## Executed transactions

| Class of Instrument  | Debt Instruments: Bonds  |   |  |  |  |
|--|--|---|--|--|--|
| Client Type  | Professional   |   |  |  |  |
| Traded on a venue  | On   |   |  |  |  |
| Notification if < 1 average trade per business day in the previous year  | Yes  |   |  |  |  |
|  | Proportion of volume executed as a   | Proportion of orders executed as  | Percentage of  | Percentage of  | Percentage of  |
| Too 5 Married and a distance of colors of the condition and a  |  | '   | •  |  |  |
| Top 5 Venues ranked in terms of volume (descending order)  | percentage of total in that class  | percentage of total in that class<br>100.0%   | passive orders   | aggressive orders  | directed orders  |
| Ruffer LLP*  | 100.0%   |   | na   | na   | na   |
| na<br>na   | na<br>na   | na<br>na  | na<br>na   | na<br>na   | na<br>na   |
| na   | na na  | na  | na   | na   | na   |
| na   | na   | na  | na   | na   | na   |
|  | Tid  | 110   | Tiu Tiu  | Tiu Tiu  | 110  |
|  |  |   |  |  |  |
| Class of Instrument  | Debt Instruments: Bonds  |   |  |  |  |
| Client Type  | Professional   |   |  |  |  |
| Traded on a venue  | Off  |   |  |  |  |
| Notification if < 1 average trade per business day in the previous year  | Yes  |   |  |  |  |
|  | Dropoution of values assessed to a   | Dranastian of orders are arted as   | Davasatana   | Dorosataaa   | Dorocata   |
|  | Proportion of volume executed as a   | Proportion of orders executed as  | Percentage of  | Percentage of  | Percentage of  |
| Top 5 Venues ranked in terms of volume (descending order)  | percentage of total in that class  | percentage of total in that class   | passive orders   | aggressive orders  | directed orders  |
| Ruffer LLP*  | 100.0%   | 100.0%  | na   | na   | na   |
| na   | na   | na  | na   | na   | na   |
| na<br>na   | na<br>na   | na<br>na  | na<br>na   | na<br>na   | na<br>na   |
| na   | na na  | na  | na   | na   | na   |
| na   | i i d  | Hu  | na   | na   | na   |
| Class of Instrument  | Debt Instruments: Bonds  |   |  |  |  |
| Client Type  | Retail   |   |  |  |  |
| Traded on a venue  | On   |   |  |  |  |
|  | OII  |   |  |  |  |
| Notification if < 1 average trade per business day in the previous year  | Yes  |   |  |  |  |
|  | Yes  | Proportion of orders executed as  | Porcontago of  | Porcentage of  | Porcontago of  |
| Notification if < 1 average trade per business day in the previous year  | Yes  Proportion of volume executed as a  | Proportion of orders executed as  | Percentage of  | Percentage of  | Percentage of  |
| Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order)   | Yes  Proportion of volume executed as a  percentage of total in that class   | percentage of total in that class   | passive orders   | aggressive orders  | directed orders  |
| Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order)  Ruffer LLP*  | Proportion of volume executed as a percentage of total in that class 100.0%  | percentage of total in that class<br>100.0%   | passive orders<br>na   | aggressive orders<br>na  | directed orders<br>na  |
| Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order)  Ruffer LLP* na   | Proportion of volume executed as a percentage of total in that class 100.0% na   | percentage of total in that class<br>100.0%<br>na   | passive orders<br>na<br>na   | aggressive orders<br>na<br>na  | directed orders<br>na<br>na  |
| Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order)  Ruffer LLP*  na  na  | Proportion of volume executed as a percentage of total in that class  100.0%  na  na   | percentage of total in that class<br>100.0%<br>na<br>na   | passive orders<br>na<br>na<br>na                                   | aggressive orders<br>na<br>na<br>na  | directed orders<br>na<br>na<br>na  |
| Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order)  Ruffer LLP*  na  na  na  | Proportion of volume executed as a percentage of total in that class  100.0%  na  na  na   | percentage of total in that class<br>100.0%<br>na<br>na<br>na   | passive orders<br>na<br>na<br>na<br>na                             | aggressive orders<br>na<br>na<br>na<br>na                                      | directed orders<br>na<br>na<br>na<br>na                                  |
| Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order)  Ruffer LLP*  na  na  | Proportion of volume executed as a percentage of total in that class  100.0%  na  na   | percentage of total in that class<br>100.0%<br>na<br>na   | passive orders<br>na<br>na<br>na                                   | aggressive orders<br>na<br>na<br>na  | directed orders<br>na<br>na<br>na  |
| Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order)  Ruffer LLP*  na  na  na  | Proportion of volume executed as a percentage of total in that class  100.0%  na  na  na   | percentage of total in that class<br>100.0%<br>na<br>na<br>na   | passive orders<br>na<br>na<br>na<br>na                             | aggressive orders<br>na<br>na<br>na<br>na                                      | directed orders<br>na<br>na<br>na<br>na                                  |
| Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order)  Ruffer LLP*  na  na  na  | Proportion of volume executed as a percentage of total in that class  100.0%  na  na  na   | percentage of total in that class<br>100.0%<br>na<br>na<br>na   | passive orders<br>na<br>na<br>na<br>na                             | aggressive orders<br>na<br>na<br>na<br>na                                      | directed orders<br>na<br>na<br>na<br>na                                  |
| Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order)  Ruffer LLP*  na  na  na  na  | Proportion of volume executed as a percentage of total in that class  100.0%  na  na  na  Debt Instruments: Bonds  Retail  | percentage of total in that class<br>100.0%<br>na<br>na<br>na   | passive orders<br>na<br>na<br>na<br>na                             | aggressive orders<br>na<br>na<br>na<br>na                                      | directed orders<br>na<br>na<br>na<br>na                                  |
| Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order)  Ruffer LLP* na na na na Class of Instrument  | Proportion of volume executed as a percentage of total in that class  100.0%  na  na  na  na  Debt Instruments: Bonds  | percentage of total in that class<br>100.0%<br>na<br>na<br>na   | passive orders<br>na<br>na<br>na<br>na                             | aggressive orders<br>na<br>na<br>na<br>na                                      | directed orders<br>na<br>na<br>na<br>na                                  |
| Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order)  Ruffer LLP* na na na na Class of Instrument Client Type  | Proportion of volume executed as a percentage of total in that class  100.0%  na  na  na  Debt Instruments: Bonds  Retail  | percentage of total in that class<br>100.0%<br>na<br>na<br>na   | passive orders<br>na<br>na<br>na<br>na                             | aggressive orders<br>na<br>na<br>na<br>na                                      | directed orders<br>na<br>na<br>na<br>na                                  |
| Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order)  Ruffer LLP* na na na na Class of Instrument Client Type Traded on a venue  | Proportion of volume executed as a percentage of total in that class  100.0%  na  na  na  na  Debt Instruments: Bonds  Retail  Off  Yes  | percentage of total in that class  100.0%  na  na  na  na   | passive orders<br>na<br>na<br>na<br>na                             | aggressive orders<br>na<br>na<br>na<br>na                                      | directed orders<br>na<br>na<br>na<br>na<br>na                            |
| Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order)  Ruffer LLP* na na na na Class of Instrument Client Type Traded on a venue Notification if < 1 average trade per business day in the previous year  | Proportion of volume executed as a percentage of total in that class  100.0%  na na na na  Debt Instruments: Bonds Retail Off Yes  Proportion of volume executed as a  | percentage of total in that class  100.0%  na  na  na  na  Proportion of orders executed as                                   | passive orders  na na na na na Percentage of                       | aggressive orders  na na na na na  | directed orders na na na na na   |
| Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order)  Ruffer LLP* na na na na Class of Instrument Client Type Traded on a venue Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order)                   | Proportion of volume executed as a percentage of total in that class  100.0%  na na na na  Debt Instruments: Bonds Retail Off Yes  Proportion of volume executed as a percentage of total in that class              | percentage of total in that class  100.0%  na  na  na  na  Proportion of orders executed as percentage of total in that class | passive orders  na na na na na Percentage of passive orders        | aggressive orders  na na na na na Percentage of aggressive orders              | directed orders  na na na na na Percentage of directed orders            |
| Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order)  Ruffer LLP* na na na na Class of Instrument Client Type Traded on a venue Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order) Ruffer LLP*       | Proportion of volume executed as a percentage of total in that class  100.0%  na na na na  Debt Instruments: Bonds Retail Off Yes  Proportion of volume executed as a percentage of total in that class 100.0%       | Proportion of orders executed as percentage of total in that class  | passive orders  na na na na na  Percentage of passive orders na    | aggressive orders  na na na na na na expressive orders aggressive orders na    | directed orders  na na na na na ora  Percentage of directed orders na    |
| Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order)  Ruffer LLP* na na na na Class of Instrument Client Type Traded on a venue Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order) Ruffer LLP* na    | Proportion of volume executed as a percentage of total in that class  100.0%  na na na na  Debt Instruments: Bonds Retail Off Yes  Proportion of volume executed as a percentage of total in that class 100.0% na    | Proportion of orders executed as percentage of total in that class  | passive orders  na na na na na  Percentage of passive orders na na | aggressive orders  na na na na na na expressive orders aggressive orders na na | directed orders  na na na na na ora  Percentage of directed orders na na |
| Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order)  Ruffer LLP* na na na na Class of Instrument Client Type Traded on a venue Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order) Ruffer LLP* na na | Proportion of volume executed as a percentage of total in that class  100.0%  na na na na  Debt Instruments: Bonds Retail Off Yes  Proportion of volume executed as a percentage of total in that class 100.0% na na | Proportion of orders executed as percentage of total in that class  | passive orders  na             | aggressive orders  na                      | directed orders na                   |
| Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order)  Ruffer LLP* na na na na Class of Instrument Client Type Traded on a venue Notification if < 1 average trade per business day in the previous year  Top 5 Venues ranked in terms of volume (descending order) Ruffer LLP* na    | Proportion of volume executed as a percentage of total in that class  100.0%  na na na na  Debt Instruments: Bonds Retail Off Yes  Proportion of volume executed as a percentage of total in that class 100.0% na    | Proportion of orders executed as percentage of total in that class  | passive orders  na na na na na  Percentage of passive orders na na | aggressive orders  na na na na na na expressive orders aggressive orders na na | directed orders  na na na na na na ora na na na na na na na na na        |

|   | Interest Rate Derivatives: Swaps, Forwards |                                   |                |                   |                 |
|---|--|-----------------------------------|----------------|-------------------|-----------------|
| Class of Instrument   | and other Interest Rate Derivatives        |                                   |                |                   |                 |
| Client Type   | Professional                               |                                   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes  |                                   |                |                   |                 |
|   | Proportion of volume executed as a         | Proportion of orders executed as  | Percentage of  | Percentage of     | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class          | percentage of total in that class | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%                                     | 100.0%                            | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
|   | Interest Rate Derivatives: Swaps, Forwards |                                   |                |                   |                 |
| Class of Instrument   | and other Interest Rate Derivatives        |                                   |                |                   |                 |
| Client Type   | Retail                                     |                                   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes  |                                   |                |                   |                 |
|   | Proportion of volume executed as a         | Proportion of orders executed as  | Percentage of  | Percentage of     | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class          | percentage of total in that class | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%                                     | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
|   |  |                                   |                |                   |                 |

|   | Currency Derivatives: Swaps, Forwards and |                                   |                |                   |                 |
|---|---|-----------------------------------|----------------|-------------------|-----------------|
| Class of Instrument   | Other Currency Derivatives                |                                   |                |                   |                 |
| Client Type   | Professional                              |                                   |                |                   |                 |
| Traded on a venue   | On  |                                   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes                                       |                                   |                |                   |                 |
|   | Proportion of volume executed as a        | Proportion of orders executed as  | Percentage of  | Percentage of     | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class         | percentage of total in that class | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%                                    | 100.0%                            | na             | na                | na              |
| na  | na  | na                                | na             | na                | na              |
| na  | na  | na                                | na             | na                | na              |
| na  | na  | na                                | na             | na                | na              |
| na  | na  | na                                | na             | na                | na              |

| 1   | Currency Derivatives: Swaps, Forwards and       |                                   |                |                   |                 |
|---|---|-----------------------------------|----------------|-------------------|-----------------|
| Class of Instrument   | Other Currency Derivatives                      |                                   |                |                   |                 |
| Client Type   | Professional                                    |                                   |                |                   |                 |
| Traded on a venue   | Off   |                                   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes   |                                   |                |                   |                 |
| Treatment of the area age that per business any in the previous year    |   |                                   |                |                   |                 |
|   | Proportion of volume executed as a              | Proportion of orders executed as  | Percentage of  | Percentage of     | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class               | percentage of total in that class | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%  | 100.0%                            | na             | na                | na              |
| na  | na  | na                                | na             | na                | na              |
| na  | na  | na                                | na             | na                | na              |
| na  | na  | na                                | na             | na                | na              |
| na  | na  | na                                | na             | na                | na              |
|   | Currency Derivatives: Swaps, Forwards and       |                                   |                |                   |                 |
| Class of Instrument   | Other Currency Derivatives                      |                                   |                |                   |                 |
| Client Type   | Retail  |                                   |                |                   |                 |
| Traded on a venue   | On  |                                   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes   |                                   |                |                   |                 |
|   |   |                                   |                | _                 | _               |
|   | Proportion of volume executed as a              | Proportion of orders executed as  | Percentage of  | Percentage of     | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class               | percentage of total in that class | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%  | 100.0%                            | na             | na                | na              |
| na  | na  | na                                | na             | na                | na              |
| na  | na  | na                                | na             | na                | na              |
| na  | na  | na                                | na             | na                | na              |
| na  | na  | na                                | na             | na                | na              |
|   |   |                                   |                |                   |                 |
|   | Currency Derivatives: Swaps, Forwards and       |                                   |                |                   |                 |
| 1   | 2. 2. 3. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. |                                   |                |                   |                 |

Other Currency Derivatives

Proportion of volume executed as a

percentage of total in that class

Retail

100.0%

na

na

na

na

Off

Yes

Proportion of orders executed as

percentage of total in that class

100.0%

na

na

na

Percentage of

passive orders

na

na

na

na

na

Percentage of

na

na

na na

directed orders

Percentage of

na

na

na

na

na

aggressive orders

Class of Instrument
Client Type

Traded on a venue

Ruffer LLP\*

na

na

na

Notification if < 1 average trade per business day in the previous year

Top 5 Venues ranked in terms of volume (descending order)

|   | Faulty Derivatives Swans and Other Faulty  |                                   |                |                   |                 |
|---|--|-----------------------------------|----------------|-------------------|-----------------|
|   | Equity Derivatives: Swaps and Other Equity |                                   |                |                   |                 |
| Class of Instrument   | Derivatives                                |                                   |                |                   |                 |
| Client Type   | Professional                               |                                   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes  |                                   |                |                   |                 |
|   | Proportion of volume executed as a         | Proportion of orders executed as  | Percentage of  | Percentage of     | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class          | percentage of total in that class | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%                                     | 100.0%                            | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
|   | Equity Derivatives: Swaps and Other Equity |                                   |                |                   |                 |
| Class of Instrument   | Derivatives                                |                                   |                |                   |                 |
| Client Type   | Retail                                     |                                   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes  |                                   |                |                   |                 |
|   | Proportion of volume executed as a         | Proportion of orders executed as  | Percentage of  | Percentage of     | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class          | percentage of total in that class | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%                                     | 100.0%                            | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |

## Transmitted transactions

Class of Instrument

Client Type Notification if < 1 average trade per business day in the previous year

| Transmitted transactions  |   |   |                |                   |                 |
|---|---|---|----------------|-------------------|-----------------|
|   | equities – shares and Depositary Receipts.      |   |                |                   |                 |
|   | Tick size liquidity bands 1 and 2 (from 0 to 79 |   |                |                   |                 |
| Class of Instrument   | trades per day)                                 |   |                |                   |                 |
| Client Type   | Professional                                    |   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes   |   |                |                   |                 |
|   | Proportion of volume executed as a              | Proportion of orders executed as            | Percentage of  | Percentage of     | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class               | percentage of total in that class           | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | percentage of total in that class               | 100.0%                                      | na             | na                | na              |
| na  | na  | na  | na             | na                | na              |
| na  | na  | na  | na             | na                | na              |
| na  | na  | na  | na             | na                | na              |
| na  | na  | na  | na             | na                | na              |
|   | Equities – Snares and Depositary Receipts:      |   |                |                   |                 |
|   | Tick size liquidity bands 1 and 2 (from 0 to 79 |   |                |                   |                 |
| Character and   |   |   |                |                   |                 |
| Class of Instrument Client Type   | trades per day)<br>Retail                       |   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes   |   |                |                   |                 |
| Notification if < 1 average trade per busiless day in the previous year | Tes   |   |                |                   |                 |
|   | Proportion of volume executed as a              | Proportion of orders executed as            | Percentage of  | Percentage of     | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class               | percentage of total in that class           | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%  | 100.0%                                      | na             | na                | na              |
| na  | na  | na  | na             | na                | na              |
| na  | na  | na  | na             | na                | na              |
| na  | na  | na  | na             | na                | na              |
| na  | na  | na  | na             | na                | na              |
|   | Equities – Shares and Depositary Receipts:      |   |                |                   |                 |
|   | Tick size liquidity bands 3 and 4 (from 80 to   |   |                |                   |                 |
| Class of Instrument   | 1999 trades per day)                            |   |                |                   |                 |
| Client Type   | Professional                                    |   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes   |   |                |                   |                 |
|   | Proportion of volume executed as a              | Proportion of orders executed as            | Percentage of  | Percentage of     | Darsontago of   |
| Too 5 Manual and in toward of values (decreading and a)                 | •   |   | 9              | 9                 | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order) Ruffer LLP*   | percentage of total in that class<br>100.0%     | percentage of total in that class<br>100.0% | passive orders | aggressive orders | directed orders |
| na  | 100.0%<br>na                                    | 100.0%<br>na                                | na<br>na       | na<br>na          | na<br>na        |
| na  | na  | na  | na             | na                | na              |
| na  | na  | na  | na             | na                | na              |
| na  | na  | na  | na             | na                | na              |
|   |   |   |                |                   |                 |

Equities – Snares and Depositary Receipts: Tick size liquidity bands 3 and 4 (from 80 to

1999 trades per day)

Retail Yes

|   | Proportion of volume executed as a           | Proportion of orders executed as  | Percentage of  | Percentage of     | Percentage of   |
|---|--|-----------------------------------|----------------|-------------------|-----------------|
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class            | percentage of total in that class | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%                                       | 100.0%                            | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
|   | Equities – Shares and Depositary Receipts:   |                                   |                |                   |                 |
|   | Tick size liquidity bands 5 and 6 (from 2000 |                                   |                |                   |                 |
| Class of Instrument   | trades per day)                              |                                   |                |                   |                 |
| Client Type   | Professional                                 |                                   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes  |                                   |                |                   |                 |
|   |  |                                   |                |                   |                 |
|   | Proportion of volume executed as a           | Proportion of orders executed as  | Percentage of  | Percentage of     | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class            | percentage of total in that class | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%                                       | 100.0%                            | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
|   | Equities – Snares and Depositary Receipts:   |                                   |                |                   |                 |
|   | Tick size liquidity bands 5 and 6 (from 2000 |                                   |                |                   |                 |
| Class of Instrument   | trades per day)                              |                                   |                |                   |                 |
| Client Type   | Retail                                       |                                   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes  |                                   |                |                   |                 |
|   | · · ·  |                                   |                |                   |                 |
|   | Proportion of volume executed as a           | Proportion of orders executed as  | Percentage of  | Percentage of     | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class            | percentage of total in that class | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%                                       | 100.0%                            | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |

|   | Equity Derivatives: Options and Futures        |                                   |                |                   |                 |
|---|--|-----------------------------------|----------------|-------------------|-----------------|
| Class of Instrument   | Admitted to Trading on a Trading Venue         |                                   |                |                   |                 |
| Client Type   | Professional                                   |                                   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes  |                                   |                |                   |                 |
|   | Proportion of volume executed as a             | Proportion of orders executed as  | Percentage of  | Percentage of     | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class              | percentage of total in that class | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%   | 100.0%                            | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
|   | <b>Equity Derivatives: Options and Futures</b> |                                   |                |                   |                 |
| Class of Instrument   | Admitted to Trading on a Trading Venue         |                                   |                |                   |                 |
| Client Type   | Retail   |                                   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes  |                                   |                |                   |                 |
|   | Proportion of volume executed as a             | Proportion of orders executed as  | Percentage of  | Percentage of     | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class              | percentage of total in that class | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%   | 100.0%                            | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
|   | Securitized Derivatives: Warrants and          |                                   |                |                   |                 |
| Class of Instrument   | Certificate Derivatives                        |                                   |                |                   |                 |
| Client Type   | Professional                                   |                                   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes  |                                   |                |                   |                 |
|   | Proportion of volume executed as a             | Proportion of orders executed as  | Percentage of  | Percentage of     | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class              | percentage of total in that class | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%   | 100.0%                            | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
|   | Securitized Derivatives: Warrants and          |                                   |                |                   |                 |
| Class of Instrument   | Certificate Derivatives                        |                                   |                |                   |                 |
| Client Type   | Retail   |                                   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes  |                                   |                |                   |                 |
|   | Proportion of volume executed as a             | Proportion of orders executed as  | Percentage of  | Percentage of     | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class              | percentage of total in that class | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%   | 100.0%                            | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |
| na  | na   | na                                | na             | na                | na              |

|   | Exchange Traded Products (Exchange Traded |                                   |                |                   |                 |
|---|---|-----------------------------------|----------------|-------------------|-----------------|
|   | Funds, Exchange Traded Notes and Exchange |                                   |                |                   |                 |
| Class of Instrument   | Traded Commodities)                       |                                   |                |                   |                 |
| Client Type   | Professional                              |                                   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes                                       |                                   |                |                   |                 |
|   | Proportion of volume executed as a        | Proportion of orders executed as  | Percentage of  | Percentage of     | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class         | percentage of total in that class | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%                                    | 100.0%                            | na             | na                | na              |
| na  | na  | na                                | na             | na                | na              |
| na  | na  | na                                | na             | na                | na              |
| na  | na  | na                                | na             | na                | na              |
| na  | na  | na                                | na             | na                | na              |
|   | exchange fraded Products (exchange fraded |                                   |                |                   |                 |
|   | Funds, Exchange Traded Notes and Exchange |                                   |                |                   |                 |
| Class of Instrument   | Traded Commodities)                       |                                   |                |                   |                 |
| Client Type   | Retail                                    |                                   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes                                       |                                   |                |                   |                 |
|   | Proportion of volume executed as a        | Proportion of orders executed as  | Percentage of  | Percentage of     | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class         | percentage of total in that class | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%                                    | 100.0%                            | na             | na                | na              |
| na  | na  | na                                | na             | na                | na              |
| na  | na  | na                                | na             | na                | na              |
| na  | na  | na                                | na             | na                | na              |
| na  | na  | na                                | na             | na                | na              |

| Class of Instrument   | Collective Investment Vehicles     |                                   | •              |                   |                 |
|---|------------------------------------|-----------------------------------|----------------|-------------------|-----------------|
| Client Type   | Professional                       |                                   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes                                |                                   |                |                   |                 |
|   | Proportion of volume executed as a | Proportion of orders executed as  | Percentage of  | Percentage of     | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class  | percentage of total in that class | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%                             | 100.0%                            | na             | na                | na              |
| na  | na                                 | na                                | na             | na                | na              |
| na  | na                                 | na                                | na             | na                | na              |
| na  | na                                 | na                                | na             | na                | na              |
| na  | na                                 | na                                | na             | na                | na              |
|   |                                    |                                   |                |                   |                 |

| Class of Instrument   | Collective Investment Vehicles     |                                   |                |                   | 1               |
|---|------------------------------------|-----------------------------------|----------------|-------------------|-----------------|
| Client Type   | Retail                             |                                   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes                                |                                   |                |                   |                 |
|   | Proportion of volume executed as a | Proportion of orders executed as  | Percentage of  | Percentage of     | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class  | percentage of total in that class | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%                             | 100.0%                            | na             | na                | na              |
| na  | na                                 | na                                | na             | na                | na              |
| na  | na                                 | na                                | na             | na                | na              |
| na  | na                                 | na                                | na             | na                | na              |
| na  | na                                 | na                                | na             | na                | na              |

| Class of Instrument   | Other Instruments                  |                                   |                |                   |                 |
|---|------------------------------------|-----------------------------------|----------------|-------------------|-----------------|
|   | Other Instruments                  |                                   |                |                   |                 |
| Client Type   | Professional                       |                                   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes                                |                                   |                |                   |                 |
|   | Proportion of volume executed as a | Proportion of orders executed as  | Percentage of  | Percentage of     | Percentage of   |
|   | •                                  | •                                 | •              |                   | •               |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class  | percentage of total in that class | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%                             | 100.0%                            | na             | na                | na              |
| na  | na                                 | na                                | na             | na                | na              |
| na  | na                                 | na                                | na             | na                | na              |
| na  | na                                 | na                                | na             | na                | na              |
| na  | na                                 | na                                | na             | na                | na              |
|   |                                    |                                   |                |                   |                 |
| Class of Instrument   | Other Instruments                  |                                   |                |                   |                 |
| Client Type   | Retail                             |                                   |                |                   |                 |
| Notification if < 1 average trade per business day in the previous year | Yes                                |                                   |                |                   |                 |
|   | Proportion of volume executed as a | Proportion of orders executed as  | Percentage of  | Percentage of     | Percentage of   |
| Top 5 Venues ranked in terms of volume (descending order)               | percentage of total in that class  | percentage of total in that class | passive orders | aggressive orders | directed orders |
| Ruffer LLP*   | 100.0%                             | 100.0%                            | na             | na                | na              |
| na  | na                                 | na                                | na             | na                | na              |
| na  | na                                 | na                                | na             | na                | na              |
| na  | na                                 | na                                | na             | na                | na              |
| na  | na                                 | na                                | na             | na                | na              |

<sup>\*</sup>All orders for Ruffer SA are executed by Ruffer LLP