.9%	1,054,305,094	97.1%	31,921,439	2.9%	2,796,311	N/A	Proxy	F+A
1j	Υ	Pass	No	For	0.6%	1,079,690,772	99.4%	6,783,968	0.6%	2,548,104	N/A	Proxy	F+A
1k	Υ	Pass	No	For	0.3%	1,083,369,503	99.7%	3,092,859	0.3%	2,560,482	N/A	Proxy	F+A
11	Υ	Pass	No	For	0.9%	1,078,208,931	99.1%	9,319,798	0.9%	1,494,115	N/A	Proxy	F+A
2	Υ	Pass	No	For	7.7%	1,005,382,990	92.3%	80,003,171	7.3%	3,636,683	0.3%	Proxy	F+A+AB
3	Υ	Pass	No	For	0.6%	1,212,233,262	99.4%	4,594,488	0.4%	2,539,189	0.2%	Proxy	F+A+AB
4	Υ	Fail	No	Against	39.1%	422,415,213	38.8%	663,278,506	60.9%	3,329,125	0.3%	Proxy	F+A+AB
5	Υ	Fail	No	Against	20.7%	222,140,892	20.4%	863,656,900	79.3%	3,225,052	0.3%	Proxy	F+A+AB
6	Υ	Fail	No	Against	23.5%	240,069,374	22.0%	833,595,989	76.5%	15,357,481	1.4%	Proxy	F+A+AB

### **Activision Blizzard, Inc.**

Meeting Date: 06/21/2022 **Record Date:** 04/22/2022

Primary Security ID: 00507V109

Earliest Cutoff Date: 06/17/2022 Votable Shares: 125,320

Country: USA

Meeting Type: Annual

Primary CUSIP: 00507V109

Total Ballots: 1

\*Shares on Loan: 0

Ticker: ATVI

Meeting ID: 1647569

**Primary ISIN:** US00507V1098

Voting Policy: ISS Shares Instructed: 125,320

**Additional Policy:** 

Shares Voted: 125,320

Primary SEDOL: 2575818

Proxy Level: 3

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Reveta Bowers	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted.

Blended Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted.

# **Activision Blizzard, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1b		Elect Director Kerry Carr	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Re Nolan are warranted, with caution, as the comp understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage a	nal informat and mitigate	ion that woul	d allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company's understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allov	v investors to l	better				
1c		Elect Director Robert Corti	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Re Nolan are warranted, with caution, as the com understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	vide addition to manage a	nal informat and mitigate	ion that woul	d allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allov	v investors to i	better				
1d		Elect Director Brian Kelly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Re Nolan are warranted, with caution, as the com- understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage a	nal informat and mitigate	ion that woul	d allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allov	v investors to i	better				
1e		Elect Director Robert Kotick	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Re Nolan are warranted, with caution, as the com- understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	vide addition to manage a	nal informat and mitigate	ion that woul	d allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allov	v investors to l	better				
1f		Elect Director Lulu Meservey	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Re Nolan are warranted, with caution, as the com understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage a	nal informat and mitigate	ion that woul	d allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allov	v investors to l	better				
1g		Elect Director Barry Meyer	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Re Nolan are warranted, with caution, as the com, understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage a	nal informat and mitigate	ion that woul	d allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allov	v investors to l	better				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Activision Blizzard, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1h		Elect Director Robert Morgado	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Nolan are warranted, with caution, as the co understand the effectiveness of the company discrimination. A vote FOR the remaining dire	mpany could pro 's recent efforts	ovide additions to manage	nal informat and mitigate	ion that wou	ld allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robe are warranted, with caution, as the company understand the effectiveness of the company discrimination. A vote FOR the remaining dire	could provide a	additional info to manage	ormation tha and mitigate	at would allow	v investors to	better				
1i		Elect Director Peter Nolan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Nolan are warranted, with caution, as the co understand the effectiveness of the company discrimination. A vote FOR the remaining directions	mpany could pro 's recent efforts	ovide additions to manage	nal informat and mitigate	ion that wou	ld allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robe are warranted, with caution, as the company understand the effectiveness of the company discrimination. A vote FOR the remaining dire	could provide a	additional info to manage	ormation tha and mitigate	at would allow	v investors to	better				
1j		Elect Director Dawn Ostroff	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Nolan are warranted, with caution, as the co understand the effectiveness of the company discrimination. A vote FOR the remaining dire	mpany could pro 's recent efforts	ovide additions to manage	nal informat and mitigate	ion that wou	ld allow investo	ors to better			-	
		Blended Rationale: Votes FOR directors Robe are warranted, with caution, as the company understand the effectiveness of the company discrimination. A vote FOR the remaining dire	could provide a s's recent efforts	additional info to manage	ormation tha and mitigate	at would allow	v investors to	better				
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Adopt a Policy to Include Non-Management Employees as Prospective Director Candidates	SH	Yes	Against	Against		Against	Against	No	No	No
5		Report on Efforts Prevent Abuse, Harassment, and Discrimination	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this prop the ongoing scrutiny over the company's sex Increased transparency would help sharehold	ual harassment	and discrimi	nation issue.	s involving pr	otected classe					
		Blended Rationale: A vote FOR this proposal ongoing scrutiny over the company's sexual Increased transparency would help sharehold	harassment and	discrimination	on issues inv	olving protec	cted classes of					

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	1768944	Confirmed	jbisset	jbisset		125,320	125,320
			06/16/2022	06/16/2022			
					Total Shares:	125,320	125,320

#### **Meeting Results**

Outstanding Shares Voted: 781,848,813 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	3.4%	570,069,460	96.6%	20,069,854	3.4%	8,323,643	N/A	Proxy	F+A
1b	Υ	Pass	No	For	0.4%	594,269,935	99.6%	2,253,529	0.4%	1,939,493	N/A	Proxy	F+A
1c	Υ	Pass	No	For	15.9%	501,431,883	84.1%	95,076,047	15.9%	1,955,027	N/A	Proxy	F+A
1d	Υ	Pass	No	For	11.5%	527,602,184	88.5%	68,415,423	11.5%	2,445,350	N/A	Proxy	F+A
1e	Υ	Pass	No	For	10.5%	533,703,580	89.5%	62,597,199	10.5%	2,162,178	N/A	Proxy	F+A
1f	Υ	Pass	No	For	0.4%	594,225,355	99.6%	2,302,158	0.4%	1,935,444	N/A	Proxy	F+A
1g	Υ	Pass	No	For	12.5%	521,987,017	87.5%	74,530,701	12.5%	1,945,239	N/A	Proxy	F+A
1h	Υ	Pass	No	For	27.7%	431,416,165	72.3%	164,932,068	27.7%	2,114,724	N/A	Proxy	F+A
<b>1</b> i	Υ	Pass	No	For	8.5%	546,056,263	91.5%	50,459,532	8.5%	1,947,162	N/A	Proxy	F+A
1j	Υ	Pass	No	For	4.3%	570,649,200	95.7%	25,909,272	4.3%	1,904,485	N/A	Proxy	F+A
2	Υ	Pass	No	For	12.4%	524,115,176	87.6%	69,072,128	11.5%	5,275,653	0.9%	Proxy	F+A+AB
3	Υ	Pass	No	For	3.5%	624,981,205	96.5%	21,247,950	3.3%	1,658,608	0.3%	Proxy	F+A+AB
4	Υ	Fail	No	Against	7.2%	30,425,554	5.1%	555,091,091	92.8%	12,946,312	2.2%	Proxy	F+A+AB
5	Υ	Pass	No	Against	69.3%	379,308,934	63.4%	183,876,515	30.7%	35,277,508	5.9%	Proxy	F+A+AB

#### **NEC Corp.**

Meeting Date: 06/22/2022

Earliest Cutoff Date: 06/20/2022

**Record Date:** 03/31/2022

Primary Security ID: J48818207

Meeting Type: Annual Primary CUSIP: J48818207

Country: Japan

Total Ballots: 1

Ticker: 6701

Voting Policy: ISS

Meeting ID: 1656270

Primary ISIN: JP3733000008

Proxy Level: N/A

**Additional Policy:** 

Primary SEDOL: 6640400

Shares Voted: 136,000

Votable Shares: 136,000 \*Shares on Loan: 0 Shares Instructed: 136,000 Voting Additional Vote Vote Vote Significant Against Votable Proposal Mgmt **Policy** Policy Vote Against Against Number Vote **Proposal Text** Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS Amend Articles to Disclose Yes For For For No No 1 Mgmt For Nο Shareholder Meeting Materials on Internet 2.1 Elect Director Niino, Takashi Mgmt For Yes For For For No No No Elect Director Morita, Takayuki 2.2 Mgmt Yes For For For For No No No Elect Director Matsukura, Hajime 2.3 Mgmt Yes For For For For No No No 2.4 Elect Director Nishihara, Moto Mgmt Yes For For For For No No No 2.5 Elect Director Fujikawa, Osamu Mgmt Yes For For For For No No No Elect Director Iki, Noriko 2.6 Mgmt Yes For For For For No No No 2.7 Elect Director Ito, Masatoshi Mgmt Yes For For For For No No No Elect Director Nakamura, Kuniharu Mgmt Against Yes 2.8 Yes For For For Yes Yes Elect Director Christina Ahmadjian 2.9 Mgmt Yes For For For For No No No 2.10 Elect Director Oka, Masashi Mgmt Yes For For For For No No No 3.1 Appoint Statutory Auditor Obata, Mgmt Yes For For For For No No No Shinobu

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **NEC Corp.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3.2		Appoint Statutory Auditor Okada, Kyoko	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		136,000	136,000
			06/14/2022	06/14/2022	_		
					Total Shares:	136,000	136,000

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

					1100								
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	2,155,091	99.8%	2,856	N/A	549	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	2,013,637	93.2%	120,985	N/A	23,878	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	2,064,014	95.6%	70,721	N/A	23,769	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	2,059,206	95.4%	98,751	N/A	549	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	2,059,541	95.4%	98,416	N/A	549	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	2,087,858	96.7%	70,097	N/A	549	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	2,107,425	97.6%	50,535	N/A	549	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	2,114,700	97.9%	43,260	N/A	549	N/A	Poll	F+A+AB
2.8	Y	Pass	No	For	N/A	1,946,921	90.2%	211,033	N/A	549	N/A	Poll	F+A+AB
2.9	Y	Pass	No	For	N/A	2,107,868	97.6%	50,089	N/A	549	N/A	Poll	F+A+AB
2.10	Υ	Pass	No	For	N/A	2,041,910	94.6%	116,044	N/A	549	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	2,127,172	98.5%	27,920	N/A	3,336	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	2,153,012	99.7%	4,867	N/A	549	N/A	Poll	F+A+AB

## **Trident Royalties Plc**

Meeting Date: 06/22/2022 Country: United Kingdom			Ticker: TRR			Proxy Leve	<b>I:</b> N/A		
<b>Record Date:</b> 06/20/2022	· · ·			60					
Primary Security ID: G90474100 Primary CUSIP: G90474100			Primary ISIN: GB00	)BF7J2535		Primary SE	DOL: BF7J2	:53	
Earliest Cutoff Date: 06/17/2022 Total Ballots: 1			Voting Policy: ISS			Additional Po	olicy:		
Votable Shares: 7,557,947	*Shares on Loan: 0		Shares Instructed: 7,5	557,947		Shares Voted	<b>l:</b> 7,557,947		
Proposal Significant		Votable	Mgmt	Additional Policy	Voting Policy	Vote	Vote Against	Vote Against	Vote Against

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Trident Royalties Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Voting Policy Rationale: A vote AGAINST th incentive awards granted to the CEO during hurdles; and * A NED received share option	g the year does n	ot appear to	be condition	-	-	_	m			
		Blended Rationale: A vote AGAINST this resincentive awards granted to the CEO during hurdles; and * A NED received share option	g the year does n	ot appear to	be condition	-	-	-				
2		Reappoint PKF Littlejohn LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Elect Paul Smith as Director	Mgmt	Yes	For	Abstain		Abstain	For	No	Yes	Yes
		Voting Policy Rationale: Item 4 An ABSTEN issues have been identified and he currently Committees does not adhere to UK best prowarranted for those shareholders in market not recognise an abstention as a valid optic significant concerns have been identified.  Blended Rationale: Item 4 An ABSTENTION issues have been identified and he currently Committees does not adhere to UK best prowarranted for those shareholders in market not recognise an abstention as a valid optic significant concerns have been identified.	y sits on the Audi actice recommend ts who have a fide on. Item 5 A vote I on the election of ty sits on the Audi actice recommend ts who have a fide	t and Remun dations for a uciary respon FOR the elect of Paul Smith t and Remun dations for a uciary respon	neration Con company of sibility to vo- ction of Pete is warrante eration Con company of nsibility to vo	nmittees, and f this size. A l ote either in . er Bacchus is ed because: f nmittees, and f this size. A l ote either in .	d the compositi vote FOR this re favour or again warranted bec * Potential inde d the compositi vote FOR this re favour or again	on of these esolution is st and who ause no pendence on of these esolution is st and who	do		-	
5		Elect Peter Bacchus as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 4 An ABSTEN issues have been identified and he currently Committees does not adhere to UK best prowarranted for those shareholders in market not recognise an abstention as a valid optic significant concerns have been identified.	y sits on the Audi actice recommend ts who have a fidd	t and Remun dations for a uciary respon	neration Con company of nsibility to vo	nmittees, and this size. A ote either in i	d the compositi vote FOR this r favour or again	on of these esolution is st and who				
		Blended Rationale: Item 4 An ABSTENTION issues have been identified and he currently Committees does not adhere to UK best prewarranted for those shareholders in market not recognise an abstention as a valid optic significant concerns have been identified.	y sits on the Audi actice recommend ts who have a fidd	t and Remun dations for a uciary respon	neration Con company of nsibility to ve	nmittees, and this size. A ote either in i	d the compositi vote FOR this r favour or again	on of these esolution is st and who	do		-	
6		Authorise Issue of Equity	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST th authority exceed recommended limits of 33 percent of issued share capital for share iss Blended Rationale: A vote AGAINST these is authority exceed recommended limits of 33 percent of issued share capital for share iss	R percent of issued Suances without p Presolutions is wan R percent of issued	d share capitore-emptive ro ranted becau d share capito	al for share ights. use: * The p al for share	issuances wi	ith pre-emptive ounts under the	rights and .	10		-	
7		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST th authority exceed recommended limits of 33 percent of issued share capital for share iss	percent of issued	d share capit	al for share			-				
		Blended Rationale: A vote AGAINST these is authority exceed recommended limits of 33 percent of issued share capital for share iss	percent of issued	d share capit	al for share	•		-	10			
8		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No

## **Trident Royalties Plc**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		7,557,947	7,557,947
			06/17/2022	06/17/2022			
					Total Shares:	7,557,947	7,557,947

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
2	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
3	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
4	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
5	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
6	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
7	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
8	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A

### Nissan Shatai Co., Ltd.

Meeting Date: 06/23/2022

Earliest Cutoff Date: 06/21/2022

**Record Date:** 03/31/2022 Primary Security ID: J57289100 Country: Japan

Meeting Type: Annual

Primary CUSIP: J57289100

Ticker: 7222

Meeting ID: 1658220

**Primary ISIN:** JP3672000001

**Total Ballots:** 1 Voting Policy: ISS Proxy Level: N/A

Primary SEDOL: 6642901 **Additional Policy:** 

Shares Voted: 1 400

Votable Sh	nares: 1,400	*Shares on Loan: 0			Shares Ins	structed: 1,40	00		Shares Voted	1,400		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 6.5	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect Director Ohira, Yasuyuki	Mgmt	Yes	For	For		For	For	No	No	No
4		Appoint Statutory Auditor Aoji, Kiyoshi	Mgmt	Yes	For	For		For	For	No	No	No
5		Appoint Alternate Statutory Auditor Okada, Kazuhiro	Mgmt	Yes	For	For		For	For	No	No	No
6		Amend Articles to Prohibit Appointments of Officials from Nissan Motor Co., Ltd	SH	Yes	Against	Against		Against	For	Yes	Yes	Yes

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## Nissan Shatai Co., Ltd.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Amend Articles to Prohibit the Company from Depositing Funds to Parent Company	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this sharehold amount of cash with its parent company but each					•	ignificant				
		Blended Rationale: A vote FOR this shareholder of cash with its parent company but earns only					posits a signifi	cant amount				
8		Amend Articles to Require Individual Compensation Disclosure for Representative Directors	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this shareho promote accountability and help shareholders n				se: * The pro	posed disclosu	ire would				
		Blended Rationale: A vote FOR this shareholder accountability and help shareholders make bette			because: *	The propose	ed disclosure w	ould promote	e			
9		Amend Articles to Disclose Cost of Equity Capital in Corporate Governance Report	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this sharehomore attention to inefficient capital policy, with						nagement pa	ay .			
		Blended Rationale: A vote FOR this shareholder more attention to inefficient capital policy, with						ement pay				
10		Initiate Share Repurchase Program	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this sharehe cash reserves (i.e., deposits to parent Nissan Ma address concerns over the balance sheet manage	otor), share re									
		Blended Rationale: A vote FOR this shareholder reserves (i.e., deposits to parent Nissan Motor), address concerns over the balance sheet manage	share repurch				-		h			

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		1,400	1,400
			06/09/2022	06/09/2022			
					Total Shares:	1,400	1,400

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	1,297,737	99.9%	1,838	N/A	0	N/A	Poll	F+A+AB
2	Y	Pass	No	For	N/A	1,297,752	99.9%	1,823	N/A	0	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	884,929	68.1%	414,646	N/A	0	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	1,296,892	99.8%	2,683	N/A	0	N/A	Poll	F+A+AB
5	Y	Pass	No	For	N/A	1,297,554	99.8%	2,021	N/A	0	N/A	Poll	F+A+AB
6	Υ	Fail	No	Against	N/A	407,886	31.4%	891,652	N/A	17	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
7	Υ	Fail	No	Against	N/A	447,659	34.4%	851,895	N/A	0	N/A	Poll	F+A+AB
8	Y	Fail	No	Against	N/A	477,120	36.7%	822,425	N/A	10	N/A	Poll	F+A+AB
9	Y	Fail	No	Against	N/A	453,478	34.9%	846,067	N/A	10	N/A	Poll	F+A+AB
10	Y	Fail	No	Against	N/A	468.018	36.0%	831.526	N/A	10	N/A	Poll	F+A+AB

## Nomura Real Estate Holdings, Inc.

Meeting Date: 06/24/2022

Country: Japan

**Ticker:** 3231

Proxy Level: N/A

**Record Date:** 03/31/2022

Meeting Type: Annual

Meeting ID: 1656351

Voting Policy: ISS

Primary SEDOL: B1CWJM5

Primary Security ID: J5893B104 Earliest Cutoff Date: 06/22/2022

Primary CUSIP: J5893B104

**Primary ISIN:** JP3762900003

**Additional Policy:** 

Votable Shares: 170,000

Total Ballots: 1 \*Shares on Loan: 0

Shares Instructed: 170,000

Shares Voted: 170,000

							,			,		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Elect Director Nagamatsu, Shoichi	Mgmt	Yes	For	For		For	For	No	No	No
2.2		Elect Director Kutsukake, Eiji	Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Matsuo, Daisaku	Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Arai, Satoshi	Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Haga, Makoto	Mgmt	Yes	For	For		For	For	No	No	No
2.6		Elect Director Kurokawa, Hiroshi	Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Higashi, Tetsuro	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect Director and Audit Committee Member Takahashi, Tetsu	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Trust-Type Equity Compensation Plan		Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		170,000	170,000
			06/09/2022	06/09/2022			
					Total Shares:	170,000	170,000

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	1,570,811	99.5%	709	N/A	32	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	1,458,503	92.4%	113,022	N/A	32	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	1,394,282	88.3%	177,245	N/A	32	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2.3	Υ	Pass	No	For	N/A	1,419,794	89.9%	151,736	N/A	32	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	1,504,101	95.2%	67,429	N/A	32	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	1,505,378	95.3%	66,152	N/A	32	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	1,501,405	95.1%	70,125	N/A	32	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	1,548,808	98.1%	22,724	N/A	32	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	1,550,851	98.2%	20,678	N/A	32	N/A	Poll	F+A+AB
4	Y	Pass	No	For	N/A	1,427,667	90.4%	143,867	N/A	32	N/A	Poll	F+A+AB

### **ORIX Corp.**

**Meeting Date:** 06/24/2022

Record Date: 03/31/2022

Earliest Cutoff Date: 06/22/2022

Votable Shares: 481,000

Primary Security ID: J61933123

Country: Japan Meeting Type: Annual

**Primary CUSIP:** J61933123

Total Ballots: 1

\*Shares on Loan: 0

Ticker: 8591

**Meeting ID:** 1656283

**Primary ISIN:** JP3200450009

Voting Policy: ISS

Shares Instructed: 481,000

Proxy Level: N/A

Primary SEDOL: 6661144

Shares Voted: 481,000

**Additional Policy:** 

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Elect Director Inoue, Makoto	Mgmt	Yes	For	For		For	For	No	No	No
2.2		Elect Director Irie, Shuji	Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Matsuzaki, Satoru	Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Suzuki, Yoshiteru	Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Stan Koyanagi	Mgmt	Yes	For	For		For	For	No	No	No
2.6		Elect Director Michael Cusumano	Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Akiyama, Sakie	Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Watanabe, Hiroshi	Mgmt	Yes	For	For		For	For	No	No	No
2.9		Elect Director Sekine, Aiko	Mgmt	Yes	For	For		For	For	No	No	No
2.10		Elect Director Hodo, Chikatomo	Mgmt	Yes	For	For		For	For	No	No	No
2.11		Elect Director Yanagawa, Noriyuki	Mgmt	Yes	For	For		For	For	No	No	No
D-U-+ D-+												

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		481,000	481,000
			06/15/2022	06/15/2022			
					: Total Shares:	481,000	481,000

**Meeting Results** 

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	9,822,505	99.8%	11,220	N/A	0	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	9,537,590	96.9%	298,185	N/A	0	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	9,734,663	98.9%	77,498	N/A	23,617	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	9,746,775	99.0%	65,386	N/A	23,617	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	9,747,019	99.0%	65,142	N/A	23,617	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	9,747,074	99.0%	65,087	N/A	23,617	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	9,797,725	99.6%	38,055	N/A	0	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	9,577,094	97.3%	199,387	N/A	59,295	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	9,668,746	98.3%	167,032	N/A	0	N/A	Poll	F+A+AB
2.9	Υ	Pass	No	For	N/A	9,714,140	98.7%	68,008	N/A	53,632	N/A	Poll	F+A+AB
2.10	Υ	Pass	No	For	N/A	9,797,674	99.6%	38,106	N/A	0	N/A	Poll	F+A+AB
2.11	Υ	Pass	No	For	N/A	9,819,221	99.8%	16,558	N/A	0	N/A	Poll	F+A+AB

### **ROHM Co., Ltd.**

Meeting Date: 06/24/2022 **Record Date:** 03/31/2022

Primary Security ID: J65328122

Earliest Cutoff Date: 06/22/2022

Votable Shares: 60.500

Country: Japan Meeting Type: Annual

Primary CUSIP: J65328122

**Total Ballots:** 1

\*Shares on Loan: 0

Ticker: 6963

Meeting ID: 1655644

**Primary ISIN:** JP3982800009

Voting Policy: ISS

Shares Instructed: 60,500

Proxy Level: N/A

Primary SEDOL: 6747204

**Additional Policy:** Shares Voted: 60,500

Additional Voting Vote Vote Vote Proposal Significant Votable Mgmt **Policy** Policy Vote Against Against Against Number **Proposal Text** Proponent Proposal ISS Rec Rec Instruction Mgmt Policy ISS Approve Allocation of Income, with a For For 1 No No Mgmt Yes For For No Final Dividend of JPY 110 Amend Articles to Clarify Director Mgmt Yes For For For No No No Authority on Shareholder Meetings -Disclose Shareholder Meeting Materials on Internet - Allow Virtual Only Shareholder Meetings - Amend Provisions on Director Titles - Clarify Director Authority on Board Meetings 3.1 Elect Director Matsumoto, Isao Mgmt Yes For For For For No No No 3.2 Elect Director Azuma, Katsumi Mgmt Yes For For For For No No No Elect Director Ino, Kazuhide For 3.3 Mgmt For For For Nο Yes Nο Nο 3.4 Elect Director Tateishi, Tetsuo Mgmt Yes For For For For No No No Elect Director Yamamoto, Koji 3.5 Mgmt Yes For For For For No No No 3.6 Elect Director Nagumo, Tadanobu Mgmt Yes For For No No No 3.7 Elect Director Peter Kenevan Mgmt Yes For For For For No No No 3.8 Elect Director Muramatsu, Kuniko Mgmt Yes For For For For No No No 4 Approve Restricted Stock Plan Mgmt Yes For For For For No No No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **ROHM Co., Ltd.**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		60,500	60,500
			06/20/2022	06/20/2022			
					Total Shares:	60,500	60,500

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	805,525	97.2%	23,235	N/A	11	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	802,454	96.8%	26,295	N/A	11	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	805,945	97.2%	22,803	N/A	13	N/A	Poll	F+A+AB
3.2	Y	Pass	No	For	N/A	822,471	99.2%	5,880	N/A	416	N/A	Poll	F+A+AB
3.3	Y	Pass	No	For	N/A	822,484	99.2%	5,867	N/A	416	N/A	Poll	F+A+AB
3.4	Υ	Pass	No	For	N/A	820,412	99.0%	7,937	N/A	416	N/A	Poll	F+A+AB
3.5	Y	Pass	No	For	N/A	822,485	99.2%	5,866	N/A	416	N/A	Poll	F+A+AB
3.6	Y	Pass	No	For	N/A	788,622	95.1%	39,722	N/A	416	N/A	Poll	F+A+AB
3.7	Υ	Pass	No	For	N/A	828,000	99.9%	755	N/A	13	N/A	Poll	F+A+AB
3.8	Y	Pass	No	For	N/A	823,685	99.4%	5,069	N/A	13	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	824,362	99.5%	4,408	N/A	0	N/A	Poll	F+A+AB

### **SoftBank Group Corp.**

**Meeting Date:** 06/24/2022 **Record Date:** 03/31/2022

Primary Security ID: J7596P109

Earliest Cutoff Date: 06/22/2022

Votable Shares: 30,400

**Country:** Japan **Meeting Type:** Annual

Primary CUSIP: J7596P109

Total Ballots: 1
\*Shares on Loan: 0

Ticker: 9984

**Meeting ID:** 1657533

**Primary ISIN:** JP3436100006

Proxy Level: N/A

Primary SEDOL: 6770620

Voting Policy: ISS Additional Policy:
Shares Instructed: 30,400 Shares Voted: 30,400

										,		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 22	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Son, Masayoshi	Mgmt	Yes	For	For		For	For	No	No	No
3.2		Elect Director Goto, Yoshimitsu	Mgmt	Yes	For	For		For	For	No	No	No
3.3		Elect Director Miyauchi, Ken	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Kawabe, Kentaro	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **SoftBank Group Corp.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3.5		Elect Director Iijima, Masami	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Matsuo, Yutaka	Mgmt	Yes	For	For		For	For	No	No	No
3.7		Elect Director Erikawa, Keiko	Mgmt	Yes	For	For		For	For	No	No	No
3.8		Elect Director Kenneth A. Siegel	Mgmt	Yes	For	For		For	For	No	No	No
3.9		Elect Director David Chao	Mgmt	Yes	For	For		For	For	No	No	No

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number) Custodian **Ballot Voting Status Account Number Ballot Status** Instructed Approved **Votable Shares Shares Voted** Confirmed NT RSG01, NT RSG01 RSG01 jbisset jbisset 30,400 30,400 06/20/2022 06/20/2022

> Total Shares: 30,400 30,400

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	14,644,920	99.8%	21,903	N/A	684	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	13,375,463	99.9%	8,489	N/A	1,156	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	13,261,586	97.7%	304,724	N/A	11,901	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	13,496,191	99.4%	67,438	N/A	14,587	N/A	Poll	F+A+AB
3.3	Y	Pass	No	For	N/A	13,451,344	99.1%	112,282	N/A	14,587	N/A	Poll	F+A+AB
3.4	Y	Pass	No	For	N/A	13,431,517	98.9%	132,109	N/A	14,587	N/A	Poll	F+A+AB
3.5	Y	Pass	No	For	N/A	13,471,771	99.2%	105,230	N/A	1,213	N/A	Poll	F+A+AB
3.6	Y	Pass	No	For	N/A	13,540,962	99.7%	36,044	N/A	1,213	N/A	Poll	F+A+AB
3.7	Y	Pass	No	For	N/A	13,539,288	99.7%	37,718	N/A	1,213	N/A	Poll	F+A+AB
3.8	Υ	Pass	No	For	N/A	10,164,871	74.9%	3,412,123	N/A	1,213	N/A	Poll	F+A+AB
3.9	Y	Pass	No	For	N/A	13,276,997	97.8%	300,001	N/A	1,213	N/A	Poll	F+A+AB

### **Fujitsu Ltd.**

Meeting Date: 06/27/2022 **Record Date:** 03/31/2022

Country: Japan Meeting Type: Annual Ticker: 6702

Proxy Level: N/A

Primary Security ID: J15708159

**Meeting ID:** 1657566

Primary SEDOL: 6356945

Primary CUSIP: J15708159

**Primary ISIN:** JP3818000006

## Fujitsu Ltd.

Additional Policy: Earliest Cutoff Date: 06/23/2022 Total Ballots: 1 Voting Policy: ISS \*Shares on Loan: 0 Shares Instructed: 39,200 Shares Voted: 39,200 Votable Shares: 39,200

Additional Voting Vote Vote Vote Against Proposal Significant Votable Mgmt Policy Policy Vote Against Against

Number	Vote	Proposal Text	Proponent	Proposal	Rec	ISS Rec	Rec	Rec	Instruction	Mgmt	Policy	ISS
1		Amend Articles to Change Company Name - Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Elect Director Tokita, Takahito	Mgmt	Yes	For	For		For	For	No	No	No
2.2		Elect Director Furuta, Hidenori	Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Isobe, Takeshi	Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Yamamoto, Masami	Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Mukai, Chiaki	Mgmt	Yes	For	For		For	For	No	No	No
2.6		Elect Director Abe, Atsushi	Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Kojo, Yoshiko	Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Scott Callon	Mgmt	Yes	For	For		For	For	No	No	No
2.9		Elect Director Sasae, Kenichiro	Mgmt	Yes	For	For		For	For	No	No	No
3		Appoint Statutory Auditor Catherine OConnell	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Performance Share Plan	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		39,200	39,200
			06/14/2022	06/14/2022			

**Total Shares:** 39,200 39,200

### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	1,657,113	99.9%	591	N/A	8	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	1,597,222	96.2%	49,698	N/A	10,803	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	1,610,945	97.1%	35,352	N/A	11,433	N/A	Poll	F+A+AB
2.3	Y	Pass	No	For	N/A	1,620,184	97.6%	26,113	N/A	11,432	N/A	Poll	F+A+AB
2.4	Y	Pass	No	For	N/A	1,624,356	97.9%	21,942	N/A	11,432	N/A	Poll	F+A+AB
2.5	Y	Pass	No	For	N/A	1,640,746	98.9%	6,182	N/A	10,803	N/A	Poll	F+A+AB
2.6	Y	Pass	No	For	N/A	1,640,536	98.9%	6,392	N/A	10,803	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	1,640,953	98.9%	5,975	N/A	10,803	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	1,567,901	94.5%	79,017	N/A	10,803	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2.9	Y	Pass	No	For	N/A	1,629,371	98.2%	17,557	N/A	10,803	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	1,645,941	99.2%	999	N/A	10,803	N/A	Poll	F+A+AB
4	Y	Pass	No	For	N/A	1.650.701	99.5%	3.997	N/A	3.059	N/A	Poll	F+A+AB

Ticker: 8766

Meeting ID: 1655188

Proxy Level: N/A

Primary SEDOL: 6513126

### **Tokio Marine Holdings, Inc.**

Meeting Date: 06/27/2022 Country: Japan

Record Date: 03/31/2022 Meeting Type: Annual

Earliest Cutoff Date: 06/23/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy:

Votable Shares: 51,000 \*Shares on Loan: 0 Shares Instructed: 51,000 Shares Voted: 51,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 135	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Amend Business Lines - Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Nagano, Tsuyoshi	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this company's capital misallocation.	s director nomine	ee is warrant	ted because	e: * Top mana	agement is res	ponsible for	the			
		Blended Rationale: A vote AGAINST this directions company's capital misallocation.	ector nominee is	warranted b	ecause: * 1	op managem	ent is respons	ible for the				
3.2		Elect Director Komiya, Satoru	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this company's capital misallocation.	s director nomine	ee is warrant	ted because	e: * Top mana	agement is res	ponsible for	the			
		Blended Rationale: A vote AGAINST this directions company's capital misallocation.	ector nominee is	warranted b	ecause: * 7	op managem	ent is respons	ible for the			-	
3.3		Elect Director Harashima, Akira	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Okada, Kenji	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Moriwaki, Yoichi	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Hirose, Shinichi	Mgmt	Yes	For	For		For	For	No	No	No
3.7		Elect Director Mimura, Akio	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
3.8		Elect Director Egawa, Masako	Mgmt	Yes	For	For		For	For	No	No	No
3.9		Elect Director Mitachi, Takashi	Mgmt	Yes	For	For		For	For	No	No	No
3.10		Elect Director Endo, Nobuhiro	Mgmt	Yes	For	For		For	For	No	No	No
3.11		Elect Director Katanozaka, Shinya	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
3.12		Elect Director Osono, Emi	Mgmt	Yes	For	For		For	For	No	No	No
3.13		Elect Director Ishii, Yoshinori	Mgmt	Yes	For	For		For	For	No	No	No
3.14		Elect Director Wada, Kiyoshi	Mgmt	Yes	For	For		For	For	No	No	No
4.1		Appoint Statutory Auditor Wani, Akihiro	Mgmt	Yes	For	For		For	For	No	No	No
4.2		Appoint Statutory Auditor Otsuki, Nana	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Tokio Marine Holdings, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4.3		Appoint Statutory Auditor Yuasa, Takayuki	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		51,000	51,000
			06/13/2022	06/13/2022	_		
					Total Shares:	51,000	51,000

#### **Meeting Results**

Outstanding Shares Voted: 6,776,819

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	5,636,887	99.9%	1,195	N/A	261	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	5,635,791	99.8%	1,557	N/A	995	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	4,790,897	84.9%	846,063	N/A	1,173	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	4,713,852	83.5%	923,287	N/A	995	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	5,360,272	95.0%	263,395	N/A	14,483	N/A	Poll	F+A+AB
3.4	Υ	Pass	No	For	N/A	5,412,493	95.9%	211,174	N/A	14,483	N/A	Poll	F+A+AB
3.5	Υ	Pass	No	For	N/A	5,433,478	96.3%	190,192	N/A	14,483	N/A	Poll	F+A+AB
3.6	Υ	Pass	No	For	N/A	5,433,526	96.3%	190,144	N/A	14,483	N/A	Poll	F+A+AB
3.7	Υ	Pass	No	For	N/A	4,411,950	78.2%	1,225,204	N/A	995	N/A	Poll	F+A+AB
3.8	Υ	Pass	No	For	N/A	5,614,668	99.5%	22,495	N/A	995	N/A	Poll	F+A+AB
3.9	Υ	Pass	No	For	N/A	5,595,285	99.1%	40,430	N/A	2,440	N/A	Poll	F+A+AB
3.10	Υ	Pass	No	For	N/A	5,592,652	99.1%	43,063	N/A	2,440	N/A	Poll	F+A+AB
3.11	Υ	Pass	No	For	N/A	5,147,755	91.2%	489,220	N/A	1,173	N/A	Poll	F+A+AB
3.12	Y	Pass	No	For	N/A	5,614,512	99.5%	22,651	N/A	995	N/A	Poll	F+A+AB
3.13	Y	Pass	No	For	N/A	5,431,684	96.2%	191,986	N/A	14,483	N/A	Poll	F+A+AB
3.14	Υ	Pass	No	For	N/A	5,431,726	96.2%	191,944	N/A	14,483	N/A	Poll	F+A+AB
4.1	Y	Pass	No	For	N/A	5,631,811	99.8%	5,377	N/A	995	N/A	Poll	F+A+AB
4.2	Y	Pass	No	For	N/A	5,631,286	99.8%	5,902	N/A	995	N/A	Poll	F+A+AB
4.3	Y	Pass	No	For	N/A	5,489,666	97.3%	124,929	N/A	23,586	N/A	Poll	F+A+AB

### Fuji Electric Co., Ltd.

Meeting Date: 06/28/2022

Country: Japan

Ticker: 6504

Proxy Level: N/A

**Record Date:** 03/31/2022

Primary Security ID: J14112106

Meeting Type: Annual Primary CUSIP: J14112106

**Meeting ID:** 1660785 Primary ISIN: JP3820000002

Primary SEDOL: 6356365

Earliest Cutoff Date: 06/26/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 74,400

\*Shares on Loan: 0

Shares Instructed: 74,400

Shares Voted: 74,400

votable Si	ilaies: /4,400	Silates	OII LOAII: 0			Silares II	istructeu: /4,	400		Silaies votet	1. 74,400		
Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Articles to Clarify Direct Authority on Shareholder Meet Disclose Shareholder Meeting I on Internet	ings -	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Elect Director Kitazawa, Michih	iro	Mgmt	Yes	For	For		For	For	No	No	No
2.2		Elect Director Kondo, Shiro		Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Abe, Michio		Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Arai, Junichi		Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Hosen, Toru		Mgmt	Yes	For	For		For	For	No	No	No
2.6		Elect Director Tetsutani, Hirosh	ni	Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Tamba, Toshihit	)	Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Tachikawa, Naor	ni	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
2.9		Elect Director Hayashi, Yoshits	ugu	Mgmt	Yes	For	For		For	For	No	No	No
2.10		Elect Director Tominaga, Yuka	i	Mgmt	Yes	For	For		For	For	No	No	No
3		Appoint Statutory Auditor Okur Yoshio	no,	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Compensation Ceiling Directors	Mgmt	Yes	For	For		For	For	No	No	No	
Ballot Deta	ails												
Institutiona (IA Name, I	I Account Detail A Number)	Custodian Account Number	Ballot Status	Instructe	d	Approv	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
NT RSG01, N	SG01, NT RSG01 Confirmed			jbisset		jbisset				74,40	00	74,	400

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

06/23/2022

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	1,258,173	99.8%	374	N/A	0	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	1,125,524	89.3%	132,422	N/A	603	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	1,107,497	87.9%	150,507	N/A	544	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	1,240,182	98.4%	18,229	N/A	141	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	1,240,232	98.4%	18,179	N/A	141	N/A	Poll	F+A+AB

06/23/2022

Total Shares:

74,400

74,400

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2.5	Y	Pass	No	For	N/A	1,241,612	98.5%	16,799	N/A	141	N/A	Poll	F+A+AB
2.6	Y	Pass	No	For	N/A	1,241,613	98.5%	16,798	N/A	141	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	1,220,750	96.9%	37,660	N/A	141	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	1,198,366	95.1%	60,042	N/A	141	N/A	Poll	F+A+AB
2.9	Υ	Pass	No	For	N/A	1,254,360	99.5%	4,193	N/A	0	N/A	Poll	F+A+AB
2.10	Y	Pass	No	For	N/A	1,246,514	98.9%	12,040	N/A	0	N/A	Poll	F+A+AB
3	Y	Pass	No	For	N/A	1,192,706	94.7%	62,895	N/A	2,948	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	1,256,169	99.7%	2,254	N/A	131	N/A	Poll	F+A+AB

### **Sony Group Corp.**

Meeting Date: 06/28/2022

**Record Date:** 03/31/2022 Primary Security ID: J76379106 Country: Japan

Primary CUSIP: J76379106

Earliest Cutoff Date: 06/26/2022 Votable Shares: 55,000

Meeting Type: Annual

\*Shares on Loan: 0

Total Ballots: 1

Ticker: 6758

Voting Policy: ISS

**Meeting ID:** 1653690 **Primary ISIN:** JP3435000009

Shares Instructed: 55,000

Proxy Level: N/A

Primary SEDOL: 6821506

Additional Policy: Shares Voted: 55,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Elect Director Yoshida, Kenichiro	Mgmt	Yes	For	For		For	For	No	No	No
2.2		Elect Director Totoki, Hiroki	Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Sumi, Shuzo	Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Tim Schaaff	Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Oka, Toshiko	Mgmt	Yes	For	For		For	For	No	No	No
2.6		Elect Director Akiyama, Sakie	Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Wendy Becker	Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Hatanaka, Yoshihiko	Mgmt	Yes	For	For		For	For	No	No	No
2.9		Elect Director Kishigami, Keiko	Mgmt	Yes	For	For		For	For	No	No	No
2.10		Elect Director Joseph A. Kraft Jr	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Stock Option Plan	Mgmt	Yes	For	For		For	For	No	No	No
Rallet Det	aile											

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		55,000	55,000
			06/14/2022	06/14/2022			
					= Total Shares:	55,000	55.000

#### **Meeting Results**

Outstanding Shares Voted: 12,351,499

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	10,284,261	99.0%	5,895	N/A	2,929	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	10,021,207	97.0%	205,315	N/A	66,818	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	10,023,878	97.0%	206,830	N/A	62,631	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	10,153,844	98.0%	136,924	N/A	2,572	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	10,155,107	98.0%	135,676	N/A	2,557	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	10,074,772	97.0%	216,007	N/A	2,556	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	10,112,617	98.0%	178,170	N/A	2,549	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	10,091,197	98.0%	199,598	N/A	2,540	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	10,162,751	98.0%	128,033	N/A	2,556	N/A	Poll	F+A+AB
2.9	Υ	Pass	No	For	N/A	10,134,063	98.0%	156,717	N/A	2,560	N/A	Poll	F+A+AB
2.10	Y	Pass	No	For	N/A	10,134,099	98.0%	156,677	N/A	2,564	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	9,634,919	93.0%	657,182	N/A	1,233	N/A	Poll	F+A+AB

### Mitsubishi Electric Corp.

Meeting Date: 06/29/2022 **Record Date:** 03/31/2022

Primary Security ID: J43873116 Earliest Cutoff Date: 06/27/2022

Country: Japan Meeting Type: Annual

Primary CUSIP: J43873116

Total Ballots: 1 \*Shares on Loan: 0 Ticker: 6503

Meeting ID: 1658206

**Primary ISIN:** JP3902400005

Voting Policy: ISS

Proxy Level: N/A

Primary SEDOL: 6597045

**Additional Policy:** Shares Voted: 441,300

Shares Instructed: 441,300 Votable Shares: 441,300 Additional Voting Vote Vote Vote Proposal Significant Votable Mgmt **Policy Policy** Vote Against Against Against Number Vote **Proposal Text** Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS Amend Articles to Disclose Yes For For For For No No 1 Mgmt No Shareholder Meeting Materials on Internet Elect Director Yabunaka, Mitoji 2.1 Mgmt Yes For For For Against Yes Yes Yes Elect Director Obayashi, Hiroshi Against 2.2 Mgmt Yes For For For Yes Yes Yes 2.3 Elect Director Watanabe, Kazunori Mgmt Yes For For For For Nο Nο Nο Elect Director Koide, Hiroko Mgmt Yes For For For No No No 2.5 Elect Director Oyamada, Takashi Mgmt Yes Against Against Against Yes No Voting Policy Rationale: A vote AGAINST this director nominee is warranted because: \* The board after this meeting will not be majority independent and this outside director nominee lacks independence. Blended Rationale: A vote AGAINST this director nominee is warranted because: \* The board after this meeting will not be majority independent and this outside director nominee lacks independence. Elect Director Kosaka, Tatsuro No No No Mgmt 2.7 Elect Director Yanagi, Hiroyuki Mgmt Yes For For For No No No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## Mitsubishi Electric Corp.

-	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2.8		Elect Director Uruma, Kei	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this responsible for the test data falsification incident		ranted becau	ıse: * Presid	dent Uruma s	should be ultim	ately held				
		Blended Rationale: A vote AGAINST this nom responsible for the test data falsification incidents		d because:	* President	Uruma should	d be ultimately	held				
2.9		Elect Director Kawagoishi, Tadashi	Mgmt	Yes	For	For		For	For	No	No	No
2.10		Elect Director Masuda, Kuniaki	Mgmt	Yes	For	For		For	For	No	No	No
2.11		Elect Director Nagasawa, Jun	Mgmt	Yes	For	For		For	For	No	No	No
2.12		Elect Director Kaga, Kunihiko	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detai	ls											
Institutional A		Custodian Account Number Ballot Status	Instructed	i	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		441,300	441,300
			06/21/2022	06/21/2022			

441,300 441,300

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	18,265,182	99.8%	11,407	N/A	475	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	14,904,190	81.4%	3,015,483	N/A	357,378	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	14,491,707	79.2%	3,427,969	N/A	357,378	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	15,020,860	82.1%	2,911,295	N/A	344,907	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	15,688,428	85.7%	2,190,954	N/A	397,675	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	11,747,787	64.2%	6,184,356	N/A	344,907	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	18,059,917	98.7%	215,709	N/A	1,436	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	17,445,833	95.3%	829,788	N/A	1,436	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	10,702,265	58.5%	7,059,954	N/A	514,828	N/A	Poll	F+A+AB
2.9	Υ	Pass	No	For	N/A	14,723,193	80.4%	3,208,956	N/A	344,907	N/A	Poll	F+A+AB
2.10	Υ	Pass	No	For	N/A	15,765,404	86.1%	2,166,743	N/A	344,907	N/A	Poll	F+A+AB
2.11	Υ	Pass	No	For	N/A	17,357,417	94.8%	918,204	N/A	1,436	N/A	Poll	F+A+AB
2.12	Υ	Pass	No	For	N/A	18,108,785	98.9%	166,841	N/A	1,436	N/A	Poll	F+A+AB

### Mitsubishi Estate Co., Ltd.

Meeting Date: 06/29/2022

Country: Japan

Ticker: 8802

Proxy Level: N/A

**Record Date:** 03/31/2022

Primary Security ID: J43916113

Meeting Type: Annual Primary CUSIP: J43916113

Meeting ID: 1658208 **Primary ISIN:** JP3899600005

Primary SEDOL: 6596729

Earliest Cutoff Date: 06/27/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 349,900 \*Shares on Loan: 0 Shares Instructed: 349,900 Shares Voted: 349,900

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 20	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Sugiyama, Hirotaka	Mgmt	Yes	For	For		For	For	No	No	No
3.2		Elect Director Yoshida, Junichi	Mgmt	Yes	For	For		For	For	No	No	No
3.3		Elect Director Tanisawa, Junichi	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Nakajima, Atsushi	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Umeda, Naoki	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Kubo, Hitoshi	Mgmt	Yes	For	For		For	For	No	No	No
3.7		Elect Director Nishigai, Noboru	Mgmt	Yes	For	For		For	For	No	No	No
3.8		Elect Director Katayama, Hiroshi	Mgmt	Yes	For	For		For	For	No	No	No
3.9		Elect Director Okamoto, Tsuyoshi	Mgmt	Yes	For	For		For	For	No	No	No
3.10		Elect Director Narukawa, Tetsuo	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this di majority independent and this outside director				* The board	after this mee	eting will not	be			
		Blended Rationale: A vote AGAINST this director majority independent and this outside director				e board afte	r this meeting	will not be				
3.11		Elect Director Shirakawa, Masaaki	Mgmt	Yes	For	For		For	For	No	No	No
3.12		Elect Director Nagase, Shin	Mgmt	Yes	For	For		For	For	No	No	No
3.13		Elect Director Egami, Setsuko	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this director nominee is warranted because: * The board aft majority independent and this outside director nominee lacks independence.					after this mee	eting will not	be			
		Blended Rationale: A vote AGAINST this director majority independent and this outside director				e board afte	r this meeting	will not be				
3.14		Elect Director Taka, Iwao	Mgmt	Yes	For	For		For	For	No	No	No
3.15		Elect Director Melanie Brock	Mgmt	Yes	For	For		For	For	No	No	No

### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		349,900	349,900
			06/22/2022	06/22/2022			
					Total Shares:	349,900	349,900

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	11,374,360	99.1%	105,954	N/A	1	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	11,471,700	99.9%	8,561	N/A	1	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	10,997,285	95.8%	464,832	N/A	18,195	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	10,970,843	95.6%	491,759	N/A	17,710	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	11,076,061	96.5%	386,481	N/A	17,771	N/A	Poll	F+A+AB
3.4	Υ	Pass	No	For	N/A	11,080,344	96.5%	382,259	N/A	17,710	N/A	Poll	F+A+AB
3.5	Υ	Pass	No	For	N/A	11,223,892	97.8%	238,712	N/A	17,710	N/A	Poll	F+A+AB
3.6	Υ	Pass	No	For	N/A	11,225,003	97.8%	237,540	N/A	17,771	N/A	Poll	F+A+AB
3.7	Υ	Pass	No	For	N/A	10,788,101	94.0%	674,438	N/A	17,771	N/A	Poll	F+A+AB
3.8	Υ	Pass	No	For	N/A	10,788,815	94.0%	673,724	N/A	17,771	N/A	Poll	F+A+AB
3.9	Υ	Pass	No	For	N/A	11,007,233	95.9%	472,590	N/A	486	N/A	Poll	F+A+AB
3.10	Υ	Pass	No	For	N/A	9,707,251	84.5%	1,755,338	N/A	17,710	N/A	Poll	F+A+AB
3.11	Υ	Pass	No	For	N/A	11,067,955	96.4%	412,357	N/A	1	N/A	Poll	F+A+AB
3.12	Υ	Pass	No	For	N/A	11,354,375	98.9%	125,941	N/A	1	N/A	Poll	F+A+AB
3.13	Υ	Pass	No	For	N/A	10,098,920	88.0%	1,381,384	N/A	1	N/A	Poll	F+A+AB
3.14	Υ	Pass	No	For	N/A	11,354,692	98.9%	125,624	N/A	1	N/A	Poll	F+A+AB
3.15	Υ	Pass	No	For	N/A	11,349,679	98.9%	130,637	N/A	1	N/A	Poll	F+A+AB

### Mitsubishi UFJ Financial Group, Inc.

Meeting Date: 06/29/2022 **Record Date:** 03/31/2022

Earliest Cutoff Date: 06/27/2022

Votable Shares: 1,706,700

Primary Security ID: J44497105

Country: Japan

Meeting Type: Annual

Primary CUSIP: J44497105

Total Ballots: 1 \*Shares on Loan: 0 Ticker: 8306

Voting Policy: ISS

Meeting ID: 1658426

Primary ISIN: JP3902900004

Shares Instructed: 1,706,700

**Additional Policy:** 

**Shares Voted:** 1,706,700

Primary SEDOL: 6335171

Proxy Level: N/A

Propo Numb	_	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 14.5	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Fujii, Mariko	Mgmt	Yes	For	For		For	For	No	No	No
3.2		Elect Director Honda, Keiko	Mgmt	Yes	For	For		For	For	No	No	No
3.3		Elect Director Kato, Kaoru	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Kuwabara, Satoko	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Toby S. Myerson	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# Mitsubishi UFJ Financial Group, Inc.

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3.6	Elect Director Nomoto, Hirofu	mi	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
	Voting Policy Rationale: A vote majority independent and this					* The board	after this med	eting will not	be			
	Blended Rationale: A vote AGA majority independent and this					ne board afte	r this meeting	will not be			-	
3.7	Elect Director Shingai, Yasush	i	Mgmt	Yes	For	For		For	For	No	No	No
3.8	Elect Director Tsuji, Koichi		Mgmt	Yes	For	For		For	For	No	No	No
3.9	Elect Director Tarisa Watanag	ase	Mgmt	Yes	For	For		For	For	No	No	No
3.10	Elect Director Ogura, Ritsuo		Mgmt	Yes	For	For		For	For	No	No	No
3.11	Elect Director Miyanaga, Keni	chi	Mgmt	Yes	For	For		For	For	No	No	No
3.12	Elect Director Mike, Kanetsug	u	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
	Voting Policy Rationale: A vote company's capital misallocation		director nomine	ee is warran	ted because:	* Top mana	gement is resp	oonsible for t	he			
	Blended Rationale: A vote AGA company's capital misallocation		or nominee is	warranted b	pecause: * To	op manageme	ent is responsi	ble for the				
3.13	Elect Director Kamezawa, Hiro	onori	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
	Voting Policy Rationale: A vote company's capital misallocation		-									
	Blended Rationale: A vote AGA company's capital misallocation		or nominee is	warranted b	pecause: * To	op manageme	ent is responsi	ble for the			-	
3.14	Elect Director Nagashima, Iwa	90	Mgmt	Yes	For	For		For	For	No	No	No
3.15	Elect Director Hanzawa, Junio	hi	Mgmt	Yes	For	For		For	For	No	No	No
3.16	Elect Director Kobayashi, Mak	oto	Mgmt	Yes	For	For		For	For	No	No	No
4	Amend Articles to Prohibit Loa Companies Which Show Disre Personal Information		SH	Yes	Against	Against		Against	Against	No	No	No
5	Amend Articles to Prohibit Loa Companies Involved in Defam		SH	Yes	Against	Against		Against	Against	No	No	No
6	Amend Articles to Introduce F Concerning Learning from Sys Failures at Mizuho Financial G	stem	SH	Yes	Against	Against		Against	Against	No	No	No
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	Shares	Shares	Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset		jbisset				1,706,	700	1,706	5,700
			06/21/202	2	06/21/20	22						
								Total Shares:	1,706,	700	1,706	,700

### **Meeting Results**

Outstanding Shares Voted: 126,414,701 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/Δ	100 886 971	99.6%	99 564	N/A	7 910	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2	Y	Pass	No	For	N/A	100,803,105	99.6%	111,867	N/A	6,323	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	100,027,572	98.7%	959,596	N/A	7,105	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	100,120,773	98.8%	866,397	N/A	7,105	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	99,790,867	98.5%	1,195,252	N/A	7,105	N/A	Poll	F+A+AB
3.4	Υ	Pass	No	For	N/A	98,563,589	97.3%	2,423,558	N/A	7,105	N/A	Poll	F+A+AB
3.5	Y	Pass	No	For	N/A	100,089,148	98.8%	898,029	N/A	7,105	N/A	Poll	F+A+AB
3.6	Y	Pass	No	For	N/A	83,997,266	82.9%	16,989,875	N/A	7,105	N/A	Poll	F+A+AB
3.7	Y	Pass	No	For	N/A	98,563,041	97.3%	2,423,963	N/A	7,105	N/A	Poll	F+A+AE
3.8	Υ	Pass	No	For	N/A	100,108,682	98.8%	878,440	N/A	7,105	N/A	Poll	F+A+AE
3.9	Y	Pass	No	For	N/A	100,106,112	98.8%	881,067	N/A	7,105	N/A	Poll	F+A+AB
3.10	Υ	Pass	No	For	N/A	97,259,476	96.0%	3,712,956	N/A	21,801	N/A	Poll	F+A+AB
3.11	Y	Pass	No	For	N/A	97,255,271	96.0%	3,717,166	N/A	21,801	N/A	Poll	F+A+AB
3.12	Y	Pass	No	For	N/A	86,020,970	84.9%	13,934,747	N/A	1,038,513	N/A	Poll	F+A+AB
3.13	Y	Pass	No	For	N/A	82,931,165	81.9%	18,055,959	N/A	7,105	N/A	Poll	F+A+AB
3.14	Y	Pass	No	For	N/A	100,044,595	98.8%	927,846	N/A	21,801	N/A	Poll	F+A+AB
3.15	Υ	Pass	No	For	N/A	100,019,779	98.7%	952,551	N/A	21,801	N/A	Poll	F+A+AE
3.16	Y	Pass	No	For	N/A	100,012,821	98.7%	959,264	N/A	21,901	N/A	Poll	F+A+AE
4	Y	Fail	No	Against	N/A	2,242,761	2.2%	98,698,737	N/A	53,014	N/A	Poll	F+A+AB
5	Υ	Fail	No	Against	N/A	2,219,635	2.2%	98,725,793	N/A	49,072	N/A	Poll	F+A+AE
6	Υ	Fail	No	Against	N/A	2,210,314	2.2%	98,750,472	N/A	33,622	N/A	Poll	F+A+AE

Statutory Reports

Meeting Date: 07/05/2022 **Record Date:** 07/01/2022

Primary Security ID: G5824M107

Earliest Cutoff Date: 06/30/2022 Votable Shares: 2,253,000

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G5824M107

Total Ballots: 1 \*Shares on Loan: 0 Ticker: MKS

**Meeting ID:** 1618773

**Primary ISIN:** GB0031274896

Voting Policy: ISS Shares Instructed: 2,253,000 Proxy Level: N/A

Primary SEDOL: 3127489

**Additional Policy: Shares Voted:** 2,253,000

Proposal Number			Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and	Mgmt	Yes	For	For		For	For	No	No	No

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
2		Approve Remuneration Report	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes	
		Voting Policy Rationale: A vote AGAINST the re Rowe's notice is set to be served on his last day practice, his formal notice should have commen market. This has given rise to a "notice of notice contributory factors towards the adverse vote of granting Steve Rowe a bonus in FY2021/22, dee positioning for CEO Stuart Machin and Co-CEO directors effectively share the leadership role, as in the Company's market capitalisation in recent	y of employmenced on 10 Ma nee" scenario. C recommendation spite being un Katie Bickerstand ii) the sala	ent with the erch 2022, w concerns hav on – that the eder notice b affe, has bee	Company or then his dep ye also been be Remunera before the been highlighte	n 5 July 2022 arture was fo noted – but tion Committe onus payment ed as a matte	. However, in armally annour are not being ee applied disc t date. Also, th er of concern, g	line with bes need to the highlighted a cretion in ne salary given that i)	the				
		Blended Rationale: A vote AGAINST the remune Rowe's notice is set to be served on his last day practice, his formal notice should have commen market. This has given rise to a "notice of notice contributory factors towards the adverse vote of granting Steve Rowe a bonus in FY2021/22, dee positioning for CEO Stuart Machin and Co-CEO directors effectively share the leadership role, a in the Company's market capitalisation in recen	y of employme nced on 10 Ma re" scenario. C recommendatio spite being un Katie Bickersta and ii) the sala	ent with the erch 2022, w concerns hav on – that the eder notice b affe, has bee	Company or then his deported also been the Remunera before the been highlighte	n 5 July 2022 arture was fo noted – but tion Committe onus payment ed as a matte	n However, in a commally annour, are not being a commally annour, are applied discount date. Also, the concern, g	line with bes need to the highlighted a cretion in ne salary given that i)	as the				
3		Re-elect Archie Norman as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no si	gnificant cor	ncerns have b	neen identified						
		Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.											
4		Re-elect Eoin Tonge as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no si	gnificant cor	ncerns have b	neen identified						
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concern	s have been	identified.						
5		Re-elect Evelyn Bourke as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no si	gnificant coi	ncerns have b	neen identified						
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concern	s have been	identified.						
6		Re-elect Fiona Dawson as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant coi	ncerns have b	neen identified						
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concern	s have been	identified.						
7		Re-elect Andrew Fisher as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no si	gnificant col	ncerns have b	neen identified						
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concern	s have been	identified.						
8		Re-elect Andy Halford as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no si	gnificant col	ncerns have b	neen identified						
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concern	s have been	identified.						
9		Re-elect Tamara Ingram as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant cor	ncerns have b	neen identified.	•					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concern	s have been	identified.						
10		Re-elect Justin King as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant col	ncerns have b	neen identified						
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concern	s have been	identified.						

Additional Voting

Vote

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
11		Re-elect Sapna Sood as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	een identified.						
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been	identified.						
12		Elect Stuart Machin as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	een identified.						
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been	identified.						
13		Elect Katie Bickerstaffe as Director	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	een identified.						
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been	identified.						
14		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No	
15		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No	
16		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No	
17		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No	
	Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.												
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	because the	e proposed a	mounts and	durations are	within					
18		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these reson recommended limits.	lutions is warra	anted becaus	se the propo.	sed amounts	and durations	s are within					
		Blended Rationale: A vote FOR these resolution recommended limits.	s is warranted	because the	e proposed a	nmounts and	durations are	within					
19		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these resonrecommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	s are within					
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	because the	e proposed a	mounts and	durations are	within					
20		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No	
21		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No	
22		Approve Renewal of Share Incentive Plan	Mgmt	Yes	For	For		For	For	No	No	No	
23		Authorise Purchase by Marks and Spencer plc of Ordinary Shares in The Sports Edit Limited from Justin King	Mgmt	Yes	For	For		For	For	No	No	No	
Ballot Deta	ails												

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		2,253,000	2,253,000
			06/27/2022	06/27/2022			

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Total Shares: 2,253,000 2,253,000

#### **Meeting Results**

Outstanding Shares Voted: 1,964,019,744

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	1,339,451,971	100.0%	157,191	0.0%	1,411,785	N/A	Poll	F+A
2	Υ	Pass	No	For	29.1%	930,901,466	70.9%	382,304,226	29.1%	27,809,219	N/A	Poll	F+A
3	Y	Pass	No	For	3.9%	1,288,556,149	96.1%	52,271,066	3.9%	204,180	N/A	Poll	F+A
4	Y	Pass	No	For	0.4%	1,335,843,323	99.6%	4,958,347	0.4%	251,353	N/A	Poll	F+A
5	Υ	Pass	No	For	12.2%	1,176,809,541	87.8%	163,959,382	12.2%	283,901	N/A	Poll	F+A
6	Υ	Pass	No	For	1.1%	1,326,480,850	98.9%	14,279,272	1.1%	290,202	N/A	Poll	F+A
7	Υ	Pass	No	For	1.8%	1,316,596,126	98.2%	24,159,878	1.8%	272,212	N/A	Poll	F+A
8	Υ	Pass	No	For	2.6%	1,305,732,873	97.4%	35,035,074	2.6%	282,857	N/A	Poll	F+A
9	Υ	Pass	No	For	1.7%	1,318,085,555	98.3%	22,646,240	1.7%	292,311	N/A	Poll	F+A
10	Y	Pass	No	For	1.1%	1,326,501,796	98.9%	14,310,651	1.1%	240,759	N/A	Poll	F+A
11	Y	Pass	No	For	1.1%	1,326,415,831	98.9%	14,330,957	1.1%	277,318	N/A	Poll	F+A
12	Y	Pass	No	For	0.0%	1,340,304,101	100.0%	475,033	0.0%	267,080	N/A	Poll	F+A
13	Y	Pass	No	For	0.3%	1,337,195,657	99.7%	3,583,671	0.3%	269,386	N/A	Poll	F+A
14	Y	Pass	No	For	0.1%	1,330,455,419	99.9%	1,384,747	0.1%	9,206,048	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	1,339,612,877	99.9%	1,217,059	0.1%	214,229	N/A	Poll	F+A
16	Υ	Pass	No	For	5.3%	1,268,497,930	94.7%	70,333,790	5.3%	2,214,494	N/A	Poll	F+A
17	Y	Pass	No	For	2.0%	1,313,858,056	98.0%	26,945,573	2.0%	242,585	N/A	Poll	F+A
18	Υ	Pass	No	For	0.3%	1,336,141,058	99.7%	4,508,799	0.3%	394,992	N/A	Poll	F+A
19	Υ	Pass	No	For	4.6%	1,279,181,425	95.4%	61,473,173	4.6%	390,251	N/A	Poll	F+A
20	Υ	Pass	No	For	0.8%	1,328,435,544	99.2%	10,854,595	0.8%	1,755,985	N/A	Poll	F+A
21	Υ	Pass	No	For	5.6%	1,256,405,720	94.4%	74,404,099	5.6%	226,395	N/A	Poll	F+A
22	Υ	Pass	No	For	0.0%	1,340,136,466	100.0%	543,524	0.0%	366,224	N/A	Poll	F+A
23	Y	Pass	No	For	0.0%	1,340,023,164	100.0%	414,688	0.0%	606,997	N/A	Poll	F+A

### **GSK Plc**

Meeting Date: 07/06/2022 Record Date: 07/04/2022 Primary Security ID: G3910J112 **Country:** United Kingdom **Meeting Type:** Special

Primary CUSIP: G3910J112

Ticker: GSK

**Meeting ID:** 1659110

Primary ISIN: GB0009252882

Proxy Level: N/A

Primary SEDOL: 0925288

#### **GSK Plc**

Earliest Cutoff Date: 07/01/2022 Total Ballots: 1 Voting Policy: ISS **Additional Policy:** Votable Shares: 260,000 \*Shares on Loan: 0 Shares Instructed: 260,000 Shares Voted: 260,000 Additional Voting Vote Vote **Proposal** Significant Votable Mgmt Policy Policy Vote Against Against Against Number Vote **Proposal Text** Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS Approve Matters Relating to the Yes For For No No 1 Mgmt For For No Demerger of Haleon Group from the GSK Group Approve the Related Party Transaction For 2 Mgmt Yes For For For Nο Nο Nο Arrangements **Ballot Details** Institutional Account Detail Custodian (IA Name, IA Number) Account Number **Ballot Status** Instructed Approved **Ballot Voting Status** Votable Shares Shares Voted NT RSG01, NT RSG01 RSG01 Confirmed jbisset 260,000 260,000 06/24/2022 06/24/2022 Total Shares: 260,000 260,000

#### **Meeting Results**

Outstanding Shares Voted: 5,084,180,157

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.2%	3,568,610,751	99.8%	6,794,940	0.2%	7,388,793	N/A	Poll	F+A
2	Y	Pass	No	For	0.2%	3 565 834 534	99.8%	8 056 152	0.2%	8 857 644	N/A	Poll	F+A

### **Weiss Korea Opportunity Fund Ltd**

**Meeting Date:** 07/21/2022 **Record Date:** 07/19/2022

Country: Guernsey
Meeting Type: Annual

Primary CUSIP: G9519V109

Ticker: WKOF

Voting Policy: ISS

Meeting ID: 1648411

Primary ISIN: GG00B933LL68

Proxy Level: N/A

Primary SEDOL: B933LL6

Earliest Cutoff Date: 07/18/2022

Votable Shares: 800,000

Primary Security ID: G9519V109

Total Ballots: 1

\*Shares on Loan: 0

Shares Instructed: 800,000

Additional Policy: Shares Voted: 800,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Elect Chairman of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
2		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify KPMG Channel Islands Limited as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Weiss Korea Opportunity Fund Ltd**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5		Re-elect Norman Crighton as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 5 A vote FOR the because: * Apart from his role as Non-Executiv publicly listed companies, which could compror reason for support is: * All of his external compost of flexibility is considered appropriate. This will King, Gill Morris and Krishna Shanmuganathan	ve Chair of the mise his ability mitments are a be kept under is warranted b	Company, h to commit s at investmen r review. Iter pecause no s	e also serve sufficient tim t companies ms 6-8 A vo significant co	es as a Non-E e to his role of the Taking this the FOR the re oncerns have	xecutive Chair in the Compan factor into acc e-election/elect been identified	at two other y. The main count, a degr tion of Rob d.	-			
		Blended Rationale: Item 5 A vote FOR the re-e- because: * Apart from his role as Non-Executiv publicly listed companies, which could compror reason for support is: * All of his external com- of flexibility is considered appropriate. This will King, Gill Morris and Krishna Shanmuganathan	ve Chair of the mise his ability mitments are a I be kept under	Company, h to commit s at investmen r review. Item	e also serve sufficient tim t companies ms 6-8 A vo	es as a Non-E te to his role to Taking this te FOR the re	xecutive Chair in the Compan factor into acc e-election/elect	at two other y. The main count, a degr tion of Rob				
6		Re-elect Robert King as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 5 A vote FOR the because: * Apart from his role as Non-Executiv publicly listed companies, which could compror reason for support is: * All of his external comport of flexibility is considered appropriate. This will King, Gill Morris and Krishna Shanmuganathan	ve Chair of the mise his ability mitments are a I be kept unde	Company, h to commit s at investmen r review. Item	e also serve sufficient tim t companies ms 6-8 A vo	es as a Non-E te to his role to Taking this te FOR the re	xecutive Chair in the Compan factor into acc e-election/elect	at two other y. The main count, a degr tion of Rob	-			
		Blended Rationale: Item 5 A vote FOR the re-electory because: * Apart from his role as Non-Executive publicly listed companies, which could compror reason for support is: * All of his external composition of flexibility is considered appropriate. This will King, Gill Morris and Krishna Shanmuganathan	ve Chair of the mise his ability mitments are a I be kept under	Company, h to commit s at investmen r review. Item	e also serve sufficient tim t companies ms 6-8 A vo	es as a Non-E te to his role to Taking this te FOR the re	xecutive Chair in the Compan factor into acc e-election/elect	at two other y. The main count, a degr tion of Rob				
7		Elect Gillian Morris as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 5 A vote FOR the because: * Apart from his role as Non-Executiv publicly listed companies, which could compror reason for support is: * All of his external com of flexibility is considered appropriate. This will King, Gill Morris and Krishna Shanmuganathan	ve Chair of the mise his ability mitments are a I be kept undel	Company, h to commit s at investmen r review. Item	e also serve sufficient tim t companies ms 6-8 A vo	es as a Non-E te to his role to Taking this te FOR the re	xecutive Chair in the Compan factor into acc e-election/elect	at two other y. The main count, a degr tion of Rob	•			
		Blended Rationale: Item 5 A vote FOR the re-electory because: * Apart from his role as Non-Executive publicly listed companies, which could compror reason for support is: * All of his external composition of flexibility is considered appropriate. This will King, Gill Morris and Krishna Shanmuganathan	ve Chair of the mise his ability mitments are a I be kept unde	Company, h to commit s at investmen r review. Item	e also serve sufficient tim t companies ms 6-8 A vo	es as a Non-E te to his role to Taking this te FOR the re	xecutive Chair in the Compan factor into acc e-election/elect	at two other y. The main count, a degr tion of Rob				
8		Elect Krishna Shanmuganathan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 5 A vote FOR the because: * Apart from his role as Non-Executiv publicly listed companies, which could compror reason for support is: * All of his external comport of flexibility is considered appropriate. This will King, Gill Morris and Krishna Shanmuganathan	ve Chair of the mise his ability mitments are a I be kept unde	Company, h to commit s at investmen r review. Item	e also serve sufficient tim t companies ms 6-8 A vo	es as a Non-E te to his role to Taking this te FOR the re	xecutive Chair in the Compan factor into acc e-election/elect	at two other y. The main count, a degr tion of Rob	-			
		Blended Rationale: Item 5 A vote FOR the re-e- because: * Apart from his role as Non-Executiv publicly listed companies, which could compror reason for support is: * All of his external com- of flexibility is considered appropriate. This will King, Gill Morris and Krishna Shanmuganathan	ve Chair of the mise his ability mitments are a I be kept under	Company, h to commit s at investmen r review. Item	e also serve sufficient tim t companies ms 6-8 A vo	es as a Non-E te to his role to Taking this te FOR the re	xecutive Chair in the Compan factor into acc e-election/elect	at two other y. The main count, a degr tion of Rob				
9		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
10		Approve Dividend Policy	Mgmt	Yes	For	For		For	For	No	No	No

# **Weiss Korea Opportunity Fund Ltd**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this recommended limit of 15 percent of issued sharecommended limit of 5 percent above market	are capital; and									
		Blended Rationale: A vote AGAINST this resolution of 15 percent of issued share capital; and of 5 percent above market price.										
12		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolutions is warranted because: * The Company has made a commitment that shares will only be issued at or above NAV.										
		Blended Rationale: A vote FOR these resolution will only be issued at or above NAV.	ns is warranted	d because: *	The Comp	any has made	e a commitmer	nt that share	25			
13		Authorise Issue of Equity without Pre-emptive Rights (Additional Authority)	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonances will only be issued at or above NAV.	olutions is warra	anted becaus	se: * The C	ompany has i	made a comm	itment that				
		Blended Rationale: A vote FOR these resolution will only be issued at or above NAV.	ns is warranted	d because: *	The Comp	any has madé	e a commitmer	nt that share	25			

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		800,000	800,000
			07/14/2022	07/14/2022			
					Total Shares:	800,000	800,000

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
2	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
3	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
4	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
5	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
6	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
7	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
8	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
10	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
11	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
12	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
13	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A

### **Jet2 Plc**

Meeting Date: 09/01/2022 **Record Date:** 08/30/2022

Country: United Kingdom Meeting Type: Annual

Ticker: JET2 Meeting ID: 1669297

Voting Policy: ISS

Proxy Level: N/A

**Primary Security ID:** G5112P101

Primary CUSIP: G5112P101

Primary ISIN: GB00B1722W11

Primary SEDOL: B1722W1

Earliest Cutoff Date: 08/29/2022 Votable Shares: 167,576

Total Ballots: 1\*Shares on Loan: 0

Shares Instructed: 167,576

**Additional Policy:** Shares Voted: 167,576

	107,570						,5.0		J 05 10101	10,,5,0				
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes		
		Voting Policy Rationale: A vote AGAINST this independent representation on the Board; * under the Share Reward Plan vest subject to	The Executive C	Chair sits on	the Remun	-								
		Blended Rationale: A vote AGAINST this resindependent representation on the Board; *under the Share Reward Plan vest subject to	The Executive C	Chair sits on	the Remun				ed					
2		Re-elect Gary Brown as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: Item 2 A vote FOR a been identified. Item 3 A vote AGAINST the issue has been identified and he sits on the does not adhere to UK best practice recomm	re-election of Ma Audit and Remu	ark Laurence neration Cor	e is warrant mmittees, a	ed because: 3	* A potential in	dependence	•					
		Blended Rationale: Item 2 A vote FOR the reidentified. Item 3 A vote AGAINST the re-elebeen identified and he sits on the Audit and adhere to UK best practice recommendation	ection of Mark La Remuneration C	nurence is wa Committees,	arranted be	cause: * A po	tential indeper	ndence issue	has		-			
3		Re-elect Mark Laurence as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes		
		Voting Policy Rationale: Item 2 A vote FOR the re-election of Gary Brown is warranted because no significant concerns have been identified. Item 3 A vote AGAINST the re-election of Mark Laurence is warranted because: * A potential independence issue has been identified and he sits on the Audit and Remuneration Committees, and the composition of these Committees does not adhere to UK best practice recommendations for a company of this size.												
		Blended Rationale: Item 2 A vote FOR the reidentified. Item 3 A vote AGAINST the re-elebeen identified and he sits on the Audit and adhere to UK best practice recommendation	ection of Mark La Remuneration C	nurence is wa Committees,	arranted be	cause: * A po	tential indeper	ndence issue	has		-			
4		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No		
5		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No		
6		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these re recommended limits.	esolutions is warr	ranted becau	use the prop	oosed amount	s and duration	s are within						
		Blended Rationale: A vote FOR these resolut recommended limits.	tions is warrante	d because ti	he proposed	d amounts and	d durations are	within						
7		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.												
		Blended Rationale: A vote FOR these resolut	tions is warrante	d because ti	he proposed	d amounts and	d durations are	within						

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

recommended limits.

#### **Jet2 Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.										
		Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.										

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		167,576	167,576
			08/22/2022	08/22/2022			
					Total Shares:	167,576	167,576

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	7.1%	153,733,922	92.9%	11,744,978	7.1%	1,858,387	N/A	Poll	F+A
2	Υ	Pass	No	For	5.1%	158,836,534	94.9%	8,493,736	5.1%	7,017	N/A	Poll	F+A
3	Υ	Pass	No	For	16.7%	138,160,216	83.3%	27,788,327	16.7%	1,388,744	N/A	Poll	F+A
4	Υ	Pass	No	For	0.7%	162,378,931	99.3%	1,218,243	0.7%	3,740,113	N/A	Poll	F+A
5	Υ	Pass	No	For	0.1%	167,218,038	99.9%	108,086	0.1%	11,163	N/A	Poll	F+A
6	Υ	Pass	No	For	0.6%	165,275,627	99.4%	948,062	0.6%	1,113,598	N/A	Poll	F+A
7	Υ	Pass	No	For	0.8%	165,917,627	99.2%	1,406,053	0.8%	13,207	N/A	Poll	F+A
8	Υ	Pass	No	For	1.8%	164,327,696	98.2%	2,995,984	1.8%	13,207	N/A	Poll	F+A

### **Taylor Maritime Investments Ltd.**

Meeting Date: 09/07/2022 Country: Guernsey Ticker: TMI Proxy Level: N/A **Record Date:** 09/05/2022 Meeting Type: Annual **Meeting ID:** 1675446 Primary Security ID: G8673D103 Primary CUSIP: G8673D103 Primary ISIN: GG00BP2NJT37 Primary SEDOL: BP2NJT3 Earliest Cutoff Date: 09/02/2022 **Total Ballots:** 1 Voting Policy: ISS **Additional Policy:** Votable Shares: 3,300,000 \*Shares on Loan: 0 Shares Instructed: 3,300,000 Shares Voted: 3,300,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Taylor Maritime Investments Ltd.**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4		Elect Edward Buttery as Directo	or	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote i and Helen Tveitan is warranted					di Clark, Nick	Lykiardopulo,	Sandra Pla	tts			
		Blended Rationale: A vote FOR a Helen Tveitan is warranted beca		•	-		ark, Nick Lyki	iardopulo, San	dra Platts ai	nd			
5		Elect Christopher Buttery as Dir	ector	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote i and Helen Tveitan is warranted			, .	, .	di Clark, Nick	C Lykiardopulo,	Sandra Pla	tts			
		Blended Rationale: A vote FOR a Helen Tveitan is warranted beca		•	-		ark, Nick Lyki	iardopulo, San	dra Platts ar	nd			
6		Elect Trudi Clark as Director		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote i and Helen Tveitan is warranted			**		di Clark, Nick	C Lykiardopulo,	Sandra Pla	tts			
		Blended Rationale: A vote FOR a Helen Tveitan is warranted beca		•	-	* *	ark, Nick Lyki	iardopulo, San	dra Platts aı	nd			
7		Elect Nicholas Lykiardopulo as I	Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote of and Helen Tveitan is warranted				, .	di Clark, Nick	Lykiardopulo,	Sandra Pla	tts			
		Blended Rationale: A vote FOR a Helen Tveitan is warranted beca				-	ark, Nick Lyki	iardopulo, San	dra Platts aı	nd			
8		Elect Sandra Platts as Director		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote i and Helen Tveitan is warranted					di Clark, Nick	( Lykiardopulo,	Sandra Pla	tts			
		Blended Rationale: A vote FOR a Helen Tveitan is warranted beca		-		-	ark, Nick Lyki	iardopulo, San	dra Platts ai	nd			
9		Elect Helen Tveitan as Director		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote i and Helen Tveitan is warranted				, .	di Clark, Nick	( Lykiardopulo,	Sandra Pla	tts			
		Blended Rationale: A vote FOR a Helen Tveitan is warranted beca		•	-		ark, Nick Lyki	iardopulo, San	dra Platts ai	nd			
10		Ratify PricewaterhouseCoopers as Auditors	CI LLP	Mgmt	Yes	For	For		For	For	No	No	No
11		Authorise Board to Fix Remune of Auditors	ration	Mgmt	Yes	For	For		For	For	No	No	No
12		Approve Company's Dividend P	olicy	Mgmt	Yes	For	For		For	For	No	No	No
13		Amend Articles of Incorporation	1	Mgmt	Yes	For	For		For	For	No	No	No
14		Authorise Market Purchase of C Shares	ordinary	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise Issue of Equity witho Pre-emptive Rights	ut	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	nils												
Institutional (IA Name, IA	Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	I	Approved	I	Ballot Voting	Status	Votable Si	nares	Shares \	Voted
NT RSG01, NT	RSG01	RSG01	Confirmed	jbisset		jbisset				3,300,0	00	3,300	,000
				08/31/2022		08/31/202	2						
									Total Shares:	3,300,0	000	3,300,	.000

### **Taylor Maritime Investments Ltd.**

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	160,073,504	100.0%	0	0.0%	4,000	N/A	Poll	F+A
2	Υ	Pass	No	For	0.3%	159,089,949	99.7%	439,943	0.3%	547,612	N/A	Poll	F+A
3	Υ	Pass	No	For	0.6%	159,078,151	99.4%	999,153	0.6%	200	N/A	Poll	F+A
4	Y	Pass	No	For	0.0%	160,010,310	100.0%	67,194	0.0%	0	N/A	Poll	F+A
5	Υ	Pass	No	For	0.0%	160,065,098	100.0%	12,406	0.0%	0	N/A	Poll	F+A
6	Υ	Pass	No	For	0.8%	158,788,497	99.2%	1,289,007	0.8%	0	N/A	Poll	F+A
7	Υ	Pass	No	For	0.8%	158,812,542	99.2%	1,264,962	0.8%	0	N/A	Poll	F+A
8	Υ	Pass	No	For	0.8%	158,780,126	99.2%	1,297,378	0.8%	0	N/A	Poll	F+A
9	Y	Pass	No	For	0.8%	158,812,542	99.2%	1,264,962	0.8%	0	N/A	Poll	F+A
10	Υ	Pass	No	For	8.7%	146,120,757	91.3%	13,956,747	8.7%	0	N/A	Poll	F+A
11	Υ	Pass	No	For	0.0%	160,072,656	100.0%	4,848	0.0%	0	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	160,077,504	100.0%	0	0.0%	0	N/A	Poll	F+A
13	Υ	Pass	No	For	0.0%	160,062,170	100.0%	7,534	0.0%	7,800	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	160,069,540	100.0%	7,764	0.0%	200	N/A	Poll	F+A
15	Υ	Pass	No	For	0.0%	160,043,075	100.0%	34,229	0.0%	200	N/A	Poll	F+A

### **Yellow Cake Plc**

Meeting Date: 09/07/2022 **Record Date:** 09/05/2022

Primary Security ID: G98334108

Earliest Cutoff Date: 09/02/2022 Votable Shares: 1,000,000

Country: Jersey

Meeting Type: Annual

Primary CUSIP: G98334108

Total Ballots: 1

\*Shares on Loan: 0

Ticker: YCA

**Meeting ID:** 1673299

Primary ISIN: JE00BF50RG45

Voting Policy: ISS

Shares Instructed: 1,000,000

Proxy Level: N/A

Primary SEDOL: BF50RG4

**Additional Policy:** 

**Shares Voted:** 1,000,000

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Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No

### **Yellow Cake Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2		Re-elect Anthony Tudor St John, The Lord St John of Bletso as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the concern because: * Apart from his role as Nonlisted companies, which could compromise his support is: * One of his external commitments. Taking these factors into account, a degree of vote FOR the re-election of Andre Liebenberg, because no significant concerns have been ider FOR the re-election of Emily Manning is warran	Executive Cha ability to comn is at an invest flexibility is cor Carole Whittall, atified. Item 8	ir of the Con nit sufficient ment compa nsidered app l, Sofia Bianc Despite pote	npany, he al time to his i ny and anot propriate. Th thi, Alexande ential concer	so serves in role in the Co her is at a re is will be kep er Downer an ns due to he	various roles a ompany. The n elatively smalle ot under review nd Alan Rule is er non-independ	nt other publi nain reason f r company. v. Items 3-7 v. warranted dence, a vota	or A			
		Blended Rationale: Item 2 A vote FOR the re-elecause: * Apart from his role as Non-Executive companies, which could compromise his ability support is: * One of his external commitments. Taking these factors into account, a degree of vote FOR the re-election of Andre Liebenberg, because no significant concerns have been ider FOR the re-election of Emily Manning is warrant.	e Chair of the to commit sufi is at an investi flexibility is cor Carole Whittall, ntified. Item 8	Company, he ficient time to the time to th	e also serve to his role in ny and anot tropriate. Th thi, Alexande ential concer	s in various r the Compan her is at a re is will be kep er Downer an ns due to he	roles at other p ny. The main re elatively smalle nt under review nd Alan Rule is er non-indepen	oublicly listed eason for r company. v. Items 3-7 v. warranted dence, a vota	4			
3		Re-elect Andre Liebenberg as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the concern because: * Apart from his role as Nonlisted companies, which could compromise his a support is: * One of his external commitments. Taking these factors into account, a degree of vote FOR the re-election of Andre Liebenberg, because no significant concerns have been identificant to the re-election of Emily Manning is warrant.	Executive Cha ability to comn is at an invest flexibility is cor Carole Whittall, ntified. Item 8	ir of the Connit sufficient ment compa nsidered app l, Sofia Bianc Despite pote	npany, he all time to his i ny and anot propriate. Th chi, Alexande ential concer	so serves in role in the Co her is at a re is will be kep er Downer an ns due to he	various roles a ompany. The n elatively smalle ot under review nd Alan Rule is er non-indepen	nt other publi nain reason f r company. v. Items 3-7 v. warranted dence, a vota	for A			
		Blended Rationale: Item 2 A vote FOR the re-electuse: * Apart from his role as Non-Executive companies, which could compromise his ability support is: * One of his external commitments. Taking these factors into account, a degree of vote FOR the re-election of Andre Liebenberg, because no significant concerns have been identificant to the re-election of Emily Manning is warrant.	e Chair of the to commit sufi is at an investi flexibility is cor Carole Whittall, ntified. Item 8	Company, ho ficient time t ment compa nsidered app l, Sofia Bianc Despite pote	e also serve. to his role in ny and anot tropriate. Th thi, Alexande ential concer	s in various r the Compan her is at a re is will be kep er Downer an ns due to he	roles at other p ny. The main re elatively smalle nt under review nd Alan Rule is er non-indepen	oublicly listed eason for r company. v. Items 3-7 v. warranted dence, a vota	4			
4		Re-elect Carole Whittall as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the concern because: * Apart from his role as Nonlisted companies, which could compromise his a support is: * One of his external commitments Taking these factors into account, a degree of vote FOR the re-election of Andre Liebenberg, because no significant concerns have been ider FOR the re-election of Emily Manning is warran	Executive Cha ability to comn is at an invest flexibility is cor Carole Whittall, ntified. Item 8	ir of the Connit sufficient ment compa nsidered app I, Sofia Bianc Despite pote	npany, he al time to his i ny and anot propriate. Th chi, Alexande ential concer	so serves in the Controle in the Control in the Control in the Indian in Indian in Indian in Indian in Indian in Indian in Indian India	various roles a ompany. The n elatively smalle ot under review nd Alan Rule is er non-indepen	nt other publi nain reason f r company. v. Items 3-7 v. warranted dence, a vota	or A			
		Blended Rationale: Item 2 A vote FOR the re-elebecause: * Apart from his role as Non-Executive companies, which could compromise his ability support is: * One of his external commitments Taking these factors into account, a degree of vote FOR the re-election of Andre Liebenberg, because no significant concerns have been identificant to the re-election of Emily Manning is warrant.	e Chair of the to commit sufi is at an investi flexibility is cor Carole Whittall, ntified. Item 8	Company, he ficient time to the time to th	e also serve to his role in ny and anot propriate. Th thi, Alexande ential concer	s in various r the Compan her is at a re is will be kep er Downer an ns due to he	roles at other p ny. The main re elatively smalle nt under review nd Alan Rule is er non-indepen	oublicly listed eason for r company. v. Items 3-7 v. warranted dence, a vota	4			

### **Yellow Cake Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
5		Re-elect Sofia Bianchi as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the concern because: * Apart from his role as Non listed companies, which could compromise his support is: * One of his external commitments Taking these factors into account, a degree of vote FOR the re-election of Andre Liebenberg, because no significant concerns have been ide FOR the re-election of Emily Manning is warrant.	a-Executive Cha ability to comi is at an invest flexibility is co Carole Whittal entified. Item 8	air of the Coi mit sufficient tment compa onsidered app Il, Sofia Biand Despite poto	mpany, he a time to his any and and propriate. To chi, Alexand ential conce	also serves in role in the Co other is at a re his will be kep der Downer au erns due to he	various roles a company. The n elatively smalle ot under reviev nd Alan Rule is er non-indepen	at other publication of the public of the pu	for A			
		Blended Rationale: Item 2 A vote FOR the re- because: * Apart from his role as Non-Execution companies, which could compromise his ability support is: * One of his external commitments Taking these factors into account, a degree of vote FOR the re-election of Andre Liebenberg, because no significant concerns have been idea FOR the re-election of Emily Manning is warrant	ve Chair of the v to commit sun s is at an invest flexibility is co Carole Whittal entified. Item 8	Company, h fficient time tment compa nsidered app II, Sofia Biand Despite pote	e also servi to his role in any and and propriate. The chi, Alexand ential conce	es in various in the Compar other is at a re this will be kep der Downer al erns due to he	roles at other pay. The main re elatively smalle ot under reviev and Alan Rule is er non-indepen	oublicly listed eason for er company. v. Items 3-7 e warranted edence, a vol	d A			
6		Re-elect Alexander Downer as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the concern because: * Apart from his role as Non-listed companies, which could compromise his support is: * One of his external commitments Taking these factors into account, a degree of vote FOR the re-election of Andre Liebenberg, because no significant concerns have been ide FOR the re-election of Emily Manning is warrant.	a-Executive Cha ability to comi is at an invest flexibility is co Carole Whittal entified. Item 8	air of the Coi mit sufficient tment compa onsidered app Il, Sofia Biand Despite poto	npany, he a time to his any and and propriate. To chi, Alexand ential conce	also serves in role in the Co other is at a re his will be kep der Downer al erns due to he	various roles a company. The n elatively smalle ot under reviev nd Alan Rule is er non-indepen	at other publication of the company.  V. Items 3-7  V. warranted of the company.  V. dence, a volument	for A			
		Blended Rationale: Item 2 A vote FOR the re- because: * Apart from his role as Non-Execution companies, which could compromise his ability support is: * One of his external commitments Taking these factors into account, a degree of vote FOR the re-election of Andre Liebenberg, because no significant concerns have been ide FOR the re-election of Emily Manning is warrant	ve Chair of the v to commit sun s is at an invest flexibility is co Carole Whittal entified. Item 8	Company, h fficient time tment compa nsidered app II, Sofia Biand Despite pote	e also serve to his role in any and and propriate. The chi, Alexand ential conce	es in various in the Compar other is at a re this will be kep der Downer al erns due to he	roles at other pay. The main re elatively smalle ot under reviev and Alan Rule is er non-indepen	oublicly listed eason for er company. v. Items 3-7 e warranted edence, a vol	d A			
7		Re-elect Alan Rule as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the concern because: * Apart from his role as Non listed companies, which could compromise his support is: * One of his external commitments Taking these factors into account, a degree of vote FOR the re-election of Andre Liebenberg, because no significant concerns have been ide FOR the re-election of Emily Manning is warrant.	n-Executive Cha ability to comi is at an invest flexibility is co Carole Whittal entified. Item 8	air of the Coi mit sufficient tment compa onsidered app Il, Sofia Biand Despite poto	mpany, he a time to his any and and propriate. To chi, Alexand ential conce	also serves in role in the Co other is at a re his will be kep der Downer au erns due to he	various roles a company. The n elatively smalle ot under reviev nd Alan Rule is er non-indepen	at other publication of the public of the pu	for A			
		Blended Rationale: Item 2 A vote FOR the re- because: * Apart from his role as Non-Execution companies, which could compromise his ability support is: * One of his external commitments Taking these factors into account, a degree of vote FOR the re-election of Andre Liebenberg, because no significant concerns have been ide FOR the re-election of Emily Manning is warran	election of Lord ve Chair of the v to commit sun is at an invest flexibility is co Carole Whittan entified. Item 8	d Anthony St. Company, h fficient time tment compa nsidered app Il, Sofia Biand Despite pote	John is wa e also serve to his role in ny and and propriate. The chi, Alexand ential conce	arranted, althous in the Compar in the Compar on the rest a rest this will be kept der Downer au erns due to he	ough it is not w roles at other p ny. The main ro elatively smalle ot under reviev nd Alan Rule is er non-indepen	vithout conceptublicly listed eason for eason for ear company.  V. Items 3-7 warranted edence, a volume.	d A			

### **Yellow Cake Plc**

roposal Significant lumber Vote	Proposal Text	P	roponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agai ISS
8	Re-elect Emily Manning as Direct	or M	lgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Item 2 A concern because: * Apart from his listed companies, which could consupport is: * One of his external of Taking these factors into account, vote FOR the re-election of Andre because no significant concerns his FOR the re-election of Emily Manual Concerns his possible process.	s role as Non-Exe npromise his abil commitments is a a degree of flex Liebenberg, Car ave been identifi	ecutive Chail lity to comm at an investn dibility is con dibility is con dibil	ir of the Con nit sufficient ment compa nsidered app Sofia Bianc Despite pote	npany, he als time to his r ny and anoti ropriate. Thi thi, Alexande ential concen	so serves in ole in the Co her is at a re is will be kep r Downer an ns due to he	various roles a ompany. The n latively smalle it under reviev id Alan Rule is r non-indepen	nt other publi nain reason f r company. v. Items 3-7 v. warranted dence, a vota	or 4			
	Blended Rationale: Item 2 A vote because: * Apart from his role as companies, which could comprom support is: * One of his external of Taking these factors into account, vote FOR the re-election of Andre because no significant concerns h FOR the re-election of Emily Mann	Non-Executive C ise his ability to a commitments is a a degree of flex Liebenberg, Car ave been identifi	Thair of the C commit suff at an investn dibility is con tole Whittall, ied. Item 8 L	Company, ho ficient time to ment companisidered app Sofia Bianco Despite pote	e also serves to his role in ny and anoti ropriate. Thi thi, Alexande ential concen	in various r the Compan her is at a re is will be kep r Downer an hs due to he	oles at other p y. The main re latively smalle it under review Id Alan Rule is r non-indepen	oublicly listed eason for r company. v. Items 3-7 v. warranted dence, a vota	4			
9	Ratify RSM UK Audit LLP as Audit	ors M	1gmt	Yes	For	For		For	For	No	No	No
10	Authorise the Audit Committee to Remuneration of Auditors	Fix M	1gmt	Yes	For	For		For	For	No	No	No
11	Authorise Issue of Equity	M	lgmt	Yes	For	For		For	For	No	No	No
	the following reason: * The proporeason for support is: * The speci Company under the framework as Blended Rationale: Item 11 A vote recommended limits. Item 12 A vote the following reason: * The proporeason for support is: * The speci Company under the framework as	fic authority is to preement betwee TOR this resolu to FOR this resoluted amount except fic authority is to	o be utilised en the Comp ution is warra plution is wa eeds the rec o be utilised	for the purchany and Ka. anted becauserranted, alticommended for the purch	thase of up to the properties the properties the properties to the properties that the	o USD 100 m osed amount ot without co ercent of iss	nillion of U3O8 and duration oncern for sha ued share cap	R by the are within reholders for ital. The mai			-	
12	Authorise Issue of Equity without Pre-emptive Rights	М	lgmt	Yes	For	For		For	For	No	No	No
	Mating Daling Datings In These 11											
	Voting Policy Rationale: Item 11 A recommended limits. Item 12 A we the following reason: * The proporeason for support is: * The speci Company under the framework ag	ote FOR this reso sed amount exce fic authority is to	olution is wa eeds the rec o be utilised	rranted, alti commended for the purc	hough it is n limit of 10 p chase of up t	ot without co ercent of iss	oncern for sha rued share cap	reholders for ital. The mai			_	
	recommended limits. Item 12 A ve the following reason: * The proporeason for support is: * The speci	ote FOR this resolved amount exception authority is to increment between FOR this resolute FOR this resolute FOR this resolute for authority is to for authority is to the FOR this resolute authority is to the for authority is to the seed amount exception.	plution is wa eeds the rec o be utilised en the Comp ntion is warra plution is wa eeds the rec o be utilised	orranted, alti commended for the purc pany and Ka. anted becau prranted, alti commended for the purc	hough it is not hough it is not him it of 10 pt hase of up to the properties of the properties of 10 pt hase of up to the hough it is not hase of up to the hough it is not ho	ot without co ercent of iss o USD 100 n osed amount ot without co ercent of iss	oncern for shan ued share cap million of U3O8 and duration oncern for shan ued share cap	reholders for ital. The man I by the are within reholders for ital. The man	n		-	
13	recommended limits. Item 12 A with the following reason: * The proporeason for support is: * The specific Company under the framework against the second recommended limits. Item 11 A with the following reason: * The proporeason for support is: * The specific the following reason: * The specific reason for support is: * The specific re	ote FOR this resolved amount exception authority is to the authority is to the areament between the FOR this resolution amount exception authority is to the authority is authority is authority in authority is authority in authority is authority in authority in authority is authority in authorit	plution is wa eeds the rec o be utilised en the Comp ntion is warra plution is wa eeds the rec o be utilised	orranted, alti commended for the purc pany and Ka. anted becau prranted, alti commended for the purc	hough it is not hough it is not him it of 10 pt hase of up to the properties of the properties of 10 pt hase of up to the hough it is not hase of up to the hough it is not ho	ot without co ercent of iss o USD 100 n osed amount ot without co ercent of iss	oncern for shan ued share cap million of U3O8 and duration oncern for shan ued share cap	reholders for ital. The man I by the are within reholders for ital. The man	n	No	No	No
	recommended limits. Item 12 A with following reason: * The proporties of the following reason: * The proporties of the following reason for support is: * The specific company under the framework against the following reason: * The proporties of the following reason: * The specific company under the framework against the framewor	ote FOR this resolved amount exception authority is to the authority is to the areament between the FOR this resolution of the FOR this resolution amount exception authority is to the authority is authority is authority in authority is authority in authority is authority in authority in authority is authority in a	plution is was eeds the recompose the composition is warraplution is was eeds the recomposition the Composition is was eeds the recomposition the Composition is was een the Composition in the Compos	arranted, alti commended for the purc pany and Ka. anted becau arranted, alti commended for the purc pany and Ka.	hough it is not hough it is not his indicate of up to the properties the properties the properties of 10 p. The properties of up to the properties of up to the properties of up to the properties of the properti	of without co ercent of iss o USD 100 r. osed amount of without co ercent of iss o USD 100 r.	oncern for shan ued share cap million of U3O8 and duration oncern for shan ued share cap	reholders for ital. The mai it by the are within reholders for ital. The mai	in	No	No	No
allot Details stitutional Account Detai	recommended limits. Item 12 A vithe following reason: * The proporeason for support is: * The specific Company under the framework as Blended Rationale: Item 11 A vota recommended limits. Item 12 A vithe following reason: * The proporeason for support is: * The specific Company under the framework as Authorise Market Purchase of Ord Shares	ote FOR this resolved amount exception authority is to the authority is to the areament between the FOR this resolution of the FOR this resolution amount exception authority is to the authority is authority is authority in authority is authority in authority is authority in authority in authority is authority in a	plution is was eeds the recompose the composition is warraplution is was eeds the recomposition the Composition is was eeds the recomposition the Composition is was een the Composition in the Compos	arranted, alti commended for the purc pany and Ka. panted becau prranted, alti commended for the purc pany and Ka.	hough it is not hough it is not his indicate of up to the properties the properties the properties of 10 p. The properties of up to the properties of up to the properties of up to the properties of the properti	ot without co ercent of iss to USD 100 m assed amount of without co ercent of iss to USD 100 m	oncern for shan ued share cap million of U3O8 and duration oncern for shan ued share cap	reholders for ital. The mai ital by the are within reholders for ital. The mai ital by the	in in in	No P Shares	No	
allot Details stitutional Account Detai A Name, IA Number)	recommended limits. Item 12 A vithe following reason: * The proporeason for support is: * The specicompany under the framework as Blended Rationale: Item 11 A vote recommended limits. Item 12 A vithe following reason: * The proporeason for support is: * The specicompany under the framework as Authorise Market Purchase of Ord Shares  Custodian Account Number	ote FOR this resolved amount exception authority is to preement between the FOR this resolute for authority is to preement between the theory when the theory we have a fire authority is to preement between the fire authority is the preement between the the fire authority is the preement between the fire authority is the fire authority in the fire authority in the fire authority is the fire authority in t	plution is wa eeds the rec o be utilised en the Comp ution is warra plution is wa eeds the rec o be utilised en the Comp	arranted, alti commended for the purc pany and Ka. panted becau prranted, alti commended for the purc pany and Ka.	hough it is not investigated in the control of the	ot without co ercent of iss to USD 100 m assed amount of without co ercent of iss to USD 100 m	oncern for sha ued share cap million of U3O& and duration oncern for sha ued share cap million of U3O&	reholders for ital. The mai ital by the are within reholders for ital. The mai ital by the	For Votable			Voted
13 allot Details astitutional Account Detai A Name, IA Number) T RSG01, NT RSG01	recommended limits. Item 12 A vithe following reason: * The proporeason for support is: * The specific Company under the framework as Blended Rationale: Item 11 A vote recommended limits. Item 12 A vithe following reason: * The proporeason for support is: * The specific Company under the framework as Authorise Market Purchase of Ord Shares  Custodian Account Number	ote FOR this resolute FOR this resolute authority is to areement between the FOR this resolute FOR this resolute FOR this resolute for authority is to areement between the formatty Market Mar	plution is wa eeds the rec o be utilised en the Comp ution is warra eeds the rec o be utilised en the Comp agmt	arranted, alti commended for the purc pany and Ka. panted becau prranted, alti commended for the purc pany and Ka.	though it is not limit of 10 p. thase of up to the proper though it is not limit of 10 p. thase of up to the proper though it is not limit of 10 p. thase of up to the proper than the proper	ot without co ercent of iss to USD 100 m assed amount of without co ercent of iss to USD 100 m	oncern for sha ued share cap million of U3O& and duration oncern for sha ued share cap million of U3O&	reholders for ital. The mai ital by the are within reholders for ital. The mai ital by the	For Votable	e Shares	Shares	Voted

#### **Meeting Results**

Outstanding Shares Voted: 183,104,339

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	83,009,186	100.0%	0	0.0%	125,370	N/A	Poll	F+A
2	Υ	Pass	No	For	9.5%	75,248,721	90.5%	7,880,269	9.5%	5,566	N/A	Poll	F+A
3	Υ	Pass	No	For	0.2%	82,975,435	99.8%	151,833	0.2%	7,288	N/A	Poll	F+A
4	Υ	Pass	No	For	0.2%	82,981,769	99.8%	147,500	0.2%	5,287	N/A	Poll	F+A
5	Υ	Pass	No	For	1.3%	82,058,929	98.7%	1,070,340	1.3%	5,287	N/A	Poll	F+A
6	Υ	Pass	No	For	1.3%	82,057,204	98.7%	1,073,178	1.3%	4,174	N/A	Poll	F+A
7	Υ	Pass	No	For	1.3%	82,056,925	98.7%	1,072,065	1.3%	5,566	N/A	Poll	F+A
8	Υ	Pass	No	For	1.6%	81,825,568	98.4%	1,300,837	1.6%	8,151	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	83,097,034	100.0%	31,564	0.0%	5,958	N/A	Poll	F+A
10	Υ	Pass	No	For	0.0%	83,129,292	100.0%	291	0.0%	4,973	N/A	Poll	F+A
11	Υ	Pass	No	For	13.8%	71,657,809	86.2%	11,462,542	13.8%	14,205	N/A	Poll	F+A
12	Y	Pass	No	For	1.1%	82,209,320	98.9%	916,831	1.1%	8,405	N/A	Poll	F+A
13	Υ	Pass	No	For	0.0%	82,991,003	100.0%	2,618	0.0%	140,935	N/A	Poll	F+A

### **Hipgnosis Songs Fund Limited**

Meeting Date: 09/21/2022 **Record Date:** 09/19/2022

Primary Security ID: G4497R113

Earliest Cutoff Date: 09/16/2022

Votable Shares: 3,300,000

Country: Guernsey Meeting Type: Annual

Primary CUSIP: G4497R113

**Total Ballots:** 1 \*Shares on Loan: 0 Ticker: SONG

Meeting ID: 1670644

Primary ISIN: GG00BFYT9H72

Proxy Level: N/A

Primary SEDOL: BFYT9H7

**Additional Policy:** 

Voting Policy: ISS Shares Instructed: 3,300,000 **Shares Voted:** 3,300,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Increase in the Maximum Aggregate Directors' Fees	Mgmt	Yes	For	For		For	For	No	No	No
5		Ratify PricewaterhouseCoopers CI LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
6		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
7		Re-elect Andrew Sutch as Director	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: A vote FOR the re-election of Andrew Sutch, Andrew Wilkinson, Simon Holden, Paul Burger, Sylvia Coleman and Vania Schlogel is warranted because no significant concerns have been identified.

Blended Rationale: A vote FOR the re-election of Andrew Sutch, Andrew Wilkinson, Simon Holden, Paul Burger, Sylvia Coleman and Vania Schlogel is warranted because no significant concerns have been identified.

# **Hipgnosis Songs Fund Limited**

Proposal Significant Number Vote	: Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8	Re-elect Andrew Wilkinson	as Director	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vo Coleman and Vania Schloger						olden, Paul Bur	ger, Sylvia			_	
	Blended Rationale: A vote Fo and Vania Schlogel is warran			-	-	-	Paul Burger, .	Sylvia Colem	an			
9	Re-elect Simon Holden as D	irector	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vo Coleman and Vania Schloger			-		-	olden, Paul Burg	ger, Sylvia				
	Blended Rationale: A vote Fo and Vania Schlogel is warran			-	-	-	Paul Burger, .	Sylvia Colem	an			
10	Re-elect Paul Burger as Dire	ector	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vo Coleman and Vania Schloger			,		•	olden, Paul Bur	ger, Sylvia			_	
	Blended Rationale: A vote Fo and Vania Schlogel is warran			-	-	-	Paul Burger, .	Sylvia Colem	an			
11	Re-elect Sylvia Coleman as	Director	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vo Coleman and Vania Schloger					•	olden, Paul Bur	ger, Sylvia				
	Blended Rationale: A vote Fo and Vania Schlogel is warran			-	-		Paul Burger, .	Sylvia Colem	an			
12	Re-elect Vania Schlogel as [	Director	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vo Coleman and Vania Schloger			-		•	olden, Paul Burg	ger, Sylvia				
	Blended Rationale: A vote Fo and Vania Schlogel is warran			-	-	-	Paul Burger, .	Sylvia Colem	an			
13	Approve Dividend Policy		Mgmt	Yes	For	For		For	For	No	No	No
14	Authorise Issue of Equity wi Pre-emptive Rights	ithout	Mgmt	Yes	For	For		For	For	No	No	No
15	Authorise Market Purchase Shares	of Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Deta (IA Name, IA Number)	il Custodian Account Number	Ballot Status	Instructe	d	Approv	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset		jbisset				3,300,0	000	3,300	),000
			09/14/2022	2	09/14/2	022						
								Total Shares:	3,300,	000	3,300	,000

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.1%	850,779,191	99.9%	954,211	0.1%	52,737	N/A	Poll	F+A
2	Υ	Pass	No	For	1.2%	841,287,042	98.8%	10,426,783	1.2%	72,314	N/A	Poll	F+A
3	Υ	Pass	No	For	2.4%	831,145,352	97.6%	20,540,727	2.4%	100,060	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
4	Υ	Pass	No	For	1.2%	841,205,768	98.8%	10,515,888	1.2%	64,483	N/A	Poll	F+A
5	Υ	Pass	No	For	0.0%	851,632,803	100.0%	96,322	0.0%	57,014	N/A	Poll	F+A
6	Υ	Pass	No	For	0.0%	851,654,788	100.0%	72,261	0.0%	59,090	N/A	Poll	F+A
7	Υ	Pass	No	For	0.7%	845,797,866	99.3%	5,923,517	0.7%	64,756	N/A	Poll	F+A
8	Υ	Pass	No	For	0.7%	845,795,915	99.3%	5,924,404	0.7%	65,820	N/A	Poll	F+A
9	Υ	Pass	No	For	0.8%	844,254,536	99.2%	6,853,587	0.8%	678,016	N/A	Poll	F+A
10	Υ	Pass	No	For	0.7%	787,192,234	99.3%	5,936,404	0.7%	58,657,501	N/A	Poll	F+A
11	Υ	Pass	No	For	0.7%	845,803,021	99.3%	5,914,298	0.7%	68,820	N/A	Poll	F+A
12	Υ	Pass	No	For	0.7%	845,800,021	99.3%	5,920,298	0.7%	65,820	N/A	Poll	F+A
13	Υ	Pass	No	For	0.0%	851,710,047	100.0%	24,376	0.0%	51,716	N/A	Poll	F+A
14	Υ	Pass	No	For	1.2%	841,338,806	98.8%	10,380,126	1.2%	67,207	N/A	Poll	F+A
15	Υ	Pass	No	For	0.8%	844,565,171	99.2%	7,166,542	0.8%	54,426	N/A	Poll	F+A

### **Grit Real Estate Income Group Ltd.**

**Meeting Date:** 10/07/2022

Country: Guernsey

Ticker: GR1T

Proxy Level: N/A

**Record Date:** 10/05/2022

Votable Shares: 3,743,544

Primary Security ID: G41036107

Meeting Type: Special Primary CUSIP: G41036107

**Meeting ID:** 1686056 Primary ISIN: GG00BMDHST63

Primary SEDOL: BMDHST6

Earliest Cutoff Date: 10/04/2022

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS Shares Instructed: 3,743,544 **Additional Policy: Shares Voted:** 3,743,544

	, -,-						,			//		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these recommended limits.	resolutions is war	ranted becau	ise the pro	posed amoun	ts and duration	s are withir	1		-	
		Blended Rationale: A vote FOR these resorrecommended limits.	olutions is warrante	ed because th	ne proposed	d amounts an	d durations are	within			-	
2		Authorise Issue of Equity without Pre-emptive Rights (Additional Authority)	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these recommended limits.	e resolutions is war	ranted becau	ise the pro	posed amoun	ts and duration	s are withir	1		_	

Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		3,743,544	3,743,544
			09/28/2022	09/28/2022			
					Total Shares:	3,743,544	3,743,544

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	3.6%	N/A	96.4%	N/A	3.6%	N/A	N/A	Poll	F+A
2	Υ	Pass	No	For	4.1%	N/A	95.9%	N/A	4.1%	N/A	N/A	Poll	F+A

### **Ashmore Group Plc**

Meeting Date: 10/14/2022

Country: United Kingdom

Ticker: ASHM

Proxy Level: N/A

**Record Date:** 10/12/2022 Primary Security ID: G0609C101 Meeting Type: Annual Primary CUSIP: G0609C101

**Meeting ID:** 1684288 Primary ISIN: GB00B132NW22

Primary SEDOL: B132NW2

Earliest Cutoff Date: 10/11/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** Votable Shares: 473,831 \*Shares on Loan: 0 Shares Instructed: 473,831 Shares Voted: 473,831

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
3		Re-elect Mark Coombs as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warrar	nted as no si	gnificant co	ncerns have l	been identified	<i>l.</i>				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	ns have been	identified.					
4		Re-elect Tom Shippey as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warrar	nted as no si	gnificant co	ncerns have l	been identified	<i>!.</i>				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	ns have been	identified.					
5		Re-elect Clive Adamson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warrar	nted as no si	gnificant co	ncerns have l	been identified	1.				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	cant concern	ns have been	identified.					
6		Re-elect Helen Beck as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warrar	nted as no si	gnificant co	ncerns have l	been identified	<i>!.</i>				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	ns have been	identified.					
7		Re-elect Jennifer Bingham as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warrar	nted as no si	gnificant co	ncerns have l	been identified	1.				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	ns have been	identified.					
8		Elect Shirley Garrood as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warrar	nted as no si	gnificant co	ncerns have l	been identified	1.				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	ns have been	identified.					
9		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
10		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
11		Authorise the Audit and Risk Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
12		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Ashmore Group Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
13		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becau	se the propo	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	l because th	ne proposed	amounts and	durations are	within				
14		Authorise Issue of Equity without Pre-emptive Rights	ut	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso.	lutions is warra	anted becau	se the propo	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	l because th	ne proposed	amounts and	durations are	within				
15		Authorise Issue of Equity withor Pre-emptive Rights in Connecti an Acquisition or Other Capital Investment		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becau	se the propo	osed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	l because th	ne proposed	amounts and	durations are	within				
16		Authorise Market Purchase of C Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
17		Approve Waiver on Rule 9 of the Takeover Code	ne	Mgmt	Yes	For	For		For	For	No	No	No
18		Authorise the Company to Call Meeting with Two Weeks' Notice		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	ı	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
NT RSG01, NT	RSG01	RSG01	Confirmed	jbisset		jbisset				473,83	31	473,	831
				10/04/2022	!	10/04/20	22						

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.1%	591,870,242	99.9%	818,275	0.1%	1,437,438	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	594,121,795	100.0%	280	0.0%	3,880	N/A	Poll	F+A
3	Υ	Pass	No	For	0.7%	589,837,002	99.3%	4,279,260	0.7%	9,693	N/A	Poll	F+A
4	Υ	Pass	No	For	0.7%	589,689,895	99.3%	4,426,367	0.7%	9,693	N/A	Poll	F+A
5	Υ	Pass	No	For	6.2%	554,974,977	93.8%	36,759,902	6.2%	2,391,076	N/A	Poll	F+A
6	Υ	Pass	No	For	9.5%	537,747,843	90.5%	56,368,418	9.5%	9,694	N/A	Poll	F+A
7	Υ	Pass	No	For	5.3%	562,538,281	94.7%	31,577,981	5.3%	9,693	N/A	Poll	F+A
8	Υ	Pass	No	For	0.0%	593,970,078	100.0%	146,184	0.0%	9,693	N/A	Poll	F+A

Total Shares:

473,831

473,831

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
9	Υ	Pass	No	For	22.0%	433,517,825	78.0%	122,140,125	22.0%	38,468,005	N/A	Poll	F+A
10	Υ	Pass	No	For	13.2%	515,433,165	86.8%	78,688,909	13.2%	3,881	N/A	Poll	F+A
11	Υ	Pass	No	For	0.0%	593,939,149	100.0%	179,349	0.0%	7,457	N/A	Poll	F+A
12	Υ	Pass	No	For	0.2%	586,709,880	99.8%	922,456	0.2%	6,493,619	N/A	Poll	F+A
13	Υ	Pass	No	For	0.4%	591,975,037	99.6%	2,143,461	0.4%	7,457	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	594,079,265	100.0%	40,410	0.0%	6,280	N/A	Poll	F+A
15	Υ	Pass	No	For	2.8%	577,443,567	97.2%	16,676,108	2.8%	6,280	N/A	Poll	F+A
16	Υ	Pass	No	For	1.8%	583,438,652	98.2%	10,616,931	1.8%	70,372	N/A	Poll	F+A
17	Υ	Pass	No	For	4.9%	337,066,726	95.1%	17,526,341	4.9%	17,469,274	N/A	Poll	F+A
18	Υ	Pass	No	For	2.0%	582,071,421	98.0%	12,045,137	2.0%	9,397	N/A	Poll	F+A

### **Ruffer Protection Strategies-Ruffer Protection Strategies**

Total Ballots: 1

Meeting Date: 10/19/2022 **Record Date:** 10/12/2022

Earliest Cutoff Date: 10/13/2022

**Primary Security ID:** L8032E101

Country: Luxembourg Meeting Type: Annual

Primary CUSIP: L8032E101

**Votable Shares:** 15,220,999 \*Shares on Loan: 0 Ticker: N/A

Voting Policy: ISS

Meeting ID: 1690366 Primary ISIN: LU0830940101

Shares Instructed: 15,220,999

Proxy Level: N/A

Primary SEDOL: B8G2MX2

**Additional Policy: Shares Voted:** 15,220,999

Votable 3	11a1es. 13,220,9	Silates oil Loan.			Silai es II	istructeu. 13,	220,999		Silaies voted	1. 13,220,999		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Receive Board's and Auditor's Reports	Mgmt	No								
2		Approve Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Allocation of Income	Mgmt	Yes	For	For		For	For	No	No	No
4.1		Approve Remuneration of Director Paul-Emile Cornet	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is remuneration.	s warranted be	ecause there	is no sign d	of excessiven	ess about the l	board				
		Blended Rationale: A vote FOR this item is was	rranted becaus	se there is no	sign of exc	cessiveness a	bout the board	d remunerat	tion.			
4.2		Approve Remuneration of Director Aude Lemogne	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is remuneration.	s warranted be	ecause there	is no sign d	of excessiven	ess about the l	board				
		Blended Rationale: A vote FOR this item is was	rranted becaus	se there is no	sign of exc	cessiveness a	bout the board	l remunerat	tion.		-	
5		Approve Discharge of Directors	Mgmt	Yes	For	For		For	For	No	No	No
6.1		Acknowledge Resignation of Mary McBain as Director	Mgmt	No								
		Voting Policy Rationale: This is a non-voting its	em									
		Blended Rationale: This is a non-voting item										
6.2		Acknowledge Resignation of Myles Marmion as Director	Mgmt	No								
		Voting Policy Rationale: This is a non-voting its	ет									
		Blended Rationale: This is a non-voting item									-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Ruffer Protection Strategies-Ruffer Protection Strategies**

Proposal Significant Number Vote	Proposal Text	P	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6.3	Ratify Co-optation of Michael Go Director	wer as N	Mgmt	Yes	For	For		For	For	No	No	No
6.4	Re-Elect Paul-Emile Cornet as D	irector N	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote F	OR this item is we	arranted in t	the absence	of any conc	ern about the	e board and its	s compositioi	7.			
	Blended Rationale: A vote FOR to	his item is warran	nted in the al	bsence of ar	ny concern a	bout the boa	ard and its con	position.				
6.5	Re-Elect Benjamin Boucher-Fert Director	e as N	4gmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote F	ng Policy Rationale: A vote FOR this item is warranted in the absence of any concern about the board and its composition.  ded Rationale: A vote FOR this item is warranted in the absence of any concern about the board and its composition.										
	Blended Rationale: A vote FOR to	nded Rationale: A vote FOR this item is warranted in the absence of any concern about the board and its composition.										
6.6	Re-Elect Aude Lemogne as Direct	ctor N	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote F	OR this item is we	arranted in t	the absence	of any conc	ern about the	e board and its	compositioi	7.			
	Blended Rationale: A vote FOR to	his item is warran	nted in the al	bsence of ar	ny concern a	bout the boa	ard and its con	position.				
6.7	Re-Elect Michael Gower as Direc	tor N	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote F	OR this item is we	arranted in t	the absence	of any conc	ern about the	e board and its	s compositioi	7.			
	Blended Rationale: A vote FOR to	his item is warran	nted in the al	bsence of ar	ny concern a	bout the boa	ard and its con	position.				
7	Renew Appointment of Ernst & 'as Auditor	Young N	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed		Approve	d	Ballot Voting	Status	Votable Sh	nares	Shares \	/oted
NT RSG01, NT RSG01	RSG01	Confirmed	dnicoll		dnicoll				15,221,0	000	15,220	,999

10/10/2022

Total Shares:

15,221,000

15,220,999

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

10/10/2022

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	N												
2	Y	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
3	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
4.1	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
4.2	Y	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
5	Y	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.1	N												
6.2	N												
6.3	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.4	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
6.5	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.6	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6.7	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
7	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB

#### **Tufton Oceanic Assets Limited**

Meeting Date: 10/27/2022

Country: Guernsey
Meeting Type: Annual

Ticker: SHIP
Meeting ID: 1687199

Proxy Level: N/A

**Record Date:** 10/25/2022

Primary Security ID: G91213101

Primary CUSIP: G91213101

Primary ISIN: GG00BDFC1649

Primary SEDOL: BDFC164

Earliest Cutoff Date: 10/24/2022 Votable Shares: 2,562,500 Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 2,562,500

Additional Policy:
Shares Voted: 2,562,500

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Ratify PricewaterhouseCoopers CI LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Remuneration of Directors	Mgmt	Yes	For	For		For	For	No	No	No
5		Re-elect Robert King as Director	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: Items 5, 7, and 8 A vote FOR the re-election of Robert King, Paul Barnes, and Christine Roedsaether is warranted because no significant concerns have been identified. Item 6 A vote FOR the re-election of Stephen Le Page is warranted, although it is not without concern because: \* Apart from his role as NED of the Company, he has directorships at several other publicly listed companies, which could compromise his ability to commit sufficient time to his role in the Company. Mitigating, the main reason for support is: \* All of his external commitments are at investment companies. Taking this factor into account, a degree of flexibility is considered appropriate. This will be kept under review.

Blended Rationale: Items 5, 7, and 8 A vote FOR the re-election of Robert King, Paul Barnes, and Christine Roedsaether is warranted because no significant concerns have been identified. Item 6 A vote FOR the re-election of Stephen Le Page is warranted, although it is not without concern because: \* Apart from his role as NED of the Company, he has directorships at several other publicly listed companies, which could compromise his ability to commit sufficient time to his role in the Company. Mitigating, the main reason for support is: \* All of his external commitments are at investment companies. Taking this factor into account, a degree of flexibility is considered appropriate. This will be kept under review.

Re-elect Stephen Le Page as Director

Mgmt

Yes

For

For

For

Abstain

Yes Yes

Yes

Voting Policy Rationale: Items 5, 7, and 8 A vote FOR the re-election of Robert King, Paul Barnes, and Christine Roedsaether is warranted because no significant concerns have been identified. Item 6 A vote FOR the re-election of Stephen Le Page is warranted, although it is not without concern because: \* Apart from his role as NED of the Company, he has directorships at several other publicly listed companies, which could compromise his ability to commit sufficient time to his role in the Company. Mitigating, the main reason for support is: \* All of his external commitments are at investment companies. Taking this factor into account, a degree of flexibility is considered appropriate. This will be kept under review.

Blended Rationale: Items 5, 7, and 8 A vote FOR the re-election of Robert King, Paul Barnes, and Christine Roedsaether is warranted because no significant concerns have been identified. Item 6 A vote FOR the re-election of Stephen Le Page is warranted, although it is not without concern because: \* Apart from his role as NED of the Company, he has directorships at several other publicly listed companies, which could compromise his ability to commit sufficient time to his role in the Company. Mitigating, the main reason for support is: \* All of his external commitments are at investment companies. Taking this factor into account, a degree of flexibility is considered appropriate. This will be kept under review.

# **Tufton Oceanic Assets Limited**

roposal Sign umber Vot	nificant e	Proposal Text		Proponent	Votable Proposa	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
7		Re-elect Paul Barnes as Directo	or	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items . warranted because no significa warranted, although it is not w. several other publicly listed cor. Company. Mitigating, the main this factor into account, a degr.	nt concerns hav ithout concern b mpanies, which o reason for supp	re been identifi because: * Apa could compron port is: * All of	ied. Item 6 art from his nise his abi his externa	A vote FOR role as NE lity to comm al commitme	I the re-election of the Composit sufficient to the ents are at inv	on of Stephen L vany, he has dir ime to his role i vestment compa	e Page is ectorships at in the			_	
		Blended Rationale: Items 5, 7, warranted because no significa warranted, although it is not w several other publicly listed cor Company. Mitigating, the main this factor into account, a degr	nt concerns hav ithout concern b npanies, which o reason for supp	re been identifi because: * Apa could compron port is: * All of	ied. Item 6 art from his nise his ab his externa	A vote FOR role as NEI lity to comm al commitme	Ithe re-election  If the Composite of th	on of Stephen L vany, he has dir ime to his role i vestment compa	e Page is ectorships at in the				
8		Re-elect Christine Rodsaether Director	as	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items . warranted because no significa warranted, although it is not w. several other publicly listed cor. Company. Mitigating, the main this factor into account, a degra	nt concerns hav ithout concern b mpanies, which o reason for supp	re been identifi because: * Apa could compron port is: * All of	ied. Item 6 art from his nise his abi his externa	A vote FOR role as NEI lity to comm al commitme	I the re-election  I of the Composite sufficient to the control of the composite sufficient to the control of t	on of Stephen L vany, he has dir ime to his role i vestment compa	e Page is ectorships at in the				
		Blended Rationale: Items 5, 7, warranted because no significa warranted, although it is not w several other publicly listed cor Company. Mitigating, the main this factor into account, a degr	nt concerns hav ithout concern b npanies, which o reason for supp	re been identifi because: * Apa could compron port is: * All of	ied. Item 6 art from his nise his ab his externa	A vote FOR role as NEI lity to comm al commitme	Ithe re-election  If the Composite of th	on of Stephen L vany, he has dir ime to his role i vestment compa	e Page is ectorships at in the			-	
9		Authorise Market Purchase of G Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
10		Approve Dividend Policy		Mgmt	Yes	For	For		For	For	No	No	No
11		Authorise Issue of Equity without Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
illot Details													
stitutional Accou A Name, IA Num		Custodian Account Number	Ballot Status	Instructed	d	Appro	ved	Ballot Voting	Status	Votable	Shares	Shares	Voted
RSG01, NT RSG0	1	RSG01	Confirmed	dnicoll		dnicoll				2,562	,500	2,562	2,500
				10/21/2022	2	10/21/	2022						

# **Meeting Results**

Outstanding Shares Voted: 308,628,541 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	157,993,110	100.0%	0	0.0%	7,721	N/A	Poll	F+A
2	Υ	Pass	No	For	14.9%	134,452,552	85.1%	23,543,584	14.9%	4,695	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	157,982,405	100.0%	1,423	0.0%	17,003	N/A	Poll	F+A
4	Y	Pass	No	For	0.0%	157,970,059	100.0%	8,387	0.0%	22,385	N/A	Poll	F+A
5	Y	Pass	No	For	8.3%	144.875.601	91.7%	13.109.852	8.3%	15.378	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
6	Y	Pass	No	For	0.0%	155,222,613	100.0%	0	0.0%	2,778,218	N/A	Poll	F+A
7	Υ	Pass	No	For	0.0%	157,983,842	100.0%	1,611	0.0%	15,378	N/A	Poll	F+A
8	Y	Pass	No	For	0.0%	157,985,453	100.0%	0	0.0%	15,378	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	157,985,159	100.0%	9,282	0.0%	6,390	N/A	Poll	F+A
10	Υ	Pass	No	For	0.0%	157,996,136	100.0%	0	0.0%	4,695	N/A	Poll	F+A
11	Υ	Pass	No	For	1.9%	155,026,504	98.1%	2,954,527	1.9%	19,800	N/A	Poll	F+A

# **Taylor Maritime Investments Ltd.**

Meeting Date: 10/28/2022

Country: Guernsey

Ticker: TMI

Proxy Level: N/A

**Record Date:** 10/26/2022

Meeting Type: Special Primary CUSIP: G8673D103

**Meeting ID:** 1690877

Primary SEDOL: BP2NJT3

Primary Security ID: G8673D103

**Total Ballots: 1** 

Voting Policy: ISS

**Additional Policy:** 

Earliest Cutoff Date: 10/25/2022

Votable Shares: 3,215,000

\*Shares on Loan: 0

Shares Instructed: 3,215,000

ISS Rec

For

Primary ISIN: GG00BP2NJT37

**Shares Voted:** 3,215,000

Vote Vote Against Against ISS

Significant Proposal Number Vote

1

**Proposal Text** Adopt New Investment Policy

Votable Mgmt Proponent Proposal Rec

Yes

Additional Policy Rec

Voting Policy Rec

For

Against Instruction Mgmt

Vote

No

Policy

No

No

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number) NT RSG01, NT RSG01

Custodian **Account Number** RSG01

**Ballot Status** Confirmed

Instructed dnicoll

Mgmt

Approved dnicoll

10/19/2022

For

**Ballot Voting Status** 

Votable Shares

Vote

For

Shares Voted

3,215,000

3,215,000

10/19/2022

Total Shares:

3,215,000

3,215,000

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	229,581,946	100.0%	20,718	0.0%	9,254	N/A	Poll	F+A

# **Coty Inc.**

Meeting Date: 11/03/2022

Country: USA

Ticker: COTY

Proxy Level: 3

**Record Date:** 09/09/2022 Primary Security ID: 222070203

Meeting Type: Annual **Primary CUSIP: 222070203** 

**Meeting ID:** 1686579 Primary ISIN: US2220702037

Primary SEDOL: BBBSMJ2

Earliest Cutoff Date: 11/02/2022

**Total Ballots:** 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 310,520

\*Shares on Loan: 0

Shares Instructed: 310,520

Shares Voted: 310,520

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Beatrice Ballini	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes

# **Coty Inc.**

D	C!!6!t			W-4-bl-			Additional	Voting	V-1-	Vote	Vote	Vote
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
		Voting Policy Rationale: WITHHOLD votes are a board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, do of the pay program. A vote FOR the remaining	fiscal year un compensation ue to persisten	der review v n committee nt compensa	vithout an ac members M tion-related	cceptable rea aria Arambur	son for the abs ruzabala Larreg	sences. nui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under rev on committee ent compensati	view without members Ma ion-related c	an acceptal Aria Arambu	ble reason fo. ruzabala Larr	r the absences regui, Beatrice	. WITHHOLL Ballini, Anna	)			
1.2		Elect Director Joachim Creus	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are was board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, do of the pay program. A vote FOR the remaining	fiscal year un compensation ue to persisten	der review v n committee nt compensa	vithout an ac members M tion-related	cceptable rea aria Arambur	son for the abs ruzabala Larreg	sences. aui, Beatrice			•	
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under rev on committee ent compensati	view without members Ma ion-related c	an acceptal aria Arambu	ble reason fo. ruzabala Larr	r the absences regui, Beatrice	. WITHHOLL Ballini, Anna	)			
1.3		Elect Director Olivier Goudet	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are was board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, do f the pay program. A vote FOR the remaining	fiscal year un compensation ue to persisten director nomin	der review wan committee at compensations is warra	vithout an ac members M tion-related anted.	cceptable rea aria Arambur concerns den	son for the absuzabala Larreg nonstrating po	sences. aui, Beatrice or stewardsi	hip			
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under rev on committee ent compensati	view without members Ma ion-related c	an acceptal Aria Arambu	ble reason fo. ruzabala Larr	r the absences regui, Beatrice	. WITHHOLL Ballini, Anna	)			
1.4		Elect Director Peter Harf	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are we board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, do of the pay program. A vote FOR the remaining	fiscal year un compensation ue to persisten	der review v n committee nt compensa	vithout an ac members M tion-related	cceptable rea aria Arambur	son for the absuzabala Larreg	sences. aui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under rev on committee ent compensati	view without members Ma ion-related c	an acceptal Aria Arambu	ble reason fo. ruzabala Larr	r the absences regui, Beatrice	. WITHHOLL Ballini, Anna	)			
1.5		Elect Director Johannes P. Huth	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes
		Voting Policy Rationale: WITHHOLD votes are we board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, during the pay program. A vote FOR the remaining	fiscal year un compensation ue to persisten	der review v n committee nt compensa	vithout an ac members M tion-related	cceptable rea aria Arambur	son for the absuzabala Larreg	sences. aui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under rev on committee ent compensati	view without members Ma ion-related c	an acceptal aria Arambu	ble reason fo. ruzabala Larr	r the absences regui, Beatrice	. WITHHOLL Ballini, Anna	)			

Additional Voting

Vote

Vote

Vote

# **Coty Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.6		Elect Director Maria Ausuncion Aramburuzabala Larregui	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, d of the pay program. A vote FOR the remaining	e fiscal year un t compensation lue to persisten	der review w n committee nt compensat	vithout an ad members M tion-related	cceptable rea. Iaria Arambur	son for the ab. Suzabala Larreg	sences. qui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensate Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under revion committee ent compensati	view without members Ma ion-related c	an accepta Aria Arambu	ble reason foi ruzabala Larr	r the absences egui, Beatrice	. WITHHOLL Ballini, Anna	)			
1.7		Elect Director Anna Adeola Makanju	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes
		Voting Policy Rationale: WITHHOLD votes are warranted for Johannes Huth for failing to attend at least 75 percent of his total board and committee meetings held during the fiscal year under review without an acceptable reason for the absences.  WITHHOLD votes are warranted for incumbent compensation committee members Maria Aramburuzabala Larregui, Beatrice Ballini, Anna Makanju, and Erhard Schoewel, due to persistent compensation-related concerns demonstrating poor stewardship of the pay program. A vote FOR the remaining director nominees is warranted.  Blended Rationale: WITHHOLD votes are warranted for Johannes Huth for failing to attend at least 75 percent of his total board and committee meetings held during the fiscal year under review without an acceptable reason for the absences. WITHHOLD										
			year under revion committee ent compensati	view without members Ma ion-related c	an accepta Aria Arambu	ble reason foi ruzabala Larr	r the absences egui, Beatrice	. WITHHOLL Ballini, Anna	)			
1.8		Elect Director Sue Y. Nabi	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, d of the pay program. A vote FOR the remaining	e fiscal year un t compensation lue to persister	der review w n committee nt compensat	vithout an ac members M tion-related	cceptable rea Iaria Arambur	son for the ab. uzabala Larreg	sences. qui, Beatrice				
		Blended Rationale: WITHHOLD votes are warrand committee meetings held during the fiscal votes are warranted for incumbent compensations. Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director not	year under revion committee ent compensati	view without members Ma ion-related c	an accepta Aria Arambu	ble reason foi ruzabala Larr	r the absences egui, Beatrice	. WITHHOLL Ballini, Anna	)			
1.9		Elect Director Isabelle Parize	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, d of the pay program. A vote FOR the remaining	e fiscal year un t compensation lue to persister	der review w n committee nt compensat	vithout an ad members M tion-related	cceptable rea. Iaria Arambur	son for the ab. Suzabala Larreg	sences. qui, Beatrice				
		Blended Rationale: WITHHOLD votes are warrand committee meetings held during the fiscal votes are warranted for incumbent compensations. Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director not	year under rev ion committee ent compensati	view without members Ma ion-related c	an acceptal aria Arambu	ble reason foi ruzabala Larr	r the absences egui, Beatrice	. WITHHOLL Ballini, Anna	)			
1.10		Elect Director Erhard Schoewel	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes
		Voting Policy Rationale: WITHHOLD votes are board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, d of the pay program. A vote FOR the remaining	e fiscal year un t compensation lue to persisten	der review w n committee nt compensat	vithout an ad members M tion-related	cceptable rea Iaria Arambur	son for the ab. Suzabala Larreg	sences. qui, Beatrice				
		Blended Rationale: WITHHOLD votes are warrand committee meetings held during the fiscal votes are warranted for incumbent compensations. Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director notes.	year under rev ion committee ent compensati	view without members Ma ion-related c	an acceptal aria Arambu	ble reason foi ruzabala Larr	r the absences egui, Beatrice	. WITHHOLL Ballini, Anna	)			

## Coty Inc.

Coty Inc.												
Proposal Significar Number Vote	nt Proposal Text	Pro		table oposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.11	Elect Director Robert Singer	Mgr	nt Yes	S	For	For		For	For	No	No	No
	Voting Policy Rationale: WITHH board and committee meetings WITHHOLD votes are warranted Ballini, Anna Makanju, and Erha of the pay program. A vote FOR	held during the fiscal If for incumbent comp rd Schoewel, due to	l year under re pensation com persistent con	review wit nmittee m mpensatio	thout an acc nembers Ma on-related c	ceptable reas oria Aramburo	son for the abs uzabala Larreg	sences. qui, Beatrice				
	Blended Rationale: WITHHOLD and committee meetings held d votes are warranted for incumb Makanju, and Erhard Schoewel, program. A vote FOR the remain	uring the fiscal year of ent compensation con due to persistent con	under review i mmittee mem mpensation-re	without a nbers Mar elated coi	nn acceptab ia Arambur	le reason for uzabala Larre	the absences egui, Beatrice	. WITHHOLL Ballini, Anna	)			
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgr on	nt Yes	S	For	Against		Against	For	No	Yes	Yes
	Voting Policy Rationale: A vote only of base salary, following he reveals persistent concerning fe performance vesting conditions. performance goals. Further, the these significant concerns for the	er \$280 million sign-o atures. Specifically, I Investors increasing re are renewed conc	n RSU award NEO pay consi Ny expect a m erns regarding	in the pri isted prim neaningfui g high ba	ior year. Ho narily of bas I portion of use salaries	owever, a rev se salary and incentives to without a co	riew of the pay l equity award o be tied to pro mpelling ration	y program Is that lack e-set nale. Notably				
	Blended Rationale: A vote AGAI of base salary, following her \$20 persistent concerning features. performance vesting conditions. performance goals. Further, the these significant concerns for the	80 million sign-on RS Specifically, NEO pay Investors increasing re are renewed conc	U award in the consisted pri ly expect a m erns regarding	ne prior ye imarily of neaningfu g high ba	ear. Howeve base salary portion of se salaries	er, a review of and equity of incentives to without a co.	of the pay pro awards that la be tied to pro mpelling ration	gram reveals ock e-set nale. Notably	5			
3	Ratify Deloitte & Touche LLP as Auditors	s Mgr	mt Yes	S	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account Det (IA Name, IA Number)	ail Custodian Account Number	Ballot Status	Instructed		Approved	l	Ballot Voting	Status	Votable Si	nares	Shares \	Voted
NT RSG01, NT RSG01	1768944	Confirmed	dnicoll		dnicoll				310,52	20	310,	520

## **Meeting Results**

Outstanding Shares Voted: 872,925,305 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	20.0%	607,696,010	80.0%	151,763,817	20.0%	0	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	1.0%	751,758,889	99.0%	7,700,938	1.0%	0	N/A	Proxy	F+A
1.3	Y	Pass	No	For	3.7%	731,251,012	96.3%	28,208,815	3.7%	0	N/A	Proxy	F+A
1.4	Y	Pass	No	For	1.2%	750,248,263	98.8%	9,211,564	1.2%	0	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	20.6%	603,200,386	79.4%	156,259,441	20.6%	0	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	15.8%	639,698,694	84.2%	119,761,133	15.8%	0	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	15.6%	640,769,964	84.4%	118,689,863	15.6%	0	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	1.0%	752,063,388	99.0%	7,396,439	1.0%	0	N/A	Proxy	F+A

10/27/2022

Total Shares:

310,520

310,520

10/27/2022

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.9	Y	Pass	No	For	0.6%	754,639,679	99.4%	4,820,148	0.6%	0	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	19.5%	611,637,066	80.5%	147,822,761	19.5%	0	N/A	Proxy	F+A
1.11	Y	Pass	No	For	1.4%	748,963,487	98.6%	10,496,340	1.4%	0	N/A	Proxy	F+A
2	Y	Pass	No	For	22.2%	590,354,580	77.8%	168,839,178	22.2%	266,069	N/A	Proxy	F+A
3	Y	Pass	No	For	1.6%	790,316,084	98.4%	12,815,276	1.6%	184,796	N/A	Proxy	F+A

# **Coherent Corp.**

Meeting Date: 11/16/2022Country: USATicker: COHRProxy Level: 3

Record Date: 09/15/2022 Meeting Type: Annual Meeting ID: 1688648

 Primary Security ID: 19247G107
 Primary CUSIP: 19247G107
 Primary ISIN: US19247G1076
 Primary SEDOL: BNG8Z81

 Earliest Cutoff Date: 11/15/2022
 Total Ballots: 1
 Voting Policy: ISS
 Additional Policy:

 Votable Shares: 27,152
 \*Shares on Loan: 0
 Shares Instructed: 27,152
 Shares Voted: 27,152

•	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Enrico Digirolamo	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warr	anted.								
1b		Elect Director David L. Motley	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warr	anted.								
1c		Elect Director Shaker Sadasivam	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warr	anted.								
1d		Elect Director Lisa Neal-Graves	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dire	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warr	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detai	ils											
Institutional	Account Detail	Custodian	. Instructo	_			Pallet Veting		Votable S		Charoc	

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	<b>Votable Shares</b>	Shares Voted
NT RSG01, NT RSG01	1768944	Confirmed	jbisset	jbisset		27,152	27,152
			11/09/2022	11/09/2022	_		_
					Total Shares:	27,152	27,152

#### **Meeting Results**

Outstanding Shares Voted: 164,500,790 Results Available: Full

Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Type	Base
"	oposu.	Juliliary	Порозан	cc	2010.	. 0.	. 0.	Aguinoc	7.9	Abstani	,	.,,,,	

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	8.2%	120,372,972	91.8%	10,747,099	8.2%	384,485	N/A	Proxy	F+A
1b	Υ	Pass	No	For	2.5%	128,110,968	97.5%	3,311,630	2.5%	81,958	N/A	Proxy	F+A
1c	Υ	Pass	No	For	1.6%	129,346,741	98.4%	2,071,735	1.6%	86,080	N/A	Proxy	F+A
1d	Υ	Pass	No	For	0.9%	130,202,844	99.1%	1,233,657	0.9%	68,055	N/A	Proxy	F+A
2	Υ	Pass	No	For	4.6%	125,467,665	95.4%	5,874,006	4.5%	162,885	0.1%	Proxy	F+A+AB
3	Υ	Pass	No	For	1.2%	142,761,468	98.8%	1,652,091	1.1%	106,354	0.1%	Proxy	F+A+AB

# Ruffer Illiquid Multi Strategies Fund 2015 Ltd.

Tender Offer

Meeting Date: 11/28/2022

Country: Guernsey

Ticker: N/A

Proxy Level: N/A

**Record Date:** 

**Meeting Type:** Written Consent **Primary CUSIP:** G9402A105

Meeting ID: 1699457

Primary SEDOL: BSPCBR0

Primary Security ID: G9402A105

Voting Policy: ISS

Additional Policy:

**Earliest Cutoff Date:** 11/22/2022 **Votable Shares:** 74,190,664

Total Ballots: 1
\*Shares on Loan: 0

Shares Instructed: 74,190,664

Primary ISIN: GG00BSPCBR02

Shares Voted: 74,190,664

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Written Consent	Mgmt	No								
1		Approve Matters Relating to the Re-designation of Ordinary Shares and	Mgmt	Yes	For	Refer		Refer	For	No	No	No

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	dnicoll	dnicoll		74,190,664	74,190,664
			11/21/2022	11/21/2022			

Total Shares: 74,190,664 74,190,664

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A

## The PRS REIT Plc

Meeting Date: 11/28/2022 Record Date: 11/24/2022 Primary Security ID: G7282R107 Country: United Kingdom

Meeting Type: Annual

Primary CUSIP: G7282R107

Ticker: PRSR
Meeting ID: 1690565

Proxy Level: N/A

Primary ISIN: GB00BF01NH51

Primary SEDOL: BF01NH5

## The PRS REIT Plc

Earliest Cutoff Date: 11/23/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 2,000,000

\*Shares on Loan: 0

Shares Instructed: 2,000,000

Shares Voted: 2,000,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Re-elect Stephen Smith as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elective warranted because no significant concerns he		-	n Francis, Ro	od MacRae, (	Geeta Nanda, d	and Jim Prov	ver			
		Blended Rationale: A vote FOR the re-election of warranted because no significant concerns have			ancis, Rod M	acRae, Geeta	a Nanda, and S	lim Prower is	•			
4		Re-elect Steffan Francis as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elective warranted because no significant concerns he			n Francis, Ro	od MacRae, (	Geeta Nanda, a	and Jim Prov	ver			
		Blended Rationale: A vote FOR the re-election of warranted because no significant concerns have		•	ancis, Rod M	acRae, Geeta	a Nanda, and S	lim Prower is	7			
5		Re-elect Roderick MacRae as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elective warranted because no significant concerns he		-	n Francis, Ro	od MacRae, (	Geeta Nanda, a	and Jim Prov	ver			
		Blended Rationale: A vote FOR the re-election of warranted because no significant concerns have			ancis, Rod M	acRae, Geeta	a Nanda, and S	lim Prower is	7			
6		Re-elect Geeta Nanda as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elec- is warranted because no significant concerns he		-	n Francis, Ro	od MacRae, (	Geeta Nanda, d	and Jim Prov	ver			
		Blended Rationale: A vote FOR the re-election of warranted because no significant concerns have			ancis, Rod M	acRae, Geeta	a Nanda, and S	lim Prower is	7			
7		Re-elect Jim Prower as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elective warranted because no significant concerns he		-	n Francis, Ro	od MacRae, (	Geeta Nanda, d	and Jim Prov	ver			
		Blended Rationale: A vote FOR the re-election of warranted because no significant concerns have			ancis, Rod M	acRae, Geeta	a Nanda, and S	lim Prower is	;			
8		Reappoint RSM UK Audit LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
10		Approve Dividend Policy	Mgmt	Yes	For	For		For	For	No	No	No
11		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resol recommended limits; and * The Company has p				•						
		Blended Rationale: A vote FOR these resolution recommended limits; and * The Company has p							lue			
12		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resol recommended limits; and * The Company has p				•						
		Blended Rationale: A vote FOR these resolution recommended limits; and * The Company has p							lue			

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# The PRS REIT Plc

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
13		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reso recommended limits; and * The Company has				•						
		Blended Rationale: A vote FOR these resolution recommended limits; and * The Company has							ue			
14		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	nils											
Institutional (IA Name, IA	Account Detail A Number)	Custodian Account Number Ballot Status	Instructed		Approve	i	Ballot Voting	Status	Votable Sh	nares	Shares \	/oted

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		2,000,000	2,000,000
			11/21/2022	11/21/2022			
					Total Shares:	2,000,000	2,000,000

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	391,854,418	100.0%	0	0.0%	305,843	N/A	Poll	F+A
2	Υ	Pass	No	For	0.5%	390,262,447	99.5%	1,896,508	0.5%	1,306	N/A	Poll	F+A
3	Υ	Pass	No	For	6.2%	367,810,282	93.8%	24,348,673	6.2%	1,306	N/A	Poll	F+A
4	Y	Pass	No	For	0.7%	389,264,925	99.3%	2,893,222	0.7%	2,114	N/A	Poll	F+A
5	Υ	Pass	No	For	0.7%	389,267,936	99.3%	2,891,019	0.7%	1,306	N/A	Poll	F+A
6	Υ	Pass	No	For	0.7%	389,260,530	99.3%	2,897,617	0.7%	2,114	N/A	Poll	F+A
7	Υ	Pass	No	For	0.7%	389,243,936	99.3%	2,915,019	0.7%	1,306	N/A	Poll	F+A
8	Υ	Pass	No	For	0.0%	378,005,896	100.0%	66,500	0.0%	14,087,865	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	392,120,732	100.0%	38,223	0.0%	1,306	N/A	Poll	F+A
10	Υ	Pass	No	For	0.0%	392,154,560	100.0%	0	0.0%	5,701	N/A	Poll	F+A
11	Υ	Pass	No	For	1.2%	387,360,176	98.8%	4,794,384	1.2%	5,701	N/A	Poll	F+A
12	Υ	Pass	No	For	0.2%	391,492,605	99.8%	666,350	0.2%	1,306	N/A	Poll	F+A
13	Υ	Pass	No	For	4.0%	376,530,749	96.0%	15,628,206	4.0%	1,306	N/A	Poll	F+A
14	Y	Pass	No	For	4.6%	374,159,803	95.4%	17,941,881	4.6%	58,577	N/A	Poll	F+A
15	Υ	Pass	No	For	2.0%	384,350,531	98.0%	7,806,350	2.0%	3,380	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Meeting Date: 11/30/2022

Country: Guernsey Meeting Type: Annual

**Meeting ID:** 1694882

Proxy Level: N/A

**Record Date:** 11/28/2022

Primary Security ID: G41036107

**Primary CUSIP:** G41036107

Primary ISIN: GG00BMDHST63

**Primary SEDOL: BMDHST6** 

Earliest Cutoff Date: 11/25/2022

Total Ballots: 1

Voting Policy: ISS

Ticker: GR1T

Additional Policy: Votable Shares: 3,743,544 \*Shares on Loan: 0 Shares Instructed: 3,743,544 Shares Voted: 3,743,544

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary Resolutions	Mgmt	No								
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Reappoint PricewaterhouseCoopers LLP as Auditors and Authorise Their Remuneration	Mgmt	Yes	For	For		For	For	No	No	No
3		Re-elect Peter Todd as Director	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: Items 3-6 and 8-11 A vote FOR the re-election of Peter Todd, Bronwyn Knight, Leon van de Moortele, Nomfundo Radebe, David Love, Sir Sam Jonah, Johnny Crichton and Cross Kgosidiile is warranted because no significant concerns have been identified. Item 7 A vote FOR the re-election of Catherine McIlraith is warranted, although it is not without concern because: \* Apart from his role as Non-executive director of the Company, she also serves in various roles at other publicly listed companies, which could compromise her ability to commit sufficient time to his role in the Company. Mitigating, the main reason for support is: \* The majority of her external commitments is at investment companies. Taking this factor into account, a degree of flexibility is considered appropriate. This will be kept under close review.

Blended Rationale: Items 3-6 and 8-11 A vote FOR the re-election of Peter Todd, Bronwyn Knight, Leon van de Moortele, Nomfundo Radebe, David Love, Sir Sam Jonah, Johnny Crichton and Cross Kgosidiile is warranted because no significant concerns have been identified. Item 7 A vote FOR the re-election of Catherine McIlraith is warranted, although it is not without concern because: \* Apart from his role as Non-executive director of the Company, she also serves in various roles at other publicly listed companies, which could compromise her ability to commit sufficient time to his role in the Company. Mitigating, the main reason for support is: \* The majority of her external commitments is at investment companies. Taking this factor into account, a degree of flexibility is considered appropriate. This will be kept under close review.

Yes

Re-elect Bronwyn Knight as Director

Mamt

For

No

No

No

Voting Policy Rationale: Items 3-6 and 8-11 A vote FOR the re-election of Peter Todd, Bronwyn Knight, Leon van de Moortele, Nomfundo Radebe, David Love, Sir Sam Jonah, Johnny Crichton and Cross Kaosidiile is warranted because no significant concerns have been identified. Item 7 A vote FOR the re-election of Catherine McIlraith is warranted, although it is not without concern because: \* Apart from his role as Non-executive director of the Company, she also serves in various roles at other publicly listed companies, which could compromise her ability to commit sufficient time to his role in the Company. Mitigating, the main reason for support is: \* The majority of her external commitments is at investment companies. Taking this factor into account, a degree of flexibility is considered appropriate. This will be kept under close review.

Blended Rationale: Items 3-6 and 8-11 A vote FOR the re-election of Peter Todd, Bronwyn Knight, Leon van de Moortele, Nomfundo Radebe, David Love, Sir Sam Jonah, Johnny Crichton and Cross Kgosidiile is warranted because no significant concerns have been identified. Item 7 A vote FOR the re-election of Catherine McIlraith is warranted, although it is not without concern because: \* Apart from his role as Non-executive director of the Company, she also serves in various roles at other publicly listed companies, which could compromise her ability to commit sufficient time to his role in the Company, Mitigating, the main reason for support is: \* The majority of her external commitments is at investment companies. Taking this factor into account, a degree of flexibility is considered appropriate. This will be kept under close review.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
5		Re-elect Leon van de Moortele as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3-6 and 8-11 A Nomfundo Radebe, David Love, Sir Sam Jonah, concerns have been identified. Item 7 A vote F concern because: * Apart from his role as Nonpublicly listed companies, which could composite main reason for support is: * The majority account, a degree of flexibility is considered ap	, Johnny Crich OR the re-elec -executive dire mise her ability of her externa	nton and Crostion of Cath ector of the C y to commit a al commitme	ss Kgosidiile erine McIlra Company, si sufficient tir nts is at inv	h is warranted with is warrant whe also serves me to his role westment com	d because no si ted, although i s in various rol e in the Compa	ignificant it is not with les at other ny. Mitigatin	out G			
		Blended Rationale: Items 3-6 and 8-11 A vote of Nomfundo Radebe, David Love, Sir Sam Jonah, concerns have been identified. Item 7 A vote F concern because: * Apart from his role as Non-publicly listed companies, which could comprosite main reason for support is: * The majority account, a degree of flexibility is considered approximate.	, Johnny Crich FOR the re-elec -executive dire mise her ability of her externa	nton and Crostion of Cath ector of the C or to commit and commit and commit and commitme	ss Kgosidiile erine McIlra Company, si sufficient tir nts is at inv	is warranted with is warrant he also serves me to his role pestment comp	nd because no si ted, although i s in various rol e in the Compal	ignificant it is not with les at other ny. Mitigating	g,			
6		Re-elect Nomzamo Radebe as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3-6 and 8-11 A Nomfundo Radebe, David Love, Sir Sam Jonah, concerns have been identified. Item 7 A vote F concern because: * Apart from his role as Nonpublicly listed companies, which could comprot the main reason for support is: * The majority account, a degree of flexibility is considered ap	, Johnny Crich FOR the re-elec -executive dire mise her ability of her externa	nton and Crostion of Cath ector of the C or to commit and commit a	ss Kgosidiile erine McIlra Company, si sufficient tir nts is at inv	is warranted with is warrant he also serves me to his role pestment comp	d because no si ted, although i s in various rol e in the Compai	ignificant it is not with les at other ny. Mitigating	out G			
		Blended Rationale: Items 3-6 and 8-11 A vote. Nomfundo Radebe, David Love, Sir Sam Jonah, concerns have been identified. Item 7 A vote F concern because: * Apart from his role as Nonpublicly listed companies, which could comprot the main reason for support is: * The majority account, a degree of flexibility is considered ap	, Johnny Crich FOR the re-elec -executive dire mise her ability of her externa	nton and Crostion of Cath ector of the C or to commit and commit a	ss Kgosidiile erine McIlra Company, si sufficient tii nts is at inv	is warranted with is warrant he also serves me to his role pestment comp	nd because no si ted, although i s in various rol e in the Compal	ignificant it is not withd les at other ny. Mitigating	g,			
7		Re-elect Catherine McIlraith as Director	Mgmt	Yes	For	For		For	For	No	No	No
7		Voting Policy Rationale: Items 3-6 and 8-11 A v Nomfundo Radebe, David Love, Sir Sam Jonah, concerns have been identified. Item 7 A vote F concern because: * Apart from his role as Non- publicly listed companies, which could compror the main reason for support is: * The majority account, a degree of flexibility is considered ap	, Johnny Crich FOR the re-elec -executive dire mise her ability of her externa	nton and Crostion of Cath ector of the C y to commit a al commitme	ss Kgosidiile erine McIlra Company, si sufficient tir nts is at inv	is warranted with is warrant he also serves me to his role pestment comp	d because no si ted, although i s in various rol e in the Compa	ignificant it is not withd les at other ny. Mitigating	out g,			
		Blended Rationale: Items 3-6 and 8-11 A vote of Nomfundo Radebe, David Love, Sir Sam Jonah concerns have been identified. Item 7 A vote Froncern because: * Apart from his role as Nonpublicly listed companies, which could comprosite main reason for support is: * The majority account, a degree of flexibility is considered approximately.	, Johnny Crich FOR the re-elec -executive dire mise her ability of her externa	nton and Crostion of Cath ector of the C or to commit and commit and commit and commit and commitme	ss Kgosidiile erine McIlra Company, si sufficient tir nts is at inv	is warranted with is warrant he also serves me to his role pestment comp	nd because no si ted, although i s in various rol e in the Compal	ignificant it is not with les at other ny. Mitigating	g,			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8		Re-elect David Love as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3-6 and 8-11 A v Nomfundo Radebe, David Love, Sir Sam Jonah, concerns have been identified. Item 7 A vote FC concern because: * Apart from his role as Non- publicly listed companies, which could comprom the main reason for support is: * The majority of account, a degree of flexibility is considered app	Johnny Cricht OR the re-elec executive dire nise her ability of her externa	ton and Cros. tion of Cathe ctor of the C to commit s I commitmen	s Kgosidiile ii erine McIlrait iompany, she ufficient time ets is at inve	s warranted h is warrante e also serves e to his role i stment comp	because no siged, although it in various role in the Compan	gnificant is not witho es at other y. Mitigating	ut ,			
	Blended Rationale: Items 3-6 and 8-11 A vote FOR the re-election of Peter Todd, Bronwyn Knight, Leon van de Moortele, Nomfundo Radebe, David Love, Sir Sam Jonah, Johnny Crichton and Cross Kgosidiile is warranted because no significant concerns have been identified. Item 7 A vote FOR the re-election of Catherine McIlraith is warranted, although it is not without concern because: * Apart from his role as Non-executive director of the Company, she also serves in various roles at other publicly listed companies, which could compromise her ability to commit sufficient time to his role in the Company. Mitigating, the main reason for support is: * The majority of her external commitments is at investment companies. Taking this factor into account, a degree of flexibility is considered appropriate. This will be kept under close review.  Re-elect Samuel Jonah as Director Mgmt Yes For For For For No											
9		Re-elect Samuel Jonah as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3-6 and 8-11 A v Nomfundo Radebe, David Love, Sir Sam Jonah, concerns have been identified. Item 7 A vote FC concern because: * Apart from his role as Non-publicly listed companies, which could comprom the main reason for support is: * The majority of account, a degree of flexibility is considered approximately.	Johnny Cricht OR the re-elec executive dire nise her ability of her externa	ton and Cros. tion of Cathe ctor of the C to commit s I commitmen	s Kgosidiile i erine McIlrait company, she ufficient time ets is at inve	s warranted h is warrante e also serves e to his role i stment comp	because no siged, although it in various role in the Compan	gnificant is not witho es at other y. Mitigating	ut ,			
		Blended Rationale: Items 3-6 and 8-11 A vote F Nomfundo Radebe, David Love, Sir Sam Jonah, concerns have been identified. Item 7 A vote FC concern because: * Apart from his role as Non- publicly listed companies, which could comprom the main reason for support is: * The majority of account, a degree of flexibility is considered app	Johnny Cricht OR the re-elec executive dire nise her ability of her externa	ton and Cros. tion of Cathe ctor of the C to commit s I commitmen	s Kgosidiile i Prine McIlrait Company, she Ufficient time Its is at inve	s warranted h is warrante e also serves e to his role i stment comp	because no siged, although it in various role in the Compan	gnificant is not witho es at other y. Mitigating	,			
10		Re-elect Jonathan Crichton as Director	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: Items 3-6 and 8-11 A vote FOR the re-election of Peter Todd, Bronwyn Knight, Leon van de Moortele, Nomfundo Radebe, David Love, Sir Sam Jonah, Johnny Crichton and Cross Kgosidiile is warranted because no significant concerns have been identified. Item 7 A vote FOR the re-election of Catherine McIlraith is warranted, although it is not without concern because: * Apart from his role as Non-executive director of the Company, she also serves in various roles at other publicly listed companies, which could compromise her ability to commit sufficient time to his role in the Company. Mitigating, the main reason for support is: * The majority of her external commitments is at investment companies. Taking this factor into account, a degree of flexibility is considered appropriate. This will be kept under close review.											
		Blended Rationale: Items 3-6 and 8-11 A vote F Nomfundo Radebe, David Love, Sir Sam Jonah, concerns have been identified. Item 7 A vote FC concern because: * Apart from his role as Non- publicly listed companies, which could comprom the main reason for support is: * The majority of account, a degree of flexibility is considered app	Johnny Cricht OR the re-elec executive dire nise her ability of her externa	ton and Cros. tion of Cathe ctor of the C to commit s I commitmen	s Kgosidiile i erine McIlrait company, she ufficient time ets is at inve	s warranted h is warrante e also serves e to his role i stment comp	because no sig ed, although it in various role in the Compan	gnificant is not witho es at other y. Mitigating	,,			

Proposal Signific Number Vote	ant Proposal 1	Гехt		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11	Re-elect C	Cross Kgosidiile as Dire	ector	Mgmt	Yes	For	For		For	For	No	No	No
	Nomfundo concerns h concern be publicly lis the main r	icy Rationale: Items 3 Radebe, David Love, have been identified. I ecause: * Apart from I ted companies, which reason for support is: a degree of flexibility is	Sir Sam Jonah, tem 7 A vote Fo his role as Non- could compron * The majority	Johnny Cricht OR the re-electexecutive directions in the mise her ability of her external	ton and Crostion of Cath ctor of the C to commit s I commitme	ss Kgosidiild erine McIlra Company, s sufficient ti nts is at inv	e is warranted aith is warrant the also serve time to his role vestment com	d because no si ted, although i s in various rol o in the Compai	ignificant it is not with es at other ny. Mitigatin	nout		-	
	Nomfundo concerns h concern be publicly lis the main r	ended Rationale: Items 3-6 and 8-11 A vote FOR the re-election of Peter Todd, Bronwyn Knight, Leon van de Moortele, omfundo Radebe, David Love, Sir Sam Jonah, Johnny Crichton and Cross Kgosidiile is warranted because no significant incerns have been identified. Item 7 A vote FOR the re-election of Catherine McIlraith is warranted, although it is not without incern because: * Apart from his role as Non-executive director of the Company, she also serves in various roles at other blicly listed companies, which could compromise her ability to commit sufficient time to his role in the Company. Mitigating, a main reason for support is: * The majority of her external commitments is at investment companies. Taking this factor into count, a degree of flexibility is considered appropriate. This will be kept under close review.											
12		implementation Report ation Policy	on the	Mgmt	Yes	For	For		For	For	No	No	No
	Special Re	esolution		Mgmt	No								
1	Authorise Shares	Market Purchase of O	rdinary	Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>													
Institutional Account I (IA Name, IA Number)		Custodian Account Number	Ballot Status	Instructed	ı	Approv	ved .	Ballot Voting	Status	Votable S	hares	Shares	Voted
NT RSG01, NT RSG01	F	RSG01	Confirmed	jbisset		jbisset				3,743,	544	3,743	3,544
				11/17/2022		11/17/2	2022						

3,743,544

3,743,544

#### **Meeting Results**

Outstanding Shares Voted: 495,092,339

Results Available: Partial

Item	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.0%	N/A	100.0%	N/A	0.0%	N/A	N/A	Poll	F+A
2	Y	Pass	No	For	0.0%	N/A	100.0%	N/A	0.0%	N/A	N/A	Poll	F+A
3	Y	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
4	Y	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
5	Y	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
6	Y	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
7	Y	Pass	No	For	8.3%	N/A	91.7%	N/A	8.3%	N/A	N/A	Poll	F+A
8	Y	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
9	Υ	Pass	No	For	8.3%	N/A	91.7%	N/A	8.3%	N/A	N/A	Poll	F+A
10	Υ	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
11	Y	Pass	No	For	8.9%	N/A	91.1%	N/A	8.9%	N/A	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

#	Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
12	Υ	Pass	No	For	29.8%	N/A	70.2%	N/A	29.8%	N/A	N/A	Poll	F+A
	N												
1	Υ	Pass	No	For	0.0%	N/A	100.0%	N/A	0.0%	N/A	N/A	Poll	F+A

**Meeting Date:** 12/07/2022 Country: Spain Ticker: CASH Proxy Level: N/A

**Record Date:** 12/02/2022 Meeting Type: Extraordinary **Meeting ID:** 1694090 Shareholders

**Primary Security ID:** E8S56X108 Primary CUSIP: E8S56X108 Primary ISIN: ES0105229001 Primary SEDOL: BDH3Q71

Earliest Cutoff Date: 11/30/2022 **Total Ballots:** 1 Voting Policy: ISS **Additional Policy:** Votable Shares: 720,973 \*Shares on Loan: 0 Shares Instructed: 720,973 Shares Voted: 720,973

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Dividends Charged Against Reserves	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend the Maximum Amount of the Reduction in Share Capital Approved at the June 1, 2022 AGM	Mgmt	Yes	For	For		For	For	No	No	No
3		Amend Long-Term Global Optimum Plan	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		awards under this Global Optimum LTIP time-l pay-for-performance link and decorrelates exe requirement to return share awards (or the ca	Voting Policy Rationale: A vote AGAINST this resolution is warranted because: * The board proposes to make the delivery of swards under this Global Optimum LTIP time-based only. * The proposed amendment therefore weakens the vay-for-performance link and decorrelates executive long-term compensation from shareholder experience. * The associated equirement to return share awards (or the cash equivalent) to the company in case a beneficiary leaves the company prior to vec. 31, 2023, under undisclosed conditions does not offset the foregoing.  Sended Rationale: A vote AGAINST this resolution is warranted because: * The board proposes to make the delivery of awards									
		Blended Rationale: A vote AGAINST this resolution is warranted because: * The board proposes to make the delivery of awards under this Global Optimum LTIP time-based only. * The proposed amendment therefore weakens the pay-for-performance link and decorrelates executive long-term compensation from shareholder experience. * The associated requirement to return share awards (or the cash equivalent) to the company in case a beneficiary leaves the company prior to Dec. 31, 2023, under undisclosed conditions does not offset the foregoing.										
4		Amend Remuneration Policy for FY 2023-2025	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this rawards under this Global Optimum LTIP time-lay-for-performance link and decorrelates exerequirement to return share awards (or the case). Dec. 31, 2023, under undisclosed conditions de	based only. * 1 cutive long-ten sh equivalent)	The proposed m compensa to the comp	d amendmer ntion from sl any in case	nt therefore v hareholder ex	veakens the operience. * Th	ne associated	d			
		Blended Rationale: A vote AGAINST this resolution is warranted because: * The board proposes to make the delivery of awards under this Global Optimum LTIP time-based only. * The proposed amendment therefore weakens the pay-for-performance link and decorrelates executive long-term compensation from shareholder experience. * The associated requirement to return share awards (or the cash equivalent) to the company in case a beneficiary leaves the company prior to Dec. 31, 2023, under undisclosed conditions does not offset the foregoing.										
5		Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
NT RSG01, NT RSG01	RSG01	Confirmed	jbisset	jbisset		720,973	720,973
			11/30/2022	11/30/2022			
					Total Shares:	720,973	720,973

# **Prosegur Cash SA**

#### **Meeting Results**

Outstanding Shares Voted: 1,522,946,683 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	1.0%	1,324,049,550	99.0%	13,846,514	1.0%	0	0.0%	Poll	F+A+AB
2	Υ	Pass	No	For	0.0%	1,337,843,049	100.0%	53,015	0.0%	0	0.0%	Poll	F+A+AB
3	Υ	Pass	No	For	3.9%	1,285,112,007	96.1%	52,784,057	3.9%	0	0.0%	Poll	F+A+AB
4	Υ	Pass	No	For	4.0%	1,284,715,795	96.0%	53,180,269	4.0%	0	0.0%	Poll	F+A+AB
5	Υ	Pass	No	For	0.0%	1,337,843,049	100.0%	53,015	0.0%	0	0.0%	Poll	F+A+AB

#### **PARAMETERS**

Location(s): All Locations

Account Group(s): All Account Groups Institution Account(s): NT RSG01

Custodian Account(s): All Custodian Accounts

Additional Policy: None ADR Meetings: All Meetings Ballot Statuses: All Statuses Contrary Votes: All Votes Date Format: MM/DD/YYYY ESG Pillar: All Pillars

Header Display: Repeat Headers for Any Meeting Split by Multiple Pages

Markets: All Markets
Meeting ID's: All Meeting ID's
Meeting Types: All Meeting Types
PoA Markets: All Markets
Proposal Category: All Categories
Proposal Proponents: All Proponents
Proposal Subcategory: All Subcategories

Rationale: All Rationale

Recommendations: All Recommendations Record Date Markets: All Markets

Reregistration Meetings: Include Reregistration Meetings

Shareblocking Markets: All Markets

Significant Vote: None

Sort Order: Meeting Date, Company Name

Vote Instructions: All Instructions
Voting Policies: All Policies

Zero (0) Share Ballots: Exclude 0 Share Ballots

Account Watchlist: None Country Watchlist: None Issuer Watchlist: None Proposal Code Watchlist: None

Proposal Code Watchlist - Agenda Output: Include Exact Matches Only

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.



# Voting summary 2022

# RUFFER TOTAL RETURN INTERNATIONAL



REPORTING PERIOD: 01/01/2022 to 12/31/2022

#### LOCATION(S): ALL LOCATIONS

#### INSTITUTION ACCOUNT(S): PIC\_RTRI

#### **Voting Statistics**

	Total	Percent
Votable Meetings	86	
Meetings Voted	86	100.00%
Meetings with One or More Votes Against Management	27	31.40%
Votable Ballots	88	
Ballots Voted	88	100.00%

Note: A meeting is votable when one or more ballots are eligible to vote at the meeting, and differences in votable meetings and ballots occurs when multiple ballots are available to vote for the same meeting.

	Manageme	nt Proposals	Shareholder	Proposals	All Pro	posals
	Total	Percent	Total	Percent	Total	Percent
Votable Proposals	1374		84		1458	
Proposals Voted	1374	100.00%	84	100.00%	1458	100.00%
FOR Votes	1333	97.02%	40	47.62%	1373	94.17%
AGAINST Votes	32	2.33%	44	52.38%	76	5.21%
ABSTAIN Votes	2	0.15%	0	0.00%	2	0.14%
WITHHOLD Votes	4	0.29%	0	0.00%	4	0.27%
Votes WITH Management	1336	97.23%	46	54.76%	1382	94.79%
Votes AGAINST Management	38	2.77%	38	45.24%	76	5.21%
Significant Votes	0	0.00%	0	0.00%	0	0.00%

Note: Instructions of Do Not Vote are not considered voted; Frequency on Pay votes of 1, 2 or 3 Years are only reflected statistically, where applicable, but present in the underlying detail; and in cases of different votes submitted across ballots for a given meeting, votes cast are distinctly counted by type per proposal where total votes submitted may be higher than unique proposals voted.

#### **Proposal Statistics**

	Vota Prop	able osals	Prop Vot		Manage Propo		Shareh Propo		Votes A		Signifi Vot	
	Total	Percent	Total	Percent	Total	Percent	Total	Percent	Total	Percent	Total	Percent
Audit Related	90	6.17%	90	6.17%	89	6.10%	1	0.07%	0	0.00%	0	0.00%
Capitalization	155	10.63%	155	10.63%	155	10.63%	0	0.00%	0	0.00%	0	0.00%
Company Articles	34	2.33%	34	2.33%	33	2.26%	1	0.07%	0	0.00%	0	0.00%
Compensation	165	11.32%	165	11.32%	160	10.97%	5	0.34%	6	0.41%	0	0.00%
Corporate Governance	4	0.27%	4	0.27%	0	0.00%	4	0.27%	2	0.14%	0	0.00%
Director Election	668	45.82%	668	45.82%	668	45.82%	0	0.00%	29	1.99%	0	0.00%
Director Related	87	5.97%	87	5.97%	75	5.14%	12	0.82%	7	0.48%	0	0.00%
E&S Blended	7	0.48%	7	0.48%	3	0.21%	4	0.27%	0	0.00%	0	0.00%
Environmental	18	1.23%	18	1.23%	5	0.34%	13	0.89%	4	0.27%	0	0.00%
Miscellaneous	4	0.27%	4	0.27%	4	0.27%	0	0.00%	0	0.00%	0	0.00%
Mutual Funds	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%
No Research	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Non-Routine Business	13	0.89%	13	0.89%	8	0.55%	5	0.34%	5	0.34%	0	0.00%
Routine Business	138	9.47%	138	9.47%	129	8.85%	9	0.62%	7	0.48%	0	0.00%
Social	46	3.16%	46	3.16%	16	1.10%	30	2.06%	16	1.10%	0	0.00%
Strategic Transactions	7	0.48%	7	0.48%	7	0.48%	0	0.00%	0	0.00%	0	0.00%
Takeover Related	22	1.51%	22	1.51%	22	1.51%	0	0.00%	0	0.00%	0	0.00%
Total	1458	100.00%	1458	100.00%	1374	94.24%	84	5.76%	76	5.21%	0	0.00%

# **Countryside Properties Plc**

Meeting Date: 01/20/2022

Country: United Kingdom

Ticker: CSP

Proxy Level: N/A

**Record Date:** 01/18/2022

Primary Security ID: G24556170

Meeting Type: Annual Primary CUSIP: G24556170

Meeting ID: 1565662 Primary ISIN: GB00BYPHNG03

Primary SEDOL: BYPHNG0

Earliest Cutoff Date: 01/17/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 3,900,000

\*Shares on Loan: 0

Shares Instructed: 3,900,000

Shares Voted: 3,900,000

votable 5	1141 451 3,300,000	Situres on Louin 6			Silui CS II	istructeur 3,5	00,000		Shares votes	3,500,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect John Martin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dir	ectors is warrar	nted as no si	gnificant co	ncerns have l	been identified	!.				
		Blended Rationale: A vote FOR these Director	rs is warranted a	as no signific	ant concern	ns have been	identified.					
4		Re-elect Iain McPherson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dir	ectors is warrar	nted as no si	gnificant co	ncerns have l	been identified	!.			*	
		Blended Rationale: A vote FOR these Director	rs is warranted a	as no signific	cant concern	ns have been	identified.					
5		Re-elect Douglas Hurt as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dir	ectors is warrar	nted as no si	gnificant co	ncerns have l	been identified	!.				
		Blended Rationale: A vote FOR these Director	rs is warranted a	as no signific	cant concern	ns have been	identified.					
6		Re-elect Amanda Burton as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dir	ectors is warrar	nted as no si	gnificant co	ncerns have l	been identified	!.			*	
		Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.										
7		Re-elect Baroness Sally Morgan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dir	ectors is warrar	nted as no si	gnificant co	ncerns have l	been identified	!.				
		Blended Rationale: A vote FOR these Director	rs is warranted a	as no signific	ant concern	ns have been	identified.					
8		Re-elect Simon Townsend as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dir	ectors is warrar	nted as no si	gnificant co	ncerns have l	been identified	!.				
		Blended Rationale: A vote FOR these Director	rs is warranted a	as no signific	ant concern	ns have been	identified.				-	
9		Appoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
10		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
11		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.										
		Blended Rationale: A vote FOR these resolution recommended limits.	ons is warranted	d because th	e proposed	amounts and	d durations are	within				
12		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resrecommended limits.	olutions is warr	anted becau	se the prop	osed amount	s and duration	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ons is warranted	d because th	e proposed	amounts and	d durations are	within				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Countryside Properties Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
13		Authorise Issue of Equity with Pre-emptive Rights in Connec an Acquisition or Other Capita Investment	tion with	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
14		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise UK Political Donatio Expenditure	ns and	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve Change of Company Countryside Partnership Plc	Name to	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise the Company to Cal Meeting with Two Weeks' Not		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutiona (IA Name, I	l Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PI	C_RTRI	282301.001	Confirmed	jbisset		jbisset				3,900,0	100	3,900	,000
				01/07/2022	2	01/07/20	22						

Total Shares:

3,900,000

3,900,000

#### **Meeting Results**

Outstanding Shares Voted: 511,761,463	Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	450,275,445	100.0%	2,987	0.0%	310,125	N/A	Poll	F+A
2	Υ	Pass	No	For	8.3%	413,117,193	91.7%	37,464,913	8.3%	6,451	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	450,571,689	100.0%	4,404	0.0%	13,564	N/A	Poll	F+A
4	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
5	Υ	Pass	No	For	12.6%	381,528,864	87.4%	55,004,977	12.6%	14,054,716	N/A	Poll	F+A
6	Υ	Pass	No	For	12.3%	383,001,756	87.7%	53,533,274	12.3%	14,053,527	N/A	Poll	F+A
7	Υ	Pass	No	For	12.0%	383,001,502	88.0%	52,353,264	12.0%	15,233,791	N/A	Poll	F+A
8	Υ	Pass	No	For	8.3%	399,268,457	91.7%	36,085,120	8.3%	15,234,980	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	450,548,084	100.0%	36,234	0.0%	4,239	N/A	Poll	F+A
10	Υ	Pass	No	For	0.0%	450,540,225	100.0%	40,189	0.0%	8,143	N/A	Poll	F+A
11	Υ	Pass	No	For	8.2%	413,435,709	91.8%	36,895,535	8.2%	7,313	N/A	Poll	F+A
12	Υ	Pass	No	For	8.5%	403,401,896	91.5%	37,636,010	8.5%	9,300,651	N/A	Poll	F+A
13	Υ	Pass	No	For	15.1%	374,655,137	84.9%	66,395,682	15.1%	9,287,738	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
14	Υ	Pass	No	For	0.9%	446,160,978	99.1%	4,120,705	0.9%	56,874	N/A	Poll	F+A
15	Υ	Pass	No	For	8.8%	409,440,615	91.2%	39,705,735	8.8%	1,192,207	N/A	Poll	F+A
16	Υ	Pass	No	For	0.2%	449,483,585	99.8%	852,533	0.2%	2,439	N/A	Poll	F+A
17	Y	Pass	No	For	1.2%	445,001,044	98.8%	5,332,488	1.2%	5,025	N/A	Poll	F+A

# **Novartis AG**

**Meeting Date:** 03/04/2022

Country: Switzerland

Ticker: NOVN

Voting Policy: ISS

Proxy Level: N/A

**Record Date:** 

Meeting Type: Annual

Meeting ID: 1589873

Primary Security ID: H5820Q150

Earliest Cutoff Date: 02/23/2022

Primary CUSIP: H5820Q150

**Primary ISIN:** CH0012005267

Primary SEDOL: 7103065

Additional Policy:

Votable Shares: 73,710

Total Ballots: 1
\*Shares on Loan: 0

Shares Instructed: 73,710

Shares Voted: 73,710

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Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Discharge of Board and Senior Management	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Allocation of Income and Dividends of CHF 3.10 per Share	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve CHF 15.3 Million Reduction in Share Capital via Cancellation of Repurchased Shares	Mgmt	Yes	For	For		For	For	No	No	No
5		Authorize Repurchase of up to CHF 10 Billion in Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No
6.1		Approve Remuneration of Directors in the Amount of CHF 8.6 Million	Mgmt	Yes	For	For		For	For	No	No	No
6.2		Approve Maximum Remuneration of Executive Committee in the Amount of CHF 91 Million	Mgmt	Yes	For	For		For	For	No	No	No
6.3		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
7.1		Reelect Joerg Reinhardt as Director and Board Chairman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the prop	osed nominees a	re warranted	<i>1.</i>							
		Blended Rationale: Votes FOR the proposed	nominees are w	arranted.							-	
7.2		Reelect Nancy Andrews as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the prop	osed nominees a	re warranted	<i>1.</i>							
		Blended Rationale: Votes FOR the proposed	nominees are w	arranted.								
7.3		Reelect Ton Buechner as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the prop	osed nominees a	re warranted	<i>1.</i>						-	
		Blended Rationale: Votes FOR the proposed	nominees are w	arranted.								
7.4		Reelect Patrice Bula as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the prop	osed nominees a	re warranted	<i>d.</i>						-	
											-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Blended Rationale: Votes FOR the proposed nominees are warranted.

# **Novartis AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7.5		Reelect Elizabeth Doherty as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	<i>1.</i>							
		Blended Rationale: Votes FOR the proposed no	minees are wa	arranted.								
7.6		Reelect Bridgette Heller as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	minees are wa	arranted.								
7.7		Reelect Frans van Houten as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	<i>1.</i>							
		Blended Rationale: Votes FOR the proposed no	minees are wa	arranted.								
7.8		Reelect Simon Moroney as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	<i>d.</i>							
		Blended Rationale: Votes FOR the proposed no	minees are wa	arranted.								
7.9		Reelect Andreas von Planta as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	minees are wa	arranted.								
7.10		Reelect Charles Sawyers as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	minees are wa	arranted.								
7.11		Reelect William Winters as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	d.							
		Blended Rationale: Votes FOR the proposed no	minees are wa	arranted.								
7.12		Elect Ana de Pro Gonzalo as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	minees are wa	arranted.								
7.13		Elect Daniel Hochstrasser as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	d.							
		Blended Rationale: Votes FOR the proposed no	minees are wa	arranted.								
8.1		Reappoint Patrice Bula as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	minees are wa	arranted.								
8.2		Reappoint Bridgette Heller as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	<i>d.</i>							
		Blended Rationale: Votes FOR the proposed no	minees are wa	arranted.								
8.3		Reappoint Simon Moroney as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propose	ed nominees ar	re warranted	1.							
		Blended Rationale: Votes FOR the proposed no	minees are wa	arranted.								

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

#### **Novartis AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8.4		Reappoint William Winters as Member of the Compensation Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the propo	sed nominees a	re warranted	1.							
		Blended Rationale: Votes FOR the proposed in	nominees are w	arranted.								
9		Ratify KPMG AG as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
10		Designate Peter Zahn as Independent Proxy	Mgmt	Yes	For	For		For	For	No	No	No
11		Transact Other Business (Voting)	Mgmt	Yes	For	Against		Against	Against	Yes	No	No

Voting Policy Rationale: A vote AGAINST is warranted because:\* This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and\* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.

Blended Rationale: A vote AGAINST is warranted because:\* This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and\* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	Auto-Instructed	Auto-Approved		73,710	73,710
			03/02/2022	03/02/2022			
					Total Shares:	73,710	73,710

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.6%	1,431,157,166	99.4%	3,397,635	0.2%	5,945,878	0.4%	Poll	F+A+AB
2	Υ	Pass	No	For	2.5%	1,403,419,759	97.5%	22,769,861	1.6%	12,794,631	0.9%	Poll	F+A+AB
3	Υ	Pass	No	For	0.6%	1,432,034,011	99.4%	7,092,006	0.5%	1,374,662	0.1%	Poll	F+A+AB
4	Υ	Pass	No	For	0.4%	1,434,332,740	99.6%	3,702,323	0.3%	2,465,616	0.2%	Poll	F+A+AB
5	Υ	Pass	No	For	2.4%	1,405,657,241	97.6%	30,990,702	2.2%	3,852,736	0.3%	Poll	F+A+AB
6.1	Υ	Pass	No	For	3.4%	1,390,678,639	96.5%	44,221,391	3.1%	5,600,649	0.4%	Poll	F+A+AB
6.2	Υ	Pass	No	For	8.3%	1,320,877,978	91.7%	113,067,615	7.8%	6,555,085	0.5%	Poll	F+A+AB
6.3	Υ	Pass	No	For	9.4%	1,305,601,853	90.6%	122,750,787	8.5%	12,148,038	0.8%	Poll	F+A+AB
7.1	Υ	Pass	No	For	4.5%	1,376,102,077	95.5%	62,067,323	4.3%	2,331,279	0.2%	Poll	F+A+AB
7.2	Υ	Pass	No	For	0.8%	1,428,413,320	99.2%	9,789,667	0.7%	2,297,692	0.2%	Poll	F+A+AB
7.3	Υ	Pass	No	For	13.0%	1,253,754,493	87.0%	184,140,756	12.8%	2,605,429	0.2%	Poll	F+A+AB
7.4	Y	Pass	No	For	2.1%	1,409,650,181	97.9%	28,366,927	2.0%	2,483,571	0.2%	Poll	F+A+AB
7.5	Y	Pass	No	For	2.3%	1,407,479,326	97.7%	30,281,462	2.1%	2,739,891	0.2%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
7.6	Y	Pass	No	For	2.7%	1,401,495,288	97.3%	36,280,654	2.5%	2,724,737	0.2%	Poll	F+A+AB
7.7	Υ	Pass	No	For	1.4%	1,419,795,377	98.6%	18,207,940	1.3%	2,497,362	0.2%	Poll	F+A+AB
7.8	Υ	Pass	No	For	1.0%	1,425,713,419	99.0%	12,215,398	0.8%	2,571,862	0.2%	Poll	F+A+AB
7.9	Υ	Pass	No	For	3.9%	1,383,685,864	96.1%	54,614,355	3.8%	2,200,460	0.2%	Poll	F+A+AB
7.10	Υ	Pass	No	For	2.2%	1,409,385,086	97.8%	28,627,356	2.0%	2,488,237	0.2%	Poll	F+A+AB
7.11	Υ	Pass	No	For	1.9%	1,413,638,004	98.1%	24,344,941	1.7%	2,517,734	0.2%	Poll	F+A+AB
7.12	Υ	Pass	No	For	2.0%	1,411,592,783	98.0%	25,942,715	1.8%	2,965,181	0.2%	Poll	F+A+AB
7.13	Υ	Pass	No	For	1.9%	1,413,251,342	98.1%	24,502,776	1.7%	2,746,561	0.2%	Poll	F+A+AB
8.1	Υ	Pass	No	For	3.0%	1,397,939,177	97.0%	39,068,907	2.7%	3,492,595	0.2%	Poll	F+A+AB
8.2	Υ	Pass	No	For	3.6%	1,388,400,725	96.4%	48,284,549	3.4%	3,815,405	0.3%	Poll	F+A+AB
8.3	Υ	Pass	No	For	3.1%	1,396,230,784	96.9%	40,714,504	2.8%	3,555,391	0.2%	Poll	F+A+AB
8.4	Υ	Pass	No	For	3.2%	1,394,650,042	96.8%	42,304,536	2.9%	3,546,101	0.2%	Poll	F+A+AB
9	Υ	Pass	No	For	1.0%	1,425,359,803	98.9%	13,353,178	0.9%	1,787,698	0.1%	Poll	F+A+AB
10	Y	Pass	No	For	0.2%	1,437,847,678	99.8%	1,255,893	0.1%	1,397,108	0.1%	Poll	F+A+AB
11	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A

# **Beazley Plc**

Meeting Date: 03/25/2022 **Record Date:** 03/23/2022

Earliest Cutoff Date: 03/22/2022

Votable Shares: 1,964,680

Primary Security ID: G0936K107

Country: United Kingdom

Primary CUSIP: G0936K107

Meeting Type: Annual

Total Ballots: 1 \*Shares on Loan: 0 Ticker: BEZ

**Meeting ID:** 1611020

Primary ISIN: GB00BYQ0JC66

Voting Policy: ISS **Additional Policy:** 

Shares Instructed: 1,964,680 **Shares Voted:** 1,964,680

Proxy Level: N/A

Primary SEDOL: BYQ0JC6

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Interim Dividends	Mgmt	Yes	For	For		For	For	No	No	No
4		Re-elect Adrian Cox as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these dire	ectors is consid	ered warrant	ted as no sig	nificant conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these director	s is considered	warranted a	s no signific	ant concerns	have been ide	entified.				
5		Re-elect Pierre-Olivier Desaulle as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these dire	ectors is consid	ered warrant	ted as no sig	nificant conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these director	s is considered	warranted a	s no signific	ant concerns	have been ide	entified.				
6		Re-elect Nicola Hodson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these dire	ectors is consid	ered warrant	ted as no sig	nificant conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these director.	s is considered	warranted a	s no signific	ant concerns	have been ide	entified.				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Beazley Pic**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Re-elect Sally Lake as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	ctors is conside	ered warrant	ed as no sigi	nificant conce	erns have beer	identified.				
		Blended Rationale: A vote FOR these directors	is considered v	warranted as	no significa	nt concerns i	have been ider	ntified.				
8		Re-elect Christine LaSala as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	ctors is conside	ered warranto	ed as no sigi	nificant conce	erns have beer	identified.				
		Blended Rationale: A vote FOR these directors	is considered v	warranted as	no significa	nt concerns i	have been ider	ntified.				
9		Re-elect John Reizenstein as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	ctors is conside	ered warrant	ed as no sigi	nificant conce	erns have beer	identified.				
		Blended Rationale: A vote FOR these directors	is considered v	warranted as	no significa	nt concerns i	have been idei	ntified.				
10		Re-elect David Roberts as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	ctors is conside	ered warranto	ed as no sigi	nificant conce	erns have beer	identified.				
		Blended Rationale: A vote FOR these directors	is considered i	warranted as	no significa	nt concerns i	have been ider	ntified.				
11		Re-elect Robert Stuchbery as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	ctors is conside	ered warranto	ed as no sigi	nificant conce	erns have beer	identified.				
		Blended Rationale: A vote FOR these directors	is considered v	warranted as	no significa	nt concerns i	have been ider	ntified.				
12		Elect Rajesh Agrawal as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	ctors is conside	ered warranto	ed as no sigi	nificant conce	erns have beer	identified.				
		Blended Rationale: A vote FOR these directors	is considered v	warranted as	no significa	nt concerns i	have been idei	ntified.				
13		Reappoint EY as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
14		Authorise the Audit and Risk Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Approve Save As You Earn Share Option Plan	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve Long-Term Incentive Plan	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resourceommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	l because the	e proposed a	mounts and	durations are	within				
18		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonance of the series of the	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	l because the	e proposed a	mounts and	durations are	within				
19		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails											
Institutiona (IA Name, I	l Account Detail A Number)	Custodian Account Number Ballot Status	Instructed	ı	Approve	i	Ballot Voting	Status	Votable Si	nares	Shares 1	Voted

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Beazley Plc**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		1,964,680	1,964,680
			03/16/2022	03/16/2022			
					Total Shares:	1,964,680	1,964,680

#### **Meeting Results**

Outstanding Shares Voted: 609,896,418

Results Available: Partial

outstan	anding Shares voted: 009,890,418				Resi	uits Available: Parti	aı						
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	484,215,490	100.0%	14,573	0.0%	808,199	N/A	Poll	F+A
2	Υ	Pass	No	For	10.5%	434,012,961	89.5%	50,960,274	10.5%	65,027	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	484,985,841	100.0%	13,400	0.0%	39,021	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	485,008,137	100.0%	14,678	0.0%	15,447	N/A	Poll	F+A
5	Υ	Pass	No	For	0.0%	484,977,900	100.0%	14,573	0.0%	45,789	N/A	Poll	F+A
6	Υ	Pass	No	For	5.2%	459,560,283	94.8%	25,403,070	5.2%	74,909	N/A	Poll	F+A
7	Υ	Pass	No	For	0.3%	483,612,806	99.7%	1,350,547	0.3%	74,909	N/A	Poll	F+A
8	Υ	Pass	No	For	5.6%	457,743,533	94.4%	27,219,820	5.6%	74,909	N/A	Poll	F+A
9	Υ	Pass	No	For	0.8%	481,232,710	99.2%	3,759,763	0.8%	45,789	N/A	Poll	F+A
10	Υ	Pass	No	For	0.8%	481,228,498	99.2%	3,763,975	0.8%	45,789	N/A	Poll	F+A
11	Υ	Pass	No	For	4.8%	461,504,744	95.2%	23,487,729	4.8%	45,789	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	484,977,195	100.0%	15,078	0.0%	45,989	N/A	Poll	F+A
13	Υ	Pass	No	For	0.3%	483,514,755	99.7%	1,483,945	0.3%	39,562	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	484,778,927	100.0%	223,428	0.0%	35,907	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	484,652,133	99.9%	349,340	0.1%	36,789	N/A	Poll	F+A
16	Υ	Pass	No	For	0.7%	481,826,808	99.3%	3,174,797	0.7%	36,657	N/A	Poll	F+A
17	Υ	Pass	No	For	2.4%	460,110,764	97.6%	11,215,375	2.4%	13,712,123	N/A	Poll	F+A
18	Υ	Pass	No	For	0.1%	484,306,473	99.9%	686,000	0.1%	45,789	N/A	Poll	F+A
19	Υ	Pass	No	For	0.3%	483,528,023	99.7%	1,280,550	0.3%	229,689	N/A	Poll	F+A
20	Υ	Pass	No	For	11.4%	428,810,332	88.6%	54,936,977	11.4%	1,290,953	N/A	Poll	F+A

# **Torii Pharmaceutical Co., Ltd.**

Meeting Date: 03/29/2022 Record Date: 12/31/2021 Primary Security ID: J8959J102 Country: Japan Meeting Type: Annual Primary CUSIP: J8959J102 Ticker: 4551

**Meeting ID:** 1614494 **Primary ISIN:** JP3635800000

Proxy Level: N/A

Primary SEDOL: 6896894

# **Torii Pharmaceutical Co., Ltd.**

 Earliest Cutoff Date: 03/27/2022
 Total Ballots: 1
 Voting Policy: ISS
 Additional Policy:

 Votable Shares: 44,700
 \*Shares on Loan: 0
 Shares Instructed: 44,700
 Shares Voted: 44,700

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 24	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect Director Fukuoka, Toshio	Mgmt	Yes	For	For		For	For	No	No	No
4		Appoint Statutory Auditor Matsumura, Takaharu	Mgmt	Yes	For	For		For	For	No	No	No
5		Elect Alternate Director Kondo, Nobumasa	Mgmt	Yes	For	For		For	For	No	No	No
6		Appoint Alternate Statutory Auditor Kumano, Hisashi	Mgmt	Yes	For	For		For	For	No	No	No
7		Amend Articles to Prohibit Appointments of Officials from Japan Tobacco Inc	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this shareful board where no Torii Pharmaceutical executive directors casts doubt over the effectiveness of unfavorable relative stock performance.	s are present,	and the lack	of related b	ousiness expe	erience among	the outside				
		Blended Rationale: A vote FOR this shareholde where no Torii Pharmaceutical executives are particular casts doubt over the effectiveness of the board stock performance.	resent, and th	e lack of rela	ated busines	s experience	among the oi	itside directo	ors			
8		Amend Articles to Ban Funding to Japan Tobacco via Cash Management System	SH	Yes	Against	Against		Against	For	Yes	Yes	Yes
9		Amend Articles to Add Required Experience for Directors	SH	Yes	Against	Against		Against	Against	No	No	No
10		Amend Articles to Disclose Cost of Equity Capital in Corporate Governance Report	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this shareh more attention to an inefficient capital policy, v						-	ay			
		Blended Rationale: A vote FOR this shareholde attention to an inefficient capital policy, with a						ment pay m	ore			
11		Approve Additional Allocation of Income so that Final Dividend per Share Equals to JPY 114	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this shareh equivalents, the additional payment should be					•		nd			
		Blended Rationale: A vote FOR this shareholde equivalents, the additional payment should be					•					
12		Initiate Share Repurchase Program	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this sharef- bind the company to actually repurchase any s reasonable in light of its rich cash position, and	hares and imp	oses no infle	xible manda	te.* A share	repurchase ap					
		Blended Rationale: A vote FOR this shareholde	r proposal is w	arranted bed	cause:* The	proposed au	thorization wo	uld not bind				

Blended Rationale: A vote FOR this shareholder proposal is warranted because:\* The proposed authorization would not bind the company to actually repurchase any shares and imposes no inflexible mandate.\* A share repurchase appears reasonable in light of its rich cash position, and concerns over capital policy and poor equity valuation.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Torii Pharmaceutical Co., Ltd.**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jcoster	jcoster		44,700	44,700
			03/23/2022	03/23/2022			
					Total Shares:	44,700	44,700

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	231,806	92.9%	17,611	N/A	0	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	248,555	99.6%	862	N/A	0	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	239,844	96.1%	9,563	N/A	0	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	247,852	99.3%	1,565	N/A	0	N/A	Poll	F+A+AB
5	Υ	Pass	No	For	N/A	239,967	96.2%	9,450	N/A	0	N/A	Poll	F+A+AB
6	Υ	Pass	No	For	N/A	247,760	99.3%	1,657	N/A	0	N/A	Poll	F+A+AB
7	Υ	Fail	No	Against	N/A	23,650	9.5%	225,766	N/A	0	N/A	Poll	F+A+AB
8	Υ	Fail	No	Against	N/A	17,621	7.1%	231,784	N/A	0	N/A	Poll	F+A+AB
9	Υ	Fail	No	Against	N/A	5,960	2.4%	243,445	N/A	0	N/A	Poll	F+A+AB
10	Υ	Fail	No	Against	N/A	27,822	11.2%	221,582	N/A	0	N/A	Poll	F+A+AB
11	Υ	Fail	No	Against	N/A	51,810	20.8%	197,594	N/A	0	N/A	Poll	F+A+AB
12	Υ	Fail	No	Against	N/A	34,779	13.9%	214,534	N/A	91	N/A	Poll	F+A+AB

# Rakuten Group, Inc.

 Meeting Date: 03/30/2022
 Country: Japan
 Ticker: 4755
 Proxy Level: N/A

 Record Date: 12/31/2021
 Meeting Type: Annual
 Meeting ID: 1616325

 Primary Security ID: J64264104
 Primary CUSIP: J64264104
 Primary ISIN: JP3967200001
 Primary SEDOL: 6229597

 Earliest Cutoff Date: 03/28/2022
 Total Ballots: 1
 Voting Policy: ISS
 Additional Policy:

 Votable Shares: 4,987,500
 \*Shares on Loan: 0
 Shares Instructed: 4,987,500
 Shares Voted: 4,987,500

Additional Voting Vote Vote Vote Proposal Significant Votable Mgmt Policy Policy Vote Against Against Against Number **Proposal Text** Proponent Proposal Rec ISS Rec Instruction Mgmt Policy ISS 1 Mgmt Yes For Against Against For No Yes Yes

Amend Articles to Disclose Shareholder Meeting Materials on Internet - Allow Virtual Only Shareholder Meetings - Reflect Changes in Law

# Rakuten Group, Inc.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
		Voting Policy Rationale: A vote AGAINST this company to hold virtual only meetings perm crisis is resolved, and the proposed languag	anently, without	further need	to consult	shareholders,	even after th	e current he				
		Blended Rationale: A vote AGAINST this pro company to hold virtual only meetings perm crisis is resolved, and the proposed languag	nanently, without	further need	to consult	shareholders,	even after th	e current he	alth			
2.1		Elect Director Mikitani, Hiroshi	Mgmt	Yes	For	For		For	For	No	No	No
2.2		Elect Director Hosaka, Masayuki	Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Charles B. Baxter	Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Hyakuno, Kentaro	Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Kutaragi, Ken	Mgmt	Yes	For	For		For	For	No	No	No
2.6		Elect Director Sarah J. M. Whitley	Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Mitachi, Takashi	Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Murai, Jun	Mgmt	Yes	For	For		For	For	No	No	No
2.9		Elect Director John V. Roos	Mgmt	Yes	For	For		For	For	No	No	No
3		Appoint Statutory Auditor Fujita, Satoshi	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Deep Discount Stock Option Plan	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST thing and the stock options could become exercise recipients.						•	t,			
		Blended Rationale: A vote AGAINST this pro	posal is warrante	ed because:*	No specific	performance	e hurdles are s	pecified, and	1		,	

#### **Ballot Details**

Ballot Details							
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		4,987,500	4,987,500
			03/21/2022	03/21/2022			
					Total Shares:	4,987,500	4,987,500

the stock options could become exercisable in less than three years after this shareholder meeting by non-retiring recipients.

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	11,941,437	90.8%	1,206,351	N/A	50	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	11,963,328	90.9%	1,184,428	N/A	93	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	12,551,609	95.4%	596,156	N/A	93	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	12,589,280	95.7%	558,481	N/A	93	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	12,863,519	97.8%	284,245	N/A	93	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	11,119,115	84.5%	2,028,645	N/A	93	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2.6	Υ	Pass	No	For	N/A	12,379,707	94.1%	768,060	N/A	93	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	12,624,876	96.0%	522,890	N/A	93	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	12,450,316	94.6%	697,448	N/A	93	N/A	Poll	F+A+AB
2.9	Y	Pass	No	For	N/A	12,908,071	98.1%	239,693	N/A	93	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	13,141,290	99.9%	6,570	N/A	0	N/A	Poll	F+A+AB
4	Y	Pass	No	For	N/A	10,079,482	76.6%	3,064,759	N/A	3,613	N/A	Poll	F+A+AB

# Teikoku Sen-I Co., Ltd.

Meeting Date: 03/30/2022 Record Date: 12/31/2021

Earliest Cutoff Date: 03/28/2022

Votable Shares: 36,500

Record Date: 12/31/2021 Primary Security ID: J82657107 Country: Japan
Meeting Type: Annual

Primary CUSIP: J82657107

Total Ballots: 1
\*Shares on Loan: 0

Ticker: 3302

**Meeting ID:** 1617673

Shares Instructed: 36,500

**Primary ISIN:** JP3540800004

Voting Policy: ISS Additional Policy:

Shares Voted: 36,500

Proxy Level: N/A

Primary SEDOL: 6880983

votable 5	141 451 30,300	Shares on Loans o			Silai CS I	iisti acteai 30,	500		Silai es votes	30,300		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 45	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Shiraiwa, Tsuyoshi	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST the company's capital misallocation.	his director nomine	ee is warrant	ted because	e:* Top mana	gement is resp	onsible for t	the			
		Blended Rationale: A vote AGAINST this di company's capital misallocation.	rector nominee is	warranted b	ecause:* T	op manageme	ent is responsit	ble for the				
3.2		Elect Director Masutani, Toru	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST the company's capital misallocation.	his director nomine	ee is warrant	ted because	e:* Top mana	gement is resp	onsible for t	the		-	
		Blended Rationale: A vote AGAINST this di company's capital misallocation.	rector nominee is	warranted b	ecause:* T	op manageme	ent is responsit	ble for the				
3.3		Elect Director Okamura, Tatsuru	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Nakao, Toru	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Takagi, Hiroyasu	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Fukazawa, Masahiro	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
4		Appoint Alternate Statutory Auditor Aramaki, Mikito	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST the affiliation with the company could comprol			use:* The o	outside statuto	ory auditor non	minee's				
		Blended Rationale: A vote AGAINST this no with the company could compromise indep		ed because:	* The outsid	de statutory a	uditor nominee	e's affiliation	,			
5		Approve Compensation Ceiling for Directors	Mgmt	Yes	For	For		For	For	No	No	No

# Teikoku Sen-I Co., Ltd.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6		Approve Trust-Type Equity Compensation Plan	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes

Voting Policy Rationale: A vote AGAINST this proposal is warranted because:\* Total dilution from this plan and the company's other equity compensation plans reaches 6.7 percent, which appears excessive.

Blended Rationale: A vote AGAINST this proposal is warranted because:\* Total dilution from this plan and the company's other equity compensation plans reaches 6.7 percent, which appears excessive.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jcoster	jcoster		36,500	36,500
			03/23/2022	03/23/2022			
					Total Shares:	36,500	36,500

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	226,600	94.5%	13,239	N/A	0	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	239,564	99.9%	275	N/A	0	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	212,063	88.4%	27,767	N/A	0	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	218,000	90.9%	21,831	N/A	0	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	230,679	96.2%	9,154	N/A	0	N/A	Poll	F+A+AB
3.4	Υ	Pass	No	For	N/A	230,716	96.2%	9,117	N/A	0	N/A	Poll	F+A+AB
3.5	Υ	Pass	No	For	N/A	230,146	96.0%	9,687	N/A	0	N/A	Poll	F+A+AB
3.6	Υ	Pass	No	For	N/A	224,451	93.6%	15,382	N/A	0	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	189,809	79.1%	50,017	N/A	5	N/A	Poll	F+A+AB
5	Υ	Pass	No	For	N/A	239,343	99.8%	486	N/A	5	N/A	Poll	F+A+AB
6	Y	Pass	No	For	N/A	216,781	90.4%	23,057	N/A	0	N/A	Poll	F+A+AB

# Toagosei Co., Ltd.

-	cord Date: 12/31/2021 Meeting Type		Country: Japan Meeting Type: Annu	ıal		Ticker:	1045 I <b>ID:</b> 1612830	n		Proxy Level	: N/A		
			Primary CUSIP: J83			-	ISIN: JP355			Primary SEI	<b>DOL:</b> 68944	67	
Earliest Co	orliest Cutoff Date: 03/28/2022		Total Ballots: 1			Voting Po	olicy: ISS			Additional Po	licy:		
Votable SI	otable Shares: 129,400		*Shares on Loan: 0			Shares In	structed: 129	,400		Shares Voted	: 129,400		
Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of JP	•	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# Toagosei Co., Ltd.

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2	Amend Articles to Disclose Shareholder Meeting Material Internet - Allow Virtual Only Shareholder Meetings	ls on	Mgmt	Yes	For	For		For	For	No	No	No
3.1	Elect Director Takamura, Mik	ishi	Mgmt	Yes	For	For		For	For	No	No	No
3.2	Elect Director Ishikawa, Nobu	ıhiro	Mgmt	Yes	For	For		For	For	No	No	No
3.3	Elect Director Miho, Susumu		Mgmt	Yes	For	For		For	For	No	No	No
3.4	Elect Director Kimura, Masah	iro	Mgmt	Yes	For	For		For	For	No	No	No
3.5	Elect Director Koike, Yasuhiro	)	Mgmt	Yes	For	For		For	For	No	No	No
3.6	Elect Director Mori, Yuichiro		Mgmt	Yes	For	For		For	For	No	No	No
3.7	Elect Director Serita, Taizo		Mgmt	Yes	For	For		For	For	No	No	No
3.8	Elect Director Furukawa, Hide	etoshi	Mgmt	Yes	For	For		For	For	No	No	No
4.1	Elect Director and Audit Com Member Takano, Nobuhiko	mittee	Mgmt	Yes	For	For		For	For	No	No	No
4.2	Elect Director and Audit Com Member Danno, Koichi	mittee	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
	Voting Policy Rationale: A vot be an audit committee memb			ee is warrant	ed because:	* This outsia	le director cand	didate who	will		_	
	Blended Rationale: A vote AG an audit committee member l			warranted be	ecause:* Th	is outside dir	ector candidat	e who will b	e		-	
4.3	Elect Director and Audit Com Member Suzuki, Yoshitaka	mittee	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	Shares	Shares	Voted
PIC_RTRI, PIC_RTRI	RI 282301.001 Confirmed jbisset			jbisset				129,4	00	129	400	
			03/28/202	2	03/28/20	22						
								Total Shares	: 129,4	100	129,	400

# **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	1,056,648	96.4%	16,889	N/A	6	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	1,067,193	97.4%	6,342	N/A	6	N/A	Poll	F+A+AB
3.1	Y	Pass	No	For	N/A	1,042,266	95.1%	31,270	N/A	6	N/A	Poll	F+A+AB
3.2	Y	Pass	No	For	N/A	1,068,693	97.5%	4,843	N/A	6	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	1,068,777	97.5%	4,765	N/A	0	N/A	Poll	F+A+AB
3.4	Υ	Pass	No	For	N/A	1,068,676	97.5%	4,860	N/A	6	N/A	Poll	F+A+AB
3.5	Y	Pass	No	For	N/A	1,071,071	97.7%	2,465	N/A	6	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
3.6	Y	Pass	No	For	N/A	1,071,077	97.7%	2,459	N/A	6	N/A	Poll	F+A+AB
3.7	Υ	Pass	No	For	N/A	1,060,391	96.7%	13,145	N/A	6	N/A	Poll	F+A+AB
3.8	Υ	Pass	No	For	N/A	1,035,399	94.5%	38,137	N/A	6	N/A	Poll	F+A+AB
4.1	Y	Pass	No	For	N/A	1,071,011	97.7%	2,525	N/A	6	N/A	Poll	F+A+AB
4.2	Υ	Pass	No	For	N/A	959,178	87.5%	114,357	N/A	6	N/A	Poll	F+A+AB
4.3	Y	Pass	No	For	N/A	1,031,071	94.1%	42,465	N/A	6	N/A	Poll	F+A+AB

Meeting Date: 03/31/2022 Record Date: 03/25/2022

Primary Security ID: E19790109

Country: Spain

Meeting Type: Annual Primary CUSIP: E19790109 Ticker: SAN

Meeting ID: 1611611

Primary ISIN: ES0113900J37

Proxy Level: N/A

Additional Policy:

Primary SEDOL: 5705946

Earliest Cutoff Date: 03/28/2022

Votable Shares: 20,900,000

3.C

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS Shares Instructed: 20,900,000

Shares Voted: 20,900,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.A		Approve Consolidated and Standalone Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
1.B		Approve Non-Financial Information Statement	Mgmt	Yes	For	For		For	For	No	No	No
1.C		Approve Discharge of Board	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Allocation of Income and Dividends	Mgmt	Yes	For	For		For	For	No	No	No
3.A		Fix Number of Directors at 15	Mgmt	Yes	For	For		For	For	No	No	No
3.B		Elect German de la Fuente as Director	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees. A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee. A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.

Blended Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees. A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee. A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence quideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.

Reelect Henrique de Castro as Director

Mgmt

Yes

For

For

For

For

No

No No

Voting Policy Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees.A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee.A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.

Blended Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees.A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee.A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
3.D		Reelect Jose Antonio Alvarez as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR Items 3.B, director nominees.A vote FOR Item 3.D is war reelection of NI-NEDs Isasi and Rial under Itel guideline applicable to Spain-incorporated, no.	ranted due to a	a lack of cond is warranted	cerns about d, as the bo	the CEO nor ard meets th	minee.A vote F e 50 percent in	OR the ndependence						
		Blended Rationale: A vote FOR Items 3.B, 3.C, nominees.A vote FOR Item 3.D is warranted a NI-NEDs Isasi and Rial under Items 3.F and 3. applicable to Spain-incorporated, non-controlled.	lue to a lack of G is warranted	concerns ab , as the boar	out the CEC rd meets the	O nominee.A e 50 percent	vote FOR the r independence	eelection of	r					
3.E		Reelect Belen Romana as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR Items 3.B, director nominees.A vote FOR Item 3.D is war reelection of NI-NEDs Isasi and Rial under Iter guideline applicable to Spain-incorporated, no.	ranted due to a	a lack of cond is warranted	cerns about d, as the bo	the CEO nor ard meets th	minee.A vote F e 50 percent in	OR the ndependence						
		Blended Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees. A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee. A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.  Reelect Luis Isasi as Director Mont Yes For For For For No												
3.F		Reelect Luis Isasi as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees. A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee. A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.												
		Blended Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees. A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee. A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.												
3.G		Reelect Sergio Rial as Director	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR Items 3.B, director nominees.A vote FOR Item 3.D is war reelection of NI-NEDs Isasi and Rial under Itel guideline applicable to Spain-incorporated, no.												
		guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.  Blended Rationale: A vote FOR Items 3.B, 3.C, and 3.E is warranted due to a lack of concerns about the independent director nominees.A vote FOR Item 3.D is warranted due to a lack of concerns about the CEO nominee.A vote FOR the reelection of NI-NEDs Isasi and Rial under Items 3.F and 3.G is warranted, as the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies and there are no concerns about these nominees.												
4		Ratify Appointment of PricewaterhouseCoopers as Auditor	Mgmt	Yes	For	For		For	For	No	No	No		
5.A		Amend Articles Re: Form of Shares and Transfer of Shares	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these iten company bylaws with updated legal provisions				amendment	s, most of whic	ch align the						
		Blended Rationale: A vote FOR these items is company bylaws with updated legal provisions			,	endments, mo	ost of which ali	gn the						
5.B		Amend Article 16 Re: Capital Mgmt Yes For For For No Reduction								No	No	No		
		Voting Policy Rationale: A vote FOR these iten company bylaws with updated legal provisions												
		Blended Rationale: A vote FOR these items is company bylaws with updated legal provisions	-		•	endments, mo	ost of which ali	gn the						

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
5.C		Amend Article 19 Re: Issuance of Other Securities	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,	-		•	amendments	, most of whic	th align the						
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,	•		•	ndments, mo	st of which alig	gn the						
5.D		Amend Article 26 Re: Allow Shareholder Meetings to be Held in Virtual-Only Format	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			-	amendments	, most of whic	th align the						
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,	•		•	ndments, mo.	st of which alig	gn the						
5.E		Amend Articles Re: Board Secretary and Presiding Committee of the General Shareholders' Meeting	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these items is warranted, as the proposed bylaw amendments, most of which align the company bylaws with updated legal provisions, are deemed uncontroversial.												
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,	-		•	ndments, mo.	st of which alig	gn the						
5.F		Amend Article 48 Re: Executive Chair	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these items is warranted, as the proposed bylaw amendments, most of which align the company bylaws with updated legal provisions, are deemed uncontroversial.												
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,	-		,	ndments, mo	st of which alig	gn the						
5.G		Amend Article 52 Re: Audit Committee	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these items is warranted, as the proposed bylaw amendments, most of which align the company bylaws with updated legal provisions, are deemed uncontroversial.												
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,	•		•	ndments, mo.	st of which alig	gn the						
5.H		Amend Articles Re: Director Remuneration	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,				amendments	, most of whic	th align the						
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,			-	ndments, mo.	st of which alig	gn the						
5.I		Add Article 64 bis Re: Prior Authorization for the Payment of Dividends	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,	-		•	amendments	, most of whic	th align the						
		Blended Rationale: A vote FOR these items is w company bylaws with updated legal provisions,	-		•	ndments, mo.	st of which alig	gn the						
6.A		Amend Article 6 of General Meeting Regulations Re: Information Available as of the Date of the Call to Meeting	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,	-		•	amendments	, most of whic	h align the						
		Blended Rationale: A vote FOR these items is warranted, as the proposed bylaw amendments, most of which align the company bylaws with updated legal provisions, are deemed uncontroversial.												

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
6.B		Amend Article 13 of General Meeting Regulations Re: Presiding Committee of the General Shareholders' Meeting	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these items company bylaws with updated legal provisions,			-	amendments	s, most of whic	ch align the					
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	-		•	ndments, mo	est of which ali	gn the					
6.C		Add New Article 15 bis and Amend Mgmt Yes For For For For No Article 19 of General Meeting Regulations Re: Allow Shareholder Meetings to be Held in Virtual-Only Format								No	No	No	
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	,		,	ndments, mo	est of which ali	gn the					
6.D		Amend Article 17 of General Meeting Regulations Re: Presentations	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these items is warranted, as the proposed bylaw amendments, most of which align the company bylaws with updated legal provisions, are deemed uncontroversial.											
		Blended Rationale: A vote FOR these items is we company bylaws with updated legal provisions,	,		,	ndments, mo	est of which ali	gn the					
7.A		Authorize Increase in Capital up to 50 Percent via Issuance of Equity or Equity-Linked Securities, Excluding Preemptive Rights of up to 10 Percent	Mgmt	Yes	For	For		For	For	No	No	No	
7.B		Approve Reduction in Share Capital via Amortization of Treasury Shares	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these items improve the efficiency of the balance sheet, wh					o shareholders	and may					
		Blended Rationale: A vote FOR these items is we the efficiency of the balance sheet, which may				'	areholders and	may improv	re				
7.C		Approve Reduction in Share Capital via Amortization of Treasury Shares	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these items improve the efficiency of the balance sheet, wh				•	o shareholders	and may					
		Blended Rationale: A vote FOR these items is we the efficiency of the balance sheet, which may				•	areholders and	may improv	e				
7.D		Approve Reduction in Share Capital via Amortization of Treasury Shares	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these items is warranted as it facilitates the return of capital to shareholders and may improve the efficiency of the balance sheet, which may also enhance returns over the long term.											
		Blended Rationale: A vote FOR these items is warranted as it facilitates the return of capital to shareholders and may improve the efficiency of the balance sheet, which may also enhance returns over the long term.											

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8.A		Approve Remuneration Policy		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote is schemes may mitigate some pay lower value than the vesting of stricter and clearer with 50 percourrently.* The board has introcindustry standards. However, this total variable pay, which makes allows pay below median.* The address recurrent pay for performance.	of for performan share awards.* ent vesting for duced ESG-rela s is not withou the pay mix in proposed polic mance concern	nce concerns, a * The vesting ro 40th percentil ted metrics und t the following sufficiently long y, which maint	as any capita equirements de ranking vs der the long concerns:* g-term orien tains the san	al gains from s under the ri s 33 percent -term incent. Long-term ir ted.* Albeit ne structure	the exercise elative TSR of vesting for 3. ive scheme, acentives only stricter, the and quantur	e of options she component hav 3rd percentile aligning with it by account for a relative TSR ve an overall, may	ould result in re been made ranking, ts practices v 36 percent of esting schedu not fully	a e vith			
		Blended Rationale: A vote FOR a schemes may mitigate some pa lower value than the vesting of stricter and clearer with 50 pero currently.* The board has introd industry standards. However, thi total variable pay, which makes allows pay below median.* The address recurrent pay for perfor	of or performar share awards.* ent vesting for duced ESG-relat s is not withou the pay mix in proposed polic	nce concerns, a * The vesting ro 40th percentill ted metrics und t the following sufficiently long y, which mainly	as any capito equirements e ranking vs der the long concerns:* g-term orien	al gains from a under the ra a 33 percent a term incent a Long-term in ated.* Albeit	the exercise elative TSR of vesting for 3 ive scheme, oncentives only stricter, the	e of options she component hav 3rd percentile aligning with it y account for 2 relative TSR ve	ould result in re been made ranking, ts practices v 36 percent of esting schedu	e vith			
8.B		Approve Remuneration of Direct	tors	Mgmt	Yes	For	For		For	For	No	No	No
8.C		Fix Maximum Variable Compens Ratio	sation	Mgmt	Yes	For	For		For	For	No	No	No
8.D		Approve Deferred Multiyear Ob Variable Remuneration Plan	ectives	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote FOR these items is warranted because:* The use of stock options under variable remuneration schemes may mitigate some pay for performance concerns, as any capital gains from the exercise of options should result in a lower value than the vesting of share awards.* The vesting requirements under the relative TSR component have been made stricter and clearer with 50 percent vesting for 40th percentile ranking vs 33 percent vesting for 33rd percentile ranking, currently.* The board has introduced ESG-related metrics under the long-term incentive scheme, aligning with its practices with industry standards. However, this is not without the following concerns:* Long-term incentives only account for 36 percent of total variable pay, which makes the pay mix insufficiently long-term oriented.* Albeit stricter, the relative TSR vesting schedule allows pay below median.* The proposed policy, which maintains the same structure and quantum overall, may not fully address recurrent pay for performance concerns.												
		address recurrent pay for performance concerns.  Blended Rationale: A vote FOR these items is warranted because:* The use of stock options under variable remuneration schemes may mitigate some pay for performance concerns, as any capital gains from the exercise of options should result in a lower value than the vesting of share awards.* The vesting requirements under the relative TSR component have been made stricter and clearer with 50 percent vesting for 40th percentile ranking vs 33 percent vesting for 33rd percentile ranking, currently.* The board has introduced ESG-related metrics under the long-term incentive scheme, aligning with its practices with industry standards. However, this is not without the following concerns:* Long-term incentives only account for 36 percent of total variable pay, which makes the pay mix insufficiently long-term oriented.* Albeit stricter, the relative TSR vesting schedule allows pay below median.* The proposed policy, which maintains the same structure and quantum overall, may not fully address recurrent pay for performance concerns.											
8.E		Approve Buy-out Policy		Mgmt	Yes	For	For		For	For	No	No	No
8.F		Advisory Vote on Remuneration	Report	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorize Board to Ratify and E Approved Resolutions	xecute	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutional (IA Name, I	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares Voted	
PIC_RTRI, PIC	C_RTRI	282301.001	Confirmed	jbisset		jbisset				20,900,	000	20,900	0,000
				03/17/2022	2	03/17/202	22						
									Total Shares:	20,900	,000	20,900	0,000

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.A	Υ	Pass	No	For	3.0%	11,558,043,339	97.0%	31,109,277	0.3%	330,656,831	2.8%	Poll	F+A+AB
1.B	Υ	Pass	No	For	3.0%	11,557,402,304	97.0%	33,945,091	0.3%	328,049,620	2.8%	Poll	F+A+AB
1.C	Υ	Pass	No	For	3.2%	11,530,333,893	96.7%	33,363,907	0.3%	354,800,552	3.0%	Poll	F+A+AB
2	Υ	Pass	No	For	3.1%	11,551,575,503	96.9%	38,878,626	0.3%	328,862,125	2.8%	Poll	F+A+AB
3.A	Υ	Pass	No	For	3.1%	11,542,845,514	96.9%	42,552,426	0.4%	331,986,147	2.8%	Poll	F+A+AB
3.B	Υ	Pass	No	For	3.2%	11,536,662,228	96.8%	45,816,716	0.4%	334,902,401	2.8%	Poll	F+A+AB
3.C	Υ	Pass	No	For	3.3%	11,526,418,503	96.7%	56,487,546	0.5%	334,287,941	2.8%	Poll	F+A+AB
3.D	Υ	Pass	No	For	3.2%	11,533,285,921	96.8%	48,259,358	0.4%	336,312,106	2.8%	Poll	F+A+AB
3.E	Υ	Pass	No	For	3.3%	11,528,699,038	96.7%	55,441,146	0.5%	333,656,153	2.8%	Poll	F+A+AB
3.F	Υ	Pass	No	For	5.6%	11,243,887,306	94.3%	337,170,045	2.8%	336,593,959	2.8%	Poll	F+A+AB
3.G	Υ	Pass	No	For	4.4%	11,388,044,627	95.6%	194,966,417	1.6%	334,644,131	2.8%	Poll	F+A+AB
4	Υ	Pass	No	For	3.1%	11,546,391,486	96.9%	37,978,853	0.3%	334,980,575	2.8%	Poll	F+A+AB
5.A	Υ	Pass	No	For	3.2%	11,541,545,503	96.8%	42,875,978	0.4%	334,321,805	2.8%	Poll	F+A+AB
5.B	Υ	Pass	No	For	3.1%	11,548,704,255	96.9%	42,104,992	0.4%	328,621,270	2.8%	Poll	F+A+AB
5.C	Υ	Pass	No	For	3.2%	11,535,912,064	96.8%	45,064,698	0.4%	337,518,005	2.8%	Poll	F+A+AB
5.D	Υ	Pass	No	For	6.1%	11,192,832,422	93.9%	392,664,653	3.3%	333,022,256	2.8%	Poll	F+A+AB
5.E	Υ	Pass	No	For	3.1%	11,542,534,890	96.9%	39,176,752	0.3%	335,842,605	2.8%	Poll	F+A+AB
5.F	Υ	Pass	No	For	3.1%	11,541,842,214	96.8%	41,431,687	0.3%	334,534,130	2.8%	Poll	F+A+AB
5.G	Υ	Pass	No	For	3.1%	11,548,438,315	96.9%	36,036,370	0.3%	333,941,103	2.8%	Poll	F+A+AB
5.H	Υ	Pass	No	For	3.3%	11,527,964,756	96.7%	55,144,100	0.5%	334,858,069	2.8%	Poll	F+A+AB
5.I	Υ	Pass	No	For	3.1%	11,546,316,666	96.9%	43,158,104	0.4%	329,730,452	2.8%	Poll	F+A+AB
6.A	Υ	Pass	No	For	3.1%	11,551,571,515	96.9%	33,221,237	0.3%	334,020,110	2.8%	Poll	F+A+AB
6.B	Υ	Pass	No	For	3.1%	11,547,788,840	96.9%	35,166,816	0.3%	335,284,227	2.8%	Poll	F+A+AB
6.C	Υ	Pass	No	For	12.1%	10,469,563,436	87.8%	1,117,684,526	9.4%	331,481,834	2.8%	Poll	F+A+AB
6.D	Υ	Pass	No	For	4.2%	11,418,147,368	95.8%	164,143,627	1.4%	335,194,357	2.8%	Poll	F+A+AB
7.A	Υ	Pass	No	For	7.0%	11,081,155,863	93.0%	508,059,915	4.3%	331,416,718	2.8%	Poll	F+A+AB
7.B	Υ	Pass	No	For	3.1%	11,550,955,000	96.9%	43,228,415	0.4%	326,435,149	2.7%	Poll	F+A+AB
7.C	Υ	Pass	No	For	3.2%	11,543,604,192	96.8%	52,788,979	0.4%	324,044,566	2.7%	Poll	F+A+AB
7.D	Υ	Pass	No	For	3.1%	11,549,516,885	96.9%	47,043,364	0.4%	323,897,127	2.7%	Poll	F+A+AB
8.A	Υ	Pass	No	For	8.8%	10,867,348,146	91.2%	714,514,785	6.0%	337,312,738	2.8%	Poll	F+A+AB
8.B	Υ	Pass	No	For	4.6%	11,375,996,996	95.4%	212,126,194	1.8%	331,447,223	2.8%	Poll	F+A+AB
8.C	Υ	Pass	No	For	4.0%	11,373,506,994	96.0%	144,949,251	1.2%	331,070,577	2.8%	Poll	F+A+AB

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
8.D	Y	Pass	No	For	6.6%	11,133,341,098	93.4%	327,412,381	2.7%	458,317,435	3.8%	Poll	F+A+AB
8.E	Υ	Pass	No	For	4.2%	11,416,431,962	95.8%	156,400,219	1.3%	344,485,231	2.9%	Poll	F+A+AB
8.F	Υ	Pass	No	For	14.5%	10,193,385,775	85.5%	1,389,271,674	11.7%	336,389,901	2.8%	Poll	F+A+AB
9	Υ	Pass	No	For	3.1%	11,554,161,776	96.9%	36,944,457	0.3%	328,619,423	2.8%	Poll	F+A+AB

#### Telefonica SA

Meeting Date: 04/07/2022

Country: Spain

Ticker: TEF

Proxy Level: N/A

**Record Date:** 04/01/2022

Meeting Type: Annual

Meeting ID: 1616104

Primary SEDOL: 5732524

Primary Security ID: 879382109

**Primary CUSIP: 879382109** 

Primary ISIN: ES0178430E18

Earliest Cutoff Date: 04/05/2022 Votable Shares: 9,500,000

4.2

Total Ballots: 1

Voting Policy: ISS

Shares Voted: 9,500,000

**Additional Policy:** 

Votable Sh	nares: 9,500,000	*Shares on Loan: 0			Shares In	structed: 9,50	00,000		Shares Voted	: 9,500,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Approve Consolidated and Standalone Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
1.2		Approve Non-Financial Information Statement	Mgmt	Yes	For	For		For	For	No	No	No
1.3		Approve Discharge of Board	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Allocation of Income	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these iter and proposed dividend.	ns is warranted	due to a lac	ck of concern	ns about the	proposed alloc	cation of inc	ome			
		Blended Rationale: A vote FOR these items is proposed dividend.	warranted due	to a lack of	concerns ab	out the prop	osed allocation	of income	and			
3		Renew Appointment of PricewaterhouseCoopers as Auditor	Mgmt	Yes	For	For		For	For	No	No	No
4.1		Reelect Jose Maria Abril Perez as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 4.3 nominees. A vote FOR the reelection of execu Mancho under Items 4.1 and 4.4 is warranted Spain-incorporated, non-controlled companies	tive director Vil , as (i) the boa ;; (ii) the compo	la Boix under rd meets the osition of the	r Item 4.2 a e 50 percent e audit, and	nd NI-NEDs A independend remuneration	Abril-Perez and Ce guideline ap	d De Paz oplicable to				

also meet the independence guidelines; and (iii) women representation is above 30 percent.

Blended Rationale: A vote FOR Items 4.3 and 4.5 is warranted due to a lack of concerns about the independent director nominees. A vote FOR the reelection of executive director Vila Boix under Item 4.2 and NI-NEDs Abril-Perez and De Paz Mancho under Items 4.1 and 4.4 is warranted, as (i) the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies; (ii) the composition of the audit, and remuneration and nomination committees also meet the independence guidelines; and (iii) women representation is above 30 percent.

Mgmt

Reelect Angel Vila Boix as Director

No

No

No

Voting Policy Rationale: A vote FOR Items 4.3 and 4.5 is warranted due to a lack of concerns about the independent director nominees. A vote FOR the reelection of executive director Vila Boix under Item 4.2 and NI-NEDs Abril-Perez and De Paz Mancho under Items 4.1 and 4.4 is warranted, as (i) the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies; (ii) the composition of the audit, and remuneration and nomination committees also meet the independence guidelines; and (iii) women representation is above 30 percent.

Blended Rationale: A vote FOR Items 4.3 and 4.5 is warranted due to a lack of concerns about the independent director nominees. A vote FOR the reelection of executive director Vila Boix under Item 4.2 and NI-NEDs Abril-Perez and De Paz Mancho under Items 4.1 and 4.4 is warranted, as (i) the board meets the 50 percent independence guideline applicable to Spain-incorporated, non-controlled companies; (ii) the composition of the audit, and remuneration and nomination committees also meet the independence guidelines; and (iii) women representation is above 30 percent.

### **Telefonica SA**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4.3		Reelect Maria Luisa Garcia Blanco as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 4.3 a nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii	ive director Vilo as (i) the boal (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 ai 50 percent audit, and	nd NI-NEDs A independend remuneration	Abril-Perez and Se guideline ap	De Paz Oplicable to				
		Blended Rationale: A vote FOR Items 4.3 and 4 nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii.	ive director Vilo as (i) the boal (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 ai 50 percent audit, and	nd NI-NEDs A independend remuneration	Abril-Perez and ce guideline ap	De Paz Oplicable to	es			
4.4		Reelect Francisco Javier de Paz Mancho as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 4.3 a nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii	ive director Vilo as (i) the boal (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 ai 50 percent audit, and	nd NI-NEDs A independend remuneration	Abril-Perez and ce guideline ap	De Paz pplicable to				
		Blended Rationale: A vote FOR Items 4.3 and 4 nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii.	ive director Vilo as (i) the boal (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 ai 50 percent audit, and	nd NI-NEDs A independend remuneration	Abril-Perez and ce guideline ap	De Paz pplicable to	es			
4.5		Ratify Appointment of and Elect Maria Rotondo Urcola as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR Items 4.3 a nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii	ive director Vilo as (i) the boal (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 ai 50 percent audit, and	nd NI-NEDs A independend remuneration	Abril-Perez and ce guideline ap	De Paz Oplicable to				
		Blended Rationale: A vote FOR Items 4.3 and 4 nominees. A vote FOR the reelection of executi Mancho under Items 4.1 and 4.4 is warranted, Spain-incorporated, non-controlled companies; also meet the independence guidelines; and (iii.	ive director Vilo as (i) the boal (ii) the compo	a Boix under rd meets the esition of the	Item 4.2 ai 50 percent audit, and	nd NI-NEDs A independend remuneration	Abril-Perez and ce guideline ap	De Paz Oplicable to	es			
5		Fix Number of Directors at 15	Mgmt	Yes	For	For		For	For	No	No	No
6		Approve Reduction in Share Capital via Amortization of Treasury Shares	Mgmt	Yes	For	For		For	For	No	No	No
7.1		Approve Scrip Dividends	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items and proposed dividend.	s is warranted	due to a lac	k of concerr	ns about the ,	proposed alloc	ation of inco	me			
		Blended Rationale: A vote FOR these items is w proposed dividend.	varranted due	to a lack of o	concerns ab	out the propo	osed allocation	of income a	nnd			
7.2		Approve Dividends Charged Against Unrestricted Reserves	Mgmt	Yes	For	For		For	For	No	No	No
8		Approve Share Matching Plan	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	Yes	For	For		For	For	No	No	No

#### **Telefonica SA**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS	
10		Advisory Vote on Remuneration Report	Mgmt	Yes	For	Against		Against	Against	Yes	No	No	_

Voting Policy Rationale: A vote AGAINST this item is warranted because: \* Telefonica completed two significant transactions in 2021: the O2/Virgin joint-venture and Telxius' telecommunications towers division sale (see Material Company Updates section) for which the board rewarded both executive directors with one-off awards at maximum opportunity of 100 percent of salary. The board also considered Telefonica's outperforming the local index and sector peers in 2021. \* Telefonica is the sole European telco to have a remuneration policy provision allowing for the granting of one-off awards — Deutsche Telekom's supervisory board proposes to eliminate a similar clause at the company's 2022 AGM. \* Excluding this one-off award opportunity, the remuneration arrangements with management already are one of the most competitive among European peers. \* Without downplaying the significance of these two transactions, these one-off awards have further misaligned executive pay with company performance in comparison with peers, including 2021's 3.69x multiple of median, while management's pay package is one of the most competitive among European peers, and Telefonica has been an underperforming stock since incumbent chair/CEO took on his position in April 2016.

Blended Rationale: A vote AGAINST this item is warranted because: \* Telefonica completed two significant transactions in 2021: the O2/Virgin joint-venture and Telxius' telecommunications towers division sale (see Material Company Updates section) for which the board rewarded both executive directors with one-off awards at maximum opportunity of 100 percent of salary. The board also considered Telefonica's outperforming the local index and sector peers in 2021. \* Telefonica is the sole European telco to have a remuneration policy provision allowing for the granting of one-off awards — Deutsche Telekom's supervisory board proposes to eliminate a similar clause at the company's 2022 AGM. \* Excluding this one-off award opportunity, the remuneration arrangements with management already are one of the most competitive among European peers. \* Without downplaying the significance of these two transactions, these one-off awards have further misaligned executive pay with company performance in comparison with peers, including 2021's 3.69x multiple of median, while management's pay package is one of the most competitive among European peers, and Telefonica has been an underperforming stock since incumbent chair/CEO took on his position in April 2016.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		9,500,000	9,500,000
			03/28/2022	03/28/2022			
					: Total Shares:	9,500,000	9,500,000

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	0.7%	3,213,114,539	99.3%	3,129,690	0.1%	18,167,899	0.6%	Poll	F+A+AB
1.2	Υ	Pass	No	For	0.6%	3,215,465,017	99.4%	2,308,311	0.1%	16,638,800	0.5%	Poll	F+A+AB
1.3	Υ	Pass	No	For	1.6%	3,183,708,495	98.4%	30,773,382	1.0%	19,930,251	0.6%	Poll	F+A+AB
2	Υ	Pass	No	For	1.0%	3,202,319,417	99.0%	15,540,639	0.5%	16,552,072	0.5%	Poll	F+A+AB
3	Υ	Pass	No	For	0.8%	3,207,048,660	99.2%	3,549,251	0.1%	23,814,217	0.7%	Poll	F+A+AB
4.1	Υ	Pass	No	For	3.9%	3,108,722,304	96.1%	80,259,670	2.5%	45,430,154	1.4%	Poll	F+A+AB
4.2	Υ	Pass	No	For	2.9%	3,141,120,768	97.1%	47,831,574	1.5%	45,459,786	1.4%	Poll	F+A+AB
4.3	Υ	Pass	No	For	11.2%	2,872,990,677	88.8%	339,287,842	10.5%	22,133,609	0.7%	Poll	F+A+AB
4.4	Υ	Pass	No	For	16.5%	2,699,492,991	83.5%	489,079,559	15.1%	45,839,578	1.4%	Poll	F+A+AB
4.5	Υ	Pass	No	For	2.2%	3,164,379,796	97.8%	24,672,481	0.8%	45,359,851	1.4%	Poll	F+A+AB
5	Y	Pass	No	For	0.9%	3,205,540,003	99.1%	10,119,834	0.3%	18,752,291	0.6%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
6	Υ	Pass	No	For	0.6%	3,214,300,166	99.4%	3,872,764	0.1%	16,239,198	0.5%	Poll	F+A+AB
7.1	Υ	Pass	No	For	1.0%	3,203,106,528	99.0%	20,503,619	0.6%	10,801,981	0.3%	Poll	F+A+AB
7.2	Υ	Pass	No	For	0.4%	3,220,618,644	99.6%	3,286,117	0.1%	10,507,367	0.3%	Poll	F+A+AB
8	Υ	Pass	No	For	1.1%	3,199,417,436	98.9%	16,529,836	0.5%	18,464,856	0.6%	Poll	F+A+AB
9	Υ	Pass	No	For	0.6%	3,214,302,257	99.4%	3,392,461	0.1%	16,717,410	0.5%	Poll	F+A+AB
10	Υ	Pass	No	For	46.7%	1,723,151,319	53.3%	1,387,366,467	42.9%	123,894,342	3.8%	Poll	F+A+AB

# **Royal KPN NV**

Meeting Date: 04/13/2022

Earliest Cutoff Date: 04/05/2022

Record Date: 03/16/2022 Primary Security ID: N4297B146 Country: Netherlands
Meeting Type: Annual

Primary CUSIP: N4297B146

Total Ballots: 1
\*Shares on Loan: 0

Ticker: KPN

**Meeting ID:** 1596065

**Primary ISIN:** NL0000009082

Voting Policy: ISS

Proxy Level: N/A

Primary SEDOL: 5956078

Additional Policy:

Votable Si	hares: 9,740,00	0 *Shares on Loan: 0			Shares In	structed: 9,7	40,000		Shares Voted	<b>I:</b> 9,740,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Annual Meeting Agenda	Mgmt	No								
1		Open Meeting and Announcements	Mgmt	No								
2		Receive Report of Management Board (Non-Voting)	Mgmt	No								
3		Adopt Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
5		Receive Explanation on Company's Reserves and Dividend Policy	Mgmt	No								
6		Approve Dividends	Mgmt	Yes	For	For		For	For	No	No	No
7		Approve Discharge of Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR is warran controversies that the management board and					-	nd compellin	g			
		Blended Rationale: A vote FOR is warranted to controversies that the management board and			,			mpelling				
8		Approve Discharge of Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR is warran controversies that the management board and			,		_	nd compellin	g		-	
		Blended Rationale: A vote FOR is warranted be controversies that the management board and			•	_		mpelling			-	
9		Ratify Ernst & Young Accountants LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
10		Opportunity to Make Recommendations	Mgmt	No								

# **Royal KPN NV**

Proposal Significa Number Vote	nnt Proposal Text	P	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11	Elect Kitty Koelemeijer to Superv Board	risory M	1gmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote For exceeding four years;* The canda known controversy concerning the	idates appear to							no			
	Blended Rationale: A vote FOR the four years;* The candidates appears controversy concerning the candidates.	ear to possess the					•		-			
12	Elect Chantal Vergouw to Superv Board	visory N	/Igmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote For exceeding four years;* The cand known controversy concerning th	idates appear to					•		no		-	
	Blended Rationale: A vote FOR the four years; * The candidates appearant controversy concerning the candidates.	ear to possess the					,		_			
13	Announce Vacancies on the Boar	rd M	1gmt	No								
14	Discussion of Supervisory Board	Profile N	1gmt	No								
15	Authorize Repurchase of Up to 1 Percent of Issued Share Capital	0 M	1gmt	Yes	For	For		For	For	No	No	No
16	Approve Reduction in Share Cap through Cancellation of Shares	ital N	1gmt	Yes	For	For		For	For	No	No	No
17	Grant Board Authority to Issue S Up to 10 Percent of Issued Capit		1gmt	Yes	For	For		For	For	No	No	No
18	Authorize Board to Exclude Preel Rights from Share Issuances	mptive N	1gmt	Yes	For	For		For	For	No	No	No
19	Other Business (Non-Voting)	M	/lgmt	No								
20	Close Meeting	Ņ	1gmt	No								
Ballot Details												
Institutional Account De (IA Name, IA Number)	etail Custodian Account Number	Ballot Status	Instructed	l	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset		jbisset		Issuer Confirm	ed	9,740,0	000	9,740	,000

**Meeting Results** 

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	N												
2	N												
3	Y	Pass	No	For	0.0%	3,141,663,372	100.0%	19,393	0.0%	966,797	N/A	Poll	F+A
4	Υ	Pass	No	For	2.6%	3,021,301,717	97.4%	79,191,044	2.6%	42,156,553	N/A	Poll	F+A

03/28/2022

Total Shares:

9,740,000

9,740,000

03/28/2022

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
5	N												
6	Υ	Pass	No	For	0.2%	3,134,952,121	99.8%	7,573,628	0.2%	123,565	N/A	Poll	F+A
7	Υ	Pass	No	For	3.2%	3,040,033,156	96.8%	100,329,588	3.2%	2,286,570	N/A	Poll	F+A
8	Υ	Pass	No	For	3.2%	3,037,780,994	96.8%	100,329,705	3.2%	4,538,615	N/A	Poll	F+A
9	Υ	Pass	No	For	0.2%	3,130,484,760	99.8%	5,576,787	0.2%	6,587,767	N/A	Poll	F+A
10	N												
11	Υ	Pass	No	For	2.3%	3,057,187,500	97.7%	72,759,704	2.3%	12,702,110	N/A	Poll	F+A
12	Υ	Pass	No	For	0.4%	3,118,547,820	99.6%	11,398,702	0.4%	12,702,792	N/A	Poll	F+A
13	N												
14	N												
15	Υ	Pass	No	For	0.2%	3,136,869,169	99.8%	4,975,847	0.2%	804,298	N/A	Poll	F+A
16	Υ	Pass	No	For	0.0%	3,142,013,113	100.0%	513,104	0.0%	123,097	N/A	Poll	F+A
17	Υ	Pass	No	For	1.2%	3,105,622,068	98.8%	36,890,723	1.2%	136,523	N/A	Poll	F+A
18	Υ	Pass	No	For	1.5%	3,094,749,763	98.5%	47,759,154	1.5%	140,397	N/A	Poll	F+A
19	N												
20	N												

### Falabella SA

Meeting Date: 04/19/2022	Country: Chile		Ticker: F	ALABELLA			Proxy Level	: N/A		
<b>Record Date:</b> 04/11/2022	Meeting Type: Annual		Meeting	<b>ID:</b> 1630514	1					
Primary Security ID: P3880F108	Primary CUSIP: P3880F108		Primary	ISIN: CLP38	880F1085		Primary SED	<b>OL:</b> 27716	72	
Earliest Cutoff Date: 04/13/2022	Total Ballots: 1		Voting Po	licy: ISS			Additional Po	licy:		
Votable Shares: 2,170,000	*Shares on Loan: 0		Shares In	structed: 2,17	70,000		Shares Voted	2,170,000		
Proposal Significant Number Vote Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
1		Approve Annual Report	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these routine proposals is warranted because: * Audited financials are available for FY 2021, and there are no independent auditor qualifications; and * There are no known concerns regarding the company's financial statements or audit procedures.												
	Blended Rationale: A vote FOR these routine proposals is warranted because: * Audited financials are available for FY 2021, and there are no independent auditor qualifications; and * There are no known concerns regarding the company's financial statements or audit procedures.													
2		Approve Consolidated Balance Sheet	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these rout 2021, and there are no independent auditor que financial statements or audit procedures.												

Blended Rationale: A vote FOR these routine proposals is warranted because: \* Audited financials are available for FY 2021, and there are no independent auditor qualifications; and \* There are no known concerns regarding the company's financial statements or audit procedures.

### Falabella SA

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Approve Consolidated Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these routi 2021, and there are no independent auditor que financial statements or audit procedures.										
		Blended Rationale: A vote FOR these routine parameters are no independent auditor qualificate statements or audit procedures.	•					-				
4		Approve Auditors' Report	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these routi 2021, and there are no independent auditor que financial statements or audit procedures.										
		Blended Rationale: A vote FOR these routine parand there are no independent auditor qualificate statements or audit procedures.										
5		Approve Dividends of CLP 65 Per Share	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item. 30-100 percent range of net income.	s is warranted	because the	company's	proposed pa	yout falls with	in a reasonal	ble			
		Blended Rationale: A vote FOR these items is w 30-100 percent range of net income.	varranted beca	ause the con	npany's prop	osed payout	falls within a i	reasonable				
6		Approve Allocation of Income Which are no Distributable to Shareholders	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item. 30-100 percent range of net income.	s is warranted	because the	company's	proposed pa	yout falls with	in a reasonal	ble			
		Blended Rationale: A vote FOR these items is w 30-100 percent range of net income.	varranted beca	ause the con	npany's prop	osed payout	falls within a i	reasonable				
7		Approve Dividend Policy	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item. 30-100 percent range of net income.	s is warranted	because the	company's	proposed pa	yout falls with	in a reasonai	ble			
		Blended Rationale: A vote FOR these items is w 30-100 percent range of net income.	varranted beca	ause the con	npany's prop	osed payout	falls within a i	reasonable				
8		Approve Remuneration of Directors	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these requ practices; and * The setting of the budget of the					concerns over	director pay				
		Blended Rationale: A vote FOR these requests practices; and * The setting of the budget of the					erns over direc	tor pay				
9		Appoint Auditors	Mgmt	Yes	For	For		For	For	No	No	No
10		Designate Risk Assessment Companies	Mgmt	Yes	For	For		For	For	No	No	No
11		Receive Report Regarding Related-Party Transactions	Mgmt	Yes	For	For		For	For	No	No	No
12		Receive Directors Committee's Report	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these routi 2021, and there are no independent auditor qu financial statements or audit procedures.										
		Blended Rationale: A vote FOR these routine parameter and there are no independent auditor qualificate statements or audit procedures.	•					-			-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### Falabella SA

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
13		Approve Remuneration of Directors' Committee	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these requipractices; and * The setting of the budget of the					concerns over o	director pay					
		Blended Rationale: A vote FOR these requests practices; and * The setting of the budget of the					erns over direct	tor pay					
14		Approve Budget of Directors' Committee	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR these requipractices; and * The setting of the budget of the					concerns over (	director pay					
		Blended Rationale: A vote FOR these requests is warranted because: * There are no known concerns over director pay practices; and * The setting of the budget of the Directors' Committee is a routine request.											
15		Designate Newspaper to Publish Announcements	Mgmt	Yes	For	For		For	For	No	No	No	
Ballot Deta	nils												
Institutional	Account Detail	Custodian	Instructed	•	Annrovo		Pallet Veting	Status	Votable S	haras	Charac	Votod	

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	Auto-Instructed	Auto-Approved		2,170,000	2,170,000
			04/12/2022	04/12/2022			
					Total Shares:	2,170,000	2,170,000

### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AE
2	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AE
3	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+Al
4	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+Al
5	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+Al
6	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+A
7	Y	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+A
8	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+A
9	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+A
10	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+Al
11	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+A
12	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+A
13	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+A
14	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+A
15	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AE

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Newmont Corporation**

Meeting Date: 04/21/2022

**Record Date:** 02/22/2022 **Primary Security ID:** 651639106

Country: USA

Meeting Type: Annual

**Primary CUSIP:** 651639106

Ticker: NEM

**Meeting ID:** 1616797

Shares Instructed: 600,000

**Primary ISIN:** US6516391066

Proxy Level: 3

Primary SEDOL: 2636607

Earliest Cutoff Date: 04/20/2022 Votable Shares: 600,000 Total Ballots: 1
\*Shares on Loan: 0

Voting Policy: ISS

Additional Policy: Shares Voted: 600,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Patrick G. Awuah, Jr.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	ector nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director	nominees is wari	ranted.							-	
1.2		Elect Director Gregory H. Boyce	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is wari	ranted.							-	
1.3		Elect Director Bruce R. Brook	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is wari	ranted.							-	
1.4		Elect Director Maura Clark	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warr	ranted.							-	
1.5		Elect Director Emma FitzGerald	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warr	ranted.							-	
1.6		Elect Director Mary A. Laschinger	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is warr	ranted.								
1.7		Elect Director Jose Manuel Madero	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	nominees is wari	ranted.							-	
1.8		Elect Director Rene Medori	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	ector nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director	nominees is warr	ranted.							-	
1.9		Elect Director Jane Nelson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	ector nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director	nominees is warr	ranted.								
1.10		Elect Director Thomas Palmer	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	ector nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director	nominees is warr	ranted.							-	

# **Newmont Corporation**

Proposal Signi Number Vote	ficant	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1.11		Elect Director Julio M. Quinta	ina	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vot	e FOR the directo	or nominees is	warranted.									
		Blended Rationale: A vote FO.	R the director no	minees is warr	anted.									
1.12		Elect Director Susan N. Story		Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vot	ting Policy Rationale: A vote FOR the director nominees is warranted.											
		Blended Rationale: A vote FO	R the director no	minees is warr	anted.									
2		Advisory Vote to Ratify Name Executive Officers' Compensa		Mgmt	Yes	For	For		For	For	No	No	No	
3		Ratify Ernst & Young LLP as a	Auditors	Mgmt	Yes	For	For		For	For	No	No	No	
<b>Ballot Details</b>														
Institutional Accoun (IA Name, IA Numbe		Custodian Account Number	Ballot Status	Instructe	d	Appro	ved	Ballot Voting	Status	Votable S	hares	Shares	Voted	
PIC_RTRI, PIC_RTRI		282301.001	Confirmed	jbisset		jbisset				600,0	00	600,	,000	
				04/13/202	2	04/13/2	2022							
									Total Shares	600,0	100	600,	000	

#### **Meeting Results**

Outstanding Shares Voted: 792,549,477 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Υ	Pass	No	For	0.2%	592,096,028	99.8%	1,424,621	0.2%	469,054	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	0.7%	589,483,457	99.3%	4,027,154	0.7%	479,092	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	7.2%	549,835,993	92.8%	42,840,764	7.2%	1,312,946	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	0.4%	590,905,783	99.6%	2,617,030	0.4%	466,890	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	0.2%	592,215,792	99.8%	1,318,049	0.2%	455,862	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	0.4%	590,917,906	99.6%	2,606,209	0.4%	465,588	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	0.2%	592,144,098	99.8%	1,372,496	0.2%	473,109	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	1.3%	585,721,607	98.7%	7,791,241	1.3%	476,855	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	1.2%	586,259,612	98.8%	7,274,012	1.2%	456,079	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	0.2%	592,270,080	99.8%	1,239,531	0.2%	480,092	N/A	Proxy	F+A
1.11	Υ	Pass	No	For	2.3%	578,913,632	97.7%	13,916,305	2.3%	1,159,766	N/A	Proxy	F+A
1.12	Υ	Pass	No	For	0.2%	592,216,015	99.8%	1,314,662	0.2%	459,026	N/A	Proxy	F+A
2	Υ	Pass	No	For	7.5%	549,250,895	92.5%	43,518,911	7.3%	1,219,897	0.2%	Proxy	F+A+A
3	Υ	Pass	No	For	0.7%	638,414,009	99.3%	3,662,011	0.6%	616,665	0.1%	Proxy	F+A+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Meeting Date: 04/25/2022 Record Date: 04/21/2022 Country: France

Ticker: VIV

Proxy Level: N/A

Primary Security ID: F97982106

Meeting Type: Annual/Special Primary CUSIP: F97982106 **Meeting ID:** 1621360

**Primary ISIN:** FR0000127771

Primary SEDOL: 4834777

**Earliest Cutoff Date:** 04/20/2022 **Votable Shares:** 626,300

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

\*Shares on Loan: 0 Shares Instructed: 626,300 Shares Voted: 626,300

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
		Ordinary Business	Mgmt	No									
1		Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: Votes FOR the approva and lack of concerns.	l of the annual	l accounts ai	re warranted	due to the u	ınqualified aud	litors' opiniol	7				
		Blended Rationale: Votes FOR the approval of to lack of concerns.	he annual acco	ounts are wa	arranted due	to the unqua	alified auditors	' opinion and	1				
2		Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: Votes FOR the approva	l of the annual	l accounts ar	re warranted	due to the u	ınqualified aud	litors' opinioi	7				
		Blended Rationale: Votes FOR the approval of to lack of concerns.	he annual acco	ounts are wa	arranted due	to the unqua	alified auditors	' opinion and	1				
3		Approve Auditors' Special Report on Related-Party Transactions	Mgmt	Yes	For	For		For	For	No	No	No	
4		Approve Allocation of Income and Dividends of EUR 0.25 per Share	Mgmt	Yes	For	For		For	For	No	No	No	
5		Approve Compensation Report of Corporate Officers	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes	
		Blended Rationale: A vote AGAINST the global is maintaining an employment contract as advisor equivalent to management board members; and matter.	of the manage	ement board	d chairman, i	ncluding a re	emuneration pa	ackage					
6		Approve Compensation of Yannick Bollore, Chairman of the Supervisory Board	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes	
		Voting Policy Rationale: A vote AGAINST this re of the group by ISS due to the level and structu management board members. His remuneration on his short-term variable remuneration as chair rationale; and * Despite not being granted perforpayment considered a replacement for the LTIF	ire of his remu n report falls si irman and CEC iormance share	neration, wh hort of good of Havas is as for the yea	hich are com   market prac   very limited     ar under revi	parable to th tice because : * One of th iew, Mr. Bolld	nose of Vivendi notably as: * ne one-off awa ore received ai	i's Information rds lacks n exceptiona					
	Blended Rationale: A vote AGAINST this remuneration report is warranted. Yannick Bollore is considered as an executive of the group by ISS due to the level and structure of his remuneration, which are comparable to those of Vivendi's management board members. His remuneration report falls short of good market practice because notably as: * Information on his short-term variable remuneration as chairman d CEO of Havas is very limited; * One of the one-off awards lacks rationale; and * Despite not being granted performance shares for the year under review, Mr. Bollore received an exceptional payment												

considered a replacement for the LTIP, the conditions of which appear advantageous for the corporate officers.

Puyfontaine, Chairman of the Management Board

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Approve Compensation of Arnaud de	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Approve Compensation of Gilles Alix, Mgmt Yes For For For For No No No Management Board Member

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi:

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

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Member

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Approve Compensation of Cedric de Bailliencourt, Management Board	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Approve Compensation of Frederic Mgmt Yes For For For For No No No Crepin, Management Board Member

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi:

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

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Gillham, Management Board Member

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11		Approve Compensation of Simon	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \* The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Approve Compensation of Herve Mgmt Yes For For For For No No No No Philippe, Management Board Member

Voting Policy Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Blended Rationale: Votes FOR these remuneration reports of Arnaud de Puyfontaine, Gilles Alix, Frederic Crepin, Simon Gillham, Herve Philippe and Stephane Roussel are warranted (Items 7-8, 10-13) although the following concerns are raised: \*
The level of details regarding the achievement on some of the non-financial bonus criteria is limited; \* The level of stringency of the financial performance targets raises questions; and \* The one-off remuneration awarded in place of the LTIP appears advantageous for the corporate officers. The main reasons for support are: \* The transparency on the bonus performance achievement improved, \* The one-off awards remain well below the cap voted in the policy. A vote AGAINST Item 9 (Cedric de Bailliencourt) is warranted because: \* The remunerations that he receives as executives of the Bollore Group, main shareholder of Vivendi, creates an important conflict of interest. These are neither properly tackled by the company's procedures nor likely to be balanced out by the remunerations that he receives at Vivendi; and \* Given his executive functions in another listed group, the company failed to properly demonstrate the link between his activities carried out for Vivendi and the remuneration paid by Vivendi;

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
13		Approve Compensation of Stephane Roussel, Management Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remu Gillham, Herve Philippe and Stephane Roussel The level of details regarding the achievement of the financial performance targets raises que advantageous for the corporate officers. The n achievement improved, * The one-off awards of Bailliencourt) is warranted because: * The shareholder of Vivendi, creates an important of procedures nor likely to be balanced out by the in another listed group, the company failed to the remuneration paid by Vivendi;	are warranted on some of the stions; and * in the stions; and * in the stions of the s	(Items 7-8, te non-finance The one-off re for support are flow the cap to so that he rece so that he recest. These are	10-13) althorial bonus cremuneration:  **The transvoted in the eives as exemple;  **The transvoted in the eives as exemple;  **The transvoted in the eives as exemple;  **The transvoted in the	ough the follo riteria is limite on awarded in onsparency or opolicy. A vot ecutives of the operly tackle opendi; and * (	owing concerns ed; * The level n place of the L n the bonus pe te AGAINST Ite e Bollore Grou d by the comp Given his execu-	s are raised: of stringence TIP appears reformance em 9 (Cedric p, main any's utive function	y de ns			
		Blended Rationale: Votes FOR these remuneral Gillham, Herve Philippe and Stephane Roussel The level of details regarding the achievement of the financial performance targets raises que advantageous for the corporate officers. The nachievement improved, * The one-off awards is Bailliencourt) is warranted because: * The shareholder of Vivendi, creates an important of procedures nor likely to be balanced out by the in another listed group, the company failed to the remuneration paid by Vivendi;	are warranted on some of the stions; and * in the stions; and * in the stions of the stidents	(Items 7-8, te non-finance The one-off representation of the cap is that he receist. These are that he receist that he receist that he receist.	10-13) althousial bonus cremunerations: * The transvoted in the eives as exemple; eneither proceives at Vivonial bonus a	ough the follo riteria is limite on awarded in onsparency or opolicy. A vot ecutives of the operly tackle opendi; and * (	owing concerns ed; * The level n place of the L n the bonus pe te AGAINST Ite e Bollore Grou d by the comp Given his execu-	s are raised: of stringenc TIP appears rformance em 9 (Cedric o, main any's utive function	y de ns			
14		Approve Remuneration Policy of Supervisory Board Members and Chairman	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this rethe remuneration of the chairman of the super increase of the Chairman's remuneration with a non-executive members of the supervisory box	visory board, v limited rational	who is also a	n executive	of a subsidia	The lack of tra nry; * The prop performance si	osed 15%	η		-	
		Blended Rationale: A vote AGAINST this remur remuneration of the chairman of the superviso of the Chairman's remuneration with limited ra members of the supervisory board.	ry board, who	is also an ex	ecutive of a	a subsidiary;	lack of transpa * The proposed nce shares to I	d 15% increa	ase			
15		Approve Remuneration Policy of Chairman of the Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the remun as it does not raise significant concerns. A vote warranted although the following concern is ra Bollore Group, main shareholder of Vivendi, con company's procedures The main reasons for su compared to last year; and * The new LTIP str	e FOR the remonised: * The remonised: * The remonised in	uneration poi munerations tant conflict The level of ti	licy of mana that Mr. de of interest, ransparency	agement boar Bailliencourt which is not on variable	rd members (it receives as ex properly tackle remuneration i	em 16) is recutives of t ed by the	the			
		Blended Rationale: A vote FOR the remuneration does not raise significant concerns. A vote FOR although the following concern is raised: * The Group, main shareholder of Vivendi, creates an procedures The main reasons for support are: to last year; and * The new LTIP structure excepts.	R the remunera e remuneration n important con * The level of	ation policy on s that Mr. de nflict of interd transparency	f managem e Bailliencou est, which i on variable	ent board me urt receives as s not properly e remuneratio	embers (item 1 s executives of y tackled by th	6) is warran the Bollore e company's	ted		-	

Position for Four and Deart Members   May 1	Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
as dicker not raise significant concerns. A vote IRVR the commencation policy of management band markers of the Bollium contraction in about 2. The remarkers that Mr. distillurization receives a executives of the Bollium Group, main state-hilder of Virentify, credition in impactant conflict of interest, which is not appearly tacked by the company's proceeding of the security of the Company's procedure from the main resources. The result is also injusted and conflict of interest, which is not appearly tacked by the company's company by procedure of the company of the Com	16			Mgmt	Yes	For	For		For	For	No	No	No
does not naise significant concerns. A vote FOR the renumeration policy of management beauth members (filters 16) is marranted attitudy the distinguish concerns in south of the distinguish of management per southers of the Bilbire Group, main shareholder of vinevals, centers an important conflict of sterees, which is not properly tacked by the company's procedures. The main reasons for soupport are: "The elevation of transpearing or management are strongered to last year," and "I the near LTD structure excludes compensative priests between cateria."  Realisect Pulper Bernach as New Act ACMIST the neolection of Philippe Bernach is a surranted because of several and important concerns regarding the prevention of conflicts of interests in the company (filters 17). Mosts FOR the (re)elections of these independent nominees are marranted in the absence of specific concerns (filters 18 21).  Benedic Rationalie: A vote ACAMIST the recelection of Philippe Bernach is surranted because of several and important concerns reparting the prevention of conflicts of interests in the company (filters 17). Mosts FOR the (re)elections of these independent nominees are marranted in the absence of specific concerns (filters 18 21).  Benaded Rationalie: A vote ACAMIST the recelection of Philippe Bernach is surranted because of several and important concerns reparting the prevention of conflicts of interests in the company (filters 17). Mosts FOR the (re)elections of these independent nominees are assembled in the absence of specific concerns (filters 18 21).  Benaded Rationale: A vote ACAMIST the recelection of Philippe Bernach is surranted because of several and important concerns regarding the prevention of conflicts of interests in the company (filters 17). Mosts FOR the (re)elections of these independent nominees are assembled in the absence of specific concerns (filters 18 21).  Benaded Rationale: A vote ACAMIST the recelection of Philippe Bernach is surranted because of several and important concerns regarding the prevention of			as it does not raise significant concerns. A vot warranted although the following concern is ra Bollore Group, main shareholder of Vivendi, cr company's procedures The main reasons for s	re FOR the remaised: * The re reates an import rupport are: * T	uneration po munerations rtant conflict The level of t	licy of man that Mr. de of interest, ransparenc	agement boai e Bailliencourt which is not y on variable	rd members (it receives as ex properly tacklo remuneration	tem 16) is xecutives of a ed by the	the			
Supervisory Board Member			does not raise significant concerns. A vote FOI although the following concern is raised: * The Group, main shareholder of Vivendi, creates a procedures The main reasons for support are:	R the remuneration or important con the The level of	ation policy on that Mr. de nflict of inter transparency	of managen e Baillienco rest, which y on variab	nent board me urt receives a is not properl le remuneration	embers (item i s executives of y tackled by th	16) is warran f the Bollore ne company's	ted 5			
concerns regarding the prevention of conflicts of interests in the company (tem 17.). Votes FOR the (re)elections of these independent concerns regarding the prevention of conflicts of interests in the company (tem 17.). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (tems 18-21).  18 Reelect Cathia Lavson-Hall as Mgmt Yes For For For For No No No Supervisory Roard Member  Voting Policy Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (tem 17.) Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (tems 18-21).  19 Reelect Richarde: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (tem 17.) Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (tems 18-21).  19 Reelect Richarde: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (tem 17.) Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (tems 18-21).  20 Reelect Richarde: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (tem 17.) Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (tems 18-21).  21 Reelect Katie Stanton as Supervisory Mgmt Yes For For For For For No No No No Board Member  Voting Policy Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (tem 17.) Vote	17		* *	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent namineses are warranted in the absence of specific concerns (Items 18-21).  Reelect Cathia Lawson-Hall as Mgmt Yes For For For For No No No Supervisory Board Member  Voting Policy Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent namineses are warranted in the absence of specific concerns (Items 18-21).  Benefalt Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent namineses are warranted in the absence of specific concerns (Items 18-21).  Reelect Michele Reiser as Supervisory Mgmt Yes For For For For No			concerns regarding the prevention of conflicts	of interests in	the company	v (item 17).	Votes FOR ti					-	
Supervisory Board Member  Voting Policy Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Blended Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Reelect Michele Reiser as Supervisory Mgmt Yes For For For For For No			regarding the prevention of conflicts of interes	sts in the comp	any (item 17	7). Votes FC		-					
concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (items 18-21).  Blended Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Page 19  Reelect Michele Reiser as Supervisory Mgmt Yes For For For For For No	18			Mgmt	Yes	For	For		For	For	No	No	No
regarding the prevention of conflicts of interests in the company (Item 17), Votes FOR the (re)elections of these independent nomlinees are warranted in the absence of specific concerns (Items 18-21).    Reelect Mitchele Reiser as Supervisory   Mgmt   Yes   For   For   For   For   For   No   No   No   No   No   No   No			concerns regarding the prevention of conflicts	of interests in	the company	v (item 17).	Votes FOR ti		-				
Board Member  Voting Policy Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (Item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Blended Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (Item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Reelect Katle Stanton as Supervisory Mgmt Yes For For For For For No			regarding the prevention of conflicts of interes	sts in the comp	any (item 17	7). Votes FC		-					
concerns regarding the prevention of conflicts of interests in the company (Item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Blended Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (Item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Reelect Katie Stanton as Supervisory Mgmt Yes For For For For For No	19			Mgmt	Yes	For	For		For	For	No	No	No
regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Reelect Katie Stanton as Supervisory Mgmt Yes For For For For No			concerns regarding the prevention of conflicts	of interests in	the company	v (item 17).	Votes FOR ti		-				
Board Member  Voting Policy Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Blended Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Reelect Maud Fontenoy as Supervisory Mgmt Yes For For For For No			regarding the prevention of conflicts of interes	sts in the comp	any (item 17	7). Votes FC		,					
concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Blended Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Reelect Maud Fontenoy as Supervisory Mgmt Yes For For For For No	20		• •	Mgmt	Yes	For	For		For	For	No	No	No
regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  21  Reelect Maud Fontenoy as Supervisory Mgmt Yes For For For For No No No Board Member  Voting Policy Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Blended Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  22  Authorize Repurchase of Up to 10 Mgmt Yes For For For For No No No No			concerns regarding the prevention of conflicts	of interests in	the company	v (item 17).	Votes FOR ti		•				
Board Member  Voting Policy Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Blended Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  22 Authorize Repurchase of Up to 10 Mgmt Yes For For For For No No No No			regarding the prevention of conflicts of interes	sts in the comp	any (item 17	7). Votes FC							
concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  Blended Rationale: A vote AGAINST the reelection of Philippe Benacin is warranted because of several and important concerns regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  22 Authorize Repurchase of Up to 10 Mgmt Yes For For For For No No No No	21		, , ,	Mgmt	Yes	For	For		For	For	No	No	No
regarding the prevention of conflicts of interests in the company (item 17). Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 18-21).  22 Authorize Repurchase of Up to 10 Mgmt Yes For For For For No No No			concerns regarding the prevention of conflicts	of interests in	the company	v (item 17).	Votes FOR ti		-				
			regarding the prevention of conflicts of interes	sts in the comp	any (item 17	7). Votes FC						-	
	22			Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

•	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Extraordinary Business		Mgmt	No								
23		Authorize Decrease in Share Ca via Cancellation of Repurchased Shares	•	Mgmt	Yes	For	For		For	For	No	No	No
24		Authorize Specific Buyback Prog and Cancellation of Repurchase	•	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote A buyback program is in sharehold	•	roposal is warr	ranted becau	ise the comp	pany failed to	demonstrate	that this				
		Blended Rationale: A vote AGAIn program is in shareholders' inter		sal is warrante	d because th	he company	failed to den	nonstrate that	this buyback	k			
25		Authorize Capital Issuances for Employee Stock Purchase Plans		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes F	OR these items	are warranted	d as the prop	oosed author	rizations do n	ot raise conce	rns.				
		Blended Rationale: Votes FOR to	hese items are	warranted as t	the proposed	d authorizati	ons do not ra	ise concerns.					
26		Authorize Capital Issuances for Employee Stock Purchase Plans Employees of International Subsidiaries		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes F	OR these items	are warranted	d as the prop	oosed author	rizations do n	ot raise conce	rns.				
		Blended Rationale: Votes FOR to	hese items are	warranted as t	the proposed	d authorizati	ons do not ra	ise concerns.					
27		Authorize Filing of Required Documents/Other Formalities		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detai	ls												
Institutional A		Custodian Account Number	Ballot Status	Instructed	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PIC_	RTRI	282301.001	Confirmed	jbisset		jbisset				626,30	00	626,	300
				04/11/2022	2	04/11/20	22						

### **Meeting Results**

Outstanding Shares Voted: Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.0%	767,205,447	100.0%	210,677	0.0%	42,896	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	767,176,965	100.0%	211,396	0.0%	44,721	N/A	Poll	F+A
3	Y	Pass	No	For	1.4%	416,224,039	98.6%	5,869,930	1.4%	4,436,890	N/A	Poll	F+A
4	Y	Pass	No	For	0.1%	766,483,196	99.9%	913,984	0.1%	23,841	N/A	Poll	F+A
5	Y	Pass	No	For	41.0%	453,085,228	59.0%	314,260,047	41.0%	83,435	N/A	Poll	F+A
6	Υ	Pass	No	For	37.3%	481,010,383	62.7%	286,104,084	37.3%	312,355	N/A	Poll	F+A
7	Υ	Pass	No	For	22.0%	595,863,135	78.0%	167,888,789	22.0%	3,705,761	N/A	Poll	F+A
8	Υ	Pass	No	For	11.3%	677,337,642	88.7%	86,409,464	11.3%	3,712,316	N/A	Poll	F+A

**Total Shares:** 

626,300

626,300

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
9	Y	Pass	No	For	33.3%	511,771,505	66.7%	255,361,197	33.3%	326,981	N/A	Poll	F+A
10	Υ	Pass	No	For	11.3%	680,757,951	88.7%	86,370,316	11.3%	327,196	N/A	Poll	F+A
11	Υ	Pass	No	For	11.3%	680,757,133	88.7%	86,375,303	11.3%	323,846	N/A	Poll	F+A
12	Υ	Pass	No	For	11.3%	680,723,138	88.7%	86,373,721	11.3%	359,482	N/A	Poll	F+A
13	Υ	Pass	No	For	11.3%	680,723,548	88.7%	86,372,561	11.3%	358,612	N/A	Poll	F+A
14	Υ	Pass	No	For	34.8%	499,785,352	65.2%	267,263,945	34.8%	406,259	N/A	Poll	F+A
15	Υ	Pass	No	For	2.8%	745,887,120	97.2%	21,213,504	2.8%	354,232	N/A	Poll	F+A
16	Υ	Pass	No	For	3.7%	738,543,819	96.3%	28,549,176	3.7%	361,348	N/A	Poll	F+A
17	Υ	Pass	No	For	33.9%	504,641,801	66.1%	258,316,902	33.9%	4,491,848	N/A	Poll	F+A
18	Υ	Pass	No	For	0.3%	758,747,346	99.7%	2,157,758	0.3%	6,547,865	N/A	Poll	F+A
19	Y	Pass	No	For	0.3%	758,516,036	99.7%	2,382,668	0.3%	6,553,180	N/A	Poll	F+A
20	Υ	Pass	No	For	0.3%	758,764,554	99.7%	2,137,025	0.3%	6,549,354	N/A	Poll	F+A
21	Υ	Pass	No	For	1.3%	750,804,386	98.7%	10,106,762	1.3%	6,541,449	N/A	Poll	F+A
22	Υ	Pass	No	For	0.2%	765,493,338	99.8%	1,910,064	0.2%	46,361	N/A	Poll	F+A
	N												
23	Υ	Pass	No	For	0.1%	766,962,982	99.9%	424,344	0.1%	36,662	N/A	Poll	F+A
24	Υ	Pass	No	For	28.8%	542,885,120	71.2%	219,356,176	28.8%	5,181,621	N/A	Poll	F+A
25	Υ	Pass	No	For	0.4%	764,080,743	99.6%	3,277,035	0.4%	66,575	N/A	Poll	F+A
26	Y	Pass	No	For	0.6%	763,128,297	99.4%	4,241,879	0.6%	50,421	N/A	Poll	F+A
27	Υ	Pass	No	For	0.0%	767,335,754	100.0%	51,088	0.0%	29,681	N/A	Poll	F+A

Meeting Date: 04/26/2022 Record Date: 04/22/2022 Primary Security ID: F12033134 Country: France

Meeting Type: Annual/Special

Primary CUSIP: F12033134

**Earliest Cutoff Date:** 04/21/2022 **Votable Shares:** 87,600 Total Ballots: 1

\*Shares on Loan: 0

Ticker: BN

**Meeting ID:** 1613099

Shares Instructed: 87,600

Primary ISIN: FR0000120644

Voting Policy: ISS

Proxy Level: N/A

Primary SEDOL: B1Y9TB3

Additional Policy: Shares Voted: 87,600

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary Business	Mgmt	No								
1		Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.

Blended Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2		Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approva	of the annua	l accounts a	re warrante	d due to the	unqualified au	ditors' opinio	on			
		Blended Rationale: Votes FOR the approval of the lack of concerns.	the annual acc	ounts are wa	arranted due	e to the unqu	ualified auditor	s' opinion ar	nd			
3		Approve Allocation of Income and Dividends of EUR 1.94 per Share	Mgmt	Yes	For	For		For	For	No	No	No
4		Ratify Appointment of Valerie Chapoulaud-Floquet as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratifice warranted in the absence of specific concerns (warranted in the absence of concerns. (Item 5,	Items 4 and 6									
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).							in			
5		Elect Antoine de Saint-Afrique as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratifice warranted in the absence of specific concerns (warranted in the absence of concerns. (Item 5,	Items 4 and 6									
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).							in			
6		Elect Patrice Louvet as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns ( warranted in the absence of concerns. (Item 5,	Items 4 and 6									
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).				-			in			
7		Elect Geraldine Picaud as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns ( warranted in the absence of concerns. (Item 5,	Items 4 and 6			-						
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).							in			
8		Elect Susan Roberts as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the ratific warranted in the absence of specific concerns ( warranted in the absence of concerns. (Item 5)	Items 4 and 6									
		Blended Rationale: * Votes FOR the ratification the absence of specific concerns (Items 4 and absence of concerns. (Item 5).							in			
9		Renew Appointment of Ernst & Young Audit as Auditor	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR are warrant	ed because th	ere are no co	oncerns reg	arding these	proposals.					
		Blended Rationale: Votes FOR are warranted be	ecause there a	re no concei	rns regardin	g these prop	osals.					

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
10		Appoint Mazars & Associes as Auditor	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR are warra	nted because th	nere are no c	oncerns rega	arding these	proposals.					
		Blended Rationale: Votes FOR are warranted	because there a	are no conce	rns regardin	g these prop	osals.					
11		Approve Transaction with Veronique Penchienati-Bosetta	Mgmt	Yes	For	For		For	For	No	No	No
12		Approve Compensation Report of Corporate Officers	Mgmt	Yes	For	For		For	For	No	No	No
13		Approve Compensation of Veronique Penchienati-Bosseta, CEO From March 14 to September 14, 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Compensations of Ve (Item 13) A vote FOR this remuneration repo of Shane Grant, Interim vice-CEO from March warranted, although the following concerns a allocated under the vice-CEO's employment's LTI grant in units does not provide the weigh good disclosure on the elements of remunera absence of any other significant concern.	rt is warranted in 14 until Sep. 1 are raised: * The o the company ting of the attac	because it do 4, 2021 (Itel base salary considers the ched perform	oes not raise m 14) A vote r, the annual em as not su nance criteria	e any significa e FOR this re variable con ubmitted to s a. The main l	ant concern. C emuneration re mpensation and shareholders' a reasons for su	ompensation port is d the LTI are pproval. * T pport are: *	e The The			
		Blended Rationale: Compensations of Veronic, A vote FOR this remuneration report is warra Grant, Interim vice-CEO from March 14 until although the following concerns are raised: * under the vice-CEO's employment so the comin units does not provide the weighting of the disclosure on the elements of remuneration gabsence of any other significant concern.	nted because it Sep. 14, 2021 ( The base salar apany considers e attached perfo	does not rail Item 14) A v y, the annua them as not ormance crite	se any signin ote FOR this I variable co t submitted t eria. The man	ficant concert remuneration mpensation to shareholde in reasons fo	n. Compensation report is wa and the LTI ar ers' approval. or support are:	ions of Shan pranted, e allocated * The LTI gn * The good	e <sup>°</sup> ant			
14		Approve Compensation of Shane Grant, Vice-CEO From March 14 to September 14, 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Compensations of Ve (Item 13) A vote FOR this remuneration repo of Shane Grant, Interim vice-CEO from March warranted, although the following concerns a allocated under the vice-CEO's employment s LTI grant in units does not provide the weigh good disclosure on the elements of remunera absence of any other significant concern.	rt is warranted on 14 until Sep. 1 The raised: * The office of the company of the attactions of the attactions.	because it do 4, 2021 (Itel base salary considers the ched perform	oes not raise m 14) A vote r, the annual em as not su nance criteria	e any significa e FOR this re variable con ubmitted to s a. The main i	ant concern. C emuneration re mpensation and shareholders' a reasons for su	ompensation port is d the LTI are pproval. * T pport are: *	e The The			
		Blended Rationale: Compensations of Veronic, A vote FOR this remuneration report is warra. Grant, Interim vice-CEO from March 14 until although the following concerns are raised: * under the vice-CEO's employment so the comin units does not provide the weighting of the disclosure on the elements of remuneration gabsence of any other significant concern.	nted because it Sep. 14, 2021 ( The base salar apany considers a attached perfo	does not rail Item 14) A v y, the annua them as not ormance crite	ise any signin note FOR this Il variable co It submitted t Peria. The man	ficant concert remuneration impensation of to shareholder in reasons fo	n. Compensation report is wa and the LTI ar ers' approval. a or support are:	ions of Shan prranted, e allocated * The LTI gr * The good	e ´ ant			
15		Approve Compensation of Antoine de Saint-Afrique, CEO Since 15 September 2021	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve Compensation of Gilles Schnepp, Chairman of the Board Since 14 March 2021	Mgmt	Yes	For	For		For	For	No	No	No
17		Approve Remuneration Policy of Executive Corporate Officers	Mgmt	Yes	For	For		For	For	No	No	No
18		Approve Remuneration Policy of Chairman of the Board	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
19		Approve Remuneration Policy of Directors	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No
		Extraordinary Business	Mgmt	No								
21		Authorize Capital Issuances for Use in Employee Stock Purchase Plans Reserved for Employees of International Subsidiaries	Mgmt	Yes	For	For		For	For	No	No	No
22		Authorize up to 0.5 Percent of Issued Capital for Use in Restricted Stock Plans with Performance Conditions Attached	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorize up to 0.2 Percent of Issued Capital for Use in Restricted Stock Plans	Mgmt	Yes	For	For		For	For	No	No	No
24		Amend Article 19.2 of Bylaws Re: Age Limit of CEO and Vice-CEO	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Items 24 to CEO, deputy CEO and the chairman (Items 24- time, the proposal is in line with legal requirem	·25). * Even th	ough directo	ors' sharehol	ding would b	ne reduced and					
time, the proposal is in line with legal requirements and does not negatively impact shareholders (Item 26).  Blended Rationale: Votes FOR Items 24 to 26 are warranted as * the proposal would relax the statutory age limit for the CEO deputy CEO and the chairman (Items 24-25). * Even though directors' shareholding would be reduced and adjusted over tin the proposal is in line with legal requirements and does not negatively impact shareholders (Item 26).												
25		Amend Article 18.1 of Bylaws Re: Age Limit of Chairman of the Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Items 24 to CEO, deputy CEO and the chairman (Items 24- time, the proposal is in line with legal requirem	·25). * Even th	ough directo	ors' sharehol	ding would b	ne reduced and					
		Blended Rationale: Votes FOR Items 24 to 26 a deputy CEO and the chairman (Items 24-25). the proposal is in line with legal requirements a	* Even though	directors' sh	nareholding v	vould be red	uced and adjus				-	
26		Amend Article 17 of Bylaws Re: Shares Held by Directors	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Items 24 to CEO, deputy CEO and the chairman (Items 24- time, the proposal is in line with legal requirem	·25). * Even th	nough directo	ors' sharehol	ding would b	ne reduced and					
		Blended Rationale: Votes FOR Items 24 to 26 a deputy CEO and the chairman (Items 24-25). the proposal is in line with legal requirements a	* Even though	directors' sh	nareholding v	vould be red	uced and adjus					
27		Authorize Filing of Required Documents/Other Formalities	Mgmt	Yes	For	For		For	For	No	No	No
		Shareholder Proposals Submitted by Phitrust	Mgmt	No								
Α		Amend Article 18 of Bylaws: Role of Honorary Chairman	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR Item A is we the role and the powers of the honorary chairn specific duties towards the company and the si	nan. They have						/		_	
		Blended Rationale: A vote FOR Item A is warra role and the powers of the honorary chairman.	They have to		-				-		-	

duties towards the company and the shareholders.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		87,600	87,600
			04/12/2022	04/12/2022			
					Total Shares:	87,600	87,600

### **Meeting Results**

Outstanding Shares Voted: 663,177,179

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.0%	447,122,559	100.0%	27,866	0.0%	1,851,467	N/A	Poll	F+A
2	Y	Pass	No	For	0.0%	447,106,496	100.0%	28,206	0.0%	1,867,190	N/A	Poll	F+A
3	Y	Pass	No	For	0.5%	446,539,129	99.5%	2,372,609	0.5%	90,154	N/A	Poll	F+A
4	Υ	Pass	No	For	0.2%	447,930,419	99.8%	984,203	0.2%	87,270	N/A	Poll	F+A
5	Y	Pass	No	For	6.0%	421,867,296	94.0%	26,852,733	6.0%	281,863	N/A	Poll	F+A
6	Υ	Pass	No	For	0.2%	447,835,749	99.8%	1,064,201	0.2%	101,942	N/A	Poll	F+A
7	Υ	Pass	No	For	24.9%	336,571,630	75.1%	111,811,191	24.9%	619,071	N/A	Poll	F+A
8	Y	Pass	No	For	0.1%	448,418,755	99.9%	496,750	0.1%	86,387	N/A	Poll	F+A
9	Υ	Pass	No	For	3.1%	435,210,664	96.9%	13,703,938	3.1%	87,290	N/A	Poll	F+A
10	Υ	Pass	No	For	0.1%	448,379,756	99.9%	531,048	0.1%	91,088	N/A	Poll	F+A
11	Υ	Pass	No	For	0.0%	448,121,266	100.0%	116,993	0.0%	746,740	N/A	Poll	F+A
12	Υ	Pass	No	For	3.4%	415,120,237	96.6%	14,743,884	3.4%	19,137,771	N/A	Poll	F+A
13	Y	Pass	No	For	3.8%	431,501,726	96.2%	17,174,279	3.8%	325,887	N/A	Poll	F+A
14	Y	Pass	No	For	2.9%	435,800,825	97.1%	12,822,354	2.9%	378,713	N/A	Poll	F+A
15	Υ	Pass	No	For	5.4%	424,266,734	94.6%	24,281,413	5.4%	453,745	N/A	Poll	F+A
16	Y	Pass	No	For	0.1%	429,988,418	99.9%	404,795	0.1%	18,608,679	N/A	Poll	F+A
17	Υ	Pass	No	For	13.6%	368,249,791	86.4%	58,055,061	13.6%	22,697,040	N/A	Poll	F+A
18	Y	Pass	No	For	0.7%	427,365,769	99.3%	3,006,130	0.7%	18,629,993	N/A	Poll	F+A
19	Y	Pass	No	For	0.1%	446,786,781	99.9%	571,882	0.1%	1,643,229	N/A	Poll	F+A
20	Υ	Pass	No	For	5.4%	424,392,954	94.6%	24,126,255	5.4%	482,683	N/A	Poll	F+A
	N												
21	Υ	Pass	No	For	1.0%	444,484,394	99.0%	4,371,804	1.0%	94,313	N/A	Poll	F+A
22	Υ	Pass	No	For	6.9%	417,778,915	93.1%	31,041,868	6.9%	129,728	N/A	Poll	F+A
23	Y	Pass	No	For	5.5%	423,658,540	94.5%	24,602,288	5.5%	689,683	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
24	Υ	Pass	No	For	2.1%	439,368,018	97.9%	9,453,182	2.1%	129,311	N/A	Poll	F+A
25	Υ	Pass	No	For	0.7%	445,704,442	99.3%	3,129,187	0.7%	116,882	N/A	Poll	F+A
26	Υ	Pass	No	For	1.0%	444,100,190	99.0%	4,383,987	1.0%	466,334	N/A	Poll	F+A
27	Υ	Pass	No	For	0.0%	448,871,302	100.0%	40,013	0.0%	90,577	N/A	Poll	F+A
	N												
Α	Υ	Fail	No	Against	59.3%	258,799,793	59.3%	177,370,368	40.7%	12,064,145	N/A	Poll	F+A

# **Cigna Corporation**

Meeting Date: 04/27/2022

Country: USA

Ticker: CI

Proxy Level: 3

**Record Date:** 03/08/2022 Primary Security ID: 125523100 Meeting Type: Annual **Primary CUSIP:** 125523100

**Meeting ID:** 1622897

**Primary ISIN:** US1255231003

Primary SEDOL: BHJ0775

Earliest Cutoff Date: 04/26/2022

Voting Policy: ISS

Additional Policy: Shares Voted: 270,400

Total Ballots: 1 Votable Shares: 270,400 \*Shares on Loan: 0 Shares Instructed: 270,400

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director David M. Cordani	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1b		Elect Director William J. DeLaney	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1c		Elect Director Eric J. Foss	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1d		Elect Director Elder Granger	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1e		Elect Director Neesha Hathi	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1f		Elect Director George Kurian	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1g		Elect Director Kathleen M. Mazzarella	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								

# **Cigna Corporation**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1h		Elect Director Mark B. McClellan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
1i		Elect Director Kimberly A. Ross	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
1j		Elect Director Eric C. Wiseman	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	ranted.								
1k		Elect Director Donna F. Zarcone	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director no	minees is warı	ranted.							-	
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proporties special meeting right more easily exercisate							e			
		Blended Rationale: A vote FOR this proposal is special meeting right more easily exercisable b	-		•				•			
5		Report on Gender Pay Gap	SH	Yes	Against	Against		Against	Against	No	No	No
6		Report on Congruency of Political Spending with Company Values and Priorities	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proportion policy statements and its direct and indirect pushareholders to have a more comprehensive usactivities conducted by its partners.	olitical contribu	tions and no	nprofit orga	nization parti	icipation would	d enable	ic		_	
		Blended Rationale: A vote FOR this proposal is statements and its direct and indirect political to have a more comprehensive understanding conducted by its partners.	contributions a	and nonprofit	t organizatio	n participatio	on would enab	le sharehold			_	

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		270,400	270,400
			04/20/2022	04/20/2022			
					Total Shares:	270,400	270,400

### **Meeting Results**

Outstanding Shares Voted: 318,376,760 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	7.4%	248,171,620	92.6%	19,859,521	7.4%	807,860	N/A	Proxy	F+A
1b	Υ	Pass	No	For	1.5%	264,174,478	98.5%	4,145,567	1.5%	518,956	N/A	Proxy	F+A
1c	Υ	Pass	No	For	3.0%	260,449,983	97.0%	8,084,668	3.0%	304,350	N/A	Proxy	F+A
1d	Υ	Pass	No	For	1.6%	264,089,539	98.4%	4,238,395	1.6%	511,067	N/A	Proxy	F+A
1e	Υ	Pass	No	For	0.5%	267,347,527	99.5%	1,227,622	0.5%	263,852	N/A	Proxy	F+A
<b>1</b> f	Υ	Pass	No	For	1.0%	265,808,708	99.0%	2,741,012	1.0%	289,281	N/A	Proxy	F+A
1g	Υ	Pass	No	For	3.8%	258,281,858	96.2%	10,306,788	3.8%	250,355	N/A	Proxy	F+A
1h	Υ	Pass	No	For	1.3%	264,855,469	98.7%	3,475,947	1.3%	507,585	N/A	Proxy	F+A
1i	Υ	Pass	No	For	0.6%	266,851,942	99.4%	1,739,461	0.6%	247,598	N/A	Proxy	F+A
1j	Υ	Pass	No	For	3.1%	260,098,879	96.9%	8,447,585	3.1%	292,537	N/A	Proxy	F+A
1k	Υ	Pass	No	For	4.6%	254,393,280	95.4%	12,154,207	4.6%	2,291,514	N/A	Proxy	F+A
2	Υ	Pass	No	For	17.3%	222,333,867	82.7%	45,870,501	17.1%	634,633	0.2%	Proxy	F+A+AB
3	Υ	Pass	No	For	5.5%	271,083,580	94.5%	15,415,347	5.4%	271,255	0.1%	Proxy	F+A+AB
4	Υ	Fail	No	Against	47.3%	126,313,901	47.0%	141,739,935	52.7%	785,165	0.3%	Proxy	F+A+AB
5	Υ	Fail	No	Against	33.2%	87,891,026	32.7%	179,547,902	66.8%	1,400,073	0.5%	Proxy	F+A+AB
6	Υ	Fail	No	Against	46.5%	123,785,139	46.0%	143,721,740	53.5%	1,332,122	0.5%	Proxy	F+A+AB

Meeting Date: 04/27/2022 Record Date: 04/19/2022 Primary Security ID: W9376L154 Country: Sweden
Meeting Type: Annual
Primary CUSIP: W9376L154

Ticker: SWMA
Meeting ID: 1623173

Primary ISIN: SE0015812219 Primary SEDOL: BKS8Y81

Earliest Cutoff Date: 04/19/2022

Total Ballots: 1
\*Shares on Loan: 0

Voting Policy: ISS

Additional Policy:

Proxy Level: N/A

Votable Sh	nares: 1,463,000	*Shares on Loan: 0			Shares In	structed: 1,46	53,000		Shares Voted	: 1,463,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Open Meeting; Elect Chairman of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	eting formalities	5.							-	
		Blended Rationale: These are routine meeting	formalities.									
2		Prepare and Approve List of Shareholders	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	eting formalities	5.							-	
		Blended Rationale: These are routine meeting	formalities.									
3		Designate Peter Lundkvist and Filippa Gerstadt Inspectors of Minutes of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	eting formalities	5.							-	

Blended Rationale: These are routine meeting formalities.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4		Acknowledge Proper Convening of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine meet	ting formalities	5.								
		Blended Rationale: These are routine meeting t	formalities.									
5		Approve Agenda of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine meet	ting formalities	5.								
		Blended Rationale: These are routine meeting t	formalities.									
6		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
7		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
8		Approve Allocation of Income and Dividends of SEK 1.86 Per Share	Mgmt	Yes	For	For		For	For	No	No	No
9.a		Approve Discharge of Charles A. Blixt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these proportion fulfilled their fiduciary duties.	osals is warrar	nted as there	e is no evidei	nce that the	board director:	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	is warranted a	as there is no	o evidence t	hat the board	d directors hav	e not fulfille	d			
9.b		Approve Discharge of Andrew Cripps	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propo- fulfilled their fiduciary duties.	osals is warrar	nted as there	e is no evidei	nce that the	board directors	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	is warranted a	as there is no	o evidence t	hat the board	d directors hav	e not fulfille	d			
9.c		Approve Discharge of Jacqueline Hoogerbrugge	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these proportion fulfilled their fiduciary duties.	osals is warrar	nted as there	is no evidei	nce that the	board directors	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	is warranted a	as there is no	o evidence t	hat the board	d directors hav	e not fulfille	d			
9.d		Approve Discharge of Conny Carlsson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these property fulfilled their fiduciary duties.										
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	is warranted a	as there is no	o evidence t	hat the boan	d directors hav	e not fulfille	d			
9.e		Approve Discharge of Alexander Lacik	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these proportion fulfilled their fiduciary duties.	osals is warrar	nted as there	is no evidei	nce that the	board directors	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	is warranted a	as there is n	o evidence t	hat the board	d directors hav	e not fulfille	d			
9.f		Approve Discharge of Pauline Lindwall	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these proportion	osals is warrar	nted as there	e is no evidei	nce that the	board directors	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	is warranted a	as there is no	o evidence t	hat the board	d directors hav	e not fulfille	d			

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9.g		Approve Discharge of Wenche Rolfsen	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these profulfilled their fiduciary duties.	posals is warra	inted as there	e is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposatheir fiduciary duties.	ls is warranted	as there is r	o evidence	that the boai	rd directors ha	ve not fulfille	ed			
9.h		Approve Discharge of Joakim Westh	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these profulfilled their fiduciary duties.	posals is warra	nted as there	e is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposatheir fiduciary duties.	ls is warranted	as there is r	o evidence	that the boa	rd directors ha	ve not fulfille	ed			
9.i		Approve Discharge of Patrik Engelbrektsson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these profulfilled their fiduciary duties.	posals is warra	nted as there	e is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposatheir fiduciary duties.	ls is warranted	as there is r	o evidence	that the boa	rd directors ha	ve not fulfille	ed			
9.j		Approve Discharge of Par-Ola Olausson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these profulfilled their fiduciary duties.	posals is warra	inted as there	e is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposatheir fiduciary duties.	ls is warranted	as there is r	o evidence	that the boa	rd directors ha	ve not fulfille	ed			
9.k		Approve Discharge of Dragan Popovic	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these profulfilled their fiduciary duties.	posals is warra	inted as there	e is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposatheir fiduciary duties.	ls is warranted	as there is r	o evidence	that the boa	rd directors ha	ve not fulfille	ed			
9.1		Approve Discharge of CEO Lars Dahlgren	Mgmt	Yes	For	For		For	For	No	No	No
10		Determine Number of Members (7) and Deputy Members (0) of Board	Mgmt	Yes	For	For		For	For	No	No	No
11		Approve Remuneration of Directors in the Amount of SEK 2.36 million to Chair and SEK 945,000 to Other Directors; Approve Remuneration for Committee Work	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this relation to comparable domestic peers.	item is warrant	ted, as the pi	roposed dire	ector fees car	n be considered	d excessive i	in		-	
		Blended Rationale: A vote AGAINST this item relation to comparable domestic peers.	is warranted, a	as the propos	sed director	fees can be d	considered exc	essive in			-	
12.a		Reelect Charles A. Blixt as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidate Alexander Lacik (12.d), Pauline Lindwall (12.e suitability of these individuals in particular. A is overboarded.	e) and Joakim V	Vesth (12.f)	is warranted	d due to a lac	ck of concern r	egarding the	,		-	
		Blended Rationale: A vote FOR candidates, Co Alexander Lacik (12.d), Pauline Lindwall (12.6 suitability of these individuals in particular. A is overboarded.	e) and Joakim V	Vesth (12.f)	is warranted	due to a lac	ck of concern r	egarding the			_	

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12.b		Reelect Jacqueline Hoogerbrugge as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidate. Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f) i	s warranted	due to a lac	k of concern re	egarding the			-	
		Blended Rationale: A vote FOR candidates, Ch Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f) i	is warranted	due to a lac	k of concern re	egarding the			-	
12.c		Reelect Conny Carlsson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidate. Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f) i	s warranted	due to a lac	k of concern re	egarding the			-	
		Blended Rationale: A vote FOR candidates, Ch Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f) i	is warranted	due to a lac	k of concern re	egarding the				
12.d		Reelect Alexander Lacik as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidate. Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f) i	s warranted	due to a lac	k of concern re	egarding the			-	
		Blended Rationale: A vote FOR candidates, Ch Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f) i	is warranted	due to a lac	k of concern re	egarding the				
12.e		Reelect Pauline Lindwall as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidate. Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A vis overboarded.	) and Joakim V	Vesth (12.f) i	s warranted	due to a lac	k of concern re	egarding the				
		Blended Rationale: A vote FOR candidates, Ch Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f) i	is warranted	due to a lac	k of concern re	egarding the			-	
12.f		Reelect Joakim Westh as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidate. Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f) i	is warranted	due to a lac	k of concern re	egarding the	•		-	
		Blended Rationale: A vote FOR candidates, Ch Alexander Lacik (12.d), Pauline Lindwall (12.e, suitability of these individuals in particular. A v is overboarded.	) and Joakim V	Vesth (12.f) i	is warranted	due to a lac	k of concern re	egarding the			-	

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12.g		Elect Sanna Suvanto-Harsaae as New Director	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote FOR candidate Alexander Lacik (12.d), Pauline Lindwall (12. suitability of these individuals in particular. As is overboarded.	e) and Joakim V	Vesth (12.f)	is warranted	due to a lac	k of concern re	egarding the	,		-	
		Blended Rationale: A vote FOR candidates, C Alexander Lacik (12.d), Pauline Lindwall (12. suitability of these individuals in particular. A is overboarded.	e) and Joakim V	Vesth (12.f)	is warranted	due to a lac	k of concern re	egarding the			-	
12.h		Reelect Conny Karlsson as Board Chair	Mgmt	Yes	For	For		For	For	No	No	No
13		Determine Number of Auditors (1) and Deputy Auditors (0)	Mgmt	Yes	For	For		For	For	No	No	No
14		Approve Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Ratify Deloitte as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
16.a		Approve SEK 13.5 Million Reduction in Share Capital via Share Cancellation for Transfer to Unrestricted Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item balance sheet, which may also enhance retu			ation of shar	res may impro	ove the efficien	ncy of the			-	
		Blended Rationale: A vote FOR this item is w sheet, which may also enhance returns over		cancellation	of shares m	ay improve t	he efficiency o	f the balanc	e		-	
16.b		Approve Capitalization of Reserves of SEK 13.5 Million for a Bonus Issue	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item balance sheet, which may also enhance retu			ation of shar	res may impro	ove the efficier	ncy of the				
		Blended Rationale: A vote FOR this item is w sheet, which may also enhance returns over		cancellation	of shares m	ay improve t	he efficiency o	f the balanc	e			
17		Authorize Share Repurchase Program	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these provolume limit, because: * There are acceptable repurchase authorities.			,	,	•		osed			
		Blended Rationale: A vote FOR these propos volume limit, because: * There are acceptab repurchase authorities.	•	, ,		, ,						
18		Authorize Reissuance of Repurchased Shares	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these pr volume limit, because: * There are acceptab repurchase authorities.			•	-	•		osed			
		Blended Rationale: A vote FOR these propos volume limit, because: * There are acceptab repurchase authorities.	-								-	
19		Approve Issuance of Shares up to 10 Per cent of Share Capital without Preemptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ils											
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number Ballot Status	Instructe	ed	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PIC_	_RTRI	282301.001 Confirmed	jcoster		jcoster				1,463,0	000	1,463	,000

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Total Shares: 1,463,000 1,463,000

### **Meeting Results**

Outstanding Shares Voted:

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	398,763,292	100.0%	15	0.0%	306	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	398,763,613	100.0%	0	N/A	0	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	398,763,292	100.0%	15	0.0%	306	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	398,763,238	100.0%	375	0.0%	0	N/A	Poll	F+A
5	Υ	Pass	No	For	0.0%	398,763,613	100.0%	0	N/A	0	N/A	Poll	F+A
6	Υ	Pass	No	For	1.3%	385,600,106	98.7%	4,967,200	1.3%	8,196,307	N/A	Poll	F+A
7	Υ	Pass	No	For	0.1%	397,851,295	99.9%	397,165	0.1%	515,153	N/A	Poll	F+A
8	Υ	Pass	No	For	0.0%	398,214,825	100.0%	33,875	0.0%	514,913	N/A	Poll	F+A
9.a	Υ	Pass	No	For	0.5%	393,243,379	99.5%	1,945,804	0.5%	3,574,430	N/A	Poll	F+A
9.b	Υ	Pass	No	For	0.5%	393,216,784	99.5%	1,972,414	0.5%	3,574,415	N/A	Poll	F+A
9.c	Υ	Pass	No	For	0.5%	393,243,769	99.5%	1,945,429	0.5%	3,574,415	N/A	Poll	F+A
9.d	Υ	Pass	No	For	0.5%	392,916,784	99.5%	1,972,414	0.5%	3,874,415	N/A	Poll	F+A
9.e	Υ	Pass	No	For	0.5%	393,242,894	99.5%	1,945,804	0.5%	3,574,915	N/A	Poll	F+A
9.f	Υ	Pass	No	For	N/A	393,243,269	N/A	1,945,429	N/A	3,574,915	N/A	Poll	F+A
9.g	Υ	Pass	No	For	0.5%	393,243,269	99.5%	1,945,429	0.5%	3,574,915	N/A	Poll	F+A
9.h	Υ	Pass	No	For	0.5%	393,216,784	99.5%	1,972,414	0.5%	3,574,415	N/A	Poll	F+A
9.i	Υ	Pass	No	For	0.5%	393,242,894	99.5%	1,945,804	0.5%	3,574,915	N/A	Poll	F+A
9.j	Υ	Pass	No	For	0.5%	392,877,894	99.5%	1,945,804	0.5%	3,939,915	N/A	Poll	F+A
9.k	Υ	Pass	No	For	0.5%	393,243,769	99.5%	1,945,429	0.5%	3,574,415	N/A	Poll	F+A
9.1	Υ	Pass	No	For	0.5%	392,878,394	99.5%	1,945,804	0.5%	3,939,415	N/A	Poll	F+A
10	Υ	Pass	No	For	0.0%	398,248,460	100.0%	0	N/A	515,153	N/A	Poll	F+A
11	Υ	Pass	No	For	5.1%	248,315,290	94.9%	13,478,395	5.1%	15,869,928	N/A	Poll	F+A
12.a	Υ	Pass	No	For	0.3%	396,321,397	99.7%	1,161,757	0.3%	1,280,459	N/A	Poll	F+A
12.b	Υ	Pass	No	For	0.3%	396,321,772	99.7%	1,161,382	0.3%	1,280,459	N/A	Poll	F+A
12.c	Υ	Pass	No	For	9.8%	349,218,098	90.2%	38,070,174	9.8%	11,475,314	N/A	Poll	F+A
12.d	Υ	Pass	No	For	0.3%	396,321,397	99.7%	1,161,757	0.3%	1,280,459	N/A	Poll	F+A
12.e	Υ	Pass	No	For	0.3%	396,321,772	99.7%	1,161,382	0.3%	1,280,459	N/A	Poll	F+A
12.f	Υ	Pass	No	For	3.4%	375,460,344	96.6%	13,370,030	3.4%	9,933,239	N/A	Poll	F+A
12.g	Υ	Pass	No	For	67.4%	129,570,714	32.6%	267,534,795	67.4%	1,658,104	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
12.h	Y	Pass	No	For	11.3%	344,983,195	88.7%	44,072,353	11.3%	9,708,065	N/A	Poll	F+A
13	Υ	Pass	No	For	0.0%	398,248,460	100.0%	0	N/A	515,153	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	398,221,715	100.0%	26,985	0.0%	514,913	N/A	Poll	F+A
15	Υ	Pass	No	For	0.0%	398,221,850	100.0%	26,610	0.0%	515,153	N/A	Poll	F+A
16.a	Υ	Pass	No	For	0.0%	398,762,998	100.0%	615	0.0%	0	N/A	Poll	F+A
16.b	Υ	Pass	No	For	0.0%	398,755,315	100.0%	8,298	0.0%	0	N/A	Poll	F+A
17	Υ	Pass	No	For	0.0%	398,642,197	100.0%	121,416	0.0%	0	N/A	Poll	F+A
18	Υ	Pass	No	For	0.2%	391,518,412	99.8%	939,005	0.2%	6,306,196	N/A	Poll	F+A
19	Υ	Pass	No	For	0.1%	378,749,016	99.9%	366,615	0.1%	19,647,982	N/A	Poll	F+A

# **Activision Blizzard, Inc.**

Meeting Date: 04/28/2022

Earliest Cutoff Date: 04/27/2022

Votable Shares: 89,400

**Record Date:** 03/14/2022

Primary Security ID: 00507V109

Country: USA

Meeting Type: Special
Primary CUSIP: 00507V109

Total Ballots: 1

\*Shares on Loan: 0

Ticker: ATVI

**Meeting ID:** 1623585

Shares Instructed: 89,400

Primary ISIN: US00507V1098

Voting Policy: ISS

Additional Policy:

**Proxy Level:** 5

Shares Voted: 89,400

Primary SEDOL: 2575818

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Merger Agreement	Mgmt	Yes	For	For		For	For	No	No	No
2		Advisory Vote on Golden Parachutes	Mgmt	Yes	For	For		For	For	No	No	No
3		Adjourn Meeting	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		89,400	89,400
			04/25/2022	04/25/2022			

Total Shares: 89,400 89,400

### **Meeting Results**

 $\textbf{Outstanding Shares Voted:}\ 780,922,900$ 

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	1.2%	539,332,512	69.1%	8,847,849	1.1%	786,522	0.1%	Proxy	Capital Represented
2	Υ	Pass	No	For	34.9%	357,220,104	65.1%	189,741,964	34.6%	2,004,815	0.4%	Proxy	F+A+AB
3	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Proxy	F+A+AB

### **Glencore Plc**

Meeting Date: 04/28/2022 **Record Date:** 04/26/2022

Country: Jersey

Meeting Type: Annual

Ticker: GLEN Meeting ID: 1602529 Proxy Level: N/A

Primary SEDOL: B4T3BW6

Primary Security ID: G39420107

Primary CUSIP: G39420107 Total Ballots: 1

Voting Policy: ISS

Primary ISIN: JE00B4T3BW64

**Additional Policy:** 

Earliest Cutoff Date: 04/25/2022 Votable Shares: 5,000,000

\*Shares on Loan: 0

Shares Instructed: 5,000,000

**Shares Voted:** 5,000,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Reduction of Capital Contribution Reserves	Mgmt	Yes	For	For		For	For	No	No	No
3		Re-elect Kalidas Madhavpeddi as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	nificant cond	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been i	identified.					
4		Re-elect Peter Coates as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	nificant cond	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been i	identified.					
5		Re-elect Martin Gilbert as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	nificant cond	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been i	identified.					
6		Re-elect Gill Marcus as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	nificant cond	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been i	identified.					
7		Re-elect Patrice Merrin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	nificant cond	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been i	identified.					
8		Re-elect Cynthia Carroll as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	nificant cond	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been i	identified.					
9		Elect Gary Nagle as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	nificant cond	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	have been i	identified.					
10		Elect David Wormsley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.										
		Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.										
11		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
12		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No

### **Glencore Plc**

	ignificant ote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
13		Approve Climate Progress Rep	oort	Mgmt	Yes	For	Against		Against	Abstain	Yes	Yes	Yes
		Voting Policy Rationale: A vote Company's activities around the lobbying would appear to run most obstructive companies in	ermal coal, whice counter to the P	ch accounts for aris goals, as h	the majority gighlighted b	y of its Sco	pe 3 emission	s. * Further, th	ne Company's				
		Blended Rationale: A vote AGA Company's activities around the lobbying would appear to run most obstructive companies in	ermal coal, whice counter to the Pa	ch accounts for aris goals, as h	the majority	y of its Sco	pe 3 emission	s. * Further, th	ne Company's			-	
14		Approve Remuneration Repor	t	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becau	se the prop	oosed amount	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	l because th	e proposed	d amounts and	durations are	within				
16		Authorise Issue of Equity with Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.											
		Blended Rationale: A vote FOR recommended limits.	? these resolution	ns is warranted	d because th	e proposed	d amounts and	l durations are	within				
17		Authorise Issue of Equity with Pre-emptive Rights in Connec an Acquisition or Other Capita Investment	tion with	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becau.	se the prop	osed amount	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	? these resolution	ns is warranted	l because th	e proposed	d amounts and	durations are	within				
18		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
Sallot Details													
nstitutional Acc IA Name, IA Nu		Custodian Account Number	Ballot Status	Instructed	i	Appro	/ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
IC_RTRI, PIC_RTI	RI	282301.001	Confirmed	jbisset		jbisset				5,000,0	000	5,000	),000
				04/19/2022	2	04/19/2	2022						
									Total Shares:	5,000,	000	5,000	,000

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.7%	10,146,134,663	99.3%	73,987,106	0.7%	15,138,778	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	10,243,624,761	100.0%	822,027	0.0%	1,885,126	N/A	Poll	F+A
3	Υ	Pass	No	For	10.7%	9,143,353,406	89.3%	1,095,785,455	10.7%	7,193,053	N/A	Poll	F+A
4	Υ	Pass	No	For	3.8%	9,789,530,013	96.2%	388,631,549	3.8%	68,157,349	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
5	Υ	Pass	No	For	6.6%	9,563,934,786	93.4%	677,892,412	6.6%	4,504,713	N/A	Poll	F+A
6	Υ	Pass	No	For	3.0%	9,936,943,810	97.0%	307,498,751	3.0%	1,889,350	N/A	Poll	F+A
7	Υ	Pass	No	For	2.9%	9,946,311,300	97.1%	298,130,561	2.9%	1,890,050	N/A	Poll	F+A
8	Υ	Pass	No	For	7.5%	9,477,816,424	92.5%	766,628,122	7.5%	1,888,085	N/A	Poll	F+A
9	Υ	Pass	No	For	1.2%	10,122,080,650	98.8%	122,357,564	1.2%	1,893,300	N/A	Poll	F+A
10	Υ	Pass	No	For	1.3%	10,110,616,447	98.7%	133,837,346	1.3%	1,878,121	N/A	Poll	F+A
11	Υ	Pass	No	For	3.4%	9,898,006,158	96.6%	346,350,904	3.4%	1,974,762	N/A	Poll	F+A
12	Υ	Pass	No	For	0.5%	10,188,283,859	99.5%	56,143,866	0.5%	1,904,190	N/A	Poll	F+A
13	Υ	Pass	No	For	23.7%	7,744,347,077	76.3%	2,408,000,826	23.7%	93,984,011	N/A	Poll	F+A
14	Υ	Pass	No	For	6.5%	9,375,360,448	93.5%	648,848,101	6.5%	222,123,365	N/A	Poll	F+A
15	Υ	Pass	No	For	7.8%	9,447,365,741	92.2%	797,059,535	7.8%	1,906,818	N/A	Poll	F+A
16	Υ	Pass	No	For	6.9%	9,534,086,256	93.1%	710,351,736	6.9%	1,893,921	N/A	Poll	F+A
17	Υ	Pass	No	For	6.0%	9,628,310,866	94.0%	616,129,538	6.0%	1,891,510	N/A	Poll	F+A
18	Υ	Pass	No	For	3.0%	9,932,221,639	97.0%	308,917,111	3.0%	5,193,164	N/A	Poll	F+A

### **NatWest Group Plc**

Meeting Date: 04/28/2022 **Record Date:** 04/26/2022

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G6422B105

Ticker: NWG

Meeting ID: 1608486

Primary ISIN: GB00B7T77214

Proxy Level: N/A

Primary SEDOL: B7T7721

No

Nο

Nο

No

Nο

Nο

No

Nο

Nο

Earliest Cutoff Date: 04/25/2022

Votable Shares: 21,300,000

Primary Security ID: G6422B105

Total Ballots: 1

Approve Remuneration Report

Re-elect Howard Davies as Director

Approve Final Dividend

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 21,300,000

For

For

**Additional Policy:** Shares Voted: 21,300,000

For

For

For

For

For

Additional Votina Vote Vote Vote Significant Votable **Proposal** Mgmt **Policy** Policy Vote Against Against Against Number Vote **Proposal Text** Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS Accept Financial Statements and For 1 Mgmt Yes For For For Nο Nο Nο Statutory Reports Approve Remuneration Policy Mgmt No No Yes For For For No

For

For

For

Voting Policy Rationale: Items 5-7 & 9-15 A vote FOR these candidates is considered warranted as no significant concerns have been identified. Item 8 A vote AGAINST the re-election of Frank Dangeard is considered warranted: \* In addition to his role as NED of the Company, Frank Dangeard serves on boards of three other publicly listed companies, in particular, as Board Chair in two of those. This could potentially compromise his ability to commit sufficient time to his role at NatWest Group Plc.

Yes

Yes

Yes

Mgmt

Mgmt

Mgmt

Blended Rationale: Items 5-7 & 9-15 A vote FOR these candidates is considered warranted as no significant concerns have been identified. Item 8 A vote AGAINST the re-election of Frank Dangeard is considered warranted: \* In addition to his role as NED of the Company, Frank Dangeard serves on boards of three other publicly listed companies, in particular, as Board Chair in two of those. This could potentially compromise his ability to commit sufficient time to his role at NatWest Group Plc.

# **NatWest Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6		Re-elect Alison Rose-Slade as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A volume been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warranted Companies, i	d: * In addition in particular, a	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted Companies, i	d: * In addition In particular, a	n to his role s Board Chai				
7		Re-elect Katie Murray as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vote FOR these candidates is considered warranted as no significant concerns have been identified. Item 8 A vote AGAINST the re-election of Frank Dangeard is considered warranted: * In addition to his role as NED of the Company, Frank Dangeard serves on boards of three other publicly listed companies, in particular, as Board Chair in two of those. This could potentially compromise his ability to commit sufficient time to his role at NatWest Group Plc.										
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted Companies, i	d: * In addition In particular, a	n to his role s Board Chai				
8		Re-elect Frank Dangeard as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Items 5-7 & 9-15 A vote FOR these candidates is considered warranted as no significant concerns have been identified. Item 8 A vote AGAINST the re-election of Frank Dangeard is considered warranted: * In addition to his role as NED of the Company, Frank Dangeard serves on boards of three other publicly listed companies, in particular, as Board Chair in two of those. This could potentially compromise his ability to commit sufficient time to his role at NatWest Group Plc.										
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warranted Companies, i	d: * In addition in particular, a	n to his role s Board Chai				
9		Re-elect Patrick Flynn as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A volume been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromise.	election of Fra on boards of th	ank Dangear aree other pu	d is conside ublicly listea	red warranted Companies, i	d: * In addition In particular, a.	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted Companies, i	d: * In addition In particular, a	n to his role s Board Chai				
10		Re-elect Morten Friis as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vole been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted Companies, i	d: * In addition In particular, a	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warranted Companies, i	d: * In addition in particular, a	n to his role s Board Chai				
11		Re-elect Robert Gillespie as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A volume been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromise	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warranted Companies, i	d: * In addition In particular, a	n to his role s Board Chai	as			
		Blended Rationale: Items 5-7 & 9-15 A vote FC been identified. Item 8 A vote AGAINST the re- NED of the Company, Frank Dangeard serves of two of those. This could potentially compromis	election of Fra On boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warranted Companies, i	d: * In addition in particular, a	n to his role s Board Chai				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **NatWest Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12		Re-elect Yasmin Jetha as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A volume been identified. Item 8 A vote AGAINST the render of the Company, Frank Dangeard serves two of those. This could potentially compromise.	e-election of Fra on boards of th	ank Dangear aree other pu	d is conside ublicly listea	red warrante Companies, I	d: * In additio in particular, a	n to his role s Board Cha	as		-	
		Blended Rationale: Items 5-7 & 9-15 A vote For been identified. Item 8 A vote AGAINST the result of the Company, Frank Dangeard serves two of those. This could potentially compromise.	e-election of Fra on boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warrante Companies, i	d: * In additio in particular, a	n to his role s Board Cha			-	
13		Re-elect Mike Rogers as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A volume been identified. Item 8 A vote AGAINST the render of the Company, Frank Dangeard serves two of those. This could potentially compromise.	e-election of Fra on boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warrante Companies, i	d: * In additio in particular, a	n to his role s Board Cha	as			
		Blended Rationale: Items 5-7 & 9-15 A vote For been identified. Item 8 A vote AGAINST the results of the Company, Frank Dangeard serves two of those. This could potentially compromise.	e-election of Fra on boards of th	ank Dangear aree other pu	d is conside Iblicly listed	red warrante Companies, i	d: * In additio in particular, a	n to his role s Board Cha				
14		Re-elect Mark Seligman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5-7 & 9-15 A volume been identified. Item 8 A vote AGAINST the render of the Company, Frank Dangeard serves two of those. This could potentially compromistilly Blended Rationale: Items 5-7 & 9-15 A vote Fibeen identified. Item 8 A vote AGAINST the render of the policy of the service of the	e-election of Fra on boards of the se his ability to OR these candi	ank Dangear nree other pu commit suff dates is cons	d is conside Iblicly listed Icient time t Sidered warn	red warranted companies, i to his role at i ranted as no s	d: * In additio in particular, a NatWest Group significant con	n to his role s Board Cha o Plc. cerns have	as ir in		-	
45		NED of the Company, Frank Dangeard serves two of those. This could potentially compromis	on boards of th se his ability to	nree other pu commit suff	ıblicly listea îcient time t	companies, i to his role at i	in particular, a	s Board Cha o Plc.	ir in			
15		Re-elect Lena Wilson as Director	Mgmt	Yes	For	For		For	For	No	No -	No
		Voting Policy Rationale: Items 5-7 & 9-15 A vole been identified. Item 8 A vote AGAINST the re NED of the Company, Frank Dangeard serves two of those. This could potentially compromis	e-election of Fra on boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warrante Companies, i	d: * In additio in particular, a	n to his role s Board Cha	as			
		Blended Rationale: Items 5-7 & 9-15 A vote For been identified. Item 8 A vote AGAINST the result of the Company, Frank Dangeard serves two of those. This could potentially compromise.	e-election of Fra on boards of th	ank Dangear aree other pu	d is conside Iblicly listea	red warrante Companies, i	d: * In additio in particular, a	n to his role s Board Cha				
16		Reappoint Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise the Group Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
18		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	olutions is warra	anted becaus	se the propo	osed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because th	e proposed	amounts and	durations are	within				
19		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	olutions is warra	anted becaus	se the propo	osed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because th	e proposed	amounts and	durations are	within			-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **NatWest Group Plc**

	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
20		Authorise Issue of Equity without Pre-emptive Rights in Connection an Acquisition or Other Capital Investment		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FC recommended limits.	OR these resolu	utions is warra	anted becaus	se the propo	sed amounts	and durations	s are within				
		Blended Rationale: A vote FOR the recommended limits.	ese resolution.	s is warranted	l because the	e proposed a	amounts and	durations are	within				
21		Authorise Issue of Equity in Connection with Equity Convertib Notes	lle	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FC the Company were to issue Equity shares, this would result in signifi- authorities are common proposals into ordinary shares would require the Company's current position at	v Convertible I cant dilution to s at UK banks e the CET1 rat	Notes and a tr o non-participa and are intend tio to fall to a l	igger event ating shareh ded to apply level which v	were to occur olders. The in extreme	ır, causing th main reasons circumstance	nem to convert s for support a es only; and *	t into ordinal re: * Such The convers	ry ion			
		Blended Rationale: A vote FOR the Company were to issue Equity Co shares, this would result in signifi- authorities are common proposals into ordinary shares would require the Company's current position at	nvertible Note cant dilution to s at UK banks e the CET1 rat	s and a trigge o non-participa and are intend tio to fall to a l	er event were ating shareh ded to apply level which v	e to occur, colders. The in extreme	ausing them main reasons circumstance	to convert into s for support a es only; and *	o ordinary re: * Such The convers	ion			
22		Authorise Issue of Equity without Pre-emptive Rights in Connection Equity Convertible Notes		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FC the Company were to issue Equity shares, this would result in signifi- authorities are common proposals into ordinary shares would requin the Company's current position at	v Convertible I cant dilution to s at UK banks on the CET1 rate	Notes and a tro o non-participa and are intend tio to fall to a l	igger event ating shareh ded to apply level which u	were to occur olders. The in extreme	ır, causing th main reasons circumstance	nem to convert s for support a es only; and *	t into ordinal re: * Such The convers	ry ion			
		Blended Rationale: A vote FOR the Company were to issue Equity Co shares, this would result in signifi- authorities are common proposals into ordinary shares would require the Company's current position at	nvertible Note cant dilution to at UK banks e the CET1 rat	s and a trigge o non-participa and are intend tio to fall to a l	er event were ating shareh ded to apply level which v	e to occur, colders. The in extreme	ausing them main reasons circumstance	to convert into s for support a es only; and *	o ordinary re: * Such The convers	ion			
23		Authorise the Company to Call Go Meeting with Two Weeks' Notice	eneral	Mgmt	Yes	For	For		For	For	No	No	No
24		Authorise UK Political Donations a Expenditure	and	Mgmt	Yes	For	For		For	For	No	No	No
25		Authorise Market Purchase of Ord Shares	dinary	Mgmt	Yes	For	For		For	For	No	No	No
26		Authorise Off-Market Purchase of Ordinary Shares	f	Mgmt	Yes	For	For		For	For	No	No	No
27		Authorise Off-Market Purchase of Preference Shares	f	Mgmt	Yes	For	For		For	For	No	No	No
28		Approve Climate Strategy		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details	ls												
Institutional Ad (IA Name, IA N		Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PIC_R	RTRI	282301.001	Confirmed	jbisset		jbisset				21,300,	000	21,300	0,000
				04/19/2022		04/19/20	22						

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Total Shares: 21,300,000 21,300,000

### **Meeting Results**

Outstanding Shares Voted: 42,278,361,232

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	36,256,226,628	100.0%	4,270,580	0.0%	400,147,260	N/A	Poll	F+A
2	Υ	Pass	No	For	7.3%	33,883,943,928	92.7%	2,649,384,392	7.3%	126,953,196	N/A	Poll	F+A
3	Υ	Pass	No	For	1.1%	36,237,314,672	98.9%	414,528,384	1.1%	8,356,700	N/A	Poll	F+A
4	Υ	Pass	No	For	0.1%	36,636,298,020	99.9%	21,477,236	0.1%	2,497,336	N/A	Poll	F+A
5	Υ	Pass	No	For	2.2%	35,837,758,484	97.8%	817,139,400	2.2%	5,349,548	N/A	Poll	F+A
6	Υ	Pass	No	For	0.8%	36,349,901,100	99.2%	305,126,148	0.8%	5,145,304	N/A	Poll	F+A
7	Υ	Pass	No	For	0.1%	36,633,538,964	99.9%	18,950,896	0.1%	7,679,172	N/A	Poll	F+A
8	Υ	Pass	No	For	19.6%	29,459,990,820	80.4%	7,191,766,308	19.6%	8,407,008	N/A	Poll	F+A
9	Υ	Pass	No	For	0.9%	36,333,533,172	99.1%	316,877,396	0.9%	9,748,576	N/A	Poll	F+A
10	Υ	Pass	No	For	1.7%	36,043,546,816	98.3%	605,984,960	1.7%	10,641,032	N/A	Poll	F+A
11	Υ	Pass	No	For	2.3%	35,818,344,152	97.7%	833,133,592	2.3%	8,695,264	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	36,641,708,504	100.0%	10,362,796	0.0%	8,097,336	N/A	Poll	F+A
13	Υ	Pass	No	For	1.0%	36,273,002,496	99.0%	378,076,752	1.0%	9,085,040	N/A	Poll	F+A
14	Υ	Pass	No	For	1.0%	36,274,797,056	99.0%	376,576,944	1.0%	8,784,408	N/A	Poll	F+A
15	Υ	Pass	No	For	0.2%	36,575,947,996	99.8%	75,845,056	0.2%	8,369,252	N/A	Poll	F+A
16	Υ	Pass	No	For	0.8%	36,349,451,420	99.2%	306,680,040	0.8%	4,153,096	N/A	Poll	F+A
17	Υ	Pass	No	For	0.0%	36,650,358,236	100.0%	5,779,880	0.0%	4,150,160	N/A	Poll	F+A
18	Υ	Pass	No	For	1.4%	36,146,651,372	98.6%	508,649,596	1.4%	4,648,036	N/A	Poll	F+A
19	Υ	Pass	No	For	0.2%	36,579,472,708	99.8%	68,342,980	0.2%	12,166,628	N/A	Poll	F+A
20	Υ	Pass	No	For	1.8%	36,008,025,720	98.2%	641,460,572	1.8%	10,496,888	N/A	Poll	F+A
21	Υ	Pass	No	For	0.8%	36,345,253,068	99.2%	304,289,184	0.8%	10,362,356	N/A	Poll	F+A
22	Υ	Pass	No	For	1.1%	36,239,519,588	98.9%	408,369,452	1.1%	12,013,976	N/A	Poll	F+A
23	Υ	Pass	No	For	1.9%	35,943,283,764	98.1%	711,583,004	1.9%	4,608,252	N/A	Poll	F+A
24	Υ	Pass	No	For	0.6%	36,449,960,888	99.4%	206,463,928	0.6%	3,391,748	N/A	Poll	F+A
25	Υ	Pass	No	For	0.9%	36,325,720,640	99.1%	330,224,316	0.9%	4,272,700	N/A	Poll	F+A
26	Υ	Pass	No	For	0.2%	16,225,472,008	99.8%	32,545,616	0.2%	846,227,124	N/A	Poll	F+A
27	Υ	Pass	No	For	0.1%	36,614,870,408	99.9%	40,199,816	0.1%	4,764,524	N/A	Poll	F+A
28	Υ	Pass	No	For	7.4%	33,666,256,300	92.6%	2,698,582,140	7.4%	295,378,568	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

#### Pfizer Inc.

Meeting Date: 04/28/2022

**Record Date:** 03/02/2022

Country: USA

Meeting Type: Annual **Primary CUSIP:** 717081103 Ticker: PFE

Proxy Level: 3

Meeting ID: 1622134

**Primary ISIN:** US7170811035

Primary SEDOL: 2684703

Earliest Cutoff Date: 04/27/2022

Votable Shares: 140,090

1.10

Primary Security ID: 717081103

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS

Shares Instructed: 140,090

**Additional Policy:** 

Shares Voted: 140,090

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Ronald E. Blaylock	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.2		Elect Director Albert Bourla	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.3		Elect Director Susan Desmond-Hellmann	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.4		Elect Director Joseph J. Echevarria	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.5		Elect Director Scott Gottlieb	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.6		Elect Director Helen H. Hobbs	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.7		Elect Director Susan Hockfield	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.8		Elect Director Dan R. Littman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1.9		Elect Director Shantanu Narayen	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								

Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted.

Mgmt

Yes

Elect Director Suzanne Nora Johnson

For

For

For

For

No

No

No

### **Pfizer Inc.**

-	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.11		Elect Director James Quincey	/	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vot	te FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FO	OR the director nor	minees is warra	anted.								
1.12		Elect Director James C. Smith	h	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vot	te FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FO	OR the director nor	minees is warra	anted.								
2		Ratify KPMG LLP as Auditors		Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Name Executive Officers' Compensa		Mgmt	Yes	For	For		For	For	No	No	No
4		Amend Proxy Access Right		SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vot limit would improve the comp			-		ation of the 2	20-shareholde	r aggregatio	nn		•	
		Blended Rationale: A vote FO would improve the company's					of the 20-sh	nareholder agg	regation lim	nit		-	
5		Report on Congruency of Pol Electioneering Expenditures of Company Values and Policies	with	SH	Yes	Against	Against		Against	Against	No	No	No
6		Report on Feasibility of Tech Transfer to Boost Covid-19 V Production		SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vot COVID-19 vaccine inequity ar related risks.							_				
		Blended Rationale: A vote FO COVID-19 vaccine inequity ar related risks.			-	-			_	ng		-	
7		Report on Board Oversight of Related to Anticompetitive Pr		SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vot of the company's processes a light of Pfizer's involvement in	and oversight med	hanisms for m								-	
		Blended Rationale: A vote FO the company's processes and of Pfizer's involvement in rela	oversight mechai	nisms for mana									
8		Report on Public Health Cost Limited Sharing of Vaccine To		SH	Yes	Against	Against		Against	Against	No	No	No
Ballot Details	s												
Institutional Ac (IA Name, IA N		Custodian Account Number	Ballot Status	Instructed	i	Approved	I	Ballot Voting	Status	Votable S	Shares	Shares	Voted
PIC_RTRI, PIC_R	RTRI	282301.001	Confirmed	jbisset		jbisset				140,0	90	140,	090
				04/25/2022	2	04/25/202	2						
									Total Shares	: 140,0	190	140,	090

#### **Meeting Results**

Outstanding Shares Voted: 5,647,774,460 Results Available: Full

Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Туре	Base

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Υ	Pass	No	For	2.6%	3,701,357,646	97.4%	97,026,302	2.6%	10,925,480	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	5.4%	3,577,655,169	94.6%	204,869,446	5.4%	26,783,357	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.4%	3,744,546,772	98.6%	54,872,805	1.4%	9,887,325	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	12.7%	3,315,350,611	87.3%	483,108,900	12.7%	10,848,394	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	0.6%	3,775,202,986	99.4%	23,651,661	0.6%	10,414,283	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	2.5%	3,704,020,993	97.5%	95,742,430	2.5%	9,505,507	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	0.7%	3,773,537,829	99.3%	25,701,939	0.7%	10,029,162	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	1.5%	3,741,506,546	98.5%	57,146,873	1.5%	10,615,511	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	0.8%	3,766,994,058	99.2%	31,021,763	0.8%	11,292,326	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	5.1%	3,605,854,757	94.9%	193,599,113	5.1%	9,854,277	N/A	Proxy	F+A
1.11	Υ	Pass	No	For	1.8%	3,729,849,039	98.2%	68,041,486	1.8%	11,406,359	N/A	Proxy	F+A
1.12	Υ	Pass	No	For	2.7%	3,694,497,573	97.3%	103,133,820	2.7%	11,676,754	N/A	Proxy	F+A
2	Υ	Pass	No	For	4.4%	4,440,636,499	95.6%	204,291,133	4.4%	14,009,991	N/A	Proxy	F+A
3	Υ	Pass	No	For	7.3%	3,509,011,827	92.7%	275,230,428	7.3%	25,057,788	N/A	Proxy	F+A
4	Υ	Fail	No	Against	29.0%	1,096,172,490	29.0%	2,686,465,588	71.0%	26,655,147	N/A	Proxy	F+A
5	Υ	Fail	No	Against	10.4%	393,729,907	10.4%	3,387,577,521	89.6%	27,996,591	N/A	Proxy	F+A
6	Υ	Fail	No	Against	27.4%	1,023,141,083	27.4%	2,714,524,824	72.6%	71,640,637	N/A	Proxy	F+A
7	Υ	Fail	No	Against	30.4%	1,138,551,810	30.4%	2,600,688,619	69.6%	70,069,294	N/A	Proxy	F+A
8	Υ	Fail	No	Against	8.7%	325,762,383	8.7%	3,415,442,745	91.3%	68,096,240	N/A	Proxy	F+A

### **Serco Group Plc**

Meeting Date: 04/28/2022 **Record Date:** 04/26/2022

Primary Security ID: G80400107

Earliest Cutoff Date: 04/25/2022 Votable Shares: 3,566,381

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G80400107

Total Ballots: 1

\*Shares on Loan: 0

Ticker: SRP

Voting Policy: ISS

**Meeting ID:** 1617843

Primary ISIN: GB0007973794

Shares Instructed: 3,566,381

Proxy Level: N/A

Primary SEDOL: 0797379

**Additional Policy: Shares Voted:** 3,566,381

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
4		Elect Nigel Crossley as Director	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: A vote FOR the election/re-election of the Directors is warranted as no significant concerns have been identified.

Blended Rationale: A vote FOR the election/re-election of the Directors is warranted as no significant concerns have been identified.

# **Serco Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5		Elect Kru Desai as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election identified.	n/re-election o	f the Directo	rs is warrant	ed as no sig	nificant conce	rns have bee	en			
		Blended Rationale: A vote FOR the election/re-didentified.	election of the	Directors is	warranted a.	s no significa	ant concerns h	ave been				
6		Re-elect John Rishton as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election identified.	n/re-election o	f the Directo	rs is warrant	ed as no sig	nificant conce	rns have bee	חיי			
		Blended Rationale: A vote FOR the election/re-didentified.	election of the	Directors is	warranted a.	s no significa	ant concerns h	ave been				
7		Re-elect Rupert Soames as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election identified.	n/re-election o	f the Directo	rs is warrant	ed as no sig	nificant conce	rns have bee	חיח			
		Blended Rationale: A vote FOR the election/re-didentified.	election of the	Directors is	warranted a	s no significa	ant concerns h	ave been				
8		Re-elect Kirsty Bashforth as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election identified.	n/re-election o	f the Directo	rs is warrant	red as no sig	nificant conce	rns have bee	rn			
		Blended Rationale: A vote FOR the election/re-didentified.	election of the	Directors is	warranted a	s no significa	ant concerns h	ave been				
9		Re-elect Ian El-Mokadem as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election identified.	n/re-election o	f the Directo	rs is warrant	ed as no sig	nificant conce	rns have bee	חיח			
		Blended Rationale: A vote FOR the election/re-didentified.	election of the	Directors is	warranted a.	s no significa	ant concerns h	ave been				
10		Re-elect Tim Lodge as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election identified.	n/re-election o	f the Directo	rs is warrant	ed as no sig	nificant conce	rns have bee	חיח			
		Blended Rationale: A vote FOR the election/re-didentified.	election of the	Directors is	warranted a.	s no significa	ant concerns h	ave been				
11		Re-elect Dame Sue Owen as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election identified.	n/re-election o	f the Directo	rs is warrant	ed as no sig	nificant conce	rns have bee	חיח			
		Blended Rationale: A vote FOR the election/re-didentified.	election of the	Directors is	warranted a.	s no significa	ant concerns h	ave been				
12		Re-elect Lynne Peacock as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the election identified.	n/re-election o	f the Directo	rs is warrant	ed as no sig	nificant conce	rns have bee	rn			
		Blended Rationale: A vote FOR the election/re-didentified.	election of the	Directors is	warranted a.	s no significa	ant concerns h	ave been				
13		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
14		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Serco Group Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
15		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote F recommended limits.	FOR these resol	utions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR to recommended limits.	these resolution	s is warranted	because the	e proposed a	amounts and	durations are	within				
16		Authorise Issue of Equity without Pre-emptive Rights	ut	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote Frecommended limits.	FOR these resol	utions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR t recommended limits.	hese resolution	s is warranted	because the	e proposed a	amounts and	durations are	within				
17		Authorise Issue of Equity without Pre-emptive Rights in Connection an Acquisition or Other Capital Investment		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote Frecommended limits.	FOR these resol	utions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR t recommended limits.	these resolution	s is warranted	because the	e proposed a	amounts and	durations are	within				
18		Authorise Market Purchase of O Shares	rdinary	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise UK Political Donations Expenditure	and	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorise the Company to Call O Meeting with Two Weeks' Notice		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutional (IA Name, IA	Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	ı	Approve	d	Ballot Voting	Status	Votable Si	nares	Shares	Voted
PIC_RTRI, PIC	C_RTRI	282301.001	Confirmed	jbisset		jbisset				3,566,3	81	3,566	,381
				04/12/2022		04/12/202	22						

#### **Meeting Results**

Outstanding Shares Voted: 1,209,192,479 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.3%	998,885,371	99.7%	2,896,486	0.3%	11,312,516	N/A	Poll	F+A
2	Υ	Pass	No	For	14.7%	856,094,916	85.3%	147,209,294	14.7%	9,790,163	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	1,012,710,968	100.0%	372,940	0.0%	10,465	N/A	Poll	F+A
4	Υ	Pass	No	For	0.7%	1,005,474,840	99.3%	7,580,584	0.7%	38,949	N/A	Poll	F+A
5	Υ	Pass	No	For	0.7%	1,006,435,468	99.3%	6,609,200	0.7%	49,705	N/A	Poll	F+A
6	Υ	Pass	No	For	10.1%	901,608,167	89.9%	101,676,252	10.1%	9,809,954	N/A	Poll	F+A
7	Υ	Pass	No	For	0.7%	1,006,110,770	99.3%	6,965,352	0.7%	18,251	N/A	Poll	F+A
8	Υ	Pass	No	For	1.0%	992,667,651	99.0%	9,843,346	1.0%	10,583,376	N/A	Poll	F+A

Total Shares:

3,566,381

3,566,381

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
9	Y	Pass	No	For	0.7%	1,006,088,132	99.3%	6,964,524	0.7%	41,717	N/A	Poll	F+A
10	Υ	Pass	No	For	0.7%	1,006,409,994	99.3%	6,637,824	0.7%	46,555	N/A	Poll	F+A
11	Υ	Pass	No	For	0.7%	1,006,423,057	99.3%	6,617,119	0.7%	54,197	N/A	Poll	F+A
12	Υ	Pass	No	For	7.9%	923,742,639	92.1%	79,543,620	7.9%	9,808,114	N/A	Poll	F+A
13	Υ	Pass	No	For	1.5%	997,710,040	98.5%	15,347,593	1.5%	36,740	N/A	Poll	F+A
14	Υ	Pass	No	For	0.2%	1,011,158,804	99.8%	1,902,647	0.2%	32,922	N/A	Poll	F+A
15	Υ	Pass	No	For	7.4%	937,829,147	92.6%	75,254,052	7.4%	11,174	N/A	Poll	F+A
16	Υ	Pass	No	For	6.7%	945,001,592	93.3%	68,062,756	6.7%	33,025	N/A	Poll	F+A
17	Υ	Pass	No	For	9.7%	914,887,132	90.3%	98,180,943	9.7%	29,298	N/A	Poll	F+A
18	Υ	Pass	No	For	1.3%	998,238,960	98.7%	13,488,868	1.3%	1,369,545	N/A	Poll	F+A
19	Υ	Pass	No	For	12.1%	888,124,480	87.9%	122,663,137	12.1%	2,309,756	N/A	Poll	F+A
20	Υ	Pass	No	For	4.0%	972,893,468	96.0%	40,192,618	4.0%	8,287	N/A	Poll	F+A

### **Ambev SA**

Meeting Date: 04/29/2022Country: BrazilTicker: ABEV3Record Date: 03/29/2022Meeting Type: Annual/SpecialMeeting ID: 1616811

Primary Security ID: P0273U106 Primary CUSIP: P0273U106 Primary ISIN: BRABEVACNOR1 Primary SEDOL: BG7ZWY7

Proxy Level: N/A

Earliest Cutoff Date: 04/25/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy:

 Votable Shares: 32,500,000
 \*Shares on Loan: 0
 Shares Instructed: 32,500,000
 Shares Voted: 32,500,000

Votable 3i	iai es. 32,300,0	Silates on Loan.			Silai es II	isti ucteu. 32,	300,000		Silaies voteu	. 32,300,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Meeting for ADR Holders	Mgmt	No								
		Ordinary General Meeting	Mgmt	No								
1		Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2021	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Allocation of Income and Dividends	Mgmt	Yes	For	For		For	For	No	No	No
3a		Elect Fiscal Council Members	Mgmt	Yes	For	Abstain		Abstain	Abstain	Yes	No	No
		Voting Policy Rationale: An ABSTAIN vote re allow minority shareholders to concentrate to discussed under Item 3b of this meeting age	heir votes on the			-		-				
		Blended Rationale: An ABSTAIN vote recommunity shareholders to concentrate their vounder Item 3b of this meeting agenda.			-			-				
3b		Elect Vinicius Balbino Bouhid as Fiscal Council Member and Carlos Tersandro Fonseca Adeodato as Alternate Appointed by Minority Shareholder	SH	Yes	None	For		For	For	No	No	No
4		Approve Remuneration of Company's Management	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Remuneration of Fiscal Council Members	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Ambev SA**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
		Extraordinary General Meeting	Mgmt	No										
1a		Amend Article 3 Re: Corporate Purpose	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these nor	-contentious a	dministrative	requests is	warranted.								
		Blended Rationale: A vote FOR these non-con	tentious admin	istrative requ	ıests is warı	ranted.					Policy ISS			
1b		Amend Article 5 to Reflect Changes in Capital	Mgmt	Yes	For	For		For	For	No	No	No		
2		Consolidate Bylaws	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these nor	-contentious a	dministrative	requests is	warranted.								
		Blended Rationale: A vote FOR these non-contentious administrative requests is warranted.												

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		32,500,000	32,500,000
			04/26/2022	04/26/2022			
					Total Shares:	32,500,000	32,500,000

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
	N												
1	Υ	Pass	No	For	2.5%	14,000,802,234	97.5%	110,140	0.0%	355,100,245	2.5%	Poll	F+A+AB
2	Υ	Pass	No	For	0.2%	14,327,772,354	99.8%	11,942,616	0.1%	16,297,649	0.1%	Poll	F+A+AB
3a	Υ	Pass	No	For	0.1%	11,648,557,003	99.9%	0	N/A	15,075,948	0.1%	Poll	F+A+AB
3b	Υ	Pass	No	None	N/A	2,544,672,733	94.5%	4,623,495	0.2%	143,083,440	5.3%	Poll	F+A+AB
4	Υ	Pass	No	For	2.6%	13,975,417,069	97.3%	360,807,232	2.5%	19,788,318	0.1%	Poll	F+A+AB
5	Υ	Pass	No	For	0.2%	14,332,525,166	99.8%	2,114,013	0.0%	21,373,440	0.1%	Poll	F+A+AB
	N												
1a	Υ	Pass	No	For	0.1%	14,350,731,738	99.9%	684,462	0.0%	16,391,415	0.1%	Poll	F+A+AB
1b	Υ	Pass	No	For	0.1%	14,350,737,483	99.9%	693,469	0.0%	16,376,663	0.1%	Poll	F+A+AB
2	Υ	Pass	No	For	0.1%	14,350,963,416	99.9%	568,125	0.0%	16,276,074	0.1%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Meeting Date: 04/29/2022 **Record Date:** 04/07/2022

Primary Security ID: G0593M107

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G0593M107

Meeting ID: 1624617

**Primary ISIN:** GB0009895292

Proxy Level: N/A Primary SEDOL: 0989529

Earliest Cutoff Date: 04/21/2022 Votable Shares: 870,000

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS

Ticker: AZN

**Additional Policy:** 

Shares Instructed: 870,000 Shares Voted: 870,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Meeting for ADR Holders	Mgmt	No								
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Dividends	Mgmt	Yes	For	For		For	For	No	No	No
3		Reappoint PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
5a		Re-elect Leif Johansson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5I A vote FOR Item 5m A vote FOR this director's re-election is external mandates he holds. However, it is note Therefore, no voting action is warranted.  Blended Rationale: Items 5a-5I A vote FOR thes 5m A vote FOR this director's re-election is warr	s warranted alt ed that these n se candidates is	though it is in nandates are is warranted	not without on inter-conne	concern beca cted, as opp	use of the nun osed to discret es have been id	nber of te roles. dentified. Ite				
		mandates he holds. However, it is noted that the voting action is warranted.	ese mandates	are inter-co	nnected, as	opposed to a	discrete roles.	Therefore, n	0			
5b		Re-elect Pascal Soriot as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote FOR Item 5m A vote FOR this director's re-election is external mandates he holds. However, it is note Therefore, no voting action is warranted.	warranted alt	though it is i	not without o	oncern beca	use of the nun	nber of	<i>i.</i>			
		Blended Rationale: Items 5a-5l A vote FOR thes 5m A vote FOR this director's re-election is warn mandates he holds. However, it is noted that th voting action is warranted.	ranted althoug	h it is not w	ithout concer	n because o	f the number o	of external				
5c		Elect Aradhana Sarin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Elect Aradhana Sarin as Director Mgmt Yes For For For For No										
	Blended Rationale: Items 5a-5l A vote FOR these candidates is warranted as no significant concerns have been identified. Item 5m A vote FOR this director's re-election is warranted although it is not without concern because of the number of external mandates he holds. However, it is noted that these mandates are inter-connected, as opposed to discrete roles. Therefore, no voting action is warranted.											
5d		Re-elect Philip Broadley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote FOR Item 5m A vote FOR this director's re-election is external mandates he holds. However, it is note Therefore, no voting action is warranted.	s warranted alt	though it is i	not without o	oncern beca	use of the nun	nber of	1.			
	Blended Rationale: Items 5a-5l A vote FOR these candidates is warranted as no significant concerns have been identified. Item 5m A vote FOR this director's re-election is warranted although it is not without concern because of the number of external mandates he holds. However, it is noted that these mandates are inter-connected, as opposed to discrete roles. Therefore, no voting action is warranted.											

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
5e		Re-elect Euan Ashley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote l Item 5m A vote FOR this director's re-electic external mandates he holds. However, it is l Therefore, no voting action is warranted.	on is warranted a	although it is	not withou	t concern bec	ause of the nu	mber of	ed.			
		Blended Rationale: Items 5a-5l A vote FOR to 5m A vote FOR this director's re-election is wandates he holds. However, it is noted that voting action is warranted.	varranted althou	gh it is not v	vithout con	cern because	of the number	of external			_	
5f		Re-elect Michel Demare as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote I Item 5m A vote FOR this director's re-electic external mandates he holds. However, it is I Therefore, no voting action is warranted.	on is warranted a	although it is	not withou	t concern bec	ause of the nu	mber of	ed.		_	
		Blended Rationale: Items 5a-5l A vote FOR to 5m A vote FOR this director's re-election is warnandates he holds. However, it is noted that voting action is warranted.	varranted althou	gh it is not v	vithout con	cern because	of the number	of external				
5g		Re-elect Deborah DiSanzo as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote I Item 5m A vote FOR this director's re-election external mandates he holds. However, it is no Therefore, no voting action is warranted.	on is warranted a	although it is	not withou	t concern bec	ause of the nu	ımber of	ed.		_	
		Blended Rationale: Items 5a-5l A vote FOR to 5m A vote FOR this director's re-election is warnandates he holds. However, it is noted that voting action is warranted.	varranted althou	gh it is not v	vithout con	cern because	of the number	of external				
5h		Re-elect Diana Layfield as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote I Item 5m A vote FOR this director's re-election external mandates he holds. However, it is no Therefore, no voting action is warranted.	on is warranted a	although it is	not withou	t concern bec	ause of the nu	ımber of	ed.		-	
		Blended Rationale: Items 5a-5l A vote FOR to 5m A vote FOR this director's re-election is wandates he holds. However, it is noted that voting action is warranted.	varranted althou	gh it is not v	vithout con	cern because	of the number	of external			-	
5i		Re-elect Sheri McCoy as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote I Item 5m A vote FOR this director's re-electic external mandates he holds. However, it is I Therefore, no voting action is warranted.	on is warranted a	although it is	not withou	t concern bec	ause of the nu	mber of	ed.		_	
		Blended Rationale: Items 5a-5l A vote FOR to 5m A vote FOR this director's re-election is wandates he holds. However, it is noted that voting action is warranted.	varranted althou	gh it is not v	vithout con	cern because	of the number	of external			-	
5j		Re-elect Tony Mok as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote I Item 5m A vote FOR this director's re-election external mandates he holds. However, it is I Therefore, no voting action is warranted.	on is warranted a	although it is	not withou	t concern bec	ause of the nu	mber of	ed.		-	
		Blended Rationale: Items 5a-5l A vote FOR to 5m A vote FOR this director's re-election is warnandates he holds. However, it is noted that voting action is warranted.	varranted althou	gh it is not v	vithout con	cern because	of the number	of external			-	

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Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5k		Re-elect Nazneen Rahman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote I Item 5m A vote FOR this director's re-electic external mandates he holds. However, it is n Therefore, no voting action is warranted.	on is warranted a	although it is	not withou	t concern bec	ause of the nu	mber of	ied.			
		Blended Rationale: Items 5a-5l A vote FOR to 5m A vote FOR this director's re-election is wandates he holds. However, it is noted that voting action is warranted.	varranted althou	igh it is not v	vithout con	cern because	of the number	of external	1		-	
51		Elect Andreas Rummelt as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote I Item 5m A vote FOR this director's re-electic external mandates he holds. However, it is n Therefore, no voting action is warranted.	on is warranted a	although it is	not withou	t concern bec	ause of the nu	mber of	ied.			
		Blended Rationale: Items 5a-5l A vote FOR t 5m A vote FOR this director's re-election is v mandates he holds. However, it is noted tha voting action is warranted.	varranted althou	igh it is not v	vithout con	cern because	of the number	of external	1			
5m		Re-elect Marcus Wallenberg as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 5a-5l A vote I Item 5m A vote FOR this director's re-electic external mandates he holds. However, it is n Therefore, no voting action is warranted.	on is warranted a	although it is	not withou	t concern bec	ause of the nu	mber of	ied.			
		Blended Rationale: Items 5a-5l A vote FOR t 5m A vote FOR this director's re-election is w mandates he holds. However, it is noted that voting action is warranted.	varranted althou	igh it is not v	vithout con	cern because	of the number	of external	i			
6		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
7		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
8		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these re recommended limits.	esolutions is warr	ranted becau	se the prop	osed amounts	s and duration	s are within	,			
		Blended Rationale: A vote FOR these resolut recommended limits.	tions is warrante	d because th	e proposed	amounts and	d durations are	within				
9		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these re recommended limits.	esolutions is warr	ranted becau	se the prop	osed amount	s and duration	s are within				
		Blended Rationale: A vote FOR these resolut recommended limits.	tions is warrante	d because th	e proposed	amounts and	d durations are	within				
10		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these re recommended limits.	solutions is warr	ranted becau	se the prop	osed amount	s and duration	s are within	,			
		Blended Rationale: A vote FOR these resolut recommended limits.	tions is warrante	d because th	e proposed	amounts and	d durations are	within				
11		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No
13		Approve Savings Related Share Option Scheme	Mgmt	Yes	For	For		For	For	No	No	No
Rallet Det	aile											

Ballot Details

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		870,000	870,000
			04/19/2022	04/19/2022			
					Total Shares:	870,000	870,000

#### **Meeting Results**

Outstanding Shares Voted: 1,549,464,013

Results Available: Partial

	uning bilares (	-,,	,										
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.2%	1,203,570,226	99.8%	1,828,274	0.2%	5,547,341	N/A	Poll	F+A
2	Υ	Pass	No	For	1.1%	1,193,597,088	98.9%	12,920,907	1.1%	4,366,330	N/A	Poll	F+A
3	Υ	Pass	No	For	0.7%	1,198,088,929	99.3%	8,509,251	0.7%	4,347,248	N/A	Poll	F+A
4	Υ	Pass	No	For	0.1%	1,204,954,300	99.9%	1,563,462	0.1%	4,311,513	N/A	Poll	F+A
5a	Υ	Pass	No	For	3.3%	1,166,282,927	96.7%	40,088,832	3.3%	4,573,789	N/A	Poll	F+A
5b	Υ	Pass	No	For	0.1%	1,205,673,389	99.9%	863,219	0.1%	4,408,995	N/A	Poll	F+A
5c	Υ	Pass	No	For	0.1%	1,204,771,723	99.9%	1,661,294	0.1%	4,512,437	N/A	Poll	F+A
5d	Υ	Pass	No	For	1.2%	1,191,418,997	98.8%	15,054,946	1.2%	4,471,514	N/A	Poll	F+A
5e	Υ	Pass	No	For	0.1%	1,205,615,431	99.9%	843,877	0.1%	4,486,117	N/A	Poll	F+A
5f	Υ	Pass	No	For	3.7%	1,159,460,793	96.3%	44,030,272	3.7%	7,453,982	N/A	Poll	F+A
5g	Υ	Pass	No	For	0.0%	1,199,445,587	100.0%	245,001	0.0%	11,254,771	N/A	Poll	F+A
5h	Υ	Pass	No	For	0.0%	1,206,239,742	100.0%	251,612	0.0%	4,454,103	N/A	Poll	F+A
5i	Υ	Pass	No	For	0.5%	1,199,985,750	99.5%	6,515,562	0.5%	4,444,145	N/A	Poll	F+A
5j	Υ	Pass	No	For	0.1%	1,205,526,446	99.9%	961,584	0.1%	4,457,427	N/A	Poll	F+A
5k	Υ	Pass	No	For	1.1%	1,192,803,495	98.9%	13,681,523	1.1%	4,460,509	N/A	Poll	F+A
51	Υ	Pass	No	For	0.1%	1,205,642,938	99.9%	816,947	0.1%	4,485,572	N/A	Poll	F+A
5m	Υ	Pass	No	For	18.9%	979,070,782	81.1%	227,477,101	18.9%	4,397,534	N/A	Poll	F+A
6	Υ	Pass	No	For	7.8%	1,109,853,237	92.2%	93,486,120	7.8%	7,606,290	N/A	Poll	F+A
7	Υ	Pass	No	For	2.5%	1,176,840,533	97.5%	29,853,717	2.5%	4,261,968	N/A	Poll	F+A
8	Υ	Pass	No	For	7.3%	1,112,092,040	92.7%	87,623,060	7.3%	11,230,323	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
9	Υ	Pass	No	For	0.9%	1,193,005,130	99.1%	10,731,935	0.9%	7,206,669	N/A	Poll	F+A
10	Υ	Pass	No	For	2.3%	1,175,775,085	97.7%	27,999,631	2.3%	7,088,425	N/A	Poll	F+A
11	Υ	Pass	No	For	0.8%	1,195,870,775	99.2%	10,230,544	0.8%	4,843,802	N/A	Poll	F+A
12	Υ	Pass	No	For	11.9%	1,062,882,097	88.1%	143,446,819	11.9%	4,616,168	N/A	Poll	F+A
13	Υ	Pass	No	For	0.5%	1,200,174,255	99.5%	5,676,533	0.5%	5,094,639	N/A	Poll	F+A

### **Bayer AG**

Meeting Date: 04/29/2022 Country: Germany Ticker: BAYN

Record Date: Meeting Type: Annual Meeting ID: 1609938

 Primary Security ID: D0712D163
 Primary CUSIP: D0712D163
 Primary ISIN: DE000BAY0017
 Primary SEDOL: 5069211

 Earliest Cutoff Date: 04/19/2022
 Total Ballots: 1
 Voting Policy: ISS
 Additional Policy:

 Votable Shares: 1,500,000
 \*Shares on Loan: 0
 Shares Instructed: 1,500,000
 Shares Voted: 1,500,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Receive Financial Statements and Statutory Reports; Approve Allocation of Income and Dividends of EUR 2.00 per Share for Fiscal Year 2021	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Discharge of Management Board for Fiscal Year 2021	Mgmt	Yes	For	For		For	For	No	No	No

Proxy Level: N/A

Voting Policy Rationale: Votes FOR the discharge of the management board and the supervisory board are warranted as there is no evidence that the boards did not fulfill their fiduciary duties in the year under review, though this is not without concerns: \*The management board introduced a five-point plan, which allegedly "provides a clear path to closure of the U.S. glyphosate litigation, which will allow our company, our customers, and our shareholders to move on, put the uncertainty behind us, and focus on our performance, innovations, and contributions to society." Nevertheless, this was only introduced in May 2021, leading to concerns that management (and by extension the supervisory board) was too slow in addressing the Monsanto fallout head on and too focused on the litigation rather than allaying the legitimate concerns of its shareholders and of stakeholders worldwide. \*The supervisory board made discretionary adjustments to the management board's annual bonus in the year under review, leading to large bonus payouts for management despite ongoing and significant litigation against the company, which may raise legitimate concerns for shareholders.

Blended Rationale: Votes FOR the discharge of the management board and the supervisory board are warranted as there is no evidence that the boards did not fulfill their fiduciary duties in the year under review, though this is not without concerns: \*

The management board introduced a five-point plan, which allegedly "provides a clear path to closure of the U.S. glyphosate litigation, which will allow our company, our customers, and our shareholders to move on, put the uncertainty behind us, and focus on our performance, innovations, and contributions to society." Nevertheless, this was only introduced in May 2021, leading to concerns that management (and by extension the supervisory board) was too slow in addressing the Monsanto fallout head on and too focused on the litigation rather than allaying the legitimate concerns of its shareholders and of stakeholders worldwide. \* The supervisory board made discretionary adjustments to the management board's annual bonus in the year under review, leading to large bonus payouts for management despite ongoing and significant litigation against the company, which may raise legitimate concerns for shareholders.

## **Bayer AG**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Approve Discharge of Supervisory Board for Fiscal Year 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the discharg is no evidence that the boards did not fulfill the * The management board introduced a five-poil litigation, which will allow our company, our custocus on our performance, innovations, and conleading to concerns that management (and by a fallout head on and too focused on the litigation stakeholders worldwide. * The supervisory board the year under review, leading to large bonus prompany, which may raise legitimate concerns the supervisory which may raise legitimate concerns the supervisory.	ir fiduciary dunt plan, which stomers, and contributions to sextension the contribution arther than and made discretayouts for ma	ties in the ye allegedly "p our sharehold society." Nev supervisory i allaying the l etionary adju unagement d	ear under revolutes a cleaters to move exertheless, the board) was to the constitution of the constitution	iew, though ar path to cl on, put the is was only it oo slow in ac ncerns of its the managen	this is not with losure of the Uncertainty be introduced in Naddressing the Unshales and the board's an and the board's an and the board's and the with share with the shar	hout concerr I.S. glyphosa ehind us, and May 2021, Monsanto and of nnual bonus	is: te 1			
		Blended Rationale: Votes FOR the discharge of evidence that the boards did not fulfill their fidu. The management board introduced a five-point litigation, which will allow our company, our custocus on our performance, innovations, and conleading to concerns that management (and by a fallout head on and too focused on the litigation stakeholders worldwide. * The supervisory boar the year under review, leading to large bonus prompany, which may raise legitimate concerns to	ciary duties in plan, which a plan, which a stomers, and contributions to sextension the in rather than a control made discretayouts for ma	n the year un llegedly "pro our sharehold society." Nev supervisory i allaying the l etionary adju nagement d	nder review, ovides a clear ders to move vertheless, the board) was the degitimate constructs to the construction of the constructio	though this is path to close on, put the is was only is one slow in accerns of its the management.	is not without of sure of the U.S. uncertainty be introduced in Madressing the shareholders and the board's an	concerns: * 5. glyphosate ehind us, and May 2021, Monsanto and of nnual bonus	1			
4.1		Reelect Paul Achleitner to the Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the proposed	d nominees ar	re warranted	<i>l.</i>							
		Blended Rationale: Votes FOR the proposed nor	minees are wa	rranted.								
4.2		Reelect Norbert Bischofberger to the Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the proposed	d nominees ar	re warrantea	1.							
		Blended Rationale: Votes FOR the proposed nor	minees are wa	rranted.								
4.3		Reelect Colleen Goggins to the Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the proposed	d nominees ar	re warranted	<i>!.</i>							
		Blended Rationale: Votes FOR the proposed nor	minees are wa	rranted.								
5		Approve Remuneration Report	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote AGAINST this reshort-term incentive components, resulting in pacontribution-based pension entitlements for cerconsidered excessive and are not aligned with the under the LTI may not be considered sufficiently.	ayouts that do tain executive the wider work	o not accurat s result in pe force or mai	tely reflect co ension contri rket practice.	ompany perfo butions/servi * Targets a	formance. * Le ice costs that o and performand	gacy can be ce metrics				
		Blended Rationale: A vote AGAINST this resolut short-term incentive components, resulting in p contribution-based pension entitlements for cer considered excessive and are not aligned with t under the LTI may not be considered sufficiently	ayouts that do tain executive the wider work	o not accurat s result in pe force or mai	tely reflect co ension contri rket practice.	ompany perfo butions/servi * Targets a	formance. * Le ice costs that o and performand	gacy can be ce metrics				
6		Approve Affiliation Agreement with Bayer Chemicals GmbH	Mgmt	Yes	For	For		For	For	No	No	No
7		Ratify Deloitte GmbH as Auditors for Fiscal Year 2022	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ils											
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number Ballot Status	Instructed	ı	Approved	ı	Ballot Voting	Status	Votable Si	nares	Shares \	/oted

#### **Bayer AG**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		1,500,000	1,500,000
			04/20/2022	04/20/2022			
					Total Shares:	1,500,000	1,500,000

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.8%	576,020,745	99.2%	4,559,018	0.8%	11,228,823	N/A	Poll	F+A
2	Υ	Pass	No	For	17.9%	468,365,700	82.1%	102,379,239	17.9%	21,055,373	N/A	Poll	F+A
3	Y	Pass	No	For	16.8%	474,772,479	83.2%	95,823,506	16.8%	21,190,335	N/A	Poll	F+A
4.1	Y	Pass	No	For	9.0%	521,845,219	91.0%	51,391,094	9.0%	18,561,964	N/A	Poll	F+A
4.2	Y	Pass	No	For	25.5%	439,254,156	74.5%	149,994,085	25.5%	2,558,456	N/A	Poll	F+A
4.3	Υ	Pass	No	For	2.4%	574,618,669	97.6%	14,093,883	2.4%	3,094,399	N/A	Poll	F+A
5	Υ	Fail	No	For	75.9%	141,792,849	24.1%	446,334,743	75.9%	3,669,116	N/A	Poll	F+A
6	Υ	Pass	No	For	0.2%	588,849,817	99.8%	1,200,443	0.2%	1,726,553	N/A	Poll	F+A
7	Υ	Pass	No	For	0.9%	584,393,209	99.1%	5,495,835	0.9%	1,915,931	N/A	Poll	F+A

### **American Express Company**

Meeting Date: 05/03/2022

**Record Date:** 03/07/2022

Primary Security ID: 025816109

Country: USA

Meeting Type: Annual

**Primary CUSIP:** 025816109

Ticker: AXP

Meeting ID: 1622793

**Primary ISIN:** US0258161092

Proxy Level: 3

**Additional Policy:** 

Primary SEDOL: 2026082

Earliest Cutoff Date: 05/02/2022 Votable Shares: 60,080

Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 60,080

Shares Voted: 60,080

Additional Voting Vote Vote Vote Against Significant Votable Policy Against Against Proposal Mamt **Policy** Vote **Proposal Text** ISS Rec Instruction Number Vote Proponent Proposal Rec Rec Rec Mgmt Policy ISS Elect Director Thomas J. Baltimore For No No 1a Mgmt Yes For For For No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. Elect Director Charlene Barshefsky For 1b Mgmt Yes For For Against Yes Yes Yes Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted. 1c Elect Director John J. Brennan Mgmt For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted.

Blended Rationale: A vote FOR the director nominees is warranted.

# **American Express Company**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1d		Elect Director Peter Chernin	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1e		Elect Director Ralph de la Vega	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1f		Elect Director Michael O. Leavitt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1g		Elect Director Theodore J. Leonsis	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1h		Elect Director Karen L. Parkhill	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1i		Elect Director Charles E. Phillips	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1j		Elect Director Lynn A. Pike	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1k		Elect Director Stephen J. Squeri	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
11		Elect Director Daniel L. Vasella	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1m		Elect Director Lisa W. Wardell	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1n		Elect Director Christopher D. Young	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
2		Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
4		Require Independent Board Chair	SH	Yes	Against	Against		Against	For	Yes	Yes	Yes

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **American Express Company**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		60,080	60,080
			04/25/2022	04/25/2022			
					= Total Shares:	60,080	60,080

#### **Meeting Results**

Outstanding Shares Voted: 757,288,906

Results Available: Full

Outstai	uning Snares	<b>Voteu:</b> 757,200	5,900		Results Available: Full								
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	20.4%	474,033,987	79.6%	121,378,514	20.4%	566,519	N/A	Proxy	F+A
1b	Υ	Pass	No	For	1.8%	584,659,884	98.2%	10,827,262	1.8%	491,874	N/A	Proxy	F+A
1c	Υ	Pass	No	For	0.7%	591,017,498	99.3%	4,391,048	0.7%	570,474	N/A	Proxy	F+A
1d	Y	Pass	No	For	8.2%	546,498,637	91.8%	48,643,326	8.2%	837,057	N/A	Proxy	F+A
1e	Y	Pass	No	For	0.5%	592,138,818	99.5%	3,214,526	0.5%	625,676	N/A	Proxy	F+A
1f	Y	Pass	No	For	2.0%	583,105,402	98.0%	12,055,780	2.0%	817,838	N/A	Proxy	F+A
1g	Y	Pass	No	For	4.1%	570,551,344	95.9%	24,618,491	4.1%	809,185	N/A	Proxy	F+A
1h	Y	Pass	No	For	0.2%	594,212,117	99.8%	1,290,461	0.2%	476,442	N/A	Proxy	F+A
1i	Y	Pass	No	For	0.6%	592,048,919	99.4%	3,312,380	0.6%	617,721	N/A	Proxy	F+A
1j	Y	Pass	No	For	0.5%	592,792,907	99.5%	2,685,445	0.5%	500,668	N/A	Proxy	F+A
1k	Υ	Pass	No	For	5.0%	564,696,146	95.0%	29,681,357	5.0%	1,601,517	N/A	Proxy	F+A
11	Υ	Pass	No	For	3.1%	576,830,349	96.9%	18,317,147	3.1%	831,524	N/A	Proxy	F+A
1m	Υ	Pass	No	For	1.0%	589,607,030	99.0%	5,877,228	1.0%	494,762	N/A	Proxy	F+A
1n	Υ	Pass	No	For	2.0%	583,148,389	98.0%	11,982,251	2.0%	848,380	N/A	Proxy	F+A
2	Υ	Pass	No	For	1.6%	638,940,663	98.4%	10,490,963	1.6%	381,012	N/A	Proxy	F+A
3	Y	Pass	No	For	4.4%	566,462,109	95.6%	25,952,699	4.4%	3,564,212	N/A	Proxy	F+A
4	Υ	Fail	No	Against	22.2%	132,054,164	22.2%	462,758,910	77.8%	1,165,946	N/A	Proxy	F+A

### **Barrick Gold Corporation**

Meeting Date: 05/03/2022	Country: Canada		Ticker: ABX Proxy L					roxy Level: 3		
<b>Record Date:</b> 03/04/2022	Meeting Type: Annual		Meeting :	<b>ID:</b> 1605626	5					
Primary Security ID: 067901108		Primary 1	ISIN: CA067	79011084		Primary SEDOL: 2024644				
Earliest Cutoff Date: 04/28/2022	Total Ballots: 1		Voting Pol	icy: ISS			Additional Policy:			
Votable Shares: 3,100,000	*Shares on Loan: 0			Shares Instructed: 3,100,000				<b>Shares Voted:</b> 3,100,000		
Proposal Significant Number Vote Proposal Text Proponen		Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS

1.1 Elect Director Mark Bristow Mgmt Yes For For

\*Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

For

For

No

No

No

# **Barrick Gold Corporation**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
1.2		Elect Director Helen Cai	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
1.3		Elect Director Gustavo A. Cisneros	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
1.4		Elect Director Christopher L. Coleman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
1.5		Elect Director J. Michael Evans	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
1.6		Elect Director Brian L. Greenspun	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	l nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.										
1.7		Elect Director J. Brett Harvey	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	l nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
1.8		Elect Director Anne Kabagambe	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
1.9		Elect Director Andrew J. Quinn	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
1.10		Elect Director Loreto Silva	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this i	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
1.11		Elect Director John L. Thornton	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significant	t concerns h	ave been ide	entified at this i	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have L	been identifie	ed at this time.					
2		Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote on Executive Compensation Approach	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Barrick Gold Corporation**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		3,100,000	3,100,000
			04/21/2022	04/21/2022			
					Total Shares:	3,100,000	3,100,000

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

	•												
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	0.8%	1,140,613,452	99.2%	9,265,531	0.8%	N/A	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	0.8%	1,140,640,796	99.2%	9,238,187	0.8%	N/A	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	12.8%	1,002,985,227	87.2%	146,893,756	12.8%	N/A	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	6.5%	1,074,654,343	93.5%	75,224,640	6.5%	N/A	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	7.0%	1,068,880,403	93.0%	80,998,580	7.0%	N/A	N/A	Proxy	F+A
1.6	Y	Pass	No	For	5.1%	1,091,664,049	94.9%	58,214,934	5.1%	N/A	N/A	Proxy	F+A
1.7	Y	Pass	No	For	6.2%	1,079,124,936	93.8%	70,754,047	6.2%	N/A	N/A	Proxy	F+A
1.8	Y	Pass	No	For	0.8%	1,140,105,168	99.2%	9,773,815	0.8%	N/A	N/A	Proxy	F+A
1.9	Y	Pass	No	For	0.8%	1,140,362,907	99.2%	9,516,076	0.8%	N/A	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	2.9%	1,116,053,879	97.1%	33,825,104	2.9%	N/A	N/A	Proxy	F+A
1.11	Υ	Pass	No	For	12.6%	1,004,668,858	87.4%	145,210,125	12.6%	N/A	N/A	Proxy	F+A
2	Υ	Pass	No	For	11.6%	1,116,834,090	88.4%	146,909,395	11.6%	N/A	N/A	Proxy	F+A
3	Υ	Pass	No	For	6.9%	1,070,634,153	93.1%	79,315,934	6.9%	N/A	N/A	Proxy	F+A

### **Bristol-Myers Squibb Company**

Meeting Date: 05/03/2022 **Record Date:** 03/14/2022

Primary Security ID: 110122108

Earliest Cutoff Date: 05/02/2022 Votable Shares: 825,824

**Total Ballots:** 1

Country: USA

\*Shares on Loan: 0

Meeting Type: Annual

**Primary CUSIP:** 110122108

Ticker: BMY

Meeting ID: 1625274

Voting Policy: ISS

**Primary ISIN:** US1101221083

Proxy Level: 3

Primary SEDOL: 2126335

**Additional Policy:** 

Shares Instructed: 825,824 Shares Voted: 825,824

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1A		Elect Director Peter J. Arduini	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: A vote FOR the director nominees is warranted.

Blended Rationale: A vote FOR the director nominees is warranted.

# **Bristol-Myers Squibb Company**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1B		Elect Director Giovanni Caforio	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.									
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.									
1C		Elect Director Julia A. Haller	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.									
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.									
1D		Elect Director Manuel Hidalgo Medina	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.									
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.									
1E		Elect Director Paula A. Price	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director nominees is warranted.											
		Blended Rationale: A vote FOR the director nominees is warranted.											
1F		Elect Director Derica W. Rice	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director nominees is warranted.											
		Blended Rationale: A vote FOR the director nominees is warranted.											
1G		Elect Director Theodore R. Samuels	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director nominees is warranted.											
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.									
1H		Elect Director Gerald L. Storch	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes	
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.									
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.									
1I		Elect Director Karen H. Vousden	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.									
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.									
1J		Elect Director Phyllis R. Yale	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.									
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.									
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No	
3		Ratify Deloitte & Touche LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No	
4		Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Yes	Against	For		For	For	Yes	No	No	
		Voting Policy Rationale: A vote FOR this proposal is warranted. Lowering the ownership threshold from 15 percent to 10 percent would improve shareholders' ability to use the special meeting right and no single shareholder would be able to act unilaterally to call a special meeting at the proposed threshold.  Blended Rationale: A vote FOR this proposal is warranted. Lowering the ownership threshold from 15 percent to 10 percent											
		Biended Rationale: A Vote FUR this proposal is		_				out unitation	.//.				

Blended Rationale: A vote FOR this proposal is warranted. Lowering the ownership threshold from 15 percent to 10 percent would improve shareholders' ability to use the special meeting right and no single shareholder would be able to act unilaterally to call a special meeting at the proposed threshold.

### **Bristol-Myers Squibb Company**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS	
5		Require Independent Board Chair	SH	Yes	Against	For		For	For	Yes	No	No	

Voting Policy Rationale: A vote FOR this non-binding proposal is warranted, as shareholders would benefit from the most robust form of independent board oversight, in the form of an independent chair, at the next CEO transition.

Blended Rationale: A vote FOR this non-binding proposal is warranted, as shareholders would benefit from the most robust form of independent board oversight, in the form of an independent chair, at the next CEO transition.

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		825,824	825,824
			04/25/2022	04/25/2022			
					Total Shares:	825,824	825,824

#### **Meeting Results**

Outstanding Shares Voted: 2,125,202,527

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1A	Υ	Pass	No	For	2.5%	1,511,376,590	97.5%	38,188,121	2.5%	2,807,890	N/A	Proxy	F+A
1B	Υ	Pass	No	For	6.8%	1,438,738,311	93.2%	104,835,940	6.8%	8,798,350	N/A	Proxy	F+A
1C	Υ	Pass	No	For	0.7%	1,539,003,301	99.3%	10,417,583	0.7%	2,951,717	N/A	Proxy	F+A
1D	Υ	Pass	No	For	0.5%	1,542,361,836	99.5%	7,265,817	0.5%	2,744,948	N/A	Proxy	F+A
1E	Υ	Pass	No	For	3.6%	1,494,413,964	96.4%	55,309,321	3.6%	2,649,316	N/A	Proxy	F+A
1F	Υ	Pass	No	For	5.3%	1,468,034,295	94.7%	81,546,149	5.3%	2,792,157	N/A	Proxy	F+A
1G	Υ	Pass	No	For	3.9%	1,468,944,084	96.1%	59,607,951	3.9%	23,820,566	N/A	Proxy	F+A
1H	Υ	Pass	No	For	3.6%	1,493,429,987	96.4%	56,069,460	3.6%	2,873,154	N/A	Proxy	F+A
1I	Υ	Pass	No	For	2.5%	1,510,991,645	97.5%	38,870,433	2.5%	2,510,523	N/A	Proxy	F+A
1)	Υ	Pass	No	For	1.4%	1,527,951,521	98.6%	21,828,703	1.4%	2,592,377	N/A	Proxy	F+A
2	Υ	Pass	No	For	8.6%	1,419,400,241	91.4%	125,669,113	8.1%	7,303,247	0.5%	Proxy	F+A+AB
3	Υ	Pass	No	For	3.1%	1,774,670,025	96.9%	53,395,901	2.9%	3,829,831	0.2%	Proxy	F+A+AB
4	Υ	Fail	No	Against	34.7%	532,905,781	34.3%	1,012,865,048	65.2%	6,601,772	0.4%	Proxy	F+A+AB
5	Υ	Fail	No	Against	45.1%	692,098,202	44.6%	852,696,749	54.9%	7,577,650	0.5%	Proxy	F+A+AB

#### **ArcelorMittal SA**

Meeting Date: 05/04/2022 Record Date: 04/20/2022 Primary Security ID: L0302D210 Country: Luxembourg

Meeting Type: Annual/Special Primary CUSIP: L0302D210 Ticker: MT

**Meeting ID:** 1620872

**Primary ISIN:** LU1598757687

Proxy Level: N/A

Primary SEDOL: BYPBS67

### **ArcelorMittal SA**

Earliest Cutoff Date: 04/27/2022

Total Ballots: 2

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 1,320,000

\*Shares on Loan: 0

Shares Instructed: 1,320,000

Shares Voted: 1,320,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Annual Meeting Agenda	Mgmt	No								
I		Approve Consolidated Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items audit procedures used.	s is warranted	due to a lac	k of concern	regarding th	he accounts pr	esented or				
		Blended Rationale: A vote FOR these items is we procedures used.	varranted due	to a lack of	concern rega	ording the ac	counts presen	ted or audit				
II		Approve Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these items audit procedures used.	s is warranted	due to a lac	k of concern	regarding th	he accounts pr	resented or				
		Blended Rationale: A vote FOR these items is w procedures used.	varranted due	to a lack of o	concern rega	ording the ac	counts presen	ted or audit				
III		Approve Dividends of USD 0.38 Per Share	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR is warrante	ed in the abser	nce of specifi	ic concerns r	egarding the	e income alloca	ation proposa	a/.			
		Blended Rationale: A vote FOR is warranted in	the absence o	f specific col	ncerns regard	ding the inco	ome allocation	proposal.				
IV		Approve Allocation of Income	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR is warrante	ed in the abser	nce of specifi	ic concerns r	egarding the	e income alloca	ation proposa	a/.			
		Blended Rationale: A vote FOR is warranted in	the absence o	f specific coi	ncerns regard	ding the inco	ome allocation	proposal.				
V		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
VI		Approve Remuneration of the Directors, Lead Independent Directors, Members and Chairs of the Audit and Risk Committee, Members and Chairs of the Other Committee, Members and Chairs of the Special Committee and Chief Executive Officer	Mgmt	Yes	For	For		For	For	No	No	No
VII		Approve Discharge of Directors	Mgmt	Yes	For	For		For	For	No	No	No
VIII		Reelect Vanisha Mittal Bhatia as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these elect exceeding four years; * The candidates appear no known controversy concerning the candidate	to possess the						s			
		Blended Rationale: A vote FOR these elections four years; * The candidates appear to possess controversy concerning the candidates					•		-			
IX		Reelect Karel De Gucht as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these elect exceeding four years; * The candidates appear no known controversy concerning the candidates	to possess the				•		s			
		Blended Rationale: A vote FOR these elections four years; * The candidates appear to possess controversy concerning the candidates							-			
X		Approve Share Repurchase	Mgmt	Yes	For	For		For	For	No	No	No
XI		Appoint Ernst & Young as Auditor	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **ArcelorMittal SA**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
XII		Approve Grants of Share-Based Incentives	Mgmt	Yes	For	For		For	For	No	No	No
		Extraordinary Meeting Agenda	Mgmt	No								
I		Approve Reduction in Share Capital through Cancellation of Shares and Amend Articles 5.1 and 5.2 of the Articles of Association	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		660,000	660,000
			04/14/2022	04/14/2022			
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		660,000	660,000
			04/14/2022	04/14/2022			
					Total Shares:	1,320,000	1,320,000

#### **Meeting Results**

Outstanding Shares Voted: 882,752,640

Results Available: Partial

			-,										
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
I	Υ	Pass	No	For	0.6%	639,281,690	99.4%	3,986,480	0.6%	422,624	N/A	Poll	F+A
II	Υ	Pass	No	For	0.5%	639,848,567	99.5%	3,416,503	0.5%	425,724	N/A	Poll	F+A
III	Υ	Pass	No	For	0.2%	642,398,688	99.8%	1,067,634	0.2%	224,472	N/A	Poll	F+A
IV	Υ	Pass	No	For	0.0%	643,349,802	100.0%	131,460	0.0%	209,532	N/A	Poll	F+A
٧	Υ	Pass	No	For	10.4%	565,035,260	89.6%	65,467,869	10.4%	13,189,193	N/A	Poll	F+A
VI	Υ	Pass	No	For	1.0%	628,327,090	99.0%	6,099,580	1.0%	9,265,652	N/A	Poll	F+A
VII	Y	Pass	No	For	10.6%	573,402,014	89.4%	67,680,526	10.6%	2,608,254	N/A	Poll	F+A
VIII	Υ	Pass	No	For	5.8%	605,946,894	94.2%	37,546,334	5.8%	197,566	N/A	Poll	F+A
IX	Υ	Pass	No	For	4.6%	613,659,627	95.4%	29,835,236	4.6%	195,931	N/A	Poll	F+A
Х	Y	Pass	No	For	0.7%	639,169,315	99.3%	4,243,687	0.7%	277,792	N/A	Poll	F+A
XI	Υ	Pass	No	For	0.2%	642,247,153	99.8%	1,279,386	0.2%	164,255	N/A	Poll	F+A
XII	Y	Pass	No	For	0.9%	630,477,499	99.1%	5,628,060	0.9%	7,585,235	N/A	Poll	F+A
	N												
I	Υ	Pass	No	For	0.9%	639,782,035	99.1%	5,789,524	0.9%	645,571,559	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Barclays Plc**

Meeting Date: 05/04/2022 Record Date: 04/29/2022 Country: United Kingdom

Ticker: BARC
Meeting ID: 1610879

Proxy Level: N/A

Primary Security ID: G08036124

Meeting Type: Annual
Primary CUSIP: G08036124

**Primary ISIN:** GB0031348658

Primary SEDOL: 3134865

**Earliest Cutoff Date:** 04/28/2022 **Votable Shares:** 1,381,500

Total Ballots: 1

Voting Policy: ISS

Additional Policy:
Shares Voted: 1,381,500

\*Shares on Loan: 0 Shares Instructed: 1,381,500

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect Coimbatore Venkatakrishnan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	idates is consid	dered warrai	nted, as no n	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mater	rial concerns	have been ide	ntified.				
4		Elect Robert Berry as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	idates is consid	dered warrai	nted, as no n	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mater	ial concerns	have been ide	ntified.				
5		Elect Anna Cross as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	idates is consid	dered warrai	nted, as no n	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mater	ial concerns	have been ide	ntified.				
6		Re-elect Mike Ashley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	idates is consid	dered warrai	nted, as no n	naterial conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mater	ial concerns	have been ide	ntified.				
7		Re-elect Tim Breedon as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	idates is consid	dered warrai	nted, as no n	naterial conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mater	ial concerns	have been ide	ntified.				
8		Re-elect Mohamed A. El-Erian as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	idates is consid	dered warrai	nted, as no n	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mater	ial concerns	have been ide	ntified.				
9		Re-elect Dawn Fitzpatrick as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	idates is consid	dered warrai	nted, as no n	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mater	ial concerns	have been ide	ntified.				
10		Re-elect Mary Francis as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	idates is consid	dered warrai	nted, as no n	naterial conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mater	ial concerns	have been ide	ntified.				
11		Re-elect Crawford Gillies as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	idates is consid	dered warrai	nted, as no n	naterial conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	s is considered	d warranted,	as no mater	ial concerns	have been ide	ntified.				

# **Barclays Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12		Re-elect Brian Gilvary as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no i	material cond	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
13		Re-elect Nigel Higgins as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no i	material cond	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
14		Re-elect Diane Schueneman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no i	material cond	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
15		Re-elect Julia Wilson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no i	material cond	cerns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	have been ide	entified.				
16		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise the Board Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
18		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reson recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
20		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonance of the series of the	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
21		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reson recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within				

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### **Barclays Plc**

umber Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agai ISS
22	Authorise Issue of Equity in Re the Issuance of Contingent Equ Conversion Notes.		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote the Company were to issue Equ ordinary shares, this would rest Such authorities are common p conversion into ordinary shares well beyond the Company's cur	uity Conversion ult in significan roposals at UK would require	Notes (ECNs) a t dilution to nor banks and are the CET1 ratio	and a triggo n-participat intended to to fall to a	er event we ing shareho a apply in e. level which	re to occur, ca Iders. The ma extreme circum would denote	nusing them to in reasons for s stances only; a	convert into support are: nd * The	*			
	Blended Rationale: A vote FOR Company were to issue Equity ordinary shares, this would rest Such authorities are common p conversion into ordinary shares well beyond the Company's cur	Conversion Not ult in significan roposals at UK would require	es (ECNs) and t t dilution to nor banks and are the CET1 ratio	a trigger et n-participat intended to to fall to a	vent were to ing shareho a apply in e. level which	o occur, causin Iders. The ma extreme circum would denote	ng them to conv in reasons for s stances only; a	vert into Support are: nd * The	*			
23	Authorise Issue of Equity withor Pre-emptive Rights in Relation Issuance of Contingent Equity Conversion Notes.		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote the Company were to issue Equ- ordinary shares, this would rest Such authorities are common p conversion into ordinary shares well beyond the Company's cur	uity Conversion ult in significan roposals at UK would require	Notes (ECNs) a t dilution to nor banks and are the CET1 ratio	and a triggo n-participat intended to to fall to a	er event we ing shareho apply in e. level which	re to occur, ca Iders. The ma extreme circum would denote	nusing them to in reasons for s stances only; a	convert into support are: nd * The	*			
	Blended Rationale: A vote FOR Company were to issue Equity ordinary shares, this would rest Such authorities are common p conversion into ordinary shares well beyond the Company's cur	Conversion Not ult in significan roposals at UK would require	es (ECNs) and t t dilution to nor banks and are the CET1 ratio	a trigger ev n-participat intended to to fall to a	vent were to ing shareho a apply in e. level which	o occur, causin Iders. The ma extreme circum would denote	ng them to conv in reasons for s stances only; a	vert into Support are: nd * The	*			
24	Authorise Market Purchase of C Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	
27	Shares											No
	Authorise the Company to Call Meeting with Two Weeks' Notice		Mgmt	Yes	For	For		For	For	No	No	No
25	Authorise the Company to Call	ce	Mgmt Mgmt	Yes	For For	For		For	For	No No	No No	
25	Authorise the Company to Call Meeting with Two Weeks' Notic Approve Barclays' Climate Stra	ce										No
25 26 Illot Details stitutional Account Detail	Authorise the Company to Call Meeting with Two Weeks' Notic Approve Barclays' Climate Stra	ce		Yes		For	Ballot Voting	For		No		No No
25 26 Illot Details stitutional Account Detail 1 Name, IA Number)	Authorise the Company to Call Meeting with Two Weeks' Notic Approve Barclays' Climate Stra Targets and Progress 2022	ce tegy,	Mgmt	Yes	For	For	Ballot Voting	For	For	No	No	No No Voted
25 26 allot Details stitutional Account Detail A Name, IA Number) C_RTRI, PIC_RTRI	Authorise the Company to Call Meeting with Two Weeks' Notic Approve Barclays' Climate Stra Targets and Progress 2022 Custodian Account Number	tegy, Ballot Status	Mgmt	Yes	For Appro	For	Ballot Voting	For	For Votable S	No	No Shares	No No Voted

#### **Meeting Results**

Outstanding Shares Voted: 16,769,307,716 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.4%	11,370,192,975	99.6%	42,249,957	0.4%	51,159,767	N/A	Poll	F+A
2	Υ	Pass	No	For	11.0%	10,193,013,827	89.0%	1,255,388,727	11.0%	15,189,796	N/A	Poll	F+A
3	Υ	Pass	No	For	0.3%	11,408,432,166	99.7%	38,457,409	0.3%	16,705,032	N/A	Poll	F+A

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Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
4	Y	Pass	No	For	0.3%	11,406,754,559	99.7%	39,277,758	0.3%	17,562,290	N/A	Poll	F+A
5	Υ	Pass	No	For	0.6%	11,377,885,907	99.4%	67,974,951	0.6%	17,733,745	N/A	Poll	F+A
6	Υ	Pass	No	For	2.6%	11,143,328,173	97.4%	302,829,395	2.6%	17,445,128	N/A	Poll	F+A
7	Υ	Pass	No	For	1.2%	11,305,790,590	98.8%	139,917,399	1.2%	17,886,614	N/A	Poll	F+A
8	Y	Pass	No	For	1.1%	11,261,462,765	98.9%	126,380,073	1.1%	75,714,452	N/A	Poll	F+A
9	Y	Pass	No	For	0.5%	11,383,933,847	99.5%	62,389,332	0.5%	17,271,424	N/A	Poll	F+A
10	Y	Pass	No	For	0.7%	11,370,246,574	99.3%	76,129,648	0.7%	17,218,381	N/A	Poll	F+A
11	Y	Pass	No	For	1.4%	11,285,576,744	98.6%	160,377,664	1.4%	17,640,199	N/A	Poll	F+A
12	Y	Pass	No	For	1.9%	11,234,103,167	98.1%	211,865,132	1.9%	17,626,308	N/A	Poll	F+A
13	Υ	Pass	No	For	6.2%	10,735,148,686	93.8%	712,179,320	6.2%	16,266,597	N/A	Poll	F+A
14	Y	Pass	No	For	1.3%	11,300,180,952	98.7%	146,080,001	1.3%	17,333,654	N/A	Poll	F+A
15	Υ	Pass	No	For	0.3%	11,417,244,655	99.7%	29,089,359	0.3%	17,260,589	N/A	Poll	F+A
16	Υ	Pass	No	For	1.3%	11,300,158,021	98.7%	148,322,981	1.3%	15,113,601	N/A	Poll	F+A
17	Y	Pass	No	For	0.1%	11,440,080,182	99.9%	9,013,748	0.1%	14,500,676	N/A	Poll	F+A
18	Y	Pass	No	For	1.0%	11,198,591,631	99.0%	112,492,402	1.0%	152,510,570	N/A	Poll	F+A
19	Υ	Pass	No	For	5.5%	10,818,544,405	94.5%	630,264,985	5.5%	14,785,213	N/A	Poll	F+A
20	Υ	Pass	No	For	2.5%	11,160,246,912	97.5%	287,483,293	2.5%	15,864,402	N/A	Poll	F+A
21	Υ	Pass	No	For	3.9%	10,996,934,143	96.1%	450,700,722	3.9%	15,959,742	N/A	Poll	F+A
22	Υ	Pass	No	For	1.7%	11,252,640,901	98.3%	194,169,214	1.7%	16,784,487	N/A	Poll	F+A
23	Υ	Pass	No	For	2.2%	11,194,105,433	97.8%	252,009,639	2.2%	17,479,530	N/A	Poll	F+A
24	Υ	Pass	No	For	1.4%	11,269,172,494	98.6%	157,112,355	1.4%	37,309,759	N/A	Poll	F+A
25	Υ	Pass	No	For	3.9%	11,004,205,590	96.1%	444,501,721	3.9%	14,887,295	N/A	Poll	F+A
26	Υ	Pass	No	For	19.2%	9,171,063,235	80.8%	2,177,434,005	19.2%	115,105,456	N/A	Poll	F+A

## **General Electric Company**

Meeting Date: 05/04/2022

**Record Date:** 03/08/2022

Primary Security ID: 369604301 Earliest Cutoff Date: 05/03/2022

Votable Shares: 137,521

Country: USA

Meeting Type: Annual

**Primary CUSIP:** 369604301

Meeting ID: 1622256

**Primary ISIN:** US3696043013

Ticker: GE

Voting Policy: ISS

**Additional Policy:** 

Primary SEDOL: BL59CR9

Proxy Level: 4

Total Ballots: 1 \*Shares on Loan: 0

Shares Instructed: 137,521

Shares Voted: 137,521

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Stephen Angel	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: A vote FOR the director nominees is warranted.

Blended Rationale: A vote FOR the director nominees is warranted.

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# **General Electric Company**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1b		Elect Director Sebastien Bazin	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1c		Elect Director Ashton Carter	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1d		Elect Director H. Lawrence Culp, Jr.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1e		Elect Director Francisco D'Souza	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1f		Elect Director Edward Garden	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1g		Elect Director Isabella Goren	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1h		Elect Director Thomas Horton	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1i		Elect Director Risa Lavizzo-Mourey	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1j		Elect Director Catherine Lesjak	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1k		Elect Director Tomislav Mihaljevic	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
11		Elect Director Paula Rosput Reynolds	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1m		Elect Director Leslie Seidman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								

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# **General Electric Company**

Proposal Significant Number Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
	Voting Policy Rationale: A vote AGAINS to last year's failed say-on-pay vote by similarly adjust performance metrics fo year in review. The committee made a metrics for both the short- and long-teincentive awards shifted from a three-ymetrics.	lowering the CEO's F\\ r previously granted a\\ sizable adjustment to\\ rm incentive awards, a	Y22 equity ga wards. How free cash flo and the adju	rant by \$10 ever, pay-fol ow for FY21, estment is no	million and n r-performanc which appea nt well-explain	naking a comm re concerns are ars to affect th ned. In addition	nitment not to raised for to e performan n, long-term	o he		_	
	Blended Rationale: A vote AGAINST thi year's failed say-on-pay vote by lowerin adjust performance metrics for previou review. The committee made a sizable for both the short- and long-term incer awards shifted from a three-year perfo	ng the CEO's FY22 equ sly granted awards. H adjustment to free ca ntive awards, and the	uity grant by lowever, pay sh flow for F adjustment i	\$10 million -for-perform -Y21, which is not well-e.	and making and making ance concernance concernance appears to a concernance and ancernance and ancernance and a	a commitment ns are raised fo ffect the perfor addition, long-l	not to simila for the year in trmance metro term incentiv	arly 1 ics		-	
3	Ratify Deloitte & Touche LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4	Approve Omnibus Stock Plan	Mgmt	Yes	For	For		For	For	No	No	No
5	Approve Cessation of All Executive Stock Option and Bonus Programs	SH	Yes	Against	Against		Against	Against	No	No	No
6	Submit Severance Agreement (Change-in-Control) to Shareholder Vote	SH	Yes	Against	For		For	Against	No	Yes	Yes
	Voting Policy Rationale: A vote FOR this severance amounts that exceed market agreements unaffected, and the propose renewed severance arrangement, such	t norms, the proposal sal offers flexibility as	applies only to when the	to future se	verance arra	ngements, lea	ving current	on		-	
	Blended Rationale: A vote FOR this iter severance amounts that exceed marked agreements unaffected, and the propose renewed severance arrangement, such	t norms, the proposal sal offers flexibility as	applies only to when the	to future se	verance arra	ngements, lea	ving current			_	
7	Approve Nomination of Employee Representative Director	SH	Yes	Against	Against		Against	Against	No	No	No
Ballot Details											
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number Ballot	Status Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PIC_RTRI	282301.001 Confirm	ned jbisset		jbisset				137,5	21	137	,521
		04/28/2022	2	04/28/20	22						
							Total Shares:	137,5	521	137,	521

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		137,521	137,521
			04/28/2022	04/28/2022			
					Total Shares:	137,521	137,521

#### **Meeting Results**

Outstanding Shares Voted: 1,101,751,355 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	0.7%	739,036,647	99.3%	5,271,341	0.7%	1,712,028	N/A	Proxy	F+A
1b	Υ	Pass	No	For	8.9%	677,985,798	91.1%	66,330,288	8.9%	1,703,930	N/A	Proxy	F+A
1c	Υ	Pass	No	For	2.2%	728,330,317	97.8%	16,011,340	2.2%	1,678,359	N/A	Proxy	F+A
1d	Υ	Pass	No	For	5.3%	705,008,080	94.7%	39,354,660	5.3%	1,657,276	N/A	Proxy	F+A

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Item	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1e	Υ	Pass	No	For	8.4%	681,539,057	91.6%	62,795,701	8.4%	1,685,258	N/A	Proxy	F+A
1f	Υ	Pass	No	For	9.4%	674,143,368	90.6%	70,156,378	9.4%	1,720,270	N/A	Proxy	F+A
1g	Υ	Pass	No	For	0.7%	738,978,998	99.3%	5,453,438	0.7%	1,587,580	N/A	Proxy	F+A
1h	Υ	Pass	No	For	20.7%	590,378,372	79.3%	153,943,931	20.7%	1,697,713	N/A	Proxy	F+A
1i	Υ	Pass	No	For	5.2%	704,380,479	94.8%	38,827,578	5.2%	2,811,959	N/A	Proxy	F+A
1j	Υ	Pass	No	For	2.5%	725,485,303	97.5%	18,963,177	2.5%	1,571,536	N/A	Proxy	F+A
1k	Υ	Pass	No	For	0.8%	738,607,016	99.2%	5,691,690	0.8%	1,721,310	N/A	Proxy	F+A
11	Υ	Pass	No	For	8.4%	681,533,797	91.6%	62,889,572	8.4%	1,596,647	N/A	Proxy	F+A
1m	Υ	Pass	No	For	2.2%	727,790,426	97.8%	16,590,879	2.2%	1,638,711	N/A	Proxy	F+A
2	Υ	Pass	No	For	34.5%	486,608,605	65.5%	256,337,513	34.5%	3,073,898	N/A	Proxy	F+A
3	Υ	Pass	No	For	0.5%	876,945,753	99.5%	4,028,094	0.5%	2,089,815	N/A	Proxy	F+A
4	Υ	Pass	No	For	7.2%	689,244,390	92.8%	53,874,784	7.2%	2,900,842	N/A	Proxy	F+A
5	Υ	Fail	No	Against	1.9%	14,161,225	1.9%	727,850,067	98.1%	4,008,724	N/A	Proxy	F+A
6	Υ	Fail	No	Against	36.2%	269,056,908	36.2%	473,798,432	63.8%	3,164,676	N/A	Proxy	F+A
7	Υ	Fail	No	Against	4.7%	34,732,629	4.7%	707,970,853	95.3%	3,316,534	N/A	Proxy	F+A

Meeting Date: 05/04/2022 **Record Date:** 04/29/2022

Primary Security ID: G3910J112

Earliest Cutoff Date: 04/28/2022 Votable Shares: 2,756,405

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G3910J112

Total Ballots: 1 \*Shares on Loan: 0 Ticker: GSK

**Meeting ID:** 1616361

**Primary ISIN:** GB0009252882

Voting Policy: ISS

Shares Instructed: 2,756,405

Proxy Level: N/A

Primary SEDOL: 0925288

**Additional Policy: Shares Voted:** 2,756,405

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Approve Remuneration Policy	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST the rebonus opportunity from 2x to 3x. salary. The a multiple of salary, for any company in the FTSI materializing on outperformance of the Compabelow target performance. In addition, the targer profit and sales objectives, as announced in Ju offset concerns with the quantum; the increase bonus opportunity would create. Further, the coperations. The proposed increase does not approximate the proposed increase do	amplified bonus E 10. It is acknown is new strate gets are firmly une 2021, and is ed emphasis on demerger will of	s opportunity nowledged the egic targets. rooted in the new pay arra n short -tern lecrease the	y would creating the high It is also note the new stration of the	ate the highes er limit requinated that the egy and there However, the nce; or the pr	st bonus poter res higher per re would be lo e is a clear linh ese mitigating recedent that t	ntial, as a formance, or wer pay for a between th factors do r his level of	nly ne		-	
		Blended Rationale: A vote AGAINST the remunbonus opportunity from 2x to 3x. salary. The a multiple of salary, for any company in the FTSI materializing on outperformance of the Compabelow target performance. In addition, the targer profit and sales objectives, as announced in Juoffset concerns with the quantum; the increase bonus opportunity would create. Further, the coperations. The proposed increase does not approximate the salary of the salar	amplified bonus E 10. It is ackn iny's new strate gets are firmly ine 2021, and i ed emphasis on demerger will o	s opportunity nowledged the egic targets. rooted in the new pay arra n short -tern decrease the	y would creating the high It is also note the new strating mements. In performant Company's	ate the highes er limit requinated that the egy and there However, the nce; or the pr	st bonus poter ires higher pen re would be lo e is a clear linh ese mitigating recedent that t	ntial, as a formance, on wer pay for a between th factors do r his level of	ne			
4		Elect Anne Beal as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	idered warra	nted as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considere	d warranted	as no mate	erial concerns	have been ide	entified.				
5		Elect Harry Dietz as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	idered warra	nted as no	material cond	cerns have bee	en identified.			-	
		Blended Rationale: A vote FOR these candidate	es is considere	d warranted	as no mate	erial concerns	have been ide	entified.				
6		Re-elect Sir Jonathan Symonds as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	idered warra	nted as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considere	d warranted	as no mate	erial concerns	have been ide	entified.				
7		Re-elect Dame Emma Walmsley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	idered warra	nted as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considere	d warranted	as no mate	erial concerns	have been ide	entified.				
8		Re-elect Charles Bancroft as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	idered warra	nted as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considere	d warranted	as no mate	erial concerns	have been ide	entified.				
9		Re-elect Vindi Banga as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	idered warra	nted as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considere	d warranted	as no mate	erial concerns	have been ide	entified.				
10		Re-elect Hal Barron as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	idered warra	nted as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considere	d warranted	as no mate	erial concerns	have been ide	entified.				
11		Re-elect Dame Vivienne Cox as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	idered warra	nted as no	material cond	cerns have bee	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considere	d warranted	as no mate	erial concerns	have been ide	entified.				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12		Re-elect Lynn Elsenhans as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	idered warra	nted as no r	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted	as no mater	rial concerns	have been ide	ntified.				
13		Re-elect Laurie Glimcher as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	idered warra	nted as no r	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted	as no mater	rial concerns	have been ide	ntified.				
14		Re-elect Jesse Goodman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	idered warra	nted as no r	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted	as no mater	rial concerns	have been ide	ntified.				
15		Re-elect Iain Mackay as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	idered warra	nted as no r	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted	as no mater	rial concerns	have been ide	ntified.				
16		Re-elect Urs Rohner as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	idered warra	nted as no r	material conc	erns have bee	n identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted	as no mater	rial concerns	have been ide	ntified.				
17		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
18		Authorise the Audit & Risk Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reso recommended limits.	lutions is warra	anted becaus	se the propo	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
21		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reso recommended limits.	lutions is warra	anted becaus	se the propo	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	l durations are	within				
22		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reso recommended limits.	lutions is warra	anted becaus	se the propo	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
23		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
24		Approve the Exemption from Statement of the Name of the Senior Statutory Auditor in Published Copies of the Auditors' Reports	Mgmt	Yes	For	For		For	For	No	No	No

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Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
25		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No
26		Approve Share Save Plan	Mgmt	Yes	For	For		For	For	No	No	No
27		Approve Share Reward Plan	Mgmt	Yes	For	For		For	For	No	No	No
28		Adopt New Articles of Association	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		2,756,405	2,756,405
			04/25/2022	04/25/2022			

Total Shares: 2,756,405 2,756,405

#### **Meeting Results**

Outstanding Shares Voted: 5,083,974,547 Results Available: Partial

			•										
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	1.0%	3,524,582,633	99.0%	35,137,552	1.0%	11,843,164	N/A	Poll	F+A
2	Υ	Pass	No	For	8.9%	3,240,737,115	91.1%	318,510,405	8.9%	12,317,062	N/A	Poll	F+A
3	Υ	Pass	No	For	38.2%	2,197,755,545	61.8%	1,360,515,540	38.2%	13,294,793	N/A	Poll	F+A
4	Υ	Pass	No	For	0.5%	3,546,443,179	99.5%	16,055,842	0.5%	9,042,781	N/A	Poll	F+A
5	Υ	Pass	No	For	0.5%	3,545,845,271	99.5%	16,469,238	0.5%	9,224,475	N/A	Poll	F+A
6	Υ	Pass	No	For	2.2%	3,482,374,837	97.8%	79,898,313	2.2%	9,274,730	N/A	Poll	F+A
7	Y	Pass	No	For	0.2%	3,555,195,651	99.8%	7,564,971	0.2%	8,806,465	N/A	Poll	F+A
8	Y	Pass	No	For	1.6%	3,503,934,446	98.4%	58,393,472	1.6%	9,214,474	N/A	Poll	F+A
9	Y	Pass	No	For	2.2%	3,483,288,426	97.8%	78,881,291	2.2%	9,373,841	N/A	Poll	F+A
10	Y	Pass	No	For	0.5%	3,546,018,185	99.5%	16,347,031	0.5%	9,173,855	N/A	Poll	F+A
11	Y	Pass	No	For	0.9%	3,531,865,048	99.1%	30,474,324	0.9%	9,204,548	N/A	Poll	F+A
12	Y	Pass	No	For	2.4%	3,476,281,291	97.6%	86,142,909	2.4%	9,118,541	N/A	Poll	F+A
13	Υ	Pass	No	For	0.2%	3,556,622,114	99.8%	5,547,225	0.2%	9,375,696	N/A	Poll	F+A
14	Υ	Pass	No	For	0.2%	3,555,655,121	99.8%	6,515,409	0.2%	9,367,820	N/A	Poll	F+A
15	Υ	Pass	No	For	0.3%	3,551,784,186	99.7%	10,432,989	0.3%	9,333,434	N/A	Poll	F+A
16	Υ	Pass	No	For	4.3%	3,410,175,068	95.7%	151,806,419	4.3%	9,561,680	N/A	Poll	F+A
17	Υ	Pass	No	For	0.2%	3,557,280,686	99.8%	5,797,033	0.2%	8,481,780	N/A	Poll	F+A
18	Υ	Pass	No	For	0.1%	3,555,233,064	99.9%	5,000,395	0.1%	11,338,880	N/A	Poll	F+A
19	Υ	Pass	No	For	1.4%	3,493,409,287	98.6%	48,161,797	1.4%	30,003,446	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
20	Y	Pass	No	For	3.7%	3,430,993,149	96.3%	130,127,471	3.7%	10,593,875	N/A	Poll	F+A
21	Υ	Pass	No	For	2.8%	3,460,375,320	97.2%	98,220,644	2.8%	12,963,416	N/A	Poll	F+A
22	Υ	Pass	No	For	4.4%	3,404,168,390	95.6%	154,942,194	4.4%	12,448,092	N/A	Poll	F+A
23	Υ	Pass	No	For	1.2%	3,517,497,794	98.8%	43,085,674	1.2%	10,990,502	N/A	Poll	F+A
24	Υ	Pass	No	For	0.4%	3,523,554,816	99.6%	14,359,955	0.4%	33,655,391	N/A	Poll	F+A
25	Υ	Pass	No	For	6.4%	3,331,432,180	93.6%	227,176,994	6.4%	12,950,914	N/A	Poll	F+A
26	Υ	Pass	No	For	1.4%	3,508,449,940	98.6%	49,235,709	1.4%	13,896,262	N/A	Poll	F+A
27	Υ	Pass	No	For	1.1%	3,516,666,377	98.9%	40,690,090	1.1%	14,160,284	N/A	Poll	F+A
28	Υ	Pass	No	For	0.2%	3,549,030,121	99.8%	6,964,097	0.2%	15,501,889	N/A	Poll	F+A

### **Hennes & Mauritz AB**

Meeting Date: 05/04/2022 **Record Date:** 04/26/2022

Earliest Cutoff Date: 04/26/2022

Votable Shares: 1,265,000

**Primary Security ID:** W41422101

Country: Sweden Meeting Type: Annual

Primary CUSIP: W41422101

Total Ballots: 1 \*Shares on Loan: 0 Ticker: HM.B

**Meeting ID:** 1629192

**Primary ISIN:** SE0000106270

Voting Policy: ISS

Shares Instructed: 1,265,000

Proxy Level: N/A

Primary SEDOL: 5687431

**Additional Policy: Shares Voted:** 1,265,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Open Meeting	Mgmt	No								
		Voting Policy Rationale: These are routine meeting formalities.										
		Blended Rationale: These are routine meeting formalities.										
2		Elect Chairman of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	eting formalitie	5.								
		Blended Rationale: These are routine meeting	formalities.									
3.1		Designate Jan Andersson as Inspector of Minutes of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	eting formalitie	5.								
		Blended Rationale: These are routine meeting	formalities.									
3.2		Designate Erik Durhan as Inspector of Minutes of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine meeting formalities.										
		Blended Rationale: These are routine meeting formalities.										
4		Prepare and Approve List of Shareholders	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	eting formalitie	5.								
		Blended Rationale: These are routine meeting	formalities.									
5		Approve Agenda of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine meeting formalities.										
		Blended Rationale: These are routine meeting formalities.										

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6		Acknowledge Proper Convening of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine mee	eting formalitie.	s.								
		Blended Rationale: These are routine meeting	formalities.									
7		Receive Financial Statements and Statutory Reports	Mgmt	No								
		Voting Policy Rationale: These are routine, nor	n-voting items.									
		Blended Rationale: These are routine, non-voti	ing items.									
8		Comments by Auditor, Chair of The Board and CEO; Questions from Shareholders to The Board and Management	Mgmt	No								
		Voting Policy Rationale: These are routine, nor	n-voting items.									
		Blended Rationale: These are routine, non-voti	ing items.									
9.a		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
9.b		Approve Allocation of Income and Dividends of SEK 6.50 Per Share	Mgmt	Yes	For	For		For	For	No	No	No
9.c1		Approve Discharge of Board Chair Karl-Johan Persson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop- fulfilled their fiduciary duties.	oosals is warrai	nted as there	e is no evide	ence that the	board director	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted	as there is n	o evidence i	that the boar	d directors hav	e not fulfille	d			
9.c2		Approve Discharge of Board Member Stina Bergfors	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propulation of the propulation of the propulation of the vote of the propulation of t	osals is warrai	nted as there	e is no evide	ence that the	board director	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted	as there is n	o evidence i	that the boar	d directors ha	ve not fulfille	d			
9.c3		Approve Discharge of Board Member Anders Dahlvig	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propulation of the propulation of the propulation of the vote of the propulation of t	osals is warrai	nted as there	e is no evide	ence that the	board director	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted	as there is n	o evidence i	that the boar	d directors ha	ve not fulfille	d			
9.c4		Approve Discharge of Board Member Danica Kragic Jensfelt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop- fulfilled their fiduciary duties.	oosals is warrai	nted as there	e is no evide	ence that the	board director	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted	as there is n	o evidence i	that the boar	d directors hav	ve not fulfille	d			
9.c5		Approve Discharge of Board Member Lena Patriksson Keller	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop- fulfilled their fiduciary duties.	oosals is warrai	nted as there	e is no evide	ence that the	board director	s have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted	as there is n	o evidence i	that the boar	d directors ha	e not fulfille	nd			

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roposal lumber	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
9.c6		Approve Discharge of Board Member Christian Sievert	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop- fulfilled their fiduciary duties.	oosals is warra	nted as there	e is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposal their fiduciary duties.	s is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			
9.c7		Approve Discharge of Board Member Erica Wiking Hager	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop- fulfilled their fiduciary duties.	oosals is warra	nted as there	e is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposal their fiduciary duties.	s is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			
9.c8		Approve Discharge of Board Member Niklas Zennstrom	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propulational their fiduciary duties.	oosals is warra	nted as there	e is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposal their fiduciary duties.	s is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			
9.c9		Approve Discharge of Board Member Ingrid Godin	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propulational their fiduciary duties.	oosals is warra	nted as there	e is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposal their fiduciary duties.	s is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			
9.c10		Approve Discharge of Board Member Tim Gahnstrom	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propulation of the propulati	oosals is warra	nted as there	e is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposal their fiduciary duties.	s is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			
9.c11		Approve Discharge of Board Member Helena Isberg	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propulational their fiduciary duties.	oosals is warra	nted as there	is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposal their fiduciary duties.	s is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			
9.c12		Approve Discharge of Board Member Louise Wikholm	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propulational their fiduciary duties.	oosals is warra	nted as there	is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposal their fiduciary duties.	s is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			
9.c13		Approve Discharge of Deputy Board Member Margareta Welinder	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propulational their fiduciary duties.	oosals is warra	nted as there	is no evide	ence that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposal their fiduciary duties.	s is warranted	as there is n	o evidence	that the boar	rd directors ha	ve not fulfille	ed			

Heim	ies a i-i	duritz AD										
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9.c14		Approve Discharge of Deputy Board Member Hampus Glanzelius	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propfulfilled their fiduciary duties.	oosals is warrai	nted as there	e is no evide	nce that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted	as there is n	o evidence t	that the boar	rd directors ha	ve not fulfille	ed			
9.c15		Approve Discharge of CEO Helena Helmersson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these propfulfilled their fiduciary duties.	osals is warrai	nted as there	e is no evide	nce that the	board director	rs have not				
		Blended Rationale: A vote FOR these proposals their fiduciary duties.	s is warranted	as there is n	o evidence t	that the boar	rd directors ha	ve not fulfille	ed			
10.1		Determine Number of Members (8) and Deputy Members (0) of Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these proposard or the number of auditors.	oosals is warrai	nted because	e of a lack o	f controversy	concerning th	ne size of the			_	
		Blended Rationale: A vote FOR these proposals or the number of auditors.	s is warranted	because of a	a lack of con	troversy con	cerning the siz	e of the boa	rd			
10.2		Determine Number of Auditors (1) and Deputy Auditors (0)	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these prop board or the number of auditors.	osals is warrai	nted because	e of a lack o	f controversy	concerning th	ne size of the	?		_	
		Blended Rationale: A vote FOR these proposals or the number of auditors.	s is warranted	because of a	a lack of con	troversy cond	cerning the siz	e of the boa	rd			
11.1		Approve Remuneration of Directors in the Amount of SEK 1.8 Million for Chairman and SEK 775,000 for Other Directors; Approve Remuneration for Committee Work	Mgmt	Yes	For	For		For	For	No	No	No
11.2		Approve Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
12.1		Reelect Stina Bergfors as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidates Keller (item 12.4), Karl-Johan Persson (item 12 warranted due to a lack of concern regarding t 12.2) and Christian Sievert (item 12.6) is warr insufficiently independent.	2.5), Erica Wiki These individua	ing Hager (it Is in particul	em 12.7) an ar. A vote A	d Niklas Zen. GAINST cand	nstrom (item i didates Anders	12.8) is Dahlvig (ite				
		Blended Rationale: A vote FOR candidates Stin (item 12.4), Karl-Johan Persson (item 12.5), Ed due to a lack of concern regarding these individed Christian Sievert (item 12.6) is warranted due independent.	rica Wiking Hag duals in particu	ger (item 12. ular. A vote A	.7) and Nikla AGAINST cai	as Zennstron ndidates And	n (item 12.8) is Iers Dahlvig (it	s warranted em 12.2) an	d			
12.2		Reelect Anders Dahlvig as Director	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote FOR candidates Keller (item 12.4), Karl-Johan Persson (item 12 warranted due to a lack of concern regarding t 12.2) and Christian Sievert (item 12.6) is warr insufficiently independent.	2.5), Erica Wiki These individua	ing Hager (it ls in particul	em 12.7) an ar. A vote A	d Niklas Zen. GAINST cand	nstrom (item 1 didates Anders	12.8) is Dahlvig (ite				
		Blended Rationale: A vote FOR candidates Stin (item 12.4), Karl-Johan Persson (item 12.5), Endue to a lack of concern regarding these individed Christian Sievert (item 12.6) is warranted due independent.	rica Wiking Hag duals in particu	ger (item 12. ular. A vote A	.7) and Nikla AGAINST cai	as Zennstron ndidates And	n (item 12.8) is lers Dahlvig (it	s warranted em 12.2) an	d			

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12.3		Reelect Danica Kragic Jensfelt as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidates Keller (item 12.4), Karl-Johan Persson (item 12 warranted due to a lack of concern regarding to 12.2) and Christian Sievert (item 12.6) is warra insufficiently independent.	2.5), Erica Wiki hese individua	ing Hager (ite Is in particul	em 12.7) an ar. A vote A	nd Niklas Zeni GAINST cand	nstrom (item 1 lidates Anders	'2.8) is Dahlvig (iter				
		Blended Rationale: A vote FOR candidates Stin. (item 12.4), Karl-Johan Persson (item 12.5), Endue to a lack of concern regarding these individed Christian Sievert (item 12.6) is warranted due independent.	rica Wiking Hag duals in particu	ger (item 12. ılar. A vote A	7) and Nikla AGAINST cal	as Zennstrom ndidates And	n (item 12.8) is lers Dahlvig (ite	s warranted em 12.2) and	d		_	
12.4		Reelect Lena Patriksson Keller as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidates Keller (item 12.4), Karl-Johan Persson (item 12 warranted due to a lack of concern regarding to 12.2) and Christian Sievert (item 12.6) is warra insufficiently independent.	2.5), Erica Wiki hese individua	ing Hager (ite Is in particul	em 12.7) an ar. A vote A	nd Niklas Zeni GAINST cand	nstrom (item 1 lidates Anders	'2.8) is Dahlvig (itel			-	
		Blended Rationale: A vote FOR candidates Stin. (item 12.4), Karl-Johan Persson (item 12.5), Endue to a lack of concern regarding these individed independent.	rica Wiking Hag duals in particu	ger (item 12. ılar. A vote A	.7) and Nikla AGAINST cal	as Zennstrom ndidates And	n (item 12.8) is lers Dahlvig (ite	s warranted em 12.2) and	d			
12.5		Reelect Karl-Johan Persson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidates Keller (item 12.4), Karl-Johan Persson (item 12 warranted due to a lack of concern regarding to 12.2) and Christian Sievert (item 12.6) is warrainsufficiently independent. Blended Rationale: A vote FOR candidates Stin. (item 12.4), Karl-Johan Persson (item 12.5), Et	P.5), Erica Wiki hese individua anted due to to a Bergfors (ite	ing Hager (ito Is in particula heir non-indo m 12.1), Dai	em 12.7) an ar. A vote A ependent st nica Kragic J	d Niklas Zeni GAINST cand atus on the a Densfelt (item	nstrom (item 1 didates Anders audit committed o 12.3), Lena P	2.8) is Dahlvig (itel e which is Patriksson Ke	n		_	
		due to a lack of concern regarding these individent independent.	duals in particu	ılar. A vote A	AGAINST ca	ndidates And	lers Dahlvig (ite	em 12.2) and				
12.6		Reelect Christian Sievert as Director	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote FOR candidates Keller (item 12.4), Karl-Johan Persson (item 12 warranted due to a lack of concern regarding to 12.2) and Christian Sievert (item 12.6) is warra insufficiently independent.	2.5), Erica Wiki hese individua	ing Hager (ite Is in particul	em 12.7) an ar. A vote A	nd Niklas Zeni GAINST cand	nstrom (item 1 lidates Anders	'2.8) is Dahlvig (itel			_	
		Blended Rationale: A vote FOR candidates Stin. (item 12.4), Karl-Johan Persson (item 12.5), Endue to a lack of concern regarding these individed Christian Sievert (item 12.6) is warranted due independent.	rica Wiking Hag duals in particu	ger (item 12. ılar. A vote A	7) and Nikla AGAINST cal	as Zennstrom ndidates And	n (item 12.8) is lers Dahlvig (ite	s warranted em 12.2) and	d		_	
12.7		Reelect Erica Wiking Hager as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR candidates Keller (item 12.4), Karl-Johan Persson (item 12 warranted due to a lack of concern regarding to 12.2) and Christian Sievert (item 12.6) is warra insufficiently independent.	2.5), Erica Wiki hese individua	ing Hager (ito Is in particul	em 12.7) an ar. A vote A	nd Niklas Zeni GAINST cand	nstrom (item 1 lidates Anders	2.8) is Dahlvig (iter			-	
		Blended Rationale: A vote FOR candidates Stim. (item 12.4), Karl-Johan Persson (item 12.5), Endue to a lack of concern regarding these individent Christian Sievert (item 12.6) is warranted due independent.	rica Wiking Hag duals in particu	ger (item 12. ılar. A vote A	7) and Nikla AGAINST cal	as Zennstrom ndidates And	n (item 12.8) is lers Dahlvig (ite	s warranted em 12.2) and	d			

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-	Significant /ote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
12.8		Reelect Niklas Zennstrom as	Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vot Keller (item 12.4), Karl-Johan warranted due to a lack of co 12.2) and Christian Sievert (it insufficiently independent.	Persson (item 12 Incern regarding t	2.5), Erica Wiki these individua	ing Hager (it Is in particul	em 12.7) an ar. A vote A	d Niklas Zeni GAINST cand	nstrom (item 1 idates Anders	12.8) is Dahlvig (iter				
		Blended Rationale: A vote FC (item 12.4), Karl-Johan Perss due to a lack of concern rega Christian Sievert (item 12.6) independent.	on (item 12.5), E rding these indivi	rica Wiking Hag iduals in particu	ger (item 12 ular. A vote i	.7) and Nikla AGAINST ca.	as Zennstrom ndidates Ando	(item 12.8) is ers Dahlvig (it	s warranted em 12.2) and	1			
12.9		Reelect Karl-Johan Persson a Chair	s Board	Mgmt	Yes	For	For		For	For	No	No	No
13		Ratify Deloitte as Auditors		Mgmt	Yes	For	For		For	For	No	No	No
14		Approve Nominating Commit Instructions	tee	Mgmt	Yes	For	For		For	For	No	No	No
15		Approve Remuneration Repo	rt	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorize Share Repurchase	Program	Mgmt	Yes	For	For		For	For	No	No	No
17		Amend Articles Re: Participal General Meeting	tion at	Mgmt	Yes	For	For		For	For	No	No	No
		Shareholder Proposals Subm Per Hagglund	itted by	Mgmt	No								
18		Replace Fossil Materials with Renewable Forest Resources		SH	Yes	None	Against		Against	Against	No	No	No
		Shareholder Proposals Subm Fair Action	itted by	Mgmt	No								
19		Action by The Board in Resp Workers in H&M Supply Chai		SH	Yes	None	Against		Against	Against	No	No	No
		Shareholder Proposals Subm Fondazione Finanza Etica	itted by	Mgmt	No								
20		Report on Sustainably Source Organically Produced Cotton	ed and	SH	Yes	None	For		For	For	No	No	No
21		Report on Prevention on Ind Purchasing of Goods and Use Forced Labour		SH	Yes	None	Against		Against	Against	No	No	No
22		Close Meeting		Mgmt	No								
allot Details	5												
nstitutional Ac A Name, IA N		Custodian Account Number	Ballot Status	Instructed	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
C_RTRI, PIC_R	TRI	282301.001	Confirmed	jbisset		jbisset				1,265,0	000	1,265	5,000
				04/26/2022	2	04/26/20	22						
									Total Shares:	1,265,	000	1,265	,000

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item Voting Dissident Mgmt Dissent % Result Against Against Abstain Abstain Proposal Summary Proposal Rec Level For Type Base

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	N												
2	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
3.1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
3.2	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
4	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
5	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
6	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
7	N												
8	N												
9.a	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.b	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c2	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c3	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c4	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c5	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c6	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c7	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c8	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c9	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c10	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c11	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c12	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c13	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c14	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
9.c15	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
10.1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
10.2	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
11.1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
11.2	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
12.1	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
12.2	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
12.3	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
12.4	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A

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Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
12.5	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
12.6	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
12.7	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
12.8	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
12.9	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
13	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
14	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
15	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
16	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
17	Υ	Pass	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
	N												
18	Υ	Fail	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
	N												
19	Υ	Fail	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
	N												
20	Υ	Fail	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
21	Υ	Fail	No	None	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A
22	N												

#### **Ovintiv Inc.**

Meeting Date: 05/04/2022 Record Date: 03/10/2022

Primary Security ID: 69047Q102

Country: USA

Meeting Type: Annual

Primary CUSIP: 69047Q102

Ticker: OVV

Meeting ID: 1605542

Primary ISIN: US69047Q1022

Proxy Level: 3

Primary SEDOL: BJ01KB6

**Earliest Cutoff Date:** 05/03/2022 **Votable Shares:** 300,000 Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 300,000

Additional Policy: Shares Voted: 300,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Peter A. Dea	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director										
1b		Elect Director Meg A. Gentle	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	ector nominees is	warranted.								
		Blended Rationale: A vote FOR the director	r nominees is war	ranted.								
1c		Elect Director Howard J. Mayson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the dir	ector nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director	r nominees is war	ranted.							-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Ovintiv Inc.**

-	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
1d		Elect Director Brendan M. McCrad	cken	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FC	OR the directo	r nominees is	warranted.										
		Blended Rationale: A vote FOR th	e director nor	minees is warra	anted.										
1e		Elect Director Lee A. McIntire		Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FC	OR the directo	r nominees is	warranted.										
		Blended Rationale: A vote FOR th	e director non	minees is warra	anted.										
1f		Elect Director Katherine L. Minya	rd	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FC	OR the directo	r nominees is	warranted.										
		Blended Rationale: A vote FOR th	e director non	minees is warra	anted.										
1g		Elect Director Steven W. Nance		Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FC	OR the directo	r nominees is	warranted.										
		Blended Rationale: A vote FOR th	e director non	minees is warra	anted.										
1h		Elect Director Suzanne P. Nimock	s	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FC	OR the directo	r nominees is	warranted.										
		Blended Rationale: A vote FOR th	e director non	minees is warra	anted.										
<b>1</b> i		Elect Director George L. Pita	Director George L. Pita Mgmt Yes For For For For No												
		Voting Policy Rationale: A vote FC	Policy Rationale: A vote FOR the director nominees is warranted.												
		Blended Rationale: A vote FOR th	e director nor	minees is warra	anted.										
1j		Elect Director Thomas G. Ricks		Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FC	OR the directo	r nominees is	warranted.										
		Blended Rationale: A vote FOR th	e director nor	minees is warra	anted.										
1k		Elect Director Brian G. Shaw		Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FC	OR the directo	r nominees is	warranted.										
		Blended Rationale: A vote FOR th	e director nor	minees is warra	anted.										
11		Elect Director Bruce G. Waterman	า	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FC	OR the directo	r nominees is	warranted.										
		Blended Rationale: A vote FOR th	e director non	ninees is warra	anted.										
2		Advisory Vote to Ratify Named Executive Officers' Compensation		Mgmt	Yes	For	For		For	For	No	No	No		
3		Amend Omnibus Stock Plan		Mgmt	Yes	For	For		For	For	No	No	No		
4		Ratify PricewaterhouseCoopers L Auditors	LP as	Mgmt	Yes	For	For		For	For	No	No	No		
Ballot Details	s														
Institutional Ac (IA Name, IA N		il Custodian Account Number Ballot Status Instructed Appro						Ballot Voting	Status	Votable S	hares	Shares \	Voted		
PIC_RTRI, PIC_R	RTRI	282301.001	<u>`</u>							300,00	00	300,0	000		
		04/26/2022 04/26/2022													
									Total Shares:	300,0	00	300,0	000		

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

#### **Ovintiv Inc.**

#### **Meeting Results**

Outstanding Shares Voted: 258,691,896

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	2.3%	181,052,519	97.7%	4,308,495	2.3%	0	N/A	Proxy	F+A
1b	Υ	Pass	No	For	0.7%	185,064,734	99.3%	1,257,914	0.7%	0	N/A	Proxy	F+A
1c	Y	Pass	No	For	0.4%	185,666,639	99.6%	659,123	0.4%	0	N/A	Proxy	F+A
1d	Y	Pass	No	For	0.3%	185,712,436	99.7%	614,527	0.3%	0	N/A	Proxy	F+A
1e	Y	Pass	No	For	1.6%	183,360,724	98.4%	2,964,280	1.6%	0	N/A	Proxy	F+A
1f	Υ	Pass	No	For	0.5%	185,471,660	99.5%	856,324	0.5%	0	N/A	Proxy	F+A
1g	Υ	Pass	No	For	0.4%	185,628,545	99.6%	693,631	0.4%	0	N/A	Proxy	F+A
1h	Υ	Pass	No	For	2.4%	181,941,295	97.6%	4,386,577	2.4%	0	N/A	Proxy	F+A
1i	Υ	Pass	No	For	0.7%	184,924,251	99.3%	1,396,304	0.7%	0	N/A	Proxy	F+A
1j	Υ	Pass	No	For	1.7%	183,234,525	98.3%	3,085,321	1.7%	0	N/A	Proxy	F+A
1k	Υ	Pass	No	For	0.7%	185,057,328	99.3%	1,268,537	0.7%	0	N/A	Proxy	F+A
11	Υ	Pass	No	For	1.5%	183,560,190	98.5%	2,766,228	1.5%	0	N/A	Proxy	F+A
2	Y	Pass	No	For	2.2%	182,065,279	97.8%	4,123,657	2.2%	0	N/A	Proxy	F+A+AB
3	Y	Pass	No	For	2.6%	181,330,791	97.4%	4,922,022	2.6%	0	N/A	Proxy	F+A+AB
4	Y	Pass	No	For	2.4%	202,015,794	97.6%	4,965,334	2.4%	0	N/A	Proxy	F+A+AB

### **Unilever Plc**

Votable Shares: 278,095

Meeting Date: 05/04/2022 **Record Date:** 05/02/2022

Primary Security ID: G92087165

Earliest Cutoff Date: 04/29/2022

Country: United Kingdom Meeting Type: Annual

**Primary CUSIP:** G92087165

Total Ballots: 1 \*Shares on Loan: 0 Ticker: ULVR

**Meeting ID:** 1623697

Shares Instructed: 278,095

Primary ISIN: GB00B10RZP78

Voting Policy: ISS **Additional Policy:** 

Shares Voted: 278,095

Proxy Level: N/A

Primary SEDOL: B10RZP7

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS			
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No			
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No			
3		Re-elect Nils Andersen as Director	Mgmt	Yes	For	For		For	For	No	No	No			
		Voting Policy Rationale: A vote FOR these Direct	)												
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.								
4		Re-elect Judith Hartmann as Director	Mgmt	Yes	For	For		For	For	No	No	No			
		Voting Policy Rationale: A vote FOR these Direct	ting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.												
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.				1				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Unilever Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5		Re-elect Alan Jope as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
6		Re-elect Andrea Jung as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
7		Re-elect Susan Kilsby as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
8		Re-elect Strive Masiyiwa as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
9		Re-elect Youngme Moon as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
10		Re-elect Graeme Pitkethly as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
11		Re-elect Feike Sijbesma as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
12		Elect Adrian Hennah as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
13		Elect Ruby Lu as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
14		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reson recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	because the	e proposed a	amounts and	durations are	within				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Unilever Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
18		Authorise Issue of Equity without Pre-emptive Rights	ut	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these resolu	utions is warra	nted becaus	e the propos	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution.	s is warranted	because the	e proposed a	amounts and	durations are	within				
19		Authorise Issue of Equity withor Pre-emptive Rights in Connection an Acquisition or Other Capital Investment		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these resolu	utions is warra	nted becaus	e the propos	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution.	s is warranted	because the	e proposed a	amounts and	durations are	within				
20		Authorise Market Purchase of C Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
21		Authorise the Company to Call Meeting with Two Weeks' Notice		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	l	Approved	i	Ballot Voting	Status	Votable Si	nares	Shares 1	Voted
PIC_RTRI, PIC	_RTRI	282301.001	Confirmed	jbisset		jbisset				278,09	5	278,0	095
				04/26/2022		04/26/202	22						

Total Shares:

278,095

278,095

#### **Meeting Results**

Outstanding Shares Voted: 2,555,681,470

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	1,784,771,403	100.0%	845,727	0.0%	5,690,097	N/A	Poll	F+A
2	Υ	Pass	No	For	7.5%	1,653,105,110	92.5%	133,616,656	7.5%	4,585,321	N/A	Poll	F+A
3	Υ	Pass	No	For	7.8%	1,650,208,767	92.2%	138,827,869	7.8%	2,267,306	N/A	Poll	F+A
4	Υ	Pass	No	For	0.8%	1,774,485,487	99.2%	14,499,168	0.8%	2,321,354	N/A	Poll	F+A
5	Υ	Pass	No	For	3.6%	1,724,961,069	96.4%	64,568,113	3.6%	1,778,490	N/A	Poll	F+A
6	Υ	Pass	No	For	5.0%	1,698,883,917	95.0%	90,190,281	5.0%	2,231,810	N/A	Poll	F+A
7	Υ	Pass	No	For	0.8%	1,774,007,079	99.2%	15,061,832	0.8%	2,237,098	N/A	Poll	F+A
8	Υ	Pass	No	For	1.1%	1,769,253,409	98.9%	19,723,543	1.1%	2,329,056	N/A	Poll	F+A
9	Υ	Pass	No	For	1.0%	1,770,316,797	99.0%	18,660,776	1.0%	2,328,435	N/A	Poll	F+A
10	Υ	Pass	No	For	3.7%	1,723,474,854	96.3%	65,559,756	3.7%	2,252,435	N/A	Poll	F+A
11	Υ	Pass	No	For	1.1%	1,769,656,458	98.9%	19,339,706	1.1%	2,309,845	N/A	Poll	F+A
12	Υ	Pass	No	For	1.2%	1,767,022,039	98.8%	21,955,381	1.2%	2,328,588	N/A	Poll	F+A

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Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
13	Y	Pass	No	For	0.7%	1,777,112,750	99.3%	11,895,279	0.7%	2,297,929	N/A	Poll	F+A
14	Υ	Pass	No	For	1.0%	1,771,210,928	99.0%	16,997,442	1.0%	3,093,363	N/A	Poll	F+A
15	Υ	Pass	No	For	0.6%	1,778,622,006	99.4%	10,297,215	0.6%	2,384,951	N/A	Poll	F+A
16	Υ	Pass	No	For	2.0%	1,742,197,236	98.0%	35,890,771	2.0%	13,188,421	N/A	Poll	F+A
17	Υ	Pass	No	For	5.6%	1,689,544,033	94.4%	99,633,679	5.6%	2,130,961	N/A	Poll	F+A
18	Υ	Pass	No	For	1.7%	1,756,444,950	98.3%	30,741,777	1.7%	4,114,524	N/A	Poll	F+A
19	Υ	Pass	No	For	3.0%	1,734,740,008	97.0%	52,806,643	3.0%	3,762,073	N/A	Poll	F+A
20	Υ	Pass	No	For	1.1%	1,768,051,750	98.9%	19,745,781	1.1%	3,507,271	N/A	Poll	F+A
21	Υ	Pass	No	For	8.0%	1,617,343,217	92.0%	141,172,318	8.0%	31,733,892	N/A	Poll	F+A

## **BAE Systems Plc**

Meeting Date: 05/05/2022 Record Date: 05/03/2022

Earliest Cutoff Date: 05/02/2022

Votable Shares: 1,628,580

Primary Security ID: G06940103

**Country:** United Kingdom **Meeting Type:** Annual

Primary CUSIP: G06940103

Total Ballots: 1

\*Shares on Loan: 0

Ticker: BA

**Meeting ID:** 1566370

**Primary ISIN:** GB0002634946

Voting Policy: ISS

Shares Instructed: 1,628,580

Proxy Level: N/A

Primary SEDOL: 0263494

Additional Policy:
Shares Voted: 1,628,580

	141 451 1/020/50				J 05 2.	100.00001 1/0	20,500		J CD 7 0 CO.	1 1/020/500		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
4		Re-elect Nicholas Anderson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	rectors is warrai	nted as no si	ignificant co	ncerns have l	been identified	!.			-	
		Blended Rationale: A vote FOR these Director	rs is warranted	as no signific	cant conceri	ns have been	identified.				-	
5		Re-elect Thomas Arseneault as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	rectors is warrai	nted as no si	ignificant co	ncerns have l	been identified	!				
		Blended Rationale: A vote FOR these Directo	rs is warranted	as no signific	cant conceri	ns have been	identified.					
6		Re-elect Sir Roger Carr as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	rectors is warrai	nted as no si	ignificant co	ncerns have l	been identified	!.			-	
		Blended Rationale: A vote FOR these Director	rs is warranted	as no signific	cant conceri	ns have been	identified.					
7		Re-elect Dame Elizabeth Corley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	rectors is warrai	nted as no si	ignificant co	ncerns have l	been identified	!				
		Blended Rationale: A vote FOR these Directo	rs is warranted	as no signific	cant conceri	ns have been	identified.					
8		Re-elect Bradley Greve as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Di	rectors is warrai	nted as no si	ignificant co	ncerns have l	been identified	!.			_	
		Blended Rationale: A vote FOR these Directo	rs is warranted	as no signific	cant conceri	ns have been	identified.					

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# **BAE Systems Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Re-elect Jane Griffiths as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	nificant con	cerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
10		Re-elect Christopher Grigg as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
11		Re-elect Stephen Pearce as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	nificant con	cerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
12		Re-elect Nicole Piasecki as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	been identified	•				
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
13		Re-elect Charles Woodburn as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	been identified					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
14		Elect Crystal E Ashby as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	been identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
15		Elect Ewan Kirk as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	ocerns have b	been identified	•				
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concern	s have been	identified.					
16		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	warranted be	cause there	are no conc	erns regardir	ng this proposa	3/.				
		Blended Rationale: A vote FOR this item is war	ranted because	e there are r	no concerns	regarding th	is proposal.					
18		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
19		Approve Share Incentive Plan	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resol recommended limits.	utions is warra	anted becaus	se the propo	sed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	s is warranted	because the	e proposed a	amounts and	durations are	within				
21		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resol recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	s and duration	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	s is warranted	because the	e proposed a	amounts and	durations are	within				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **BAE Systems Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
22		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	nils											

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		1,628,580	1,628,580
			04/27/2022	04/27/2022			
					Total Shares:	1,628,580	1,628,580

#### **Meeting Results**

Outstanding Shares Voted: 3,156,045,043

Results Available: Partial

Jutstan	uing Snares	<b>votea:</b> 3,136,0	45,043		Res	uits Available: Paru	dl						
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	2,389,014,557	100.0%	131,733	0.0%	9,437,666	N/A	Poll	F+A
2	Υ	Pass	No	For	4.0%	2,288,879,813	96.0%	95,175,707	4.0%	14,521,219	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	2,398,308,499	100.0%	54,608	0.0%	266,462	N/A	Poll	F+A
4	Υ	Pass	No	For	1.2%	2,368,949,581	98.8%	29,103,946	1.2%	532,636	N/A	Poll	F+A
5	Υ	Pass	No	For	0.1%	2,396,659,413	99.9%	1,285,246	0.1%	629,318	N/A	Poll	F+A
6	Υ	Pass	No	For	2.3%	2,343,086,512	97.7%	54,932,032	2.3%	579,190	N/A	Poll	F+A
7	Υ	Pass	No	For	5.5%	2,266,011,377	94.5%	131,965,558	5.5%	611,285	N/A	Poll	F+A
8	Υ	Pass	No	For	0.2%	2,394,139,732	99.8%	3,785,603	0.2%	658,858	N/A	Poll	F+A
9	Υ	Pass	No	For	5.5%	2,258,490,262	94.5%	131,918,502	5.5%	8,184,768	N/A	Poll	F+A
10	Υ	Pass	No	For	1.0%	2,373,982,675	99.0%	23,978,173	1.0%	630,218	N/A	Poll	F+A
11	Y	Pass	No	For	2.0%	2,350,652,320	98.0%	47,287,585	2.0%	649,004	N/A	Poll	F+A
12	Υ	Pass	No	For	6.1%	2,252,301,398	93.9%	145,631,566	6.1%	654,986	N/A	Poll	F+A
13	Υ	Pass	No	For	0.0%	2,396,860,164	100.0%	1,160,589	0.0%	582,190	N/A	Poll	F+A
14	Y	Pass	No	For	0.1%	2,396,617,105	99.9%	1,241,623	0.1%	725,179	N/A	Poll	F+A
15	Y	Pass	No	For	0.0%	2,396,726,312	100.0%	1,137,854	0.0%	713,555	N/A	Poll	F+A
16	Υ	Pass	No	For	0.0%	2,397,592,052	100.0%	548,249	0.0%	463,232	N/A	Poll	F+A
17	Y	Pass	No	For	0.0%	2,397,547,254	100.0%	606,096	0.0%	435,769	N/A	Poll	F+A
18	Y	Pass	No	For	0.8%	2,366,930,327	99.2%	19,099,072	0.8%	12,557,056	N/A	Poll	F+A
19	Y	Pass	No	For	0.3%	2,390,935,921	99.7%	7,307,939	0.3%	381,324	N/A	Poll	F+A
20	Y	Pass	No	For	6.7%	2,236,461,834	93.3%	161,770,763	6.7%	365,889	N/A	Poll	F+A
21	Υ	Pass	No	For	10.3%	2,151,037,852	89.7%	246,946,216	10.3%	601,207	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
22	Υ	Pass	No	For	0.5%	2,384,586,216	99.5%	11,516,409	0.5%	2,485,233	N/A	Poll	F+A
23	Υ	Pass	No	For	6.3%	2,247,120,282	93.7%	151,121,440	6.3%	318,810	N/A	Poll	F+A

#### **Melrose Industries Plc**

Meeting Date: 05/05/2022 **Record Date:** 05/03/2022

Country: United Kingdom Meeting Type: Annual

Ticker: MRO

Voting Policy: ISS

Proxy Level: N/A

Primary Security ID: G5973J202

Primary CUSIP: G5973J202

**Meeting ID:** 1615140

Primary SEDOL: BNR5MZ7

Earliest Cutoff Date: 05/02/2022 Votable Shares: 10,500,000

Total Ballots: 1

Primary ISIN: GB00BNR5MZ78

**Additional Policy:** 

\*Shares on Loan: 0

Shares Instructed: 10,500,000

Shares Voted: 10,500,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
4		Re-elect Christopher Miller as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these car	ndidates is warr	anted as no .	significant c	oncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candida	tes is warranted	d as no signii	ficant conce	rns have bee	n identified.					
5		Re-elect Simon Peckham as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these car	ndidates is warr	anted as no	significant o	oncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candida	tes is warranted	d as no signii	ficant conce	rns have bee	n identified.					
6		Re-elect Geoffrey Martin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these car	ndidates is warr	anted as no .	significant c	oncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candida	tes is warranted	d as no signii	ficant conce	rns have bee	n identified.					
7		Re-elect Peter Dilnot as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these car	ndidates is warr	anted as no .	significant c	oncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candida	tes is warranted	d as no signii	ficant conce	rns have bee	n identified.					
8		Re-elect Justin Dowley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these car	ndidates is warr	anted as no .	significant c	oncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candida	tes is warranted	d as no signii	ficant conce	rns have bee	n identified.					
9		Re-elect David Lis as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these car	ndidates is warr	anted as no .	significant c	oncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candida	tes is warranted	d as no signii	ficant conce	rns have bee	n identified.					
10		Re-elect Charlotte Twyning as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these car	ndidates is warr	anted as no	significant o	oncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candida	tes is warranted	d as no signii	ficant conce	rns have bee	n identified.					
11		Re-elect Funmi Adegoke as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these car	ndidates is warr	anted as no	significant o	oncerns have	been identifie	ed.				
		Blended Rationale: A vote FOR these candida	tes is warranted	d as no signii	ficant conce	rns have bee	n identified.					

## **Melrose Industries Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12		Elect Heather Lawrence as Dir	ector	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	FOR these can	didates is warra	anted as no .	significant co	oncerns have	been identifie	d.				
		Blended Rationale: A vote FOR	these candidat	es is warranted	d as no signii	ficant concer	ns have beer	identified.					
13		Elect Victoria Jarman as Direct	cor	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	FOR these can	didates is warra	anted as no .	significant co	oncerns have	been identifie	d.				
		Blended Rationale: A vote FOR	these candidat	es is warranted	d as no signii	ficant concer	rns have beer	identified.					
14		Reappoint Deloitte LLP as Aud	itors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise the Audit Committee Remuneration of Auditors	e to Fix	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	olutions is warra	anted becau	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolutio	ns is warranted	d because th	e proposed a	amounts and	durations are	within				
17		Authorise Issue of Equity with	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	olutions is warra	anted becau	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolutio	ns is warranted	d because th	e proposed a	amounts and	durations are	within				
18		Authorise Issue of Equity with Pre-emptive Rights in Connect an Acquisition or Other Capital Investment	ion with	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	olutions is warra	anted becau	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolutio	ns is warranted	d because th	e proposed a	amounts and	durations are	within				
19		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
20		Authorise the Company to Call Meeting with Two Weeks' Noti		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutional (IA Name, IA	l Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares \	Voted
PIC_RTRI, PIC	C_RTRI	282301.001	Confirmed	jbisset		jbisset				10,500,	000	10,500	),000
				04/27/2022	2	04/27/202	22						
									Total Shares:	10,500,	,000	10,500	,000

#### **Meeting Results**

Outstanding Shares Voted: 4,372,429,473

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.1%	3,578,289,842	99.9%	2,508,605	0.1%	89.752.485	N/A	Poll	F+A

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2	Y	Pass	No	For	2.7%	3,549,339,509	97.3%	96,831,990	2.7%	24,379,433	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	3,668,726,493	100.0%	110,239	0.0%	1,714,200	N/A	Poll	F+A
4	Υ	Pass	No	For	0.2%	3,659,529,350	99.8%	9,138,409	0.2%	1,883,173	N/A	Poll	F+A
5	Y	Pass	No	For	0.2%	3,659,940,343	99.8%	8,719,855	0.2%	1,890,734	N/A	Poll	F+A
6	Υ	Pass	No	For	0.8%	3,637,713,069	99.2%	30,946,066	0.8%	1,891,797	N/A	Poll	F+A
7	Υ	Pass	No	For	0.2%	3,659,700,657	99.8%	8,957,541	0.2%	1,892,734	N/A	Poll	F+A
8	Υ	Pass	No	For	6.6%	3,427,864,655	93.4%	240,789,260	6.6%	1,897,017	N/A	Poll	F+A
9	Υ	Pass	No	For	1.2%	3,624,470,089	98.8%	44,185,130	1.2%	1,895,713	N/A	Poll	F+A
10	Υ	Pass	No	For	1.0%	3,630,175,149	99.0%	38,466,525	1.0%	1,909,258	N/A	Poll	F+A
11	Υ	Pass	No	For	0.9%	3,634,518,731	99.1%	34,095,835	0.9%	1,936,366	N/A	Poll	F+A
12	Υ	Pass	No	For	0.2%	3,663,054,766	99.8%	5,576,479	0.2%	1,919,687	N/A	Poll	F+A
13	Υ	Pass	No	For	0.2%	3,663,098,826	99.8%	5,545,608	0.2%	1,906,498	N/A	Poll	F+A
14	Υ	Pass	No	For	3.6%	3,536,337,246	96.4%	132,248,019	3.6%	1,965,667	N/A	Poll	F+A
15	Υ	Pass	No	For	1.0%	3,633,734,492	99.0%	34,916,431	1.0%	1,899,832	N/A	Poll	F+A
16	Υ	Pass	No	For	1.6%	3,611,242,553	98.4%	57,520,604	1.6%	1,787,775	N/A	Poll	F+A
17	Υ	Pass	No	For	0.5%	3,650,766,843	99.5%	17,949,462	0.5%	1,834,627	N/A	Poll	F+A
18	Υ	Pass	No	For	3.8%	3,529,202,957	96.2%	139,430,478	3.8%	1,917,497	N/A	Poll	F+A
19	Υ	Pass	No	For	2.1%	3,590,006,908	97.9%	78,423,097	2.1%	2,120,927	N/A	Poll	F+A
20	Υ	Pass	No	For	2.6%	3,572,388,912	97.4%	96,375,145	2.6%	1,786,875	N/A	Poll	F+A

## **Conduit Holdings Ltd.**

Meeting Date: 05/11/2022 **Record Date:** 05/09/2022

Primary Security ID: G24385109

Earliest Cutoff Date: 04/22/2022 Votable Shares: 1,254,983

Country: Bermuda Meeting Type: Annual

Primary CUSIP: G24385109

Total Ballots: 1

\*Shares on Loan: 0

Ticker: CRE

Meeting ID: 1599694

Primary ISIN: BMG243851091

Voting Policy: ISS Shares Instructed: 1,254,983 Proxy Level: N/A

Primary SEDOL: BN133N2

Additional Policy:

**Shares Voted:** 1,254,983

Proposal	Significant			Votable	Mgmt		Additional Policy	Voting Policy	Vote	Vote Against	Vote Against	Vote Against
Number	Vote	Proposal Text	Proponent	Proposal	Rec	ISS Rec	Rec	Rec	Instruction	Mgmt	Policy	ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Reduction of the Share Premium Account	Mgmt	Yes	For	For		For	For	No	No	No

# **Conduit Holdings Ltd.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
5		Re-elect Neil Eckert as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sal significant concerns have been identified.							1,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard Sal significant concerns have been identified.		-			-					
6		Re-elect Trevor Carvey as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sat significant concerns have been identified.	-			, .	-		1,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard San significant concerns have been identified.		-	, .		-	-				
7		Re-elect Elaine Whelan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sal significant concerns have been identified.	,	,		,,	•		1,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard Sat significant concerns have been identified.		-	, .		-	-				
8		Re-elect Sir Brian Williamson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sat significant concerns have been identified.							η,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard Sa significant concerns have been identified.		-	, .		-	-				
9		Re-elect Malcolm Furbert as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sat significant concerns have been identified.	-			, .	-		1,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard Sat significant concerns have been identified.		,	,,		•	,				
10		Re-elect Elizabeth Murphy as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sat significant concerns have been identified.	-			, .	-		1,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard Sal significant concerns have been identified.		-	, .		-	-				
11		Re-elect Richard Sandor as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the re-elect Malcolm Furbert, Elizabeth Murphy, Richard Sal significant concerns have been identified.	-			, .	-		1,			
		Blended Rationale: A vote FOR the re-election/ Malcolm Furbert, Elizabeth Murphy, Richard San significant concerns have been identified.										

# **Conduit Holdings Ltd.**

•	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12		Re-elect Ken Randall as Direc	tor	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote Malcolm Furbert, Elizabeth Mu significant concerns have beel	rphy, Richard Sa	-	-		, .	-		on,			
		Blended Rationale: A vote FOH Malcolm Furbert, Elizabeth Mu significant concerns have been	rphy, Richard Sa		,	,,		•					
13		Elect Michelle Smith as Direct	or	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote Malcolm Furbert, Elizabeth Mu significant concerns have beel	rphy, Richard Sa	•			,,	•		on,			
		Blended Rationale: A vote FOR Malcolm Furbert, Elizabeth Mu significant concerns have been	rphy, Richard Sa.					•	-				
14		Ratify KPMG Audit Limited as	Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise Board to Fix Remur of Auditors	eration	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the prop	oosed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	l because the	e proposea	l amounts and	durations are	within				
17		Authorise Issue of Equity with Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the prop	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	R these resolution	ns is warranted	l because the	e proposea	l amounts and	durations are	within				
18		Authorise Market Purchase of Shares	Common	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detai	ils												
Institutional A	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	i	Approv	ved	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PIC_	_RTRI	282301.001	Confirmed	jbisset		jbisset				1,254,9	983	1,254	,983
				04/28/2022		04/28/2	1022						

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		1,254,983	1,254,983
			04/28/2022	04/28/2022	_		
					Total Shares:	1,254,983	1,254,983

#### **Meeting Results**

Outstanding Shares Voted: 164,717,174 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
2	Υ	Pass	No	For	2.4%	131,008,002	97.6%	3,222,914	2.4%	0	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	132,758,002	100.0%	22,914	0.0%	1,450,000	N/A	Poll	F+A
4	Y	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
5	Y	Pass	No	For	1.3%	132,440,890	98.7%	1,790,026	1.3%	0	N/A	Poll	F+A
6	Υ	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
7	Υ	Pass	No	For	0.1%	134,069,427	99.9%	161,489	0.1%	0	N/A	Poll	F+A
8	Υ	Pass	No	For	5.8%	126,501,256	94.2%	7,729,660	5.8%	0	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	134,206,721	100.0%	24,195	0.0%	0	N/A	Poll	F+A
10	Y	Pass	No	For	0.0%	134,206,721	100.0%	24,195	0.0%	0	N/A	Poll	F+A
11	Y	Pass	No	For	0.0%	134,206,721	100.0%	24,195	0.0%	0	N/A	Poll	F+A
12	Y	Pass	No	For	0.0%	134,206,721	100.0%	24,195	0.0%	0	N/A	Poll	F+A
13	Y	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
14	Y	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
15	Y	Pass	No	For	0.0%	134,230,916	100.0%	0	0.0%	0	N/A	Poll	F+A
16	Y	Pass	No	For	0.4%	133,707,045	99.6%	523,871	0.4%	0	N/A	Poll	F+A
17	Υ	Pass	No	For	0.2%	133,980,916	99.8%	250,000	0.2%	0	N/A	Poll	F+A
18	Υ	Pass	No	For	1.1%	132,589,559	98.9%	1,450,000	1.1%	191,357	N/A	Poll	F+A

## **Kinross Gold Corporation**

Meeting Date: 05/11/2022 **Record Date:** 03/16/2022

Earliest Cutoff Date: 05/06/2022

Country: Canada

Primary Security ID: 496902404

Meeting Type: Annual

**Primary CUSIP:** 496902404

Ticker: K

Meeting ID: 1608213

Primary ISIN: CA4969024047

Primary SEDOL: B03Z841

Proxy Level: 3

Voting Policy: ISS **Additional Policy: Shares Voted:** 9,250,000

Votable Shares: 9,250,000

Total Ballots: 1

\*Shares on Loan: 0 Shares Instructed: 9,250,000

Additional Voting Vote Vote Vote Significant Votable **Proposal** Mgmt **Policy** Policy Vote Against Against Against Number Vote **Proposal Text Proponent** Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS Elect Director Ian Atkinson Yes For For No No 1.1 Mgmt For For Nο Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time. Blended Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time. 1.2 Elect Director Kerry D. Dyte Mgmt For No No No Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time. Blended Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time. Elect Director Glenn A. Ives 1.3 Mgmt Yes For For For For No No No Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time. Blended Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time. 1.4 Elect Director Ave G. Lethbridge Mgmt For No No No Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.

Blended Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Kinross Gold Corporation**

Proposal Significa Number Vote		osal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.5	Elect	Director Elizabeth D. McG	regor	Mgmt	Yes	For	For		For	For	No	No	No
	Votin	g Policy Rationale: Vote FC	DR all proposed	nominees as r	o significan	t concerns h	ave been idei	ntified at this t	ime.				
	Blend	ded Rationale: Vote FOR ali	proposed nom	inees as no sig	nificant con	cerns have b	een identifie	d at this time.					
1.6		: Director Catherine eod-Seltzer		Mgmt	Yes	For	For		For	For	No	No	No
	Votin	g Policy Rationale: Vote FC	OR all proposed	nominees as r	o significan	t concerns h	ave been idei	ntified at this t	rime.				
	Blend	ded Rationale: Vote FOR ali	proposed nom	inees as no sig	nificant con	cerns have b	een identifie	d at this time.					
1.7	Elect	Director Kelly J. Osborne		Mgmt	Yes	For	For		For	For	No	No	No
	Votin	g Policy Rationale: Vote FC	OR all proposed	nominees as r	o significan	t concerns h	ave been idei	ntified at this t	ime.				
	Blend	ded Rationale: Vote FOR ali	proposed nom	inees as no sig	nificant con	cerns have b	een identifie	d at this time.					
1.8	Elect	Director J. Paul Rollinson		Mgmt	Yes	For	For		For	For	No	No	No
	Votin	g Policy Rationale: Vote FC	OR all proposed	nominees as r	o significan	t concerns h	ave been idei	ntified at this t	ime.				
	Blend	ded Rationale: Vote FOR ali	proposed nom	inees as no sig	nificant con	cerns have b	een identifie	d at this time.					
1.9	Elect	Director David A. Scott		Mgmt	Yes	For	For		For	For	No	No	No
	Votin	g Policy Rationale: Vote FC	OR all proposed	nominees as r	o significan	t concerns h	ave been idei	ntified at this t	ime.				
	Blend	ded Rationale: Vote FOR all	proposed nom	inees as no sig	nificant con	cerns have b	een identifie	d at this time.					
2	Auth	ove KPMG LLP as Auditors orize Board to Fix Their uneration	and	Mgmt	Yes	For	For		For	For	No	No	No
3		sory Vote to Ratify Named autive Officer's Compensation	on	Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>													
Institutional Account D (IA Name, IA Number)	etail	Custodian Account Number	Ballot Status	Instructed	I	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PIC_RTRI		282301.001	Confirmed	dnicoll		dnicoll				9,250,0	000	9,250	,000
				05/03/2022		05/03/202	22						
									Total Shares:	9,250,0	000	9,250	,000

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	2.3%	818,552,633	97.7%	19,425,689	2.3%	N/A	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	1.6%	824,194,573	98.4%	13,783,748	1.6%	N/A	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.1%	828,957,429	98.9%	9,020,893	1.1%	N/A	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	1.9%	822,031,204	98.1%	15,947,117	1.9%	N/A	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	1.1%	828,610,027	98.9%	9,368,295	1.1%	N/A	N/A	Proxy	F+A
1.6	Y	Pass	No	For	11.1%	745,258,792	88.9%	92,719,530	11.1%	N/A	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	1.7%	823,834,258	98.3%	14,144,063	1.7%	N/A	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.8	Υ	Pass	No	For	1.1%	829,059,653	98.9%	8,918,668	1.1%	N/A	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	1.1%	829,143,995	98.9%	8,834,327	1.1%	N/A	N/A	Proxy	F+A
2	Υ	Pass	No	For	2.9%	898,743,904	97.1%	26,884,653	2.9%	N/A	N/A	Proxy	F+A
3	Y	Pass	No	For	9.4%	759,370,975	90.6%	78,737,593	9.4%	N/A	N/A	Proxy	F+A

Ticker: URW

Meeting ID: 1624834

Proxy Level: N/A

#### **Unibail-Rodamco-Westfield SE**

Meeting Date: 05/11/2022 Country: France

Record Date: 05/09/2022 Meeting Type: Annual/Special

 Primary Security ID: F95094581
 Primary CUSIP: F95094581
 Primary ISIN: FR0013326246
 Primary SEDOL: BFYM460

 Earliest Cutoff Date: 05/06/2022
 Total Ballots: 1
 Voting Policy: ISS
 Additional Policy:

 Votable Shares: 415,000
 \*Shares on Loan: 0
 Shares Instructed: 415,000
 Shares Voted: 415,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary Business	Mgmt	No								
1		Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the apparant lack of concerns.	roval of the annu	al accounts a	nre warrant	ed due to the	unqualified au	ditors' opini	ion			
		Blended Rationale: Votes FOR the approval lack of concerns.	of the annual acc	counts are w	arranted du	ie to the unqu	ualified auditor	s' opinion a	nd			
2		Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the apparant lack of concerns.	roval of the annu	al accounts a	are warrant	ed due to the	unqualified au	ditors' opini	ion			
		Blended Rationale: Votes FOR the approval lack of concerns.	of the annual acc	counts are w	arranted du	ie to the unqu	ualified auditor	s' opinion a	nd			
3		Approve Allocation of Income and Absence of Dividends	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Auditors' Special Report on Related-Party Transactions	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Compensation Report of Jean-Marie Tritant, Chairman of the Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these re is difficult to ascertain the alignment betwee closures of shopping centers on the compa-	en pay and perfo	rmance rega	rding the b	onus payouts	given the imp	act of the				

Voting Policy Rationale: Votes FOR these remuneration reports are warranted, although the following concerns are raised: \* It is difficult to ascertain the alignment between pay and performance regarding the bonus payouts given the impact of the closures of shopping centers on the company's results. (Items 5-9). \* Under the 2021 LTI grant, it is difficult to ascertain that that the CSR sub-criteria are challenging enough (Items 5-8). The main reasons for support are: \* The CSR sub-criteria only weigh 10 percent of the LTI grant structure, which limits the risk to reward failure. \* The absence of any other significant concern.

Blended Rationale: Votes FOR these remuneration reports are warranted, although the following concerns are raised: \* It is difficult to ascertain the alignment between pay and performance regarding the bonus payouts given the impact of the closures of shopping centers on the company's results. (Items 5-9). \* Under the 2021 LTI grant, it is difficult to ascertain that that the CSR sub-criteria are challenging enough (Items 5-8). The main reasons for support are: \* The CSR subcriteria only weigh 10 percent of the LTI grant structure, which limits the risk to reward failure. \* The absence of any other significant concern.

## **Unibail-Rodamco-Westfield SE**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6		Approve Compensation of Olivier Bossard, Management Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remules difficult to ascertain the alignment between closures of shopping centers on the company that the CSR sub-criteria are challenging enouweigh 10 percent of the LTI grant structure, we concern.	pay and perfor s results. (Iten gh (Items 5-8).	mance regal ns 5-9). * Un . The main r	rding the bo nder the 20 easons for	onus payouts 21 LTI grant, support are:	given the impo it is difficult to * The CSR sub	act of the ascertain to criteria only	hat			
		Blended Rationale: Votes FOR these remunera difficult to ascertain the alignment between pa of shopping centers on the company's results. CSR sub-criteria are challenging enough (Item percent of the LTI grant structure, which limits	ny and performa (Items 5-9). * Is 5-8). The ma	ance regardi Under the a in reasons fo	ing the bon 2021 LTI gi or support a	us payouts gi rant, it is diffic are: * The CS	iven the impac cult to ascerta GR subcriteria c	t of the close in that that t only weigh 1	ures the			
7		Approve Compensation of Fabrice Mouchel, Management Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remuis difficult to ascertain the alignment between closures of shopping centers on the company that the CSR sub-criteria are challenging enouweigh 10 percent of the LTI grant structure, was concern.	pay and perfor s results. (Iten gh (Items 5-8).	mance regal ns 5-9). * Un The main re	rding the bo nder the 20 neasons for 1	onus payouts 21 LTI grant, support are:	given the imposit is difficult to * The CSR sub	act of the o ascertain to criteria only	hat			
		Blended Rationale: Votes FOR these remunera difficult to ascertain the alignment between pe of shopping centers on the company's results. CSR sub-criteria are challenging enough (Item percent of the LTI grant structure, which limits	ay and performa (Items 5-9). * Is 5-8). The ma	ance regardi <sup>k</sup> Under the 2 in reasons fo	ing the bon 2021 LTI gi or support a	us payouts gi rant, it is diffic are: * The CS	iven the impac cult to ascerta GR subcriteria c	t of the close in that that t only weigh 1	ures the			
8		Approve Compensation of Astrid Panosyan, Management Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remules difficult to ascertain the alignment between closures of shopping centers on the company that the CSR sub-criteria are challenging enough 10 percent of the LTI grant structure, we concern.	pay and perfor s results. (Iten gh (Items 5-8).	mance regal ns 5-9). * Un . The main re	rding the bo nder the 20 neasons for	onus payouts 21 LTI grant, support are:	given the imposit is difficult to * The CSR sub	act of the ascertain to criteria only	hat			
		Blended Rationale: Votes FOR these remuneral difficult to ascertain the alignment between per of shopping centers on the company's results. CSR sub-criteria are challenging enough (Item percent of the LTI grant structure, which limits	ay and performa (Items 5-9). * Is 5-8). The ma	ance regardi * Under the 2 in reasons fo	ing the bon 2021 LTI gi or support a	us payouts gi rant, it is diffic are: * The CS	iven the impac cult to ascerta GR subcriteria c	t of the close in that that t only weigh 1	ures the			
9		Approve Compensation of Caroline Puechoultres, Management Board Member Since 15 July 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these remules difficult to ascertain the alignment between closures of shopping centers on the company that the CSR sub-criteria are challenging enouweigh 10 percent of the LTI grant structure, we concern.	pay and perfor s results. (Iten gh (Items 5-8).	mance regal ns 5-9). * Ur . The main r	rding the bo nder the 20 easons for	onus payouts 21 LTI grant, support are:	given the impl it is difficult to * The CSR sub	act of the o ascertain to criteria only	hat			
		Blended Rationale: Votes FOR these remuneral difficult to ascertain the alignment between particular of shopping centers on the company's results. CSR sub-criteria are challenging enough (Item percent of the LTI grant structure, which limits	ny and performa (Items 5-9). * Is 5-8). The ma	ance regardi Under the a in reasons fo	ing the bon 2021 LTI gi or support a	us payouts gi rant, it is diffic are: * The CS	iven the impac cult to ascerta GR subcriteria c	t of the close in that that t only weigh 1	ures the			
10		Approve Compensation of Leon Bressler, Chairman of the Supervisory Board	Mgmt	Yes	For	For		For	For	No	No	No
11		Approve Compensation Report of Corporate Officers	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Unibail-Rodamco-Westfield SE**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
12		Approve Remuneration Policy of Chairman of the Management Board	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this remund the proposed remuneration policy, the LTI targe could reduce the attractivity for the executives would allow full payment when reaching the lev the no-pay-for-failure principle. The main reaso compared to last year's remuneration. * The ab	et level would to overperform vel of 75 perce ons for support	increase whom. * The perion on the perion of achieve the tare: * The perion of the perion of achieve the perion of the perion of	ile the max formance co ement. As s proposed re	r LTI grant wo riteria under t such, it does i emuneration j	ould decrease. the termination not seem fully	This change n agreement aligned with				
		Blended Rationale: A vote FOR this remuneration proposed remuneration policy, the LTI target le reduce the attractivity for the executives to over allow full payment when reaching the level of 7 no-pay-for-failure principle. The main reasons to compared to last year's remuneration. * The all	evel would incr erperform. * T of percent of a for support are	rease while to the performan achievement. e: * The prop	he max LTI nce criteria As such, it nosed remu	grant would under the ter does not see neration pack	decrease. This mination agre em fully aligned	s change cou ement would d with the	ıld			
13		Approve Remuneration Policy of Management Board Members	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this remunithe proposed remuneration policy, the LTI targe could reduce the attractivity for the executives would allow full payment when reaching the level the no-pay-for-failure principle. The main reason compared to last year's remuneration. * The above the property of the second to the second the sec	et level would to overperforn vel of 75 perce ons for support	increase whom. * The perion on the perion of achievent of achievent of the perion of the period of t	ile the max formance c ement. As s proposed re	LTI grant wo riteria under t such, it does i emuneration j	ould decrease. the termination not seem fully	This change n agreement aligned with				
		Blended Rationale: A vote FOR this remuneration proposed remuneration policy, the LTI target le reduce the attractivity for the executives to over allow full payment when reaching the level of 7 no-pay-for-failure principle. The main reasons the compared to last year's remuneration. * The above the remuneration is the second transfer of the s	evel would inco erperform. * To 75 percent of a for support are	rease while to the performan achievement. e: * The prop	he max LTI nce criteria As such, it nosed remu	grant would under the ter does not see neration pack	decrease. This mination agre em fully aligned	s change cou ement would d with the	ıld			
14		Approve Remuneration Policy of Supervisory Board Members	Mgmt	Yes	For	For		For	For	No	No	No
15		Reelect Julie Avrane as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the reelect concerns (Items 15, 17-18). * The number of a guidelines. Nevertheless, her overboarded position in Danone. As such, her reelection war	outside manda tion will cease	tes held by C by end of Ju	Cecile Cabai	nis is in exces	s of recomme	nded				
		Blended Rationale: * Votes FOR the reelections concerns (Items 15, 17-18). * The number of a guidelines. Nevertheless, her overboarded position in Danone. As such, her reelection war	outside manda tion will cease	tes held by C by end of Ju	Cecile Cabai	nis is in exces	s of recomme	nded				
16		Reelect Cecile Cabanis as Supervisory Board Member	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the reelect concerns (Items 15, 17-18). * The number of a guidelines. Nevertheless, her overboarded position in Danone. As such, her reelection war.	outside manda tion will cease	tes held by C by end of Ju	Cecile Cabai	nis is in exces	s of recomme	nded .				
		Blended Rationale: * Votes FOR the reelections concerns (Items 15, 17-18). * The number of a guidelines. Nevertheless, her overboarded position in Danone. As such, her reelection war	outside manda tion will cease	tes held by C by end of Ju	Cecile Cabai	nis is in exces	s of recomme	nded				

## **Unibail-Rodamco-Westfield SE**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
17		Reelect Dagmar Kollmann as Supervisory Board Member		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes concerns (Items 15, 17-18). * To guidelines. Nevertheless, her own position in Danone. As such, her	he number of e erboarded pos	outside manda ition will cease	tes held by by end of J	Cecile Cabai	nis is in exces	s of recommer	nded .			-	
		Blended Rationale: * Votes FOR concerns (Items 15, 17-18). * To guidelines. Nevertheless, her over position in Danone. As such, her	he number of e erboarded pos	outside manda ition will cease	tes held by by end of J	Cecile Cabai	nis is in exces	s of recommer	nded				
18		Appoint Michel Dessolain as Supervisory Board Member		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes concerns (Items 15, 17-18). * To guidelines. Nevertheless, her own position in Danone. As such, her	he number of e erboarded pos	outside manda ition will cease	tes held by by end of J	Cecile Cabai	nis is in exces	s of recommer	nded				
		Blended Rationale: * Votes FOR concerns (Items 15, 17-18). * To guidelines. Nevertheless, her own position in Danone. As such, her	he number of e erboarded pos	outside manda ition will cease	tes held by by end of J	Cecile Cabai	nis is in exces	s of recommer	nded			-	
19		Authorize Repurchase of Up to 2 Percent of Issued Share Capital	10	Mgmt	Yes	For	For		For	For	No	No	No
		Extraordinary Business		Mgmt	No								
20		Authorize Decrease in Share Cavia Cancellation of Repurchased Shares	pital	Mgmt	Yes	For	For		For	For	No	No	No
21		Authorize Capital Issuances for Employee Stock Purchase Plans	Use in	Mgmt	Yes	For	For		For	For	No	No	No
22		Authorize up to 2 Percent of Iss Capital for Use in Stock Option I		Mgmt	Yes	For	For		For	For	No	No	No
23		Authorize up to 1.8 Percent of I Capital for Use in Restricted Sto Plans		Mgmt	Yes	For	For		For	For	No	No	No
		Ordinary Business		Mgmt	No								
24		Authorize Filing of Required Documents/Other Formalities		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	nils												
Institutional (IA Name, IA	Account Detail A Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable 5	Shares	Shares	Voted
PIC_RTRI, PIC	C_RTRI	282301.001	Confirmed	jbisset 04/28/2022	)	jbisset 04/28/20	122			415,0	000	415,	000
				- 1, 20, 2021		3.,20,20			Total Shares:	415,0	000	415,	000

#### **Meeting Results**

Outstanding Shares Voted: 138,759,659 Results Available: Full

Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Туре	Base

N

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	87,002,272	100.0%	5,289	0.0%	395,480	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	87,002,366	100.0%	5,298	0.0%	395,377	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	87,339,337	100.0%	38	0.0%	26	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	87,358,540	100.0%	21,788	0.0%	22,713	N/A	Poll	F+A
5	Υ	Pass	No	For	6.7%	81,530,210	93.3%	5,842,792	6.7%	30,039	N/A	Poll	F+A
6	Y	Pass	No	For	6.8%	81,416,884	93.2%	5,959,784	6.8%	26,373	N/A	Poll	F+A
7	Υ	Pass	No	For	6.4%	81,777,517	93.6%	5,598,806	6.4%	26,718	N/A	Poll	F+A
8	Υ	Pass	No	For	6.4%	81,765,256	93.6%	5,610,897	6.4%	26,888	N/A	Poll	F+A
9	Υ	Pass	No	For	5.5%	82,588,303	94.5%	4,789,248	5.5%	25,490	N/A	Poll	F+A
10	Υ	Pass	No	For	0.2%	87,150,886	99.8%	207,063	0.2%	45,092	N/A	Poll	F+A
11	Υ	Pass	No	For	5.9%	82,234,577	94.1%	5,120,923	5.9%	47,541	N/A	Poll	F+A
12	Υ	Pass	No	For	6.7%	81,316,503	93.3%	5,859,599	6.7%	226,939	N/A	Poll	F+A
13	Υ	Pass	No	For	6.7%	81,316,701	93.3%	5,859,272	6.7%	227,068	N/A	Poll	F+A
14	Υ	Pass	No	For	2.5%	85,219,583	97.5%	2,153,859	2.5%	29,599	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	87,305,220	99.9%	73,609	0.1%	24,212	N/A	Poll	F+A
16	Υ	Pass	No	For	12.4%	76,545,485	87.6%	10,833,518	12.4%	24,038	N/A	Poll	F+A
17	Y	Pass	No	For	6.3%	81,876,261	93.7%	5,502,570	6.3%	24,210	N/A	Poll	F+A
18	Υ	Pass	No	For	6.6%	81,635,478	93.4%	5,739,091	6.6%	28,472	N/A	Poll	F+A
19	Υ	Pass	No	For	1.5%	86,077,793	98.5%	1,273,435	1.5%	51,813	N/A	Poll	F+A
	N												
20	Υ	Pass	No	For	3.0%	84,759,705	97.0%	2,630,612	3.0%	16,792	N/A	Poll	F+A
21	Υ	Pass	No	For	3.7%	84,147,778	96.3%	3,235,978	3.7%	23,353	N/A	Poll	F+A
22	Υ	Pass	No	For	4.8%	83,142,953	95.2%	4,225,764	4.8%	38,392	N/A	Poll	F+A
23	Υ	Pass	No	For	5.3%	82,746,944	94.7%	4,622,070	5.3%	38,095	N/A	Poll	F+A
	N												
24	Y	Pass	No	For	0.0%	87,333,736	100.0%	26,923	0.0%	42,382	N/A	Poll	F+A

## **Balfour Beatty Plc**

Meeting Date: 05/12/2022 Record Date: 05/10/2022 Primary Security ID: G3224V108 Country: United Kingdom
Meeting Type: Annual

Primary CUSIP: G3224V108

Ticker: BBY

**Meeting ID:** 1630189

**Primary ISIN:** GB0000961622

Proxy Level: N/A

Primary SEDOL: 0096162

# **Balfour Beatty Plc**

Earliest Cutoff Date: 05/09/2022

Total Ballots: 1

Voting Policy: ISS

Additional Policy:

Votable Shares: 2,881,510

\*Shares on Loan: 0

Shares Instructed: 2,881,510

Shares Voted: 2,881,510

Approve Remuneration Report Mgmt Yes For For For For For No No No Approve Remuneration Report Mgmt Yes For For For For For No No No Approve Final Dividend Mgmt Yes For For For For For No No No Approve Final Dividend Mgmt Yes For For For For For No No No No Approve Final Dividend Mgmt Yes For For For For For No No No No Approve Final Dividend Religionale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Religionale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Religionale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Religionale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Religionale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Religionale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Religionale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Religionale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Religionale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Religionale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Religionale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Religionale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Religionale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Religionale: A vote FOR the	Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
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Re-elect Barbara Moorhouse as Mgmt Yes For For For For For No No Director  Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Re-elect Leo Quinn as Director Mgmt Yes For For For For For No			Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	neen identified.					
Director  Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Re-elect Leo Quinn as Director			Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Re-elect Leo Quinn as Director Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Re-elect Anne Drinkwater as Director Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Reappoint KPMG LLP as Auditors Mgmt Yes For For For For No	10			Mgmt	Yes	For	For		For	For	No	No	No
Re-elect Leo Quinn as Director Mgmt Yes For For For For For No No No Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Re-elect Anne Drinkwater as Director Mgmt Yes For For For For For No No No Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Reappoint KPMG LLP as Auditors Mgmt Yes For For For For For No			Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Re-elect Anne Drinkwater as Director Mgmt Yes For For For For For No No No Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Reappoint KPMG LLP as Auditors Mgmt Yes For For For For For No			Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Re-elect Anne Drinkwater as Director Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Reappoint KPMG LLP as Auditors Mgmt Yes For For For For No	11		Re-elect Leo Quinn as Director	Mgmt	Yes	For	For		For	For	No	No	No
Re-elect Anne Drinkwater as Director Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Reappoint KPMG LLP as Auditors Mgmt Yes For For For For No			Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	neen identified.					
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Reappoint KPMG LLP as Auditors Mgmt Yes For For For For No			Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
Blended Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.  Reappoint KPMG LLP as Auditors Mgmt Yes For For For For No	12		Re-elect Anne Drinkwater as Director	Mgmt	Yes	For	For		For	For	No	No	No
13 Reappoint KPMG LLP as Auditors Mgmt Yes For For For For No N  14 Authorise Board to Fix Remuneration Mgmt Yes For For For For No N			Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	neen identified.					
14 Authorise Board to Fix Remuneration Mgmt Yes For For For For No N			Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been	identified.					
•	13		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
	14			Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Balfour Beatty Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
15		Authorise UK Political Donation Expenditure	ns and	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these resol	utions is warra	anted becaus	se the propo	osed amounts	and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	s is warranted	because the	e proposed (	amounts and	durations are	within				
17		Authorise Issue of Equity with Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these resol	utions is warra	anted becaus	se the propo	osed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	s is warranted	because the	e proposed (	amounts and	durations are	within				
18		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise the Company to Call Meeting with Two Weeks' Noti		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	ı	Approve	d	Ballot Voting	Status	Votable SI	nares	Shares \	Voted
PIC_RTRI, PIC_	_RTRI	282301.001	Confirmed	jcoster		jcoster				2,881,5	10	2,881	,510
				05/03/2022		05/03/20	22						

Total Shares:

2,881,510

2,881,510

#### **Meeting Results**

Outstanding Shares Voted: 631,036,860 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	491,440,184	100.0%	6,709	0.0%	4,942,482	N/A	Poll	F+A
2	Υ	Pass	No	For	6.4%	464,255,659	93.6%	31,798,975	6.4%	42,544	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	496,073,589	100.0%	1,585	0.0%	22,004	N/A	Poll	F+A
4	Υ	Pass	No	For	11.0%	427,209,135	89.0%	52,773,938	11.0%	16,114,105	N/A	Poll	F+A
5	Υ	Pass	No	For	0.4%	493,917,992	99.6%	2,135,772	0.4%	43,414	N/A	Poll	F+A
6	Υ	Pass	No	For	1.7%	487,499,559	98.3%	8,574,815	1.7%	22,804	N/A	Poll	F+A
7	Υ	Pass	No	For	2.6%	483,116,738	97.4%	12,952,926	2.6%	27,514	N/A	Poll	F+A
8	Υ	Pass	No	For	0.2%	495,178,324	99.8%	895,036	0.2%	23,818	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	495,976,613	100.0%	97,225	0.0%	23,340	N/A	Poll	F+A
10	Υ	Pass	No	For	1.7%	487,734,083	98.3%	8,630,958	1.7%	24,334	N/A	Poll	F+A
11	Υ	Pass	No	For	0.0%	496,263,191	100.0%	102,366	0.0%	23,818	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	496,254,814	100.0%	108,008	0.0%	26,553	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
13	Υ	Pass	No	For	5.3%	469,925,333	94.7%	26,380,937	5.3%	83,105	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	496,321,316	100.0%	37,376	0.0%	30,683	N/A	Poll	F+A
15	Υ	Pass	No	For	2.1%	485,791,733	97.9%	10,573,191	2.1%	24,451	N/A	Poll	F+A
16	Υ	Pass	No	For	3.0%	481,424,843	97.0%	14,940,401	3.0%	24,131	N/A	Poll	F+A
17	Υ	Pass	No	For	1.0%	491,582,337	99.0%	4,779,975	1.0%	27,063	N/A	Poll	F+A
18	Υ	Pass	No	For	5.4%	468,543,841	94.6%	26,494,542	5.4%	1,350,992	N/A	Poll	F+A
19	Υ	Pass	No	For	4.1%	475,807,727	95.9%	20,264,440	4.1%	1,706,306	N/A	Poll	F+A

#### **BP Plc**

**Meeting Date:** 05/12/2022 **Record Date:** 05/10/2022

Earliest Cutoff Date: 05/09/2022

Votable Shares: 44,038,700

Primary Security ID: G12793108

Country: United Kingdom

Meeting Type: Annual

Primary CUSIP: G12793108

**Total Ballots:** 1

\*Shares on Loan: 0

Ticker: BP

Meeting ID: 1622524

**Primary ISIN:** GB0007980591

Voting Policy: ISS Shares Instructed: 44,038,700 **Additional Policy:** 

Proxy Level: N/A

Shares Voted: 44,038,700

Primary SEDOL: 0798059

						,	,			, ,		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Management Proposals	Mgmt	No								
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Net Zero - From Ambition to Action Report	Mgmt	Yes	For	For		For	For	No	No	No
4		Re-elect Helge Lund as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these of	candidates is cons	idered warra	nted, as no	material con	cerns have bed	en identified	!			
		Blended Rationale: A vote FOR these candid	dates is considere	ed warrantea	, as no mate	erial concerns	s have been id	entified.			-	
5		Re-elect Bernard Looney as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these of	randidates is cons	idered warra	nted, as no	material con	cerns have bed	en identified	!			
		Blended Rationale: A vote FOR these candid	dates is considere	d warranted	, as no mate	erial concerns	s have been id	entified.				
6		Re-elect Murray Auchincloss as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these co	andidates is cons	idered warra	nted, as no	material con	cerns have be	en identified				
		Blended Rationale: A vote FOR these candid	dates is considere	ed warranted	, as no mat	erial concerns	s have been id	entified.				
7		Re-elect Paula Reynolds as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these of	candidates is cons	idered warra	nted, as no	material con	cerns have bed	en identified	!			
		Blended Rationale: A vote FOR these candid	dates is considere	d warranted	, as no mate	erial concerns	s have been id	entified.				
8		Re-elect Pamela Daley as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these co	andidates is cons	idered warra	nted, as no	material con	cerns have bed	en identified				
		Blended Rationale: A vote FOR these candid	dates is considere	ed warrantea	, as no mat	erial concerns	s have been id	entified.				

#### **BP Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Re-elect Melody Meyer as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	idered warra	nted, as no i	material cond	cerns have bed	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	s have been id	entified.				
10		Re-elect Sir John Sawers as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	idered warra	nted, as no i	material cond	cerns have be	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	s have been id	entified.				
11		Re-elect Tushar Morzaria as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	lidates is consi	dered warra	nted, as no l	material cond	cerns have be	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	s have been id	entified.				
12		Re-elect Karen Richardson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	dered warra	nted, as no l	material cond	cerns have bed	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	s have been id	entified.				
13		Re-elect Johannes Teyssen as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is consi	dered warra	nted, as no l	material cond	cerns have bed	en identified.				
		Blended Rationale: A vote FOR these candidate	es is considered	d warranted,	as no mate	rial concerns	s have been id	entified.				
14		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve ShareMatch UK Plan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	considered w	arranted, as	no material	concerns ha	ve been identi	ified.				
		Blended Rationale: A vote FOR this item is con-	sidered warran	nted, as no n	naterial conc	erns have be	een identified.					
17		Approve Sharesave UK Plan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR this item is	considered w	arranted, as	no material	concerns ha	ve been identi	ified.				
		Blended Rationale: A vote FOR this item is cons	sidered warran	nted, as no n	naterial conc	erns have be	een identified.					
18		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonance limits.	lutions is warra	anted becaus	se the propo	sed amounts	s and duration	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
20		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonance of the series of the	lutions is warra	anted becaus	se the propo	sed amounts	s and duration	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	l because th	e proposed a	amounts and	d durations are	within				

#### BP Plc

Proposal Significan Number Vote	t Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
21	Authorise Issue of Equity wit Pre-emptive Rights in Conne an Acquisition or Other Capi Investment	ection with	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vol recommended limits.	te FOR these reso	olutions is warr	anted becau	se the propo	osed amounts	s and duration	s are within				
	Blended Rationale: A vote FC recommended limits.	OR these resolution	ns is warranted	d because th	e proposed	amounts and	durations are	within				
22	Authorise Market Purchase of Shares	of Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
23	Authorise the Company to C Meeting with Two Weeks' No		Mgmt	Yes	For	For		For	For	No	No	No
	Shareholder Proposal		Mgmt	No								
24	Approve Shareholder Resolu Climate Change Targets	tion on	SH	Yes	Against	Against		Against	Against	No	No	No
Ballot Details												
Institutional Account Det (IA Name, IA Number)	ail Custodian Account Number	Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	dnicoll		dnicoll				44,038,	700	44,03	8,700
			05/04/2022	2	05/04/20	)22						
								Total Shares	44,038	,700	44,038	3,700

#### **Meeting Results**

Outstanding Shares Voted: 19,522,131,901 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.4%	11,888,811,888	99.6%	43,967,714	0.4%	53,028,472	N/A	Poll	F+A
2	Υ	Pass	No	For	5.6%	11,117,563,056	94.4%	665,022,090	5.6%	203,221,922	N/A	Poll	F+A
3	Υ	Pass	No	For	11.5%	10,243,411,197	88.5%	1,327,625,024	11.5%	414,773,596	N/A	Poll	F+A
4	Υ	Pass	No	For	3.4%	11,522,425,313	96.6%	410,700,046	3.4%	52,672,313	N/A	Poll	F+A
5	Υ	Pass	No	For	1.3%	11,782,025,805	98.7%	156,794,718	1.3%	46,983,844	N/A	Poll	F+A
6	Υ	Pass	No	For	1.2%	11,791,571,084	98.8%	145,700,050	1.2%	48,544,835	N/A	Poll	F+A
7	Υ	Pass	No	For	4.6%	11,388,720,684	95.4%	547,004,247	4.6%	50,091,676	N/A	Poll	F+A
8	Υ	Pass	No	For	1.3%	11,775,967,983	98.7%	159,440,873	1.3%	50,404,614	N/A	Poll	F+A
9	Υ	Pass	No	For	2.1%	11,571,670,836	97.9%	247,989,413	2.1%	166,155,721	N/A	Poll	F+A
10	Υ	Pass	No	For	3.0%	11,572,408,331	97.0%	362,567,294	3.0%	50,842,596	N/A	Poll	F+A
11	Υ	Pass	No	For	1.2%	11,795,665,999	98.8%	139,322,205	1.2%	50,827,765	N/A	Poll	F+A
12	Υ	Pass	No	For	9.6%	10,794,224,268	90.4%	1,141,764,905	9.6%	49,826,797	N/A	Poll	F+A
13	Y	Pass	No	For	1.6%	11,748,126,515	98.4%	186,660,015	1.6%	51,029,439	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
14	Υ	Pass	No	For	0.3%	11,908,406,408	99.7%	37,233,981	0.3%	40,185,810	N/A	Poll	F+A
15	Υ	Pass	No	For	0.4%	11,890,464,940	99.6%	43,701,452	0.4%	51,657,005	N/A	Poll	F+A
16	Υ	Pass	No	For	0.8%	11,833,757,590	99.2%	91,540,475	0.8%	60,531,313	N/A	Poll	F+A
17	Υ	Pass	No	For	1.1%	11,798,066,613	98.9%	126,207,453	1.1%	61,543,949	N/A	Poll	F+A
18	Υ	Pass	No	For	2.4%	11,638,254,421	97.6%	292,192,818	2.4%	55,370,527	N/A	Poll	F+A
19	Υ	Pass	No	For	4.8%	11,365,262,872	95.2%	567,087,208	4.8%	53,479,307	N/A	Poll	F+A
20	Υ	Pass	No	For	1.3%	11,750,475,006	98.7%	153,527,693	1.3%	81,825,689	N/A	Poll	F+A
21	Υ	Pass	No	For	3.7%	11,463,506,845	96.3%	441,630,811	3.7%	80,690,782	N/A	Poll	F+A
22	Υ	Pass	No	For	1.6%	11,746,635,916	98.4%	188,156,921	1.6%	51,031,941	N/A	Poll	F+A
23	Υ	Pass	No	For	7.8%	11,014,542,918	92.2%	929,145,322	7.8%	42,142,449	N/A	Poll	F+A
	N												
24	Υ	Fail	Yes	Against	14.9%	1,765,833,694	14.9%	10,118,016,838	85.1%	101,979,681	N/A	Poll	F+A

## **Lloyds Banking Group Plc**

Meeting Date: 05/12/2022

Country: United Kingdom

Ticker: LLOY

Proxy Level: N/A

**Additional Policy:** 

Record Date: 05/10/2022 Primary Security ID: G5533W248 Meeting Type: Annual
Primary CUSIP: G5533W248

Meeting ID: 1611399
Primary ISIN: GB0008706128

Primary SEDOL: 0870612

Earliest Cutoff Date: 05/09/2022

Total Ballots: 1

Voting Policy: ISS

Shares Voted: 48,000,000

Votable Shares: 48,000,000

\*Shares on Loan: 0

Shares Instructed: 48,000,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Elect Harmeen Mehta as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	tors is conside	red warrant	ed, as no ma	aterial concei	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered v	warranted, a	s no materia	al concerns h	ave been iden	tified.				
3		Elect Charlie Nunn as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	tors is conside	red warranto	ed, as no ma	aterial concer	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered v	varranted, a	s no materia	al concerns h	ave been iden	tified.				
4		Re-elect Robin Budenberg as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	tors is conside	red warrant	ed, as no ma	aterial concer	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered v	varranted, a	s no materia	al concerns h	ave been iden	tified.				
5		Re-elect William Chalmers as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	tors is conside	red warrant	ed, as no ma	aterial concer	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered v	varranted, a	s no materia	al concerns h	ave been iden	tified.				
6		Re-elect Alan Dickinson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	tors is conside	red warrant	ed, as no ma	aterial concei	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered v	varranted, a	s no materia	al concerns h	ave been iden	tified.				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Lloyds Banking Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Re-elect Sarah Legg as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	ctors is conside	ered warrant	ed, as no m	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered	warranted, a	s no materia	al concerns h	ave been iden	tified.				
8		Re-elect Lord Lupton as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	ctors is conside	ered warrant	ed, as no m	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered	warranted, a	s no materia	al concerns h	ave been iden	tified.				
9		Re-elect Amanda Mackenzie as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	ctors is conside	ered warrant	ed, as no m	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered	warranted, a	s no materia	al concerns h	ave been iden	tified.				
10		Re-elect Catherine Woods as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these direct	ctors is conside	ered warrant	ed, as no m	aterial conce	rns have been	identified.				
		Blended Rationale: A vote FOR these directors	is considered	warranted, a	s no materia	al concerns h	nave been iden	tified.				
11		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
12		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
13		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
14		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Approve Share Incentive Plan	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because th	e proposed (	amounts and	durations are	within				
18		Authorise Issue of Equity in Relation to the Issue of Regulatory Capital Convertible Instruments	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these reso, the Company were to issue Regulatory Capital convert into ordinary shares, this would result is support are: * Such authorities are common pr and * The conversion into ordinary shares wou weakness well beyond the Company's current p	Convertible In in significant of roposals at UK ald require the	struments a filution to no banks and a CET1 ratio t	nd a trigger n-participati are intended to fall to a le	event were t ng sharehold to apply in e vel which wo	to occur, causia ders. The main extreme circum	ng them to reasons for estances only	<i>"</i> ;			
		Blended Rationale: A vote FOR these resolution Company were to issue Regulatory Capital Con into ordinary shares, this would result in signifi are: * Such authorities are common proposals conversion into ordinary shares would require to well beyond the Company's current position an	vertible Instru icant dilution to at UK banks a the CET1 ratio	ments and a o non-partici nd are intend to fall to a l	trigger even ipating share ded to apply level which w	nt were to ou cholders. The in extreme o	ccur, causing to main reasons circumstances	hem to conv for support only; and *	ert The			
19		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because th	e proposed d	amounts and	durations are	within				
*Charac	n loan data ic	anly provided for a coloct group of custodians.	Vanca contact	LOUIS TOC Clie	ant Carvica T	Tann with an	ny avactions					

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Lloyds Banking Group Plc**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
20	Authorise Issue of Equity with Pre-emptive Rights in Connect an Acquisition or Other Capita Investment	ion with	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becau	se the prop	oosed amount	s and durations	s are within				
	Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because th	e proposed	d amounts and	d durations are	within				
21	Authorise Issue of Equity with Pre-Emptive Rights in Relation Issue of Regulatory Capital Co Instruments	to the	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote the Company were to issue Re convert into ordinary shares, ti support are: * Such authorities and * The conversion into ordi weakness well beyond the Con	gulatory Capital his would result s are common pi nary shares wou	Convertible In in significant of roposals at UK uld require the	struments a filution to no banks and a CET1 ratio t	nd a trigge n-participa are intende to fall to a l	r event were i ting sharehold d to apply in e level which wo	to occur, causii ders. The main extreme circum	ng them to reasons for estances only	<i>'i</i>			
	Blended Rationale: A vote FOR Company were to issue Regula into ordinary shares, this would are: * Such authorities are con conversion into ordinary shares well beyond the Company's cu.	tory Capital Con d result in signifi nmon proposals s would require	overtible Instru icant dilution to at UK banks a the CET1 ratio	ments and a o non-partice nd are intend to fall to a l	trigger ev ipating sha ded to app evel which	ent were to o reholders. The ly in extreme	ccur, causing ti e main reasons circumstances	hem to conv for support only; and *	ert The			
22	Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
23	Authorise Market Purchase of Preference Shares		Mgmt	Yes	For	For		For	For	No	No	No
24	Authorise the Company to Cal Meeting with Two Weeks' Noti		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Detai (IA Name, IA Number)	il Custodian Account Number	Ballot Status	Instructe	d	Approv	<b>v</b> ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	dnicoll		dnicoll				48,000,	,000	48,00	0,000
			05/03/2022	2	05/03/2	2022						
								Total Shares	: 48,000	,000	48,000	0,000

### Meeting Results

Outstanding Shares Voted: 69,445,479,613 Results Available: Partial

Item	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	44,489,826,013	100.0%	13,124,412	0.0%	N/A	N/A	Poll	F+A
2	Y	Pass	No	For	3.5%	42,679,074,026	96.5%	1,526,118,513	3.5%	N/A	N/A	Poll	F+A
3	Y	Pass	No	For	0.4%	44,446,739,453	99.6%	178,595,142	0.4%	N/A	N/A	Poll	F+A
4	Y	Pass	No	For	4.2%	42,557,827,339	95.8%	1,887,280,081	4.2%	N/A	N/A	Poll	F+A
5	Υ	Pass	No	For	1.4%	44,021,312,867	98.6%	604,451,363	1.4%	N/A	N/A	Poll	F+A
6	Y	Pass	No	For	0.5%	44,396,388,893	99.5%	228,650,918	0.5%	N/A	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
7	Y	Pass	No	For	0.4%	44,440,992,370	99.6%	184,736,463	0.4%	N/A	N/A	Poll	F+A
8	Y	Pass	No	For	0.4%	44,424,470,749	99.6%	200,139,304	0.4%	N/A	N/A	Poll	F+A
9	Υ	Pass	No	For	0.4%	44,441,576,917	99.6%	183,875,179	0.4%	N/A	N/A	Poll	F+A
10	Υ	Pass	No	For	0.4%	44,441,173,490	99.6%	183,961,935	0.4%	N/A	N/A	Poll	F+A
11	Υ	Pass	No	For	3.9%	42,141,827,602	96.1%	1,723,549,390	3.9%	N/A	N/A	Poll	F+A
12	Υ	Pass	No	For	0.0%	44,626,273,712	100.0%	15,562,423	0.0%	N/A	N/A	Poll	F+A
13	Υ	Pass	No	For	0.2%	44,567,182,226	99.8%	67,473,360	0.2%	N/A	N/A	Poll	F+A
14	Υ	Pass	No	For	0.1%	44,566,553,055	99.9%	35,636,915	0.1%	N/A	N/A	Poll	F+A
15	Υ	Pass	No	For	1.5%	43,952,820,702	98.5%	667,668,792	1.5%	N/A	N/A	Poll	F+A
16	Υ	Pass	No	For	3.4%	43,118,721,808	96.6%	1,526,048,441	3.4%	N/A	N/A	Poll	F+A
17	Υ	Pass	No	For	6.4%	41,790,170,341	93.6%	2,841,926,082	6.4%	N/A	N/A	Poll	F+A
18	Υ	Pass	No	For	1.8%	43,801,188,711	98.2%	816,529,534	1.8%	N/A	N/A	Poll	F+A
19	Υ	Pass	No	For	1.5%	43,942,204,037	98.5%	669,308,541	1.5%	N/A	N/A	Poll	F+A
20	Υ	Pass	No	For	4.0%	42,822,618,963	96.0%	1,788,152,127	4.0%	N/A	N/A	Poll	F+A
21	Υ	Pass	No	For	2.9%	43,337,756,120	97.1%	1,271,845,082	2.9%	N/A	N/A	Poll	F+A
22	Y	Pass	No	For	1.5%	43,934,510,487	98.5%	686,976,512	1.5%	N/A	N/A	Poll	F+A
23	Υ	Pass	No	For	0.9%	44,229,925,104	99.1%	386,470,267	0.9%	N/A	N/A	Poll	F+A
24	Υ	Pass	No	For	6.0%	41,973,380,355	94.0%	2,655,902,499	6.0%	N/A	N/A	Poll	F+A

## **Wheaton Precious Metals Corp.**

Meeting Date: 05/13/2022 Record Date: 03/18/2022

Primary Security ID: 962879102

Earliest Cutoff Date: 05/10/2022

Votable Shares: 1,250,000

Country: Canada

Meeting Type: Annual/Special

**Primary CUSIP:** 962879102

Total Ballots: 1
\*Shares on Loan: 0

Ticker: WPM

Voting Policy: ISS

Meeting ID: 1607614

**Primary ISIN:** CA9628791027

Tillial y 151N. CA3020731027

Shares Instructed: 1,250,000

**Proxy Level:** 3

Primary SEDOL: BF13KN5

Additional Policy:
Shares Voted: 1,250,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director George L. Brack	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significan	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have	been identifie	ed at this time.					
1.2		Elect Director John A. Brough	Mgmt	Yes	For	For		For	Withhold	Yes	Yes	Yes
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significan	t concerns h	ave been ide	entified at this	time.				
		Blended Rationale: Vote FOR all proposed nom	ninees as no sig	gnificant con	cerns have l	been identifie	ed at this time.					
1.3		Elect Director Jaimie Donovan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR all proposed	nominees as i	no significan	t concerns h	ave been ide	entified at this	time.				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Blended Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.

# **Wheaton Precious Metals Corp.**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.4		Elect Director R. Peter Gillin		Mgmt	Yes	For	For		For	Withhold	Yes	Yes	Yes
		Voting Policy Rationale: Vote FOR	R all proposed	nominees as r	no significan	t concerns h	ave been ide	ntified at this t	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have L	been identifie	d at this time.					
1.5		Elect Director Chantal Gosselin		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR	R all proposed	nominees as r	no significan	t concerns h	ave been ide	ntified at this t	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have L	been identifie	d at this time.					
1.6		Elect Director Glenn Ives		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR	R all proposed	nominees as r	no significan	t concerns h	ave been ide	ntified at this t	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have L	been identifie	d at this time.					
1.7		Elect Director Charles A. Jeannes	S	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR	R all proposed	nominees as r	no significan	t concerns h	ave been ide	ntified at this t	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have L	been identifie	d at this time.					
1.8		Elect Director Eduardo Luna		Mgmt	Yes	For	For		For	Withhold	Yes	Yes	Yes
		Voting Policy Rationale: Vote FOR	R all proposed	nominees as r	no significan	t concerns h	ave been ide	ntified at this t	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have L	been identifie	d at this time.					
1.9		Elect Director Marilyn Schonbern	ier	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR	R all proposed	nominees as r	no significan	t concerns h	ave been ide	ntified at this t	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have L	been identifie	d at this time.					
1.10		Elect Director Randy V.J. Smallw	rood	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Vote FOR	R all proposed	nominees as r	no significan	t concerns h	ave been ide	ntified at this t	time.				
		Blended Rationale: Vote FOR all	proposed nom	inees as no sig	gnificant con	cerns have L	been identifie	d at this time.					
2		Approve Deloitte LLP as Auditors Authorize Board to Fix Their Remuneration	s and	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote on Executive Compensation Approach		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	nils												
Institutional (IA Name, IA	Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable Si	nares	Shares '	Voted
PIC_RTRI, PIC	_RTRI	282301.001	Confirmed	jbisset		jbisset				1,250,0	00	1,250	,000
				05/05/2022	2	05/05/20	22						
									Total Shares:	1,250,0	000	1,250	,000

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	13.3%	279,843,827	86.7%	42,799,191	13.3%	N/A	N/A	Proxy	F+A
1.2	Y	Pass	No	For	10.1%	289 923 537	89 9%	32 719 481	10.1%	N/A	N/Δ	Provv	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.3	Y	Pass	No	For	0.6%	320,848,121	99.4%	1,794,897	0.6%	N/A	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	16.6%	268,956,212	83.4%	53,686,806	16.6%	N/A	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	1.6%	317,437,144	98.4%	5,205,874	1.6%	N/A	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	0.4%	321,506,611	99.6%	1,136,407	0.4%	N/A	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	0.4%	321,420,318	99.6%	1,222,700	0.4%	N/A	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	10.6%	288,408,017	89.4%	34,235,001	10.6%	N/A	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	0.3%	321,639,355	99.7%	1,003,663	0.3%	N/A	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	0.6%	320,784,002	99.4%	1,859,016	0.6%	N/A	N/A	Proxy	F+A
2	Υ	Pass	No	For	2.9%	343,416,137	97.1%	10,231,684	2.9%	N/A	N/A	Proxy	F+A
3	Υ	Pass	No	For	8.4%	295,423,075	91.6%	27,218,396	8.4%	N/A	N/A	Proxy	F+A

### **AngloGold Ashanti Ltd.**

**Meeting Date:** 05/16/2022 **Record Date:** 04/06/2022

Earliest Cutoff Date: 05/05/2022

Votable Shares: 900,000

Primary Security ID: S04255196

**Country:** South Africa **Meeting Type:** Annual

Primary CUSIP: S04255196

7 ------

Total Ballots: 1

\*Shares on Loan: 0

Ticker: ANG

Voting Policy: ISS

Meeting ID: 1630455

Shares Instructed: 900,000

Primary ISIN: ZAE000043485

Primary SEDOL: 6565655

Proxy Level: N/A

Additional Policy: Shares Voted: 900,000

Additional Voting Vote Vote Vote Mgmt Proposal Significant Votable Policy Policy Vote Against Against Against Vote Number **Proposal Text** Proponent Proposal Rec **ISS Rec** Rec Rec Instruction Mgmt Policy ISS Meeting for ADR Holders Mgmt No Re-elect Maria Ramos as Director 1.1 Mgmt For For For No No Yes For No Voting Policy Rationale: A vote FOR these items is warranted: \* No issues have been identified in relation to the election or re-election of these Directors. Blended Rationale: A vote FOR these items is warranted: \* No issues have been identified in relation to the election or re-election of these Directors. Re-elect Maria Richter as Director 1.2 Mamt For For Yes For No No No Voting Policy Rationale: A vote FOR these items is warranted: \* No issues have been identified in relation to the election or re-election of these Directors. Blended Rationale: A vote FOR these items is warranted: \* No issues have been identified in relation to the election or re-election of these Directors. 1.3 Re-elect Nelisiwe Magubane as Mgmt Yes For For For For Nο Nο Nο Director Voting Policy Rationale: A vote FOR these items is warranted: \* No issues have been identified in relation to the election or re-election of these Directors. Blended Rationale: A vote FOR these items is warranted: \* No issues have been identified in relation to the election or re-election of these Directors. Elect Alberto Calderon Zuleta as 2.1 Mgmt For For For For Nο Nο Yes No Voting Policy Rationale: A vote FOR these items is warranted: \* No issues have been identified in relation to the election or re-election of these Directors. Blended Rationale: A vote FOR these items is warranted: \* No issues have been identified in relation to the election or

re-election of these Directors.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# AngloGold Ashanti Ltd.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2.2		Elect Scott Lawson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item re-election of these Directors.	s is warranted.	* * No issues	have been	identified in I	relation to the	election or				
		Blended Rationale: A vote FOR these items is v re-election of these Directors.	varranted: * N	o issues have	e been iden	tified in relati	ion to the elec	tion or				
3.1		Re-elect Alan Ferguson as Member of the Audit and Risk Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item independent.	s is warranted.	* * All of the	members o	f the Audit ai	nd Risk Comm	ittee are				
		Blended Rationale: A vote FOR these items is vindependent.	varranted: * Ai	ll of the men	nbers of the	Audit and Ri	sk Committee	are				
3.2		Re-elect Rhidwaan Gasant as Member of the Audit and Risk Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item independent.	s is warranted.	* * All of the	members o	f the Audit ai	nd Risk Comm	ittee are				
		Blended Rationale: A vote FOR these items is v independent.	varranted: * Ai	ll of the men	nbers of the	Audit and Ri	sk Committee	are				
3.3		Re-elect Nelisiwe Magubane as Member of the Audit and Risk Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item independent.	s is warranted.	* * All of the	members o	f the Audit ar	nd Risk Comm	ittee are				
		Blended Rationale: A vote FOR these items is windependent.	varranted: * Al	ll of the men	nbers of the	Audit and Ri	isk Committee	are				
3.4		Re-elect Maria Richter as Member of the Audit and Risk Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item independent.	s is warranted.	* * All of the	members o	f the Audit ar	nd Risk Comm	ittee are				
		Blended Rationale: A vote FOR these items is windependent.	varranted: * Al	ll of the men	nbers of the	Audit and Ri	isk Committee	are				
3.5		Re-elect Jochen Tilk as Member of the Audit and Risk Committee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these item independent.	s is warranted.	* * All of the	members o	f the Audit ar	nd Risk Comm	ittee are				
		Blended Rationale: A vote FOR these items is windependent.	varranted: * Ai	ll of the men	nbers of the	Audit and Ri	sk Committee	are				
4.1		Reappoint Ernst & Young Inc as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4.2		Appoint PricewaterhouseCoopers Inc as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
5		Place Authorised but Unissued Shares under Control of Directors	Mgmt	Yes	For	For		For	For	No	No	No
6.1		Approve Remuneration Policy	Mgmt	Yes	For	For		For	For	No	No	No
6.2		Approve Implementation Report	Mgmt	Yes	For	For		For	For	No	No	No
7		Approve Remuneration of Non-Executive Directors	Mgmt	Yes	For	For		For	For	No	No	No
8		Authorise Repurchase of Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No
9		Authorise Board to Issue Shares for Cash	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# AngloGold Ashanti Ltd.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
10		Approve Financial Assistance in Terms of Sections 44 and 45 of the Companies Act	Mgmt	Yes	For	For		For	For	No	No	No
11		Amend Memorandum of Incorporation	Mgmt	Yes	For	For		For	For	No	No	No
12		Authorise Ratification of Approved Resolutions	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		900,000	900,000
			05/10/2022	05/10/2022			

Total Shares:	900,000	900,000

### **Meeting Results**

Outstanding Shares Voted: 418,339,927

Results Available: Partial

			<i>Y</i> -										
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1.1	Υ	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
1.2	Υ	Pass	No	For	1.4%	N/A	98.6%	N/A	1.4%	N/A	N/A	Poll	F+A
1.3	Υ	Pass	No	For	1.2%	N/A	98.8%	N/A	1.2%	N/A	N/A	Poll	F+A
2.1	Υ	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
2.2	Υ	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
3.1	Υ	Pass	No	For	3.2%	N/A	96.8%	N/A	3.2%	N/A	N/A	Poll	F+A
3.2	Υ	Pass	No	For	4.5%	N/A	95.5%	N/A	4.5%	N/A	N/A	Poll	F+A
3.3	Υ	Pass	No	For	2.0%	N/A	98.0%	N/A	2.0%	N/A	N/A	Poll	F+A
3.4	Υ	Pass	No	For	1.4%	N/A	98.7%	N/A	1.4%	N/A	N/A	Poll	F+A
3.5	Υ	Pass	No	For	0.8%	N/A	99.2%	N/A	0.8%	N/A	N/A	Poll	F+A
4.1	Υ	Pass	No	For	20.0%	N/A	80.0%	N/A	20.0%	N/A	N/A	Poll	F+A
4.2	Υ	Pass	No	For	2.4%	N/A	97.6%	N/A	2.4%	N/A	N/A	Poll	F+A
5	Υ	Pass	No	For	16.9%	N/A	83.1%	N/A	16.9%	N/A	N/A	Poll	F+A
6.1	Υ	Pass	No	For	8.5%	N/A	91.5%	N/A	8.5%	N/A	N/A	Poll	F+A
6.2	Υ	Pass	No	For	8.2%	N/A	91.8%	N/A	8.2%	N/A	N/A	Poll	F+A
7	Υ	Pass	No	For	1.0%	N/A	99.0%	N/A	1.0%	N/A	N/A	Poll	F+A
8	Υ	Pass	No	For	0.1%	N/A	99.9%	N/A	0.1%	N/A	N/A	Poll	F+A
9	Υ	Pass	No	For	16.9%	N/A	83.1%	N/A	16.9%	N/A	N/A	Poll	F+A
						•		•		•	•		

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
10	Y	Pass	No	For	0.3%	N/A	99.7%	N/A	0.3%	N/A	N/A	Poll	F+A
11	Υ	Pass	No	For	0.1%	N/A	100.0%	N/A	0.1%	N/A	N/A	Poll	F+A
12	Y	Pass	No	For	0.1%	N/A	100.0%	N/A	0.1%	N/A	N/A	Poll	F+A

## **The Charles Schwab Corporation**

Meeting Date: 05/17/2022

Country: USA

Ticker: SCHW

Proxy Level: 3

**Record Date:** 03/18/2022

Meeting Type: Annual

Meeting ID: 1630522

Primary Security ID: 808513105

**Primary CUSIP:** 808513105

Primary ISIN: US8085131055

Additional Policy:

Primary SEDOL: 2779397

Voting Policy: ISS

Earliest Cutoff Date: 05/16/2022 Total Ballots: 1 Votable Shares: 160,000 \*Shares on Loan: 0 Shares Instructed: 160,000 Shares Voted: 160,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director John K. Adams, Jr.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1b		Elect Director Stephen A. Ellis	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1c		Elect Director Brian M. Levitt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the directo	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1d		Elect Director Arun Sarin	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
	Voting Policy Rationale: A vote FOR the director nominees is warranted.											
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1e		Elect Director Charles R. Schwab	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
<b>1</b> f		Elect Director Paula A. Sneed	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
2		Declassify the Board of Directors	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify Deloitte & Touche LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Omnibus Stock Plan	Mgmt	Yes	For	For		For	For	No	No	No
6		Provide Proxy Access Right	Mgmt	Yes	For	For		For	For	No	No	No

# **The Charles Schwab Corporation**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Adopt Proxy Access Right	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this advisor proponent would be more favorable to shareho				•		•				
		Blended Rationale: A vote FOR this advisory pro would be more favorable to shareholders than to			•	•	,	he proponer	t			
8		Report on Lobbying Payments and Policy	SH	Yes	Against	For		For	For	Yes	No	No
	Voting Policy Rationale: A vote FOR this resolution is warranted, as additional information on the company's trade association memberships, payments, and oversight, along with direct lobbying expenditures, would enable shareholders to better assess the company's comprehensive lobbying-related activities and management of related risks and opportunities.											
		Blended Rationale: A vote FOR this resolution is memberships, payments, and oversight, along the the company's comprehensive lobbying-related	with direct lobb	bying expend	litures, woul	d enable sha	reholders to b					

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		160,000	160,000
			05/09/2022	05/09/2022			
					Total Shares:	160,000	160,000

### **Meeting Results**

Outstanding Shares Voted: 1,816,003,557

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	1.1%	1,591,270,097	98.9%	17,076,753	1.1%	634,660	N/A	Proxy	F+A
1b	Υ	Pass	No	For	4.2%	1,541,356,577	95.8%	66,966,902	4.2%	658,031	N/A	Proxy	F+A
1c	Υ	Pass	No	For	2.1%	1,574,533,140	97.9%	33,688,768	2.1%	759,602	N/A	Proxy	F+A
1d	Υ	Pass	No	For	8.8%	1,466,490,268	91.2%	141,841,227	8.8%	650,015	N/A	Proxy	F+A
1e	Υ	Pass	No	For	3.6%	1,550,928,506	96.4%	57,549,303	3.6%	503,701	N/A	Proxy	F+A
1f	Υ	Pass	No	For	4.8%	1,523,676,597	95.2%	77,497,845	4.8%	7,807,068	N/A	Proxy	F+A
2	Υ	Fail	No	For	10.1%	1,425,958,661	78.5%	182,051,166	10.0%	971,683	0.1%	Proxy	Capital Represented
3	Υ	Pass	No	For	5.6%	1,551,491,318	94.4%	91,993,339	5.6%	572,843	0.0%	Proxy	F+A+AB
4	Υ	Pass	No	For	6.8%	1,499,041,479	93.2%	108,280,222	6.7%	1,659,809	0.1%	Proxy	F+A+AB
5	Υ	Pass	No	For	3.3%	1,556,189,076	96.7%	51,876,293	3.2%	916,141	0.1%	Proxy	F+A+AB
6	Υ	Pass	No	For	0.8%	1,595,101,275	87.8%	12,739,560	0.7%	1,140,675	0.1%	Proxy	Capital Represented
7	Υ	Fail	No	Against	27.3%	494,220,875	27.2%	1,112,327,357	61.3%	2,433,278	0.1%	Proxy	Capital Represented
8	Υ	Fail	No	Against	34.9%	557,517,246	34.7%	1,047,778,039	65.1%	3,686,225	0.2%	Proxy	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Dassault Aviation SA**

Meeting Date: 05/18/2022Country: FranceTicker: AMProxy Level: N/ARecord Date: 05/16/2022Meeting Type: Annual/SpecialMeeting ID: 1631859Primary Security ID: F24539169Primary CUSIP: F24539169Primary ISIN: FR0014004L86Primary SED OL: BMT9L19

Earliest Cutoff Date: 05/13/2022Total Ballots: 1Voting Policy: ISSAdditional Policy:Votable Shares: 54,613\*Shares on Loan: 0Shares Instructed: 54,613Shares Voted: 54,613

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary Business	Mgmt	No								
1		Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approva and lack of concerns.	nl of the annua	l accounts a	re warranted	due to the	unqualified aud	ditors' opinio	on			
		Blended Rationale: Votes FOR the approval of t lack of concerns.	the annual acc	ounts are wa	arranted due	to the unqu	alified auditors	s' opinion and	d			
2		Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approva and lack of concerns.	of the annua	l accounts a	re warranted	due to the	unqualified aud	ditors' opinio	าก			
		Blended Rationale: Votes FOR the approval of t lack of concerns.	the annual acc	ounts are wa	arranted due	to the unqu	alified auditors	s' opinion and	d			
3		Approve Allocation of Income and Dividends of EUR 2.49 per Share	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Compensation of Directors	Mgmt	Yes	For	For		For	For	No	No	No
5		Approve Compensation of Eric Trappier, Chairman and CEO	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
	Voting Policy Rationale: A vote AGAINST this remuneration report is warranted because: * The company fails to disclose any rationale for the repeated base salary's increases. * The level of disclosure on performance conditions is critically low. * The performance period for LTI is not considered long-term oriented. * Disclosure on performance achievement underlying awards that vested during the period under review is below market standard. * The company has not set up any remuneration committee while the chairman and CEO sit on the board.											
		Blended Rationale: A vote AGAINST this remun rationale for the repeated base salary's increase performance period for LTI is not considered to that vested during the period under review is b committee while the chairman and CEO sit on t	es. * The level ing-term orient elow market s	of disclosur ted. * Disclo	e on perforn sure on perf	nance conditi formance ach	ions is critically ievement unde	/ low. * The erlying award	ds			
6		Approve Compensation of Loik Segalen, Vice-CEO	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this remuneration report is warranted because: * The company fails to disclose any rationale for the repeated base salary's increases. * The level of disclosure on performance conditions is critically low. * The performance period for LTI is not considered long-term oriented. * Disclosure on performance achievement underlying awards that vested during the period under review is below market standard. * The company has not set up any remuneration committee while the chairman and CEO sit on the board.										
		Blended Rationale: A vote AGAINST this remun rationale for the repeated base salary's increase performance period for LTI is not considered lo that vested during the period under review is b committee while the chairman and CEO sit on t	es. * The level ing-term orient elow market s	of disclosur ted. * Disclo	e on perforn sure on perf	nance conditi formance ach	ions is critically ievement unde	v low. * The erlying award	ds			
7		Approve Remuneration Policy of Directors	Mgmt	Yes	For	For		For	For	No	No	No

## **Dassault Aviation SA**

Duss		acion da					A d d!st I	Madin		W-4-	W-4-	W-4-
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8		Approve Remuneration Policy of Chairman and CEO	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Votes AGAINST these is base salaries for FY22; * The remuneration policilose a rationale for the increases in the nur. This repeated concerns highlight the excessive shareholders discontent received at 2021 AGM	licies are dispr mber of shares discretion pov	oportionately awarded; a wer of the bo	y focused or and * There pard to set e	n short-term; is no informa executives rei	* The comparation on termin	ny does not nation packag				
		Blended Rationale: Votes AGAINST these remusalaries for FY22; * The remuneration policies a rationale for the increases in the number of sepeated concerns highlight the excessive discontent received at 2021 AGM has not been	are disproport shares awarde retion power o	ionately focu d; and * The of the board t	ised on shoi ere is no info	rt-term; * The ormation on t	e company do termination pa	es not disclos ckages. This				
9		Approve Remuneration Policy of Vice-CEO	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Votes AGAINST these is base salaries for FY22; * The remuneration policious a rationale for the increases in the nur. This repeated concerns highlight the excessive shareholders discontent received at 2021 AGM	licies are dispr mber of shares discretion pov	oportionately awarded; a wer of the bo	y focused or and * There pard to set e	n short-term; is no informa executives rei	* The comparation on termin	ny does not nation packag				
		Blended Rationale: Votes AGAINST these remu salaries for FY22; * The remuneration policies a rationale for the increases in the number of s repeated concerns highlight the excessive disco discontent received at 2021 AGM has not been	are disproport shares awarde retion power o	ionately focu d; and * The of the board t	ised on shoi ere is no info	rt-term; * The ormation on t	e company do termination pa	es not disclos ckages. This				
10		Reelect Marie-Helene Habert as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: * Votes AGAINST the ( independence at the board level (25.0 percent non-independent Chairman of the Audit Comm lies below market practice and against recomm auditors' special report on related-party transac	vs 33.3 percel littee is warran nended guideli	nt recommer nted as the p	nded) (Item tractice of a	s 10 and 11). non-indepen	. * A vote AGA dent audit con	NINST the nmittee chair				
		Blended Rationale: * Votes AGAINST the (re)ei independence at the board level (25.0 percent non-independent Chairman of the Audit Comm lies below market practice and against recomm auditors' special report on related-party transac	vs 33.3 percei ittee is warran nended guideli	nt recomment nted as the p	nded) (Item ractice of a	s 10 and 11). non-indepen	. * A vote AGA dent audit con	NNST the nmittee chair				
11		Reelect Henri Proglio as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: * Votes AGAINST the (independence at the board level (25.0 percent non-independent Chairman of the Audit Comm. lies below market practice and against recommaditors' special report on related-party transactions.	vs 33.3 percel littee is warran nended guideli	nt recommer nted as the p	nded) (Item tractice of a	s 10 and 11). non-indepen	. * A vote AGA dent audit con	NINST the nmittee chair				
		Blended Rationale: * Votes AGAINST the (re)eindependence at the board level (25.0 percent non-independent Chairman of the Audit Commlies below market practice and against recommaditors' special report on related-party transactions.	vs 33.3 percei ittee is warran nended guideli	nt recomment nted as the p	nded) (Item ractice of a	s 10 and 11). non-indepen	. * A vote AGA dent audit con	IINST the nmittee chair				
12		Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST is war	rranted as the	share repure	chase progra	am can be co	ontinued during	g a takeover	bid.			
		Blended Rationale: A vote AGAINST is warrante	ed as the shar	e repurchase	e program c	an be continu	ued during a ta	akeover bid.				
		Extraordinary Business	Mgmt	No								
13		Authorize Decrease in Share Capital via Cancellation of Repurchased Shares	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Dassault Aviation SA**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
14	Amend Article 15 of Bylaws Re Held by Directors	: Shares	Mgmt	Yes	For	For		For	For	No	No	No
	Ordinary Business	•										
15	Authorize Filing of Required Documents/Other Formalities	Mgmt	Yes	For	For		For	For	No	No	No	
<b>Ballot Details</b>												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PIC_RTRI	282301.001 Confirmed		jbisset		jbisset				54,61	3	54,6	513

05/09/2022 Total Shares: 54,613 54,613

05/09/2022

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.1%	117,734,725	99.9%	80,902	0.1%	127,395	N/A	Poll	F+A
2	Υ	Pass	No	For	0.1%	117,825,962	99.9%	80,902	0.1%	36,158	N/A	Poll	F+A
3	Υ	Pass	No	For	0.1%	117,861,611	99.9%	80,928	0.1%	483	N/A	Poll	F+A
4	Υ	Pass	No	For	1.4%	116,264,058	98.6%	1,659,727	1.4%	12,927	N/A	Poll	F+A
5	Υ	Pass	No	For	7.5%	109,010,949	92.5%	8,824,058	7.5%	1,575	N/A	Poll	F+A
6	Υ	Pass	No	For	7.5%	109,030,077	92.5%	8,821,120	7.5%	1,685	N/A	Poll	F+A
7	Υ	Pass	No	For	0.0%	117,899,173	100.0%	25,212	0.0%	12,847	N/A	Poll	F+A
8	Υ	Pass	No	For	10.7%	105,181,207	89.3%	12,653,695	10.7%	1,680	N/A	Poll	F+A
9	Υ	Pass	No	For	10.7%	105,197,548	89.3%	12,653,666	10.7%	1,668	N/A	Poll	F+A
10	Υ	Pass	No	For	4.9%	112,141,471	95.1%	5,799,718	4.9%	1,333	N/A	Poll	F+A
11	Υ	Pass	No	For	7.3%	109,374,690	92.7%	8,567,199	7.3%	593	N/A	Poll	F+A
12	Υ	Pass	No	For	5.5%	111,330,027	94.5%	6,521,102	5.5%	91,893	N/A	Poll	F+A
	N												
13	Υ	Pass	No	For	0.0%	117,905,585	100.0%	36,737	0.0%	666	N/A	Poll	F+A
14	Υ	Pass	No	For	0.0%	117,930,170	100.0%	11,952	0.0%	866	N/A	Poll	F+A
	N												
15	Υ	Pass	No	For	0.0%	117,942,046	100.0%	413	0.0%	563	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Northrop Grumman Corporation**

Meeting Date: 05/18/2022 **Record Date:** 03/22/2022

Country: USA

Meeting Type: Annual

Ticker: NOC **Meeting ID:** 1630588 Proxy Level: 3

Primary Security ID: 666807102

**Primary CUSIP:** 666807102

**Primary ISIN:** US6668071029

Primary SEDOL: 2648806

Earliest Cutoff Date: 05/17/2022

Votable Shares: 65,000

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

\*Shares on Loan: 0 Shares Instructed: 65,000 Shares Voted: 65,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Kathy J. Warden	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1.2		Elect Director David P. Abney	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1.3		Elect Director Marianne C. Brown	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1.4		Elect Director Donald E. Felsinger	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1.5		Elect Director Ann M. Fudge	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1.6		Elect Director William H. Hernandez	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1.7		Elect Director Madeleine A. Kleiner	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1.8		Elect Director Karl J. Krapek	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1.9		Elect Director Graham N. Robinson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								
1.10		Elect Director Gary Roughead	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no.	minees is warr	anted.								

## **Northrop Grumman Corporation**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.11		Elect Director Thomas M. Schoewe	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	anted.								
1.12		Elect Director James S. Turley	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.										
		Blended Rationale: A vote FOR the director nominees is warranted.										
1.13		Elect Director Mark A. Welsh, III	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director nominees is warranted.										
		Blended Rationale: A vote FOR the director ne	ominees is warr	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify Deloitte & Touche LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this prop special meeting from 25 percent to 10 percer			-	ship thresho	ld for sharehol	ders to call	а			
		Blended Rationale: A vote FOR this proposal is warranted as lowering the ownership threshold for shareholders to call a special										

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		65,000	65,000
			04/20/2022	04/20/2022			
					Total Shares:	65,000	65,000

### **Meeting Results**

Outstanding Shares Voted: 155,703,868

Results Available: Full

meeting from 25 percent to 10 percent would enhance shareholders' rights.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	2.7%	123,611,868	97.3%	3,464,295	2.7%	384,278	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	1.2%	125,371,631	98.8%	1,481,768	1.2%	607,041	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.8%	124,584,674	98.2%	2,271,287	1.8%	604,480	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	4.0%	121,781,149	96.0%	5,074,906	4.0%	604,385	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	1.7%	124,794,210	98.3%	2,123,900	1.7%	542,331	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	1.3%	125,219,521	98.7%	1,648,243	1.3%	592,676	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	3.6%	120,113,280	96.4%	4,534,989	3.6%	2,812,172	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	3.0%	123,086,859	97.0%	3,793,132	3.0%	580,450	N/A	Proxy	F+A
1.9	Y	Pass	No	For	1.1%	125,539,914	98.9%	1,357,177	1.1%	536,350	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.10	Υ	Pass	No	For	1.6%	124,896,811	98.4%	2,053,703	1.6%	509,927	N/A	Proxy	F+A
1.11	Υ	Pass	No	For	4.9%	120,639,592	95.1%	6,239,088	4.9%	581,761	N/A	Proxy	F+A
1.12	Υ	Pass	No	For	6.7%	118,334,949	93.3%	8,536,751	6.7%	588,741	N/A	Proxy	F+A
1.13	Υ	Pass	No	For	1.1%	125,574,159	98.9%	1,366,178	1.1%	520,105	N/A	Proxy	F+A
2	Υ	Pass	No	For	3.4%	122,047,000	96.6%	4,296,524	3.4%	1,116,900	N/A	Proxy	F+A
3	Υ	Pass	No	For	3.9%	134,598,218	96.1%	5,491,213	3.9%	467,184	N/A	Proxy	F+A
4	Υ	Pass	No	Against	50.4%	63,526,028	50.4%	62,532,722	49.6%	1,396,747	N/A	Proxy	F+A

## **Science Group Plc**

Meeting Date: 05/18/2022

**Record Date:** 05/16/2022

Country: United Kingdom Meeting Type: Annual

Primary CUSIP: G7863T100

Ticker: SAG Meeting ID: 1635151 Proxy Level: N/A

Primary Security ID: G7863T100

**Total Ballots:** 1

Voting Policy: ISS

**Additional Policy:** 

Primary SEDOL: B39GTJ1

Votable Shares: 941,900

Earliest Cutoff Date: 05/12/2022

\*Shares on Loan: 0

Shares Instructed: 941,900

Primary ISIN: GB00B39GTJ17

Shares Voted: 941,900

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this considered to hold the combined roles of Cl and evaluate the performance of senior offit the year under review; and * The NEDs reco	nair and CEO, whi cers and the Com	ich calls into npany; * The	question we Executive	vhether the Bo Chair received	oard can adequ d a one-off pay	iately overse			_	
		Blended Rationale: A vote AGAINST this resconsidered to hold the combined roles of Cl and evaluate the performance of senior offit the year under review; and * The NEDs reco	nair and CEO, whi cers and the Com	ich calls into npany; * The	question w Executive	vhether the Bo Chair received	oard can adequ d a one-off pay	iately overse				
2		Reappoint Grant Thornton UK LLP as Auditors and Authorise Their Remuneration	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect Jon Brett as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the electrons warranted because no significant concerns to	-	-	Daniel Edw	vards and Mich	nael Lacey-Soly	vmar is				
		Blended Rationale: A vote FOR the election, because no significant concerns have been		n Brett, Dani	iel Edwards	and Michael L	Lacey-Solymar	is warranted	d			
4		Re-elect Daniel Edwards as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the electrons warranted because no significant concerns to	•	-	Daniel Edw	vards and Mich	nael Lacey-Soly	vmar is			-	
		Blended Rationale: A vote FOR the election/ because no significant concerns have been		n Brett, Dani	iel Edwards	and Michael L	Lacey-Solymar	is warranted	d			
5		Re-elect Michael Lacey-Solymar as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the electrons warranted because no significant concerns to	•	-	Daniel Edw	vards and Mich	nael Lacey-Soly	vmar is			-	
		Blended Rationale: A vote FOR the election/ because no significant concerns have been		n Brett, Dani	iel Edwards	and Michael L	Lacey-Solymar	is warranted	d			
6		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No

# **Science Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these restrecommended limits.	olutions is warr	anted becaus	se the propo	osed amounts	s and duration.	s are within			1	
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed	amounts and	durations are	within				
8		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.										
		recommended limits.  Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.										
9		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
10		Approve Performance Share Plan	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST the proposed Performance Share Plan is warranted for the following reason: * The overall dilution limit contained within the scheme rules allows the Company to issue 15% of the issued share capital in 10 years, which is in excess of best practice limits of 10 percent in 10 years.										
		Blended Rationale: A vote AGAINST the proposed Performance Share Plan is warranted for the following reason: * The overall dilution limit contained within the scheme rules allows the Company to issue 15% of the issued share capital in 10 years, which										

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		941,900	941,900
			05/10/2022	05/10/2022			
					Total Shares:	941,900	941,900

### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

is in excess of best practice limits of 10 percent in 10 years.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	3.9%	31,537,013	96.1%	1,269,494	3.9%	1,833,892	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	34,635,386	100.0%	4,795	0.0%	218	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	34,639,960	100.0%	221	0.0%	218	N/A	Poll	F+A
4	Υ	Pass	No	For	0.0%	34,639,960	100.0%	221	0.0%	218	N/A	Poll	F+A
5	Υ	Pass	No	For	2.1%	33,903,683	97.9%	736,489	2.1%	218	N/A	Poll	F+A
6	Υ	Pass	No	For	0.0%	34,640,181	100.0%	0	0.0%	218	N/A	Poll	F+A
7	Υ	Pass	No	For	0.0%	34,630,257	100.0%	3,424	0.0%	6,718	N/A	Poll	F+A
8	Υ	Pass	No	For	0.0%	34,625,265	100.0%	13,916	0.0%	1,218	N/A	Poll	F+A
9	Υ	Pass	No	For	0.0%	34,639,490	100.0%	691	0.0%	218	N/A	Poll	F+A
10	Υ	Pass	No	For	13.8%	29,861,239	86.2%	4,777,472	13.8%	1,688	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Southwest Airlines Co.**

Meeting Date: 05/18/2022 **Record Date:** 03/22/2022

Country: USA

Meeting Type: Annual

Ticker: LUV

Proxy Level: 3

Primary Security ID: 844741108

**Primary CUSIP:** 844741108

Meeting ID: 1633573 **Primary ISIN:** US8447411088

Primary SEDOL: 2831543

Earliest Cutoff Date: 05/17/2022 Votable Shares: 147,358

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS

**Additional Policy:** 

Shares Instructed: 147,358

Shares Voted: 147,358

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director David W. Biegler	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1b		Elect Director J. Veronica Biggins	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1c		Elect Director Douglas H. Brooks	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	Clerk Director William H. Cuppingham Mamb Voc For For For For For For No.									
1d		Elect Director William H. Cunningham	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1e		Elect Director John G. Denison	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director nominees is warranted.										
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1f		Elect Director Thomas W. Gilligan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1g		Elect Director David P. Hess	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1h		Elect Director Robert E. Jordan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
<b>1</b> i		Elect Director Gary C. Kelly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warr	anted.								
1j		Elect Director Nancy B. Loeffler	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director nominees is warranted.										
		Voting Policy Rationale: A vote FOR the director nominees is warranted.  Blended Rationale: A vote FOR the director nominees is warranted.										

## **Southwest Airlines Co.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1k		Elect Director John T. Montford	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is I	warranted.								
		Blended Rationale: A vote FOR the director non	ninees is warra	anted.								
11		Elect Director Christopher P. Reynolds	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is l	warranted.								
		Blended Rationale: A vote FOR the director non	ninees is warra	anted.								
1m		Elect Director Ron Ricks	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is I	warranted.								
		Blended Rationale: A vote FOR the director non	ninees is warra	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Amend Qualified Employee Stock Purchase Plan	Mgmt	Yes	For	For		For	For	No	No	No
4		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
5		Adopt Majority Vote Cast to Remove Directors With or Without Cause	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this proposenhance shareholder rights.	al is warranted	d as the abili	ity to remove	e directors w	ith or without	cause would				
		Blended Rationale: A vote FOR this proposal is enhance shareholder rights.	warranted as t	the ability to	remove dire	ectors with or	r without cause	e would				
6		Submit Severance Agreement (Change-in-Control) to Shareholder Vote	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this proposal is warranted given that the request applies only to future severance arrangements, the current agreements will not be affected, the request offers flexibility as to when the board may seek shareholder approval of a new or renewed severance arrangement, such as at the next annual meeting, and it is positive for shareholders to have the ability to vote on severance amounts that exceed market norms.										
		Blended Rationale: A vote FOR this proposal is the current agreements will not be affected, the of a new or renewed severance arrangement, s the ability to vote on severance amounts that e	e request offer such as at the	s flexibility a next annual	s to when th	ne board may	y seek shareho	older approva	a/			

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		147,358	147,358
			04/20/2022	04/20/2022			
					Total Shares:	147,358	147,358

#### **Meeting Results**

Outstanding Shares Voted: 592,844,796

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	9.8%	369,190,620	90.2%	39,964,420	9.8%	1,657,279	N/A	Proxy	F+A
1b	Υ	Pass	No	For	8.2%	375,541,610	91.8%	33,674,427	8.2%	1,596,282	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1c	Υ	Pass	No	For	4.3%	391,431,574	95.7%	17,782,588	4.3%	1,598,157	N/A	Proxy	F+A
1d	Υ	Pass	No	For	7.6%	364,966,416	92.4%	29,886,604	7.6%	15,959,299	N/A	Proxy	F+A
1e	Υ	Pass	No	For	3.7%	394,257,045	96.3%	15,057,368	3.7%	1,497,906	N/A	Proxy	F+A
1f	Υ	Pass	No	For	1.6%	402,542,283	98.4%	6,697,585	1.6%	1,572,451	N/A	Proxy	F+A
1g	Υ	Pass	No	For	1.3%	403,586,775	98.7%	5,519,954	1.3%	1,705,590	N/A	Proxy	F+A
1h	Υ	Pass	No	For	2.8%	398,267,981	97.2%	11,293,694	2.8%	1,250,644	N/A	Proxy	F+A
<b>1</b> i	Υ	Pass	No	For	4.5%	377,602,096	95.5%	17,655,405	4.5%	15,554,818	N/A	Proxy	F+A
1j	Υ	Pass	No	For	5.2%	387,930,466	94.8%	21,293,307	5.2%	1,588,546	N/A	Proxy	F+A
1k	Υ	Pass	No	For	6.1%	384,172,785	93.9%	25,070,609	6.1%	1,568,925	N/A	Proxy	F+A
11	Υ	Pass	No	For	1.4%	403,567,219	98.6%	5,553,399	1.4%	1,691,701	N/A	Proxy	F+A
1m	Υ	Pass	No	For	1.6%	402,662,716	98.4%	6,536,804	1.6%	1,612,799	N/A	Proxy	F+A
2	Υ	Pass	No	For	6.9%	380,200,105	93.1%	28,307,420	6.9%	2,304,794	N/A	Proxy	F+A
3	Υ	Pass	No	For	0.8%	406,010,830	99.2%	3,245,957	0.8%	1,555,532	N/A	Proxy	F+A
4	Υ	Pass	No	For	2.6%	490,994,238	97.4%	13,112,716	2.6%	2,166,618	N/A	Proxy	F+A
5	Υ	Fail	No	Against	47.5%	194,191,170	47.5%	214,655,884	52.5%	1,965,265	N/A	Proxy	F+A
6	Υ	Fail	No	Against	40.9%	167,243,513	40.9%	241,544,462	59.1%	2,024,344	N/A	Proxy	F+A

## Hertz Global Holdings, Inc.

Meeting Date: 05/19/2022 **Record Date:** 03/25/2022 Primary Security ID: 42806J700 Country: USA Meeting Type: Annual

**Primary CUSIP:** 42806J700

Ticker: HTZ Meeting ID: 1633785

Primary ISIN: US42806J7000

Proxy Level: 3

Primary SEDOL: BNM5672

Earliest Cutoff Date: 05/18/2022 Total Ballots: 2 Voting Policy: ISS **Additional Policy:** 

Votable S	hares: 2,259,968	*Shares on Loan: 0			Shares	Instructed: 2,2	59,968		Shares Voted	<b>l:</b> 2,259,968		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Michael Gregory O'Hara	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes
		Voting Policy Rationale: WITHHOLD votes are public boards while serving as CEO of an out		-		-	-					
		Blended Rationale: WITHHOLD votes are was boards while serving as CEO of an outside co		- ,	/	-			ic		-	
1b		Elect Director Thomas Wagner	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are public boards while serving as CEO of an out		-	- ,	•	-				-	
		Blended Rationale: WITHHOLD votes are wa boards while serving as CEO of an outside co		- ,	,	-		•	ic		-	
1c		Elect Director Vincent J. Intrieri	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are public boards while serving as CEO of an out		-	. ,	•	-				-	
		Blended Rationale: WITHHOLD votes are wa	rranted for Mich	ael Gregory	(Greg) O'l	lara for serving	g on more thai	n three publi	ic		-	

boards while serving as CEO of an outside company. A vote FOR the remaining director nominees is warranted.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# Hertz Global Holdings, Inc.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
2		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
D-U-4 D-4	- 11 -											

#### **Ballot Details**

(IA Name, IA Number)	Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		2,050,000	2,050,000
			05/12/2022	05/12/2022			
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		209,968	209,968
			05/12/2022	05/12/2022			
					Total Shares:	2,259,968	2,259,968

### **Meeting Results**

Outstanding Shares Voted: 417,094,805

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	6.2%	301,160,469	93.8%	19,790,893	6.2%	0	N/A	Proxy	F+A
1b	Υ	Pass	No	For	0.1%	320,645,972	99.9%	305,390	0.1%	0	N/A	Proxy	F+A
1c	Υ	Pass	No	For	6.5%	300,149,374	93.5%	20,801,988	6.5%	0	N/A	Proxy	F+A
2	Y	Pass	No	For	0.1%	341,401,050	99.9%	202,128	0.1%	36,057	N/A	Proxy	F+A
3	Y	Pass	No	For	6.9%	298,613,145	93.1%	22,217,840	6.9%	120,377	N/A	Proxy	F+A

## **Zynga Inc.**

Meeting Date: 05/19/2022	Country: USA	Ticker: ZNGA	Proxy Level: 5
<b>Record Date:</b> 04/04/2022	Meeting Type: Special	Meeting ID: 1633212	
Primary Security ID: 98986T108	Primary CUSIP: 98986T108	Primary ISIN: US98986T1088	Primary SEDOL: B79PX49
Earliest Cutoff Date: 05/18/2022	Total Ballots: 1	Voting Policy: ISS	Additional Policy:
Votable Shares: 1,000,000	*Shares on Loan: 0	Shares Instructed: 1,000,000	<b>Shares Voted:</b> 1,000,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Merger Agreement	Mgmt	Yes	For	For		For	For	No	No	No
2		Advisory Vote on Golden Parachutes	Mgmt	Yes	For	For		For	For	No	No	No
3		Adjourn Meeting	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		1,000,000	1,000,000
			05/11/2022	05/11/2022	_		
					Total Shares:	1,000,000	1,000,000

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## **Zynga Inc.**

#### **Meeting Results**

Outstanding Shares Voted: 1,137,276,459

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.3%	773,916,852	68.1%	2,033,856	0.2%	1,352,539	0.1%	Proxy	Capital Represented
2	Υ	Pass	No	For	4.4%	743,319,160	95.6%	29,337,217	3.8%	4,646,870	0.6%	Proxy	F+A+AB
3	Υ	Withdrawn	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Proxy	F+A+AB

## **Royal Dutch Shell Plc**

**Meeting Date:** 05/24/2022

Country: United Kingdom

Ticker: SHEL

Proxy Level: N/A

**Record Date:** 05/20/2022

Votable Shares: 3,402,600

Meeting Type: Annual

**Meeting ID:** 1566400

**Primary Security ID:** G80827101

Primary CUSIP: G80827101

Primary ISIN: GB00BP6MXD84

Primary SEDOL: BP6MXD8

Earliest Cutoff Date: 05/19/2022

Total Ballots: 1
\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 3,402,600

**Shares Voted:** 3,402,600

**Additional Policy:** 

votable 5	1141 CS1 3, 102,000	Shares on Loans o			Silai CS I	iisti uctcui 5, i	02,000		Silai es votet	3, 102,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Management Proposals	Mgmt	No								
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect Sinead Gorman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these D	Directors is warrai	nted as no si	ignificant co	ncerns have i	been identified	<i>1.</i>			-	
		Blended Rationale: A vote FOR these Direct	tors is warranted	as no signific	cant concer	ns have been	identified.				-	
4		Re-elect Ben van Beurden as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these D	Directors is warrar	nted as no si	ignificant co	ncerns have l	been identified	<i>f.</i>			-	
		Blended Rationale: A vote FOR these Direct	ors is warranted	as no signific	cant concer	ns have been	identified.				-	
5		Re-elect Dick Boer as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these D	Directors is warrar	nted as no si	ignificant co	ncerns have l	been identified	1.			-	
		Blended Rationale: A vote FOR these Direct	ors is warranted	as no signific	cant concer	ns have been	identified.				-	
6		Re-elect Neil Carson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these D	Directors is warrar	nted as no si	ignificant co	ncerns have l	been identified	1.			-	
		Blended Rationale: A vote FOR these Direct	ors is warranted	as no signific	cant concer	ns have been	identified.				-	
7		Re-elect Ann Godbehere as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these D	Directors is warrar	nted as no si	ignificant co	ncerns have l	been identified	1.			-	
		Blended Rationale: A vote FOR these Direct	ors is warranted	as no signific	cant concer	ns have been	identified.				-	
8		Re-elect Euleen Goh as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these D	Directors is warrai	nted as no si	ignificant co	ncerns have l	been identified	<i>f.</i>			-	
		Blended Rationale: A vote FOR these Direct	ors is warranted	as no signific	cant concer	ns have been	identified.				-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Royal Dutch Shell Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Re-elect Jane Lute as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warran	nted as no sig	gnificant con	ncerns have L	been identified					
		Blended Rationale: A vote FOR these Directors	s is warranted a	as no signific	ant concern	s have been	identified.					
10		Re-elect Catherine Hughes as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warran	nted as no sig	gnificant con	ncerns have L	been identified					
		Blended Rationale: A vote FOR these Directors	s is warranted a	as no signific	ant concern	s have been	identified.					
11		Re-elect Martina Hund-Mejean as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warran	nted as no sig	gnificant con	ncerns have L	been identified					
		Blended Rationale: A vote FOR these Directors	s is warranted a	as no signific	ant concern	s have been	identified.					
12		Re-elect Sir Andrew Mackenzie as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warran	nted as no sig	gnificant con	ncerns have L	been identified					
		Blended Rationale: A vote FOR these Directors	s is warranted a	as no signific	ant concern	s have been	identified.					
13		Re-elect Abraham Schot as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Dire	ectors is warran	nted as no sig	gnificant con	ncerns have L	been identified					
		Blended Rationale: A vote FOR these Directors	s is warranted a	as no signific	ant concern	s have been	identified.					
14		Reappoint Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	olutions is warra	anted becau	se the propo	osed amounts	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ons is warranted	d because th	e proposed (	amounts and	durations are	within				
17		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resorrecommended limits.	olutions is warra	anted becau	se the propo	osed amount	s and duration.	s are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ons is warranted	d because th	e proposed	amounts and	d durations are	within				
18		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise Off-Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
20		Approve the Shell Energy Transition Progress Update	Mgmt	Yes	For	For		For	For	No	No	No
		Shareholder Proposal	Mgmt	No								
21		Request Shell to Set and Publish Targets for Greenhouse Gas (GHG) Emissions	SH	Yes	Against	Against		Against	Against	No	No	No
Ballot Det	ails											
Institutiona (IA Name, I	l Account Detail A Number)	Custodian Account Number Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Royal Dutch Shell Plc**

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		3,402,600	3,402,600
			05/13/2022	05/13/2022			
					Total Shares:	3,402,600	3,402,600

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

tem#	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	1.6%	4,469,477,767	98.4%	71,091,532	1.6%	15,067,658	N/A	Poll	F+A
2	Υ	Pass	No	For	4.1%	4,346,283,705	95.9%	184,753,614	4.1%	24,598,418	N/A	Poll	F+A
3	Υ	Pass	No	For	0.5%	4,516,521,089	99.5%	23,417,006	0.5%	15,675,553	N/A	Poll	F+A
4	Υ	Pass	No	For	0.8%	4,496,569,558	99.2%	34,290,352	0.8%	24,761,284	N/A	Poll	F+A
5	Υ	Pass	No	For	1.5%	4,474,674,800	98.5%	67,820,033	1.5%	13,126,425	N/A	Poll	F+A
6	Υ	Pass	No	For	1.1%	4,491,217,829	98.9%	51,083,981	1.1%	13,317,337	N/A	Poll	F+A
7	Υ	Pass	No	For	1.8%	4,458,461,056	98.2%	83,907,506	1.8%	13,244,853	N/A	Poll	F+A
8	Υ	Pass	No	For	1.4%	4,479,743,495	98.6%	62,636,105	1.4%	13,240,198	N/A	Poll	F+A
9	Υ	Pass	No	For	0.6%	4,514,627,950	99.4%	27,643,508	0.6%	13,345,259	N/A	Poll	F+A
10	Υ	Pass	No	For	1.5%	4,472,378,257	98.5%	70,125,060	1.5%	13,117,075	N/A	Poll	F+A
11	Υ	Pass	No	For	0.2%	4,533,297,371	99.8%	9,092,680	0.2%	13,230,216	N/A	Poll	F+A
12	Υ	Pass	No	For	6.9%	4,229,127,499	93.1%	312,416,135	6.9%	14,070,548	N/A	Poll	F+A
13	Υ	Pass	No	For	0.7%	4,510,980,924	99.3%	30,852,332	0.7%	13,768,612	N/A	Poll	F+A
14	Υ	Pass	No	For	0.1%	4,498,735,944	99.9%	5,326,757	0.1%	51,567,695	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	4,536,967,618	99.9%	5,577,382	0.1%	13,084,173	N/A	Poll	F+A
16	Υ	Pass	No	For	2.0%	4,454,082,528	98.0%	88,725,174	2.0%	12,831,705	N/A	Poll	F+A
17	Υ	Pass	No	For	1.1%	4,490,698,350	98.9%	48,830,150	1.1%	16,095,313	N/A	Poll	F+A
18	Υ	Pass	No	For	1.2%	4,487,609,043	98.8%	53,240,550	1.2%	14,778,954	N/A	Poll	F+A
19	Υ	Pass	No	For	1.2%	4,485,657,888	98.8%	54,853,594	1.2%	15,114,434	N/A	Poll	F+A
20	Υ	Pass	No	For	20.1%	3,525,014,244	79.9%	886,272,022	20.1%	144,341,223	N/A	Poll	F+A
	N												
21	Y	Fail	Yes	Against	20.3%	906,494,546	20.3%	3,560,626,618	79.7%	88,507,794	N/A	Poll	F+A

Meeting Date: 05/24/2022 **Record Date:** 05/20/2022

Country: France

Ticker: VK

Proxy Level: N/A

Primary Security ID: F96708270

(item7).

Meeting Type: Annual/Special Primary CUSIP: F96708270

Meeting ID: 1638586 **Primary ISIN:** FR0013506730

Primary SEDOL: BLGWF90

Earliest Cutoff Date: 05/19/2022

Votable Shares: 818,260

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

\*Shares on Loan: 0 Shares Instructed: 818,260 Shares Voted: 818,260

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Ordinary Business	Mgmt	No								
1		Approve Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approva and lack of concerns.	l of the annua	l accounts a	re warranted	due to the u	unqualified aud	ditors' opinio	n			
		Blended Rationale: Votes FOR the approval of t lack of concerns.	he annual acco	ounts are wa	arranted due	to the unqu	alified auditors	s' opinion and	d			
2		Approve Consolidated Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR the approva and lack of concerns.	l of the annua	l accounts a	re warranted	due to the l	unqualified aud	ditors' opinio	п			
		Blended Rationale: Votes FOR the approval of t lack of concerns.	he annual acc	ounts are wa	arranted due	to the unqu	alified auditors	s' opinion and	d			
3		Approve Allocation of Income and Absence of Dividends	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Transaction with Edouard Guinotte Re: Execution and Termination of his Duties within Vallourec	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this pr performance conditions as the company chose previously voted policy; * There is no auditors'	a transactiona	l agreement	instead of th	ne terminatio	•	-	•			
		Blended Rationale: A vote AGAINST this propose performance conditions as the company chose previously voted policy; * There is no auditors'	a transactiona	l agreement	instead of th	ne terminatio		•	,			
5		Reelect Angela Minas as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the (re)eleconcerns (Items 5 and 6). * A vote AGAINST the (item7).						•	Sic .			
		Blended Rationale: * Votes FOR the (re)election concerns (Items 5 and 6). * A vote AGAINST the (item7).		-				-				
6		Reelect Hera Siu as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the (re)eleconcerns (Items 5 and 6). * A vote AGAINST the (item7).		•					Sic .			
		Blended Rationale: * Votes FOR the (re)election concerns (Items 5 and 6). * A vote AGAINST the		•				•				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Ratify Appointment of Philippe Guillemot as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: * Votes FOR the (re)econcerns (Items 5 and 6). * A vote AGAINST (item7).										
		Blended Rationale: * Votes FOR the (re)electiconcerns (Items 5 and 6). * A vote AGAINST (item7).		-				-				
8		Approve Compensation Report of Corporate Officers	Mgmt	Yes	For	For		For	For	No	No	No
9		Approve Compensation of Edouard Guinotte, Chairman of the Management Board Until 30 June 2021	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these rem granted LTIPs are not sufficiently long-term o reasons for support are: * Most of the granted granted prior to his mandate; and * There are	riented; * The l d LTIPs lapsed	level of disclo following the	osure surrol	unding the ve	ested LTIP is lo	w. The mail	7		-	
		Blended Rationale: Votes FOR these remuners granted LTIPs are not sufficiently long-term or reasons for support are: * Most of the granted granted prior to his mandate; and * There are	riented; * The l d LTIPs lapsed	level of disclo following the	osure surrol	unding the ve	ested LTIP is lo	w. The mail				
10		Approve Compensation of Edouard Guinotte, Chairman and CEO From 1 July 2021 to 31 December 2021 and From 1 January 2022 to 20 March 2022	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR these rem granted LTIPs are not sufficiently long-term o reasons for support are: * Most of the granted granted prior to his mandate; and * There are	riented; * The l d LTIPs lapsed	level of disclo following the	osure surrol	unding the ve	ested LTIP is lo	w. The mail	7			
		Blended Rationale: Votes FOR these remuners granted LTIPs are not sufficiently long-term or reasons for support are: * Most of the granted granted prior to his mandate; and * There are	riented; * The l d LTIPs lapsed	level of disclo following the	osure surrol	unding the ve	ested LTIP is lo	w. The mail				
11		Approve Compensation of Olivier Mallet, Management Board Member Until 30 June 2021	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Votes AGAINST these sufficiently long-term oriented; and * The levels		-			e granted LTIF	P are not				
		Blended Rationale: Votes AGAINST these rem long-term oriented; and * The level of disclos	•			-	anted LTIP are	not sufficier	ntly			
12		Approve Compensation of Olivier Mallet, Vice-CEO From 1 July 2021 to 31 December 2021 and From 1 January 2022 to 20 March 2022	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Votes AGAINST these sufficiently long-term oriented; and * The levels					e granted LTIF	P are not			_	
		Blended Rationale: Votes AGAINST these rem long-term oriented; and * The level of disclos				_	anted LTIP are	not sufficier	ntly			
13		Approve Compensation of Vivienne Cox, Chairman of the Supervisory Board Until 30 June 2021	Mgmt	Yes	For	For		For	For	No	No	No

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
14		Approve Remuneration Policy of Chairman and CEO	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the remunconcerns are raised: * The new CEO's remuner officers are guaranteed the payment of a particular guaranteed to be long-term oriented; * The postringency; * The policy authorizes the post-mackage is broadly in line with Mr. Guillemot's experienced CEO; * The LTIP does not include Company's past practice is for unvested preferring this policy is rejected would not be considered (item 15) is warranted because: * The CFO is a corporate officer. * He still benefits from the pureaching EUR 8.09 (see item 12) * The policy is reserved.	ration package of their bonuse erformance con andate vesting past position's the ordinary se ence shares to ed more advan guaranteed the roblematic par	e shows a sig es; * The pe nditions attac a of awards. remuneratic shares that w a lapse; * Tha tageous. A v e payment on t of the 2021	nificant incorrormance of the control of the contro	rease with little conditions att. termination peasons for suj g a higher pact to a problen n of the previ for the remundations for the condinary shall	tle rationale; * cached to the L cackage are de coport are: * Th ckage to attrac catic performal cous CEO remu eration policy of the period of to	Corporate TIP are not remed to lack reproposed repropos	cc o; * icy llet c a		_	
		Blended Rationale: A vote FOR the remuneration concerns are raised: * The new CEO's remuner officers are guaranteed the payment of a particular guaranteed to be long-term oriented; * The pestringency; * The policy authorizes the post-mapackage is broadly in line with Mr. Guillemot's experienced CEO; * The LTIP does not include Company's past practice is for unvested preferring this policy is rejected would not be considered (item 15) is warranted because: * The CFO is a corporate officer. * He still benefits from the pureaching EUR 8.09 (see item 12) * The policy is seen to the policy is rejected.	ration package of their bonuse erformance con andate vesting past position's the ordinary se ence shares to ed more advan guaranteed the roblematic par	e shows a sig es; * The pe nditions attac n of awards. remuneratic shares that w n lapse; * The tageous. A v e payment on t of the 202.	nificant incorrormance of the control of the contro	rease with little conditions att. termination peasons for sup g a higher pact to a problen n of the previ for the remundations for the ordinary shall	tle rationale; * vached to the L vackage are de vached to attrac vached to attrac vached to attrac vached to remu vached to of the vached of the vached to of t	Corporate TIP are not remed to lack re proposed rt an rec condition reration polition of Olivier Mai re year he is	n; * icy llet : a			
15		Approve Remuneration Policy of Vice-CEO	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote FOR the remunconcerns are raised: * The new CEO's remuner officers are guaranteed the payment of a part guaranteed to be long-term oriented; * The pestringency; * The policy authorizes the post-mpackage is broadly in line with Mr. Guillemot's experienced CEO; * The LTIP does not include Company's past practice is for unvested prefer if this policy is rejected would not be considered (item 15) is warranted because: * The CFO is goorporate officer. * He still benefits from the preaching EUR 8.09 (see item 12) * The policy is the considered of the considered of the considered of the company is warranted because: * The CFO is goorporate officer. * He still benefits from the preaching EUR 8.09 (see item 12) * The policy is the considered of the cons	ration package of their bonusi erformance con andate vesting past position's the ordinary se ence shares to ed more advan guaranteed the problematic par	e shows a sig es; * The pe nditions attac of awards. remuneratic shares that w o lapse; * Tho tageous. A v e payment of t of the 2021	nificant incorrormance of the ched to the	rease with little conditions att. termination peasons for sup g a higher pact to a problen n of the previ for the remunatis bonus for ordinary shal	tle rationale; * ached to the L backage are de oport are: * Th ckage to attrac natic performal ious CEO remu eration policy of the period of to	Corporate TIP are not remed to lack re proposed rt an rec condition rection polition of Olivier Mai re year he is	c; * icy llet : a		-	
		Blended Rationale: A vote FOR the remuneration concerns are raised: * The new CEO's remuner officers are guaranteed the payment of a particular guaranteed to be long-term oriented; * The pestringency; * The policy authorizes the post-mackage is broadly in line with Mr. Guillemot's experienced CEO; * The LTIP does not include Company's past practice is for unvested preferring this policy is rejected would not be considered (item 15) is warranted because: * The CFO is a corporate officer. * He still benefits from the pareaching EUR 8.09 (see item 12) * The policy is rejected would not be considered to the policy is rejected would not be considered to the policy is warranted because: * The CFO is the corporate officer. * He still benefits from the pareaching EUR 8.09 (see item 12) * The policy is the considered to the payment of the policy is the payment of the	ration package of their bonus erformance con andate vesting past position's the ordinary s ence shares to ed more advan guaranteed the poblematic par	shows a sig es; * The pe nditions attac of awards. remuneratic shares that w lapse; * Th tageous. A v e payment of t of the 202.	nificant inc. rformance of the ched to the	rease with little conditions att. termination peasons for sup g a higher pact to a problen n of the previ for the remunais bonus for cordinary shall	tle rationale; * ached to the L backage are de oport are: * Th ckage to attrac hatic performal ious CEO remu eration policy of the period of to	Corporate TIP are not remed to lack the proposed tit an rece condition rece condition of Olivier Mai the year he is	n; * icy llet : a		-	
16		Approve Remuneration Policy of Directors	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	Yes	For	For		For	For	No	No	No
		Extraordinary Business	Mgmt	No								

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
18		Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 1,831,427	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with a				ed as their pro	pposed volume.	s respect the	2			
		Blended Rationale: * Votes FOR the authorize recommended guidelines for issuances with a				their propose	ed volumes res	pect the				
19		Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 457,857	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with a				ed as their pro	pposed volume.	s respect the	•			
		Blended Rationale: * Votes FOR the authorize recommended guidelines for issuances with a				their propose	ed volumes res	pect the				
20		Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 457,857	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with a				ed as their pro	pposed volume.	s respect the	2			
		Blended Rationale: * Votes FOR the authorize recommended guidelines for issuances with a				their propose	ed volumes res	pect the			-	
21		Authorize Board to Set Issue Price for 10 Percent Per Year of Issued Capital Pursuant to Issue Authority without Preemptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with a				ed as their pro	pposed volume.	s respect the	?		-	
		Blended Rationale: * Votes FOR the authorize recommended guidelines for issuances with a				their propose	ed volumes res	pect the				
22		Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with a				ed as their pro	pposed volume	s respect the	?		-	
		Blended Rationale: * Votes FOR the authorize recommended guidelines for issuances with a				their propose	ed volumes res	pect the			-	
23		Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with a				ed as their pro	pposed volume	s respect the	?		-	
		Blended Rationale: * Votes FOR the authorization recommended guidelines for issuances with a				their propose	ed volumes res	pect the				
24		Authorize Capital Increase of Up to EUR 457,857 for Future Exchange Offers	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: * Votes FOR the authorecommended guidelines for issuances with a				ed as their pro	pposed volume.	s respect the	?			
		Blended Rationale: * Votes FOR the authorize recommended guidelines for issuances with a				their propose	ed volumes res	pect the				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
25	Approve Issuance of Equity or Equity-Linked Securities Resen Specific Beneficiaries, up to Ag Nominal Amount of EUR 457,8	gregate	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: * Votes recommended guidelines for iss					d as their prop	posed volumes	s respect the				
	Blended Rationale: * Votes FOR recommended guidelines for iss					their proposed	d volumes resp	pect the				
26	Authorize Capitalization of Res Up to EUR 1,373,570 for Bonus or Increase in Par Value		Mgmt	Yes	For	For		For	For	No	No	No
27	Authorize Capital Issuances for Employee Stock Purchase Plan		Mgmt	Yes	For	For		For	For	No	No	No
28	Authorize Decrease in Share Covia Cancellation of Repurchase Shares		Mgmt	Yes	For	For		For	For	No	No	No
29	Amend Article 10 and 13 of By Chairman and CEO	laws Re:	Mgmt	Yes	For	For		For	For	No	No	No
30	Authorize Filing of Required Documents/Other Formalities		Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares '	Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset		jbisset				818,26	50	818,	260
			05/17/2022	2	05/17/20	)22						
								Total Shares:	818,2	60	818,	260

### **Meeting Results**

Outstanding Shares Voted: 228,740,563

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.0%	159,113,166	100.0%	23,346	0.0%	116,189	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	159,112,941	100.0%	23,604	0.0%	116,156	N/A	Poll	F+A
3	Υ	Pass	No	For	0.0%	159,153,321	100.0%	73,908	0.0%	25,472	N/A	Poll	F+A
4	Υ	Pass	No	For	14.9%	135,505,103	85.1%	23,642,734	14.9%	39,143	N/A	Poll	F+A
5	Υ	Pass	No	For	0.1%	158,678,415	99.9%	235,719	0.1%	338,567	N/A	Poll	F+A
6	Υ	Pass	No	For	0.2%	158,601,879	99.8%	306,461	0.2%	344,361	N/A	Poll	F+A
7	Υ	Pass	No	For	13.1%	138,417,622	86.9%	20,805,318	13.1%	29,761	N/A	Poll	F+A
8	Υ	Pass	No	For	0.3%	158,778,620	99.7%	438,989	0.3%	35,092	N/A	Poll	F+A
9	Υ	Pass	No	For	4.1%	152,685,503	95.9%	6,476,373	4.1%	90,825	N/A	Poll	F+A
10	Υ	Pass	No	For	5.2%	150,895,377	94.8%	8,269,280	5.2%	88,044	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
11	Υ	Pass	No	For	14.2%	136,543,294	85.8%	22,662,486	14.2%	46,921	N/A	Poll	F+A
12	Υ	Pass	No	For	14.2%	136,541,805	85.8%	22,664,052	14.2%	46,844	N/A	Poll	F+A
13	Υ	Pass	No	For	0.4%	158,506,094	99.6%	701,018	0.4%	45,589	N/A	Poll	F+A
14	Υ	Pass	No	For	8.6%	145,458,319	91.4%	13,678,260	8.6%	116,122	N/A	Poll	F+A
15	Υ	Pass	No	For	19.1%	128,840,171	80.9%	30,362,086	19.1%	50,444	N/A	Poll	F+A
16	Υ	Pass	No	For	0.6%	158,166,040	99.4%	1,033,139	0.6%	53,522	N/A	Poll	F+A
17	Υ	Pass	No	For	0.1%	159,128,785	99.9%	82,766	0.1%	41,150	N/A	Poll	F+A
	N												
18	Υ	Pass	No	For	0.9%	157,842,199	99.1%	1,383,641	0.9%	26,861	N/A	Poll	F+A
19	Υ	Pass	No	For	0.7%	158,161,521	99.3%	1,052,734	0.7%	38,446	N/A	Poll	F+A
20	Υ	Pass	No	For	0.7%	158,146,476	99.3%	1,077,923	0.7%	28,302	N/A	Poll	F+A
21	Υ	Pass	No	For	0.4%	158,666,111	99.6%	558,515	0.4%	28,075	N/A	Poll	F+A
22	Υ	Pass	No	For	1.2%	157,256,177	98.8%	1,968,823	1.2%	27,701	N/A	Poll	F+A
23	Υ	Pass	No	For	0.4%	158,569,573	99.6%	656,855	0.4%	26,273	N/A	Poll	F+A
24	Υ	Pass	No	For	0.3%	158,721,631	99.7%	504,593	0.3%	26,477	N/A	Poll	F+A
25	Υ	Pass	No	For	0.7%	158,177,217	99.3%	1,048,813	0.7%	26,671	N/A	Poll	F+A
26	Υ	Pass	No	For	0.2%	158,889,631	99.8%	339,792	0.2%	23,278	N/A	Poll	F+A
27	Υ	Pass	No	For	0.3%	158,698,818	99.7%	521,864	0.3%	32,019	N/A	Poll	F+A
28	Υ	Pass	No	For	0.4%	158,646,417	99.6%	581,898	0.4%	24,386	N/A	Poll	F+A
29	Υ	Pass	No	For	0.3%	158,709,970	99.7%	503,138	0.3%	39,593	N/A	Poll	F+A
30	Υ	Pass	No	For	0.0%	159,173,822	100.0%	49,659	0.0%	29,220	N/A	Poll	F+A

Meeting Date: 05/25/2022 Country: USA

Record Date: 04/01/2022 Meeting Type: Annual

 Primary Security ID: 30231G102
 Primary CUSIP: 30231G102
 Primary ISIN: US30231G1022
 Primary SEDOL: 2326618

Earliest Cutoff Date: 05/24/2022 Total Ballots: 1 Voting Policy: ISS Additional Policy:

Votable Shares: 135,410 \*Shares on Loan: 0 Shares Instructed: 135,410 Shares Voted: 135,410

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Michael J. Angelakis	Mgmt	Yes	For	For		For	For	No	No	No

Ticker: XOM

Meeting ID: 1632904

Proxy Level: 3

Voting Policy Rationale: Votes FOR Darren Woods and Joseph Hooley are warranted, with caution, given that the company has set targets to improve the efficiency of its operations but does not appear to be taking steps that would be needed to be aligned with Paris Agreement targets. A vote FOR the other director nominees is warranted.

Blended Rationale: Votes FOR Darren Woods and Joseph Hooley are warranted, with caution, given that the company has set targets to improve the efficiency of its operations but does not appear to be taking steps that would be needed to be aligned with Paris Agreement targets. A vote FOR the other director nominees is warranted.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.2		Elect Director Susan K. Avery	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	ations but doe	s not appear	r to be takii	ng steps that	-		has			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ns but does no	ot appear to	be taking s			-				
1.3		Elect Director Angela F. Braly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	ations but doe	s not appear	r to be takii	ng steps that	-		has			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ns but does no	ot appear to	be taking s			-				
1.4		Elect Director Ursula M. Burns	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	ations but doe	s not appear	r to be takii	ng steps that	-		has			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ns but does no	ot appear to	be taking s							
1.5		Elect Director Gregory J. Goff	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	ations but doe	s not appear	r to be takii	ng steps that	-		has		•	
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ns but does no	ot appear to	be taking s			-				
1.6		Elect Director Kaisa H. Hietala	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	ations but doe	s not appea	r to be takii	ng steps that	-		has			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ns but does no	ot appear to	be taking s							
1.7		Elect Director Joseph L. Hooley	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	ations but doe	s not appea	r to be takii	ng steps that	-		has			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ns but does no	ot appear to	be taking s							
1.8		Elect Director Steven A. Kandarian	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woo set targets to improve the efficiency of its oper aligned with Paris Agreement targets. A vote Fo	ations but doe	s not appear	r to be takii	ng steps that	-		has			
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operatio with Paris Agreement targets. A vote FOR the o	ns but does no	ot appear to	be taking s			-				

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.9		Elect Director Alexander A. Karsner	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woc set targets to improve the efficiency of its oper- aligned with Paris Agreement targets. A vote Fo	ations but doe.	s not appear	to be taking	g steps that	-		as			
		Blended Rationale: Votes FOR Darren Woods at targets to improve the efficiency of its operation with Paris Agreement targets. A vote FOR the o	ns but does no	ot appear to	be taking st			-				
1.10		Elect Director Jeffrey W. Ubben	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Wood set targets to improve the efficiency of its operaligned with Paris Agreement targets. A vote For	ations but doe.	s not appear	to be taking	g steps that	-		as			
		Blended Rationale: Votes FOR Darren Woods at targets to improve the efficiency of its operation with Paris Agreement targets. A vote FOR the o	ns but does no	ot appear to	be taking st			-				
1.11		Elect Director Darren W. Woods	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR Darren Woc set targets to improve the efficiency of its oper- aligned with Paris Agreement targets. A vote Fo	ations but doe. OR the other d	s not appear lirector nomi	to be taking nees is warr	g steps that a	would be need	led to be				
		Blended Rationale: Votes FOR Darren Woods a targets to improve the efficiency of its operation with Paris Agreement targets. A vote FOR the c	ns but does no	nt appear to	be taking st							
2		Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
4		Remove Executive Perquisites	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propose excessive, they represent a non-performance-b Such perquisites are not considered to be a besimprovement.	ased benefit ti	hat is not ge	nerally avail	able to the b	roader employ	ree populatio	n.			
		Blended Rationale: A vote FOR this proposal is they represent a non-performance-based benefit perquisites are not considered to be a best practice.	fit that is not g	enerally ava	ilable to the	broader emp	ployee populat	ion. Such				
5		Amend Bylaws to Limit Shareholder Rights for Proposal Submission	SH	Yes	Against	Against		Against	Against	No	No	No
6		Set GHG Emissions Reduction targets Consistent With Paris Agreement Goal	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propos carbon footprint and align its operations with Po company is managing its transition to a low car	aris Agreemen	t goals woul	d allow inve	stors to bette	, ,		its			
		Blended Rationale: A vote FOR this proposal is carbon footprint and align its operations with P company is managing its transition to a low car	aris Agreemen	t goals woul	d allow inve	stors to bette	-					
7		Report on Low Carbon Business Planning	SH	Yes	Against	Against		Against	Against	No	No	No
8		Report on Scenario Analysis Consistent with International Energy Agency's Net Zero by 2050	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this proposabout the company's risk of stranded assets, gi					-	disclosure				
		Blended Rationale: A vote FOR this proposal is the company's risk of stranded assets, given its					n greater disci	osure about				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Report on Reducing Plastic Pollution	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propos of the company to transition from virgin polymor management of associated financial, environment	er production v	vould allow	shareholders		-		ity			
		Blended Rationale: A vote FOR this proposal is the company to transition from virgin polymer management of associated financial, environment	production wo	uld allow sha	areholders to		-	,	s .			
10		Report on Political Contributions and Expenditures	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this resolut memberships in trade associations and other pa comprehensive political contribution activities a	olitical organiza	ations would	help sharel	olders asses	s the company					
		Blended Rationale: A vote FOR this resolution is memberships in trade associations and other po- comprehensive political contribution activities a	olitical organiza	ations would	help sharel	olders asses	s the company					

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jcoster	jcoster		135,410	135,410
			05/23/2022	05/23/2022			
					Total Shares:	135,410	135,410

#### **Meeting Results**

Outstanding Shares Voted: 4,213,205,009

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Υ	Pass	No	For	2.1%	2,547,924,545	97.9%	53,455,402	2.1%	20,049,727	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	4.6%	2,477,831,962	95.4%	118,721,113	4.6%	24,891,192	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	3.4%	2,513,024,444	96.6%	89,314,156	3.4%	19,104,634	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	10.9%	2,327,781,231	89.1%	284,073,145	10.9%	9,565,391	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	1.9%	2,561,365,873	98.1%	49,525,294	1.9%	10,553,052	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	1.7%	2,566,139,786	98.3%	45,285,191	1.7%	10,016,967	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	5.1%	2,476,828,928	94.9%	134,272,350	5.1%	10,334,699	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	1.5%	2,572,658,270	98.5%	38,414,203	1.5%	10,371,746	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	3.0%	2,532,029,094	97.0%	78,926,756	3.0%	10,486,437	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	1.6%	2,559,547,876	98.4%	41,716,300	1.6%	20,173,519	N/A	Proxy	F+A
1.11	Υ	Pass	No	For	8.4%	2,393,735,033	91.6%	218,457,411	8.4%	9,250,645	N/A	Proxy	F+A
2	Υ	Pass	No	For	3.2%	3,266,256,474	96.8%	107,018,692	3.2%	11,850,049	N/A	Proxy	F+A
3	Υ	Pass	No	For	9.0%	2,359,659,298	91.0%	232,188,848	9.0%	29,593,623	N/A	Proxy	F+A
4	Υ	Fail	No	Against	21.8%	555,991,008	21.8%	1,998,938,503	78.2%	66,505,804	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
5	Υ	Fail	No	Against	1.5%	37,988,180	1.5%	2,566,577,886	98.5%	16,861,496	N/A	Proxy	F+A
6	Υ	Fail	No	Against	27.1%	634,538,294	27.1%	1,711,232,081	72.9%	275,657,276	N/A	Proxy	F+A
7	Υ	Fail	No	Against	10.5%	269,080,730	10.5%	2,297,592,462	89.5%	54,749,145	N/A	Proxy	F+A
8	Υ	Pass	No	Against	51.0%	1,212,862,208	51.0%	1,167,183,242	49.0%	241,366,778	N/A	Proxy	F+A
9	Υ	Fail	No	Against	36.5%	940,949,583	36.5%	1,639,139,896	63.5%	41,311,728	N/A	Proxy	F+A
10	Υ	Fail	No	Against	26.7%	695,653,665	26.7%	1,908,852,962	73.3%	16,911,258	N/A	Proxy	F+A

## Meta Platforms, Inc.

**Meeting Date:** 05/25/2022

Votable Shares: 20,700

Country: USA

Ticker: FB

Proxy Level: 3

Record Date: 04/01/2022 Primary Security ID: 30303M102 Meeting Type: Annual
Primary CUSIP: 30303M102

\*Shares on Loan: 0

**Meeting ID:** 1634027

Primary ISIN: US30303M1027

Primary SEDOL: B7TL820

Earliest Cutoff Date: 05/24/2022 Total Ballots: 1

,

Voting Policy: ISS

Shares Instructed: 20,700

Additional Policy: Shares Voted: 20,700

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Peggy Alford	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all dire	ector nominees are	warranted.								
		Blended Rationale: Votes FOR all director	nominees are warr	ranted.								
1.2		Elect Director Marc L. Andreessen	Mgmt	Yes	For	For		For	Withhold	Yes	Yes	Yes
		Voting Policy Rationale: Votes FOR all dire	ector nominees are	warranted.								
		Blended Rationale: Votes FOR all director	nominees are warr	ranted.								
1.3		Elect Director Andrew W. Houston	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all dire	ector nominees are	warranted.								
		Blended Rationale: Votes FOR all director	nominees are warr	ranted.								
1.4		Elect Director Nancy Killefer	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all dire	ector nominees are	warranted.								
		Blended Rationale: Votes FOR all director	nominees are warr	ranted.							-	
1.5		Elect Director Robert M. Kimmitt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all dire	ector nominees are	warranted.								
		Blended Rationale: Votes FOR all director	nominees are warr	ranted.								
1.6		Elect Director Sheryl K. Sandberg	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all dire	ector nominees are	warranted.								
		Blended Rationale: Votes FOR all director	nominees are warr	ranted.								
1.7		Elect Director Tracey T. Travis	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all dire	ector nominees are	warranted.								
		Blended Rationale: Votes FOR all director	nominees are warr	ranted.								

# **Meta Platforms, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.8		Elect Director Tony Xu	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nom	inees are warra	anted.								
1.9		Elect Director Mark Zuckerberg	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR all director	nominees are	warranted.								
		Blended Rationale: Votes FOR all director nom	inees are warra	anted.								
2		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: There are several ong- remain discretionary, and incentive programs in individual performance assessments is also pol- impact on annual bonuses. Executives again re- the CEO's and COO's security costs are exceed warranted.  Blended Rationale: There are several ongoing remain discretionary, and incentive programs in individual performance assessments is also pol- impact on annual bonuses. Executives again re- the CEO's and COO's security costs are exceed	lack disclosed por, and the desectived very land the desectived very land land land land land land land land	nerformance sign allows for ge equity availight of thesi and disclosura serformance sign allows for ge equity av	metrics and or such cons wards that late e concerns, e concerns. metrics and or such conswards that late wards that late or such conswards that late or such cons	quantified g iderations to ack performa a vote AGAII  The compan; quantified g iderations to ack performa	oals. Disclosur have a potent nce vesting cn NST this propo y's award dete toals. Disclosur have a potent nce vesting cn	e around tially large iteria. Moreo ssal is rminations re around tially large iteria. Moreo	ver,			
4		warranted.  Approve Recapitalization Plan for all	SH	Yes	Against	For		For	For	Yes	No	No
		Stock to Have One-vote per Share  Voting Policy Rationale: A vote FOR this propo	ical ic warranto	d ac it would	d convoy to t	the heard no	naffiliated cha	rahaldara'				
		preference for a capital structure in which the						CHOIGCIS				
		Blended Rationale: A vote FOR this proposal is preference for a capital structure in which the			-			'ders'				
5		Require Independent Board Chair	SH	Yes	Against	For	ang.rear	For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propo appointment of a non-independent director (pu the most robust form of independent board ov	sal is warrante er ISS classifica	d. Given the ations) to ser	concerns wi	ith the board director, shar	-	and the				
		Blended Rationale: A vote FOR this proposal is appointment of a non-independent director (pu the most robust form of independent board ov	er ISS classifica	ntions) to ser	rve as lead d	director, shar			m			
6		Report on Risks Associated with Use of Concealment Clauses	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propo of concealment clauses has on its employees r recruitment, development and retention.					•		use			
		Blended Rationale: A vote FOR this proposal is concealment clauses has on its employees may development and retention.				-						
7		Report on External Costs of Misinformation and Impact on Diversified Shareholders	SH	Yes	Against	Against		Against	For	Yes	Yes	Yes
8		Report on Community Standards Enforcement	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propodisclosure on how the company is managing n						parency and	1			
		Blended Rationale: A vote FOR this proposal is disclosure on how the company is managing n					•	ncy and				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Meta Platforms, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9		Report on User Risk and Advisory Vote on Metaverse Project	SH	Yes	Against	Against		Against	Against	No	No	No
10		Publish Third Party Human Rights Impact Assessment	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this resolution help shareholders better assess Meta's manage BACKGROUND INFORMATION Policies: Human	ement of risks	related to its	•	-			1			
		Blended Rationale: A vote FOR this resolution is shareholders better assess Meta's managemen INFORMATION Policies: Human Rights Proposed	t of risks relate									
11		Report on Child Sexual Exploitation Online	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this proposexploitation of children through the company's is managing related risks.							y			
		Blended Rationale: A vote FOR this proposal is exploitation of children through the company's is managing related risks.	•						у			
12		Commission a Workplace Non-Discrimination Audit	SH	Yes	Against	Against		Against	Against	No	No	No
13		Report on Lobbying Payments and Policy	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this resolute lobbying practices, policies, and expenditures were supported to the control of the control										
		Blended Rationale: A vote FOR this resolution is lobbying practices, policies, and expenditures is	-				•					
14		Commission Assessment of Audit and Risk Oversight Committee	SH	Yes	Against	Against		Against	Against	No	No	No
15		Report on Charitable Contributions	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propose policies nor the recipients of its charitable conti		d because th	e company ,	provides no i	information reg	garding its				
		Blended Rationale: A vote FOR this proposal is nor the recipients of its charitable contributions		cause the cor	mpany provi	ides no inform	mation regardi	ng its policie	S			

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jcoster	jcoster		20,700	20,700
			05/20/2022	05/20/2022			
					Total Shares:	20,700	20,700

### **Meeting Results**

Outstanding Shares Voted: 6,430,287,310 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	7.3%	4,904,494,626	92.7%	383,611,794	7.3%	0	N/A	Proxy	F+A
1.2	Y	Pass	No	For	4.4%	5,056,331,589	95.6%	231,774,831	4.4%	0	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	3.1%	5,124,400,680	96.9%	163,705,740	3.1%	0	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.4	Υ	Pass	No	For	0.9%	5,238,099,605	99.1%	50,006,815	0.9%	0	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	2.7%	5,144,345,108	97.3%	143,761,312	2.7%	0	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	1.1%	5,232,184,350	98.9%	55,922,070	1.1%	0	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	1.4%	5,212,941,405	98.6%	75,165,015	1.4%	0	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	2.0%	5,180,691,337	98.0%	107,415,083	2.0%	0	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	3.2%	5,116,809,278	96.8%	171,297,142	3.2%	0	N/A	Proxy	F+A
2	Υ	Pass	No	For	0.9%	5,480,892,394	99.1%	47,413,306	0.9%	3,834,808	N/A	Proxy	F+A
3	Υ	Pass	No	For	14.4%	4,521,652,771	85.6%	758,249,438	14.4%	8,204,211	N/A	Proxy	F+A
4	Υ	Fail	No	Against	28.1%	1,484,954,936	28.1%	3,797,308,327	71.9%	5,843,157	N/A	Proxy	F+A
5	Υ	Fail	No	Against	16.7%	882,731,624	16.7%	4,400,304,144	83.3%	5,070,652	N/A	Proxy	F+A
6	Υ	Fail	No	Against	19.0%	1,000,344,420	19.0%	4,271,695,462	81.0%	16,066,538	N/A	Proxy	F+A
7	Υ	Fail	No	Against	2.7%	143,673,070	2.7%	5,118,039,014	97.3%	26,394,336	N/A	Proxy	F+A
8	Υ	Fail	No	Against	19.2%	1,014,551,386	19.2%	4,257,534,514	80.8%	16,020,520	N/A	Proxy	F+A
9	Υ	Fail	No	Against	3.0%	153,778,067	3.0%	5,044,992,196	97.0%	89,336,157	N/A	Proxy	F+A
10	Υ	Fail	No	Against	23.8%	1,252,277,259	23.8%	4,019,162,296	76.2%	16,666,865	N/A	Proxy	F+A
11	Υ	Fail	No	Against	17.3%	910,386,444	17.3%	4,358,740,887	82.7%	18,979,089	N/A	Proxy	F+A
12	Υ	Fail	No	Against	0.3%	16,649,419	0.3%	5,258,669,739	99.7%	12,787,262	N/A	Proxy	F+A
13	Υ	Fail	No	Against	20.6%	1,086,537,695	20.6%	4,189,164,211	79.4%	12,404,514	N/A	Proxy	F+A
14	Υ	Fail	No	Against	10.5%	552,168,187	10.5%	4,723,297,839	89.5%	12,640,394	N/A	Proxy	F+A
15	Υ	Fail	No	Against	9.3%	488,979,142	9.3%	4,785,865,773	90.7%	13,261,505	N/A	Proxy	F+A

Meeting Date: 05/26/2022 Record Date: 05/22/2022

Primary Security ID: G0756R109

**Country:** Ireland **Meeting Type:** Annual

Primary CUSIP: G0756R109

Earliest Cutoff Date: 05/20/2022 Total Ballots: 1

Votable Shares: 2,176,274 \*Shares on Loan: 0

Ticker: BIRG

**Meeting ID:** 1622531

**Primary ISIN:** IE00BD1RP616

Voting Policy: ISS

Shares Instructed: 2,176,274

Proxy Level: N/A

Primary SEDOL: BD1RP61

Additional Policy: Shares Voted: 2,176,274

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3a		Elect Mark Spain as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. It continuing tenure has been provided.	, the Board Cl	nair, is warra	nted, althou	igh this is no	t without conc	ern because.	*			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Cl	nair, is warra	nted, althou	igh this is no	t without conc	ern because.				
3b		Re-elect Giles Andrews as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Ch	nair, is warra	nted, althou	ıgh this is no	t without conc	ern because.	* *			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Cl	nair, is warra	nted, althou	igh this is no	t without conc	ern because.				
3c		Re-elect Evelyn Bourke as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Cl	nair, is warra	nted, althou	igh this is no	t without conc	ern because.	*			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Cl	nair, is warra	nted, althou	igh this is no	t without conc	ern because.				
3d		Re-elect Ian Buchanan as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Ch	nair, is warra	nted, althou	ıgh this is no	t without conc	ern because.	* *			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Cl	nair, is warra	nted, althou	igh this is no	t without conc	ern because.				
3e		Re-elect Eileen Fitzpatrick as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Cl	nair, is warra	nted, althou	igh this is no	t without conc	ern because.	*			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Cl	nair, is warra	nted, althou	igh this is no	t without conc	ern because.				
3f		Re-elect Richard Goulding as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Ch	nair, is warra	nted, althou	ıgh this is no	t without conc	ern because.	*			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kennedy He has served for eleven years on the Board. To continuing tenure has been provided.	, the Board Cl	nair, is warra	nted, althou	igh this is no	t without conc	ern because.				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3g		Re-elect Michele Greene as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board C	hair, is warra	anted, altho	ugh this is no	t without conc	ern because	: *			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board C	hair, is warra	anted, altho	ugh this is no	t without conc	ern because				
3h		Re-elect Patrick Kennedy as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board C	hair, is warra	anted, altho	ugh this is no	t without conc	ern because	: *			
		Blended Rationale: Items 3a-3g and 3i-3k A videntified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board C	hair, is warra	anted, altho	ugh this is no	t without conc	ern because				
3i		Re-elect Francesca McDonagh as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board C	hair, is warra	anted, altho	ugh this is no	t without conc	ern because	* *			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board C	hair, is warra	anted, altho	ugh this is no	t without conc	ern because				
3j		Re-elect Fiona Muldoon as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board C	hair, is warra	anted, altho	ugh this is no	t without conc	ern because	: *			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board C	hair, is warra	anted, altho	ugh this is no	t without conc	ern because				
3k		Re-elect Steve Pateman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Items 3a-3g and 3i-3k identified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board C	hair, is warra	anted, altho	ugh this is no	t without conc	ern because	: *			
		Blended Rationale: Items 3a-3g and 3i-3k A voidentified. Item 3h A vote FOR Patrick Kenned He has served for eleven years on the Board. continuing tenure has been provided.	ly, the Board C	hair, is warra	anted, altho	ugh this is no	t without conc	ern because				
4		Ratify KPMG as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
5		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
6		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No
7		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS		
8		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No		
9		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these resol recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within						
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within						
10		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these resolution recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within						
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within						
11		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: A vote FOR these resol recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within						
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within						
12		Authorise Issue of Equity in Relation to Additional Tier 1 Contingent Equity Conversion Notes	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: vote FOR these resolutions is warranted, although this is not without concern for shareholders: * If the Company were to issue Additional Tier 1 Contingent Equity Conversion Notes and a trigger event were to occur, causing them to convert into ordinary shares, this would result in significant dilution to non-participating shareholders. The main reasons for support are: * Such authorities are common proposals at banks and are intended to apply in extreme circumstances only; and * The conversion into ordinary shares would require the CET1 ratio to fall to a level which would denote significant capital weakness well beyond the Company's current position and minimum regulatory requirements.												
		Blended Rationale: vote FOR these resolutions Company were to issue Additional Tier 1 Contint to convert into ordinary shares, this would resu support are: * Such authorities are common pro * The conversion into ordinary shares would re weakness well beyond the Company s current p	ngent Equity C ult in significan oposals at bar quire the CET	onversion No t dilution to aks and are in 1 ratio to fali	ntes and a tr non-particip ntended to a ' to a level w	rigger event v ating shareho apply in extre vhich would d	were to occur, olders. The ma eme circumstar	causing thei in reasons fo nces only; ar	or					
13		Authorise Issue of Equity without Pre-emptive Rights in Relation to Additional Tier 1 Contingent Equity Conversion Notes	Mgmt	Yes	For	For		For	For	No	No	No		
		Voting Policy Rationale: vote FOR these resolut Company were to issue Additional Tier 1 Contin to convert into ordinary shares, this would resu support are: * Such authorities are common pr * The conversion into ordinary shares would re weakness well beyond the Company s current p	ngent Equity C ult in significan oposals at ban equire the CET	ionversion No t dilution to nks and are in 1 ratio to fall	ntes and a tr non-particip ntended to a ' to a level w	rigger event v ating shareho apply in extre vhich would d	were to occur, olders. The ma eme circumstar	causing thei in reasons fonces only; ar	m ior					
		Blended Rationale: vote FOR these resolutions Company were to issue Additional Tier 1 Contin to convert into ordinary shares, this would resu support are: * Such authorities are common pro * The conversion into ordinary shares would re weakness well beyond the Company s current p	ngent Equity C ult in significan oposals at ban quire the CET	ionversion No t dilution to aks and are in 1 ratio to fali	ntes and a tr non-particip ntended to a ' to a level w	rigger event v ating shareho apply in extre vhich would d	were to occur, olders. The ma eme circumstar	causing thei in reasons fonces only; ar	or					
Ballot Deta	ails													

Institutional Account Detail Custodian
(IA Name, IA Number) Account Number Ballot Status Instructed Approved Ballot Voting Status Votable Shares Shares Voted

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		2,176,274	2,176,274
			05/18/2022	05/18/2022			
					= Total Shares:	2,176,274	2,176,274

### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	741,540,596	100.0%	12,276	0.0%	656,292	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	742,157,796	100.0%	11,663	0.0%	40,272	N/A	Poll	F+A
3a	Υ	Pass	No	For	1.7%	729,493,678	98.3%	12,660,618	1.7%	54,721	N/A	Poll	F+A
3b	Y	Pass	No	For	0.6%	737,777,644	99.4%	4,363,830	0.6%	67,543	N/A	Poll	F+A
3c	Υ	Pass	No	For	6.2%	696,409,662	93.8%	45,735,674	6.2%	63,681	N/A	Poll	F+A
3d	Y	Pass	No	For	0.6%	737,773,818	99.4%	4,363,097	0.6%	72,676	N/A	Poll	F+A
3e	Y	Pass	No	For	1.4%	731,825,371	98.6%	10,321,904	1.4%	62,316	N/A	Poll	F+A
3f	Y	Pass	No	For	1.4%	731,803,328	98.6%	10,341,997	1.4%	64,266	N/A	Poll	F+A
3g	Y	Pass	No	For	0.7%	736,670,813	99.3%	5,467,695	0.7%	70,936	N/A	Poll	F+A
3h	Y	Pass	No	For	4.2%	710,615,350	95.8%	31,034,165	4.2%	559,068	N/A	Poll	F+A
3i	Υ	Pass	No	For	0.7%	736,640,687	99.3%	5,465,658	0.7%	103,078	N/A	Poll	F+A
3j	Υ	Pass	No	For	1.4%	731,805,440	98.6%	10,341,553	1.4%	62,513	N/A	Poll	F+A
3k	Υ	Pass	No	For	0.6%	737,781,704	99.4%	4,355,350	0.6%	72,537	N/A	Poll	F+A
4	Υ	Pass	No	For	0.1%	735,618,860	99.9%	506,203	0.1%	6,094,085	N/A	Poll	F+A
5	Υ	Pass	No	For	0.0%	741,762,019	100.0%	366,680	0.0%	91,290	N/A	Poll	F+A
6	Υ	Pass	No	For	6.1%	696,417,990	93.9%	45,307,922	6.1%	493,917	N/A	Poll	F+A
7	Υ	Pass	No	For	0.2%	740,924,738	99.8%	1,239,564	0.2%	55,687	N/A	Poll	F+A
8	Υ	Pass	No	For	0.8%	735,676,986	99.2%	6,089,039	0.8%	453,751	N/A	Poll	F+A
9	Y	Pass	No	For	5.1%	704,425,965	94.9%	37,787,904	5.1%	6,120	N/A	Poll	F+A
10	Υ	Pass	No	For	0.0%	742,068,401	100.0%	127,678	0.0%	23,910	N/A	Poll	F+A
11	Y	Pass	No	For	7.3%	687,637,313	92.7%	54,548,887	7.3%	33,789	N/A	Poll	F+A
12	Y	Pass	No	For	0.1%	741,465,782	99.9%	706,204	0.1%	48,003	N/A	Poll	F+A
13	Υ	Pass	No	For	0.8%	736,024,084	99.2%	6,149,746	0.8%	46,159	N/A	Poll	F+A

#### Victoria's Secret & Co.

Meeting Date: 05/27/2022 **Record Date:** 03/31/2022

Country: USA

Ticker: VSCO

Meeting Type: Annual

Meeting ID: 1637575

Shares Instructed: 175,000

Proxy Level: 3

Primary Security ID: 926400102

**Primary CUSIP:** 926400102

**Primary ISIN:** US9264001028

Primary SEDOL: BNNTGH3

Earliest Cutoff Date: 05/26/2022

Votable Shares: 175,000

PIC\_RTRI, PIC\_RTRI

Total Ballots: 1 \*Shares on Loan: 0 Voting Policy: ISS

**Additional Policy:** 

Shares Voted: 175,000

175,000

175,000

•	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Irene Chang Britt	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.								
1.2		Elect Director Sarah Davis	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.								
1.3		Elect Director Jacqueline Hernandez	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.								
1.4		Elect Director Donna James	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.								
1.5		Elect Director Mariam Naficy	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.								
1.6		Elect Director Lauren Peters	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.								
1.7		Elect Director Anne Sheehan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.								
1.8		Elect Director Martin Waters	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Advisory Vote on Say on Pay Frequency	Mgmt	Yes	One Year	One Year		One Year	One Year	No	No	No
4		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details	ls											
Institutional Ac (IA Name, IA N		Custodian Account Number Ballot Status	Instructe	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted

jbisset

05/18/2022

Confirmed

282301.001

jbisset

05/18/2022

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Total Shares:	175,000	175,000

#### **Meeting Results**

Outstanding Shares Voted: 82,827,486

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Υ	Pass	No	For	0.3%	71,265,358	99.7%	232,248	0.3%	60,019	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	1.0%	70,817,512	99.0%	680,141	1.0%	59,972	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.0%	70,800,310	99.0%	697,045	1.0%	60,270	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	1.1%	70,720,515	98.9%	789,499	1.1%	47,611	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	0.1%	71,452,047	99.9%	56,550	0.1%	49,028	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	0.1%	71,400,931	99.9%	94,318	0.1%	62,376	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	1.2%	68,263,846	98.8%	862,591	1.2%	2,431,188	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	0.2%	71,395,670	99.8%	112,062	0.2%	49,893	N/A	Proxy	F+A
2	Υ	Pass	No	For	0.9%	70,854,622	99.1%	626,224	0.9%	76,779	N/A	Proxy	F+A
4	Y	Pass	No	For	0.1%	75,475,689	99.9%	56,631	0.1%	27,421	N/A	Proxy	F+A
	VTG Prop Summa	Dissiden ary Prop	t Mgmt Rec	Dissent Level	1 YR	% 1 YR	2 YR	% 2 YR	% 3 YR 3 YR	Abstain	% Abstain	Result Type	Base
3	Y Pass	No	One Year	N/A	71055117	99.3%	24769	0.0%	415019 0.6%	62721	0.1%	Proxy	F+A

### **Alphabet Inc.**

<b>Meeting Date:</b> 06/01/2022	
Record Date: 04/05/2022	

Primary Security ID: 02079K305

Earliest Cutoff Date: 05/31/2022 Votable Shares: 1,686

1h

1c

Country: USA

**Total Ballots:** 1

Meeting Type: Annual Primary CUSIP: 02079K305

\*Shares on Loan: 0

Ticker: GOOGL

Meeting ID: 1641383

Primary ISIN: US02079K3059

Voting Policy: ISS

For

For

For

For

For

For

**Additional Policy:** 

Primary SEDOL: BYVY8G0

Nο

No

Nο

No

Nο

No

Proxy Level: 3

Shares Instructed: 1,686 Shares Voted: 1,686 **Additional** Voting Vote Vote Vote Significant Votable Policy Against Proposal Mgmt Policy Vote Against Against Number Vote Proposal Text **Proponent** Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS 1a Elect Director Larry Page Mgmt Yes For For For For No No No Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted.

For

For

Yes

Voting Policy Rationale: A vote FOR the director nominees is warranted. Blended Rationale: A vote FOR the director nominees is warranted.

Mgmt

Elect Director Sergey Brin

Elect Director Sundar Pichai Mgmt

Voting Policy Rationale: A vote FOR the director nominees is warranted.

Blended Rationale: A vote FOR the director nominees is warranted.

# Alphabet Inc.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1d		Elect Director John L. Hennessy	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1e		Elect Director Frances H. Arnold	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1f		Elect Director L. John Doerr	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1g		Elect Director Roger W. Ferguson, Jr.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1h		Elect Director Ann Mather	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
<b>1</b> i		Elect Director K. Ram Shriram	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
1j		Elect Director Robin L. Washington	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	or nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	minees is warr	anted.								
2		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
3		Amend Omnibus Stock Plan	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: Based on evaluation of Scorecard (EPSC), a vote AGAINST this proposerate is excessive * The disclosure of change-indiscretionary) * The plan permits liberal recycles.	sal is warranted n-control ("CIC"	d due to the ") vesting tre	following ke Patment is in	y factor(s): ? complete (or	* The three-ye r is otherwise o	ar average i considered	burn			
		Blended Rationale: Based on evaluation of the Scorecard (EPSC), a vote AGAINST this propo- rate is excessive * The disclosure of change-in discretionary) * The plan permits liberal recycle	sal is warranted n-control ("CIC"	d due to the ") vesting tre	following ke Patment is in	y factor(s): ? complete (or	* The three-ye is otherwise o	ar average i considered	burn		•	
4		Increase Authorized Common Stock	Mgmt	Yes	For	For		For	For	No	No	No
5		Report on Lobbying Payments and Policy	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this resolution practices and policies, such as its trade associal management of related risks.										
		Blended Rationale: A vote FOR this resolution and policies, such as its trade association men management of related risks.			-	-		-	ices			

## **Alphabet Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6		Report on Climate Lobbying	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propos review of how the company's and its trade asso company caused by climate change and the con	ociations' lobby	ing positions	-		-					
		Blended Rationale: A vote FOR this proposal is of how the company's and its trade associations caused by climate change and the company's p	s' lobbying pos									
7		Report on Physical Risks of Climate Change	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propos regarding how the company is assessing and m				enefit from i	increased discl	osure				
		Blended Rationale: A vote FOR this proposal is how the company is assessing and managing co			would benet	ît from increa	ased disclosure	e regarding				
8		Report on Metrics and Efforts to Reduce Water Related Risk	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propos regarding how the company is managing climate			ders would b	enefit from i	increased discl	osure				
		Blended Rationale: A vote FOR this proposal is how the company is managing climate-related		nareholders ı	would benef	ît from increa	ased disclosure	e regarding				
9		Oversee and Report a Third-Party Racial Equity Audit	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this resolut better assess the effectiveness of Alphabet's eff of related risks.			-							
		Blended Rationale: A vote FOR this resolution is better assess the effectiveness of Alphabet's effort of related risks.		-			-		nt			
10		Report on Risks Associated with Use of Concealment Clauses	SH	Yes	Against	Against		Against	Against	No	No	No
11		Approve Recapitalization Plan for all Stock to Have One-vote per Share	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propos preference for a capital structure in which the la			•			reholders'				
		Blended Rationale: A vote FOR this proposal is preference for a capital structure in which the la			-			ders'				
12		Report on Government Takedown Requests	SH	Yes	Against	Against		Against	Against	No	No	No
13		Report on Risks of Doing Business in Countries with Significant Human Rights Concerns	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propos regarding how the company is managing huma					increased discl	osure				
		Blended Rationale: A vote FOR this proposal is how the company is managing human rights-re				ît from increa	ased disclosure	e regarding				
14		Report on Managing Risks Related to Data Collection, Privacy and Security	SH	Yes	Against	Against		Against	Against	No	No	No

## **Alphabet Inc.**

Proposal Significar Number Vote	nt Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
15	Disclose More Quantitative and Qualitative Information on Algorithr Systems	SH	Yes	Against	For		For	For	Yes	No	No
	Voting Policy Rationale: A vote FOR systems and increased reporting wo		-				-	nmic			
	Blended Rationale: A vote FOR this pasystems and increased reporting wo		•	•	,		-				
16	Commission Third Party Assessmen Company's Management of Misinformation and Disinformation Across Platforms	t of SH	Yes	Against	For		For	For	Yes	No	No
	Voting Policy Rationale: A vote FOR shareholders better evaluate the cormisinformation.				-			•		-	
	Blended Rationale: A vote FOR this p shareholders better evaluate the cor misinformation.	•		•	-			1			
17	Report on External Costs of Misinformation and Impact on Diversified Shareholders	SH	Yes	Against	Against		Against	Against	No	No	No
18	Report on Steps to Improve Racial a Gender Board Diversity	and SH	Yes	Against	Against		Against	Against	No	No	No
19	Establish an Environmental Sustainability Board Committee	SH	Yes	Against	Against		Against	Against	No	No	No
20	Adopt a Policy to Include Non-Management Employees as Prospective Director Candidates	SH	Yes	Against	Against		Against	Against	No	No	No
21	Report on Policies Regarding Militar and Militarized Policing Agencies	y SH	Yes	Against	Against		Against	Against	No	No	No
Ballot Details											
Institutional Account Del (IA Name, IA Number)		llot Status Instruct	ed	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PIC_RTRI	282301.001 Cor	nfirmed Auto-Inst	tructed	Auto-App	proved			1,68	6	1,6	86
		05/18/20	22	05/18/20	)22						

#### **Meeting Results**

Outstanding Shares Voted: 744,803,196 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	1.8%	592,852,727	98.2%	10,483,152	1.7%	444,070	0.1%	Proxy	F+A+AB
1b	Υ	Pass	No	For	1.8%	592,848,088	98.2%	10,485,256	1.7%	446,605	0.1%	Proxy	F+A+AB
1c	Υ	Pass	No	For	1.3%	595,666,963	98.7%	7,658,201	1.3%	454,785	0.1%	Proxy	F+A+AB
1d	Y	Pass	No	For	8.3%	553,426,687	91.7%	49,316,543	8.2%	1,036,719	0.2%	Proxy	F+A+AB
1e	Υ	Pass	No	For	2.3%	589,868,660	97.7%	12,865,280	2.1%	1,046,009	0.2%	Proxy	F+A+AB
1f	Υ	Pass	No	For	5.5%	570,481,269	94.5%	32,801,993	5.4%	496,687	0.1%	Proxy	F+A+AB

Total Shares:

1,686

1,686

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Meeting	Meeting Date: 06/01/2022 Country: USA						Ticke	er: GDDY		Proxy	Level: 5		
GoD	addy 1	inc.											
21	Υ	Fail	No	Against	9.8%	55,301,799	9.2%	544,653,039	90.2%	3,825,111	0.6%	Proxy	F+A+AB
20	Υ	Fail	No	Against	2.7%	15,377,634	2.5%	587,364,843	97.3%	1,037,472	0.2%	Proxy	F+A+AB
19	Υ	Fail	No	Against	5.2%	28,643,318	4.7%	572,059,570	94.7%	3,077,061	0.5%	Proxy	F+A+AB
18	Υ	Fail	No	Against	5.6%	31,672,686	5.2%	569,647,268	94.3%	2,459,995	0.4%	Proxy	F+A+AB
17	Υ	Fail	No	Against	4.2%	21,171,063	3.5%	578,562,198	95.8%	4,046,688	0.7%	Proxy	F+A+AB
16	Υ	Fail	No	Against	23.4%	138,860,290	23.0%	462,429,154	76.6%	2,490,505	0.4%	Proxy	F+A+AB
15	Υ	Fail	No	Against	19.9%	117,998,457	19.5%	483,701,300	80.1%	2,080,192	0.3%	Proxy	F+A+AB
14	Υ	Fail	No	Against	12.5%	73,742,058	12.2%	528,275,894	87.5%	1,761,997	0.3%	Proxy	F+A+AB
13	Y	Fail	No	Against	17.3%	102,603,593	17.0%	498,995,355	82.6%	2,181,001	0.4%	Proxy	F+A+AB
12	Υ	Fail	No	Against	1.0%	2,422,967	0.4%	597,589,694	99.0%	3,767,288	0.6%	Proxy	F+A+AB
11	Υ	Fail	No	Against	33.3%	200,212,885	33.2%	402,897,885	66.7%	669,179	0.1%	Proxy	F+A+AB
10	Y	Fail	No	Against	12.4%	72,130,367	11.9%	528,736,242	87.6%	2,913,340	0.5%	Proxy	F+A+AB
9	Y	Fail	No	Against	22.6%	134,699,006	22.3%	466,991,436	77.3%	2,089,507	0.3%	Proxy	F+A+AB
8	Y	Fail	No	Against	22.9%	136,092,909	22.5%	465,662,037	77.1%	2,025,003	0.3%	Proxy	F+A+AB
7	Y	Fail	No	Against	18.1%	107,101,112	17.7%	494,683,281	81.9%	1,995,556	0.3%	Proxy	F+A+AB
6	Y	Fail	No	Against	20.1%	113,506,023	18.8%	482,661,112	79.9%	7,612,814	1.3%	Proxy	F+A+AB
5	Y	Fail	No	Against	19.1%	114,337,748	18.9%	488,159,890	80.9%	1,282,311	0.2%	Proxy	Represented F+A+AB
4	Y	Pass	No	For	3.3%	609,336,661	81.8%	24,202,969	3.2%	590,763	0.1%	Proxy	Capital
3	Y	Pass	No	For	16.1%	506,345,892	83.9%	96,866,593	16.0%	567,464	0.1%	Proxy	F+A+AB
1j 2	Y	Pass	No	For	2.6%	617,716,269	97.4%	15,840,842	2.1%	573,282	0.1%	Proxy	F+A+AB
	Y	Pass	No	For	2.2%	590,506,893	97.8%	12,772,617	2.1%	500,439	0.1%	Proxy	F+A+AB
1h 1i	Y	Pass Pass	No No	For For	3.4%	537,742,671 583,326,313	89.1% 96.6%	65,444,274 19,954,131	3.3%	499,505	0.1%	Proxy	F+A+AB F+A+AB
	Y				10.9%				10.8%	593,004	0.1%	·	
1g	Y	Pass	No	For	0.9%	598,326,295	99.1%	4,942,318	0.8%	511,336	0.1%	Proxy	F+A+AB

Item

Voting

Proposal

Summary

Dissident

Proposal

Mgmt

Rec

Dissent

Level

For

For

Against

Against

Abstain

Abstain

Type

**Record Date:** 04/06/2022 Meeting Type: Annual **Meeting ID:** 1641401

**Primary CUSIP:** 380237107 Primary Security ID: 380237107 **Primary ISIN:** US3802371076 Primary SEDOL: BWFRFC6

Earliest Cutoff Date: 05/31/2022 **Additional Policy: Total Ballots:** 1 Voting Policy: ISS

Votable Shares: 156,000 \*Shares on Loan: 0Shares Instructed: 156,000 Shares Voted: 156,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Amanpal (Aman) Bhutani	Mgmt	Yes	For	For		For	For	No	No	No

## **GoDaddy Inc.**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
	Voting Policy Rationale: A vot	e FOR the direct	or nominees is	warranted.								
	Blended Rationale: A vote FO	R the director no	minees is warr	ranted.								
1b	Elect Director Caroline Donah	nue	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vot	e FOR the direct	or nominees is	warranted.								
	Blended Rationale: A vote FO	R the director no	minees is warr	ranted.								
1c	Elect Director Charles Robel		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vot	e FOR the direct	or nominees is	warranted.								
	Blended Rationale: A vote FO	R the director no	minees is warr	ranted.								
2	Advisory Vote to Ratify Name Executive Officers' Compensa		Mgmt	Yes	For	For		For	For	No	No	No
3	Advisory Vote on Say on Pay Frequency		Mgmt	Yes	One Year	One Year		One Year	One Year	No	No	No
4	Ratify Ernst & Young LLP as	Auditors	Mgmt	Yes	For	For		For	For	No	No	No
5	Declassify the Board of Direc	tors	Mgmt	Yes	For	For		For	For	No	No	No
6	Eliminate Certain Supermajor Requirements	rity Voting	Mgmt	Yes	For	For		For	For	No	No	No
7	Amend Certificate of Incorpo Eliminate Certain Business Combination Restrictions	ration to	Mgmt	Yes	For	For		For	For	No	No	No
8	Amend Certificate of Incorpo Eliminate Inoperative Provision Implement Certain Other Miscellaneous Amendments		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	ed	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset		jbisset				156,0	00	156	,000
			05/19/202	2	05/19/202	22						
								Total Shares:	156,0	00	156,	000

#### **Meeting Results**

Outstanding Shares Voted: 162,053,285 Results Available: Full

Item	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	0.6%	140,888,793	99.4%	831,042	0.6%	17,690	N/A	Proxy	F+A
1b	Υ	Pass	No	For	1.7%	139,226,683	98.3%	2,479,754	1.7%	31,088	N/A	Proxy	F+A
1c	Υ	Pass	No	For	1.7%	134,746,644	98.3%	2,292,579	1.7%	4,698,302	N/A	Proxy	F+A
2	Υ	Pass	No	For	16.9%	117,784,337	83.1%	23,920,585	16.9%	32,603	0.0%	Proxy	F+A+AB
4	Υ	Pass	No	For	0.8%	147,472,602	99.2%	1,148,738	0.8%	10,260	0.0%	Proxy	F+A+AB
5	Υ	Pass	No	For	0.1%	141,585,231	99.9%	138,949	0.1%	13,345	0.0%	Proxy	F+A+AB
6	Υ	Pass	No	For	0.1%	141,549,650	99.9%	166,805	0.1%	21,070	0.0%	Proxy	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposa		Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Ag	ainst	% Against	Abstain	% Abstain	Result Type	Base
7	Y	Pass	No	For	12.2%	124,399,755	87.8%	17,3	17,537	12.2%	20,233	0.0%	Proxy	F+A+AB
8	Υ	Pass	No	For	0.0%	141,689,185	100.0%	25	5,041	0.0%	23,299	0.0%	Proxy	F+A+AB
	VTG Prop Sun	Dission Pro		Dissent Level	1 YR	% 1 YR	2 YR	% 2 YR	3 YR	% 3 YR	Abstain	% Abstain	Result Type	Base
3	Y P	ass No	One Year	N/A	140799074	99.3%	10583	0.0%	911330	0.6%	16538	0.0%	Proxy	F+A

# **Prosegur Cash SA**

Meeting Date: 06/01/2022

Country: Spain

Ticker: CASH

Proxy Level: N/A

**Record Date:** 05/27/2022

Meeting Type: Annual Primary CUSIP: E8S56X108

Meeting ID: 1610500

**Primary ISIN:** ES0105229001

Primary SEDOL: BDH3Q71

**Primary Security ID:** E8S56X108

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Earliest Cutoff Date: 05/27/2022 Votable Shares: 3,828,243

\*Shares on Loan: 0

Shares Instructed: 3,828,243

**Shares Voted:** 3,828,243

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Consolidated and Standalone Financial Statements	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Non-Financial Information Statement	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Allocation of Income and Dividends	Mgmt	Yes	For	For		For	For	No	No	No
4		Approve Discharge of Board	Mgmt	Yes	For	For		For	For	No	No	No
5		Reelect Christian Gut Revoredo as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the reele Item 7 is warranted, as the company complie controlled companies. A vote FOR Item 6 is w	s with the one-	third indeper	ndence guia	leline applicat	ble to Spain-in				-	
		Blended Rationale: A vote FOR the reelection warranted, as the company complies with the companies. A vote FOR Item 6 is warranted or	one-third indep	pendence gu	iideline appi	icable to Spa					-	
6		Reelect Jose Antonio Lasanta Luri as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the reele Item 7 is warranted, as the company complie controlled companies. A vote FOR Item 6 is w	s with the one-	third indeper	ndence guia	leline applicat	ble to Spain-in				-	
		Blended Rationale: A vote FOR the reelection warranted, as the company complies with the companies. A vote FOR Item 6 is warranted of	one-third indep	pendence gu	iideline appi	icable to Spa					-	
7		Reelect Antonio Rubio Merino as Director	Mgmt	Yes	For	For		For	For	No	No	No
					nan undar 1	tom 5 and M	T-NFD Rubio M	erino under			-	
		Voting Policy Rationale: A vote FOR the reele Item 7 is warranted, as the company complie controlled companies. A vote FOR Item 6 is w	s with the one-	third indeper	ndence guia	eline applicat	ble to Spain-in					
		Item 7 is warranted, as the company complie	s with the one- varranted due to of the executive one-third indep	third indepei o a lack of co e chairman u pendence gu	ndence guio oncerns abo under Item . uideline appi	eline applicat ut the CEO n 5 and NI-NEL licable to Spa	ble to Spain-ind ominee. O Rubio Merino	corporated, under Item			-	

# **Prosegur Cash SA**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
9	Approve Remuneration Policy	/	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
	Voting Policy Rationale: A vot does not set clear guidelines joined the company. * Inform Optimum LTI awards vest aft	on potential salar nation on the STI	ry increase and	the board h	as significa	ntly increased	the CEO's sal	ary since he				
	Blended Rationale: A vote AG set clear guidelines on potent company. * Information on th LTI awards vest after three yo	ial salary increas he STI metrics re	e and the board	d has signific	cantly incre	ased the CEO	's salary since	he joined th	e			
10	Approve Reduction in Share Amortization of Treasury Sha	•	Mgmt	Yes	For	For		For	For	No	No	No
11	Approve Merger by Absorptic Prosegur Global CIT SLU	on of	Mgmt	Yes	For	For		For	For	No	No	No
12	Authorize Board to Ratify and Approved Resolutions	d Execute	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	i	Approv	red	Ballot Voting	Status	Votable S	ihares	Shares	Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset		jbisset				3,828,	243	3,828	3,243
			05/26/2022	2	05/26/2	022						
								Total Shares	3,828,	243	3,828	,243

#### **Meeting Results**

Outstanding Shares Voted: 1,522,946,683

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	1,344,171,116	100.0%	0	0.0%	253,938	0.0%	Poll	F+A+AB
2	Υ	Pass	No	For	0.0%	1,344,425,054	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
3	Υ	Pass	No	For	0.0%	1,344,425,054	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
4	Υ	Pass	No	For	0.4%	1,339,144,329	99.6%	4,988,523	0.4%	292,202	0.0%	Poll	F+A+AB
5	Υ	Pass	No	For	2.0%	1,317,619,258	98.0%	26,805,796	2.0%	0	0.0%	Poll	F+A+AB
6	Υ	Pass	No	For	1.8%	1,320,632,169	98.2%	23,792,885	1.8%	0	0.0%	Poll	F+A+AB
7	Υ	Pass	No	For	2.0%	1,317,446,549	98.0%	26,978,505	2.0%	0	0.0%	Poll	F+A+AB
8	Υ	Pass	No	For	5.7%	1,268,342,779	94.3%	76,082,275	5.7%	0	0.0%	Poll	F+A+AB
9	Υ	Pass	No	For	6.7%	1,254,002,033	93.3%	90,423,021	6.7%	0	0.0%	Poll	F+A+AB
10	Υ	Pass	No	For	0.0%	1,344,425,054	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB
11	Υ	Pass	No	For	0.0%	1,344,366,454	100.0%	0	0.0%	58,600	0.0%	Poll	F+A+AB
12	Υ	Pass	No	For	0.0%	1,344,425,054	100.0%	0	0.0%	0	0.0%	Poll	F+A+AB

### **Chesapeake Energy Corporation**

Meeting Date: 06/09/2022 **Record Date:** 04/11/2022

Country: USA

Meeting Type: Annual

Ticker: CHK

Proxy Level: 3

Voting

Total Shares:

352,000

**Meeting ID:** 1646883

**Primary CUSIP:** 165167735

**Primary ISIN:** US1651677353

Additional

Primary SEDOL: BMZ5LZ5

Vote

352,000

Vote

Earliest Cutoff Date: 06/08/2022

Votable Shares: 352,000

**Primary Security ID:** 165167735

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Shares Instructed: 352,000 \*Shares on Loan: 0 Shares Voted: 352,000

Proposal Signific Number Vote	cant	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
1a		Elect Director Domenic J. Dell	'Osso, Jr.	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR	R the director noi	minees is warr	anted.								
1b		Elect Director Timothy S. Dun	can	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR	R the director noi	minees is warr	anted.								
1c		Elect Director Benjamin C. Du	ster, IV	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR	R the director noi	minees is warr	anted.								
1d		Elect Director Sarah A. Emers	on	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	e FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR	ended Rationale: A vote FOR the director nominees is warranted.										
1e		Elect Director Matthew M. Gal	llagher	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	e FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR	R the director noi	minees is warr	anted.								
1f		Elect Director Brian Steck		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	e FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR	R the director noi	minees is warr	anted.								
1g		Elect Director Michael A. Wich	terich	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote	e FOR the directo	or nominees is	warranted.								
		Blended Rationale: A vote FOR	R the director noi	minees is warr	anted.								
2		Advisory Vote to Ratify Name Executive Officers' Compensa		Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify PricewaterhouseCooper Auditor	rs LLP as	Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>													
Institutional Account (IA Name, IA Number		Custodian Account Number	Ballot Status	Instructe	d	Approve	ed .	Ballot Voting	Status	Votable S	nares	Shares	Voted
PIC_RTRI, PIC_RTRI		282301.001	Confirmed	jbisset		jbisset				352,00	00	352,	000
				05/31/2022	2	05/31/20	22						

**Meeting Results** 

Outstanding Shares Voted: 127,185,697 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	0.2%	103,135,437	99.8%	207,525	0.2%	1,969,395	N/A	Proxy	F+A
1b	Υ	Pass	No	For	0.2%	103,089,039	99.8%	254,107	0.2%	1,969,211	N/A	Proxy	F+A
1c	Υ	Pass	No	For	0.3%	103,023,987	99.7%	319,452	0.3%	1,968,918	N/A	Proxy	F+A
1d	Υ	Pass	No	For	2.8%	100,426,396	97.2%	2,916,772	2.8%	1,969,189	N/A	Proxy	F+A
1e	Υ	Pass	No	For	4.5%	98,164,971	95.5%	4,598,518	4.5%	2,548,868	N/A	Proxy	F+A
1f	Υ	Pass	No	For	0.1%	103,218,663	99.9%	124,375	0.1%	1,969,319	N/A	Proxy	F+A
1g	Υ	Pass	No	For	0.3%	103,041,006	99.7%	301,314	0.3%	1,970,037	N/A	Proxy	F+A
2	Υ	Pass	No	For	0.7%	101,508,570	99.3%	704,537	0.7%	3,099,250	N/A	Proxy	F+A
3	Υ	Pass	No	For	1.7%	105,419,382	98.3%	1,864,357	1.7%	2,253,205	N/A	Proxy	F+A

### **Jackson Financial Inc.**

Meeting Date: 06/09/2022 **Record Date:** 04/14/2022

Earliest Cutoff Date: 06/08/2022

Votable Shares: 197,262

**Primary Security ID:** 46817M107

Country: USA

Primary CUSIP: 46817M107

Meeting Type: Annual

Total Ballots: 1 \*Shares on Loan: 0 Ticker: JXN

Primary ISIN: US46817M1071

Shares Instructed: 197,262

**Meeting ID:** 1641939

Voting Policy: ISS

Proxy Level: 3

Primary SEDOL: BMFX6P4

**Additional Policy:** Shares Voted: 197,262

Votable 3	naics. 137,202	Shares on Loan.			Jilai es I	iisti ucteu. 197	,,202		Shares voted	137,202		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.1		Elect Director Lily Fu Claffee	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the di	rector nominees is	warranted.								
		Blended Rationale: A vote FOR the directo	r nominees is war	ranted.								
1.2		Elect Director Gregory T. Durant	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the di	rector nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director	r nominees is war	ranted.								
1.3		Elect Director Steven A. Kandarian	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the di	rector nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director	r nominees is war	ranted.								
1.4		Elect Director Derek G. Kirkland	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the di	rector nominees is	warranted.								
		Blended Rationale: A vote FOR the directo	r nominees is war	ranted.								
1.5		Elect Director Drew E. Lawton	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the di	rector nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director	r nominees is war	ranted.							-	
1.6		Elect Director Martin J. Lippert	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the di	rector nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director	r nominees is war	ranted.							-	

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Jackson Financial Inc.**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.7	Elect Director Russell G. Noles		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.								
	Blended Rationale: A vote FOR	the director no	minees is warra	anted.								
1.8	Elect Director Laura L. Priesko	rn	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.								
	Blended Rationale: A vote FOR	the director no	minees is warra	anted.								
1.9	Elect Director Esta E. Stecher		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote	FOR the directo	or nominees is	warranted.								
	Blended Rationale: A vote FOR	the director no	minees is warra	anted.								
2	Ratify KPMG LLP as Auditors		Mgmt	Yes	For	For		For	For	No	No	No
3	Advisory Vote on Say on Pay Frequency		Mgmt	Yes	One Year	One Year		One Year	One Year	No	No	No
4	Advisory Vote to Ratify Named Executive Officers' Compensat		Mgmt	Yes	For	For		For	For	No	No	No
5	Eliminate Class B Common Sto	ck	Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	1	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset		jbisset				197,26	52	197,	262
			06/01/2022	2	06/01/202	22						
								Total Shares:	197,2	62	197,	262

#### **Meeting Results**

Outstanding Shares Voted: 86,672,714

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	1.1%	57,760,088	98.9%	623,225	1.1%	0	N/A	Proxy	F+A
1.2	Y	Pass	No	For	0.5%	58,071,626	99.5%	311,687	0.5%	0	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	1.2%	57,666,462	98.8%	716,851	1.2%	0	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	0.4%	58,151,442	99.6%	231,871	0.4%	0	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	0.5%	58,102,402	99.5%	280,911	0.5%	0	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	1.1%	57,756,714	98.9%	626,599	1.1%	0	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	0.4%	58,156,889	99.6%	226,424	0.4%	0	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	0.3%	58,184,462	99.7%	198,851	0.3%	0	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	1.1%	57,760,512	98.9%	622,801	1.1%	0	N/A	Proxy	F+A
2	Υ	Pass	No	For	1.6%	65,504,943	98.4%	708,021	1.1%	363,997	0.5%	Proxy	F+A+AB
4	Υ	Pass	No	For	3.6%	56,249,701	96.3%	1,947,710	3.3%	185,902	0.3%	Proxy	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #		ting posal S	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Ag	ainst	% Against	Abstain	% Abstain	Result Type	Base
5		Υ	Pass	No	For	0.2%	58,189,305	67.1%	16	5,731	0.0%	177,277	0.2%	Proxy	Capital Represented
Item #		Summary	Dissident Prop	Mgmt Rec	Dissent Level	1 YR	% 1 YR	2 YR	% 2 YR	3 YR	% 3 YR	Abstain	% Abstain	Result Type	Base
3	Υ	Pass	No	One Year	N/A	57793886	99.0%	324029	0.6%	79335	0.1%	186063	0.3%	Proxv	F+A

### **General Motors Company**

Meeting Date: 06/13/2022 Country: USA Ticker: GM Proxy Level: 3

Record Date: 04/19/2022 Meeting Type: Annual Meeting ID: 1647489

 Primary Security ID: 37045V100
 Primary CUSIP: 37045V100
 Primary ISIN: US37045V1008
 Primary SEDOL: B665KZ5

Earliest Cutoff Date: 06/10/2022Total Ballots: 1Voting Policy: ISSAdditional Policy:Votable Shares: 194,980\*Shares on Loan: 0Shares Instructed: 194,980Shares Voted: 194,980

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1a		Elect Director Mary T. Barra	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1b		Elect Director Aneel Bhusri	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1c		Elect Director Wesley G. Bush	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1d		Elect Director Linda R. Gooden	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1e		Elect Director Joseph Jimenez	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1f		Elect Director Judith A. Miscik	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1g		Elect Director Patricia F. Russo	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.							-	
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.								
1h		Elect Director Thomas M. Schoewe	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.								
		Blended Rationale: A vote FOR the director no	ominees is warr	ranted.							-	

## **General Motors Company**

							Additional	Voting		Vote	Vote	Vote
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
1i		Elect Director Carol M. Stephenson	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1j		Elect Director Mark A. Tatum	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
1k		Elect Director Devin N. Wenig	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
11		Elect Director Margaret C. Whitman	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR the director	r nominees is	warranted.								
		Blended Rationale: A vote FOR the director nor	minees is warra	anted.								
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propos shareholders to call a special meeting would en of the right is considered small given the comp	nhance shareh	olders' ability	to make us	e of the righ	t, and the like		ise			
		Blended Rationale: A vote FOR this proposal is call a special meeting would enhance sharehold considered small given the company's size and	ders' ability to	make use of	the right, a	nd the likelih						
5		Require Independent Board Chair	SH	Yes	Against	Against		Against	Against	No	No	No
6		Report on the Use of Child Labor in Connection with Electric Vehicles	SH	Yes	Against	For		For	Against	No	Yes	Yes
		Voting Policy Rationale: A vote FOR this propose child labor from its supply chain would allow in rights-related risks in its supply chain.		•					te			
		Blended Rationale: A vote FOR this proposal is labor from its supply chain would allow investorisks in its supply chain.	-				•		ild			
Rallot Det	aile											

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		194,980	194,980
			06/01/2022	06/01/2022			
					: Total Shares:	194,980	194,980

#### **Meeting Results**

Outstanding Shares Voted: 1,458,240,927 Results Available: Full

Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Type	Base
		·,							J			-76-	

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Υ	Pass	No	For	4.0%	1,039,068,895	96.0%	43,334,388	4.0%	6,619,561	N/A	Proxy	F+A
1b	Υ	Pass	No	For	0.4%	1,082,407,112	99.6%	4,069,890	0.4%	2,545,842	N/A	Proxy	F+A
1c	Υ	Pass	No	For	1.0%	1,074,924,277	99.0%	11,281,829	1.0%	2,816,738	N/A	Proxy	F+A
1d	Υ	Pass	No	For	0.3%	1,083,001,248	99.7%	3,254,292	0.3%	2,767,304	N/A	Proxy	F+A
1e	Υ	Pass	No	For	5.6%	1,025,762,525	94.4%	60,736,923	5.6%	2,523,396	N/A	Proxy	F+A
<b>1</b> f	Υ	Pass	No	For	1.0%	1,075,382,022	99.0%	10,838,350	1.0%	2,802,472	N/A	Proxy	F+A
1g	Υ	Pass	No	For	7.7%	993,051,809	92.3%	82,346,660	7.7%	13,624,375	N/A	Proxy	F+A
1h	Υ	Pass	No	For	1.0%	1,075,772,231	99.0%	10,399,974	1.0%	2,850,639	N/A	Proxy	F+A
<b>1</b> i	Υ	Pass	No	For	2.9%	1,054,305,094	97.1%	31,921,439	2.9%	2,796,311	N/A	Proxy	F+A
1j	Υ	Pass	No	For	0.6%	1,079,690,772	99.4%	6,783,968	0.6%	2,548,104	N/A	Proxy	F+A
1k	Υ	Pass	No	For	0.3%	1,083,369,503	99.7%	3,092,859	0.3%	2,560,482	N/A	Proxy	F+A
11	Υ	Pass	No	For	0.9%	1,078,208,931	99.1%	9,319,798	0.9%	1,494,115	N/A	Proxy	F+A
2	Υ	Pass	No	For	7.7%	1,005,382,990	92.3%	80,003,171	7.3%	3,636,683	0.3%	Proxy	F+A+AB
3	Υ	Pass	No	For	0.6%	1,212,233,262	99.4%	4,594,488	0.4%	2,539,189	0.2%	Proxy	F+A+AB
4	Υ	Fail	No	Against	39.1%	422,415,213	38.8%	663,278,506	60.9%	3,329,125	0.3%	Proxy	F+A+AB
5	Υ	Fail	No	Against	20.7%	222,140,892	20.4%	863,656,900	79.3%	3,225,052	0.3%	Proxy	F+A+AB
6	Υ	Fail	No	Against	23.5%	240,069,374	22.0%	833,595,989	76.5%	15,357,481	1.4%	Proxy	F+A+AB

### **Activision Blizzard, Inc.**

Meeting Date: 06/21/2022 **Record Date:** 04/22/2022

Primary Security ID: 00507V109

Earliest Cutoff Date: 06/17/2022

Votable Shares: 89,400

Country: USA

Meeting Type: Annual

Primary CUSIP: 00507V109

Total Ballots: 1

\*Shares on Loan: 0

Ticker: ATVI

**Meeting ID:** 1647569

**Primary ISIN:** US00507V1098

Voting Policy: ISS Shares Instructed: 89,400 Proxy Level: 3

Primary SEDOL: 2575818

**Additional Policy:** Shares Voted: 89,400

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1a		Elect Director Reveta Bowers	Mgmt	Yes	For	For		For	For	No	No	No	_

Voting Policy Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted.

Blended Rationale: Votes FOR directors Robert Kotick, Brian Kelly, Robert Morgado, Robert Corti, Barry Meyer, and Peter Nolan are warranted, with caution, as the company could provide additional information that would allow investors to better understand the effectiveness of the company's recent efforts to manage and mitigate risks related to sexual harassment and discrimination. A vote FOR the remaining director nominees is warranted.

# **Activision Blizzard, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1b		Elect Director Kerry Carr	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Re Nolan are warranted, with caution, as the comp understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage a	nal informat and mitigate	ion that woul	d allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company's understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allov	v investors to l	better				
1c		Elect Director Robert Corti	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Re Nolan are warranted, with caution, as the com understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	vide addition to manage a	nal informat and mitigate	ion that woul	d allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allov	v investors to i	better				
1d		Elect Director Brian Kelly	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Re Nolan are warranted, with caution, as the com- understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage a	nal informat and mitigate	ion that woul	d allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allov	v investors to i	better				
1e		Elect Director Robert Kotick	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Re Nolan are warranted, with caution, as the com- understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	vide addition to manage a	nal informat and mitigate	ion that woul	d allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allov	v investors to l	better				
1f		Elect Director Lulu Meservey	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Re Nolan are warranted, with caution, as the com understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage a	nal informat and mitigate	ion that woul	d allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allov	v investors to l	better				
1g		Elect Director Barry Meyer	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Re Nolan are warranted, with caution, as the com, understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage a	nal informat and mitigate	ion that woul	d allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	could provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allov	v investors to l	better				

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Activision Blizzard, Inc.**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1h		Elect Director Robert Morgado	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Ro Nolan are warranted, with caution, as the compunderstand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage a	nal informati and mitigate	ion that woul	ld allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company's understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	ould provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allow	v investors to b	better				
1i		Elect Director Peter Nolan	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Ro Nolan are warranted, with caution, as the compunderstand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage a	nal informati and mitigate	ion that woul	ld allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company of understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	ould provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allow	v investors to b	better				
1j		Elect Director Dawn Ostroff	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Votes FOR directors Ro Nolan are warranted, with caution, as the compunderstand the effectiveness of the company's discrimination. A vote FOR the remaining direct	pany could pro recent efforts	ovide addition to manage a	nal informati and mitigate	ion that woul	ld allow investo	ors to better				
		Blended Rationale: Votes FOR directors Robert are warranted, with caution, as the company's understand the effectiveness of the company's discrimination. A vote FOR the remaining direct	ould provide a recent efforts	dditional info to manage a	ormation tha and mitigate	t would allow	v investors to b	better				
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No
3		Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
4		Adopt a Policy to Include Non-Management Employees as Prospective Director Candidates	SH	Yes	Against	Against		Against	Against	No	No	No
5		Report on Efforts Prevent Abuse, Harassment, and Discrimination	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this propose the ongoing scrutiny over the company's sexual Increased transparency would help shareholder	al harassment a	and discrimin	nation issues	s involving pr	otected classes		es.			
	Blended Rationale: A vote FOR this proposal is warranted. Shareholders would benefit from increased disclosure due to the ongoing scrutiny over the company's sexual harassment and discrimination issues involving protected classes of employees.  Increased transparency would help shareholders to fully assess how the company is managing associated risks.											
Ballot Deta	ails											

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		89,400	89,400
			06/16/2022	06/16/2022			
					Total Shares:	89,400	89,400

#### **Meeting Results**

Outstanding Shares Voted: 781,848,813 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	3.4%	570,069,460	96.6%	20,069,854	3.4%	8,323,643	N/A	Proxy	F+A
1b	Υ	Pass	No	For	0.4%	594,269,935	99.6%	2,253,529	0.4%	1,939,493	N/A	Proxy	F+A
1c	Υ	Pass	No	For	15.9%	501,431,883	84.1%	95,076,047	15.9%	1,955,027	N/A	Proxy	F+A
1d	Υ	Pass	No	For	11.5%	527,602,184	88.5%	68,415,423	11.5%	2,445,350	N/A	Proxy	F+A
1e	Υ	Pass	No	For	10.5%	533,703,580	89.5%	62,597,199	10.5%	2,162,178	N/A	Proxy	F+A
1f	Υ	Pass	No	For	0.4%	594,225,355	99.6%	2,302,158	0.4%	1,935,444	N/A	Proxy	F+A
1g	Υ	Pass	No	For	12.5%	521,987,017	87.5%	74,530,701	12.5%	1,945,239	N/A	Proxy	F+A
1h	Υ	Pass	No	For	27.7%	431,416,165	72.3%	164,932,068	27.7%	2,114,724	N/A	Proxy	F+A
<b>1</b> i	Υ	Pass	No	For	8.5%	546,056,263	91.5%	50,459,532	8.5%	1,947,162	N/A	Proxy	F+A
1j	Υ	Pass	No	For	4.3%	570,649,200	95.7%	25,909,272	4.3%	1,904,485	N/A	Proxy	F+A
2	Υ	Pass	No	For	12.4%	524,115,176	87.6%	69,072,128	11.5%	5,275,653	0.9%	Proxy	F+A+AB
3	Υ	Pass	No	For	3.5%	624,981,205	96.5%	21,247,950	3.3%	1,658,608	0.3%	Proxy	F+A+AB
4	Υ	Fail	No	Against	7.2%	30,425,554	5.1%	555,091,091	92.8%	12,946,312	2.2%	Proxy	F+A+AB
5	Υ	Pass	No	Against	69.3%	379,308,934	63.4%	183,876,515	30.7%	35,277,508	5.9%	Proxy	F+A+AB

### **NEC Corp.**

**Meeting Date:** 06/22/2022

Votable Shares: 1,205,000

**Record Date:** 03/31/2022

Primary Security ID: J48818207

Country: Japan

Meeting Type: Annual **Primary CUSIP:** J48818207

Ticker: 6701

Meeting ID: 1656270

**Primary ISIN:** JP3733000008

Proxy Level: N/A

Primary SEDOL: 6640400

Earliest Cutoff Date: 06/10/2022 Total Ballots: 1 Voting Policy: ISS

\*Shares on Loan: 0 Shares Instructed: 1,205,000 **Additional Policy: Shares Voted:** 1,205,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Elect Director Niino, Takashi	Mgmt	Yes	For	For		For	For	No	No	No
2.2		Elect Director Morita, Takayuki	Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Matsukura, Hajime	Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Nishihara, Moto	Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Fujikawa, Osamu	Mgmt	Yes	For	For		For	For	No	No	No
2.6		Elect Director Iki, Noriko	Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Ito, Masatoshi	Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Nakamura, Kuniharu	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
2.9		Elect Director Christina Ahmadjian	Mgmt	Yes	For	For		For	For	No	No	No
2.10		Elect Director Oka, Masashi	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Appoint Statutory Auditor Obata, Shinobu	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **NEC Corp.**

Proposal Significant Number Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3.2	Appoint Statutory Auditor Okada, Kyoko	Mgmt	Yes	For	For		For	For	No	No	No
<b>Ballot Details</b>											
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number Ballot Stat	us Instructe	ed	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted

PIC\_RTRI, PIC\_RTRI 282301.001 Confirmed jbisset jbisset jbisset 1,205,000 1,205,000

06/14/2022 06/14/2022

Total Shares: 1,205,000 1,205,000

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	2,155,091	99.8%	2,856	N/A	549	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	2,013,637	93.2%	120,985	N/A	23,878	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	2,064,014	95.6%	70,721	N/A	23,769	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	2,059,206	95.4%	98,751	N/A	549	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	2,059,541	95.4%	98,416	N/A	549	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	2,087,858	96.7%	70,097	N/A	549	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	2,107,425	97.6%	50,535	N/A	549	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	2,114,700	97.9%	43,260	N/A	549	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	1,946,921	90.2%	211,033	N/A	549	N/A	Poll	F+A+AB
2.9	Υ	Pass	No	For	N/A	2,107,868	97.6%	50,089	N/A	549	N/A	Poll	F+A+AB
2.10	Υ	Pass	No	For	N/A	2,041,910	94.6%	116,044	N/A	549	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	2,127,172	98.5%	27,920	N/A	3,336	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	2,153,012	99.7%	4,867	N/A	549	N/A	Poll	F+A+AB

### **Unibail-Rodamco-Westfield NV**

Meeting Date: 06/22/2022	Country: Netherlands	Ticker: N/A	Proxy Level: N/A
<b>Record Date:</b> 05/25/2022	Meeting Type: Annual	Meeting ID: 1646413	
Primary Security ID: N96244103	Primary CUSIP: N96244103	<b>Primary ISIN:</b> NL0012846356	Primary SEDOL: N/A
Earliest Cutoff Date: 06/15/2022	Total Ballots: 1	Voting Policy: ISS	Additional Policy:
Votable Shares: 525,000	*Shares on Loan: 0	Shares Instructed: 525,000	Shares Voted: 525,000

							Additional	Voting		Vote	Vote	Vote
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS

Annual Meeting Agenda Mgmt No
Discuss Annual Report Mgmt No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Unibail-Rodamco-Westfield NV**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Remuneration Report		Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Policy		Mgmt	Yes	For	For		For	For	No	No	No
3		Adopt Financial Statements and Statutory Reports	l	Mgmt	Yes	For	For		For	For	No	No	No
ii		Receive Explanation on Dividen	d Policy	Mgmt	No								
4		Approve Discharge of Managem Board	ent	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote I controversies that the managen							nd compelling	7			
		Blended Rationale: A vote FOR a controversies that the managem				•	-		mpelling				
5		Approve Discharge of Supervisor Board	ory	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote I controversies that the managem				•		-	nd compelling	7			
		Blended Rationale: A vote FOR I controversies that the managem				•	-		mpelling				
6		Reelect Gerard Sieben to Manag Board	gement	Mgmt	Yes	For	For		For	For	No	No	No
7		Reelect Jean-Louis Laurens to Supervisory Board		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote I period not exceeding four years, There is no known controversy of warranted as the future board of	: * The candida concerning the	ate appears to candidate A vo	possess the ote AGAINST	necessary of the non-ind	qualifications dependent no	for board men	nbership; and				
		Blended Rationale: A vote FOR a not exceeding four years; * The is no known controversy concer- as the future board composition	candidate appo ning the candid	ears to posses. late A vote AG	s the necess AINST the n	ary qualifica on-independ	ations for boa	rd membershi	p; and * The	ere			
8		Reelect Aline Taireh to Supervis Board	sory	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
		Voting Policy Rationale: A vote I period not exceeding four years, There is no known controversy of warranted as the future board of	: * The candida concerning the	ate appears to candidate A vo	possess the ote AGAINS7	necessary of the non-ind	qualifications dependent no	for board men	nbership; and				
		Blended Rationale: A vote FOR a not exceeding four years; * The is no known controversy concern as the future board composition	candidate appo ning the candid	ears to posses late A vote AG	s the necess AINST the n	ary qualifica on-independ	ations for boa	rd membershi	p; and * The	ere			
9		Ratify Deloitte Accountants B.V Auditors	. as	Mgmt	Yes	For	For		For	For	No	No	No
10		Authorize Repurchase of Shares	5	Mgmt	Yes	For	For		For	For	No	No	No
11		Approve Cancellation of Shares		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutional (IA Name, IA	l Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	1	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PIC	C_RTRI	282301.001	Confirmed	Auto-Instru	icted	Auto-App	roved			525,00	00	525,	000
				05/27/2022	2	05/27/20	22						
									Total Shares:	525,0	00	525,	000

### **Unibail-Rodamco-Westfield NV**

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Not Disclosed

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
i	N												
1	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
2	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
3	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
ii	N												
4	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
5	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
6	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
7	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
8	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
9	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
10	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB
11	Υ	N/D	No	For	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Poll	F+A+AB

### Nissan Shatai Co., Ltd.

**Meeting Date:** 06/23/2022 **Record Date:** 03/31/2022

Primary Security ID: J57289100

Earliest Cutoff Date: 06/13/2022 Votable Shares: 800 Country: Japan

Meeting Type: Annual

Primary CUSIP: J57289100

Total Ballots: 1

\*Shares on Loan: 0

Ticker: 7222

Meeting ID: 1658220

Primary ISIN: JP3672000001

Voting Policy: ISS

Shares Instructed: 800

Proxy Level: N/A

Primary SEDOL: 6642901

Additional Policy: Shares Voted: 800

votable bi	idi CSI 000	Shares on Edam o			Silai CS III	<b>50. accea.</b> 000	,		Silares voted	. 000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 6.5	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3		Elect Director Ohira, Yasuyuki	Mgmt	Yes	For	For		For	For	No	No	No
4		Appoint Statutory Auditor Aoji, Kiyoshi	Mgmt	Yes	For	For		For	For	No	No	No
5		Appoint Alternate Statutory Auditor Okada, Kazuhiro	Mgmt	Yes	For	For		For	For	No	No	No
6		Amend Articles to Prohibit Appointments of Officials from Nissan Motor Co., Ltd	SH	Yes	Against	Against		Against	For	Yes	Yes	Yes

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

## Nissan Shatai Co., Ltd.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Amend Articles to Prohibit the Company from Depositing Funds to Parent Company	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this sharehold amount of cash with its parent company but ear						significant				
		Blended Rationale: A vote FOR this shareholder of cash with its parent company but earns only					eposits a signifi	icant amoun	•			
8		Amend Articles to Require Individual Compensation Disclosure for Representative Directors	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this sharehopromote accountability and help shareholders n				se: * The pro	oposed disclosu	ure would				
		Blended Rationale: A vote FOR this shareholder accountability and help shareholders make better	, ,		because: *	The propose	ed disclosure w	ould promot	e			
9		Amend Articles to Disclose Cost of Equity Capital in Corporate Governance Report	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this sharehomore attention to inefficient capital policy, with					•	anagement p	ау			
		Blended Rationale: A vote FOR this shareholder more attention to inefficient capital policy, with						ement pay				
10		Initiate Share Repurchase Program	SH	Yes	Against	For		For	For	Yes	No	No
		Voting Policy Rationale: A vote FOR this shareh cash reserves (i.e., deposits to parent Nissan M address concerns over the balance sheet manage	lotor), share re									
		Blended Rationale: A vote FOR this shareholder reserves (i.e., deposits to parent Nissan Motor), address concerns over the balance sheet manage	share repurci				-		h			

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		800	800
			06/09/2022	06/09/2022			
					Total Shares:	800	800

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	1,297,737	99.9%	1,838	N/A	0	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	1,297,752	99.9%	1,823	N/A	0	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	884,929	68.1%	414,646	N/A	0	N/A	Poll	F+A+AB
4	Υ	Pass	No	For	N/A	1,296,892	99.8%	2,683	N/A	0	N/A	Poll	F+A+AB
5	Υ	Pass	No	For	N/A	1,297,554	99.8%	2,021	N/A	0	N/A	Poll	F+A+AB
6	Υ	Fail	No	Against	N/A	407,886	31.4%	891,652	N/A	17	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
7	Υ	Fail	No	Against	N/A	447,659	34.4%	851,895	N/A	0	N/A	Poll	F+A+AB
8	Υ	Fail	No	Against	N/A	477,120	36.7%	822,425	N/A	10	N/A	Poll	F+A+AB
9	Υ	Fail	No	Against	N/A	453,478	34.9%	846,067	N/A	10	N/A	Poll	F+A+AB
10	Υ	Fail	No	Against	N/A	468,018	36.0%	831,526	N/A	10	N/A	Poll	F+A+AB

### **ORIX Corp.**

Meeting Date: 06/24/2022

Country: Japan

Ticker: 8591

Proxy Level: N/A

**Record Date:** 03/31/2022

Meeting Type: Annual Primary CUSIP: J61933123 Meeting ID: 1656283

Primary SEDOL: 6661144

Primary Security ID: J61933123

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Earliest Cutoff Date: 06/14/2022 Votable Shares: 3,167,900

\*Shares on Loan: 0

Shares Instructed: 3,167,900

Primary ISIN: JP3200450009

**Shares Voted:** 3,167,900

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Elect Director Inoue, Makoto	Mgmt	Yes	For	For		For	For	No	No	No
2.2		Elect Director Irie, Shuji	Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Matsuzaki, Satoru	Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Suzuki, Yoshiteru	Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Stan Koyanagi	Mgmt	Yes	For	For		For	For	No	No	No
2.6		Elect Director Michael Cusumano	Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Akiyama, Sakie	Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Watanabe, Hiroshi	Mgmt	Yes	For	For		For	For	No	No	No
2.9		Elect Director Sekine, Aiko	Mgmt	Yes	For	For		For	For	No	No	No
2.10		Elect Director Hodo, Chikatomo	Mgmt	Yes	For	For		For	For	No	No	No
2.11		Elect Director Yanagawa, Noriyuki	Mgmt	Yes	For	For		For	For	No	No	No

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		3,167,900	3,167,900
			06/15/2022	06/15/2022			
					Total Shares:	3,167,900	3,167,900

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	9,822,505	99.8%	11,220	N/A	0	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	9,537,590	96.9%	298,185	N/A	0	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2.2	Y	Pass	No	For	N/A	9,734,663	98.9%	77,498	N/A	23,617	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	9,746,775	99.0%	65,386	N/A	23,617	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	9,747,019	99.0%	65,142	N/A	23,617	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	9,747,074	99.0%	65,087	N/A	23,617	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	9,797,725	99.6%	38,055	N/A	0	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	9,577,094	97.3%	199,387	N/A	59,295	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	9,668,746	98.3%	167,032	N/A	0	N/A	Poll	F+A+AB
2.9	Υ	Pass	No	For	N/A	9,714,140	98.7%	68,008	N/A	53,632	N/A	Poll	F+A+AB
2.10	Υ	Pass	No	For	N/A	9,797,674	99.6%	38,106	N/A	0	N/A	Poll	F+A+AB
2.11	Υ	Pass	No	For	N/A	9,819,221	99.8%	16,558	N/A	0	N/A	Poll	F+A+AB

### **SoftBank Group Corp.**

Meeting Date: 06/24/2022 **Record Date:** 03/31/2022

Earliest Cutoff Date: 06/14/2022

Votable Shares: 171,600

**Primary Security ID:** J7596P109

Country: Japan Meeting Type: Annual

Primary CUSIP: J7596P109

Total Ballots: 1 \*Shares on Loan: 0 Ticker: 9984

Meeting ID: 1657533

**Primary ISIN:** JP3436100006

Voting Policy: ISS Shares Instructed: 171,600 Proxy Level: N/A

Primary SEDOL: 6770620

**Additional Policy:** 

Shares Voted: 171,600

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 22	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Son, Masayoshi	Mgmt	Yes	For	For		For	For	No	No	No
3.2		Elect Director Goto, Yoshimitsu	Mgmt	Yes	For	For		For	For	No	No	No
3.3		Elect Director Miyauchi, Ken	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Kawabe, Kentaro	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Iijima, Masami	Mgmt	Yes	For	For		For	For	No	No	No
3.6		Elect Director Matsuo, Yutaka	Mgmt	Yes	For	For		For	For	No	No	No
3.7		Elect Director Erikawa, Keiko	Mgmt	Yes	For	For		For	For	No	No	No
3.8		Elect Director Kenneth A. Siegel	Mgmt	Yes	For	For		For	For	No	No	No
3.9		Elect Director David Chao	Mgmt	Yes	For	For		For	For	No	No	No

### **Ballot Details**

(IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		171,600	171,600
			06/20/2022	06/20/2022			
					Total Shares:	171.600	171.600

### **SoftBank Group Corp.**

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	14,644,920	99.8%	21,903	N/A	684	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	13,375,463	99.9%	8,489	N/A	1,156	N/A	Poll	F+A+AB
3.1	Y	Pass	No	For	N/A	13,261,586	97.7%	304,724	N/A	11,901	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	13,496,191	99.4%	67,438	N/A	14,587	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	13,451,344	99.1%	112,282	N/A	14,587	N/A	Poll	F+A+AB
3.4	Υ	Pass	No	For	N/A	13,431,517	98.9%	132,109	N/A	14,587	N/A	Poll	F+A+AB
3.5	Υ	Pass	No	For	N/A	13,471,771	99.2%	105,230	N/A	1,213	N/A	Poll	F+A+AB
3.6	Υ	Pass	No	For	N/A	13,540,962	99.7%	36,044	N/A	1,213	N/A	Poll	F+A+AB
3.7	Υ	Pass	No	For	N/A	13,539,288	99.7%	37,718	N/A	1,213	N/A	Poll	F+A+AB
3.8	Υ	Pass	No	For	N/A	10,164,871	74.9%	3,412,123	N/A	1,213	N/A	Poll	F+A+AB
3.9	Υ	Pass	No	For	N/A	13,276,997	97.8%	300,001	N/A	1,213	N/A	Poll	F+A+AB

### Fujitsu Ltd.

Meeting Date: 06/27/2022

Earliest Cutoff Date: 06/15/2022

Votable Shares: 233,600

**Record Date:** 03/31/2022

Primary Security ID: J15708159

Country: Japan

Meeting Type: Annual

Primary CUSIP: J15708159

**Total Ballots:** 1

\*Shares on Loan: 0

Ticker: 6702

**Meeting ID:** 1657566

**Primary ISIN:** JP3818000006

Voting Policy: ISS

Shares Instructed: 233,600

Proxy Level: N/A

Primary SEDOL: 6356945

**Additional Policy:** 

Shares Voted: 233,600

	255,000	5.1a. 55 5.1. 25a 5			J 05 2	<b></b>	,,000		J 05 1010L	. 255,000		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Amend Articles to Change Company Name - Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
2.1		Elect Director Tokita, Takahito	Mgmt	Yes	For	For		For	For	No	No	No
2.2		Elect Director Furuta, Hidenori	Mgmt	Yes	For	For		For	For	No	No	No
2.3		Elect Director Isobe, Takeshi	Mgmt	Yes	For	For		For	For	No	No	No
2.4		Elect Director Yamamoto, Masami	Mgmt	Yes	For	For		For	For	No	No	No
2.5		Elect Director Mukai, Chiaki	Mgmt	Yes	For	For		For	For	No	No	No
2.6		Elect Director Abe, Atsushi	Mgmt	Yes	For	For		For	For	No	No	No
2.7		Elect Director Kojo, Yoshiko	Mgmt	Yes	For	For		For	For	No	No	No
2.8		Elect Director Scott Callon	Mgmt	Yes	For	For		For	For	No	No	No
2.9		Elect Director Sasae, Kenichiro	Mgmt	Yes	For	For		For	For	No	No	No
3		Appoint Statutory Auditor Catherine OConnell	Mgmt	Yes	For	For		For	For	No	No	No

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Fujitsu Ltd.**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
4	Approve Performance Share P	lan	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset		jbisset				233,60	00	233,	600
			06/14/2022	2	06/14/20	22						
								Total Shares	233,6	00	233,	600

#### **Meeting Results**

**Outstanding Shares Voted:** Results Available: Partial

Outstan	uning Shares	oteu.			Resu	nto Avanable. Tare	idi						
Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	1,657,113	99.9%	591	N/A	8	N/A	Poll	F+A+AB
2.1	Υ	Pass	No	For	N/A	1,597,222	96.2%	49,698	N/A	10,803	N/A	Poll	F+A+AB
2.2	Υ	Pass	No	For	N/A	1,610,945	97.1%	35,352	N/A	11,433	N/A	Poll	F+A+AB
2.3	Υ	Pass	No	For	N/A	1,620,184	97.6%	26,113	N/A	11,432	N/A	Poll	F+A+AB
2.4	Υ	Pass	No	For	N/A	1,624,356	97.9%	21,942	N/A	11,432	N/A	Poll	F+A+AB
2.5	Υ	Pass	No	For	N/A	1,640,746	98.9%	6,182	N/A	10,803	N/A	Poll	F+A+AB
2.6	Υ	Pass	No	For	N/A	1,640,536	98.9%	6,392	N/A	10,803	N/A	Poll	F+A+AB
2.7	Υ	Pass	No	For	N/A	1,640,953	98.9%	5,975	N/A	10,803	N/A	Poll	F+A+AB
2.8	Υ	Pass	No	For	N/A	1,567,901	94.5%	79,017	N/A	10,803	N/A	Poll	F+A+AB
2.9	Υ	Pass	No	For	N/A	1,629,371	98.2%	17,557	N/A	10,803	N/A	Poll	F+A+AB
3	Υ	Pass	No	For	N/A	1,645,941	99.2%	999	N/A	10,803	N/A	Poll	F+A+AB
4	Y	Pass	No	For	N/A	1,650,701	99.5%	3,997	N/A	3,059	N/A	Poll	F+A+AB

### **Tokio Marine Holdings, Inc.**

Meeting Date: 06/27/2022 Country: Japan Ticker: 8766 Proxy Level: N/A **Record Date:** 03/31/2022 Meeting Type: Annual **Meeting ID:** 1655188

Primary Security ID: J86298106 **Primary CUSIP:** J86298106 Primary ISIN: JP3910660004 Primary SEDOL: 6513126

Earliest Cutoff Date: 06/15/2022

Votable Shares: 265,000 Shares Voted: 265,000 \*Shares on Loan: 0Shares Instructed: 265,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 135	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Amend Business Lines - Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy: ISS

**Additional Policy:** 

Total Ballots: 1

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Tokio Marine Holdings, Inc.**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3.1	Elect Director Nagano, Tsuyo	shi	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
	Voting Policy Rationale: A vot company's capital misallocation		lirector nomine	ee is warrant	ed because:	* Top mana	gement is resp	oonsible for t	he			
	Blended Rationale: A vote AG company's capital misallocation		or nominee is l	warranted be	ecause: * To	p manageme	ent is responsi	ble for the				
3.2	Elect Director Komiya, Satoru	ı	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
	Voting Policy Rationale: A vot company's capital misallocation		lirector nomine	ee is warrant	ed because:	* Top mana	gement is resp	oonsible for t	he			
	Blended Rationale: A vote AG company's capital misallocation		or nominee is l	warranted be	ecause: * To	p manageme	ent is responsi	ble for the				
3.3	Elect Director Harashima, Ak	ira	Mgmt	Yes	For	For		For	For	No	No	No
3.4	Elect Director Okada, Kenji		Mgmt	Yes	For	For		For	For	No	No	No
3.5	Elect Director Moriwaki, Yoic	ni	Mgmt	Yes	For	For		For	For	No	No	No
3.6	Elect Director Hirose, Shinich	i	Mgmt	Yes	For	For		For	For	No	No	No
3.7	Elect Director Mimura, Akio		Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
3.8	Elect Director Egawa, Masak	0	Mgmt	Yes	For	For		For	For	No	No	No
3.9	Elect Director Mitachi, Takasi	ni	Mgmt	Yes	For	For		For	For	No	No	No
3.10	Elect Director Endo, Nobuhir	o	Mgmt	Yes	For	For		For	For	No	No	No
3.11	Elect Director Katanozaka, Sl	ninya	Mgmt	Yes	For	For		For	Against	Yes	Yes	Yes
3.12	Elect Director Osono, Emi		Mgmt	Yes	For	For		For	For	No	No	No
3.13	Elect Director Ishii, Yoshinori		Mgmt	Yes	For	For		For	For	No	No	No
3.14	Elect Director Wada, Kiyoshi		Mgmt	Yes	For	For		For	For	No	No	No
4.1	Appoint Statutory Auditor Wa Akihiro	ani,	Mgmt	Yes	For	For		For	For	No	No	No
4.2	Appoint Statutory Auditor Ot	suki, Nana	Mgmt	Yes	For	For		For	For	No	No	No
4.3	Appoint Statutory Auditor Yu Takayuki	asa,	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
institutional Account Detail IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset		jbisset				265,00	00	265,	,000
			06/13/2022	2	06/13/20	22						
								Total Shares:	265,0	00	265,	000

#### **Meeting Results**

Outstanding Shares Voted: 6,776,819 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	5,636,887	99.9%	1,195	N/A	261	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	5,635,791	99.8%	1,557	N/A	995	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
3.1	Υ	Pass	No	For	N/A	4,790,897	84.9%	846,063	N/A	1,173	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	4,713,852	83.5%	923,287	N/A	995	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	5,360,272	95.0%	263,395	N/A	14,483	N/A	Poll	F+A+AB
3.4	Υ	Pass	No	For	N/A	5,412,493	95.9%	211,174	N/A	14,483	N/A	Poll	F+A+AB
3.5	Υ	Pass	No	For	N/A	5,433,478	96.3%	190,192	N/A	14,483	N/A	Poll	F+A+AB
3.6	Υ	Pass	No	For	N/A	5,433,526	96.3%	190,144	N/A	14,483	N/A	Poll	F+A+AB
3.7	Υ	Pass	No	For	N/A	4,411,950	78.2%	1,225,204	N/A	995	N/A	Poll	F+A+AB
3.8	Υ	Pass	No	For	N/A	5,614,668	99.5%	22,495	N/A	995	N/A	Poll	F+A+AB
3.9	Υ	Pass	No	For	N/A	5,595,285	99.1%	40,430	N/A	2,440	N/A	Poll	F+A+AB
3.10	Υ	Pass	No	For	N/A	5,592,652	99.1%	43,063	N/A	2,440	N/A	Poll	F+A+AB
3.11	Υ	Pass	No	For	N/A	5,147,755	91.2%	489,220	N/A	1,173	N/A	Poll	F+A+AB
3.12	Υ	Pass	No	For	N/A	5,614,512	99.5%	22,651	N/A	995	N/A	Poll	F+A+AB
3.13	Υ	Pass	No	For	N/A	5,431,684	96.2%	191,986	N/A	14,483	N/A	Poll	F+A+AB
3.14	Υ	Pass	No	For	N/A	5,431,726	96.2%	191,944	N/A	14,483	N/A	Poll	F+A+AB
4.1	Υ	Pass	No	For	N/A	5,631,811	99.8%	5,377	N/A	995	N/A	Poll	F+A+AB
4.2	Υ	Pass	No	For	N/A	5,631,286	99.8%	5,902	N/A	995	N/A	Poll	F+A+AB
4.3	Υ	Pass	No	For	N/A	5,489,666	97.3%	124,929	N/A	23,586	N/A	Poll	F+A+AB

## Mitsubishi UFJ Financial Group, Inc.

Meeting Date: 06/29/2022 **Record Date:** 03/31/2022

**Primary Security ID:** J44497105

Earliest Cutoff Date: 06/17/2022 Votable Shares: 10,400,000

Country: Japan Meeting Type: Annual

Primary CUSIP: J44497105

Total Ballots: 1

\*Shares on Loan: 0

Ticker: 8306

**Meeting ID:** 1658426

Primary ISIN: JP3902900004

Voting Policy: ISS

Shares Instructed: 10,400,000

Proxy Level: N/A

Primary SEDOL: 6335171

**Additional Policy:** Shares Voted: 10,400,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 14.5	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Fujii, Mariko	Mgmt	Yes	For	For		For	For	No	No	No
3.2		Elect Director Honda, Keiko	Mgmt	Yes	For	For		For	For	No	No	No
3.3		Elect Director Kato, Kaoru	Mgmt	Yes	For	For		For	For	No	No	No
3.4		Elect Director Kuwabara, Satoko	Mgmt	Yes	For	For		For	For	No	No	No
3.5		Elect Director Toby S. Myerson	Mgmt	Yes	For	For		For	For	No	No	No

# Mitsubishi UFJ Financial Group, Inc.

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS		
3.6	Elect Director Nomoto, Hirofur	ni	Mgmt	Yes	For	Against		Against	Against	Yes	No	No		
	Voting Policy Rationale: A vote majority independent and this					* The board	after this mee	eting will not	be					
	Blended Rationale: A vote AGA majority independent and this					e board afte	r this meeting	will not be						
3.7	Elect Director Shingai, Yasushi		Mgmt	Yes	For	For		For	For	No	No	No		
3.8	Elect Director Tsuji, Koichi		Mgmt	Yes	For	For		For	For	No	No	No		
3.9	Elect Director Tarisa Watanaga	ase	Mgmt	Yes	For	For		For	For	No	No	No		
3.10	Elect Director Ogura, Ritsuo		Mgmt	Yes	For	For		For	For	No	No	No		
3.11	Elect Director Miyanaga, Kenic	hi	Mgmt	Yes	For	For		For	For	No	No	No		
3.12	Elect Director Mike, Kanetsugu	ı	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes		
	Voting Policy Rationale: A vote company's capital misallocation		director nomine	e is warrant	ed because:	* Top mana	gement is resp	oonsible for t	he					
	Blended Rationale: A vote AGA company's capital misallocation		or nominee is I	warranted be	ecause: * To	p manageme	ent is responsi	ble for the						
3.13	Elect Director Kamezawa, Hiro	nori	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes		
	- ,	Elect Director Kamezawa, Hironori Mgmt Yes For Against Against For No Voting Policy Rationale: A vote AGAINST this director nominee is warranted because: * Top management is responsible for the company's capital misallocation.												
	Blended Rationale: A vote AGA company's capital misallocation		or nominee is I	warranted be	ecause: * To	p managem	ent is responsi	ble for the						
3.14	Elect Director Nagashima, Iwa	0	Mgmt	Yes	For	For		For	For	No	No	No		
3.15	Elect Director Hanzawa, Junich	ni	Mgmt	Yes	For	For		For	For	No	No	No		
3.16	Elect Director Kobayashi, Make	oto	Mgmt	Yes	For	For		For	For	No	No	No		
4	Amend Articles to Prohibit Loa Companies Which Show Disreg Personal Information		SH	Yes	Against	Against		Against	Against	No	No	No		
5	Amend Articles to Prohibit Loa Companies Involved in Defam		SH	Yes	Against	Against		Against	Against	No	No	No		
6	Amend Articles to Introduce P Concerning Learning from Sys Failures at Mizuho Financial Gi	tem	SH	Yes	Against	Against		Against	Against	No	No	No		
allot Details														
nstitutional Account Detail (A Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	i	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted		
C_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset		jbisset				10,400,	000	10,40	0,000		
			06/21/2022	2	06/21/20	22								
								Total Shares:	10,400	,000	10,40	0,000		

### **Meeting Results**

Outstanding Shares Voted: 126,414,701 Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	N/A	100,886,971	99.6%	99,564	N/A	7,910	N/A	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
2	Υ	Pass	No	For	N/A	100,803,105	99.6%	111,867	N/A	6,323	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	100,027,572	98.7%	959,596	N/A	7,105	N/A	Poll	F+A+AB
3.2	Υ	Pass	No	For	N/A	100,120,773	98.8%	866,397	N/A	7,105	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	99,790,867	98.5%	1,195,252	N/A	7,105	N/A	Poll	F+A+AB
3.4	Υ	Pass	No	For	N/A	98,563,589	97.3%	2,423,558	N/A	7,105	N/A	Poll	F+A+AB
3.5	Υ	Pass	No	For	N/A	100,089,148	98.8%	898,029	N/A	7,105	N/A	Poll	F+A+AB
3.6	Υ	Pass	No	For	N/A	83,997,266	82.9%	16,989,875	N/A	7,105	N/A	Poll	F+A+AB
3.7	Υ	Pass	No	For	N/A	98,563,041	97.3%	2,423,963	N/A	7,105	N/A	Poll	F+A+AB
3.8	Υ	Pass	No	For	N/A	100,108,682	98.8%	878,440	N/A	7,105	N/A	Poll	F+A+AB
3.9	Υ	Pass	No	For	N/A	100,106,112	98.8%	881,067	N/A	7,105	N/A	Poll	F+A+AB
3.10	Υ	Pass	No	For	N/A	97,259,476	96.0%	3,712,956	N/A	21,801	N/A	Poll	F+A+AB
3.11	Υ	Pass	No	For	N/A	97,255,271	96.0%	3,717,166	N/A	21,801	N/A	Poll	F+A+AB
3.12	Υ	Pass	No	For	N/A	86,020,970	84.9%	13,934,747	N/A	1,038,513	N/A	Poll	F+A+AB
3.13	Υ	Pass	No	For	N/A	82,931,165	81.9%	18,055,959	N/A	7,105	N/A	Poll	F+A+AB
3.14	Υ	Pass	No	For	N/A	100,044,595	98.8%	927,846	N/A	21,801	N/A	Poll	F+A+AB
3.15	Υ	Pass	No	For	N/A	100,019,779	98.7%	952,551	N/A	21,801	N/A	Poll	F+A+AB
3.16	Υ	Pass	No	For	N/A	100,012,821	98.7%	959,264	N/A	21,901	N/A	Poll	F+A+AB
4	Υ	Fail	No	Against	N/A	2,242,761	2.2%	98,698,737	N/A	53,014	N/A	Poll	F+A+AB
5	Υ	Fail	No	Against	N/A	2,219,635	2.2%	98,725,793	N/A	49,072	N/A	Poll	F+A+AB
6	Υ	Fail	No	Against	N/A	2,210,314	2.2%	98,750,472	N/A	33,622	N/A	Poll	F+A+AB

## **Sumitomo Mitsui Financial Group, Inc.**

Meeting Date: 06/29/2022 **Record Date:** 03/31/2022

Primary Security ID: J7771X109

Earliest Cutoff Date: 06/17/2022 Votable Shares: 1,100,000

Country: Japan

Meeting Type: Annual Primary CUSIP: J7771X109

Total Ballots: 1 \*Shares on Loan: 0 Ticker: 8316

**Meeting ID:** 1659015

**Primary ISIN:** JP3890350006

Voting Policy: ISS

Shares Instructed: 1,100,000

Proxy Level: N/A

Primary SEDOL: 6563024

**Additional Policy:** 

**Shares Voted:** 1,100,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Allocation of Income, with a Final Dividend of JPY 105	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	Yes	For	For		For	For	No	No	No
3.1		Elect Director Kunibe, Takeshi	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes

Voting Policy Rationale: A vote AGAINST this director nominee is warranted because: \* Top management is responsible for the company's capital misallocation.

Blended Rationale: A vote AGAINST this director nominee is warranted because: \* Top management is responsible for the company's capital misallocation.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Sumitomo Mitsui Financial Group, Inc.**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3.2	Elect Director Ota, Jun		Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
	Voting Policy Rationale: A voc company's capital misallocation		director nomine	ee is warrant	ed because:	* Top mana	gement is res <sub>l</sub>	ponsible for t	the			
	Blended Rationale: A vote AC company's capital misallocation		tor nominee is	warranted be	ecause: * To	p manageme	ent is responsi	ible for the				
3.3	Elect Director Takashima, Ma	akoto	Mgmt	Yes	For	For		For	For	No	No	No
3.4	Elect Director Nakashima, To	oru	Mgmt	Yes	For	For		For	For	No	No	No
3.5	Elect Director Kudo, Teiko		Mgmt	Yes	For	For		For	For	No	No	No
3.6	Elect Director Inoue, Atsuhik	0	Mgmt	Yes	For	For		For	For	No	No	No
3.7	Elect Director Isshiki, Toshih	iro	Mgmt	Yes	For	For		For	For	No	No	No
3.8	Elect Director Kawasaki, Yas	uyuki	Mgmt	Yes	For	For		For	For	No	No	No
3.9	Elect Director Matsumoto, M	asayuki	Mgmt	Yes	For	Against		Against	Against	Yes	No	No
	Voting Policy Rationale: A voi majority independent and thi					* The board	after this med	eting will not	be		-	
	Blended Rationale: A vote AGAINST this director nominee is warranted because: * The board after this meeting will not be majority independent and this outside director nominee lacks independence.											
3.10	Elect Director Arthur M. Mitc	hell	Mgmt	Yes	For	For		For	For	No	No	No
3.11	Elect Director Yamazaki, Sho	zo	Mgmt	Yes	For	For		For	For	No	No	No
3.12	Elect Director Kono, Masaha	u	Mgmt	Yes	For	For		For	For	No	No	No
3.13	Elect Director Tsutsui, Yoshii	nobu	Mgmt	Yes	For	For		For	For	No	No	No
3.14	Elect Director Shimbo, Katsu	yoshi	Mgmt	Yes	For	For		For	For	No	No	No
3.15	Elect Director Sakurai, Eriko		Mgmt	Yes	For	For		For	For	No	No	No
4	Amend Articles to Disclose P Outlining Company's Busines to Align Investments with Go Paris Agreement	s Strategy	SH	Yes	Against	Against		Against	Against	No	No	No
5	Amend Articles to Disclose M to be Taken to Make Sure th Company's Lending and Und are not Used for Expansion of Fuel Supply or Associated Infrastructure	at the erwriting	SH	Yes	Against	Against		Against	Against	No	No	No
Ballot Details												
Institutional Account Detai (IA Name, IA Number)	l Custodian Account Number	Ballot Status	Instructe	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset		jbisset				1,100,	000	1,100	0,000
			06/21/202	2	06/21/20	22						
								Total Shares:	1,100,	000	1,100	,000

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Voting Dissident % For Item Mgmt Dissent Result Against Abstain Abstain Against Туре Base # Proposal Summary Proposal Rec Level For

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	N/A	10,289,759	99.4%	38,507	N/A	3,529	N/A	Poll	F+A+AB
2	Υ	Pass	No	For	N/A	10,306,550	99.6%	21,737	N/A	3,542	N/A	Poll	F+A+AB
3.1	Υ	Pass	No	For	N/A	6,727,978	65.0%	3,600,189	N/A	3,523	N/A	Poll	F+A+AB
3.2	Y	Pass	No	For	N/A	6,374,789	61.6%	3,953,365	N/A	3,525	N/A	Poll	F+A+AB
3.3	Υ	Pass	No	For	N/A	9,839,523	95.0%	483,303	N/A	8,880	N/A	Poll	F+A+AB
3.4	Υ	Pass	No	For	N/A	9,797,734	94.6%	525,089	N/A	8,880	N/A	Poll	F+A+AB
3.5	Υ	Pass	No	For	N/A	9,815,557	94.8%	507,271	N/A	8,876	N/A	Poll	F+A+AB
3.6	Υ	Pass	No	For	N/A	9,398,020	90.8%	924,788	N/A	8,879	N/A	Poll	F+A+AB
3.7	Υ	Pass	No	For	N/A	9,396,185	90.8%	926,624	N/A	8,879	N/A	Poll	F+A+AB
3.8	Υ	Pass	No	For	N/A	7,086,584	68.5%	3,210,183	N/A	34,917	N/A	Poll	F+A+AB
3.9	Υ	Pass	No	For	N/A	6,719,858	64.9%	3,581,657	N/A	30,166	N/A	Poll	F+A+AB
3.10	Υ	Pass	No	For	N/A	9,325,228	90.1%	1,002,952	N/A	3,524	N/A	Poll	F+A+AB
3.11	Υ	Pass	No	For	N/A	9,962,653	96.2%	365,527	N/A	3,526	N/A	Poll	F+A+AB
3.12	Y	Pass	No	For	N/A	9,297,402	89.8%	1,030,768	N/A	3,524	N/A	Poll	F+A+AB
3.13	Y	Pass	No	For	N/A	9,141,507	88.3%	1,160,012	N/A	30,169	N/A	Poll	F+A+AB
3.14	Y	Pass	No	For	N/A	9,953,355	96.1%	374,826	N/A	3,525	N/A	Poll	F+A+AB
3.15	Υ	Pass	No	For	N/A	9,335,263	90.2%	952,946	N/A	43,485	N/A	Poll	F+A+AB
4	Υ	Fail	No	Against	N/A	2,800,946	27.1%	7,325,280	N/A	205,502	N/A	Poll	F+A+AB
5	Y	Fail	No	Against	N/A	989,505	9.6%	9,112,283	N/A	229,968	N/A	Poll	F+A+AB

### **Marks & Spencer Group Plc**

Meeting Date: 07/05/2022 Record Date: 07/01/2022

Primary Security ID: G5824M107

Earliest Cutoff Date: 06/30/2022 Votable Shares: 10,276,230 Country: United Kingdom
Meeting Type: Annual

Primary CUSIP: G5824M107

Total Ballots: 1

\*Shares on Loan: 0

Ticker: MKS

Voting Policy: ISS

**Meeting ID:** 1618773

**Primary ISIN:** GB0031274896

, \_\_\_\_\_

Shares Instructed: 10,276,230

Proxy Level: N/A

Primary SEDOL: 3127489

Additional Policy:

**Shares Voted:** 10,276,230

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No

## **Marks & Spencer Group Pic**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
2		Approve Remuneration Report	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST the re- Rowe's notice is set to be served on his last day practice, his formal notice should have commer market. This has given rise to a "notice of notice contributory factors towards the adverse vote re- granting Steve Rowe a bonus in FY2021/22, de- positioning for CEO Stuart Machin and Co-CEO directors effectively share the leadership role, a in the Company's market capitalisation in recen	y of employme nced on 10 Ma re" scenario. C recommendation spite being un Katie Bickersta and ii) the sala	ent with the arch 2022, w Concerns hav on – that the ader notice b affe, has bee	Company of the compan	n 5 July 2022 arture was fo noted – but tion Committo onus paymen ed as a matte	n. However, in ormally annour are not being ee applied disc t date. Also, ti er of concern, g	line with be nced to the highlighted or cretion in the salary given that i)	st as the			
		Blended Rationale: A vote AGAINST the remune Rowe's notice is set to be served on his last day practice, his formal notice should have commer market. This has given rise to a "notice of notice contributory factors towards the adverse vote of granting Steve Rowe a bonus in FY2021/22, depositioning for CEO Stuart Machin and Co-CEO directors effectively share the leadership role, a in the Company's market capitalisation in recent	y of employme need on 10 Ma re" scenario. C recommendation spite being un Katie Bickerstand ii) the sala	ent with the arch 2022, w Concerns hav on – that the ader notice b affe, has bee	Company of the company of the company of the company of the boston of the company of	n 5 July 2022 arture was fo noted – but tion Committo onus paymen ed as a matte	P. However, in ormally annour are not being ee applied disc t date. Also, ti er of concern, g	line with be. nced to the highlighted cretion in the salary given that i)	as the			
3		Re-elect Archie Norman as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no si	gnificant cor	ncerns have b	been identified	!.				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	cant concern	s have been	identified.					
4		Re-elect Eoin Tonge as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no si	gnificant cor	ncerns have b	peen identified	!.				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
5		Re-elect Evelyn Bourke as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no si	gnificant cor	ncerns have b	peen identified	!				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	cant concern	s have been	identified.					
6		Re-elect Fiona Dawson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant cor	ncerns have b	been identified	!.				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	cant concern	s have been	identified.					
7		Re-elect Andrew Fisher as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	ted as no sig	gnificant cor	ncerns have b	been identified	!				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
8		Re-elect Andy Halford as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no si	gnificant cor	ncerns have b	peen identified	!.				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	ant concern	s have been	identified.					
9		Re-elect Tamara Ingram as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	nted as no sig	gnificant cor	ncerns have b	been identified	<u>.</u>				
		Blended Rationale: A vote FOR these Directors	is warranted a	as no signific	cant concern	s have been	identified.					
10		Re-elect Justin King as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	tors is warran	nted as no si	gnificant cor	ncerns have b	been identified	<u>.</u>				
		Blended Rationale: A vote FOR these Directors										
				-								

Additional Voting

Vote

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

# **Marks & Spencer Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11		Re-elect Sapna Sood as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been l	identified.					
12		Elect Stuart Machin as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	nificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been l	identified.					
13		Elect Katie Bickerstaffe as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these Direct	ctors is warran	ted as no sig	gnificant con	cerns have b	een identified.					
		Blended Rationale: A vote FOR these Directors	is warranted a	s no signific	ant concerns	s have been l	identified.					
14		Reappoint Deloitte LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
15		Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No
16		Authorise UK Political Donations and Expenditure	Mgmt	Yes	For	For		For	For	No	No	No
17		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.  Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within										
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	l because the	e proposed a	amounts and	durations are	within				
18		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonance recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	l because the	e proposed a	amounts and	durations are	within				
19		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resonant recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolution recommended limits.	ns is warranted	d because the	e proposed a	amounts and	durations are	within				
20		Authorise Market Purchase of Ordinary Shares	Mgmt	Yes	For	For		For	For	No	No	No
21		Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	Yes	For	For		For	For	No	No	No
22		Approve Renewal of Share Incentive Plan	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorise Purchase by Marks and Spencer plc of Ordinary Shares in The Sports Edit Limited from Justin King	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails											

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		10,276,230	10,276,230
			06/27/2022	06/27/2022			

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Marks & Spencer Group Plc**

Total Shares: 10,276,230 10,276,230

#### **Meeting Results**

Outstanding Shares Voted: 1,964,019,744

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	1,339,451,971	100.0%	157,191	0.0%	1,411,785	N/A	Poll	F+A
2	Υ	Pass	No	For	29.1%	930,901,466	70.9%	382,304,226	29.1%	27,809,219	N/A	Poll	F+A
3	Υ	Pass	No	For	3.9%	1,288,556,149	96.1%	52,271,066	3.9%	204,180	N/A	Poll	F+A
4	Υ	Pass	No	For	0.4%	1,335,843,323	99.6%	4,958,347	0.4%	251,353	N/A	Poll	F+A
5	Υ	Pass	No	For	12.2%	1,176,809,541	87.8%	163,959,382	12.2%	283,901	N/A	Poll	F+A
6	Υ	Pass	No	For	1.1%	1,326,480,850	98.9%	14,279,272	1.1%	290,202	N/A	Poll	F+A
7	Υ	Pass	No	For	1.8%	1,316,596,126	98.2%	24,159,878	1.8%	272,212	N/A	Poll	F+A
8	Υ	Pass	No	For	2.6%	1,305,732,873	97.4%	35,035,074	2.6%	282,857	N/A	Poll	F+A
9	Υ	Pass	No	For	1.7%	1,318,085,555	98.3%	22,646,240	1.7%	292,311	N/A	Poll	F+A
10	Y	Pass	No	For	1.1%	1,326,501,796	98.9%	14,310,651	1.1%	240,759	N/A	Poll	F+A
11	Y	Pass	No	For	1.1%	1,326,415,831	98.9%	14,330,957	1.1%	277,318	N/A	Poll	F+A
12	Y	Pass	No	For	0.0%	1,340,304,101	100.0%	475,033	0.0%	267,080	N/A	Poll	F+A
13	Y	Pass	No	For	0.3%	1,337,195,657	99.7%	3,583,671	0.3%	269,386	N/A	Poll	F+A
14	Y	Pass	No	For	0.1%	1,330,455,419	99.9%	1,384,747	0.1%	9,206,048	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	1,339,612,877	99.9%	1,217,059	0.1%	214,229	N/A	Poll	F+A
16	Υ	Pass	No	For	5.3%	1,268,497,930	94.7%	70,333,790	5.3%	2,214,494	N/A	Poll	F+A
17	Υ	Pass	No	For	2.0%	1,313,858,056	98.0%	26,945,573	2.0%	242,585	N/A	Poll	F+A
18	Υ	Pass	No	For	0.3%	1,336,141,058	99.7%	4,508,799	0.3%	394,992	N/A	Poll	F+A
19	Υ	Pass	No	For	4.6%	1,279,181,425	95.4%	61,473,173	4.6%	390,251	N/A	Poll	F+A
20	Υ	Pass	No	For	0.8%	1,328,435,544	99.2%	10,854,595	0.8%	1,755,985	N/A	Poll	F+A
21	Υ	Pass	No	For	5.6%	1,256,405,720	94.4%	74,404,099	5.6%	226,395	N/A	Poll	F+A
22	Υ	Pass	No	For	0.0%	1,340,136,466	100.0%	543,524	0.0%	366,224	N/A	Poll	F+A
23	Y	Pass	No	For	0.0%	1,340,023,164	100.0%	414,688	0.0%	606,997	N/A	Poll	F+A

### **GSK Plc**

Meeting Date: 07/06/2022 Record Date: 07/04/2022 Primary Security ID: G3910J112 **Country:** United Kingdom **Meeting Type:** Special

Meeting Type: Special Primary CUSIP: G3910J112 Ticker: GSK

**Meeting ID:** 1659110

Primary ISIN: GB0009252882

Proxy Level: N/A

Primary SEDOL: 0925288

#### **GSK Plc**

Earliest Cutoff Date: 07/01/2022 Total Ballots: 1 **Additional Policy:** Voting Policy: ISS Votable Shares: 2,412,600 \*Shares on Loan: 0 Shares Instructed: 2,412,600 Shares Voted: 2,412,600 Additional Voting Vote Vote **Proposal** Significant Votable Mgmt Policy Policy Vote Against Against Against Number Vote **Proposal Text** Proponent Proposal Rec ISS Rec Rec Rec Instruction Mgmt Policy ISS Approve Matters Relating to the Yes For For No No 1 Mgmt For For No Demerger of Haleon Group from the GSK Group Approve the Related Party Transaction For For 2 Mgmt Yes For For Nο Nο Nο Arrangements **Ballot Details** Institutional Account Detail Custodian (IA Name, IA Number) Account Number **Ballot Status** Instructed Approved **Ballot Voting Status** Votable Shares Shares Voted PIC\_RTRI, PIC\_RTRI 282301.001 Confirmed jbisset 2,412,600 2,412,600 06/24/2022 06/24/2022 Total Shares: 2,412,600 2,412,600

#### **Meeting Results**

Outstanding Shares Voted: 5,084,180,157

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.2%	3,568,610,751	99.8%	6,794,940	0.2%	7,388,793	N/A	Poll	F+A
2	Υ	Pass	No	For	0.2%	3.565.834.534	99.8%	8.056.152	0.2%	8.857.644	N/A	Poll	F+A

### **BT Group Plc**

Meeting Date: 07/14/2022Country: United KingdomTicker: BT.AProxy Level: N/ARecord Date: 07/12/2022Meeting Type: AnnualMeeting ID: 1651163

 Primary Security ID: G16612106
 Primary CUSIP: G16612106
 Primary ISIN: GB0030913577
 Primary SEDOL: 3091357

 Earliest Cutoff Date: 07/11/2022
 Total Ballots: 1
 Voting Policy: ISS
 Additional Policy:

 Votable Shares: 9,400,000
 \*Shares on Loan: 0
 Shares Instructed: 9,400,000
 Shares Voted: 9,400,000

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No
3		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
4		Elect Adam Crozier as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is warra	anted as no .	significant c	oncerns have	e been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warranted	d as no signii	ficant conce	rns have bee	n identified.					
5		Re-elect Philip Jansen as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these cand	didates is warra	anted as no .	significant c	oncerns have	e been identifie	ed.				
		Blended Rationale: A vote FOR these candidate	es is warranted	d as no signii	ficant conce	rns have bee	n identified.					

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **BT Group Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS				
6		Re-elect Simon Lowth as Director	Mgmt	Yes	For	For		For	For	No	No	No				
		Voting Policy Rationale: A vote FOR these cand	idates is warra	anted as no s	significant co	oncerns have	been identifie	d.								
		Blended Rationale: A vote FOR these candidate	s is warranted	as no signif	ficant concer	ns have beer	n identified.									
7		Re-elect Adel Al-Saleh as Director	Mgmt	Yes	For	For		For	For	No	No	No				
		Voting Policy Rationale: A vote FOR these cand	idates is warra	nted as no s	significant co	oncerns have	been identifie	d.								
		Blended Rationale: A vote FOR these candidate	s is warranted	as no signif	ficant concer	ns have beer	n identified.									
8		Re-elect Sir Ian Cheshire as Director	Mgmt	Yes	For	For		For	For	No	No	No				
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	nted as no s	significant co	oncerns have	been identifie	d.								
		Blended Rationale: A vote FOR these candidate	s is warranted	as no signif	ficant concer	ns have beer	n identified.									
9		Re-elect Iain Conn as Director	Mgmt	Yes	For	For		For	For	No	No	No				
		Voting Policy Rationale: A vote FOR these cand	idates is warra	nted as no s	significant co	oncerns have	been identifie	d.								
		Blended Rationale: A vote FOR these candidate	s is warranted	as no signif	ficant concer	ns have beer	n identified.									
10		Re-elect Isabel Hudson as Director	Mgmt	Yes	For	For		For	For	No	No	No				
		Voting Policy Rationale: A vote FOR these cand	idates is warra	nted as no s	significant co	oncerns have	been identifie	d.								
		Blended Rationale: A vote FOR these candidate	ng Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.  Inded Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.  Indeed Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.													
11		Re-elect Matthew Key as Director	Mgmt	Yes	For	For		For	For	No	No	No				
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	nted as no s	significant co	oncerns have	been identifie	d.								
		Blended Rationale: A vote FOR these candidate	s is warranted	as no signif	ficant concer	ns have beer	n identified.									
12		Re-elect Allison Kirkby as Director	Mgmt	Yes	For	For		For	For	No	No	No				
		Voting Policy Rationale: A vote FOR these cand	idates is warra	nted as no s	significant co	oncerns have	been identifie	d.								
		Blended Rationale: A vote FOR these candidate	s is warranted	as no signif	ficant concer	ns have beer	n identified.									
13		Re-elect Sara Weller as Director	Mgmt	Yes	For	For		For	For	No	No	No				
		Voting Policy Rationale: A vote FOR these cand	lidates is warra	nted as no s	significant co	oncerns have	been identifie	d.								
		Blended Rationale: A vote FOR these candidate	s is warranted	as no signif	ficant concer	ns have beer	n identified.									
14		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No				
15		Authorise the Audit & Risk Committee to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No				
16		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No				
		Voting Policy Rationale: A vote FOR these resol recommended limits.	lutions is warra	anted becaus	se the propo	sed amounts	and durations	are within								
		Blended Rationale: A vote FOR these resolution recommended limits.	s is warranted	because the	e proposed a	amounts and	durations are	within								
17		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No				
		Voting Policy Rationale: A vote FOR these resolution recommended limits.	utions is warra	anted becaus	se the propo	sed amounts	and durations	are within								
		Blended Rationale: A vote FOR these resolution recommended limits.	s is warranted	because the	e proposed a	amounts and	durations are	within								

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### **BT Group Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
18		Authorise Issue of Equity with Pre-emptive Rights in Connec an Acquisition or Other Capita Investment	tion with	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	e FOR these reso	lutions is warra	anted becaus	se the propo	osed amounts	s and durations	s are within				
		Blended Rationale: A vote FOI recommended limits.	R these resolution	ns is warranted	d because the	e proposed	amounts and	durations are	within				
19		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
20		' '	•		Yes	For	For		For	For	No	No	No
21		Authorise UK Political Donatic	ons	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ils												
Institutional (IA Name, IA	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares '	Voted
PIC_RTRI, PIC	_RTRI	282301.001	Confirmed	jbisset		jbisset				9,400,0	000	9,400	,000
			282301.001 Confirmed		2	07/08/20	)22						

Total Shares:

9,400,000

9,400,000

#### **Meeting Results**

Outstanding Shares Voted: 9,928,556,204

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.0%	6,884,918,349	100.0%	3,090,764	0.0%	18,627,473	N/A	Poll	F+A
2	Y	Pass	No	For	6.4%	6,408,190,533	93.6%	439,625,354	6.4%	58,845,040	N/A	Poll	F+A
3	Y	Pass	No	For	0.0%	6,903,698,159	100.0%	1,552,001	0.0%	1,387,433	N/A	Poll	F+A
4	Υ	Pass	No	For	0.5%	6,870,405,553	99.5%	31,994,754	0.5%	4,248,931	N/A	Poll	F+A
5	Υ	Pass	No	For	0.1%	6,898,551,134	99.9%	5,954,099	0.1%	2,226,347	N/A	Poll	F+A
6	Υ	Pass	No	For	0.3%	6,886,397,247	99.7%	17,729,620	0.3%	2,510,407	N/A	Poll	F+A
7	Υ	Pass	No	For	5.8%	6,499,721,607	94.2%	403,635,170	5.8%	3,278,503	N/A	Poll	F+A
8	Υ	Pass	No	For	10.2%	6,202,483,392	89.8%	701,632,470	10.2%	2,511,991	N/A	Poll	F+A
9	Υ	Pass	No	For	5.5%	6,306,485,497	94.5%	365,204,219	5.5%	234,940,523	N/A	Poll	F+A
10	Υ	Pass	No	For	4.9%	6,564,844,200	95.1%	339,235,402	4.9%	2,546,602	N/A	Poll	F+A
11	Υ	Pass	No	For	5.1%	6,553,182,141	94.9%	350,853,443	5.1%	2,589,339	N/A	Poll	F+A
12	Υ	Pass	No	For	4.9%	6,565,454,993	95.1%	338,679,358	4.9%	2,500,511	N/A	Poll	F+A
13	Υ	Pass	No	For	4.9%	6,565,482,663	95.1%	338,659,808	4.9%	2,492,381	N/A	Poll	F+A
14	Υ	Pass	No	For	0.3%	6,885,608,174	99.7%	18,716,105	0.3%	2,235,437	N/A	Poll	F+A
15	Υ	Pass	No	For	0.1%	6,899,556,184	99.9%	4,730,501	0.1%	2,295,852	N/A	Poll	F+A

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Item	Voting		Dissident	Mgmt	Dissent		%		%		%	Result	
#	Proposal	Summary	Proposal	Rec	Level	For	For	Against	Against	Abstain	Abstain	Туре	Base
16	Y	Pass	No	For	4.7%	4,880,980,923	95.3%	238,220,255	4.7%	1,787,401,193	N/A	Poll	F+A
17	Υ	Pass	No	For	1.2%	6,819,953,992	98.8%	82,199,972	1.2%	4,399,869	N/A	Poll	F+A
18	Υ	Pass	No	For	2.0%	6,766,054,033	98.0%	137,445,641	2.0%	3,050,763	N/A	Poll	F+A
19	Υ	Pass	No	For	0.3%	6,881,778,233	99.7%	22,400,610	0.3%	2,405,619	N/A	Poll	F+A
20	Υ	Pass	No	For	3.6%	6,652,979,608	96.4%	251,629,194	3.6%	1,955,283	N/A	Poll	F+A
21	Υ	Pass	No	For	1.0%	6,834,991,613	99.0%	70,001,397	1.0%	1,608,818	N/A	Poll	F+A

Meeting Date: 07/26/2022

Country: United Kingdom Meeting Type: Annual

Ticker: VOD Meeting ID: 1649676 Proxy Level: N/A

Record Date: 07/22/2022 Primary Security ID: G93882192 **Primary CUSIP:** G93882192

Primary ISIN: GB00BH4HKS39

Primary SEDOL: BH4HKS3

Earliest Cutoff Date: 07/21/2022 Votable Shares: 19,811,900

3

\*Shares on Loan: 0

Total Ballots: 1

Voting Policy: ISS Shares Instructed: 19,811,900

Shares Voted: 19,811,900

Additional Policy:

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
Number	Vote	Proposal rext	Proponent	гторозаг	Rec	133 KeC	Rec	Rec	THISCI UCCION	Myllic	Policy	133
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Re-elect Jean-Francois van Boxmeer as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR t without concern because: * As Nomination ( incorporating sufficient diversity on the Boar Director on the Board. The main reason for	Committee Chair, d. It is highlighte	. Jean-France ed that the E	ois van Boxı Board does ı	meer is consi not comprise	idered respons at least one e	ible for thnically dive				

Director on the Board. The main reason for support is: \* The non-compliance is a result of a NED resignation in July 2021. The Company has provided a commitment to achieve ethnic diversity, following the recommendation of the Parker Review. This will be kept under review. Items 3-14 A vote FOR these Directors is warranted as no significant concerns have been identified.

Blended Rationale: Item 2 A vote FOR the re-election of Jean-Francois van Boxmeer is warranted, although it is not without concern because: \* As Nomination Committee Chair, Jean-Francois van Boxmeer is considered responsible for incorporating sufficient diversity on the Board. It is highlighted that the Board does not comprise at least one ethnically diverse Director on the Board. The main reason for support is: \* The non-compliance is a result of a NED resignation in July 2021. The Company has provided a commitment to achieve ethnic diversity, following the recommendation of the Parker Review. This will be kept under review. Items 3-14 A vote FOR these Directors is warranted as no significant concerns have been identified.

Re-elect Nick Read as Director

Yes

Mgmt

For

For

For

For

No

No

No

Voting Policy Rationale: Item 2 A vote FOR the re-election of Jean-Francois van Boxmeer is warranted, although it is not without concern because: \* As Nomination Committee Chair, Jean-François van Boxmeer is considered responsible for incorporating sufficient diversity on the Board. It is highlighted that the Board does not comprise at least one ethnically diverse Director on the Board. The main reason for support is: \* The non-compliance is a result of a NED resignation in July 2021. The Company has provided a commitment to achieve ethnic diversity, following the recommendation of the Parker Review. This will be kept under review. Items 3-14 A vote FOR these Directors is warranted as no significant concerns have been identified.

Blended Rationale: Item 2 A vote FOR the re-election of Jean-Francois van Boxmeer is warranted, although it is not without concern because: \* As Nomination Committee Chair, Jean-Francois van Boxmeer is considered responsible for incorporating sufficient diversity on the Board. It is highlighted that the Board does not comprise at least one ethnically diverse Director on the Board. The main reason for support is: \* The non-compliance is a result of a NED resignation in July 2021. The Company has provided a commitment to achieve ethnic diversity, following the recommendation of the Parker Review. This will be kept under review. Items 3-14 A vote FOR these Directors is warranted as no significant concerns have been identified.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Agains ISS
4		Re-elect Margherita Della Valle as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Coi incorporating sufficient diversity on the Board. Director on the Board. The main reason for sufficient has provided a commitment to achie be kept under review. Items 3-14 A vote FOR Items 3-14 A	mmittee Chair, It is highlighte oport is: * The ve ethnic diver	Jean-Franco od that the Bo non-complia sity, followin	ois van Boxi oard does i ance is a re og the recoi	meer is consid not comprise a sult of a NED mmendation o	dered responsion at least one etco resignation in of the Parker R	ble for hnically divel July 2021. T eview. This	The .			
		Blended Rationale: Item 2 A vote FOR the re-econcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * Thas provided a commitment to achieve ethnic under review. Items 3-14 A vote FOR these Divining the support of the suppor	Chair, Jean-Fro ed that the Boo The non-compli diversity, follov	ancois van Bard does not ance is a res wing the reco	oxmeer is of comprise a cult of a NED ommendation	considered res at least one et D resignation on of the Park	sponsible for in thnically divers in July 2021. The ter Review. Thi	ncorporating e Director or The Compan is will be kep	γ			
5		Elect Stephen Carter as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Corincorporating sufficient diversity on the Board. Director on the Board. The main reason for sufficient has provided a commitment to achie be kept under review. Items 3-14 A vote FOR the sufficient of the sufficient su	mmittee Chair, It is highlighte oport is: * The ve ethnic diver	Jean-Franco od that the Bo non-complia sity, followin	ois van Boxi oard does i ance is a re og the recoi	meer is consid not comprise a sult of a NED mmendation o	dered responsion at least one eto resignation in of the Parker R	ble for hnically divel July 2021. T eview. This	The .			
		Blended Rationale: Item 2 A vote FOR the re-econcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * Thas provided a commitment to achieve ethnic under review. Items 3-14 A vote FOR these Diversity of the second support is: * The second	Chair, Jean-Fro ed that the Boo The non-compli diversity, follov	ancois van Bard does not ance is a res wing the reco	oxmeer is of comprise a cult of a NE commendation	considered res at least one et D resignation on of the Park	sponsible for in thnically divers in July 2021. The rer Review. The	ncorporating e Director or The Compan is will be kep	γ			
6		Re-elect Sir Crispin Davis as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Coi incorporating sufficient diversity on the Board. Director on the Board. The main reason for sufficient diversity on the Board company has provided a commitment to achie be kept under review. Items 3-14 A vote FOR to	mmittee Chair, It is highlighte oport is: * The ve ethnic diver these Directors	Jean-Franco od that the Bo non-complia sity, followin is is warranted	nis van Boxi oard does i ance is a re ng the recoid d as no sign	meer is consident comprise a sult of a NED mmendation of the concentricant concentrica	dered responsion at least one etcon resignation in of the Parker R rns have been	ble for hnically diver July 2021. T eview. This identified.	The .			
		Blended Rationale: Item 2 A vote FOR the re-e concern because: * As Nomination Committee sufficient diversity on the Board. It is highlight the Board. The main reason for support is: * T has provided a commitment to achieve ethnic of under review. Items 3-14 A vote FOR these Div	Chair, Jean-Fro ed that the Boo The non-compli diversity, follov	ancois van Bard does not ance is a res ving the reco	oxmeer is of comprise a cult of a NE commendation	considered res at least one et D resignation on of the Park	sponsible for in thnically diversi in July 2021. The er Review. Thi	ncorporating e Director or The Compan is will be kep	γ			
7		Re-elect Michel Demare as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Coi incorporating sufficient diversity on the Board. Director on the Board. The main reason for sufficient diversity on the Board. The main reason for sufficient of the Board. The main reason for sufficient with the Board of the Board. The Board of the Bo	mmittee Chair, It is highlighte oport is: * The ve ethnic diver these Directors	Jean-Franco od that the Bo non-complia sity, followin s is warranteo	nis van Boxi oard does i ance is a re ng the recoid d as no sign	meer is consident comprise a sult of a NED mmendation on the concentricant concentrica	dered responsion at least one etcone resignation in of the Parker R rns have been	ble for hnically diver July 2021. T eview. This i identified.	The will			
		Blended Rationale: Item 2 A vote FOR the re-econcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * Thas provided a commitment to achieve ethnic cunder review. Items 3-14 A vote FOR these Diversity of the second support is: * The sup	Chair, Jean-Fro ed that the Boo The non-compli diversity, follov	ancois van Bard does not ance is a res wing the reco	oxmeer is of comprise a cult of a NE commendation	considered res at least one et D resignation on of the Park	sponsible for in thnically diversi in July 2021. T rer Review. Thi	ncorporating e Director or The Compan is will be kep	1 V			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
8		Elect Delphine Ernotte Cunci as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Con incorporating sufficient diversity on the Board. Director on the Board. The main reason for sup Company has provided a commitment to achieve be kept under review. Items 3-14 A vote FOR to	nmittee Chair, It is highlighte port is: * The ve ethnic diver.	Jean-Franco d that the Bo non-complia sity, followin	is van Boxm pard does no nce is a resu g the recom	eer is consia ot comprise a ult of a NED mendation o	lered responsib at least one eth resignation in . of the Parker Ro	nle for Innically diver July 2021. T eview. This	The .			
		Blended Rationale: Item 2 A vote FOR the re-eleconcern because: * As Nomination Committee & sufficient diversity on the Board. It is highlighted the Board. The main reason for support is: * The has provided a commitment to achieve ethnic a under review. Items 3-14 A vote FOR these Directions.	Chair, Jean-Fra ed that the Boa he non-complia diversity, follow	ancois van Bo ard does not ance is a reso ving the reco	oxmeer is co comprise at ult of a NED mmendation	nsidered res least one et resignation in of the Parki	sponsible for in hnically diverse in July 2021. T er Review. Thi	corporating e Director or The Compan s will be kep	/			
9		Re-elect Dame Clara Furse as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Comincorporating sufficient diversity on the Board. I Director on the Board. The main reason for sup Company has provided a commitment to achieve be kept under review. Items 3-14 A vote FOR to	nmittee Chair, It is highlighte port is: * The ve ethnic diven hese Directors	Jean-Franco d that the Bo non-complia sity, followin is warranted	is van Boxm pard does no nce is a reso g the recom d as no signi	eer is consid of comprise a ult of a NED mendation o ficant concel	lered responsib at least one eth resignation in . of the Parker Ro rns have been	ole for Inically diver July 2021. T eview. This identified.	he .			
		Blended Rationale: Item 2 A vote FOR the re-eleconcern because: * As Nomination Committee of sufficient diversity on the Board. It is highlighted the Board. The main reason for support is: * The has provided a commitment to achieve ethnic of under review. Items 3-14 A vote FOR these Directions.	Chair, Jean-Fra ed that the Boa he non-complia diversity, follow	ancois van Bo ard does not ance is a reso ving the reco	oxmeer is co comprise at ult of a NED mmendation	nsidered res least one et resignation i of the Parki	sponsible for in hnically diverse in July 2021. T er Review. Thi	corporating e Director or The Compan s will be kep	/			
10		Re-elect Valerie Gooding as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Conincorporating sufficient diversity on the Board. Director on the Board. The main reason for sup Company has provided a commitment to achieve be kept under review. Items 3-14 A vote FOR to	nmittee Chair, It is highlighte port is: * The ve ethnic diver.	Jean-Franco d that the Bo non-complia sity, followin	is van Boxm pard does no nce is a resu g the recom	eer is consia ot comprise a ult of a NED mendation o	lered responsib at least one eth resignation in . of the Parker Ro	ole for Inically diver July 2021. T eview. This	The .			
		Blended Rationale: Item 2 A vote FOR the re-eleconcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * The has provided a commitment to achieve ethnic of under review. Items 3-14 A vote FOR these Directions.	Chair, Jean-Fra ed that the Boa he non-complia diversity, follow	ancois van Bo ard does not ance is a reso ving the reco	oxmeer is co comprise at ult of a NED mmendation	nsidered res least one et resignation of the Parki	sponsible for in hnically diverse in July 2021. T er Review. Thi	corporating e Director or The Compan s will be kep	/			
11		Elect Deborah Kerr as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Con incorporating sufficient diversity on the Board. Director on the Board. The main reason for sup Company has provided a commitment to achieve be kept under review. Items 3-14 A vote FOR to Blended Rationale: Item 2 A vote FOR the re-el concern because: * As Nomination Committee e sufficient diversity on the Board. It is highlighted the Board. The main reason for support is: * The has provided a commitment to achieve ethnic of under review. Items 3-14 A vote FOR these Directions and the support of the support is the support i	nmittee Chair, It is highlighte port is: * The ve ethnic diver. these Directors lection of Jean Chair, Jean-Fra that the Boa the non-complia	Jean-Franco d that the Bo non-complia sity, followin, is warranted -Francois van ancois van Bo and does not ance is a reso ving the reco	is van Boxmoard does no noce is a resu g the recom d as no signi n Boxmeer is coxmeer is co comprise at ult of a NED mmendation	eer is consident comprise a full of a NED of the MED of the Parket on the MED of the Parket on the MED of the Parket on the MED of t	dered responsible teast one ether in the Parker Research have been although it is appossible for infuncially diverse in July 2021. The Review. This ether is the policy of the policy and the policy of the policy o	ole for Inically diver July 2021. This viewew. This videntified. Inot without corporating a Director or The Compan, s will be kep	he will			

	Proponent	Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Against Mgmt	Against Policy	Against ISS
o Moraleda	Mgmt	Yes	For	For		For	For	No	No	No
ise: * As Nominati t diversity on the i The main reason d a commitment to	OR the re-election of ion Committee Chair, Board. It is highlighte for support is: * The o achieve ethnic diver e FOR these Directors	Jean-France of that the E non-compli sity, following	ois van Boxn Board does n iance is a res ng the recon	neer is conside ot comprise of Sult of a NED Dimendation of	dered responsi at least one et resignation in of the Parker R	ble for hnically dive July 2021. T eview. This	The .			
s Nomination Com the Board. It is hig eason for support tment to achieve e	he re-election of Jean mittee Chair, Jean-Fra ghlighted that the Boa is: * The non-complia ethnic diversity, follov nese Directors is warra	ancois van l ard does no ance is a re ving the rec	Boxmeer is contract the comprise as sult of a NEL commendation	onsidered res t least one et o resignation n of the Park	sponsible for in thnically divers in July 2021. Th ker Review. Th	ncorporating e Director of The Compan is will be kep	V			
s Director	Mgmt	Yes	For	For		For	For	No	No	No
use: * As Nomination to diversity on the last on the l	FOR the re-election of tion Committee Chair, Board. It is highlighte for support is: * The to achieve ethnic divere FOR these Directors the re-election of Jean mittee Chair, Jean-Fra ghlighted that the Boat is: * The non-complice thnic diversity, follow lese Directors is warra	Jean-France of that the E non-compli sity, followin is warrante -Francois va ancois van E ard does nou ance is a re- ving the rec	ois van Boxn Board does n Jance is a res Ing the recon Ing the Ing the recon Ing the recon Ing the recon Ing the recon Ing the I	neer is consider to comprise of the comprise of the control of the	dered responsiat least one et resignation in of the Parker R erns have been although it is sponsible for in thnically divers in July 2021.	ble for hnically dive. July 2021. The view. This identified. not without nocrporating e Director of The Compans is will be kep	The will			
Director	Mgmt	Yes	For	For		For	For	No	No	No
use: * As Nominati t diversity on the i The main reason d a commitment to Items 3-14 A vote om 2 A vote FOR ti s Nomination Com- the Board. It is hig eason for support	OR the re-election of ion Committee Chair, Board. It is highlighte for support is: * The o achieve ethnic divere FOR these Directors the re-election of Jean mittee Chair, Jean-Fraghlighted that the Bosis: * The non-compliethnic diversity, followether committee the committee the committee of the committee of the committee that the Bosis: * The non-compliethnic diversity, followether committee that the committe	Jean-Franco d that the E non-compli- sity, followia is warrante Francois van ancois van E ard does non ance is a re.	ois van Boxn Board does n Jance is a res Ing the recon Ing the recon Ing Boxmeer is co It comprise au Sout of a NEL	neer is consider to comprise of the comprise of the control of the	dered responsiat least one et resignation in of the Parker R erns have been although it is sponsible for in thnically divers in July 2021.	ble for hnically dive, July 2021. T eview. This identified. not without nocrporating e Director oi	The will			
-14 A vote FOR th	nese Directors is warra	anted as no	significant c	oncerns have	e been identifie	ed.				
d	Mgmt	Yes	For	For		For	For	No	No	No
n Report	Mgmt	Yes	For	For		For	For	No	No	No
ung LLP as	Mgmt	Yes	For	For		For	For	No	No	No
nd Risk nuneration of	Mgmt	Yes	For	For		For	For	No	No	No
uity	Mgmt	Yes	For	For		For	For	No	No	No
e: A vote FOR thes	se resolutions is warra	anted becau	se the propo	osed amount	s and duration.	s are within				
ui	ineration of ity  A vote FOR the	ineration of  Mgmt  A vote FOR these resolutions is warra	ineration of  Mgmt Yes  A vote FOR these resolutions is warranted becau	ineration of  Mgmt Yes For  A vote FOR these resolutions is warranted because the propo	ineration of  Mgmt Yes For For  A vote FOR these resolutions is warranted because the proposed amount.	ineration of  Type Mgmt Yes For For  A vote FOR these resolutions is warranted because the proposed amounts and durations	uneration of	ineration of  Mgmt Yes For For For For  A vote FOR these resolutions is warranted because the proposed amounts and durations are within	ty Mgmt Yes For For For No  A vote FOR these resolutions is warranted because the proposed amounts and durations are within	ty Mgmt Yes For For For No No  A vote FOR these resolutions is warranted because the proposed amounts and durations are within

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
20		Authorise Issue of Equity with Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	olutions is warra	anted becaus	se the prop	osed amount	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolutio	ns is warranted	d because the	e proposea	l amounts and	l durations are	within			-	
21		Authorise Issue of Equity with Pre-emptive Rights in Connect an Acquisition or Other Capita Investment	ion with	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	olutions is warra	anted becaus	se the prop	osed amount	s and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolutio	ns is warranted	d because the	e proposea	amounts and	d durations are	within				
22		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorise UK Political Donation Expenditure	ns and	Mgmt	Yes	For	For		For	For	No	No	No
24		Authorise the Company to Cal Meeting with Two Weeks' Noti		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Detai	ils												
Institutional A	Account Detail Number)	Custodian Account Number	Ballot Status	Instructed	d	Approv	red	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PIC_	_RTRI	282301.001	Confirmed	jbisset		jbisset				19,811,	900	19,81	1,900
				07/18/2022	2	07/18/2	022				_		

Total Shares:

19,811,900

19,811,900

#### **Meeting Results**

Outstanding Shares Voted: 27,975,521,625

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	19,485,490,846	100.0%	8,455,671	0.0%	51,088,718	N/A	Poll	F+A
2	Υ	Pass	No	For	10.9%	17,210,770,653	89.1%	2,095,993,263	10.9%	238,192,481	N/A	Poll	F+A
3	Υ	Pass	No	For	0.3%	19,447,795,495	99.7%	54,912,616	0.3%	42,224,951	N/A	Poll	F+A
4	Υ	Pass	No	For	0.4%	19,431,003,165	99.6%	72,013,091	0.4%	41,924,736	N/A	Poll	F+A
5	Υ	Pass	No	For	0.3%	19,452,464,590	99.7%	48,781,664	0.3%	43,710,312	N/A	Poll	F+A
6	Υ	Pass	No	For	3.1%	18,894,436,667	96.9%	607,069,943	3.1%	43,460,905	N/A	Poll	F+A
7	Υ	Pass	No	For	3.0%	18,917,342,070	97.0%	584,807,446	3.0%	42,866,619	N/A	Poll	F+A
8	Υ	Pass	No	For	0.3%	19,438,367,304	99.7%	64,008,647	0.3%	42,634,408	N/A	Poll	F+A
9	Υ	Pass	No	For	0.4%	19,415,658,222	99.6%	86,175,644	0.4%	43,132,354	N/A	Poll	F+A
10	Υ	Pass	No	For	3.0%	18,914,896,189	97.0%	586,834,669	3.0%	43,254,507	N/A	Poll	F+A
11	Υ	Pass	No	For	0.2%	19,452,796,768	99.8%	48,291,211	0.2%	43,891,939	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
12	Υ	Pass	No	For	3.3%	18,848,895,461	96.7%	652,928,314	3.3%	43,184,656	N/A	Poll	F+A
13	Υ	Pass	No	For	0.3%	19,450,797,473	99.7%	50,469,496	0.3%	43,709,200	N/A	Poll	F+A
14	Υ	Pass	No	For	0.2%	19,453,708,826	99.8%	45,139,651	0.2%	46,112,414	N/A	Poll	F+A
15	Υ	Pass	No	For	0.3%	19,457,795,003	99.7%	51,447,712	0.3%	35,760,312	N/A	Poll	F+A
16	Υ	Pass	No	For	2.1%	19,086,924,682	97.9%	409,978,557	2.1%	47,875,529	N/A	Poll	F+A
17	Υ	Pass	No	For	0.7%	19,379,132,140	99.3%	128,384,121	0.7%	37,394,082	N/A	Poll	F+A
18	Υ	Pass	No	For	0.1%	19,490,192,112	99.9%	13,950,137	0.1%	40,760,118	N/A	Poll	F+A
19	Υ	Pass	No	For	5.3%	18,474,217,163	94.7%	1,031,644,171	5.3%	39,092,919	N/A	Poll	F+A
20	Υ	Pass	No	For	2.2%	19,007,099,920	97.8%	426,191,195	2.2%	111,673,329	N/A	Poll	F+A
21	Υ	Pass	No	For	3.6%	18,739,915,910	96.4%	693,669,490	3.6%	111,360,006	N/A	Poll	F+A
22	Υ	Pass	No	For	1.1%	19,272,281,803	98.9%	213,963,920	1.1%	58,753,588	N/A	Poll	F+A
23	Υ	Pass	No	For	1.8%	18,917,554,866	98.2%	344,758,424	1.8%	282,628,828	N/A	Poll	F+A
24	Υ	Pass	No	For	5.2%	18,483,731,105	94.8%	1,004,855,614	5.2%	56,331,018	N/A	Poll	F+A

Meeting Date: 07/26/2022

Earliest Cutoff Date: 07/20/2022

Votable Shares: 1,325,000

**Record Date:** 06/13/2022

Primary Security ID: G93882192

Country: United Kingdom

Meeting Type: Annual

**Primary CUSIP:** G93882192

Total Ballots: 1 \*Shares on Loan: 0 Ticker: VOD

Meeting ID: 1659494

Primary ISIN: GB00BH4HKS39

Voting Policy: ISS

Shares Instructed: 1,325,000

Proxy Level: N/A

Primary SEDOL: BH4HKS3

Shares Voted: 1,325,000

Additional Policy:

						-,-	/			-,,		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		Meeting for ADR Holders	Mgmt	No								
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Re-elect Jean-Francois van Boxmeer as Director	Mgmt	Yes	For	For		For	For	No	No	No

Voting Policy Rationale: Item 2 A vote FOR the re-election of Jean-Francois van Boxmeer is warranted, although it is not without concern because: \* As Nomination Committee Chair, Jean-Francois van Boxmeer is considered responsible for incorporating sufficient diversity on the Board. It is highlighted that the Board does not comprise at least one ethnically diverse Director on the Board. The main reason for support is: \* The non-compliance is a result of a NED resignation in July 2021. The Company has provided a commitment to achieve ethnic diversity, following the recommendation of the Parker Review. This will be kept under review. Items 3-14 A vote FOR these Directors is warranted as no significant concerns have been identified.

Blended Rationale: Item 2 A vote FOR the re-election of Jean-Francois van Boxmeer is warranted, although it is not without concern because: \* As Nomination Committee Chair, Jean-Francois van Boxmeer is considered responsible for incorporating sufficient diversity on the Board. It is highlighted that the Board does not comprise at least one ethnically diverse Director on the Board. The main reason for support is: \* The non-compliance is a result of a NED resignation in July 2021. The Company has provided a commitment to achieve ethnic diversity, following the recommendation of the Parker Review. This will be kept under review. Items 3-14 A vote FOR these Directors is warranted as no significant concerns have been identified.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3		Re-elect Nick Read as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Conincorporating sufficient diversity on the Board. Director on the Board. The main reason for sup Company has provided a commitment to achieve be kept under review. Items 3-14 A vote FOR to	nmittee Chair, It is highlighte oport is: * The ve ethnic diver.	Jean-Franco d that the Bo non-complia sity, followin	is van Boxmo pard does no nnce is a resu g the recomi	eer is conside t comprise a elt of a NED e mendation o	ered responsib at least one eth resignation in . f the Parker Re	ole for Anically diver July 2021. Ti Eview. This v	he			
		Blended Rationale: Item 2 A vote FOR the re-exconcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * Thas provided a commitment to achieve ethnic cunder review. Items 3-14 A vote FOR these Directions.	Chair, Jean-Fra ed that the Boa he non-complia diversity, follow	ancois van Bard does not ance is a resouing the reco	oxmeer is co comprise at ult of a NED ommendation	nsidered responsible responsible resignation of the Parke	ponsible for ind hnically diverse in July 2021. T er Review. This	corporating e Director on the Company s will be kept	,			
4		Re-elect Margherita Della Valle as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Conincorporating sufficient diversity on the Board. Director on the Board. The main reason for sup Company has provided a commitment to achieve be kept under review. Items 3-14 A vote FOR to	nmittee Chair, It is highlighte oport is: * The ve ethnic diver:	Jean-Franco d that the Bo non-complia sity, followin	is van Boxmo pard does no nnce is a resu g the recomi	eer is conside t comprise a elt of a NED e mendation o	ered responsib at least one eth resignation in . f the Parker Re	ole for Anically diver July 2021. Ti Eview. This v	he			
		Blended Rationale: Item 2 A vote FOR the re-exconcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * Thas provided a commitment to achieve ethnic cunder review. Items 3-14 A vote FOR these Directions.	Chair, Jean-Fra ed that the Boa he non-complia diversity, follow	ancois van Bo ard does not ance is a reso ving the reco	oxmeer is co comprise at ult of a NED ommendation	nsidered responsible responsible resignation of the Parke	ponsible for ind hnically diverse in July 2021. T er Review. This	corporating e Director on the Company s will be kept	,			
5		Elect Stephen Carter as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Conincorporating sufficient diversity on the Board. Director on the Board. The main reason for sup Company has provided a commitment to achieve be kept under review. Items 3-14 A vote FOR the Republic Republic Services.	nmittee Chair, It is highlighte pport is: * The ve ethnic divers these Directors	Jean-Franco d that the Bo non-complia sity, followin is warranted	is van Boxmo pard does no nnce is a resu g the recomi d as no signii	eer is conside t comprise a lt of a NED i mendation of ficant concer	ered responsib It least one eth resignation in f the Parker Re rns have been	ole for nically diver July 2021. Th eview. This v identified.	he			
		Blended Rationale: Item 2 A vote FOR the re-ei- concern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * Ti has provided a commitment to achieve ethnic of under review. Items 3-14 A vote FOR these Dir	Chair, Jean-Fra ed that the Boa he non-complia diversity, follow	ancois van Bo ard does not ance is a reso ving the reco	oxmeer is co comprise at ult of a NED ommendation	nsidered respleast one ethe resignation of the Parke	ponsible for ind hnically diverse in July 2021. T er Review. This	corporating e Director on the Company s will be kept	,			
6		Re-elect Sir Crispin Davis as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Conincorporating sufficient diversity on the Board. Director on the Board. The main reason for sup Company has provided a commitment to achieve be kept under review. Items 3-14 A vote FOR to	nmittee Chair, It is highlighte oport is: * The ve ethnic diver:	Jean-Franco d that the Bo non-complia sity, followin	is van Boxmo pard does no nnce is a resu g the recomi	eer is conside t comprise a elt of a NED e mendation o	ered responsib at least one eth resignation in . f the Parker Re	ole for Anically diver July 2021. Ti Eview. This v	he			
		Blended Rationale: Item 2 A vote FOR the re-exconcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * The has provided a commitment to achieve ethnic counder review. Items 3-14 A vote FOR these Directions.	Chair, Jean-Fra ed that the Boa he non-complia diversity, follow	ancois van Bo ard does not ance is a reso ving the reco	oxmeer is co comprise at ult of a NED ommendation	nsidered responsible responsible resignation of the Parke	ponsible for ind hnically diverse in July 2021. T er Review. This	corporating e Director on the Company s will be kept	•			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
7		Re-elect Michel Demare as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Con incorporating sufficient diversity on the Board. Director on the Board. The main reason for sup Company has provided a commitment to achieve be kept under review. Items 3-14 A vote FOR to	nmittee Chair, It is highlighte oport is: * The ve ethnic diver.	Jean-Franco d that the Bo non-complia sity, followin	is van Boxmo pard does no nnce is a resu g the recomi	eer is consid t comprise a Ilt of a NED i mendation o	lered responsib at least one eth resignation in a f the Parker Re	ole for Anically diver July 2021. Ti Eview. This v	he			
		Blended Rationale: Item 2 A vote FOR the re-exconcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * Thas provided a commitment to achieve ethnic cunder review. Items 3-14 A vote FOR these Directions.	Chair, Jean-Fra ed that the Boa he non-complia diversity, follow	ancois van Bard does not ance is a resouing the reco	oxmeer is co comprise at ult of a NED ommendation	nsidered responsible ast one ether the signation of the Parke	ponsible for in hnically diverse in July 2021. T er Review. This	corporating e Director on the Company s will be kept	,			
8		Elect Delphine Ernotte Cunci as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Con incorporating sufficient diversity on the Board. Director on the Board The main reason for sup Company has provided a commitment to achieve be kept under review. Items 3-14 A vote FOR to	nmittee Chair, It is highlighte oport is: * The ve ethnic diver:	Jean-Franco d that the Bo non-complia sity, followin	is van Boxmo pard does no nnce is a resu g the recomi	eer is consid t comprise a Ilt of a NED i mendation o	lered responsib at least one eth resignation in . If the Parker Re	ole for Anically diver July 2021. Ti Eview. This v	he			
		Blended Rationale: Item 2 A vote FOR the re-exconcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * Thas provided a commitment to achieve ethnic cunder review. Items 3-14 A vote FOR these Directions.	Chair, Jean-Fra ed that the Boa he non-complia diversity, follow	ancois van Bo ard does not ance is a reso ving the reco	oxmeer is co comprise at ult of a NED ommendation	nsidered res least one eti resignation i of the Parke	ponsible for in hnically diverse in July 2021. T er Review. This	corporating e Director on the Company s will be kept	,			
9		Re-elect Dame Clara Furse as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Conincorporating sufficient diversity on the Board. Director on the Board. The main reason for sup Company has provided a commitment to achieve be kept under review. Items 3-14 A vote FOR to Blended Rationale: Item 2 A vote FOR the re-ex-	nmittee Chair, It is highlighte pport is: * The ve ethnic divers these Directors	Jean-Franco d that the Bo non-complia sity, followin is warranted	is van Boxmo pard does no nnce is a resu g the recomi d as no signii	eer is consid t comprise a Ilt of a NED I mendation o ficant concer	lered responsib Int least one eth Interesignation in 1 Interesignation in 1 Interesi	ole for nically diver July 2021. Th eview. This v identified.	he			
		concern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * To has provided a commitment to achieve ethnic of under review. Items 3-14 A vote FOR these Directions.	ed that the Boa he non-complia diversity, follow	ard does not ance is a reso ving the reco	comprise at ult of a NED mmendation	least one eti resignation i of the Parke	hnically diverse in July 2021. T er Review. This	e Director on the Company s will be kept	,			
10		Re-elect Valerie Gooding as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Conincorporating sufficient diversity on the Board. Director on the Board. The main reason for sup Company has provided a commitment to achieve be kept under review. Items 3-14 A vote FOR to	nmittee Chair, It is highlighte oport is: * The we ethnic divers these Directors	Jean-Franco d that the Bo non-complia sity, followin is warranted	is van Boxmo pard does no nnce is a resu g the recomi d as no signii	eer is consid t comprise a Ilt of a NED I mendation o ficant concer	lered responsib Int least one eth Interesting in 1 Interest of the Parker Re Interest of the Parker Re Interest of the Interest of the In	ole for nically diver July 2021. Th eview. This v identified.	he			
		Blended Rationale: Item 2 A vote FOR the re-exconcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * The has provided a commitment to achieve ethnic counder review. Items 3-14 A vote FOR these Directions.	Chair, Jean-Fra ed that the Boa he non-complia diversity, follow	ancois van Bo ard does not ance is a reso ving the reco	oxmeer is co comprise at ult of a NED ommendation	nsidered responsible ast one ether the resignation of the Parke	ponsible for in hnically diverse in July 2021. T er Review. This	corporating e Director on the Company s will be kept	,			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
11		Elect Deborah Kerr as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Cor incorporating sufficient diversity on the Board. Director on the Board. The main reason for sufficient has provided a commitment to achie be kept under review. Items 3-14 A vote FOR the sufficient of the sufficient sufficient of the sufficient suffi	mmittee Chair, It is highlighte oport is: * The ve ethnic diver	Jean-France of that the B non-complic rsity, followin	ois van Boxi Poard does r ance is a re ng the recor	meer is consion not comprise of sult of a NED nomendation of	dered responsi at least one et resignation in of the Parker R	ible for hnically dive July 2021. T Review. This	The			
		Blended Rationale: Item 2 A vote FOR the re-econcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * Thas provided a commitment to achieve ethnic under review. Items 3-14 A vote FOR these Directions.	Chair, Jean-Fr ed that the Boo The non-compli diversity, follow	ancois van E ard does not ance is a res wing the reco	Boxmeer is comprise a Sult of a NEI Commendation	considered res et least one et D resignation on of the Park	sponsible for in thnically divers in July 2021. ker Review. Th	ncorporating se Director of The Compan sis will be kep	n Y			
12		Re-elect Maria Amparo Moraleda Martinez as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Corincorporating sufficient diversity on the Board. Director on the Board. The main reason for sufficient has provided a commitment to achie be kept under review. Items 3-14 A vote FOR the without the sufficiency of the sufficiency of the sufficiency of the sufficient sufficiency.	mmittee Chair, It is highlighte oport is: * The ve ethnic divel	Jean-France and that the B non-compliants and resity, following	ois van Boxi Poard does r ance is a re ng the recor	meer is consion not comprise of sult of a NED nomendation of	dered responsi at least one et resignation in of the Parker R	ible for thnically dive July 2021. T Review. This	The		-	
		Blended Rationale: Item 2 A vote FOR the re-econcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * Thas provided a commitment to achieve ethnic cunder review. Items 3-14 A vote FOR these Directions.	Chair, Jean-Fr ed that the Boo The non-compli diversity, follov	ancois van E ard does not ance is a res wing the reco	Boxmeer is of comprise a Sult of a NEI Commendation	considered res It least one et D resignation In of the Park	sponsible for ii thnically divers in July 2021. ker Review. Th	ncorporating se Director of The Compan sis will be kep	n Y			
13		Re-elect David Nish as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Cor incorporating sufficient diversity on the Board. Director on the Board. The main reason for sup Company has provided a commitment to achie be kept under review. Items 3-14 A vote FOR I	mmittee Chair, It is highlighte oport is: * The ve ethnic divel	Jean-France and that the B non-compli rsity, followin	ois van Boxi Poard does r ance is a re ng the recor	meer is consion not comprise of sult of a NED nomendation of	dered responsi at least one et resignation in of the Parker R	ible for thnically dive July 2021. T Review. This	The			
		Blended Rationale: Item 2 A vote FOR the re-econcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * The has provided a commitment to achieve ethnic cunder review. Items 3-14 A vote FOR these Directions.	Chair, Jean-Fr ed that the Boo The non-compli diversity, follov	ancois van E ard does not ance is a res ving the reco	Boxmeer is of comprise a sult of a NEI commendation	considered res et least one et D resignation on of the Park	sponsible for ii thnically divers in July 2021. ker Review. Th	ncorporating se Director of The Compan sis will be kep	n Y			
14		Elect Simon Segars as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR the without concern because: * As Nomination Corincorporating sufficient diversity on the Board. Director on the Board. The main reason for sufficient has provided a commitment to achie be kept under review. Items 3-14 A vote FOR the without the sufficiency of the sufficiency of the sufficient sufficiency.	mmittee Chair, It is highlighte oport is: * The ve ethnic divel	Jean-France and that the B non-compliants and resity, following	ois van Boxi Poard does r ance is a re ng the recor	meer is consion not comprise of sult of a NED nomendation of	dered responsi at least one et resignation in of the Parker R	ible for hnically dive July 2021. T Review. This	The			
		Blended Rationale: Item 2 A vote FOR the re-econcern because: * As Nomination Committee sufficient diversity on the Board. It is highlighte the Board. The main reason for support is: * Thas provided a commitment to achieve ethnic cunder review. Items 3-14 A vote FOR these Directions.	Chair, Jean-Fr ed that the Boo The non-compli diversity, follow	ancois van E ard does not ance is a res wing the reco	Boxmeer is comprise a Sult of a NEI Commendation	considered res It least one et D resignation In of the Park	sponsible for in thnically divers in July 2021. ker Review. Th	ncorporating se Director of The Compan sis will be kep	n Y			
15		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
16		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
17		Reappoint Ernst & Young LLP Auditors	as	Mgmt	Yes	For	For		For	For	No	No	No
18		Authorise the Audit and Risk Committee to Fix Remuneration Auditors	on of	Mgmt	Yes	For	For		For	For	No	No	No
19		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	olutions is warra	anted becau	se the prop	osed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because th	e proposed	amounts and	durations are	within				
20		Authorise Issue of Equity with	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	olutions is warr	anted becaus	se the prop	osed amounts	and durations	are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because th	e proposed	amounts and	durations are	within			-	
21		Authorise Issue of Equity with Pre-emptive Rights in Connect an Acquisition or Other Capita Investment	ion with	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	olutions is warr	anted becaus	se the prop	osed amounts	and durations	are within			-	
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because th	e proposed	amounts and	durations are	within				
22		Authorise Market Purchase of Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
23		Authorise UK Political Donation Expenditure	ns and	Mgmt	Yes	For	For		For	For	No	No	No
24		Authorise the Company to Call Meeting with Two Weeks' Noti		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutional (IA Name, IA	l Account Detail A Number)	Custodian Account Number	Ballot Status	Instructe	d	Approv	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PIC	C_RTRI	282301.001	Confirmed	jbisset		jbisset				1,325,0	000	1,325	,000
				07/18/2022	2	07/18/2	022						
									Total Shares	1,325,0	000	1,325	,000

#### **Meeting Results**

Outstanding Shares Voted: 27,975,521,625 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
1	Υ	Pass	No	For	0.0%	19,485,490,846	100.0%	8,455,671	0.0%	51,088,718	N/A	Poll	F+A
2	Υ	Pass	No	For	10.9%	17,210,770,653	89.1%	2,095,993,263	10.9%	238,192,481	N/A	Poll	F+A
3	Υ	Pass	No	For	0.3%	19,447,795,495	99.7%	54,912,616	0.3%	42,224,951	N/A	Poll	F+A
4	Υ	Pass	No	For	0.4%	19,431,003,165	99.6%	72,013,091	0.4%	41,924,736	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
5	Y	Pass	No	For	0.3%	19,452,464,590	99.7%	48,781,664	0.3%	43,710,312	N/A	Poll	F+A
6	Υ	Pass	No	For	3.1%	18,894,436,667	96.9%	607,069,943	3.1%	43,460,905	N/A	Poll	F+A
7	Υ	Pass	No	For	3.0%	18,917,342,070	97.0%	584,807,446	3.0%	42,866,619	N/A	Poll	F+A
8	Υ	Pass	No	For	0.3%	19,438,367,304	99.7%	64,008,647	0.3%	42,634,408	N/A	Poll	F+A
9	Y	Pass	No	For	0.4%	19,415,658,222	99.6%	86,175,644	0.4%	43,132,354	N/A	Poll	F+A
10	Υ	Pass	No	For	3.0%	18,914,896,189	97.0%	586,834,669	3.0%	43,254,507	N/A	Poll	F+A
11	Υ	Pass	No	For	0.2%	19,452,796,768	99.8%	48,291,211	0.2%	43,891,939	N/A	Poll	F+A
12	Υ	Pass	No	For	3.3%	18,848,895,461	96.7%	652,928,314	3.3%	43,184,656	N/A	Poll	F+A
13	Υ	Pass	No	For	0.3%	19,450,797,473	99.7%	50,469,496	0.3%	43,709,200	N/A	Poll	F+A
14	Y	Pass	No	For	0.2%	19,453,708,826	99.8%	45,139,651	0.2%	46,112,414	N/A	Poll	F+A
15	Υ	Pass	No	For	0.3%	19,457,795,003	99.7%	51,447,712	0.3%	35,760,312	N/A	Poll	F+A
16	Υ	Pass	No	For	2.1%	19,086,924,682	97.9%	409,978,557	2.1%	47,875,529	N/A	Poll	F+A
17	Υ	Pass	No	For	0.7%	19,379,132,140	99.3%	128,384,121	0.7%	37,394,082	N/A	Poll	F+A
18	Υ	Pass	No	For	0.1%	19,490,192,112	99.9%	13,950,137	0.1%	40,760,118	N/A	Poll	F+A
19	Υ	Pass	No	For	5.3%	18,474,217,163	94.7%	1,031,644,171	5.3%	39,092,919	N/A	Poll	F+A
20	Υ	Pass	No	For	2.2%	19,007,099,920	97.8%	426,191,195	2.2%	111,673,329	N/A	Poll	F+A
21	Υ	Pass	No	For	3.6%	18,739,915,910	96.4%	693,669,490	3.6%	111,360,006	N/A	Poll	F+A
22	Υ	Pass	No	For	1.1%	19,272,281,803	98.9%	213,963,920	1.1%	58,753,588	N/A	Poll	F+A
23	Υ	Pass	No	For	1.8%	18,917,554,866	98.2%	344,758,424	1.8%	282,628,828	N/A	Poll	F+A
24	Y	Pass	No	For	5.2%	18,483,731,105	94.8%	1,004,855,614	5.2%	56,331,018	N/A	Poll	F+A

### **NatWest Group Plc**

**Meeting Date:** 08/25/2022 **Record Date:** 08/23/2022

Country: United Kingdom
Meeting Type: Special

Ticker: NWG Meeting ID: 1675733 Proxy Level: N/A

Primary Security ID: G6422B105

Primary CUSIP: G6422B105

Total Ballots: 1

Primary ISIN: GB00B7T77214

Primary SEDOL: B7T7721

**Earliest Cutoff Date:** 08/22/2022 **Votable Shares:** 6,220,139

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 6,220,139

Additional Policy:
Shares Voted: 6,220,139

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
		This is a Duplicate Meeting for Provider Ballots Received	Mgmt	No								
		General Meeting	Mgmt	No								
1		Approve Special Dividend	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Share Consolidation and Share Sub-Division	Mgmt	Yes	For	For		For	For	No	No	No

### **NatWest Group Plc**

Proposal Significant Number Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
3	Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warr	anted becau	se the propo	sed amounts	s and durations	s are within				
	Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because th	e proposed	amounts and	durations are	within				
4	Authorise Issue of Equity with Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warr	anted becau	se the propo	sed amounts	s and durations	s are within				
	Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because th	e proposed	amounts and	durations are	within				
5	Authorise Issue of Equity with Pre-emptive Rights in Connect an Acquisition or Other Capital Investment	ion with	Mgmt	Yes	For	For		For	For	No	No	No
	Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warr	anted becau	se the propo	sed amounts	s and durations	s are within				
	Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	d because th	e proposed	amounts and	durations are	within				
6	Authorise Market Purchase of Ordinary Shares	New	Mgmt	Yes	For	For		For	For	No	No	No
7	Approve Amendments to Direct Buyback Contract	ted	Mgmt	Yes	For	For		For	For	No	No	No
8	Adopt New Articles of Associat	ion	Mgmt	Yes	For	For		For	For	No	No	No
	Class Meeting		Mgmt	No								
1	Sanction and Consent to Every Variation, Alteration, Modificat Abrogation of the Special Righ Attached to the Ordinary Share	ion or ts	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Details												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructe	d	Approve	d	Ballot Voting	Status	Votable S	hares	Shares	Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset		jbisset				6,220,1	139	6,220	),139
			08/17/2022	2	08/17/20	22						

### Meeting Results

Outstanding Shares Voted: 41,626,490,976 Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
	N												
	N												
1	Y	Pass	No	For	0.0%	36,209,296,300	100.0%	10,536,148	0.0%	6,183,972	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	36,201,277,916	100.0%	15,660,540	0.0%	9,082,844	N/A	Poll	F+A
3	Y	Pass	No	For	1.3%	35,728,504,680	98.7%	465,777,892	1.3%	31,734,784	N/A	Poll	F+A

Total Shares:

6,220,139

6,220,139

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
4	Υ	Pass	No	For	0.2%	36,111,277,848	99.8%	77,344,416	0.2%	37,398,940	N/A	Poll	F+A
5	Υ	Pass	No	For	1.0%	35,809,544,788	99.0%	379,016,652	1.0%	37,461,764	N/A	Poll	F+A
6	Υ	Pass	No	For	0.9%	35,858,481,452	99.1%	336,870,964	0.9%	30,661,996	N/A	Poll	F+A
7	Y	Pass	No	For	1.9%	16,000,683,252	98.1%	311,343,376	1.9%	30,193,804	N/A	Poll	F+A
8	Υ	Pass	No	For	0.0%	36,182,531,476	100.0%	11,613,316	0.0%	31,876,412	N/A	Poll	F+A
	N												
1	Υ	Pass	No	For	0.0%	36,213,293,412	100.0%	12,482,572	0.0%	47,610,548	N/A	Poll	F+A

#### **Jet2 Plc**

Meeting Date: 09/01/2022Country: United KingdomTicker: JET2Proxy Level: N/ARecord Date: 08/30/2022Meeting Type: AnnualMeeting ID: 1669297Primary Security ID: G5112P101Primary CUSIP: G5112P101Primary ISIN: GB00B1722W11Primary SEDOL: B1722W1

Earliest Cutoff Date: 08/29/2022Total Ballots: 1Voting Policy: ISSAdditional Policy:Votable Shares: 917,321\*Shares on Loan: 0Shares Instructed: 917,321Shares Voted: 917,321

vocable 51	141 (51 )17,521	Shares on Loans o			Silui CS II	istracted: 517	,,521		Shares voted	11 317,321		
Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST this independent representation on the Board; * under the Share Reward Plan vest subject to	The Executive C	Chair sits on t	the Remune	-						
		Blended Rationale: A vote AGAINST this resc independent representation on the Board; * under the Share Reward Plan vest subject to	The Executive C	Chair sits on t	the Remune	-			ed			
2		Re-elect Gary Brown as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: Item 2 A vote FOR to been identified. Item 3 A vote AGAINST the issue has been identified and he sits on the does not adhere to UK best practice recomm Blended Rationale: Item 2 A vote FOR the re- identified. Item 3 A vote AGAINST the re-ele- been identified and he sits on the Audit and adhere to UK best practice recommendation.	re-election of M. Audit and Remu nendations for a e-election of Gar, ection of Mark La Remuneration C	ark Laurence neration Con company of y Brown is w nurence is wa Committees, a	is warrante nmittees, an this size. arranted be arranted bed	ed because: *  Ind the composition  Indicates th	* A potential in sition of these mificant concer otential indepel	dependence Committees Ins have bee Indence issue	n • has		-	
3		Re-elect Mark Laurence as Director	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: Item 2 A vote FOR the been identified. Item 3 A vote AGAINST the issue has been identified and he sits on the does not adhere to UK best practice recomm Blended Rationale: Item 2 A vote FOR the reidentified. Item 3 A vote AGAINST the re-elected sidentified.	re-election of Ma Audit and Remun nendations for a e-election of Gar	ark Laurence neration Con company of y Brown is w	is warrante nmittees, an this size. arranted be	ed because: *  od the compo	* A potential in sition of these mificant concer	dependence Committees Ins have bee	n		-	
		been identified and he sits on the Audit and adhere to UK best practice recommendation.			and the com	position of ti	hese Committe	es does not				
4		Reappoint KPMG LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No
5		Authorise Board to Fix Remuneration of Auditors	Mgmt	Yes	For	For		For	For	No	No	No

### **Jet2 Plc**

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
6		Authorise Issue of Equity	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolute recommended limits.	ıtions is warraı	nted because	e the propos	ed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolutions recommended limits.	s is warranted	because the	proposed ai	mounts and o	durations are v	vithin				
7		Authorise Issue of Equity without Pre-emptive Rights	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolute recommended limits.	ıtions is warraı	nted because	e the propos	ed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolutions recommended limits.	s is warranted	because the	proposed ar	mounts and o	durations are v	vithin				
8		Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these resolute recommended limits.	itions is warrai	nted because	e the propos	ed amounts	and durations	are within				
		Blended Rationale: A vote FOR these resolutions recommended limits.	s is warranted	because the	proposed ar	mounts and o	durations are v	vithin				

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		917,321	917,321
			08/22/2022	08/22/2022			
					Total Shares:	917,321	917,321

#### **Meeting Results**

Outstanding Shares Voted:

Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	7.1%	153,733,922	92.9%	11,744,978	7.1%	1,858,387	N/A	Poll	F+A
2	Υ	Pass	No	For	5.1%	158,836,534	94.9%	8,493,736	5.1%	7,017	N/A	Poll	F+A
3	Υ	Pass	No	For	16.7%	138,160,216	83.3%	27,788,327	16.7%	1,388,744	N/A	Poll	F+A
4	Υ	Pass	No	For	0.7%	162,378,931	99.3%	1,218,243	0.7%	3,740,113	N/A	Poll	F+A
5	Υ	Pass	No	For	0.1%	167,218,038	99.9%	108,086	0.1%	11,163	N/A	Poll	F+A
6	Υ	Pass	No	For	0.6%	165,275,627	99.4%	948,062	0.6%	1,113,598	N/A	Poll	F+A
7	Υ	Pass	No	For	0.8%	165,917,627	99.2%	1,406,053	0.8%	13,207	N/A	Poll	F+A
8	Υ	Pass	No	For	1.8%	164,327,696	98.2%	2,995,984	1.8%	13,207	N/A	Poll	F+A

### **Alibaba Group Holding Limited**

Meeting Date: 09/30/2022

**Record Date:** 08/03/2022 Primary Security ID: G01719114

Earliest Cutoff Date: 09/19/2022

Votable Shares: 420,000

Country: Cayman Islands

Total Ballots: 1

\*Shares on Loan: 0

Meeting Type: Annual

Primary CUSIP: G01719114

Ticker: 9988

Voting Policy: ISS

**Meeting ID:** 1675645

Shares Instructed: 420,000

Primary ISIN: KYG017191142

Proxy Level: N/A

Primary SEDOL: BK6YZP5

**Additional Policy:** 

420,000

420,000

Total Shares:

420,000

420,000

Shares Voted: 420,000

Proposal Significant Number Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
	Meeting for ADR Holders	Mgmt	No									
1.1	Elect Director Daniel Yong Zhang	Mgmt	Yes	For	For		For	For	No	No	No	
	Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.									
	Blended Rationale: A vote FOR the director no	ominees is warr	anted.									
1.2	Elect Director Jerry Yang	Mgmt	Yes	For	For		For	For	No	No	No	
	Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.									
	Blended Rationale: A vote FOR the director no	ominees is warr	anted.									
1.3	Elect Director Wan Ling Martello	Mgmt	Yes	For	For		For	For	No	No	No	
	Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.									
	Blended Rationale: A vote FOR the director nominees is warranted.											
1.4	Elect Director Weijian Shan	Mgmt	Yes	For	For		For	For	No	No	No	
	Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.									
	Blended Rationale: A vote FOR the director no	ominees is warr	anted.									
1.5	Elect Director Irene Yun-Lien Lee	Mgmt	Yes	For	For		For	For	No	No	No	
	Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.									
	Blended Rationale: A vote FOR the director no	ominees is warr	anted.									
1.6	Elect Director Albert Kong Ping Ng	Mgmt	Yes	For	For		For	For	No	No	No	
	Voting Policy Rationale: A vote FOR the director nominees is warranted.											
	Blended Rationale: A vote FOR the director no	ominees is warr	anted.									
2	Ratify PricewaterhouseCoopers as Auditors	Mgmt	Yes	For	For		For	For	No	No	No	
<b>Ballot Details</b>												
Institutional Account Detail (IA Name, IA Number)	Custodian Account Number Ballot Status	Instructe	d	Approve	ed	Ballot Voting	Status	Votable S	hares	Shares	Voted	

#### **Meeting Results**

PIC\_RTRI, PIC\_RTRI

Outstanding Shares Voted: 21,180,285,568

282301.001

Results Available: Full

jbisset

09/20/2022

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base

jbisset

09/20/2022

Confirmed

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	6.3%	16,223,700,067	93.7%	1,098,039,884	6.3%	106,377,026	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	4.8%	16,556,746,298	95.2%	841,701,514	4.8%	29,669,165	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	0.7%	17,291,056,080	99.3%	115,476,424	0.7%	21,584,473	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	0.2%	17,366,931,459	99.8%	39,286,945	0.2%	21,898,573	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	6.3%	16,310,951,766	93.7%	1,095,682,694	6.3%	21,482,517	N/A	Proxy	F+A
1.6	Y	Pass	No	For	1.8%	17,092,193,371	98.2%	314,303,693	1.8%	21,619,913	N/A	Proxy	F+A
2	Υ	Pass	No	For	5.9%	16,376,015,999	94.1%	1,028,764,757	5.9%	23,336,221	N/A	Proxy	F+A

### **Ashmore Group Plc**

**Meeting Date:** 10/14/2022 **Record Date:** 10/12/2022

Earliest Cutoff Date: 10/11/2022

Votable Shares: 2,646,670

Record Date: 10/12/2022 Primary Security ID: G0609C101 **Country:** United Kingdom **Meeting Type:** Annual

Primary CUSIP: G0609C101

\*Shares on Loan: 0

Ticker: ASHM

Meeting ID: 1684288

Primary ISIN: GB00B132NW22

Shares Instructed: 2,646,670

Voting Policy: ISS Additional Policy:

**Shares Voted:** 2,646,670

Primary SEDOL: B132NW2

Proxy Level: N/A

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Accept Financial Statements and Statutory Reports	Mgmt	Yes	For	For		For	For	No	No	No
2		Approve Final Dividend	Mgmt	Yes	For	For		For	For	No	No	No
3		Re-elect Mark Coombs as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these D	irectors is warrai	nted as no si	ignificant co	ncerns have	been identified	<i>'.</i>			-	
		Blended Rationale: A vote FOR these Director	ors is warranted	as no signific	cant concer	ns have been	identified.				-	
4		Re-elect Tom Shippey as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these D	irectors is warrai	nted as no si	ignificant co	ncerns have	been identified	<i>'.</i>				
		Blended Rationale: A vote FOR these Director	ors is warranted	as no signific	cant concer	ns have been	identified.				-	
5		Re-elect Clive Adamson as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these D	irectors is warrai	nted as no si	ignificant co	ncerns have	been identified	<i>'.</i>				
		Blended Rationale: A vote FOR these Director	ors is warranted	as no signific	cant concer	ns have been	identified.				-	
6		Re-elect Helen Beck as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these D	irectors is warrai	nted as no si	ignificant co	ncerns have	been identified	<i>l.</i>				
		Blended Rationale: A vote FOR these Director	ors is warranted	as no signific	cant concer	ns have been	identified.					
7		Re-elect Jennifer Bingham as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these D	irectors is warrai	nted as no si	ignificant co	ncerns have	been identified	1.				
		Blended Rationale: A vote FOR these Director	ors is warranted	as no signific	cant concer	ns have been	identified.					
8		Elect Shirley Garrood as Director	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote FOR these D	irectors is warrai	nted as no si	ignificant co	ncerns have	been identified	<i>l.</i>				
		Blended Rationale: A vote FOR these Director	ors is warranted	as no signific	cant concer	ns have been	identified.					
9		Approve Remuneration Report	Mgmt	Yes	For	For		For	For	No	No	No

# **Ashmore Group Plc**

Proposal Number	Significant Vote	Proposal Text		Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
10		Reappoint KPMG LLP as Audito	rs	Mgmt	Yes	For	For		For	For	No	No	No
11		Authorise the Audit and Risk Committee to Fix Remuneration Auditors	n of	Mgmt	Yes	For	For		For	For	No	No	No
12		Authorise UK Political Donation Expenditure	s and	Mgmt	Yes	For	For		For	For	No	No	No
13		Authorise Issue of Equity		Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	because the	e proposed a	amounts and	durations are	within				
14		Authorise Issue of Equity without Pre-emptive Rights	out	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: A vote recommended limits.											
		Blended Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.											
15		recommended limits.  Authorise Issue of Equity without Mgmt Yes For For For No Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment									No	No	
		Voting Policy Rationale: A vote recommended limits.	FOR these reso	lutions is warra	anted becaus	se the propo	sed amounts	and durations	s are within				
		Blended Rationale: A vote FOR recommended limits.	these resolution	ns is warranted	l because the	e proposed a	amounts and	durations are	within				
16		Authorise Market Purchase of C Shares	Ordinary	Mgmt	Yes	For	For		For	For	No	No	No
17		Approve Waiver on Rule 9 of the Takeover Code	ne	Mgmt	Yes	For	For		For	For	No	No	No
18		Authorise the Company to Call Meeting with Two Weeks' Notice		Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails												
Institutional (IA Name, IA	l Account Detail A Number)	Custodian Account Number	Ballot Status	Instructed	ı	Approve	d	Ballot Voting	Status	Votable SI	nares	Shares '	Voted
PIC_RTRI, PIC	C_RTRI	282301.001	Confirmed	jbisset		jbisset				2,646,6	70	2,646	,670
				10/04/2022	!	10/04/202	22						
		Total Shares: 2,646,670										2,646	,670

#### **Meeting Results**

Outstanding Shares Voted: Results Available: Partial

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	0.1%	591,870,242	99.9%	818,275	0.1%	1,437,438	N/A	Poll	F+A
2	Υ	Pass	No	For	0.0%	594,121,795	100.0%	280	0.0%	3,880	N/A	Poll	F+A
3	Υ	Pass	No	For	0.7%	589,837,002	99.3%	4,279,260	0.7%	9,693	N/A	Poll	F+A
4	Υ	Pass	No	For	0.7%	589.689.895	99.3%	4.426.367	0.7%	9.693	N/A	Poll	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
5	Υ	Pass	No	For	6.2%	554,974,977	93.8%	36,759,902	6.2%	2,391,076	N/A	Poll	F+A
6	Υ	Pass	No	For	9.5%	537,747,843	90.5%	56,368,418	9.5%	9,694	N/A	Poll	F+A
7	Y	Pass	No	For	5.3%	562,538,281	94.7%	31,577,981	5.3%	9,693	N/A	Poll	F+A
8	Y	Pass	No	For	0.0%	593,970,078	100.0%	146,184	0.0%	9,693	N/A	Poll	F+A
9	Y	Pass	No	For	22.0%	433,517,825	78.0%	122,140,125	22.0%	38,468,005	N/A	Poll	F+A
10	Y	Pass	No	For	13.2%	515,433,165	86.8%	78,688,909	13.2%	3,881	N/A	Poll	F+A
11	Y	Pass	No	For	0.0%	593,939,149	100.0%	179,349	0.0%	7,457	N/A	Poll	F+A
12	Y	Pass	No	For	0.2%	586,709,880	99.8%	922,456	0.2%	6,493,619	N/A	Poll	F+A
13	Y	Pass	No	For	0.4%	591,975,037	99.6%	2,143,461	0.4%	7,457	N/A	Poll	F+A
14	Y	Pass	No	For	0.0%	594,079,265	100.0%	40,410	0.0%	6,280	N/A	Poll	F+A
15	Υ	Pass	No	For	2.8%	577,443,567	97.2%	16,676,108	2.8%	6,280	N/A	Poll	F+A
16	Y	Pass	No	For	1.8%	583,438,652	98.2%	10,616,931	1.8%	70,372	N/A	Poll	F+A
17	Υ	Pass	No	For	4.9%	337,066,726	95.1%	17,526,341	4.9%	17,469,274	N/A	Poll	F+A
18	Υ	Pass	No	For	2.0%	582,071,421	98.0%	12,045,137	2.0%	9,397	N/A	Poll	F+A

Meeting Date: 11/03/2022

**Record Date:** 09/09/2022

Primary Security ID: 222070203

Country: USA

Meeting Type: Annual

Primary CUSIP: 222070203

Ticker: COTY

Meeting ID: 1686579

Primary ISIN: US2220702037

Proxy Level: 3

Primary SEDOL: BBBSMJ2

Earliest Cutoff Date: 11/02/2022

Votable Shares: 1.622.400

Total Ballots: 1

\*Shares on Loan: 0

Voting Policy: ISS

Shares Instructed: 1,622,400

**Additional Policy:** 

Shares Voted: 1,622,400

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1.1		Elect Director Beatrice Ballini	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes	
		Voting Policy Rationale: WITHHOLD votes are warranted for Johannes Huth for failing to attend at least 75 percent of his total board and committee meetings held during the fiscal year under review without an acceptable reason for the absences.  WITHHOLD votes are warranted for incumbent compensation committee members Maria Aramburuzabala Larregui, Beatrice Ballini, Anna Makanju, and Erhard Schoewel, due to persistent compensation-related concerns demonstrating poor stewardship											

Blended Rationale: WITHHOLD votes are warranted for Johannes Huth for failing to attend at least 75 percent of his total board and committee meetings held during the fiscal year under review without an acceptable reason for the absences. WITHHOLD votes are warranted for incumbent compensation committee members Maria Aramburuzabala Larregui, Beatrice Ballini, Anna Makanju, and Erhard Schoewel, due to persistent compensation-related concerns demonstrating poor stewardship of the pay

program. A vote FOR the remaining director nominees is warranted.

of the pay program. A vote FOR the remaining director nominees is warranted.

1.2 Elect Director Joachim Creus Mgmt

Yes

For

For

For

No

For

No

No

Voting Policy Rationale: WITHHOLD votes are warranted for Johannes Huth for failing to attend at least 75 percent of his total board and committee meetings held during the fiscal year under review without an acceptable reason for the absences. WITHHOLD votes are warranted for incumbent compensation committee members Maria Aramburuzabala Larregui, Beatrice Ballini, Anna Makanju, and Erhard Schoewel, due to persistent compensation-related concerns demonstrating poor stewardship of the pay program. A vote FOR the remaining director nominees is warranted.

Blended Rationale: WITHHOLD votes are warranted for Johannes Huth for failing to attend at least 75 percent of his total board and committee meetings held during the fiscal year under review without an acceptable reason for the absences. WITHHOLD votes are warranted for incumbent compensation committee members Maria Aramburuzabala Larrequi, Beatrice Ballini, Anna Makanju, and Erhard Schoewel, due to persistent compensation-related concerns demonstrating poor stewardship of the pay program. A vote FOR the remaining director nominees is warranted.

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.3		Elect Director Olivier Goudet	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are a board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, do of the pay program. A vote FOR the remaining	e fiscal year un t compensation ue to persisten	der review w n committee nt compensat	vithout an ac members Ma tion-related o	ceptable rea. oria Arambur	son for the abs ruzabala Larreg	sences. nui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under rev ion committee ent compensati	view without members Ma ion-related c	an acceptab aria Arambur	le reason foi uzabala Larr	r the absences. regui, Beatrice	. WITHHOLD Ballini, Anna				
1.4		Elect Director Peter Harf	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are to board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, do of the pay program. A vote FOR the remaining	e fiscal year un t compensation ue to persisten	der review w n committee nt compensat	vithout an ac members Ma tion-related o	ceptable rea. oria Arambur	son for the abs ruzabala Larreg	sences. nui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under rev ion committee ent compensati	view without members Ma ion-related c	an acceptab aria Arambur	le reason foi uzabala Larr	r the absences. regui, Beatrice	. WITHHOLD Ballini, Anna				
1.5		Elect Director Johannes P. Huth	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes
		Voting Policy Rationale: WITHHOLD votes are a board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, dof the pay program. A vote FOR the remaining	e fiscal year un t compensation ue to persisten	der review w n committee nt compensat	vithout an ac members Ma tion-related o	ceptable rea. oria Arambur	son for the abs ruzabala Larreg	sences. nui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under rev ion committee ent compensati	view without members Ma ion-related c	an acceptab Aria Arambur	le reason foi uzabala Larr	r the absences. regui, Beatrice	. WITHHOLD Ballini, Anna	)			
1.6		Elect Director Maria Ausuncion Aramburuzabala Larregui	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes
		Voting Policy Rationale: WITHHOLD votes are a board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, dof the pay program. A vote FOR the remaining	e fiscal year un t compensation ue to persisten	der review w n committee nt compensat	vithout an ac members Ma tion-related o	ceptable rea. oria Arambur	son for the abs ruzabala Larreg	sences. nui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under rev ion committee ent compensati	view without members Ma ion-related c	an acceptab Aria Arambur	le reason foi uzabala Larr	r the absences. regui, Beatrice	. WITHHOLD Ballini, Anna				
1.7		Elect Director Anna Adeola Makanju	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes
		Voting Policy Rationale: WITHHOLD votes are a board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, do of the pay program. A vote FOR the remaining	e fiscal year un t compensation ue to persisten	der review w n committee nt compensat	vithout an ac members Ma tion-related o	ceptable rea. oria Arambur	son for the abs ruzabala Larreg	sences. nui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under rev ion committee ent compensati	view without members Ma ion-related c	an acceptab aria Arambur	le reason foi uzabala Larr	r the absences regui, Beatrice	. WITHHOLD Ballini, Anna	)			

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1.8		Elect Director Sue Y. Nabi	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are a board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, do of the pay program. A vote FOR the remaining	e fiscal year un t compensation ue to persister	nder review v n committee nt compensa	vithout an a members M tion-related	cceptable rea laria Arambul	ason for the ab ruzabala Larre	sences. gui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under re ion committee ent compensat	view without members Ma ion-related c	t an accepta aria Arambu	ble reason fo Iruzabala Lari	or the absences regui, Beatrice	s. WITHHOLI Ballini, Anna	D 3			
1.9		Elect Director Isabelle Parize	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are we board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, do f the pay program. A vote FOR the remaining	e fiscal year un t compensation ue to persister	nder review v n committee nt compensa	vithout an a members M tion-related	cceptable rea Iaria Arambul	ason for the ab ruzabala Larre	sences. gui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under re ion committee ent compensat	view without members Ma ion-related c	t an accepta aria Arambu	ble reason fo Iruzabala Lari	or the absences regui, Beatrice	s. WITHHOLI Ballini, Anna	D 3			
1.10		Elect Director Erhard Schoewel	Mgmt	Yes	For	Withhold		Withhold	For	No	Yes	Yes
		Voting Policy Rationale: WITHHOLD votes are a board and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, do of the pay program. A vote FOR the remaining	e fiscal year un t compensation ue to persister	nder review v n committee nt compensa	vithout an a members M tion-related	cceptable rea Iaria Arambul	ason for the ab ruzabala Larre	sences. gui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under re ion committee ent compensat	view without members Ma ion-related c	t an accepta aria Arambu	ble reason fo Iruzabala Lari	or the absences regui, Beatrice	s. WITHHOLI Ballini, Anna	D 3			
1.11		Elect Director Robert Singer	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: WITHHOLD votes are used and committee meetings held during the WITHHOLD votes are warranted for incumbent Ballini, Anna Makanju, and Erhard Schoewel, dof the pay program. A vote FOR the remaining	e fiscal year un t compensation ue to persister	nder review v n committee nt compensa	vithout an a members M tion-related	cceptable rea laria Arambul	ason for the ab ruzabala Larre	sences. gui, Beatrice				
		Blended Rationale: WITHHOLD votes are warra and committee meetings held during the fiscal votes are warranted for incumbent compensati Makanju, and Erhard Schoewel, due to persiste program. A vote FOR the remaining director no	year under re ion committee ent compensat	view without members Ma ion-related c	t an accepta aria Arambu	ble reason fo Iruzabala Lari	or the absences regui, Beatrice	s. WITHHOLI Ballini, Anna	D 3			

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Policy Rec	Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Against ISS
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Pationale: A vote AGAINST t	hic proposal is war	ranted CEO	Nahi'e nav	declined for t	the vear in rev	iew concicti	na		*	

Voting Policy Rationale: A vote AGAINST this proposal is warranted. CEO Nabi's pay declined for the year in review, consisting only of base salary, following her \$280 million sign-on RSU award in the prior year. However, a review of the pay program reveals persistent concerning features. Specifically, NEO pay consisted primarily of base salary and equity awards that lack performance vesting conditions. Investors increasingly expect a meaningful portion of incentives to be tied to pre-set performance goals. Further, there are renewed concerns regarding high base salaries without a compelling rationale. Notably, these significant concerns for the year in review follow multiple years of problematic pay decisions at the company.

Blended Rationale: A vote AGAINST this proposal is warranted. CEO Nabi's pay declined for the year in review, consisting only of base salary, following her \$280 million sign-on RSU award in the prior year. However, a review of the pay program reveals persistent concerning features. Specifically, NEO pay consisted primarily of base salary and equity awards that lack performance vesting conditions. Investors increasingly expect a meaningful portion of incentives to be tied to pre-set performance goals. Further, there are renewed concerns regarding high base salaries without a compelling rationale. Notably, these significant concerns for the year in review follow multiple years of problematic pay decisions at the company.

Ratify Deloitte & Touche LLP as Mgmt Yes For For For For No No Auditors

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	dnicoll	dnicoll		1,622,400	1,622,400
			10/27/2022	10/27/2022	_		
					Total Shares:	1,622,400	1,622,400

#### **Meeting Results**

Outstanding Shares Voted: 872,925,305

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1.1	Y	Pass	No	For	20.0%	607,696,010	80.0%	151,763,817	20.0%	0	N/A	Proxy	F+A
1.2	Υ	Pass	No	For	1.0%	751,758,889	99.0%	7,700,938	1.0%	0	N/A	Proxy	F+A
1.3	Υ	Pass	No	For	3.7%	731,251,012	96.3%	28,208,815	3.7%	0	N/A	Proxy	F+A
1.4	Υ	Pass	No	For	1.2%	750,248,263	98.8%	9,211,564	1.2%	0	N/A	Proxy	F+A
1.5	Υ	Pass	No	For	20.6%	603,200,386	79.4%	156,259,441	20.6%	0	N/A	Proxy	F+A
1.6	Υ	Pass	No	For	15.8%	639,698,694	84.2%	119,761,133	15.8%	0	N/A	Proxy	F+A
1.7	Υ	Pass	No	For	15.6%	640,769,964	84.4%	118,689,863	15.6%	0	N/A	Proxy	F+A
1.8	Υ	Pass	No	For	1.0%	752,063,388	99.0%	7,396,439	1.0%	0	N/A	Proxy	F+A
1.9	Υ	Pass	No	For	0.6%	754,639,679	99.4%	4,820,148	0.6%	0	N/A	Proxy	F+A
1.10	Υ	Pass	No	For	19.5%	611,637,066	80.5%	147,822,761	19.5%	0	N/A	Proxy	F+A
1.11	Y	Pass	No	For	1.4%	748,963,487	98.6%	10,496,340	1.4%	0	N/A	Proxy	F+A
2	Υ	Pass	No	For	22.2%	590,354,580	77.8%	168,839,178	22.2%	266,069	N/A	Proxy	F+A
3	Υ	Pass	No	For	1.6%	790,316,084	98.4%	12,815,276	1.6%	184,796	N/A	Proxy	F+A

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

### **Coherent Corp.**

Meeting Date: 11/16/2022 **Record Date:** 09/15/2022

Primary Security ID: 19247G107

Country: USA

Meeting Type: Annual

Primary CUSIP: 19247G107

Ticker: COHR

**Meeting ID:** 1688648

Primary ISIN: US19247G1076

Proxy Level: 3

Primary SEDOL: BNG8Z81

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Earliest Cutoff Date: 11/15/2022 Votable Shares: 151,254 \*Shares on Loan: 0 Shares Instructed: 151,254 Shares Voted: 151,254

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS	
1a		Elect Director Enrico Digirolamo	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.									
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.									
1b		Elect Director David L. Motley	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the director nominees is warranted.											
		Blended Rationale: A vote FOR the director nominees is warranted.											
1c		Elect Director Shaker Sadasivam	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.									
		Blended Rationale: A vote FOR the director n	ominees is warr	anted.									
1d		Elect Director Lisa Neal-Graves	Mgmt	Yes	For	For		For	For	No	No	No	
		Voting Policy Rationale: A vote FOR the direct	tor nominees is	warranted.									
		Blended Rationale: A vote FOR the director nominees is warranted.											
2		Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Yes	For	For		For	For	No	No	No	
3		Ratify Ernst & Young LLP as Auditors	Mgmt	Yes	For	For		For	For	No	No	No	
Pallet Det	aile												

#### **Ballot Details**

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		151,254	151,254
			11/09/2022	11/09/2022			
					Total Shares:	151,254	151,254

#### **Meeting Results**

Outstanding Shares Voted: 164,500,790

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1a	Y	Pass	No	For	8.2%	120,372,972	91.8%	10,747,099	8.2%	384,485	N/A	Proxy	F+A
1b	Υ	Pass	No	For	2.5%	128,110,968	97.5%	3,311,630	2.5%	81,958	N/A	Proxy	F+A
1c	Υ	Pass	No	For	1.6%	129,346,741	98.4%	2,071,735	1.6%	86,080	N/A	Proxy	F+A
1d	Υ	Pass	No	For	0.9%	130,202,844	99.1%	1,233,657	0.9%	68,055	N/A	Proxy	F+A
2	Υ	Pass	No	For	4.6%	125,467,665	95.4%	5,874,006	4.5%	162,885	0.1%	Proxy	F+A+AB
3	Υ	Pass	No	For	1.2%	142,761,468	98.8%	1,652,091	1.1%	106,354	0.1%	Proxy	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

#### **Yara International ASA**

Meeting Date: 12/06/2022 **Record Date:** 12/05/2022

Country: Norway Meeting Type: Extraordinary

Shareholders

Primary CUSIP: R9900C106

Ticker: YAR

**Meeting ID:** 1696586

Primary SEDOL: 7751259

Earliest Cutoff Date: 11/24/2022

Primary Security ID: R9900C106

Primary ISIN: NO0010208051

Votable Shares: 670,000

**Total Ballots:** 1 \*Shares on Loan: 0 Voting Policy: ISS Shares Instructed: 670,000 **Additional Policy:** Shares Voted: 670,000

Proxy Level: N/A

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Notice of Meeting and Agenda	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine me	eeting formalitie									
		Blended Rationale: These are routine meeting	g formalities.									
2		Elect Ketil E. Boe as Chairman of Meeting; Designate Lars Mattis H. Hanssen as Inspector of Minutes of Meeting	Mgmt	Yes	For	For		For	For	No	No	No
		Voting Policy Rationale: These are routine me	eeting formalitie	5.								
		Blended Rationale: These are routine meeting	g formalities.									
3		Approve Additional Dividends of NOK 10.00 Per Share	Mgmt	Yes	For	For		For	For	No	No	No
Dallat Dat	-11-											

**Ballot Details** 

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		670,000	670,000
			11/23/2022	11/23/2022			
					Total Shares:	670,000	670,000

#### **Meeting Results**

**Outstanding Shares Voted:** 

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Y	Pass	No	For	0.0%	156,070,441	100.0%	0	N/A	167	0.0%	Poll	F+A+AB
2	Υ	Pass	No	For	0.0%	156,070,194	100.0%	1	0.0%	413	0.0%	Poll	F+A+AB
3	Υ	Pass	No	For	0.0%	156,045,666	100.0%	24,832	0.0%	110	0.0%	Poll	F+A+AB

### **Prosegur Cash SA**

Meeting Date: 12/07/2022

Country: Spain

Ticker: CASH

Proxy Level: N/A

**Record Date:** 12/02/2022

Shareholders

**Meeting ID:** 1694090

Primary SEDOL: BDH3Q71

670,000

Meeting Type: Extraordinary Primary CUSIP: E8S56X108

Primary ISIN: ES0105229001

Primary Security ID: E8S56X108

### **Prosegur Cash SA**

Earliest Cutoff Date: 12/01/2022

Total Ballots: 1

Voting Policy: ISS

**Additional Policy:** 

Votable Shares: 3,737,943

\*Shares on Loan: 0

Shares Instructed: 3,737,943

**Shares Voted:** 3,737,943

Proposal Number	Significant Vote	Proposal Text	Proponent	Votable Proposal	Mgmt Rec	ISS Rec	Additional Policy Rec	Voting Policy Rec	Vote Instruction	Vote Against Mgmt	Vote Against Policy	Vote Against ISS
1		Approve Dividends Charged Against Reserves	Mgmt	Yes	For	For		For	For	No	No	No
2		Amend the Maximum Amount of the Reduction in Share Capital Approved at the June 1, 2022 AGM	Mgmt	Yes	For	For		For	For	No	No	No
3		Amend Long-Term Global Optimum Plan	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST the awards under this Global Optimum LTIP time pay-for-performance link and decorrelates of requirement to return share awards (or the Dec. 31, 2023, under undisclosed conditions	ne-based only. * ; executive long-ter cash equivalent) is does not offset	The proposed rm compensa to the comp the foregoin	d amendme ation from s any in case g.	nt therefore v chareholder ex a beneficiary	weakens the xperience. * TI v leaves the co	he associate mpany prior	d to		-	
		Blended Rationale: A vote AGAINST this res under this Global Optimum LTIP time-based and decorrelates executive long-term comp awards (or the cash equivalent) to the com, undisclosed conditions does not offset the fi	f only. * The prop ensation from sha pany in case a be	oosed amend areholder exp	lment there perience. *	efore weakens The associate	s the pay-for-poed and requirement	erformance t to return s	link			
4		Amend Remuneration Policy for FY 2023-2025	Mgmt	Yes	For	Against		Against	For	No	Yes	Yes
		Voting Policy Rationale: A vote AGAINST th awards under this Global Optimum LTIP tim pay-for-performance link and decorrelates e requirement to return share awards (or the Dec. 31, 2023, under undisclosed conditions	ne-based only. * ? executive long-ter cash equivalent)	The proposed rm compensa to the comp	d amendme ation from s any in case	nt therefore i hareholder ex	weakens the xperience. * Ti	he associate	d		-	
		Blended Rationale: A vote AGAINST this res under this Global Optimum LTIP time-based and decorrelates executive long-term comp awards (or the cash equivalent) to the comp undisclosed conditions does not offset the fi	f only. * The propensation from sha nany in case a be	oosed amend areholder exp	lment there perience. *	rfore weakens The associate	s the pay-for-pa ed requirement	erformance t to return s	link		-	
5		Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	Yes	For	For		For	For	No	No	No
Ballot Deta	ails											
Institutional	Account Detail	Custodian Account Number Ballot Statu	s Instructe	ud.	Annroy	ed	Ballot Voting	Statue	Votable 9	Shares	Shares	Voted

Institutional Account Detail (IA Name, IA Number)	Custodian Account Number	Ballot Status	Instructed	Approved	Ballot Voting Status	Votable Shares	Shares Voted
PIC_RTRI, PIC_RTRI	282301.001	Confirmed	jbisset	jbisset		3,737,943	3,737,943
			11/30/2022	11/30/2022			
					Total Shares:	3,737,943	3,737,943

#### **Meeting Results**

Outstanding Shares Voted: 1,522,946,683

Results Available: Full

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
1	Υ	Pass	No	For	1.0%	1,324,049,550	99.0%	13,846,514	1.0%	0	0.0%	Poll	F+A+AB
2	Υ	Pass	No	For	0.0%	1,337,843,049	100.0%	53,015	0.0%	0	0.0%	Poll	F+A+AB
3	Υ	Pass	No	For	3.9%	1,285,112,007	96.1%	52,784,057	3.9%	0	0.0%	Poll	F+A+AB
4	Y	Pass	No	For	4.0%	1,284,715,795	96.0%	53,180,269	4.0%	0	0.0%	Poll	F+A+AB

<sup>\*</sup>Shares on loan data is only provided for a select group of custodians. Please contact your ISS Client Service Team with any questions.

Item #	Voting Proposal	Summary	Dissident Proposal	Mgmt Rec	Dissent Level	For	% For	Against	% Against	Abstain	% Abstain	Result Type	Base
5	Y	Pass	No	For	0.0%	1,337,843,049	100.0%	53,015	0.0%	0	0.0%	Poll	F+A+AB

#### **PARAMETERS**

Location(s): All Locations

Account Group(s): All Account Groups
Institution Account(s): PIC\_RTRI

Custodian Account(s): All Custodian Accounts

Additional Policy: None ADR Meetings: All Meetings Ballot Statuses: All Statuses Contrary Votes: All Votes Date Format: MM/DD/YYYY ESG Pillar: All Pillars

Header Display: Repeat Headers for Any Meeting Split by Multiple Pages

Markets: All Markets
Meeting ID's: All Meeting ID's
Meeting Types: All Meeting Types
PoA Markets: All Markets
Proposal Category: All Categories
Proposal Proponents: All Proponents
Proposal Subcategory: All Subcategories

Rationale: All Rationale

Recommendations: All Recommendations Record Date Markets: All Markets

Reregistration Meetings: Include Reregistration Meetings

Shareblocking Markets: All Markets

Significant Vote: None

Sort Order: Meeting Date, Company Name Vote Instructions: All Instructions

Voting Policies: All Policies

Zero (0) Share Ballots: Exclude 0 Share Ballots

Account Watchlist: None Country Watchlist: None Issuer Watchlist: None Proposal Code Watchlist: None

Proposal Code Watchlist - Agenda Output: Include Exact Matches Only